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1 **Process-based simulation of growth and overwintering of grassland using the** 2 **BASGRA model**

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10 **Abstract**

11 Process-based models (PBM) for simulation of weather dependent grass growth can assist
12 farmers and plant breeders in addressing the challenges of climate change by simulating
13 alternative roads of adaptation. They can also provide management decision support under
14 current conditions. A drawback of existing grass models is that they do not take into account the
15 effect of winter stresses, limiting their use for full-year simulations in areas where winter
16 survival is a key factor for yield security. Here, we present a novel full-year PBM for grassland
17 named BASGRA. It was developed by combining the LINGRA grassland model (Van Oijen et
18 al. 2005) with models for cold hardening and soil physical winter processes. We present the
19 model and show how it was parameterized for timothy (*Phleum pratense* L.), the most important
20 forage grass in Scandinavia and parts of North America and Asia. Uniquely, BASGRA simulates
21 the processes taking place in the sward during the transition from summer to winter, including
22 growth cessation and gradual cold hardening, and functions for simulating plant injury due to
23 low temperatures, snow and ice affecting regrowth in spring. For the calibration, we used
24 detailed data from five different locations in Norway, covering a wide range of agroclimatic
25 regions, day lengths (latitudes from 59° to 70° N) and soil conditions. The total dataset included
26 11 variables, notably above-ground dry matter, leaf area index, tiller density, content of C
27 reserves, and frost tolerance. All data were used in the calibration. When BASGRA was run with
28 the maximum a-posteriori (MAP) parameter vector from the single, Bayesian calibration, nearly
29 all measured variables were simulated to an overall normalized root mean squared error
30 (NRMSE) < 0.5. For many site x experiment combinations, NRMSE was < 0.3. The temporal
31 dynamics were captured well for most variables, as evaluated by comparing simulated time
32 courses vs. data for the individual sites. The results may suggest that BASGRA is a reasonably
33 robust model, allowing for simulation of growth and several important underlying processes with

34 acceptable accuracy for a range of agroclimatic conditions. However, the robustness of the
35 model needs to be tested further using independent data from a wide range of growing
36 conditions. Finally we show an example of application of the model, comparing overwintering
37 risks in two climatically different sites, and discuss future model applications. Further
38 development work should include improved simulation of the dynamics of C reserves, and
39 validation of winter tiller dynamics against independent data.

40 *Keywords:* Cold hardening, Frost injury, *Phleum pratense* L., Process-based modelling, Winter
41 survival, Yield

42 **1 Introduction**

43 **1.1 Grasslands and climate change**

44 Grasslands constitute the most important source of energy and nutrients for grazing animals in
45 large regions of the world. In temperate and boreal regions, where low temperatures restrict or
46 prevent growth during winter, grasslands are also extremely important for the production of
47 conserved forage for winter feeding of cattle, sheep, goats and horses. Grass-based dairy and
48 meat production constitute the economic backbone of agriculture in Northern Europe including
49 Norway. Timothy (*Phleum pratense* L.) is the most important forage grass in Scandinavia and
50 parts of North America and Asia where winter survival is critical. Compared to perennial
51 ryegrass (*Lolium perenne* L.), which is grown in areas with milder winter conditions, timothy is
52 more tolerant to most winter stresses (Höglind et al, 2010). However, weather driven inter-
53 annual variation in grass yields may lead to substantial variation in the economic output of
54 forage based livestock production even in systems where timothy is the dominant grass species.

55 Grassland productivity is expected to be affected by climate change (Tubiello et al. 2007; Jing et
56 al. 2014). The changes may be detrimental or, especially at high latitudes, beneficial. However,
57 there is still large uncertainty with respect to grassland productivity in Northern Europe under
58 future climate conditions (Höglind et al. 2013). There is a lack of knowledge especially about the
59 impact of weather conditions late in the growing season and during the winter on the inter-
60 survival of the tillers, and thus the regrowth and yield of the sward. Increases in temperature
61 variability may lead to warm spells that interrupt winter hardening and make the plants more
62 sensitive to subsequent frost (Bélanger et al. 2002, Rapacz et al. 2014). The survival rate will not
63 depend on the winter climate alone, but also on the state of the sward itself during the transition

64 from growing season to winter, which in turn is affected by the environmental conditions during
65 the growing season, sward genotype and management. Farmers can adapt to climate change by
66 choosing different grass species or cultivars and by adjusting management practices such as
67 fertilizer application strategies and timing of harvests. Plant breeders can contribute to the
68 adaptation of climate change by breeding grasses that grow well under expected conditions.

69 **1.2 Strengths and weaknesses of existing grassland models**

70 Process-based models for weather dependent grass growth can assist farmers and plant breeders
71 in the process of adaptation to climate change by simulating different adaptation options. These
72 models can also be used to investigate different management options such as the prediction of
73 the optimal harvest time for use in tactical planning at farm level under current conditions
74 (Bonesmo and Bélanger, 2002). A number of process-based grassland models for cold temperate
75 climate conditions including LINGRA (Schapendonk et al. 1998; Höglind et al. 2001; Van Oijen
76 et al. 2005a), the Hurley pasture model (Thornley and Cannell 1997), PaSIM (Riedo et al. 1998),
77 CATIMO (Bonesmo and Bélanger, 2002), and STICS (Jégo et al. 2013) are available for use in
78 this type of studies. A common feature for all the available grassland models is that they
79 simulate the accumulation of biomass as dependent on temperature and the availability of light
80 and water. Many models also simulate the effect of nutrient availability on grass growth and
81 quality (e.g. Bonesmo and Bélanger, 2002; Jégo et al. 2013). However, nearly all the existing
82 grassland models for temperate climate conditions focus exclusively on the spring and summer
83 growing season. Hence they cannot be used for predicting winter survival, which limits their
84 usefulness for predicting grassland productivity in regions where winter survival is critical. An
85 exception is the PaSIM model (Riedo et al. 1998) that simulates snow cover dynamics and the
86 effect of snow cover on the temperature around the plant. However, this model does not simulate
87 ice-cover and does not take into account the effects of cold stress, limiting its usefulness to
88 winter conditions that constitute no risk to tiller survival.

89 **1.3 Development of the new model BASGRA**

90 Here, we present a full-year model for grassland growth named Basic Grassland Model
91 (BASGRA), and parameterise it for timothy. It was developed by combining the LINGRA
92 grassland model as used for summer growth of timothy (Van Oijen et al. 2005a) with the model
93 for cold hardening and dehardening in grasses developed by Thorsen and Höglind (2010), and
94 the SnowFrostIce model for soil physical winter processes in grasslands (Thorsen et al. 2010)

95 with some modifications of the original models and some additional functions to handle the
96 transition between summer and winter. Growth in BASGRA is modelled as dependent on
97 source-sink relationships, which affect the dynamics of tiller density and leaf area in a similar
98 way as in its forerunner LINGRA. Modifications of the original model include additional control
99 functions for tillering, leaf appearance and leaf elongation, and a new algorithm for carbon
100 allocation. Other novel aspects of the BASGRA model are:

- 101 ○ full-year simulation with different links between sward management, weather,
102 day length, soil physics and plant ecophysiology,
- 103 ○ mechanistic simulation of the processes taking place in the sward during the
104 transition from summer to winter including growth, cessation, the build-up of
105 carbon reserves and the gradual frost hardening of the plants,
- 106 ○ comprehensive representation of winter damage processes related to low
107 temperatures, short days with low irradiation, snow- and ice-covered fields
- 108 ○ mechanistic simulation of the resumption of growth in spring,
- 109 ○ inclusion of a third tiller category: non-elongated generative tillers.

110 For each of the models that were combined to form BASGRA, similar design principles were
111 followed. Model structure was intended to allow simulation of the behaviour of the grass-soil
112 system in different growing environments, with different possible disturbances. Moreover, we
113 intended to simulate both short- and long-term responses to disturbances, so state variables
114 needed to be included that determine a sward's capacity to regrow, such as carbohydrate reserves
115 and tiller density. These objectives led to a fairly long list of model processes, as outlined above,
116 and as described in detail in section 2. At the same time, the intention was to keep model
117 structure as simple as possible, such that it would be possible to collect sufficient data for model
118 parameterisation and for testing at the level of the represented processes. Where possible,
119 parameter-sparse process representations were chosen, e.g. a canopy light-use efficiency (LUE)
120 approach rather than a leaf photosynthesis model, but with LUE not treated as a constant to
121 allow for sward responses to changes in the availability of water and CO₂.

122 **1.4 Aims and outline of the remainder of this paper.**

123 The major aim of this paper is to present the BASGRA model and show how it was
124 parameterized. A second aim is to show an example of model application, and to discuss the
125 scope for future applications. We first present, in Section 2, the details of the model structure.

126 The focus is on features that are unique to BASGRA, and that have not been presented in detail
127 before. We then show in Section 3 how the model was parameterised, with emphasis on
128 parameters not usually part of grassland models. In Section 4, we show an example application
129 of the model to multi-year simulation of timothy growth at two sites in Norway. The paper is
130 concluded with a discussion of the modelling approach and an outlook of future applications.

131 **2 Model structure**

132 **2.1 Model overview**

133 The grassland model BASGRA is a mechanistic model for simulating the year-round dynamics
134 of tillers, leaves, roots and reserves. The model simulates the response of the sward to soil
135 conditions, cutting, day length, and the weather including winter stresses. The model operates at
136 a daily time step and contains 23 state variables and 71 initial constants and other parameters.

137 The three main features that characterize plant growth in BASGRA are: (1) simulation of source-
138 sink relations where the source consists both of current photosynthesis and remobilisation of
139 reserves, (2) simulation of leaf area dynamics and tillering for vegetative and generative tillers;
140 and (3) cold hardening and the effect of physical winter stress factors on tiller survival and plant
141 growth. Inputs to the model are daily values of radiation, temperature, rain, humidity and wind.
142 The soil is characterised by a soil water retention curve, initial soil water content and soil
143 temperature. In addition to the water balance, the depth of snow and ice on the soil surface as
144 well as the temperature at the soil surface and the depth of frost in the soil are simulated.

145 Photosynthesis is sensitive to light intensity, temperature, day length, CO₂ concentration and
146 water availability. Carbon from photosynthesis and remobilised reserves is allocated between
147 sinks according to a system of changing sink priorities and changing sink strengths. Sink
148 strengths are determined by the dynamics of leaves and stems and the acclimation to low
149 temperature. The major occasional disturbance during the growing season is removal of tillers
150 and leaves by cutting, with subsequent regrowth of the sward. Regrowth rate after cutting
151 depends on the phenological stage at which cutting take place and on the strengths of sources
152 and sinks. Damage by frost and by anaerobic conditions under ice accelerates senescence
153 depending on the degree to which the plants are hardened. BASGRA is a one-dimensional model
154 in that it keeps track of the height of snow cover and the depth to which the soil is frozen and
155 roots are grown. The model does not simulate nitrogen relations or plant disease impact.

156 BASGRA is implemented in open source software; Fortran and R. The model version presented
157 here, BASGRA 2014, is achieved online at <http://dx.doi.org/10.5281/zenodo.27867> from where
158 full code, including for Bayesian calibration, can be downloaded as a zip-file (Van Oijen et al.,
159 2015). The zip-file also contains a comprehensive User Guide (including lists of parameters and
160 variables with names and units, and conceptual diagrams of the model) and all the necessary files
161 to run the model for default conditions (for cultivar Grindstad grown at Særheim in Norway) or
162 to apply Bayesian calibration to the model parameters (one example using observations of
163 Grindstad grown at Særheim). The User Guide also explains the history of the model.

164 In the following sections, we describe the major functions of BASGRA in more detail, with
165 focus on the functions that are unique for the BASGRA model, and how it differs from its three
166 predecessors, the LINGRA model for summer growth of timothy (Höglind et al. 2001; Van
167 Oijen et al. 2005a), the model for cold hardening in timothy (Thorsen and Höglind, 2010), and
168 the SnowFrostIce model for physical soil processes during the winter (Thorsen et al. 2010).

169 **2.2 Soil processes and links to plant processes**

170 The soil module of BASGRA combines the soil-water balance model for non-frozen summer
171 conditions used in LINGRA (Höglind et al. 2001) with the SnowFrostIce model that simulates
172 freezing and thawing in the soil (Thorsen et al. 2010). Both models have been described in detail
173 in the cited works; their major features are summarized below.

174 Soil water and above-ground non plant bound water in the form of snow and ice in BASGRA is
175 characterized by eight state variables. Two are spatial variables, representing snow cover height
176 and soil frost depth. The remaining six state variables represent the mass of water in different
177 phases (liquid, snow, ice) and locations (above- and belowground). During the growing season,
178 all water states, except for the state variable representing the mass of liquid water in the soil,
179 tend to be zero. BASGRA then acts as a model with a single soil layer between surface and
180 rooting depth. Water is added to the soil pool by rain and irrigation, and by root growth leading
181 to exploration of deeper soil. Water is lost from the soil through drainage, runoff, evaporation
182 and transpiration by plants. Water availability to plants is determined by rooting depth and the
183 amount of plant available water in that zone.

184
185 The form of precipitation is determined by a threshold temperature. Below the threshold,
186 precipitation falls as snow, adding to the state variables representing mass of snow per unit

187 ground area and the height of the snow pack. If the soil surface temperature falls below the
188 freezing point, soil water will start freezing from the top. This is captured by a state variable for
189 the mass of ice in the soil and a state variable for the depth of the ice layer. Once frost depth
190 exceeds a threshold of 0.2 m, it is assumed that liquid water no longer infiltrate the soil
191 according to (Iwata et al. 2008) and a surface pool of water is formed. The surface pool is subject
192 to freezing and thawing, and thus requires two state variables to represent the different phases:
193 liquid (water) and solid (ice) soil surface water. The rate of snow melt is calculated using a
194 degree–day temperature index K ($\text{mm } ^\circ\text{C}^{-1} \text{ day}^{-1}$), which is described by a sinusoidal curve with
195 a minimum in mid-winter and a maximum in mid-summer to incorporate the seasonal variation
196 in incoming radiation that influences snow-melt in addition to temperature (Thorsen et al. 2010).

197
198 The central organs of overwintering grass plants that determine sward survival are the apices of
199 the tillers. The apices of the overwintering (non-elongated) tillers are placed close to the soil
200 surface during winter, and thus sensitive to the micro-climatic conditions in this environment. In
201 BASGRA, the temperature at the soil surface is calculated as a function of the atmospheric
202 temperature, snow depth and soil frost depth. In the absence of snow or ice, the soil surface
203 temperature equals that of the atmosphere. The soil surface temperature below a cold insulating
204 snow cover is expressed as a function of snow cover depth, whereas the impact of soil frost
205 depth on soil surface temperature is described by more complex functions (Thorsen et al. 2010).

206
207 Effect of soil water on plant processes is mediated by the transpiration realisation factor
208 TRANRF (Höglind et al. 2001). This intermediate variable is calculated as a function of soil
209 water content, soil water retention characteristics, and plant transpirational demand. TRANRF
210 has a value of one when soil water content is at field capacity. It starts to fall when water
211 decreases below a critical level between field capacity and the wilting point, and it reaches zero
212 at the wilting point. Several processes are directly proportional to TRANRF, including
213 transpiration rate. Other processes that are affected are described in the following sections.

214 **2.3 Light interception, photosynthesis and allocation of carbon**

215 Light interception in BASGRA is modelled by Beer's law with a constant light extinction
216 coefficient operating on the leaf area index (LAI) (Höglind et al. 2001). However, in contrast to
217 LINGRA, the effect of snow cover on the availability of light is also taken into account. Thus,

218 when the ground is snow covered, a constant light extinction coefficient (KSNOW) for snow
219 operates in BASGRA, reducing the amount of light received by the plant canopy.

220 The rate of photosynthesis is modelled as the product of intercepted radiation and photosynthetic
221 light-use efficiency (LUEMXQ), which is a function of CO₂, temperature, light intensity and
222 Rubisco concentration of upper leaves (Rodriguez et al. 1999, Höglind et al. 2001). LUEMXQ
223 accounts for carbon lost to maintenance respiration, but not growth respiration. So the calculated
224 photosynthesis rate is gross photosynthesis minus maintenance respiration. LUEMXQ starts
225 decreasing linearly when temperature drops below one degree Celsius until it becomes zero at
226 minus four degrees according to observations made by Höglind et al. (2011). The latter is an
227 important improvement compared to LINGRA which overestimated photosynthesis at low
228 temperature (ibid.). Photosynthesis is also sensitive to drought and decreases with TRANRF.

229 BASGRA operates with five sinks: the processes of cold hardening, replenishment of the
230 reserves pool, leaf growth, stem growth, and root growth. Sink strengths are defined as the rate
231 at which these processes would proceed with no source limitation. The hardening process has top
232 priority, so its demand is met in full if source strength is large enough, irrespective of the four
233 other sinks. Root growth has lowest priority and depends on carbon unused by other sinks. The
234 strength priority between reserves on the one hand, and leaves and stems on the other hand
235 changes with day length. When day lengths are shorter than a cultivar-specific threshold,
236 reserves have higher priority than stems and leaves, with the opposite during the rest of the year.
237 Leaves and stems have equal priority so they receive carbon according to their sink strengths.
238 For comparison, in LINGRA leaves have top priority irrespective of season.

239 The sink strength associated with the growth of leaves is calculated as potential leaf area growth
240 (largely determined by temperature, but see also below) divided by specific leaf area (SLA). The
241 SLA of new leaf growth decreases linearly with reserve content. The sink strength associated
242 with the stem growth of elongating tillers decreases linearly with their biomass. The sink
243 strength related to growth of leaves and stems is also drought sensitive, decreasing linearly with
244 TRANRF. All these sink strength calculations are done in the same way as in LINGRA (Van
245 Oijen et al. 2005a). The sink strength associated with cold hardening, which is unique feature of
246 BASGRA, is expressed through the parameter KRESPHARD ($\text{g C g}^{-1} \text{C } ^\circ\text{C}^{-1}$) which is defined
247 as the amount of C reserves needed to decrease the frost tolerance temperature (LT50, see

248 below) per gram of foliage by one degree Celsius. The C reserves pool is defined as the carbon
249 fraction of the water soluble carbohydrates in the plant.

250 **2.4 Leaf area development**

251 BASGRA distinguishes two leaf categories; leaves on vegetative and generative tillers (Höglind
252 et al. 2001). Leaf appearance rate depends on temperature, at a constant phyllochron, but slows
253 down under drought, short day length, and when the sward becomes dominated by generative
254 tillers at an advanced phenological stage. Potential growth rate of leaf area is proportional to the
255 product of the tiller density, the number of elongating leaves per tiller, a constant leaf width and
256 a temperature-dependent leaf elongation rate. All four factors in that product differ between
257 vegetative and generative tillers, so the calculation is done separately for the two categories and
258 then summed to give the potential growth of the total leaf area on all tillers. Leaf elongation rates
259 increase linearly with temperature, based on relationships determined by Peacock (1976) and
260 observations at Saerheim in south-western Norway (Höglind et al. 2005). The effect of day
261 length on leaf elongation is governed by the intermediate variable DAYLGE, with short days
262 restricting leaf elongation equally on both tiller categories. The sink strength related to growth of
263 leaves and stems is also drought sensitive, decreasing linearly with TRANRF.

264 BASGRA contains one state variable, which represents the phenological stage of the elongating,
265 generative tillers: PHEN. The value of PHEN increases at a rate that depends on temperature and
266 day length (Höglind et al. 2013). PHEN is reset to zero after each cut. Advancing PHEN stage
267 leads to reductions in leaf appearance rate (RLEAF) and in the number of elongating leaves for
268 this tiller category to account for the terminal growth behaviour of the generative tiller where
269 leaf elongation stops after the flag leaf has developed fully (Höglind et al, 2005). In contrast to
270 the original LINGRA model, leaf appearance in BASGRA is insensitive to the content of C
271 reserves, based on a previous study (Van Oijen et al. 2005a).

272 **2.5 Tillering**

273 BASGRA distinguishes three tiller categories: (1) vegetative tillers, (2) non-elongating
274 generative tillers, and (3) elongating generative tillers. The non-elongating generative tiller
275 category, which is new compared to the forerunner LINGRA, was added to make full-year
276 simulations possible for genotypes that have dual requirement for generative development: first a
277 requirement of low temperatures (vernalization) and then a requirement for long days (Heide,

278 1994). In BASGRA, vegetative tillers are formed at a rate that is proportional to leaf appearance
279 rate, but site-filling (proportion of potential tiller sites that produce tillers) is reduced when LAI
280 is high or C reserve content is low. This is new compared to LINGRA, where site filling is only
281 linked to LAI (van Oijen et al. 2005a). Vegetative tillers then move to the generative tiller
282 category at a rate that has a temperature optimum and a day-length dependency with fewer
283 generative tillers being formed at short day lengths. The day length dependent fraction of
284 vegetative tillers that moves to the generative tiller category (DAYLGE) is calculated as follows:

$$285 \quad \text{DAYLGE} = (\text{DAYL}_t - \text{DAYLB}) / (\text{DLMXGE} - \text{DAYLB}); 0 \leq \text{DAYLGE} \leq 1 \quad (1)$$

286 where DAYL_t (d d^{-1}) is the fractional day length on day t , DAYLB (d d^{-1}) is the minimum day
287 length for vegetative tillers becoming generative, DLMXGE (d d^{-1}) is the minimum day length
288 for maximum generative tillering. The intermediate variable DAYLGE is also used for
289 calculating other day length dependent processes as described in other parts of the text.

290 Generative tillers move from the non-elongating to the elongating tillers category at a constant
291 daily rate as long as the day length is above the minimum day length required for this process
292 (Höglind et al. 2001). For Scandinavian timothy cultivars, this day length typically varies
293 between 14 and 18 h (Heide 1982). For genotypes with a vernalization requirement, this
294 transition from vegetative to non-elongating generative tillers only occurs after the vernalization
295 requirement has been fulfilled. In the current model version, the vernalization requirement is
296 simulated in a simplistic way using a threshold temperature. As soon as the temperature falls
297 below the threshold value, the vernalization requirement is considered fulfilled and vegetative
298 tillers start moving to the non-elongating generative tiller category. For genotypes without
299 vernalization requirement, the vernalization requirement in the model can be “bypassed” by
300 using a very high threshold temperature, e.g. 20 °C, allowing for generative tillers to be formed
301 already in the summer of the establishment year. Conversely, for cultivars with a vernalization
302 requirement, the formation of generative tillers may be inhibited until the winter in the
303 establishment year by using a low threshold, e.g. 0 °C. In timothy, many cultivars, including
304 Grindstad do not need to undergo vernalization to produce generative tillers. This is in contrast
305 to perennial ryegrass and many other grass species where most cultivars require vernalization to
306 initiate generative tillers.

307 **2.6 Senescence of leaves and tillers**

308 In BASGRA, the senescence rate of leaves and non-elongating vegetative and generative tillers
309 increases with LAI. Leaves, but not tillers, also die faster at higher soil surface temperatures.
310 Two other drivers of foliage death, frost and anaerobic conditions, are described in section 2.7.
311 The different processes leading to senescence are non-additive, i.e. the total senescence rate is
312 determined by the process for which the highest potential senescence rate is calculated on a
313 given day. The model does not simulate senescence of elongating tillers or roots. However,
314 cutting removes all elongating tillers, leaving a biomass fraction called stubble. This stubble dies
315 at constant relative rate during the first 1-2 weeks after cutting, allowing for remobilization of
316 the carbon reserves in the stubble and its reallocation to regrowing plant parts.

317 **2.7 Cold hardening and impact of frost and ice encasement**

318 Sensitivity to frost is measured by the state variable LT50, the "Lethal Temperature 50%", which
319 is the temperature that would kill half the leaves and non-elongating tillers if the sward was
320 subjected to a standardized freezing test (Höglind et al. 2010). The process whereby plants
321 reduce LT50, i.e. increase their level of frost tolerance, is called hardening. Dehardening
322 describes the loss of freezing tolerance due to triggering conditions, whereas rehardening
323 describes the regaining of freezing tolerance after a period of dehardening conditions (Kalberer
324 et al. 2006). The most important trigger of dehardening under field is mild weather conditions.
325 However, plants may also dehardening in response to other stress events like anoxia (Höglind et al.
326 2010). In BASGRA, dehardening is sensitive to temperature but not to anoxia.

327 BASGRA simulates LT50 by estimating rates of hardening (RATEH) and dehardening
328 (RATED). RATEH and RATED are simulated as dependent on the temperature at the soil surface
329 as in Thorsen and Höglind (2010). In short, hardening proceeds are fastest when LT50 is high
330 and temperatures low, and the opposite applies to dehardening. A function which describes the
331 relationship between RATEH and the content of C reserves was introduced in BASGRA
332 according to observations (Hanslin and Höglind, 2009). RATEH is treated as a potential
333 hardening rate that can only be fully realized when the content of C reserves (CRES) is above a
334 threshold value, below which RATEH is linearly reduced. Hardening is hampered when
335 carbohydrate reserves drop below 20% of biomass, decreasing linearly to zero when reserves
336 become fully depleted. This compares to observed reserve levels in early winter (December-
337 January) of 13 % to 28 % of biomass for timothy cultivar Grindstad grown in Norway. The
338 estimated LT50 value is then used to calculate a relative death rate due to low temperatures in

339 the field. The fraction of leaves and tillers that survive for one day (RSRDAY) at the soil surface
340 temperature T_{surf} is calculated using a truncated logistic curve:

341
342
$$RSRDAY = \max \{ 0.5, 1 / [1 + \exp(T_{surf} - LT50_t)] \} \quad (2)$$

343
344 where $LT50_t$ is the simulated LT50-value at time step t . The fraction of leaves and tillers that die
345 during one day due to frost, i.e. the relative death rate due to frost (RDRFROST) is thus

346
347
$$RDRFROST = 1 - RSRDAY \quad (3)$$

348
349 When a surface ice layer is simulated, it is assumed that the plants are encapsulated in ice. As a
350 result, anaerobic conditions are created with the accumulation of by-products from anaerobic
351 respiration to toxic levels (Andrews, 1996). This phenomenon, often referred to as ice-
352 encasement, is a major cause of winter kill in perennial grasslands in regions with cold winters
353 like Northern Eurasia and Canada (Gudleifsson and Larsen, 1993). We estimate the number of
354 days with anaerobic conditions (TANAER) as the accumulated number of days with continuous
355 ice cover, and the number of ice-encapsulation days required to kill 50% of the leaves and tillers
356 is termed LD50. The LD50-value was related to the LT50-value based on observations for two
357 timothy cultivars (Grindstad and Engmo) (Höglind et al. 2010) using linear regression:

358
359
$$LD50 = LDT50A + LDT50B * LT50 \quad (4)$$

360
361 Using the estimated LD50 value from Eq (4), the relative death rate due to the number of days of
362 ice encasement is derived as minus the normalized derivative of the curve for the fraction of
363 surviving plants: Relative death rate = $-(d f_{Surv} / dt) / f_{Surv}$. By describing the survival curve as
364 a logistic function of the number of ice-days (TANAER) with the inflection point LD50
365 calculated from Eq (4), the relative death rate due to ice encasement (RDRTOX) is estimated as:

366
367
$$RDRTOX = KRDRANAER / (1 + \exp[-KRDRANAER (TANAER - LD50)]) \quad (5)$$

368 where $KRDRANAER$ (d^{-1}) is the maximum relative death rate due to anaerobic conditions.

369 **2.8 Impact of cutting**

370 Most plant processes are interrupted during days when a cutting takes place. In BASGRA, the
 371 cutting removes all elongating tillers, but no non-elongating tillers. All leaf area associated with
 372 elongating tillers, and all leaf area associated with non-elongating tillers above a threshold is
 373 removed by the cutting, as is the associated biomass and carbon reserves. A fixed fraction of the
 374 stem biomass becomes stubble, and the stubble dies in a relatively short time allowing for
 375 remobilisation of carbon reserves as described in section on senescence (2.6).

376 **3 Model parameterisation**

377 BASGRA was parameterized by means of Bayesian calibration as described by Van Oijen and
 378 Höglind (2016). Here, we give a brief overview of the calibration procedure together with
 379 additional results to those presented by Van Oijen and Höglind with special focus on simulated
 380 results and observations for the winter season and the transitions between summer and winter.

381 **3.1. Data**

382 A dataset consisting of observations of the timothy cultivar Grindstad from experiments carried
 383 out on five different locations in Norway was used to calibrate the model (Table 1). Short
 384 descriptions of the different experiments are given below. Details on Exp. 1 can be found in
 385 Höglind et al. (2006), on Exp. 2 in Höglind et al. (2010) and on Exp. 4 in Höglind et al. (2005).
 386 Exp. 3 contains previously unpublished material (Sunde, 1996).

387 *Table 1. Sites with timothy experiments from which data were collected for calibration of the*
 388 *BASGRA model, and for which simulations were performed in the example of application.*

Location	Experiments	Latitude	Longitude	Elevation (m)	Climatic means, 1995-2012	
					Temperature ¹ (°C)	Precipitation (mm y ⁻¹)
Apelsvoll	3	60°70' N	10°87' E	255	4.8 (-6,6)	679
Fureneset	1	61°29' N	5°04' E	12	7.7 (1.9)	2280
Holt	1, 2	69°65' N	18°90' E	12	3.8 (-3.1)	966
Kvithamar	1, 2	63°49' N	10°88' E	28	6.0 (-2.8)	1007
Særheim	1, 2, 4	58°47' N	5°41' E	83	7.8 (1.0)	1430

389 ¹ Numbers without brackets show the mean annual temperature; numbers within brackets show the mean
 390 temperature for the winter months December to February.
 391

392 Exp. 1 was carried out at three locations: Fureneset, Holt, and Særheim (Table 1). The swards
393 were established in May (Fureneset, Særheim) or June 2005 (Holt). Shoot dry weight, leaf area
394 index (LAI), specific leaf area (SLA), tiller density, content of water soluble carbohydrates
395 (WSC), and frost tolerance (LT50) were determined on five occasions from November 2005 to
396 March 2006 at all three locations. In addition, tiller density and DM yield (total dry weight of
397 herbage above a stubble height of 5 cm) was determined in June 2006.

398
399 Exp. 2 was carried out at three locations: Holt, Kvithamar and Særheim (Table 1). The swards
400 were established in June 2005. From November 2006 to March 2007, on three occasions per
401 location, shoot biomass, tiller density, WSC, and LT50 were determined. In addition, tiller
402 density was determined at Særheim in June 2007. The swards were cut once (Holt) or twice in
403 the growing season 2006, and twice (Holt) or three times in the growing season 2007, and the
404 DM yield from each cut above a stubble of 5 cm was measured.

405
406 Exp. 3 was carried out at Apelsvoll (Table 1) in a sward established in May 1990. Sampling to
407 determine WSC and LT50 was carried out on 13 occasions between August 1990 and April
408 1991.

409
410 Exp. 4 was carried out at Særheim (Table 1). There were two fields. The first field was
411 established in 1999, with measurements taken in 2000. The other field was established in 2000,
412 with measurements taken in 2001 and 2002. Two cutting regimes were compared in each field.
413 From April to August each year, with sampling intervals of 7-14 days, shoot biomass, LAI, SLA,
414 tiller density, WSC, leaf appearance rate, number of elongating leaves per tiller, and leaf
415 elongation rate per actively growing leaf were determined.

416
417 All experimental locations were equipped with automatic weather stations, located within 500 m
418 from the experimental field. For the calibration of the model, daily weather data for the
419 individual sites, together with descriptions of the soils, were downloaded from the weather
420 database of Norwegian Institute for Agricultural and Environmental Research (present name
421 Norwegian Institute of Bioeconomy Research -NIBIO).

422

423 **3.2 Bayesian calibration**

424

425 Bayesian calibration of BASGRA consisted of three steps (Van Oijen et al. 2005b, 2013): (1)
426 defining the prior distribution for the model's parameters, (2) defining the likelihood function for
427 the model's parameters, (3) sampling from the 'posterior distribution' given by the normalised
428 product of prior and likelihood. The posterior distribution expresses how the data have reduced
429 our uncertainty about parameter values. Prior parameter ranges for individual parameters were
430 derived from earlier literature studies (Höglind et al. 2001; Van Oijen et al. 2005a; Thorsen et al.
431 2010) where available, whereas wide ranges of plausible values were assumed otherwise. All
432 plant parameters were treated as site-independent, whereas soil parameters were considered site-
433 specific.

434 The likelihood function quantified the probability, for any given parameter vector, of the
435 mismatch between the data and the model outputs induced by the parameter vector. The
436 measurement error terms in the likelihood function followed the conventional assumption of
437 independent Gaussians with variances that varied between variables and observations. The
438 sample from the posterior distribution was generated by means of Markov chain Monte Carlo
439 (MCMC) methods using the Metropolis algorithm (Metropolis et al. 1953; Van Oijen et al.
440 2005b). Chain length was 300,000 to ensure convergence for all parameters.

441 To quantify the mismatch between the data and the outputs from the calibrated model, we used
442 the Normalised Root Mean Square Error (NRMSE), which is the square root of the mean
443 squared difference between observations and outputs, divided by the mean of the observations.
444 The extent to which model output accounted for variation in the data was also quantified by
445 means of the square of Pearson's correlation coefficient (r^2).

446 **3.3 Calibration results**

447 The Bayesian calibration was carried out using data from five different sites on a total of ten
448 different variables. Table 2 and 3 gives an overview of the behaviour of BASGRA for biomass,
449 yield, C reserves, LAI, SLA, tiller density and LT50 when run with the MAP (*maximum a-*
450 *posteriori*) parameter estimates from the Bayesian calibration. Using this parameter vector,
451 nearly all variables were simulated to an overall NRMSE less than 0.5 (Table 2). In a third of the
452 site x experiment combinations, NRMSE was below 0.3 (Table 3), and median NMRSE for the
453 combined dataset was below 0.4 for nearly all variables (Table 2). For leaf appearance rate, leaf
454 elongation rate, and elongating leaf density, respectively, NMRSE was 0.46, 0.53 and 0.70.

455

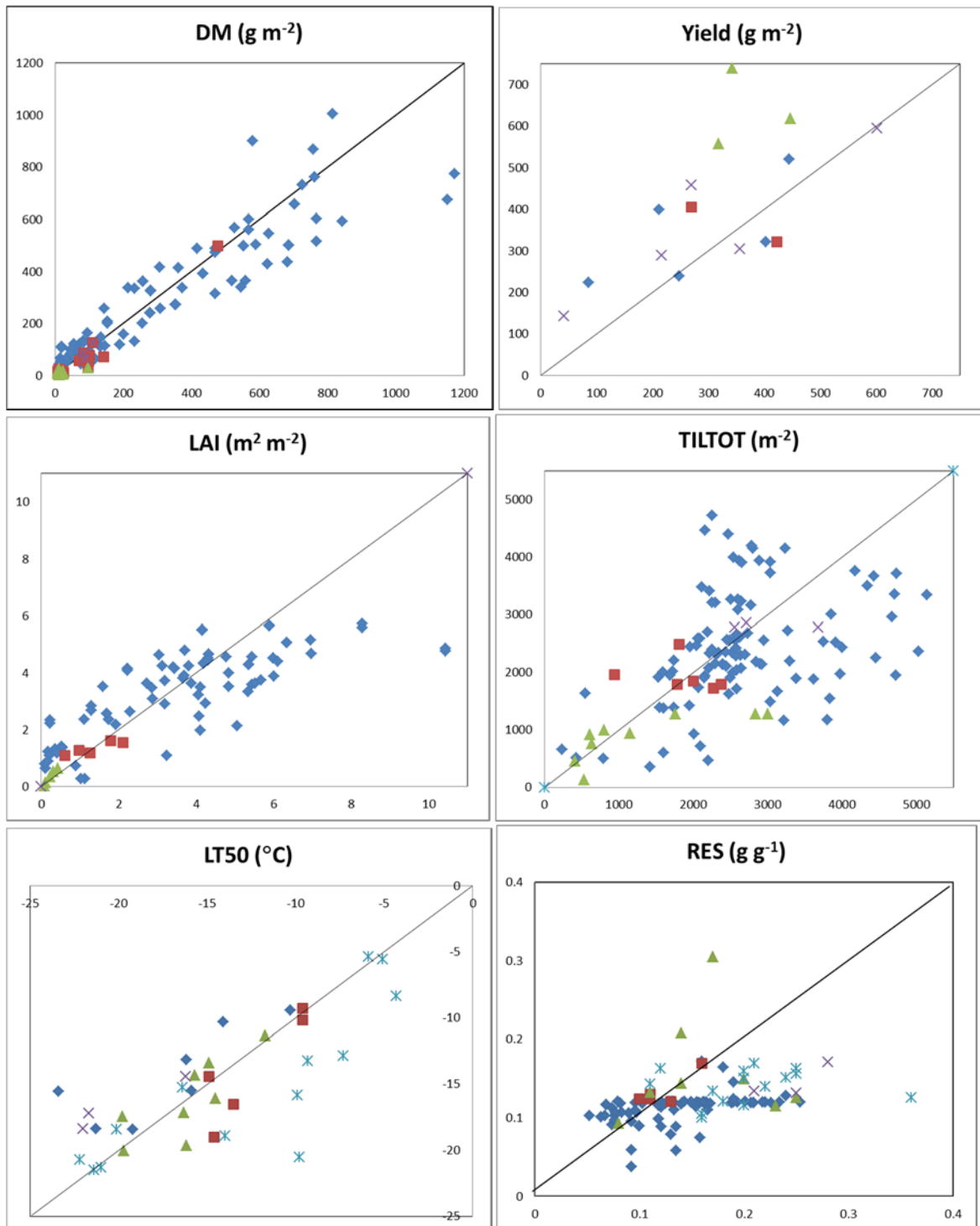
456 *Table 2. Normalised Root Mean Square Errors (NRMSE) and squared Pearson's correlation*
 457 *coefficients (r^2) for the mismatch between simulations and the data when running BASGRA with*
 458 *the maximum a posteriori (MAP) parameter estimates from the Bayesian calibration.*

	Mean of data for all sites	Mean of simulations for all sites	Mean NRMSE for the total dataset	Median NRMSE for the total dataset	r^2 for the total dataset
Aboveground DM (g m^{-2})	309	282	0.39	0.37	0.93
Reserves (g g^{-1})	0.15	0.12	0.40	0.37	0.38
DM yield (g m^{-2})	312	409	0.51	0.38	0.64
LAI ($\text{m}^{-2} \text{m}^{-2}$)	3.3	3.1	0.44	0.46	0.81
SLA ($\text{m}^{-2} \text{g}^{-1}$)	0.026	0.028	0.27	0.35	0.27
Tiller density (m^{-2})	2542	2307	0.41	0.34	0.48
LT50 ($^{\circ}\text{C}$)	-14.9	-15.2	0.23	0.19	0.75

459 *Table 3. NRMSE for the mismatch between simulations and data when running BASGRA with the MAP*
 460 *parameter estimates from the Bayesian calibration split on individual experimental sites and experiments.*
 461 *Abbreviations in column headers refer to site names and experiment numbers listed in Table 1 (ex. Ap1 =*
 462 *Apelsvoll Exp. 1). Sa4 denotes the combined data from the two experimental seasons and two harvest*
 463 *regimes of Exp. 4.*

		Ap3	Fu1	Ho1	Ho2	Kv2	Sa1	Sa2	Sa4
Biomass (g m^{-2})	Mean of data	-	162	27	24	92	24	52	384
	NRMSE	-	0.23	1.14	0.59	0.19	1.21	0.81	0.36
Reserves (g g^{-1})	Mean of data	0.20	0.12	0.16	0.18	0.15	0.17	0.20	0.13
	NRMSE	0.43	0.13	0.54	0.44	0.71	0.26	0.37	0.37
Yield (g m^{-2})	Mean of data	-	346	-	638	297	-	278	-
	NRMSE	-	0.34	-	0.45	0.35	-	0.41	-
LAI ($\text{m}^2 \text{m}^{-2}$)	Mean of data	-	1.3	0.2	-	-	0.3	-	3.8
	NRMSE	-	0.27	0.65	-	-	2.67	-	0.41
SLA ($\text{m}^2 \text{g}^{-1}$)	Mean of data	-	0.029	0.033	-	-	0.020	-	0.026
	NRMSE	-	0.31	0.32	-	-	0.23	-	0.26
Tiller density (m^{-2})	Mean of data	-	1866	694	1282	2987	1685	2385	2755
	NRMSE	-	0.32	0.34	1.06	0.18	0.22	0.52	0.40
LT50 ($^{\circ}\text{C}$)	Mean of data	-12.8	-12.4	-14.9	-16.9	-20.0	-17.7	-14.7	-
	NRMSE	0.33	0.19	0.12	0.10	0.18	0.23	0.17	-

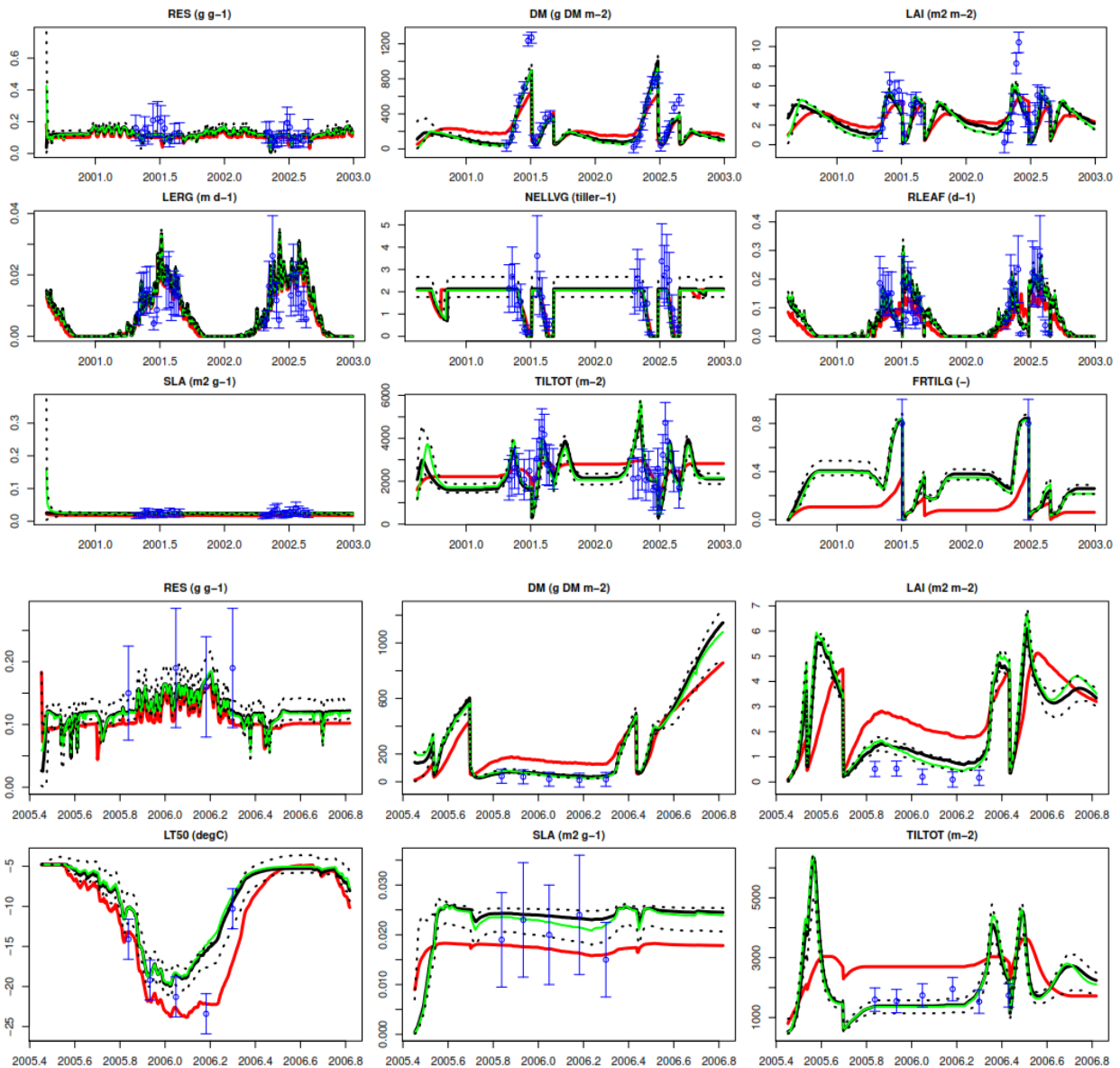
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467

468 *Figure 1. Collective scatterplots of measured (x-axis) versus simulated (y-axis) dry matter (DM), yield*
 469 *(Yield), leaf area index (LAI), tiller density (TILTOT), frost tolerance (LT50) and reserve content (RES)*
 470 *for timothy when running BASGRA with the MAP parameter estimates from the Bayesian calibration.*
 471 *Blue diamonds: Særheim; blue x-signs: Fureneset; light blue stars: Apelsvoll; green triangles: Holt; red*
 472 *squares: Kvithamar.*



473

474

475 *Figure 2. Results of Bayesian calibration, showing prior and posterior time series with a) the*
 476 *observations of the late cutting regime of Exp 4 carried out at Særheim in 2001-2002 (the nine uppermost*
 477 *graphs; this experiment included summer observations only), and b) all the observations of Exp 3 carried*
 478 *out at Særheim in 2005-2006 (lowermost six graphs; this experiment included winter observations only).*
 479 *Blue: observations ± standard deviation (SD). Red: model outputs for the mode of the prior distribution.*
 480 *Black: model outputs for the posterior mode ± SD: Green: model outputs for the parameter vector with*
 481 *highest likelihood. RES: reserve content, DM: dry matter, LAI: leaf area index, LERG: leaf elongation*
 482 *rate, NELLVG: number of elongating leaves per tiller, RLEAF: leaf appearance rate, SLA: specific leaf*
 483 *area, TILTOT: tiller density, FRTLIG: fraction of tillers that is generative, LT50: frost tolerance.*

484 Based on NRMSE, the model performed best for the variables LT50 followed by SLA and
 485 biomass, whereas in terms of r^2 it performed best for biomass followed by LAI and LT50 (Table

486 2). The scatterplots of measured and simulated values presented in Fig. 1 indicate a tendency to
487 underestimate high biomass and LAI values. The simulated biomass yield was higher than the
488 observed biomass yield for most of the observations. The scatterplots for tiller density and
489 reserves show more extreme deviations between simulations and observations compared with
490 those for biomass, yield, LAI and LT50.

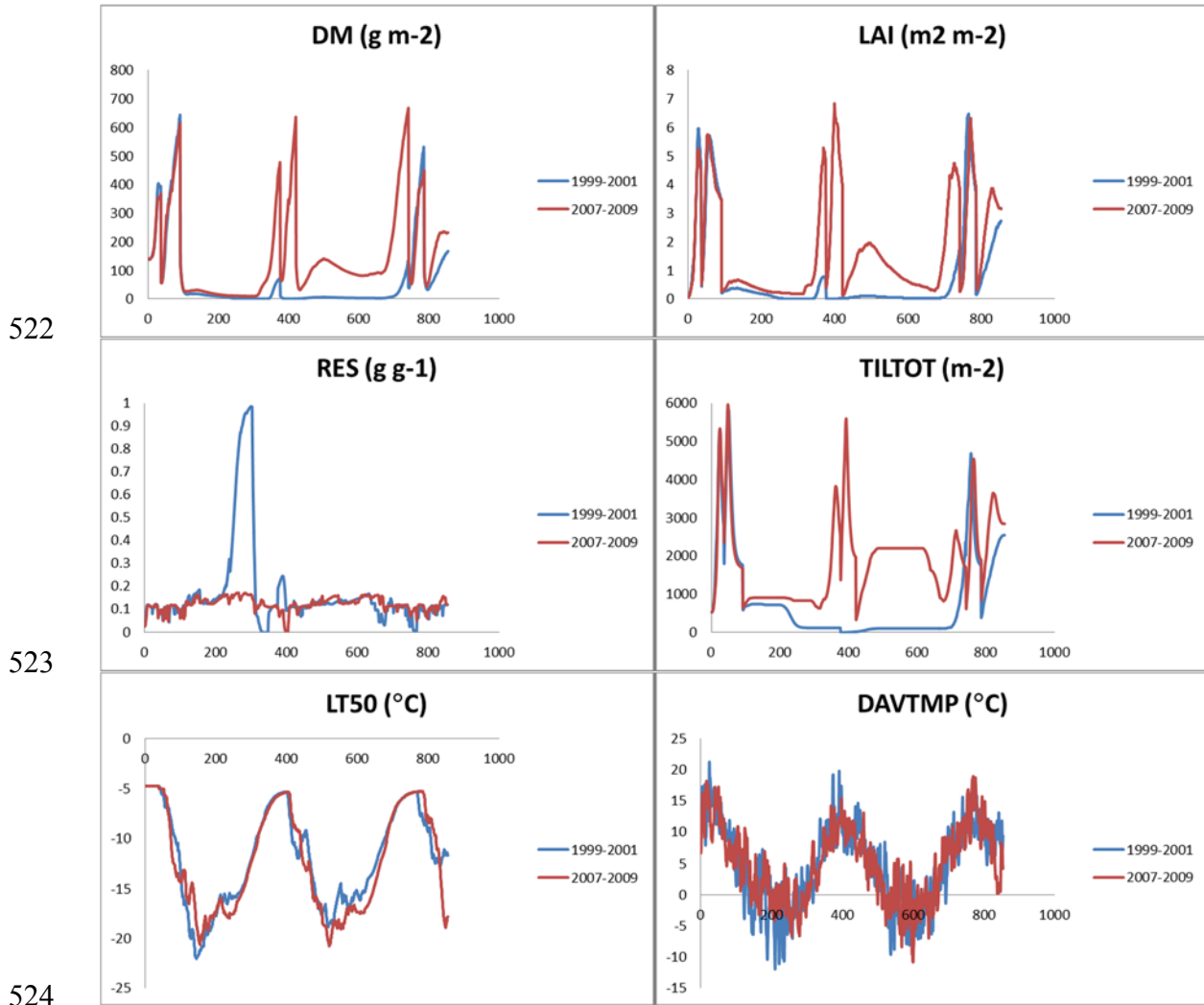
491 Fig. 2 shows an example of the impact of the Bayesian calibration on the model behaviour for
492 two experiments at the most data rich-site, Særheim. The temporal dynamics of the ten variables
493 were in general well captured. This applies both for the summer and winter data. Corresponding
494 graphs for the remaining experiments are presented as Supplementary material.

495 **4 Model application: time courses of growth and underlying** 496 **processes at two contrasting sites in Norway**

497 We now show an example of application of BASGRA for the simulation of multiple consecutive
498 years of timothy grass growth and underlying processes. This section is intended to highlight the
499 capacity of the model to study overwintering processes and their linkages with preceding and
500 following growing seasons. Simulations were performed for two sites in Norway, Holt and
501 Særheim (Table 1). The northern location Holt is characterized by relatively harsh, unstable
502 winter conditions, whereas Særheim generally has milder winter conditions (Table 1). At each
503 site, simulations were performed for six three-year long grass rotations for the period 1995 to
504 2012. Two harvests per year were simulated, with harvest dates adjusted to local climate
505 conditions (Höglind et al. 2013). BASGRA was re-initialized in the beginning of each growth
506 cycle using the MAP values from the Bayesian calibration.

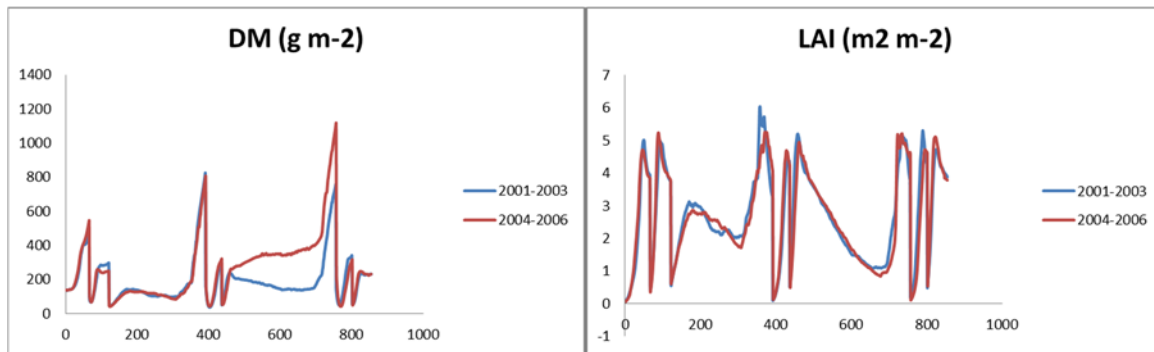
507 The 3-year cycles with the highest and lowest total dry matter yield for each site are shown in
508 Fig. 3. According to agricultural insurance pay-out statistics, perennial grasslands survive most
509 winters well in the region where Særheim is located (Rogaland county), whereas severe winter
510 injury occurs every three to four years in the region where Holt is located (Troms county;
511 Landbruksdirektoratet, <https://www.slf.dep.no/no>). The difference in winter conditions between
512 the locations is also reflected in the simulation results. At Holt the winter survival varied
513 considerable between years, and growth cycles. Notably a severe winter kill was simulated in the
514 first winter of the 1999-2001 growth cycle, resulting in very poor total yield. This contrasts to
515 Særheim, for which only minor differences in survival and yield between the growth cycles were

516 simulated. Further examination of the results reveal that anoxic conditions due to a long period
 517 of ice encasement was the major cause of winter kill in the poor 3-year cycle at Holt. Figure 4a
 518 shows that one single event of prolonged anoxia and virtually no frost stress was simulated
 519 during the poor 3-year cycle at Holt. This contrast to the corresponding 3-year cycle at Særheim
 520 where virtually no winter stress of any type was simulated (Fig. 4b) although some very light
 521 frost stress is revealed if the graph is scaled up (not shown).

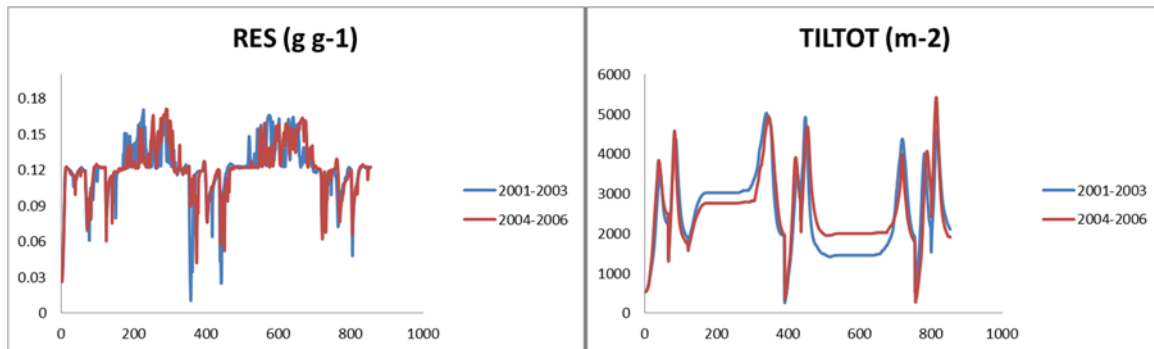


525 *Figure 3a. Simulation results for timothy grown at Holt in the 3-year cycle with the highest (2007-2009)*
 526 *and lowest (1999-2001) total dry matter yield within the period 1995-2012. The x-axis shows the number*
 527 *of days since the start of simulation at sward establishment (19 June).*
 528

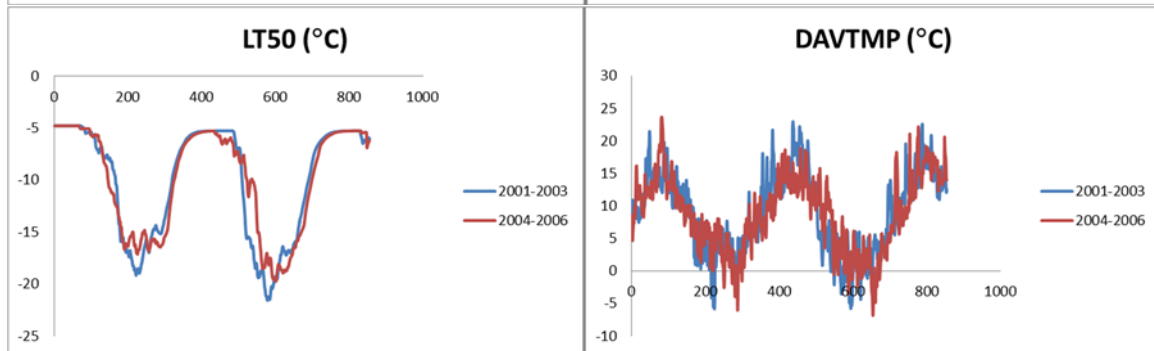
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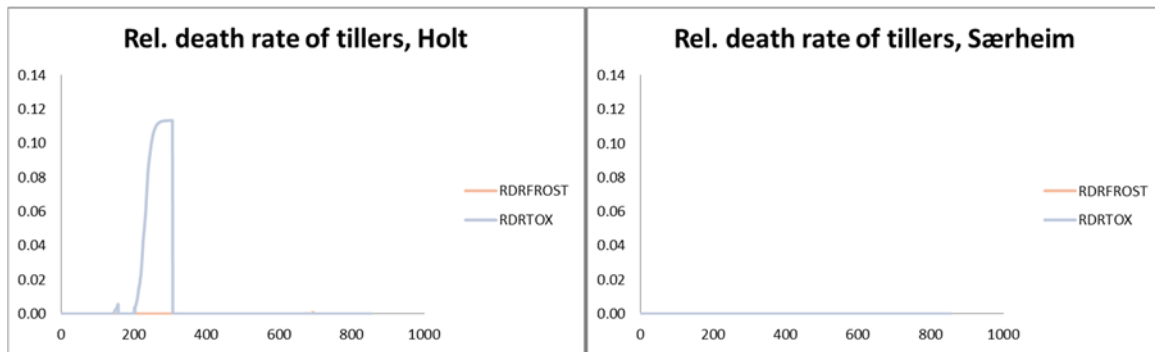


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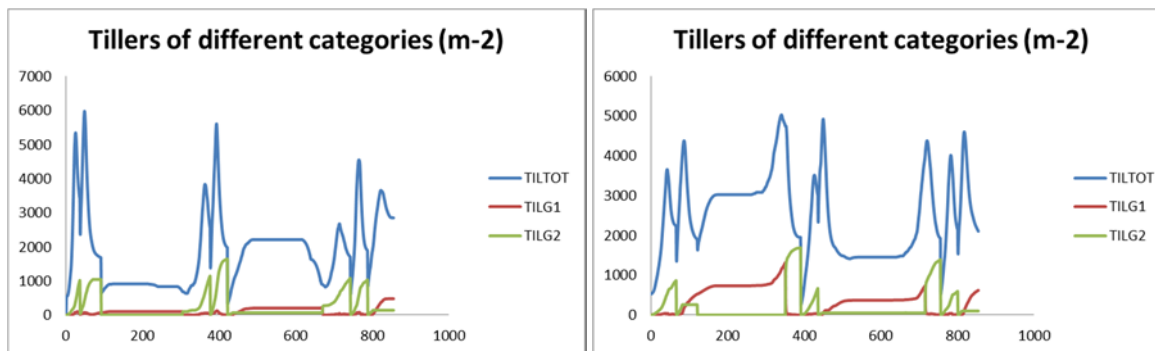
532 *Figure 3b. Simulation results for timothy grown at Særheim in the 3-year cycle with the highest (2004-*
 533 *2006) and lowest (2001-2003) total dry matter yield within the period 1995-2012. The x-axis shows the*
 534 *number of days since the start of simulation at sward establishment (20 May).*

535 A new feature of BASGRA compared with LINGRA is the additional tiller fraction representing
 536 generative, non-elongating tillers. The contribution of the different tiller categories to the total
 537 tiller population and the relationship between them is exemplified in Fig. 5. At Holt, tillers
 538 mainly overwinter in the vegetative stage and the transition from vegetative to non-elongating
 539 generative tillers and further on to elongating tillers in spring is very quick. This contrasts to
 540 Særheim where both vegetative and non-elongating tillers survive, and where the transition
 541 between the non-elongating and elongating generative tillers starts earlier and takes more time,
 542 reflecting the more gradual increase in temperature and day-length at the southern location, as
 543 observed in controlled experiment with different temperature and day lengths (Heide 1982)



544

545 *Figure 4. Simulated relative death rates of tillers due to frost (RDRFROST) and ice encasement*
 546 *(RDRTOX) stress at Holt 1999-2001 (left) and Særheim 2001-2003 (right). The x-axis shows the number*
 547 *of days since the start of simulation at sward establishment (19 June and 20 May, respectively).*

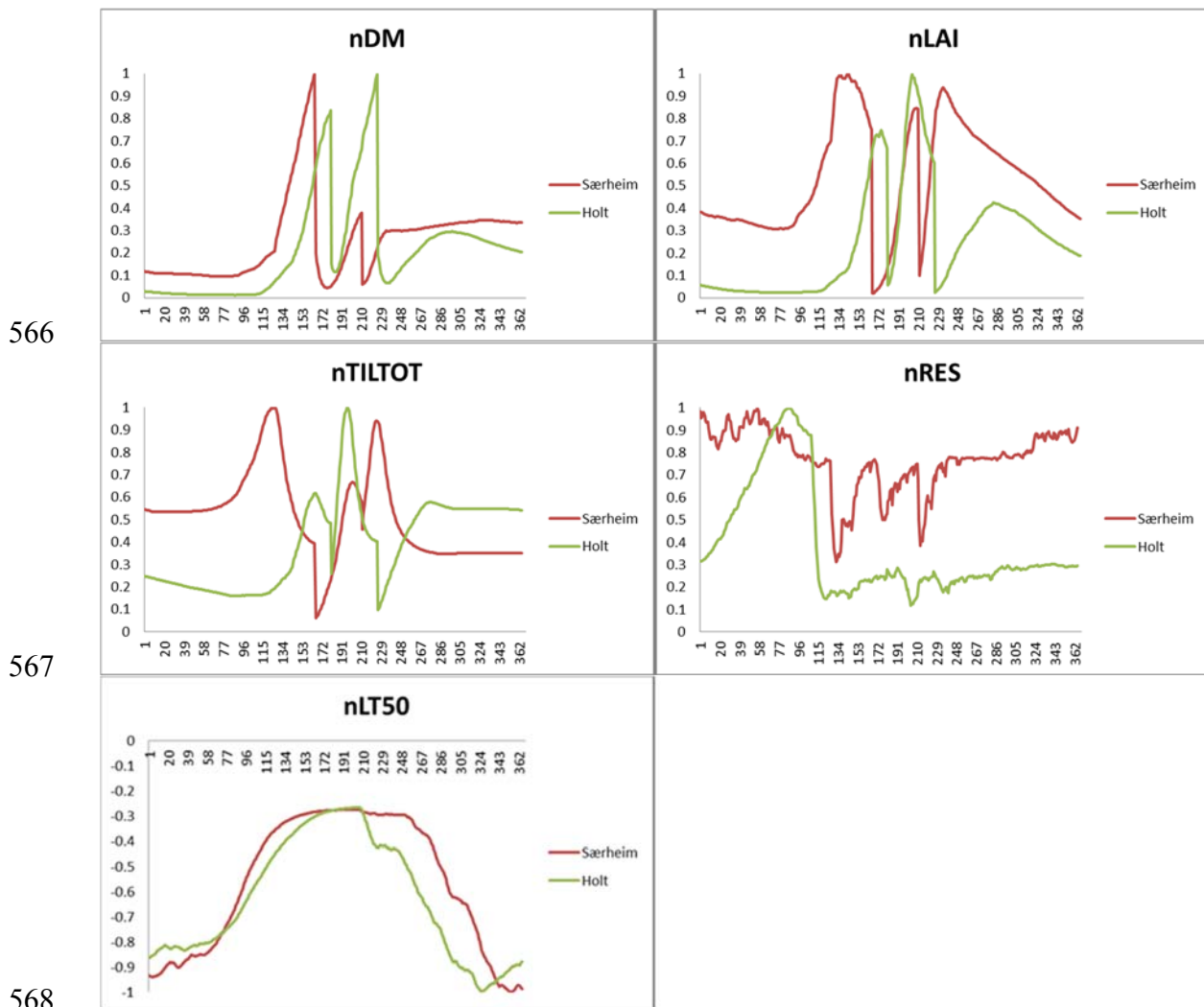


548

549 *Figure 5. Simulated density of non-elongating (TILG1) and elongating (TILG2) generative tillers and*
 550 *total tillers (TILTOT) at Holt 2007-2009 (left) and Særheim 2004-2006 (right). The x-axis shows the*
 551 *number of days since the start of simulation at sward establishment (19 June and 20 May, respectively).*

552 Fig. 6 presents normalized time courses for the average middle year of the six 3-year cycles that
 553 were simulated. These graphs illustrate the difference in growing conditions between the two
 554 sites, such as the longer growth season at Særheim, with earlier accumulation of biomass, leaf
 555 area development and tiller production and later cessation of these processes in autumn
 556 compared with Holt. These differences in spring and autumn growth between the two sites can,
 557 to a large extent, be explained by the differences in temperature and day-length conditions. Cold
 558 hardening is also controlled by temperature, as expressed in the faster development of simulated
 559 frost tolerance at the colder site compared with the warmer site Særheim. The faster simulated
 560 loss of frost tolerance (dehardening) in spring at Holt may at first look surprising given the lower
 561 mean winter temperature at this site. However, this site experienced repeated freeze/thaw events
 562 that, according to the simulation, lead to ice encasement and loss of C reserves in several of the
 563 winters, reducing the rehardening capacity of the sward more than at Særheim where C reserves

564 were better maintained, as also observed in experiments at the two sites (Höglind et al. 2006,
565 2010).



569 *Figure 6. Normalized dry matter (nDM), leaf area index (nLAI), tiller density (nTILTOT), reserve content*
570 *(nRES) and frost tolerance (nLT50) for the average second year of all the 3-year growth cycles that were*
571 *simulated for timothy at locations Særheim and Holt (1995-1997, 1998-2000, 2001-2003, 2004-2006,*
572 *2007-2009, 2010-2012). All variables are expressed relative to the maximum value across the six cycles.*
573 *The x-axis shows the day number of the year.*

574 **5 Discussion and outlook**

575 **5.1 Unique features of BASGRA**

576 The BASGRA model is unique in several ways. Most important, it is the first process-based
577 model for temperate grasslands that is able to simulate grass growth and survival for time series

578 of full, consecutive years taking into account the ability of the plant to cold acclimate, and the
579 effect of cold winter conditions on plant growth and survival. This was made possible by
580 incorporating algorithms representing plant processes and control mechanisms which are usually
581 not represented in grassland models. While any process-based model is a simplification of reality
582 as it only includes the driving variables and physiological mechanisms that are considered the
583 most important for the intended use of the model, it is important that it is based on current
584 ecophysiological and agronomical knowledge. In the following, we discuss some of the central
585 features of BASGRA in relation to current knowledge.

586 A novel important feature of BASGRA is the simulation of cold hardening as a function of
587 temperature (Gay and Eagles, 1991; Fowler et al. 1999), availability of reserves (Hanslin and
588 Höglind, 2009), and time (Rapacz et al. 2014). For winter wheat, it has been shown that the
589 ability to reharden after a mild episode is closely linked to the development stage of the tillers,
590 with a reduction of the ability to reharden after the vernalization requirement has been fulfilled
591 (Mahfoozi et al. 2001). In BASGRA, the ability to reharden is linearly decreased with time
592 between mid-winter and spring. A more complex modelling approach including a link between
593 vernalization and rehardening capacity did not give better simulations than the simpler approach
594 used in the present model (Thorsen and Höglind, 2010). This fits well with recent observations
595 indicating that vernalization and rehardening ability may not be as closely linked in forage
596 grasses as in wheat (Seppänen et al. 2010; Rapacz et al. 2014). However, more research is
597 needed before conclusions can be drawn on how rehardening capacity should ideally be
598 modelled. There may be large but still unknown genetic variation in vernalization requirement
599 and links between vernalization and rehardening capacity between timothy cultivars.

600 Short day length may stimulate hardening further under low temperatures as long as there is
601 enough light for this energy demanding process (Rapacz et al. 2014). In BASGRA, we have
602 incorporated an indirect effect of day length on cold hardening via the dependency of cold
603 hardening on the content of carbohydrates, and the changed priority order for assimilates from
604 growth to carbohydrate storage at short day lengths. There is also a direct control of short day
605 length on leaf elongation in BASGRA, leading indirectly to a reduced demand of carbon for
606 growth at short day lengths. The data presented in this paper suggest that this approach works
607 well. However, it should be noted that there are still many unresolved questions on how growth
608 cessation in forage grasses is controlled, with multiple interacting factors including day length,

609 temperature and genotype (Rapacz et al. 2014). When more knowledge becomes available, it
610 will be possible to develop BASGRA further with respect to these processes and their controls.

611 Ice encasement tolerance in BASGRA is calculated using a simple approach where it is linearly
612 related to frost tolerance, although these two tolerances do not share the same mechanisms
613 (Höglind et al. 2010). A simple approach is also used for calculating ice encasement related
614 mortality, where ice encasement exposure is purely a function of time. A more mechanistic
615 approach could include an estimation of the accumulation of toxic compounds from anaerobic
616 respiration in the plants (Bertrand et al. 2003) as a function of the availability of carbon reserves
617 in the plants, the respiration rate of the encapsulated plant and soil biota, the volume of the ice
618 encapsulated air space, and the rate of gas exchange with the surrounding atmosphere in case the
619 ice is not fully impermeable (Andrews, 1996).

620 **5.2 Calibration results**

621 For the calibration we used detailed data on the growth and development of timothy grass swards
622 and individual plants from five different locations in Norway, with up to five years of
623 observations per site from either the summer or winter season or a combination of both seasons.
624 The sites and years represent a wide range of climate and weather conditions as well as other
625 environmental conditions like day length (latitudes from 59° to 70° N) and soil types (sandy to
626 silty loams/clay loams). The total dataset included 11 variables, including biomass, LAI, tiller
627 density, WSC content and LT50, with up to eight variables per site. The results of the calibration
628 show that a single parameter vector, the MAP, suffices to simulate nearly all measured variables
629 to a NRMSE of less than 0.5. This is in the same order of magnitude as the coefficient of
630 variation of the data. For about a third of the site x experiment combinations, NRMSE was
631 below 0.3, and median NMRSE for the combined dataset was below 0.4 for most variables.

632 The dataset from Exp. 4 was also used in a previous study (Van Oijen et al. 2005a) where the
633 LINGRA model was evaluated. As LINGRA is the predecessor of BASGRA, it is interesting to
634 note that the NMRSE and r^2 values obtained here for BASGRA using the data from Exp. 4, are
635 at least as good as those obtained for LINGRA when only the summer season was taken into
636 account. For many variables including biomass, BASGRA gave slightly lower NMRSE and
637 higher r^2 values than LINGRA. This means that the changes introduced to turn the summer
638 model LINGRA into the full-year model BASGRA, are a step forward not only in allowing for

639 full-year simulations including winter processes, but also in simulating summer processes with
640 increased precision.

641 The plots of simulated versus observed data presented in Figures 2 confirm that biomass, an
642 output variable that is in many cases the one that is considered the most important, is simulated
643 with good accuracy. However, harvested yield is generally overestimated. However, as biomass
644 in general is satisfactorily simulated, this indicates that the harvested fraction of the aboveground
645 biomass is systematically overestimated rather than dissatisfactory simulation of biomass. LAI
646 and LT50 are also simulated with relatively good accuracy. Further, the temporal dynamics of
647 these variables, as well as of several other variables were captured in a satisfactory way by the
648 model, as evident from the time courses of simulated versus observed data presented in Figure 3
649 (Særheim x 2) and as Supplementary material (remaining experiments).

650 However, some variables, notably tiller density and reserve content are simulated with less
651 accuracy, calling for model improvements with respect to the underlying processes influencing
652 those variables. Such work would require more data, preferably from experiments with detailed
653 measurements carried out throughout full life-cycles of three to four years, including
654 observations in winter as well as summer on at least the 5-6 most central variables studied here.
655 Currently, we have no clear answer to how to improve BASGRA with respect to simulation of
656 tiller density and C reserves. The strong dependency of tillering on C reserves, and the mutual
657 dependency of C reserves on the regrowth of tillers with photosynthetic tissue after a cut or
658 stressful winter indicate that if the simulation of the processes governing one of these variables
659 could be improved, the simulation of the processes governing the other might also be improved.
660 Tiller density and C reserves are both sensitive to nitrogen availability (Höglind et al. 2001). The
661 experiments from which the data used to calibrate our model were obtained had all received
662 relatively high levels of nitrogen fertilizer, and we assumed non-nitrogen limiting growth
663 conditions for all experiments. However, it cannot be excluded that nitrogen limited growth
664 occurred occasionally in one or more of the experiment, for example in connection with dry soil
665 conditions, which would affect tillering and C reserves in ways not accounted for in the model.

666 Taken together, the results of the comparison of simulations and observations may suggest that
667 the BASGRA model is fairly robust, with an ability to simulate both the growth of the grass
668 sward and important underlying processes with acceptable accuracy for a wide range of
669 agroclimatic conditions without the need for site-specific parameterization, at least not for the
670 range of geoclimatic variation considered here which included a wide latitudinal range.

671 However, we need to test the robustness of the model further by comparing the behaviour of
672 BASGRA for sites in Norway with its behaviour for sites in other countries. Such work is
673 underway for sites in Canada and Finland (Korhonen et al. in prep.).

674 **5.3 Further validation**

675 Parts of the BASGRA model have been validated before. The LINGRA model, parameterized
676 for the same timothy cultivar as was used in the present study, i.e. Grindstad, was evaluated with
677 respect to simulation of timothy dry matter yields over a wide range of agroclimatic conditions
678 represented by seven locations in Iceland, Norway, Sweden and Finland (Persson et al. 2014).
679 The frost tolerance model, parameterized for the Norwegian timothy cultivar Engmo, was
680 validated with respect to simulation of LT50 using data from four Norwegian locations (Thorsen
681 and Höglind, 2010). Finally, the SnowFrostIce model was validated for simulation of snow, ice
682 cover and frost depth using independent observations from five Norwegian sites (Thorsen et al.
683 2010). A slightly modified version of SnowFrostIce was also validated with satisfactory results
684 with respect to simulation of snow cover dynamics in Canada (Jégo et al. 2014).

685 We used all the detailed data on Grindstad timothy growth and development during summer and
686 winter seasons for the calibration that were available for this study, not leaving any independent
687 data for validation. This choice was made to get a more robust calibration than what a smaller
688 dataset would have made possible, the overall aim being to develop a model that would not need
689 site-specific calibration for plant parameters. However, even though the simulations with the
690 calibrated model described the calibration dataset with acceptable accuracy, a thorough
691 validation of BASGRA against independent data should be performed when such data become
692 available. There is specifically a need to validate the model for simulation of multiple
693 consecutive years with variable overwintering conditions.

694 A possible approach for validation of BASGRA with respect to simulation of winter survival and
695 subsequent growth under different climatic conditions would be to, as a starting point, use the
696 same dataset that was used in the validation of the LINGRA model for summer growth (Persson
697 et al. 2014). In the cited study, LINGRA was run for single summer seasons and locations using
698 a common set of standard initial values for biomass, tiller density and LAI representing typical
699 sward conditions in spring, with re-initialization of the model each spring. The model was
700 evaluated by comparing observed and simulated dry matter yields from two to three harvests per
701 year. To validate BASGRA, the model should not only be run for single growing seasons as in

702 the cited study, but also continuously from establishment throughout the lifetime of the sward
703 (generally three seasons) including winters. A rough test would be whether consecutive full-year
704 simulations will give lower mismatch between observed and simulated yields compared to re-
705 initializing the model each spring using standard initial values not accounting for possible
706 differences in winter survival between locations and years.

707 **5.4 Scope for application**

708 A full year grassland model like BASGRA that takes into account cold hardening and the effect
709 of winter conditions on plant growth and winter survival, can be applied in many types of
710 studies, addressing various types of questions. In a recent paper, we discuss the possibility to use
711 BASGRA for designing grass ideotypes for current climate conditions (Van Oijen and Höglind,
712 2016). This approach could also be applied to conditions of climate change. A number of climate
713 change impact studies have been carried out using grassland models focusing on summer growth
714 processes (Riedo et al. 1999; Höglind et al. 2013; Persson and Höglind, 2014; Jing et al. 2014).
715 A natural extension of these studies would be to include the effect of the expected climate
716 change on the winter survival of the grass sward and subsequent effects on yield.

717 BASGRA also has a great potential as a tool for tactical planning in relation to winter survival.
718 Forecasts of winter injury could for example help seed suppliers to make sure that enough seed
719 is available for reseeding in years with severe winter injury. In such years, seeds are commonly
720 imported from regions with less winter injury. The sooner the seed supplier can have the right
721 seed available in spring, the sooner the farmer can reseed, thus minimizing the non-productive
722 time and increasing the yield potential of the sward. The example application presented in
723 section 4 suggests that BASGRA may be suitable for such planning.

724 Finally, we note that BASGRA can be applied to other grassland species than timothy. This may
725 include species such as perennial ryegrass (*Lolium perenne* L.) that are sown at greater density
726 and have less winter tolerance and greater vernalization requirement than timothy. This would
727 require changes in model initialisation and calibration but no changes in model structure.

728 **5.5 Further development**

729 BASGRA takes into account the effects of frost, snow and ice conditions on grass growth,
730 development and winter survival. Frost and ice related winter injuries are especially common in

731 high-latitude regions with coastal types of climate conditions typically characterized by variable
732 snow conditions and relatively frequent episodes of freezing and thawing (Larsen, 1994). Such
733 conditions are for example common along large parts of the Norwegian coast, where some of the
734 most productive grasslands in this country are located. Frost and ice may also cause severe
735 winter injury in inland areas with more stable snow conditions, especially in atypical years with
736 less stable snow conditions. However, in inland regions, especially those which experience long
737 and stable snow cover, fungal diseases are often a more common cause of winter injury than
738 frost and ice encasement (Larsen, 1994).

739 Given the importance of fungal diseases for winter survival in certain agricultural regions, a
740 natural further development of BASGRA would be to include functions for simulating the
741 development of snow mould fungi on the plant, and the plants' response to snow mould
742 infection. The strong interaction that exists between host and parasite for disease development
743 and level of winter injury makes this a challenging task (Raspacz et al. 2014). However, the
744 ability of BASGRA to simulate frost tolerance and snow cover is a good starting point as: (1)
745 resistance to snow mould fungi and frost tolerance are often highly correlated (although frost
746 tolerance and disease resistance may have different mechanisms) (Tronsmo, 1984), and (2) snow
747 condition is an important factor controlling disease development (Matsumoto, 2009).

748 **5.6 Conclusions and outlook**

749 With BASGRA, we have taken an important step toward full-year simulations of timothy yield
750 that take into account the major winter stress factors contributing to yield variability in
751 Scandinavia and regions with similar conditions. The current version of BASGRA allows for
752 simulation of cold hardening and dehardening and the effect of cold temperatures, snow and ice
753 conditions on survival and yield. However, the effect of fungal diseases on winter survival is not
754 yet accounted for, which would be needed to fully capture winter stress related yield variability
755 in these regions. The comparison of simulations and observations for the 11 different variables in
756 the calibration dataset indicate that BASGRA is a reasonably robust model with which the
757 growth and important underlying processes in timothy swards can be simulated with acceptable
758 accuracy for a fairly wide range of agroclimatic conditions. However, the robustness of the
759 model needs to be tested further using independent data from different agroclimatic conditions
760 and grass management regimes. BASGRA can also be parameterized for other species, and we
761 are currently collecting calibration data for perennial ryegrass. Further work will include
762 improvement of the model with respect to simulation of tillers and C reserves, incorporation of

763 N-dependent growth functions, and validation against independent data for the conditions for
764 which it will be used.

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