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1 Scale-dependent relationships between tree species richness and ecosystem  
2 function in forests

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97 **Running headline:** Species richness and ecosystem function in forests

98

99 **Key words:** biodiversity, biomass, complementarity, determinants of plant  
100 community diversity and structure, productivity, sampling effects, species diversity,  
101 trees

102

### 103 **Summary**

- 104 1. The relationship between species richness and ecosystem function, as  
105 measured by productivity or biomass, is of long-standing theoretical and  
106 practical interest in ecology. This is especially true for forests, which  
107 represent a majority of global biomass, productivity and biodiversity.
- 108 2. Here we conduct an analysis of relationships between tree species richness,  
109 biomass and productivity in 25 forest plots of area 8–50 ha from across the  
110 world. The data were collected using standardised protocols, obviating the  
111 need to correct for methodological differences that plague many studies on  
112 this topic.
- 113 3. We found that at very small spatial grains (0.04 ha) species richness was  
114 generally positively related to productivity and biomass within plots, with a  
115 doubling of species richness corresponding to an average 48% increase in  
116 productivity and 53% increase in biomass. At larger spatial grains (0.25 ha, 1  
117 ha), results were mixed, with negative relationships becoming more  
118 common. The results were qualitatively similar but much weaker when we  
119 controlled for stem density: at the 0.04 ha spatial grain, a doubling of species

120 richness corresponded to a 5% increase in productivity and 7% increase in  
121 biomass. Productivity and biomass were themselves almost always  
122 positively related at all spatial grains.

123 4. *Synthesis*. This is the first cross-site study of the effect of tree species  
124 richness on forest biomass and productivity that systematically varies spatial  
125 grain within a controlled methodology. The scale-dependent results are  
126 consistent with theoretical models in which sampling effects and niche  
127 complementarity dominate at small scales while environmental gradients  
128 drive patterns at large scales. Our study shows that the relationship of tree  
129 species richness to biomass and productivity changes qualitatively when  
130 moving from scales typical of forest surveys (0.04 ha) to slightly larger scales  
131 (0.25 ha and 1 ha). This needs to be recognised in forest conservation policy  
132 and management.

133

134

## 135 **Introduction**

136 Research into the relationship between species richness and ecosystem function is  
137 motivated by both a basic interest in understanding ecological communities (Pianka  
138 1966; Odum 1969; Tilman *et al.* 1997) and a practical need to conserve and manage  
139 ecosystem services (Schwartz *et al.* 2000; Srivastava & Vellend 2005). Ecosystem  
140 functions are classified as stocks, fluxes or stabilising functions (Pacala & Kinzig 2002;  
141 Srivastava & Vellend 2005). Woody productivity (a flux) and biomass carbon storage (a  
142 stock) are two key ecosystem functions in forests (Pacala & Kinzig 2002). Forest carbon  
143 storage is of particular concern because globally forests hold more carbon than the  
144 atmosphere (Pan *et al.* 2011), and management of these carbon stores is an important tool  
145 for mitigating global climate change. In total, forests account for approximately 60% of  
146 terrestrial productivity and 85% of biomass (Randolph *et al.* 2005), and tropical forests  
147 alone account for more than 50% of terrestrial species diversity (Wilson 1988).

148 Many studies of species richness and ecosystem function have focused on productivity  
149 (Tilman *et al.* 1997; Loreau *et al.* 2001). Theory predicts positive effects of species  
150 richness on productivity through niche complementarity, facilitation and sampling effects  
151 (Abrams 1995; Tilman 1999; Fridley 2001; Loreau *et al.* 2001; Flombaum & Sala 2008).  
152 Niche complementarity occurs because niches, such as differences in resource-use or  
153 enemy-defence strategies, lead to increases in a species' performance as local abundance  
154 of conspecifics decreases, and thus to better overall community-level performance, i.e.  
155 higher productivity, when there are more species and fewer individuals per species  
156 (Janzen 1970; Connell 1971; Comita *et al.* 2010; Mangan *et al.* 2010). Facilitation  
157 occurs when species enhance one another's performances (Hooper 1998). Sampling

158 effects arise because species richness varies randomly across quadrats, and quadrats with  
159 high species richness are more likely, by chance, to contain particular high-yield species.  
160 These sampling effects are also referred to as selection effects (Turnbull *et al.* 2012),  
161 because they assume that the high-yield species contribute disproportionately in mixtures.

162 The predicted positive relationships between richness and productivity are broadly  
163 supported by small-scale empirical studies that manipulate species richness in herbaceous  
164 communities (Tilman *et al.* 1997; Hooper 1998; Symstad *et al.* 1998; Loreau *et al.* 2001),  
165 but observational studies have produced mixed results. Early observational studies  
166 pointed to a hump-shaped relationship in which species richness peaks at intermediate  
167 productivity and declines towards extreme high or low productivity (Grime 1979; Loreau  
168 *et al.* 2001; Mittelbach *et al.* 2001; Rahbek 2005; Mittelbach 2010). But subsequent  
169 studies have cast doubt on the generality of the hump-shaped relationship, with positive,  
170 negative, flat and even U-shaped relationships being observed (Mittelbach 2010;  
171 Whittaker 2010). Theoretical explanations for hump-shaped productivity-richness  
172 patterns (Abrams 1995; Rosenzweig & Abramsky 1998; Aarssen 2001) generally assume  
173 that productivity acts as a proxy for environmental conditions, and that environmental  
174 conditions drive species richness. One proposed mechanism for declines in species  
175 richness at high productivity is that in high-resource environments there is less  
176 environmental heterogeneity and hence fewer niches (Rosenzweig & Abramsky 1998).  
177 Alternatively, the ‘species pool’ hypothesis explains the overall unimodal pattern by  
178 postulating that fewer species are adapted to extreme low- or high-productivity  
179 environments, because of a mid-domain effect (Aarssen 2004) or because low- and high-  
180 productivity areas have been less common over geological time (Schamp, Aarssen & Lee

181 2003). Although the hump-shaped productivity-richness pattern has a long history of  
182 theoretical and empirical support, its general applicability remains a matter of debate  
183 (Whittaker 2010; Adler *et al.* 2011; Fridley *et al.* 2012).

184 The predictions for relationships between richness and productivity outlined above  
185 lead directly to similar predictions for richness-biomass relationships, insofar as higher  
186 forest productivity is associated with higher standing biomass. In annual herbaceous  
187 communities, above-ground biomass is essentially synonymous with productivity, and  
188 the two terms are often used interchangeably. In forests, however, productivity and  
189 biomass are distinct (Rosenzweig & Abramsky 1998): although at local scales higher  
190 productivity enables faster biomass accumulation over forest succession and higher  
191 eventual old-growth biomass (Bonan *et al.* 2003), productivity and biomass are not  
192 significantly associated at global scales (Keeling & Phillips 2007). Therefore, biomass  
193 and productivity should be treated separately in analyses of species richness and  
194 ecosystem function in forests.

195 Relatively few studies on the relationship of species richness to biomass and  
196 productivity have been conducted in forests. Those that do have generally been limited  
197 to small spatial grains (i.e. small size of the sampling unit or quadrat; typically < 0.1 ha)  
198 and local to regional spatial extents (Vilà *et al.* 2007; Ruiz-Jaen & Potvin 2010; Paquette  
199 & Messier 2011), and generally have found positive relationships. Richness-productivity  
200 relationships in forests have also been incorporated in meta-analyses that include other  
201 ecosystem types (e.g. Mittelbach *et al.* 2001), but methodological differences between  
202 individual studies that comprise the meta-analyses have confounded attempts to draw  
203 general conclusions (Whittaker 2010): different studies use different spatial extents,



204 spatial grains, census methodologies and measures of productivity (including rainfall,  
205 biomass and other surrogate variables), and focus on different taxonomic groups  
206 (including both plants and animals).

207 For the present study, we utilised a global data set of large-scale forest plots to  
208 investigate how the relationship of tree species richness to forest biomass and  
209 productivity varies across a range of spatial grains within sites and to test whether the  
210 observed patterns are general across sites. Our approach of using a standardised global  
211 data set allowed us to overcome the limitations of many previous cross-site studies  
212 (usually meta-analyses) that address the topic of species richness, productivity and  
213 biomass. We predicted that richness and function (the latter measured by productivity  
214 and biomass) would be positively related at most sites, and that productivity would be  
215 strongly positively related to biomass at all sites. We also predicted that successional  
216 processes associated with treefall gaps (Schnitzer & Carson 2001) might lead to negative  
217 relationships at small spatial grains at some sites, because areas that have recently been in  
218 gaps typically have many small stems, high species richness, and low biomass, while  
219 areas with mature trees have fewer, larger stems, lower species richness, and higher  
220 biomass (Condit *et al.* 1996; Aarssen, Laird & Pither 2003).

221

## 222 **Materials and methods**

### 223 **Site selection**

224 We compared relationships between tree species richness, annual above-ground coarse  
225 woody dry productivity (CWP) and above-ground dry woody biomass (AGB) across 25  
226 forest plots in the global network coordinated by the Center for Tropical Forest Science /

227 Smithsonian Institution Global Earth Observatories (CTFS/SIGEO)  
228 (<http://www.sigeo.si.edu/>). The plots spanned temperate and tropical regions across five  
229 continents (Table 1). Twelve of the plots were censused two or more times (at intervals  
230 of 4–10 yr; Table 1), in which case we used two consecutive censuses for CWP estimates  
231 (see below) and the first of these censuses for AGB and richness estimates. For single-  
232 census plots we analysed only AGB and richness. The forest plots have similar spatial  
233 extents (8–50 ha; Table 1) and censuses of individual stems at each site followed the  
234 standard CTFS/SIGEO protocols (Condit 1998).

235

### 236 **Data collection**

237 The data for each plot were trimmed, if necessary, to fit within a rectangular region with  
238 edges that were even multiples of 100 m (Table 1). This guaranteed that the plot could be  
239 evenly divided into 1 ha quadrats and that the same total area could be used for analyses  
240 at all spatial grains. Sections of the plot outside the rectangular region were discarded.  
241 We then subdivided the plot into non-overlapping quadrats at 3 spatial grains: 20 m x 20  
242 m (0.04 ha), 50 m x 50 m (0.25 ha) and 100 m x 100 m (1 ha).

243 Species richness for each quadrat at each spatial grain was calculated by summing the  
244 number of tree species with at least 1 stem  $\geq 10$  cm DBH in the quadrat. We used species  
245 richness rather than some other measure of diversity (e.g. Shannon's index) because  
246 richness is easily interpreted and most relevant to theoretical richness-function  
247 mechanisms (e.g. niche complementarity and sampling effects). We included only trees  
248  $\geq 10$  cm DBH because trees of this size contribute the vast majority of CWP and AGB.  
249 (For CWP, trees  $\geq 10$  cm DBH constitute  $91.3\% \pm 3.8\%$  (mean  $\pm$  standard deviation) of

250 the CWP of all trees  $\geq 1$  cm DBH at the 12 sites at which CWP was calculated, all of  
251 which had data on stems  $\geq 1$  cm DBH. For AGB, trees  $\geq 10$  cm DBH constitute  $96.3\% \pm$   
252  $2.9\%$  of the AGB of all trees  $\geq 1$  cm DBH at the 19 sites for which data on stems  $\geq 1$  cm  
253 DBH were available.)

254 The AGB of each individual stem (including all stems  $\geq 10$  cm DBH on multi-  
255 stemmed individuals) was estimated from DBH and allometric regressions. At some sites  
256 we were able to use site-specific or species-specific allometric regressions; at other sites  
257 we used generic allometric equations (Chave *et al.* 2005) (Table S1). Total AGB for  
258 each quadrat at each spatial grain was calculated by summing AGB for all stems in a  
259 quadrat. Although errors associated with allometric equations can be large (Chave *et al.*  
260 2004), they should in general lead to fairly consistent under- or overestimates of AGB  
261 within sites, meaning that the resulting within-site relationships between richness and  
262 AGB should be robust.

263 The CWP for each quadrat was calculated as the sum of AGB growth for surviving  
264 stems and AGB of new stems, divided by the length of the census interval in years. In six  
265 of the plots, individual stems on multi-stemmed trees had not been tagged and recorded  
266 consistently, so we could estimate change in AGB only at the tree level. For these plots,  
267 CWP was therefore underestimated (because the data do not reveal cases in which a stem  
268 on a multi-stemmed tree died and was replaced by a different stem during the census  
269 interval). In all plots, negative CWP estimates for stems or trees that apparently shrunk  
270 were replaced with zero CWP, because individual tree CWP, by definition, cannot be  
271 negative.

272

**273 Statistical analysis**

274 All variables were log-transformed prior to analysis. Statistical analyses were performed  
275 in the software R version 2.15.0 (<http://www.r-project.org/>). At each site and for each  
276 spatial grain, we used generalised least-squares models with a maximum likelihood  
277 fitting method (nlme package in R) to fit richness-CWP (independent-dependent  
278 variable), richness-AGB and CWP-AGB relationships among quadrats. We used  
279 generalised least-squares models because we needed to account for spatial autocorrelation  
280 among quadrats, and generalised least-squares is a reliable method for doing so (Beale *et*  
281 *al.* 2010). We used a maximum likelihood method rather than a restricted maximum  
282 likelihood method because we wanted to compare the separate models with Akaike  
283 Information Criterion (AIC) and because we did not need to estimate variance  
284 components (Zuur *et al.* 2009). We fitted linear models with and without spherical  
285 autocorrelation structure, and for each combination of site, scale and variables we  
286 selected the model with the lowest AIC (Table S2–S3). Effect size was measured as the  
287 slope of a relationship on log-log axes, so that if  $y = Ax^b$  then  $b$  is the effect size and an  
288 effect size of zero indicates no effect of the variable  $x$  on the variable  $y$ . The mean effect  
289 size across sites for each relationship was calculated as variance-weighted mean of the  
290 site effects, and confidence intervals on the mean effect size were estimated by  
291 bootstrapping over sites.

292 Our method of fitting individual site models with generalised least-squares is exactly  
293 equivalent to fitting a single mixed-effects model for all of the data with “site” as a fixed  
294 effect. A different approach would be to treat “site” as a random effect: this would  
295 minimize the overall error in the mean effect size but would lead to biased site effects

296 because of shrinkage (individual site observations are pulled towards the mean). We did  
297 not fit such a random-effects model because we wanted unbiased site effects and because  
298 the resulting estimate of the mean effect size is unlikely to be substantially different from  
299 the fixed-effects model. Moreover, existing R implementations of mixed-effects models  
300 do not allow different strengths of spatial autocorrelation at different effect levels (i.e. at  
301 different sites).

302 In the richness-CWP and richness-AGB regressions, we treated richness as the  
303 independent variable, because we assumed that the causal effects of richness on CWP and  
304 AGB (due to niche complementarity and sampling effects) would be stronger than those  
305 in the reverse direction (due to environmental gradients) at the small spatial extents  
306 considered (at larger spatial extents than those considered here, it would make more sense  
307 to treat richness as the dependent variable). In the CWP-AGB regressions, we treated  
308 CWP as the independent variable, because we assumed that CWP directly affects AGB  
309 more than vice versa. In any case, these are predominantly issues of interpretation: the  
310 qualitative results of all our analyses change little if the dependent and independent  
311 variables are switched (results not shown).

312 To test for possible unimodal relationships usually characteristic of data sets across  
313 broader environmental gradients, we repeated the above richness-CWP and richness-  
314 AGB analyses with the independent and dependent variables switched and with quadratic  
315 models included. Relationships were considered significantly unimodal only if the  
316 turning point of the quadratic model was within the range of the data (as judged by the  
317 Mitchell-Olds & Shaw test (Mitchell-Olds & Shaw 1987; Mittelbach *et al.* 2001)) and the  
318 quadratic model had the lowest AIC. By these criteria, only 9 of 111 model comparisons

319 resulted in a significant unimodal relationship, and all but 2 of these showed an  
320 increasing trend over the range of the data. This is consistent with the absence of  
321 theoretical support for unimodal relationships at local spatial scales (Fridley *et al.* 2012).  
322 We did not consider unimodal relationships further.

323

#### 324 **Effects of stem density**

325 To control for the possible confounding effects of number of stems per quadrat on the  
326 results, we repeated the original analysis after first removing the effect of stem density on  
327 CWP and AGB with locally weighted polynomial (LOESS) regressions (function `loess` in  
328 R with `span = 1` and `degree = 2`). The original generalised least-squares regressions were  
329 then repeated but with the residuals of these LOESS regressions replacing CWP and  
330 AGB as the dependent variables (Fig. 3 and Table S3.1–S3.6) and with no intercept term  
331 because the residuals of the LOESS regressions have zero mean. This two-stage  
332 regression method was used because it is more conservative than, for example, a standard  
333 multiple linear regression. It is conservative because it attributes as much variation in  
334 CWP or AGB as possible to stem density (within the constraints of what a LOESS  
335 regression can fit) rather than to species richness. The regression of the LOESS residuals  
336 on species richness then provides a conservatively biased estimate of the effect of species  
337 richness on CWP or AGB, which we use as a lower bound on the true effect size  
338 alongside the upper bound from the original analysis (which did not consider the effects  
339 of stem density at all).

340

#### 341 **Results**

342 At the smallest spatial grain (0.04 ha), productivity and biomass were both positively  
343 related to species richness within most forest plots (Fig. 2A–B). The mean effect size  
344 across our forest plots was  $b = 0.566$  (95% confidence interval = [0.426, 0.717]) for  
345 productivity and 0.613 [0.480, 0.755] for biomass, meaning that a doubling of species  
346 richness corresponds to an average 48% ( $= 2^b - 1$ ) increase in productivity and 53%  
347 increase in biomass.

348 Results at the larger spatial grains (to 0.25 ha and 1 ha) were qualitatively different:  
349 relationships between richness and productivity were weak and often negative (Fig. 2C,  
350 E; mean effect size -0.096 [-0.309, 0.091] at 0.25 ha and -0.415 [-1.090, 0.068] at 0.1 ha),  
351 as were relationships between richness and biomass (Fig. 2D, F; mean effect size 0.059  
352 [-0.218, 0.337] at 0.25 ha and -0.357 [-1.031, 0.255] at 1 ha). Individual results for each  
353 site are presented in the Supporting Information (Figs S1–S6 and Tables S2.1–S2.6).

354 The results of the analysis controlling for stem density were qualitatively similar to the  
355 main results, but the positive relationships were generally weakened (Fig. 3). The weaker  
356 positive relationships at the 0.04 ha grain were evident in the much smaller mean effect  
357 sizes, 0.067 [-0.019, 0.146] for productivity and 0.098 [0.016, 0.179] for biomass,  
358 meaning that a doubling of species richness corresponds to an average 5% increase in  
359 productivity and 7% increase in biomass (Fig. 3A–B). Controlling for stem density  
360 moved the mean effect size in a negative direction at the 0.25 ha spatial grain but not the  
361 1 ha spatial grain for both the richness-productivity (-0.220 [-0.541, 0.049] at 0.25 ha  
362 and -0.317 [-0.767, 0.040] at 1 ha) and richness-biomass (-0.214 [-0.437, 0.001] at 0.25  
363 ha and -0.327 [-0.915, 0.227] at 1 ha) relationships. The relationship of stem density

364 itself to biomass and productivity was generally positive but saturating at the 0.04 ha  
365 spatial grain and variable at the larger spatial grains (Figs S7–S12).

366 The productivity-biomass relationships were generally positive and, in contrast to the  
367 results involving species richness, the effect sizes were fairly robust to increasing spatial  
368 grain and to the inclusion of stem density in the model (Fig. 4). The effect sizes before  
369 controlling for stem density were 0.371 [0.244, 0.485], 0.322 [0.218, 0.432], and 0.409  
370 [0.210, 0.638] at the 0.04 ha, 0.25 ha and 1 ha spatial scales respectively, and 0.251  
371 [0.138, 0.352], 0.273 [0.171, 0.377], and 0.350 [0.177, 0.552] after controlling for stem  
372 density (Fig. 4).

373 Cross-site comparisons of mean productivity, mean biomass and mean 1 ha species  
374 richness showed that all three variables were positively correlated across sites with no  
375 strong evidence of unimodal relationships (Figs S13–S15 and Table S4), although the  
376 number of data points (sites) was low and therefore the statistical power to resolve cross-  
377 site patterns was also low.

378

## 379 **Discussion**

380 Our results highlight the fundamental role of scale (Waide *et al.* 1999; Mittelbach *et al.*  
381 2001; Rahbek 2005) in determining the observed relationship between species richness  
382 and ecosystem function in forests. Previous studies on this topic in forests have found  
383 positive relationships between species richness and ecosystem function (as measured by  
384 productivity or biomass) (Vilà *et al.* 2007; Ruiz-Jaen & Potvin 2010; Paquette & Messier  
385 2011; Zhang, Chen & Reich 2012), but our analyses show that mean effect sizes may  
386 become zero or even negative at spatial grains larger than those that have typically been



387 considered before ( $< 0.1$  ha). Moreover, a proportion of the positive effect at small  
388 spatial grains may be attributable to local variation in stem density rather than classic  
389 species sampling effects, niche complementarity and facilitation. While the small-scale  
390 positive effects of species richness on biomass and productivity in forests remain of  
391 ecological interest, one clearly cannot easily generalise these effects to larger spatial  
392 grains or to scales relevant to conservation and forestry: at some sites species richness is  
393 even significantly negatively related to biomass at large spatial grains.

394 As noted above, the frequent positive relationships between species richness and  
395 ecosystem function in our sites, especially at small spatial grains (Fig. 2A–B, Fig. 3A–B),  
396 are consistent with other studies from forests (Vilà *et al.* 2007; Ruiz-Jaen & Potvin 2010;  
397 Paquette & Messier 2011; Zhang, Chen & Reich 2012; Gamfeldt *et al.* 2013) and contrast  
398 with a recent global study of herbaceous plant communities that found no consistent  
399 patterns between productivity and species richness (Adler *et al.* 2011). The positive  
400 relationships are also consistent with ecological theory on sampling effects, niche  
401 complementarity, and facilitation (Fridley 2001). Mechanistically, the sampling effect  
402 arises in conjunction with a selection effect, in the sense that some quadrats may, by  
403 chance, have been colonised by more species, and quadrats with more species are more  
404 likely to contain individual species that are more productive, sets of species that show  
405 ecological complementarity, or both, and so are able to utilise available resources more  
406 fully, leading to overall higher productivity. Other factors being equal, higher  
407 productivity should lead to higher biomass, as seen here (Fig. 4), so this mechanism also  
408 provides an explanation for the observed positive relationships between richness and  
409 biomass. Theory also suggests that these sampling effects should be strongest at the

410 smallest scales: it is in small quadrats, where there are fewer stems, that chance  
411 colonization plays the greatest role.

412 An alternative explanation for the positive relationships of species richness to biomass  
413 and productivity is simply that all three variables are positively related to stem density,  
414 and that stem density varies locally within a forest plot. This mechanism is of less  
415 biological interest than the classic species sampling effects, niche complementarity and  
416 facilitation discussed above, although the different mechanisms are by no means mutually  
417 exclusive. Our estimates of the effects of species richness on biomass and productivity  
418 after controlling for stem density should be considered as lower bounds on the true effect  
419 sizes, because our method of controlling for stem density conservatively apportions all  
420 covariance between stem density and biomass/productivity to the former. This lower  
421 bound would coincide with the true effect size if variation in stem density were the major  
422 determinant of richness, productivity and biomass, as might be the case if competition  
423 were weak and there were considerable random variation in stem density. The original  
424 analysis excluding the effect of stem density (Fig. 2) gives an upper bound on the true  
425 effect size that would be accurate if species richness were directly determined by  
426 productivity, biomass, and stem density, as might be the case if niche structure were very  
427 strong. In the absence of a mechanistic model, it is difficult to say whether the true effect  
428 of species richness on productivity and biomass is closer to the lower or upper bound, but  
429 this should be a priority for future research.

430 The several negative relationships observed between species richness and biomass at  
431 the 0.25 ha and 1 ha spatial grains (Fig. 2D, F, Fig. 3D, F) were unexpected and  
432 inconsistent with sampling effects and niche complementarity, and indicate that different

433 processes are operating at larger scales. One possible mechanism underlying the negative  
434 relationships is the successional process following disturbance (Horn 1974): disturbed  
435 patches of forests have lower biomass (though usually not lower productivity) and are  
436 also likely to have higher species richness, firstly because they contain more stems and  
437 secondly because they may contain a mix of early- and late-successional species; in older  
438 patches, a few high-biomass individuals of late-successional species may dominate. This  
439 successional effect should become weaker or even disappear after controlling for stem  
440 number, because trees of all size classes have similar (though not identical) species  
441 richness for a given number of individuals (Condit *et al.* 1996). In contrast, the negative  
442 relationships in our analysis persisted when stem number was controlled (Fig. 3D, F).  
443 Furthermore, the most obvious disturbances in these forests are those associated with  
444 treefall gaps, which occur mostly at scales smaller than our smallest spatial grain  
445 (Schnitzer & Carson 2001), a scale at which we observed mostly positive relationships  
446 between richness and biomass. Thus, successional mechanisms alone seem insufficient  
447 to explain the observed negative relationships.

448 We propose instead that the negative relationships between species richness and  
449 biomass arise from the effects of environmental variables. For example, productivity and  
450 biomass may increase with soil fertility but plant species richness may peak at  
451 intermediate soil fertility (Grime 1979). This would lead to classic unimodal  
452 relationships of species richness to productivity and biomass at large spatial extents that  
453 spanned the entire productivity gradient, but positive or negative monotonic relationships  
454 at the scale of a forest plot (Loreau *et al.* 2001). This idea could feasibly be tested with  
455 data from smaller plots over larger spatial extents in the same regions as our study sites

456 (e.g. Condit *et al.* 2002). More generally, environmental effects could play a role in  
457 explaining site differences even without large-scale unimodality. The mix of negative  
458 and positive relationships could be attributable to variation in the species pool between  
459 regions (e.g. owing to different regional abundances of rich and poor soils), and hence  
460 variation in the relationship between species richness and environmental variables  
461 (Schamp, Aarssen & Lee 2003; Rahbek 2005).

462 Previous studies on the species richness-productivity relationship have used various  
463 surrogates for productivity, including biomass (Whittaker 2010). Our results provide a  
464 clear empirical demonstration of why this may not always be valid: although biomass and  
465 productivity are generally positively correlated within our sites (Fig. 4), their  
466 relationships to species richness may differ. For example, at the largest spatial grain, a  
467 few sites showed significantly negative relationships between species richness and  
468 biomass (Fig. 3F) but no relationship between species richness and productivity (Fig.  
469 3E). In forests, at least, biomass and productivity should be treated as separate ecosystem  
470 functions.

471 In view of our results showing scale-dependent relationships of species richness to  
472 productivity and biomass, we recommend that models be developed to integrate large-  
473 scale environmental information with small-scale sampling effects, niche  
474 complementarity and stem density effects. The development of such models should be  
475 informed by empirical investigations into the pattern and scale of environmental factors  
476 that drive local variation in richness, productivity and biomass in forests. Ultimately,  
477 such research should reproduce relationships between richness, productivity and biomass  
478 in forests across a range of spatial scales, thus demonstrating a more general

479 understanding of these relationships and providing practical guidance for forestry and  
480 conservation endeavours.

481

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513

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672 **SUPPORTING INFORMATION**

673 Additional supporting information may be found in the online version of this article:

674 **Figs S1–S6** Productivity-richness and biomass-richness regressions as for Fig. 2 but

675 with each site on a separate panel.

676 **Figs S7–S12** Results of the LOESS regressions used to remove the effect of stem

677 density on productivity and biomass.

678 **Figs S13–S15** Cross-site relationships between mean 1 ha species richness, mean

679 productivity and biomass.

680 **Table S1** Methods used to estimate productivity and biomass at each site.

681 **Table S2** Numerical output from the fits of the generalized least squares models of

682 productivity and biomass on species richness.

683 **Table S3** Numerical output from the fits of the generalized least squares models of

684 productivity and biomass on species richness in the analysis controlling for stem

685 density.

686 **Table S4.** Summary data for species richness, biomass and productivity of 1 ha

687 quadrats at each site.

688

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690 information supplied by the authors. Such materials may be re-organized for online

691 delivery, but are not copy-edited or typeset. Technical support issues arising from

692 supporting information (other than missing files) should be addressed to the

693 authors.

694 **Table 1.** Study sites (ordered by distance from the equator; further details at  
 695 <http://www.ctfs.si.edu/>)

| Site name             | Short name | Latitude | Longitude | Rainfall (mm/yr) | Area used (ha) | Census years used |
|-----------------------|------------|----------|-----------|------------------|----------------|-------------------|
| Yasuni                | yas        | 0.69° S  | 76.40° W  | 3081             | 25             | 1996,2003         |
| Pasoh                 | pas        | 2.98° N  | 102.31° E | 1788             | 50             | 2000,2005         |
| Amacayacu             | ama        | 3.81° S  | 70.27° W  | 3200             | 25             | 2011              |
| Lambir                | lam        | 4.19° N  | 114.02° E | 2664             | 50             | 1992,1997         |
| Korup                 | kor        | 5.07° N  | 8.85° E   | 5272             | 50             | 1998,2008         |
| Sinharaja             | sin        | 6.40° N  | 80.40° E  | 5012             | 25             | 1995,2001         |
| Barro Colorado Island | bci        | 9.15° N  | 79.85° W  | 2551             | 50             | 2005,2010         |
| Mudumalai             | mud        | 11.60° N | 76.53° E  | 1249             | 50             | 1996,2000         |
| Huai Kha Khaeng       | hkk        | 15.63° N | 99.22° E  | 1476             | 50             | 1993,1999         |
| Palanan               | pal        | 17.04° N | 122.39° E | 3380             | 16             | 1998,2004         |
| Luquillo              | luq        | 18.33° N | 65.82° W  | 3548             | 15             | 2005              |
| Xishuangbanna         | xis        | 21.61° N | 101.57° E | 1532             | 20             | 2007              |
| Dinghushan            | dhs        | 23.16° N | 112.51° E | 1985             | 20             | 2005,2010         |
| Lienhuachih           | lie        | 23.91° N | 120.88° E | 2285             | 25             | 2008              |
| Fushan                | fus        | 24.76° N | 121.56° E | 4271             | 25             | 2004,2009         |
| Ilha do Cardoso       | PEI        | 25.10° S | 47.96° W  | 2261             | 9              | 2004              |
| Gutianshan            | gut        | 29.25° N | 118.12° E | 1964             | 24             | 2005              |
| Yosemite              | yos        | 37.76° N | 119.82° W | 1061             | 24             | 2010              |
| SCBI                  | scb        | 38.89° N | 78.15° W  | 1976             | 24             | 2008              |
| SERC                  | SER        | 38.89° N | 76.56° W  | 1080             | 16             | 2011              |
| Dongling              | don        | 39.96° N | 115.41° E | 568              | 20             | 2010              |
| Changbai              | cha        | 42.38° N | 128.08° E | 700              | 25             | 2004,2009         |
| Haliburton            | hal        | 45.29° N | 78.64° W  | 1050             | 8              | 2009              |
| Wabikon               | wab        | 45.55° N | 88.80° W  | 780              | 24             | 2008              |
| Wytham Woods          | wyt        | 51.77° N | 1.34° W   | 726              | 18             | 2010              |

697 **Fig. 1.** Locations of the study sites. Black points indicate sites at which both above-  
 698 ground dry biomass and coarse woody productivity were estimated. Red points indicate  
 699 sites at which only above-ground dry biomass was estimated.

700

701 **Fig. 2.** Observed relationships of tree species richness to coarse woody productivity  
 702 (CWP; panels A, C, E) and above-ground biomass (AGB; panels B, D, F) at the study  
 703 sites at three spatial grains (0.04 ha, 0.25 ha, 1 ha). Points show the quadrat data (number  
 704 of points for each site = site area / spatial grain; see Table 1), lines show regressions for  
 705 individual sites, with green indicating positive slopes, red negative slopes, and black  
 706 slopes not significantly different from zero. Axis scales are logarithmic.

707

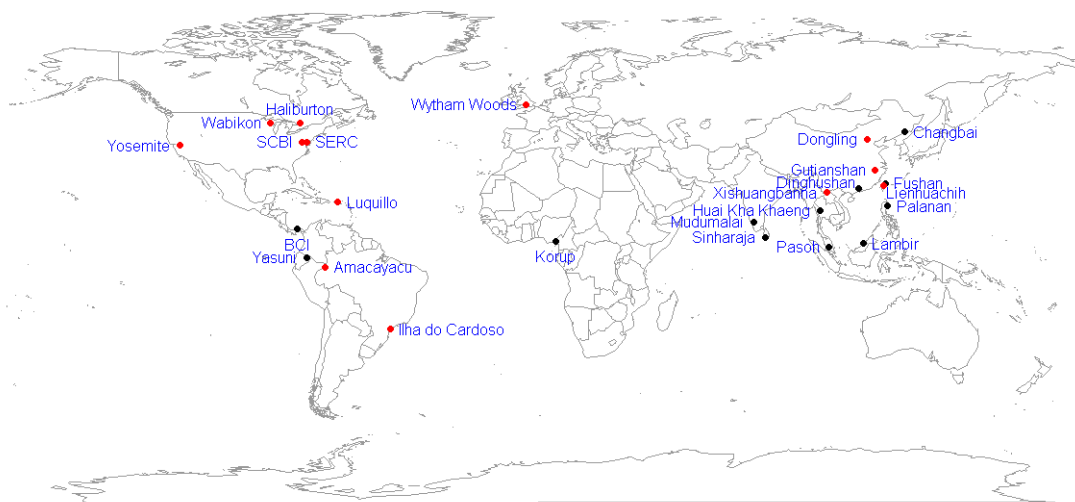
708 **Fig. 3.** Strength of observed relationships of tree species richness with coarse woody  
 709 productivity (CWP; panels A, C, E) and above-ground biomass (AGB; panels B, D, F) at  
 710 the study sites at three spatial grains (0.04 ha, 0.25 ha, 1 ha). Horizontal axes show site  
 711 name abbreviations (Table 1). Closed circles with solid whiskers show upper bounds on  
 712 effect sizes, corresponding to slopes of log CWP or log AGB on log richness and 95%  
 713 confidence intervals (Fig. 2, Fig. S1–S6). Open circles with dashed whiskers show lower  
 714 bounds on effect sizes, corresponding to slopes and confidence intervals from the  
 715 analyses controlling for stem density. Green and red indicate statistically significantly  
 716 positive and negative slopes.

717

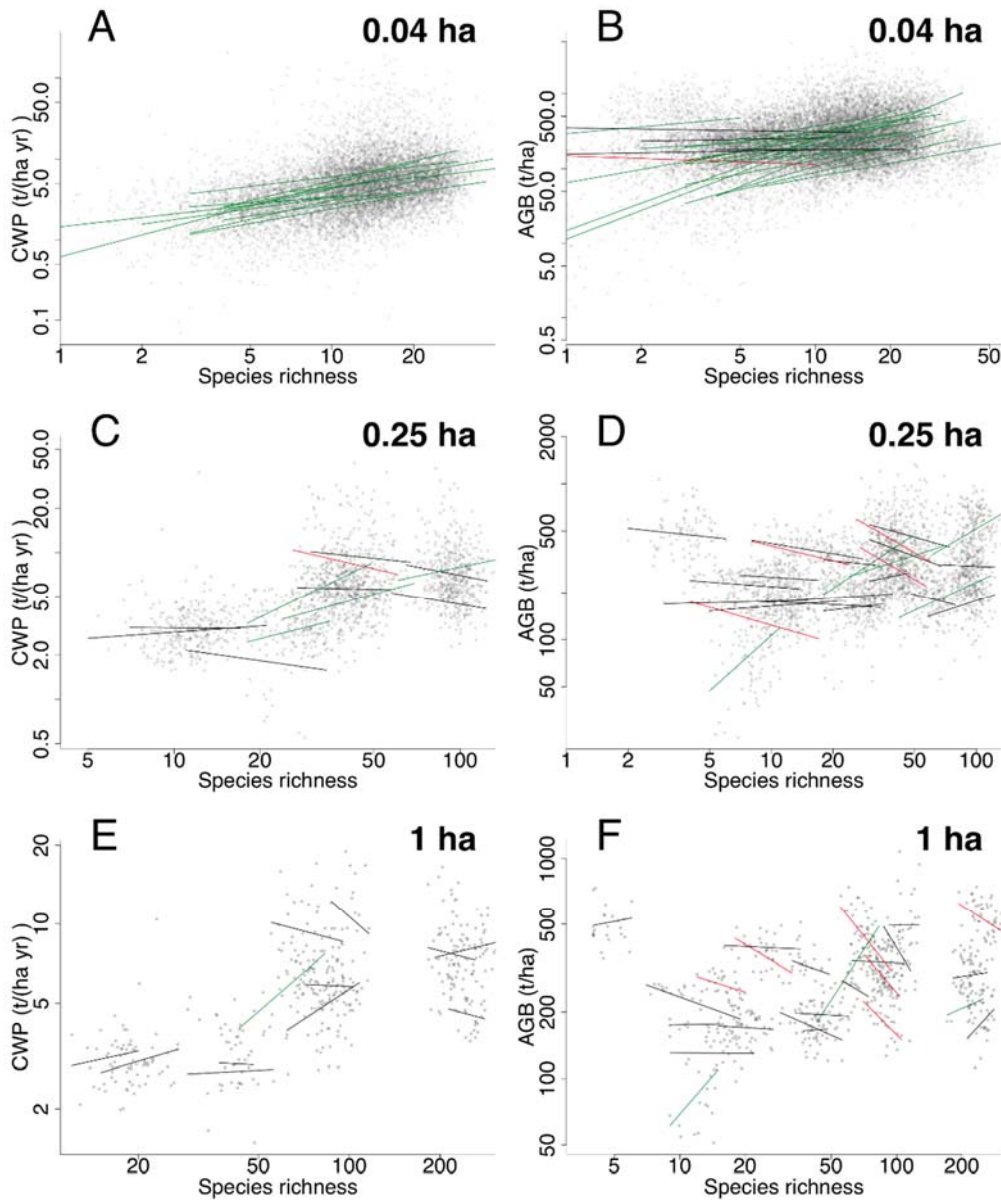
718 **Fig. 4.** Panels A, C, E: Observed relationships between coarse woody productivity  
 719 (CWP) and above-ground biomass (AGB) at the study sites at three spatial grains (0.04  
 720 ha, 0.25 ha, 1 ha). Points show individual quadrat data and lines show fitted models  
 721 (green = statistically significantly positive). Axis scales are logarithmic. Panels B, D, F:  
 722 Strengths of relationships with closed circles showing slopes from panels A, C, E, and  
 723 solid whiskers showing 95% confidence intervals, and open circles with dashed whiskers  
 724 showing slopes and confidence intervals from the analysis controlling for stem density.  
 725 Site name abbreviations on horizontal axes are given in Table 1.

## Scale-dependent relationships between tree species richness and ecosystem function in forests

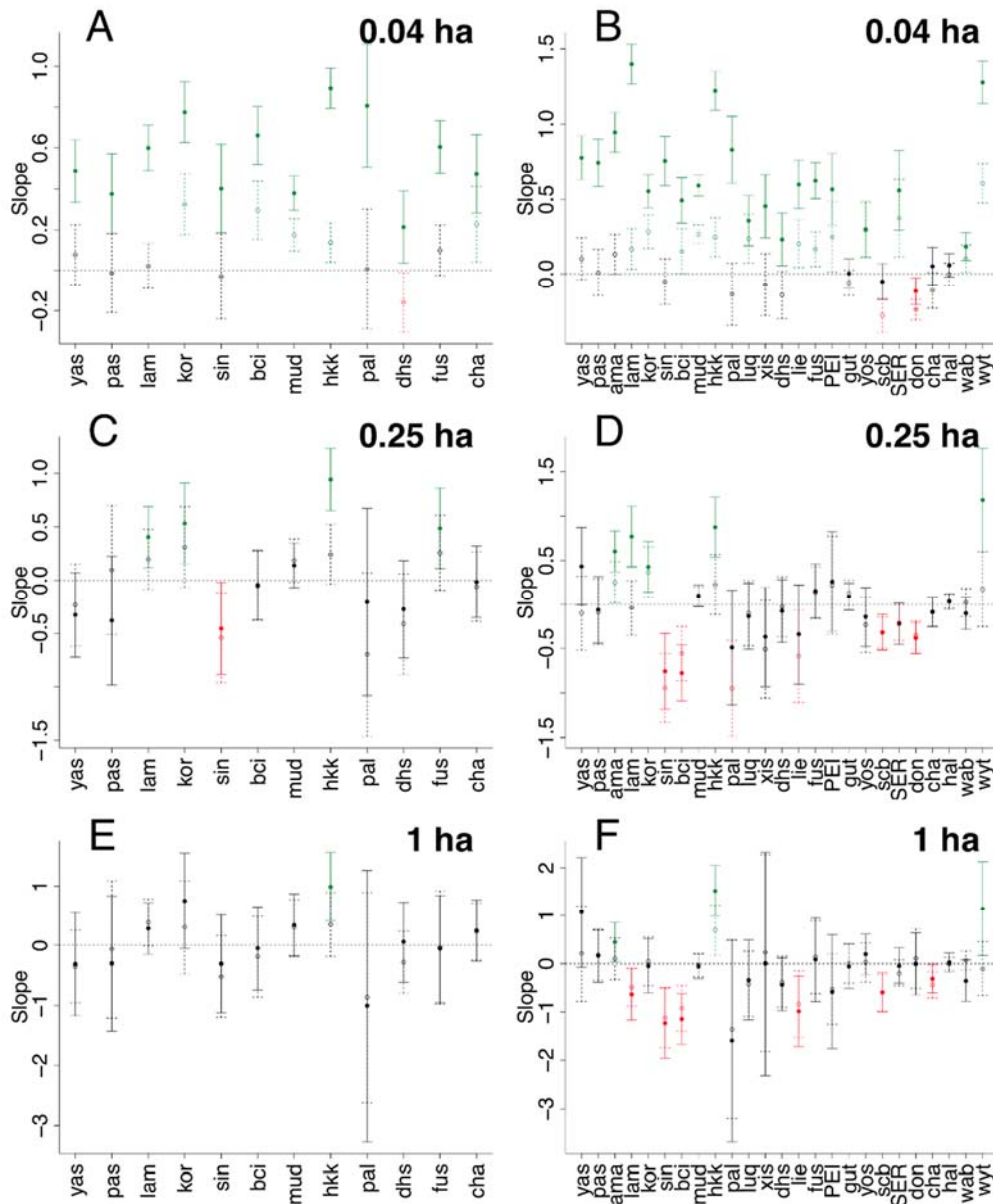
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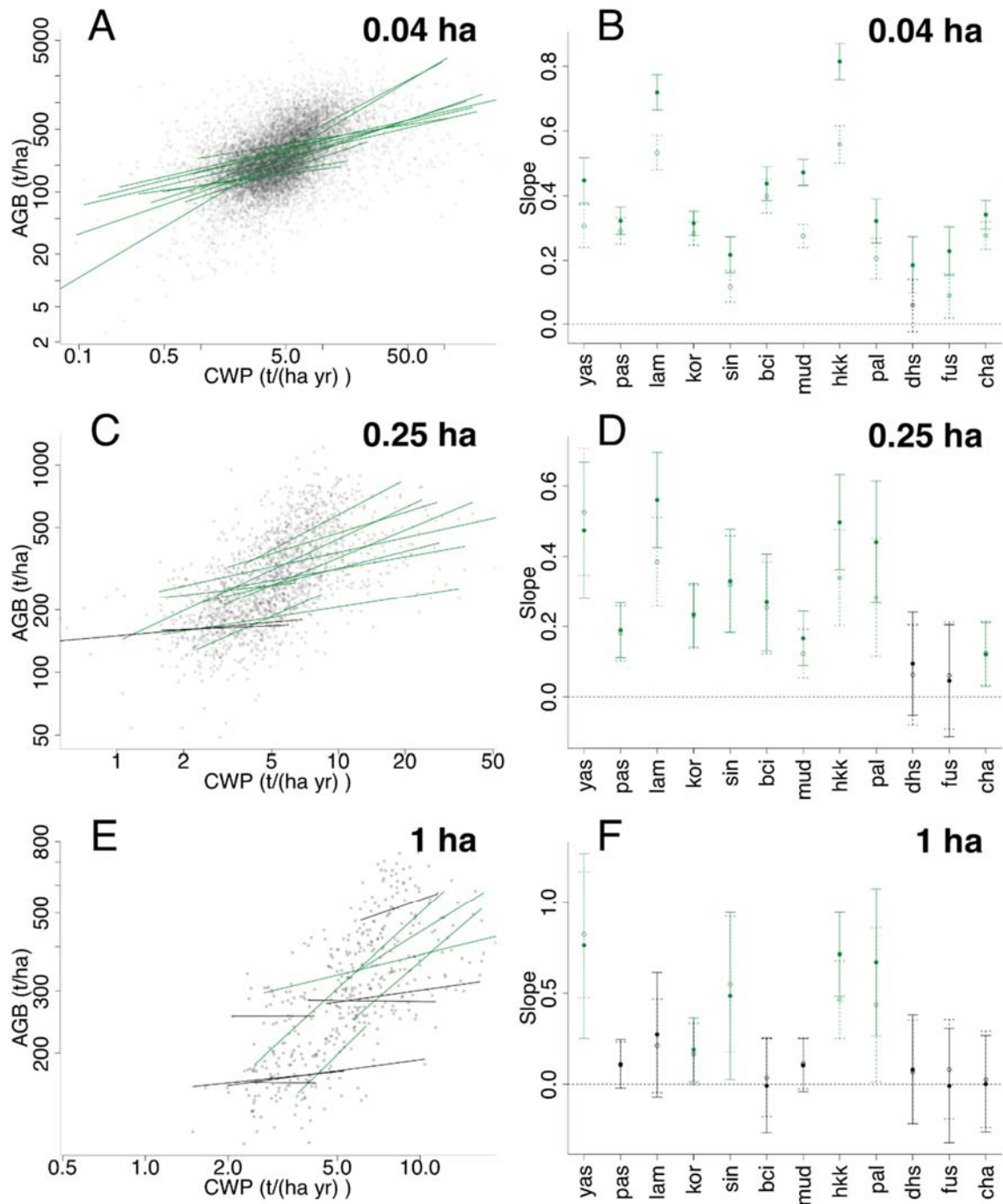
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**Fig. 2.** Observed relationships of tree species richness to coarse woody productivity (CWP; panels A, C, E) and aboveground biomass (AGB; panels B, D, F) at the study sites at three spatial grains (0.04 ha, 0.25 ha, 1 ha). Points show the quadrat data (number of points for each site = site area / spatial grain; see Table 1), lines show regressions for individual sites, with green indicating positive slopes, red negative slopes, and black slopes not significantly different from zero. Axis scales are logarithmic.



**Fig. 3.** Strength of observed relationships of tree species richness with coarse woody productivity (CWP; panels A, C, E) and aboveground biomass (AGB; panels B, D, F) at the study sites at three spatial grains (0.04 ha, 0.25 ha, 1 ha). Horizontal axes show site name abbreviations (Table 1). Closed circles with solid whiskers show upper bounds on effect sizes, corresponding to slopes of log CWP or log AGB on log richness and 95% confidence intervals (Fig. 2, Fig. S1–S6). Open circles with dashed whiskers show lower bounds on effect sizes, corresponding to slopes and confidence intervals from the analyses controlling for stem density. Green and red indicate statistically significantly positive and negative slopes.



**Fig. 4.** Panels A, C, E: Observed relationships between coarse woody productivity (CWP) and aboveground biomass (AGB) at the study sites at three spatial grains (0.04 ha, 0.25 ha, 1 ha). Points show individual quadrat data and lines show fitted models (green = statistically significantly positive). Axis scales are logarithmic. Panels B, D, F: Strengths of relationships with closed circles showing slopes from panels A, C, E, and solid whiskers showing 95% confidence intervals, and open circles with dashed whiskers showing slopes and confidence intervals from the analysis controlling for stem density. Site name abbreviations on horizontal axes are given in Table 1.