

Neoceratium growth and survival under simulated nutrient-depleted conditions

D. Aldridge^{1,2}; D.A. Purdie¹; A.P. Martin²; M.V. Zubkov²

[1] University of Southampton, National Oceanography Centre, Southampton, European Way, Southampton, SO14 3ZH, United Kingdom

[2] Ocean Biogeochemistry and Ecosystems Research Group, National Oceanography Centre, Southampton SO14 3ZH, United Kingdom

ABSTRACT

The dinoflagellate *Neoceratium* is common in oligotrophic regions of the oceans, where nutrient concentrations are often extremely low. Using culture isolates, we investigated whether two *Neoceratium* species (*N. hexacanthum* and *N. candelabrum*) can grow autotrophically at low nutrient concentrations found in surface waters of oligotrophic subtropical gyres. No autotrophic growth (indicated by changes in cell numbers, the presence of dividing cells, or cellular protein increase) was observed when *N. hexacanthum* and *N. candelabrum* were grown in low nutrient seawater. In separate experiments, to determine survival time under oligotrophic nutrient conditions, 68 % of *N. hexacanthum* cells were able to re-establish growth after spending 1-10 days in North Atlantic gyre seawater; 40 % recovered after 11-20 days and only 3 % recovered after 21-30 days. The longest period any single cell survived, and then went on to divide, was 26 days. These findings demonstrate that *Neoceratium* cells could remain viable for >3 weeks in surface waters of oligotrophic subtropical gyres, but to sustain growth nutrients must be obtained periodically from an alternative source: possibly via phagotrophy, vertical migration, or a combination of the two.

KEYWORDS: Dinoflagellates; *Neoceratium*; Subtropical oligotrophic gyres

INTRODUCTION

In oligotrophic subtropical gyres (OSGs), globally important ecosystems that cover over 30% of the surface of the Earth, primary productivity is limited by low standing stocks of nutrients, usually nitrogen (Moore et al., 2013). The low nutrient concentrations found here are predominantly sustained via microbial regeneration in the surface mixed layer, rather than by inputs from below the nutricline (Karl 2002). Organisms that have low sinking velocities and high nutrient uptake rates are, therefore, ideally positioned to thrive (Fuhrman et al., 1989; Zubkov et al., 2007), which explains why these environments are typically dominated by prokaryotes and small eukaryotic algae (Cho and Azam, 1988; Zubkov et al., 2000; Zubkov and Tarran, 2008; Hartmann et al., 2012).

Dinoflagellates in the genus *Neoceratium*, are commonly found, although not dominant, in OSGs (e.g. Graham 1941; Graham and Bronikovsky 1944; Weiler 1980). In the North Pacific central gyre, for example, where *Neoceratium* is estimated to contribute <1% of total phytoplankton carbon, concentrations range from 0.8 to 3 Cells L⁻¹ (Weiler, 1980; Matrai, 1986). Although cell concentrations are significantly lower than in coastal waters (typically 4-14 %; Weiler, 1980; Matrai, 1986), growth rates are relatively high based on one *in situ* study in the North Pacific subtropical gyre: 26-38 % of the maximum rates observed for this genus (Weiler, 1980). This is curious, as large cells, with low surface area to volume ratios, should be at a disadvantage due to their relatively low nutrient uptake rates (Aksnes and Egge, 1991).

The presence of *Neoceratium* in OSGs may reflect an ability of cells to optimally position themselves in the water column for nutrients and light (Weiler and Karl, 1979; Heaney and Furnass, 1980; Taylor et al., 1988; Baek et al., 2009), or supplement their nutrient demand via phagotrophy (Bockstahler and Coats, 1993; Li et al., 1996; Smalley et al., 1999, 2003, 2012; Smalley and Coats, 2002). However, it is possible that *Neoceratium* do not require an alternative source of nutrients: cells may be able to survive and grow at the low nutrient concentrations typically found in OSGs. Physiological adaptations to low nutrient concentrations may allow cells to survive and grow in nutrient impoverished surface waters of OSGs: for example, low K_s values (for N and P) and specific characteristics for nutrient uptake – such as luxury consumption – have been suggested to give *Neoceratium* an advantage over other algal species growing at low nutrient concentrations (Baek, et al., 2008), and the production of the enzyme alkaline phosphatase may allow for the exploitation of dissolved organic phosphorus pools (Girault et al., 2012; Mackey et al., 2012). These adaptations may account for the previous observation of *Neoceratium fusus* growing in low nutrient culture medium (Baek et al., 2007; NO₃⁻ ≤ 1.0 μM and PO₄³⁻ ≤ 0.1 μM).

Here we use cultures of two *Neoceratium* spp. (*N. hexacanthum*, and *N. candelabrum*) commonly found in OSGs, to determine if (and for how long) cells can survive and grow at nutrient concentrations typically found in surface waters of these environments. Cell growth, under these conditions, was investigated by monitoring changes in cell numbers, dividing cells, protein per cell and *F_v/F_m* in low nutrient seawater (LNSW) compared to nutrient replete seawater (RSW). Changes in other cell growth properties (survival time, viability, time-lag of growth response and growth rate) were determined by monitoring cells in embryo dishes, exposed to North Atlantic gyre seawater (NAGSW) for varying periods of time before addition of nutrients.

METHOD

Maintenance of *Neoceratium* cultures

75 *Neoceratium* strains (*N. hexacanthum*, strain number P10B2; *N. candelabrum*, strain number
76 P37C2) were obtained from the Gulf of Villefranche (Point B, 0-80 m), in The Mediterranean Sea
77 between 2007 and 2008. They were cultured in K/5 medium (Keller et al., 1987), minus silicate,
78 made from NAGSW (Table I) – collected from the surface of the central gyre in October 2009
79 during Atlantic Meridional Transect (AMT) 19, onboard the Royal Research Ship (R.R.S.) James
80 Cook. All cultures were maintained in 100 ml borosilicate Erlenmeyer flasks and kept at 18°C in a
81 temperature controlled incubator with a 12:12 h light/dark photocycle, with a photon flux density of
82 60 $\mu\text{mol quanta m}^{-2} \text{s}^{-1}$.

83

84 **Isolation into experimental flasks**

85 Prior to all experiments, *Neoceratium* were isolated from their culture medium into experimental
86 medium using the following method. The concentration of cells in the stock culture (late
87 exponential/stationary growth phase) was determined in order to calculate the volume required to
88 achieve desired experimental concentrations ($\sim 10\text{-}30 \text{ cells ml}^{-1}$). Prior to transference to
89 experimental Erlenmeyer flasks (acid washed with HCL and autoclaved), this predetermined
90 volume of stock culture was collected on a 20 μm filter and rinsed with filtered NAGSW, using a
91 pipette, in order to remove excess nutrients. Cells were rinsed from the filter into one of the
92 experimental flasks, and then evenly divided (by volume) between all the flasks. All experiments
93 were performed in triplicate.

94 Experiments were conducted in 100 ml Erlenmeyer flasks, using 50 ml of LNSW or
95 RSW (Table I). Experiments were incubated at 18°C on a 12:12 h light/dark cycle at a photon flux
96 density of 60 $\mu\text{mol quanta m}^{-2} \text{s}^{-1}$. Every four days (starting on day one) sub-samples from each
97 experimental treatment were taken, from which: cell numbers, number of dividing cells, estimated
98 protein per cell, photosynthetic efficiency of photosystem II (PSII; F_v/F_m), and dissolved inorganic
99 nutrient concentrations ($\text{NO}_3^- + \text{NO}_2^-$, and PO_4^{3-} ; only for *N. candelabrum*) were determined.

100

101 **Cell numbers**

102 Cell numbers were determined via enumeration of 1 ml of experimental medium in a 1 ml plastic
103 Sedgewick-Rafter (S-R) chamber, under an inverted microscope. In addition to cell numbers,
104 observations on motility were made and the number of dividing cells was recorded.

105

106 **Protein content of cells**

107 1.5 ml of experimental medium was frozen (-80°C) in 1.5 ml cryoviles for analysis at a later date.
108 The average protein content of *Neoceratium* cells was measured using the bicinchoninic acid (BCA)
109 method (Smith et al., 1985), using bovine serum albumin (fraction 5) as a standard. BCA reagents

were added in an 8:1 ratio (reagents: sample), and samples were left at room temperature for 24 hrs, prior to analysis on a Nanodrop 1000 Spectrophotometer. Data values more than two standard deviations from the mean were omitted from the final analysis (*N. hexacanthum*: 1 of 54 samples; *N. candelabrum*: 2 of 54 samples).

Fast repetition rate fluorometry (FRRf)

Fast repetition rate fluorometry (FRRf) was used to assess the maximum PSII photochemical efficiency (F_v/F_m), which typically decreases under periods of 'stressful' growth such as nutrient starvation (Kolber et al. 1988; Kolber and Falkowski 1993). 2 ml of each experimental medium was transferred to a 15 ml darkened Falcon tube for at least 1 hr prior to FRRf analysis, allowing the primary receptor molecules of PSII (quinones) to be fully oxidised and ready to receive electrons (Suggett et al., 2009). FRRf measurements were made using the 'standard' protocols described by Suggett et al. (2003) and Moore et al. (2005). A Chelsea Instruments Fast^{track} FRR fluorometer was programmed to deliver single photochemical turnover saturation of PSII from 100 flashlets of 1.1 μ s at 1 μ s intervals. Fluorescence transients generated were then fitted to the Kolber-Prasil-Falkowski (KPF; Kolber et al. 1998) model to yield values of F_v/F_m (see Moore et al. 2006).

Nutrient measurements

Dissolved inorganic nutrients (nitrate + nitrite and phosphate) were analysed from subsamples taken from LNSW experimental flasks (*N. candelabrum* experiments only). 10 ml subsamples were filtered through 0.2 μ m Millipore filters into 15 ml Falcon tubes; subsamples were the result of combining 3.5 ml subsamples from triplicate experimental flasks – this was done in order to provide sufficient volume for analysis. Samples were stored at -80°C prior to analysis within 1 month of collection. Nitrate and phosphate measurements were analysed using a Skalar SanPlus Autoanalyser according to Sanders and Jickells (2000).

Changes in cell growth properties over 30 days of exposure to NAGSW

N. hexacanthum cells (exponential growth phase) were reverse filtered in order to remove excess nutrients from the culture medium. Following this, approximately 10 cells (average: 9; range: 5-14) were transferred to 30 embryo dishes containing 2.5 ml of NAGSW, and covered with a glass lid to prevent evaporation (the mass of each dish was monitored to ensure no evaporation occurred). Exact cell numbers were confirmed, and every day for 30 days (starting on day 1) nutrients were added (0.5 ml of K-medium) to a single dish. Following nutrient addition cell numbers and dividing cells were monitored every 2 days, allowing for the estimation of the following:

145 *Maximum viability time*: The longest period that any single cell survived and went on to divide.
146
147 *Changes in growth rate*: Growth rate was estimated by fitting an exponential growth model
148 [Stirling Model; $f=y_0+a(\exp(b*x)-1)/b$] to the data and then using the slope of the line to estimate
149 growth rate in divisions per day (d^{-1}). Several different exponential models were tested for how well
150 they fitted the data (in SigmaPlot). The Sterling model was used as it had the highest R^2 values.
151
152 *Change in the percentage of viable cells (cells capable of growth)*: For each embryo dish, on the
153 day of nutrient addition, we attempted to calculate the number of viable cells present that
154 contributed to the increase in cell numbers. To do this we removed the first two data points from
155 each of the growth curves and then fitted the Stirling growth model (discussed above) to the data in
156 order to estimate how many cells were viable on day 1 ($y=0$). For dishes where no growth was
157 observed, the number of viable cells was taken to be zero. By combining data together into three
158 groups (day 1-10, day 11-20 and day 21-30), and comparing the number of viable cells from each
159 group to the total number of cells upon nutrient addition, it was possible to estimate the percentage
160 of viable cells during each of these three periods.
161
162 *Changes in time-lag of growth response*: The growth time-lag for each dish was estimated from the
163 number of days it took, after nutrient addition, for the first sign of growth to occur: either an
164 increase in cell number, or the presence of dividing cells.

166 **RESULTS**

168 **Growth at nutrient concentrations typical of OSGs – *N. hexacanthum***

169 In experiments on *N. hexacanthum*, in LNSW, cell numbers showed little variation over 21 days
170 (Fig 1A: range, 17.7 to 20 cells ml^{-1}). Cell numbers increased in RSW up until day 13, from 28 to
171 49 cells ml^{-1} respectively; after this point cell numbers decreased from 49 to 33. The percentage of
172 dividing cells observed broadly mirrored the above pattern (Fig. 1C): no dividing cells were
173 observed in LNSW; in RSW dividing cells increased from 0 to 4.8 % between day 1 and 9, before
174 decreasing to 0.69% by day 17. Motile cells were observed throughout the 21 day experiment in
175 RSW, but no motile cells were observed after day 17 in LNSW.

176 Average protein content per cell (Fig 1E) remained stable in LNSW between day 1 and 13
177 (8.4 to 9.1 ng $cell^{-1}$), before decreasing to 4.3 ng $cell^{-1}$ by 21. In RSW average protein per cell
178 fluctuated between 6.9 and 11.3 ng $cell^{-1}$, but was similar on day 1 and day 21 (8.7 and 9.9 ng $cell^{-1}$
179 respectively).

180 After remaining fairly constant, from day 1 to 5, F_v/F_m decreased under both nutrient
181 scenarios until day 9 (Fig. 1G); this decrease was greatest in LNSW (-0.073) and least in RSW (-
182 0.039). From day 9 to day 21 F_v/F_m decreased in RSW (from 0.265 to 0.235), and increased in
183 LNSW (from 0.221 to 0.245).

184 185 **Growth at nutrient concentrations typical of OSGs – *N. candelabrum***

186 In experiments on *N. candelabrum*, in LNSW, cell numbers (Fig. 1B) steadily decreased over 21
187 days, from 21 to 15 cells ml^{-1} , whereas cell numbers increased in RSW over the course of the whole
188 21 day period, from 16 to 156 cells ml^{-1} . The percentage of dividing cells (Fig. 1D) mirrored the
189 above pattern: the percentage of dividing cells on day 1 was roughly similar across both scenarios
190 (9 and 12 %). Beyond day 5 no dividing cells were observed in LNSW; in RSW there was an
191 increase in dividing cells, between day 1 and 5, from 12-19 %, followed by a decrease to 2 % by
192 day 21. Motile cells were observed throughout the experiment under both nutrient scenarios.

193 Apart from one low protein measurement on day 5 for LNSW (3.2 ng cell^{-1}), the average
194 protein content per cell (Fig 1F) remained relatively stable in both nutrient scenarios between day 1
195 and 13 (4.4 to 6.35 ng cell^{-1}). This was followed by a decrease down to 2.7 (LNSW) and 4.0 ng cell^{-1}
196 (RSW) by day 21.

197 F_v/F_m values (Fig. 1H) closely mirrored changes in cell numbers (Fig. 1B). In LNSW
198 values stayed relatively constant up until day 9 (range, 0.089 to 0.116); this was followed by a
199 decrease, to 0.014, by day 21. In RSW there was a rapid increase between day 1 and 9 (from 0.107
200 to 0.325), followed by a gradual increase until day 17 (to 0.394), before falling to 0.319 by day 21

201 In LNSW PO_4^{3-} concentrations remained between the detection limit of $<0.2 \mu\text{M}$ and 0.4
202 μM . NO_3^- concentrations ranged between $0.41 \mu\text{M}$ and $0.86 \mu\text{M}$.

203 204 **Changes in cell growth properties over 30 days of exposure to NAGSW**

205 In almost all embryo dishes cell numbers either remained the same or decreased before nutrients
206 were added (Fig. 2). The longest time any single cell survived in NAGSW, and then went on to re-
207 grow after addition of nutrients, was 26 days (Fig. 2). Motile cells were observed after 28 days, but
208 none of these cells were able to re-establish growth when nutrients were added.

209 The percentage of viable cells decreased over the course of the experiment (Fig. 3A):
210 between 1-10 days, the percentage of viable cells was 68 % (71/105 cells); this dropped to 40 %
211 between day 11-20 (44/110 cells), and 3 % (2/64 cells) between day 21-30.

212 The time-lag between nutrient addition and the first sign of growth appearing generally
213 increased over the course of the experiment (Fig. 3B): the time-lag was 2 days up until day 10; with

214 the exception of day 13, from day 11 onwards the time-lag was always greater than 2 days (between
215 4-8 days).

216 Once growth did commence, the growth rate of cells appeared to be unaffected by the length
217 of exposure to NAGSW (Fig. 3C). The mean growth rate (once time-lag had been accounted for)
218 observed was 0.16 d^{-1} (range: $0.07\text{-}0.31 \text{ d}^{-1}$), and for most embryo dishes observed (12 out of 17)
219 the growth rate was within a fairly narrow range of $0.11\text{-}0.19 \text{ d}^{-1}$. Although the lowest growth rate
220 (0.07 d^{-1}) was observed on day 26, the growth rate just one day before (day 25) was close to the
221 mean growth rate observed (0.15 d^{-1}).

222

223 **DISCUSSION**

224 Our observations, on *N. hexacanthum* and *N. candelabrum*, revealed that ontogenetic growth (cell
225 division) did not occur at the low nutrient concentrations used here (starting concentrations: NO_3^- ,
226 $0.58 \mu\text{M}$; PO_4^{3-} , $0.04 \mu\text{M}$), and cells became more nutrient stressed with time, as evidenced by
227 decreasing *Fv/Fm* values. We can eliminate the possibility that cells were slowly increasing in size
228 (somatic growth), and therefore potentially dividing on timescales longer than the experimental
229 period (21 days), as average protein content per cell roughly halved over the course of the
230 experiment in both species. This suggests that *Neoceratium* could not survive in OSGs if they were
231 entirely dependent on the nutrient concentrations found in surface waters (above the nutricline),
232 much less achieve the high growth rates ($0.09\text{-}0.13 \text{ d}^{-1}$) that have been previously observed in the
233 North Pacific subtropical gyre (Weiler, 1980). We cannot rule out the possibility that *Neoceratium*
234 exploit nutrient micropatches in OSG surface waters (Lehman and Scavia, 1982). However, given
235 the theoretical low nutrient uptake rates of *Neoceratium* spp., compared to other smaller species of
236 phytoplankton found in OSGs (Aksnes and Egge, 1991), this strategy would seem to be ineffective
237 as nutrients would likely be used up by other phytoplankton before *Neoceratium* could exploit
238 them. Increases in swimming speed, sinking rate and turbulent shear can all reduce nutrient
239 diffusion limitation in larger cells to some extent (Chisholm, 1992). In our experimental set-up
240 using culture flasks, sinking rate and turbulent shear would have been negligible compared to the
241 natural environment. However, it has been pointed out that these two factors could, at most,
242 scarcely double nutrient uptake rates in a cell with a diameter of $200 \mu\text{m}$ (Chisholm, 1992).
243 Therefore, it seems unlikely that this factor would account for growth of *Neoceratium* in OSG
244 surface waters.

245 Results from experiments on *N. hexacanthum* in embryo dishes containing NAGSW, where
246 growth did not occur until the addition of nutrients, support our conclusion that cell growth is not
247 possible in OSGs. These experiments, however, did demonstrate that cells can potentially survive in
248 oligotrophic surface waters, and remain viable, for a long period of time (>3 weeks; Fig. 3). This

249 survival time is consistent with the measurements of *Fv/Fm* on *N. candelabrum*, which showed that
250 after 21 days in LNSW the value for this parameter had fallen to almost zero, indicating severe
251 nutrient stress. Few other studies have focussed on the long term survival of phytoplankton under
252 oligotrophic conditions. However, one study on the dinoflagellate *Gymnodinium splendens*
253 demonstrated that this species could survive for 65 days under oligotrophic conditions (Dodson and
254 Thomas, 1977) – nearly three times longer than *N. hexacanthum* in the present study. In addition to
255 inter-specific nutrient tolerances between *Gymnodinium* and *Neoceratium*, this significantly longer
256 survival time is probably the result of the higher nutrient concentrations that were used in that study
257 (NO_3^- , 0.08 μM ; PO_4^{3-} , 0.46 μM) compared to our own (NO_3^- , <0.02 μM ; PO_4^{3-} , <0.02 μM). It is
258 likely that this ability to survive long periods of time in the absence of sufficient nutrients may
259 partly explain the success of *Neoceratium* in oligotrophic regions, and also, their success in
260 stratified coastal regions during summer.

261 Due to the sampling resolution (2 day intervals), time-lags in growth response, upon nutrient
262 addition, could only be resolved within 2 day intervals. Even so, a clear pattern emerged. The time-
263 lag in growth response increased beyond 10 days of exposure to NAGSW. This increase in time-lag
264 is consistent with studies that have found the delay in growth response to be positively related to
265 duration of nutrient ‘starvation’, especially in species that are capable of storing nutrients internally
266 – a strategy that is proposed to be ecologically advantageous in environments where nutrients are
267 only encountered periodically (see review by Collos, 1986). Once cells began to grow, however,
268 there did not appear to be a detrimental impact on growth rates. This suggests that the adverse
269 effects of prolonged exposure to surface oligotrophic conditions, of greater than 10 days, do not
270 persist once cells overcome the initial delay in growth.

271 There are several important implications of these findings. *Neoceratium* cells must
272 periodically access an external source of nutrients in order to survive and grow in surface waters of
273 OSGs. In order to maintain a healthy population in a steady state of growth this nutrient source
274 would ideally need to be accessed, at most, every 10 days, but could be accessed over a longer
275 timescale (10-20 days) whilst still allowing for a relatively high chance (~40%) of survival. Under
276 extreme circumstances, a small number of cells (~3%) could survive nutrient starvation for longer
277 than 20 days, enabling a ‘seed population’ to survive. In the long-term, however, this strategy
278 would not be ecologically successful as cell losses would be too high to maintain a population. It is
279 for this reason that we rule out the possibility that *Neoceratium* may be solely reliant on ephemeral
280 processes that can introduce nutrients into surface waters, such as internal and tropical instability
281 waves (Gregg et al., 2003; Jochum et al., 2004), and mesoscale processes (Falkowski et al., 1991;
282 Karl, 1999; Gonzalez et al., 2001). The increase in nutrient concentrations associated with these
283 events would appear to be great enough to support the growth of *Neoceratium* (McGillicuddy et al.,

1998; Baek et al., 2007), but their occurrence is likely to be too infrequent to solely account for the success of *Neoceratium* in OSGs. Therefore, we foresee that *Neoceratium* must, additionally, use at least one of the following strategies.

The first strategy may be to obtain nutrients via phagotrophy: a tactic that is thought to be widespread amongst small eukaryotic algae ($<5\mu\text{m}$) in the OSGs of the Atlantic Ocean (Zubkov and Tarran 2008; Hartmann et al. 2011, 2012), and one thought to be commonly used by a number of *Neoceratium* species, which commonly feed on ciliate prey (e.g. Bockstahler and Coats, 1993a; Li et al., 1996; Smalley et al., 1999, 2003; Smalley and Coats, 2002). Some important questions here would be: how much prey would *Neoceratium* need to ingest in order to obtain sufficient nutrients for survival and growth, and whether encounter rates with prey species are high enough to support this method of nutrient acquisition. It is possible, by making a few assumptions to calculate approximately how much prey (in the form of ciliates) would be required for survival and growth. Our measurements of intracellular protein show that, in *N. hexacanthum* exposed to LNSW, protein concentrations decrease by 5 ng (± 2 ng) over 21 days. Therefore, to maintain their cells, *Neoceratium* need approximately $0.14\text{--}0.33\text{ ng day}^{-1}$ of protein to supplement their nitrogen demands. Assuming a nitrogen assimilation efficiency of 75 % for type II mixotrophs feeding on microzooplankton (Stickney et al., 2000), *Neoceratium* would need to ingest $0.19\text{--}0.44\text{ ng}$ of protein per day. Using previously published protein contents of a number of ciliate species ($1\text{--}15\text{ ng}$; (Zubkov and Sleigh, 1996; Zubkov and Sleigh, 2000), it would appear that an ingestion rate of $0.01\text{--}0.44\text{ ciliates day}^{-1}$ would be required to survive in OSGs. For *Neoceratium* to divide at the rate observed by Weiler (1980) ($0.09\text{--}0.16\text{ d}^{-1}$), adding the further assumption that the complete asexual division process requires the ultimate doubling of cellular protein concentrations, we calculate that *Neoceratium* would need to consume $0.9\text{--}1.8\text{ ng protein d}^{-1}$, or $0.06\text{--}1.8\text{ ciliates d}^{-1}$. Is this ingestion rate possible, based on the number of predator/prey collisions that may occur in the gyres? Although there are many considerations to take into account when considering predator/prey collision rates, it is possible to make a conservative estimation based on likely predator and prey cell densities, swimming speeds and feeding behaviour (Kiørboe, 2008). Using general predator/prey encounter equations discussed by Kiørboe (2008), we conservatively estimate that encounters between a single *Neoceratium* cell and its ciliate prey are at least 60 d^{-1} . Therefore, under the worst case scenario, only 0.1 to 3 % out of 60 encounters each day would need to be successful in order for *Neoceratium* to achieve the growth rates observed by Weiler (1980), and only 0.02 to 0.7 % of these encounters would need to be successful for *Neoceratium* to survive in OSGs.

The second strategy may involve vertical migration to the nutricline. Villareal and Lipschultz (1995) have previously suggested that all large phytoplankton ($>100\text{ }\mu\text{m}$) in the Sargasso

319 Sea are capable of vertical migration, and that they use this mechanism to acquire nutrients from the
320 nutricline. A number of studies indicate that *Neoceratium* undergo cell division at depth around
321 dawn (Weiler and Chisholm, 1976; Weiler and Eppley, 1979; Baek et al., 2009), followed by
322 upward migration before the transition from dark to light (with downward migration occurring
323 before dusk). However, this strategy would seem to be ill-suited for survival in OSGs, where the
324 nutricline is often many tens of metres deeper than the euphotic zone and, therefore, inaccessible in
325 a single diurnal migration. Therefore, it is possible that migrations take place over periods greater
326 than a single day – a phenomenon that has been observed in the dinoflagellates *Pyrocystis noctiluca*
327 and *P. fusiformis* (Rivkin et al., 1984). Unfortunately, the proximate triggers of this behaviour are
328 not well understood and need to be elucidated.

329

330 **CONCLUSION**

331 Our study demonstrates that *Neoceratium* cells are unable to grow at low nutrient concentrations
332 representative of surface waters in OSGs. Nevertheless, cells can survive and remain viable for over
333 3 weeks, suggesting that the presence of *Neoceratium* in OSGs may be explained by them enduring
334 long periods in surface waters above the nutricline, with periodic exploitation of alternative nutrient
335 sources. Mixotrophy and/or vertical migration appear to be the most feasible mechanisms by which
336 nutrients may be obtained. Knowing the relative contribution of these two strategies to *Neoceratium*
337 nutrient acquisition is necessary not just from the point of view of obtaining a more complete
338 understanding of *Neoceratium* ecology, but also for gaining a better appreciation of how microbial
339 food webs function within OSGs.

340

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