

1 **Century-to-millennial scale climatic variability in Lake Malawi revealed by isotope**  
2 **records.**

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38 **Abstract**

39 Diatom-based oxygen isotope data ( $\delta^{18}\text{O}_{\text{diatom}}$ ) from Lake Malawi show multi-centennial scale  
40 wet-dry intervals spaced approximately every 2.3 ka throughout a 25 ka sequence. The  
41  $\delta^{18}\text{O}_{\text{diatom}}$  record is supported by a lower resolution deuterium ( $\delta\text{D}_{\text{pa}}$ ) isotope curve derived  
42 from palmitic acid. We interpret these isotope data in terms of major shifts in precipitation  
43 and evaporation moderated by seasonal controls on the host organisms. Dry periods  
44 marked by relatively positive isotope values, represent the extension of abrupt Holocene  
45 events noted from northern and equatorial Africa to 10-15°S. These events in Lake Malawi  
46 correspond to cool episodes in Greenland, thereby demonstrating teleconnections generated  
47 by meridional temperature gradients. Sea surface temperatures are likely to be the primary  
48 transmitter of deglacial climate changes, although trade wind strength and direction is critical  
49 in controlling precipitation patterns in tropical regions. Conversely, the global hydrological  
50 cycle, driven by low latitude regions represents an important positive feedback amplifying  
51 deglacial processes.

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55 Keywords: isotopes, oxygen, diatoms, deuterium, palmitic acid, Malawi, palaeoclimate

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## 58 1. Introduction

59 Energy excess in tropical regions dissipates latitudinally through atmospheric and  
60 oceanic circulation systems, at a rhythm partly controlled by the extent of polar ice sheets via  
61 meridional temperature gradients, and the strength of the thermohaline circulation.  
62 Deciphering centennial-to-millennial scale variability in these bi-directional feedbacks is  
63 critical to understanding the relative roles of changing ocean and atmospheric circulation. In  
64 addition, zonal variability within the tropics, such as long periods of El Niño Southern  
65 Oscillation (ENSO)-like conditions [1, 2] can have extra tropical influence through  
66 perturbation of the global hydrological cycle [3]. The regional expression of these processes  
67 is complex, and our understanding is partial, since few sites preserve detailed records  
68 beginning before the last glacial maximum (LGM). Southern hemisphere localities are  
69 especially poorly represented in this climate mosaic, yet they are crucial to test phase  
70 relationships with polar regions as proposed by bipolar seesaw models [4, 5]. Here, these  
71 teleconnections are examined through a 25 ka diatom-based oxygen isotope record  
72 ( $\delta^{18}\text{O}_{\text{diatom}}$ ) from the northern basin of Lake Malawi (10-15°S, 34.5°E), providing the first long  
73 continuous record of its kind from continental Africa. The  $\delta^{18}\text{O}_{\text{diatom}}$  record is complemented  
74 by a lower resolution deuterium record from palmitic acid ( $\delta\text{D}_{\text{pa}}$ ) and extends previous  
75 multiproxy palaeolimnological findings from the lake's northern basin [6-9]

76

### 77 1.1. Modern climate, hydrology and limnology

78 Lake Malawi's climate is largely controlled by the north-south migration of the inter-  
79 tropical convergence zone (ITCZ) that marks the meeting of the north-easterly monsoon and  
80 the south-easterly trade winds (Figure 1). A north westerly airflow can also bring rain of  
81 Atlantic origin to Malawi via the Congo basin [10, 11]. A single rainy season occurs during  
82 October-March when the ITCZ lies over the lake, and a dry season (April-September) is  
83 characterised by strong south-easterly trade winds. Mean rainfall over the catchment is 1350  
84 mm yr<sup>-1</sup>, but there is a steep north-south rainfall gradient due in part to the orographic

85 influence of the northern Rungwe mountains. At the inter-annual scale, the Malawi catchment  
86 straddles the boundary between the southern subtropical and the equatorial climatic regions,  
87 which often respond to El Niño events by negative and positive annual rainfall anomalies,  
88 respectively [12]. In general, Malawi receives greater or normal rainfall under La Niña  
89 conditions when the sea-land temperature gradient increases and convergence occurs over  
90 the continent, whereas in El Niño years the ascending limb of the Walker circulation moves  
91 eastward to the western Indian Ocean [13]. Patterns of sea surface temperatures in the  
92 surrounding oceans are also important controls on the Rossby wave across southern Africa,  
93 bringing greater amounts of rain when the east Atlantic and Agulhas region are warm and the  
94 west Indian Ocean is relatively cool [11]. It has been demonstrated that under present  
95 boundary conditions higher rainfall south of 15°S occurs when Greenland is relatively cold  
96 and vice versa [14]. Correlation with the North Atlantic Oscillation (NAO) and rainfall over the  
97 region helps define this process and implies that the ITCZ shifts southward when the NAO  
98 driven westerlies are strongest [10].

99

100 The climatology described above identifies ultimate source areas for Malawi rainfall  
101 as the western Indian Ocean and the tropical Atlantic. The importance of each source is not  
102 known and will change according to zonal circulation patterns such as ENSO and relative  
103 sea surface temperatures (SSTs) [12]. Atlantic waters will become more isotopically  
104 enriched through recycling of transpired water from the Congo Basin as has been suggested  
105 from elsewhere in East Africa [15, 16]. The oxygen isotope composition of rainfall in the  
106 Lake Malawi catchment interpolated from the Global Network of Isotopes in Precipitation  
107 (GNIP) stations is  $-6$  to  $-9\text{‰}$ , with a marked 'amount effect' (higher rainfall intensity giving  
108 lower  $\delta^{18}\text{O}$  and  $\delta\text{D}$ ) during the wet season [17]. Deuterium values are similarly interpolated  
109 to be  $-14$  to  $-38\text{‰}$ . Direct precipitation and river discharge each represent  $\sim 50\%$  of the water  
110 input to the lake, while evaporation and outflow contribute  $\sim 84\%$  and  $\sim 16\%$  of output,  
111 respectively [18, 19] resulting in a net evaporative loss. Rivers north of  $10.5^\circ\text{S}$  discharge  
112 about  $53\%$  of total runoff. Water isotope values from the northern catchment are similar to

113 rainfall estimates ( $-3.1\text{‰} < \delta^{18}\text{O} < -5.4\text{‰}$  and  $-14\text{‰} < \delta\text{D} < -31\text{‰}$ ) [20]. In contrast to these  
114 low rainfall and runoff values, we found the lake had high isotope values ( $+1.8 < \delta^{18}\text{O} <$   
115  $+2.3\text{‰}$ ) measured at three stations from the southern basins to a depth of 50 m with only  
116 limited variation between measurements (Table 1). These values are comparable with  
117 deeper profiles from the central basin made in 1976 at the end of the wet season [21] and  
118 others from the northern basin at the end of the dry season in 1993 [20]. Our water isotope  
119 measurements were also made at the end of the dry season and represent maximum  
120 epilimnion values. Strongly enriched isotope values from the lake water, relative to those of  
121 the major inputs, testify to the fractionation exerted by evaporation from the lake surface.

122

123 Diatoms dominate the phytoplankton during the dry season when strong lake water  
124 mixing (April-September) [22], low humidity and SE winds reinforce radiative cooling of  
125 surface water. All diatom silica is subject to some degree of dissolution during sinking and  
126 early diagenesis, but a rather high proportion (7 to 11%) of diatom production is permanently  
127 buried in Malawi [19]. *Aulacoseira nyassensis* (Figure 2) is the most ubiquitous diatom in  
128 cores from the northern basin and comprises the majority of the diatom biomass measured  
129 for  $\delta^{18}\text{O}_{\text{diatom}}$ . In addition to the diatom-based oxygen isotopes, we measured compound  
130 specific hydrogen isotope ratios as an alternative and complementary (to  $\delta^{18}\text{O}_{\text{diatom}}$ ) insight  
131 into water isotope fractionation and palaeohydrology. It is important to note that the  
132 deuterium data are to be interpreted as a pilot study and full calibration of these data in  
133 tropical regions requires extensive further study.

134

## 135 **2. Materials and methods**

136 This study is based on core M98-2P core ( $9^{\circ} 58.6' \text{ S}$ ,  $34^{\circ} 13.8' \text{ E}$ , 363 m depth) from  
137 the northern basin of the lake collected by International Decade of East African lakes  
138 (IDEAL) programme members [6-9]. Eight AMS radiocarbon analyses (Table 2) have been  
139 made on either total organic matter from M98-2P (seven dates) or charcoal (one date). The

140 dates were found to be conformable to six previous dates on a nearby core (M98-1P) when  
141 correlated using ash marker horizons [23]. Core M98-2P contains sections of laminated  
142 sediments interspersed with homogeneous lake mud and five cm-scale ash horizons (Figure  
143 3). Detailed stratigraphical data is provided in earlier publications [6-9]

144

145 Core M98-2P was sampled at approximately 10 cm intervals for diatom-based oxygen  
146 isotope analysis, giving a mean resolution of 200 years. Diatoms were extracted using hot  
147 H<sub>2</sub>O<sub>2</sub> and HNO<sub>3</sub>, then sieved at 63, 38 and 20 μm and further concentrated using split cell  
148 thin flow (SPLITT) separation where necessary [24]. For most samples the 63-38 μm or 38-  
149 20 μm fraction contained the majority of the diatom biomass. Our methodology successfully  
150 separated the diatoms from clays and volcanic ash. However, the cleaning process also  
151 removed small diatom taxa including most periphytic species and resulted in near  
152 monospecific samples dominated by *Aulacoseira nyassensis* (Figure 2). Although this  
153 skewed the diatom assemblage it also eliminated any possible inter-specific variability in the  
154 acquisition of oxygen [24]. A stepwise fluorination method was used to strip hydrous  
155 components from the diatom silica before a full reaction with BrF<sub>5</sub> [24]. The oxygen liberated  
156 was then converted to CO<sub>2</sub> and normalised against NBS standards. Sample reproducibility  
157 was approximately 0.3‰.

158

159 Sediment samples for δD<sub>pa</sub> were freeze-dried, and free lipids extracted using an  
160 Accelerated Solvent Extractor ASE200 using 2:1 (v/v) dichloromethane (DCM):methanol.  
161 Carboxylic acid fraction is isolated from the total extracts using solid phase extraction  
162 (Aminopropyl Bond Elute<sup>®</sup>), and was then methylated using anhydrous 2% HCl in Methanol.  
163 Methylated carboxylic acid fractions were further purified using a silica gel flash column  
164 chromatography with DCM as the eluant (removes hydroxyl-carboxylic acids). Hydrogen  
165 isotope analyses were performed using a gas chromatography – high temperature  
166 conversion – isotope-ratio mass spectrometer [25]. Compounds separated by GC column

167 were converted to H<sub>2</sub> by a pyrolysis reactor at 1445°C. Six pulses of hydrogen reference gas  
168 with known δD values were injected via the interface to the IRMS, for the computation of δD  
169 values of sample compounds. Typical standard deviation of triplicate analyses is < ± 2‰.  
170 Internal standard heneicosane also showed consistent δD values throughout the analyses,  
171 with variability < ± 2‰. The isotopic difference before and after derivatisation was used to  
172 calculate the δD value for the hydrogen from the added methyl group [25]. δD values  
173 obtained from individual acids (as methyl esters) were corrected by mathematically removing  
174 the isotopic contributions from added groups before reporting.

175

176 Statistical treatment of the δ<sup>18</sup>O<sub>diatom</sub> data used Anlyseries v.1.2 [26]. Singular spectrum  
177 analysis (SSA) was applied to enhance the signal/noise ratio and to highlight the periodicities  
178 evident in the raw diatom record (embedding dimension: 20; Vautard-Ghil autocovariance  
179 estimation) [27]. Data were re-sampled every 200 yr, normalised by their standard deviation  
180 and detrended for their long-term linear trend before performing the SSA. Periodograms of  
181 the raw data and SSA filtered data were performed using the Blackman-Tukey method  
182 (analysis of 50% of the series; Bartlett window).

183

### 184 **3. Results and interpretation**

185 The Malawi diatom-based oxygen isotope values span a range of 18‰ (Figure 3). A part of  
186 this range is accounted for by lake water temperature as values of δ<sup>18</sup>O<sub>diatom</sub> are governed by  
187 both temperature and the isotope composition of lake water (a function of source water,  
188 precipitation and evaporation) at the time the diatom frustules formed. Temperature has been  
189 estimated independently from these cores using the TEX<sub>86</sub> index (the average number of  
190 cyclopentane rings incorporated into membrane lipids of Crenarchaeota) [28], and suggest  
191 surface temperatures compared to modern values (25-29 °C) of -3.5°C during the LGM, -  
192 1°C during the YD and around 8.2 ka BP, and values of +3°C and +5°C were calculated for  
193 ca. 13.8 ka and 5 ka BP (Figure 3). Temperature changes of this order and published

194  $\delta^{18}\text{O}_{\text{diatom}}$  fractionation factors of  $-0.2$  to  $-0.5$  ‰ per °C [29, 30] do not alter significantly the  
195  $\delta^{18}\text{O}_{\text{diatom}}$  curve. Instead, the variation in  $\delta^{18}\text{O}_{\text{diatom}}$  must derive mainly from changes in the  
196 Lake Malawi water isotope composition ( $\delta^{18}\text{O}_{\text{lake}}$ ), itself a function of precipitation (amount  
197 and source), temperature, and evaporation. A sensitivity analysis of lake waters to changes  
198 in climate parameters [31] has showed that a 1‰ depletion in  $\delta^{18}\text{O}_{\text{lake}}$  would need either a  
199  $3.7$  °C decrease in temperature, a  $6.7$   $\text{mS}^{-1}$  increase in windiness, a 17% decrease in  
200 humidity, or a 1.4‰ depletion in  $\delta^{18}\text{O}$  of inputs. Since evaporation enriches the lake by 8‰  
201 relative to precipitation at the present day, large changes in water isotope values in the past  
202 will have resulted from shifts in precipitation – evaporation (P-E). Understanding of the  
203 acquisition of  $\delta^{18}\text{O}_{\text{diatom}}$  values by diatoms has increased significantly but no study of a large  
204 lake has yet been able to make a fully quantitative reconstruction of lake water isotope  
205 hydrology analogous to that achievable from calcite [24]. A major source of uncertainty is the  
206 early diagenetic alteration of frustules post mortem [32] that can cause an offset between  
207 lake water isotope conditions and that recorded by sedimentary diatoms. There is very little  
208 evidence of dissolution in these sediments although maturation processes causing infilling of  
209 pore spaces within the frustule cannot be discounted. In the absence of a detailed modern  
210 sampling programme, relating seasonal  $\delta^{18}\text{O}_{\text{diatom}}$  values from plankton tows to sediment trap  
211 and surface sediments, that is beyond the scope of this present study, our interpretation is  
212 limited to relative changes in  $\delta^{18}\text{O}_{\text{diatom}}$ .

213

214 Support for the predominance of water balance considerations in the interpretation of  
215  $\delta^{18}\text{O}_{\text{diatom}}$  comes from a correlation between diatom biovolume, a surrogate for diatom  
216 productivity and  $\delta^{18}\text{O}_{\text{diatom}}$ . Singular spectrum analysis [27] reveals the underlying variability  
217 in these curves and a positive linear correlation between the two filtered time-series ( $r^2 =$   
218  $0.63$ ) for the last 15 ka. Diatom productivity is greatest during the dry season today and  
219 during decadal-scale low lake level stages within the past 200 years (Gasse, unpublished  
220 data). Before 15 ka the relationship decouples, as other factors such as external loadings of



221 Si and P, nutrients essential to diatom productivity, would have become limiting under  
222 generally dry glacial conditions [19, 23].

223

224 Good agreement is found between the  $\delta^{18}\text{O}_{\text{diatom}}$  and  $\delta\text{D}_{\text{pa}}$  values (Figure 3). This is  
225 expected since lake water provides the source of both the diatom oxygen and the deuterium  
226 within palmitic acid (an aliphatic carboxylic acid that reacts with glycerol to form lipids in a  
227 broad range of organisms) providing that the lipids extracted from the sediments are of  
228 aquatic origin. The source of the organic material is important since higher plants can be  
229 enriched in  $\delta\text{D}$  by 10-60‰ relative to aquatic tissues due to direct use of meteoric waters and  
230 physiological factors [33]. A correlation with lake water isotope values and measured  $\delta\text{D}$   
231 from palmitic acid contained in lake sediments across North America has been established  
232 [25], but in a large complex lake such as Malawi, this assumption requires further testing .  
233 The organic matter in these deepwater Lake Malawi sediments is largely of algal origin as  
234 demonstrated by C/N,  $\delta^{13}\text{C}$ ,  $\delta^{15}\text{N}$  and HI values, although the relative contribution of  
235 terrestrial and aquatic sources is variable [8].

236

237 Our interpretation of the two diatom and palmitic acid isotope curves is therefore broadly  
238 similar, yet some differences can be anticipated according to the processes of isotope  
239 acquisition, the provenance of the palmitic acid and seasonal differences between the isotope  
240 hosts. Seasonality in lake water isotope values is important since diatom productivity is  
241 skewed toward the cooler, windier dry season. Like the bulk organic matter, the aquatic  
242 lipids contain a significant algal component derived from a weighted sum of all three major  
243 groups; namely Cyanobacteria, Chlorophytes and diatoms, found in the lake in varying ratios  
244 during the annual cycle [34]. Whereas the diatoms will incorporate a dry season isotope  
245 signature, if the palmitic acid was largely from other algae, a greater proportion of the  
246 deuterium would be incorporated during the strongly stratified wet season. Inter-annual  
247 variation between algal groups and the amount of biomass they produce will have occurred

248 throughout this 25 ka series, leading to differences in the season represented by the  
249 deuterium isotopes. The oxygen and deuterium isotope curves are likely to diverge most  
250 strongly when diatom productivity is low relative to that of the lake as a whole, as probably  
251 occurred during the LGM when Si limited diatom production [7], or if high runoff brought more  
252 abundant higher plant material to the deep waters.

253

### 254 *3.1. The pre-Holocene period*

255

256 A general pattern of increasing  $\delta^{18}\text{O}_{\text{diatom}}$  values, punctuated by a series of wet-dry  
257 fluctuations, can be observed from the core base to ca. 15 ka, when following a major wet  
258 period, oxygen isotope values oscillate at centennial to millennial scales around the mean of  
259 the record. Given the range of indicators which suggest evidence for relative aridity at the  
260 LGM and a lower lake level [6-8, 35], it is perhaps surprising that both of the isotope curves  
261 do not contain values that are more enriched in the heavier isotope. One explanation of  
262 relative high values of  $\delta\text{D}_{\text{pa}}$  is that the majority of autochthonous production at this time  
263 derived from Cyanobacteria and Chlorophytes using nutrients delivered during a shortened  
264 wet season or from upwelling. Low diatom biovolume and biogenic silica flux [7] indicates  
265 only weak diatom growth occurred around the LGM, probably due to silica becoming rapidly  
266 limited if catchment inputs of silica were reduced under a drier climate (Figure 3), and a  
267 switch toward other algal groups would have been likely.

268

269 Specific wet-dry periods in the  $\delta^{18}\text{O}_{\text{diatom}}$  record can be correlated with proxies from  
270 neighbouring sites, including the relatively wet interval 17-18 ka that can be found in lake  
271 Tanganyika to the north and in the Makapansgat stalagmite oxygen isotope record from  
272 South Africa [36]. More generally, the millennial scale variability in the  $\delta^{18}\text{O}_{\text{diatom}}$  record  
273 corresponds to temperature changes at high latitudes, although broadly opposing  
274 relationships are observed with Greenland (GISP2; [37]) and during the postglacial period

275 with Antarctica (eg. Dronning Maud Land [38]) (Figure 4). According to these data,  
276 centennial-scale dry periods in Malawi occur when Greenland is relatively cold [39], and vice  
277 versa. For example, dry events ca. 21.5-22 ka and 19-20 ka coincide with cool periods in the  
278 GISP2 temperature reconstruction. Moreover, the most extensive dry period in our record  
279 from 17.8-14.5 ka corresponds to the cool interval preceding the Bølling-Allerød (BA) in  
280 Greenland and the major postglacial warming of Antarctica. The highest  $\delta^{18}\text{O}_{\text{diatom}}$  value  
281 (+39.7‰), representing the most intense dry period in this sequence is recorded at 12.5 ka,  
282 the start of the exceptionally cold Younger Dryas in Greenland. Conditions at lake Malawi  
283 during the Younger Dryas are complex and show a second maximum dry phase at 11.8 ka  
284 before the establishment of wet early Holocene conditions. Relatively high concentrations of  
285 periphytic diatoms, a diminished silica flux to the lake and organic matter composition  
286 support the interpretation that these were relatively dry periods [6, 23, 40]. Other data from  
287 this core show low P, suggesting reduced river inputs, and variable volcanic ash inputs  
288 brought either by northerly wind or rivers to the lake from the northern catchment during  
289 many of the dry intervals described here [7]. The organic matter record shows high primary  
290 productivity from 17.9-16.5 ka and has been interpreted as indicative of high nutrient flux  
291 from increased runoff [40]. But, diatom productivity was low at this time, suggesting that at  
292 least the supply of Si did not increase, and instead it could be envisaged that stronger  
293 vertical mixing led to more efficient regeneration of N in the epilimnion, thereby shifting lake  
294 water stoichiometry and altering competitive interactions amongst phytoplankton in favour of  
295 Cyanobacteria.

296

297 Around 13.5 ka there is a remarkable decrease in  $\delta^{18}\text{O}_{\text{diatom}}$  coeval with a minimum in diatom  
298 periphyton [6]. This negative oxygen isotope spike has been replicated and corresponds to a  
299 similar excursion in the  $\delta\text{D}_{\text{pa}}$  data and corresponds to a peak in water temperature inferred  
300 from  $\text{TEX}_{86}$  [28]. These independent measurements indicate that these unusual values had  
301 a physical underpinning and cannot be dismissed as experimental error. The

302 correspondence with  $\delta D_{pa}$  suggests that isotopic dilution was brought about by an increase in  
303 rainfall over the catchment, and the lake reaching its overflow after several millennia of  
304 closure may have amplified the response. This remarkable wet phase in the Malawi basin  
305 occurred during the Antarctic Cold reversal [41] and the second half of the warm BA period in  
306 Greenland. It falls within the major post-glacial expansion of more northerly lakes that began  
307 ca. 15 ka in Tanganyika [42], Victoria [43], Rukwa [44, 45], Manyara [46], Magadi [47] and  
308 others [35], although these proxy (mainly diatom) records show a protracted wet period until  
309 at least the Younger Dryas.

310

### 311 *3.2 The Holocene*

312 The early and middle Holocene reveals a series of wet-dry fluctuations around the mean  
313 values for the sequence and is relatively stable compared to earlier and succeeding periods  
314 (Figure 3 and 4). The record suggests relatively high P-E at ca. 11.0, 9, 7.5 ka and  
315 maximum aridity at 10, 8.2, and 6.4 ka. Relatively dry conditions at 8.2ka are well known  
316 from several high resolution sites in East Africa [48]. Around 7 ka we observe some minor  
317 differences between the shape of the  $\delta D_{pa}$  and that of the  $\delta^{18}O_{diatom}$  curve. Seasonal  
318 differences in the acquisition of water isotopes could again have occurred as proposed for  
319 earlier parts of the record, although contamination with higher plant material would offer a  
320 simpler explanation to high  $\delta D_{pa}$ , especially as inputs of terrestrial remains from the lake  
321 margin are suggested by the organic matter composition [8]. The period ends with a wet  
322 pulse at 5.3 ka confirmed by a sharp peak in  $\delta D_{pa}$  and coeval with the warmest lake water  
323 temperatures [28]. After 5 ka, the frequency of changes increases, but the underlying trend  
324 indicates relative aridity around 4 ka followed by a return to values around the mean of the  
325 series.

326

#### 327 4. Palaeoclimatic implications

328 Millennial-centennial scale fluctuations in the Lake Malawi  $\delta^{18}\text{O}_{\text{diatom}}$  values are inversely  
329 related to Greenland temperatures during the deglaciation as measured by the GISP2  
330 temperature record [37]. Relationships with Antarctica are less clear since the stadial-  
331 interstadial pattern is weaker during the deglaciation, although some suggestion of an  
332 opposing or lagged response to ice core temperatures [49] can be observed, in accordance  
333 with the bipolar seesaw hypothesis [4]. Positive fluctuations of the large northern ice sheets  
334 and to a lesser extent Antarctic sea ice, increased meridional temperature gradients, sucked  
335 heat from the tropics, intensified trade wind circulation and cooled surrounding oceans to  
336 varying degrees. The cooling of tropics at the LGM would have reduced humidity levels and  
337 rainfall in regions where convergence is important. Moreover, GCMs suggest that the greater  
338 relative cooling of the northern hemisphere pushed the austral summer Hadley cell to the  
339 south [50]. The product of these processes was that much of tropical Africa was relatively  
340 cool and dry at the LGM, even in the southern hemisphere, despite positive insolation forcing  
341 south of the equator [7, 28, 35]. It is likely that glacial forcing was extremely important  
342 throughout the deglaciation, with shifts in the interhemispheric asymmetry altering rainfall  
343 patterns.

344

345 Cooler SSTs have been estimated for the oceans surrounding southern Africa at the LGM  
346 and GCMs using these values generate drier conditions over the region [51]. Estimates  
347 suggest temperatures in the south-eastern Atlantic fell by up to 3.5°C [52], whereas those of  
348 the south west Indian Ocean were diminished by only 1.4-2.6°C [53]. Under present  
349 boundary conditions this zonal pattern of SSTs arises during El Niño years and creates drier  
350 conditions in southern Africa, and less convergence across southern Africa as the main  
351 convective zone shifts to the western Indian Ocean. SSTs in the Indian Ocean are a critical  
352 control on moisture fluxes to the continent [54] and are likely to contribute to the post LGM  
353 centennial scale wet/dry intervals revealed by  $\delta^{18}\text{O}_{\text{diatom}}$ . ENSO forcing would be supported  
354 by apparent links across the Indian Ocean to N. Queensland. Here a peat humification

355 record from Lynch's Crater [55] thought to result from ENSO processes, reveals a millennial  
356 scale structure similar to that of our  $\delta^{18}\text{O}_{\text{diatom}}$  record (Figure 4). For the most part the two  
357 records are in-phase, with an exception around 17 ka that may arise from chronological  
358 uncertainties.

359

360 The structure of our record is comparable to evidence of abrupt events from sites in  
361 equatorial East Africa [56] and processes such as ENSO that presently initiates a rainfall  
362 dipole between equatorial and southern Africa must have been largely subservient to  
363 meridional mechanisms especially glacial forcing. For example, modelling of a freshwater  
364 discharge to the North Atlantic of a magnitude similar to that occurring during the Younger  
365 Dryas cool event, produced slightly warmer surface temperatures in the equatorial Atlantic  
366 and over the African continent [57]. Under this simulation the ITCZ shifted southward and  
367 north-easterly trade winds were strengthened. Monsoon winds from the Indian Ocean would  
368 also become more northerly, such that reduced convergence occurs and summer rainfall  
369 would be diminished [57]. In addition, stronger winds from the south during the austral winter  
370 would augment evaporation from Lake Malawi as demonstrated by periods of reduced P-E,  
371 marked by high  $\delta^{18}\text{O}_{\text{diatom}}$  and enhance upwelling and diatom productivity in the northern  
372 basin. An alternative ENSO-based explanation of the Younger Dryas has been proposed  
373 that involves the Pacific into a La Niña pattern due to orbital configurations [58]. Using the  
374 present as a reference, this scenario would tend to favour wetter conditions in southern  
375 Africa, which would be at odds with our data.

376

377 These isotope data show strong connections with global climate variability, and it is  
378 interesting to observe that quasi-periodicities in the  $\delta^{18}\text{O}_{\text{diatom}}$  time series are analogous to  
379 periods found in other climate proxies. A dominant periodicity of 2.3 ka years, broadly  
380 describes the spacing of the dry events in this sequence (Figure 5a). This is exemplified by  
381 the first two principle components (PC1+2) generated by the SSA that account for almost

382 41% of the explained variance (Figure 5b). We also observe periods at 4.5 ka and less  
383 strongly at 1.6 ka in both the raw and filtered  $\delta^{18}\text{O}_{\text{diatom}}$  data. The former is best represented  
384 by PC3 (14% of the variance) and the 1.6 ka cycle is mapped by PC 4+5 that cumulatively  
385 explain 14% variance (Figure 5c and 5d). Similar periods are found in Antarctica at 4.4 ka  
386 and 2.4 ka in Vostok temperatures [59], and in Greenland (GISP2) where a 2.3 ka cycle  
387 occurs in the  $\text{K}^+$  data, and is thought to represent meridional atmospheric circulation [60].  
388 Combination tones and harmonics of orbital cycles have been proposed as mechanisms  
389 behind these frequencies [59], as has solar activity [61]. There is less evidence in the time  
390 series analysis of direct modulation by thermohaline circulation since, although the relatively  
391 weak 1.6 ka cycle is broadly equivalent to North Atlantic rhythms [62] given chronological  
392 constraints (N. Atlantic is rather 1.47 ka).

393

394 Further quantification of the isotope hydrology – climate relationships from these important  
395 sites requires extensive calibration of the processes leading to the acquisition and retention  
396 of the isotope signal in these new host materials. Nevertheless, the Lake Malawi  $\delta^{18}\text{O}_{\text{diatom}}$   
397 record confirms the presence of centennial-millennial scale dry periods in the southern  
398 tropics of East Africa since the LGM. We find expressions of the deglaciation driven by re-  
399 organisation of SSTs and atmospheric circulation, at a period known from studies of solar  
400 variability [61]. Furthermore, abrupt events, well known from the equatorial and northern  
401 tropics [56] [63] can be observed in this southern hemisphere lake. These isotope data  
402 suggest an important role for the tropics in at least amplifying, if not even instigating changes  
403 transmitted through Earth's primary atmospheric circulation systems. –

404

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411 isotope values at NIGL.

412

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611  
612

613 **Figure captions:**

614

615 Figure 1. Major surface airflow and convergence zones (dashed lines) influencing the Malawi  
616 region. The approximate position of the core site is marked with a black circle. Modified  
617 from [10].

618

619 Figure 2. A cleaned diatom sample from Lake Malawi comprised of *Aulacoseira nyassensis*.

620

621 Figure 3. Oxygen and deuterium isotope data from Lake Malawi core M98-2P. Diatom  
622 biovolume was calculated according to [6]. The TEX86 temperature curve is from [28].

623

624 Figure 4. Comparison of SSA smoothed  $\delta^{18}\text{O}_{\text{diatom}}$  curve, with an peat humification series  
625 from Lynch's Crater, Australia (illustrated here by the smoothed detrended % absorption  
626 series) [55], GISP2  $\delta^{18}\text{O}$  [37] and Dronning Maud land, Antarctica  $\delta^{18}\text{O}$  [38]. The SSA of  
627  $\delta^{18}\text{O}_{\text{diatom}}$  is based on the first 6 principal components accounting for 68% of the explained  
628 variance. The Lynch's Crater record is detrended and smoothed using a 3 point Gaussian  
629 filter [38]. Grey bands represent relatively wet periods in lake Malawi.

630

631 Figure 5. Blackman-Tukey power spectrum estimation of  $\delta^{18}\text{O}_{\text{diatom}}$  data with 80% confidence  
632 intervals. (a) Raw  $\delta^{18}\text{O}_{\text{diatom}}$ , (b) PC 1 +2 (41% of variance explained) of SSA filtered  
633  $\delta^{18}\text{O}_{\text{diatom}}$  data, (c) PC 3 (14% of variance explained) of SSA filtered  $\delta^{18}\text{O}_{\text{diatom}}$  data, (d) PC 5  
634 (7% of variance explained) of SSA filtered  $\delta^{18}\text{O}_{\text{diatom}}$  data.

635

636

637 Table 1. Water isotope data from Lake Malawi collected at the end of the dry season 2002  
 638 from the southern basin. No data : n.d. Units for  $\delta^{18}\text{O}$  and  $\delta\text{D}$  are per mille (‰)  
 639 compared to standard mean ocean water (SMOW).  
 640

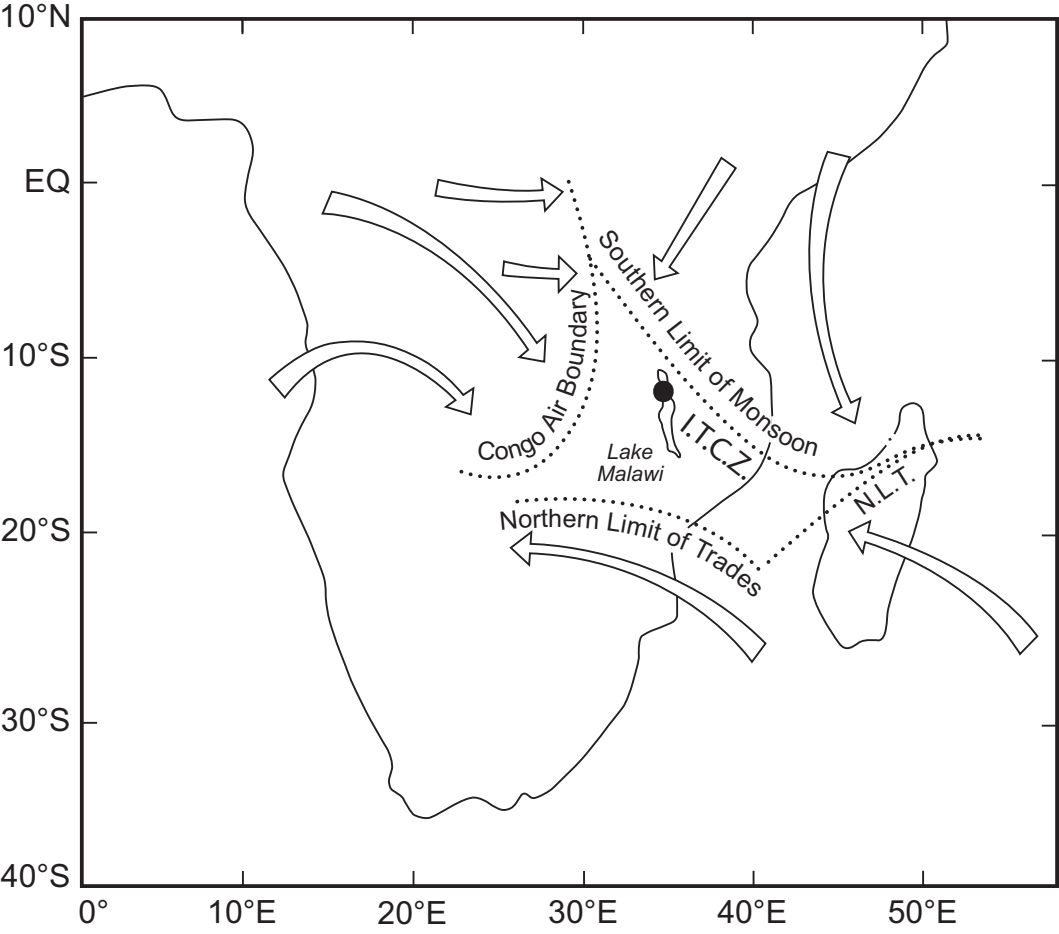
Location: Station D	Water depth (m)	$\delta^{18}\text{O}$	$\delta\text{D}$
Lat/Long 14.27° S 35.17° E			
December 2002			
	1	1.9	10.2
	5	1.9	10.3
	10	1.9	10.2
	20	1.9	8.9
	30	1.9	9.6
	40	2.0	n.d.
Senga Bay 13.75° S 34.62° E			
November 2002			
	0	2.0	9.7
	10	2.0	9.3
	20	1.9	10.0
	30	1.9	n.d.
	40	2.1	11.7
	50	1.9	10.4
Senga Bay (2) 13.75° S 34.62° E			
December 2002			
	0	1.9	10.5
	10	1.8	11.3
	20	1.9	11.5
	30	2.3	13.3
	40	1.9	12.6

641  
 642

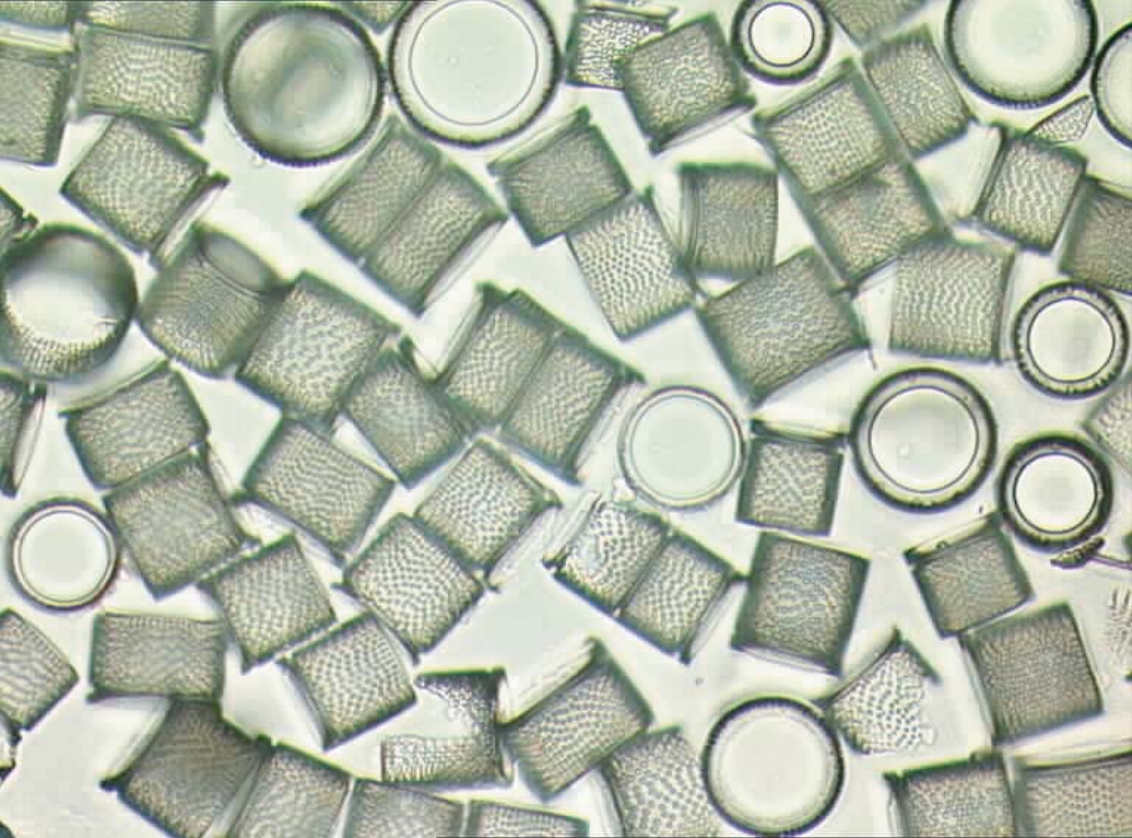
643 Table 2. Chronology of core M98-2P based upon varve counts and radiocarbon  
 644 results [9]. Calibrated <sup>14</sup>C ages using Calib 4.2 [64], and a polynomial equation  
 645 [65] for 21,000 <sup>14</sup>C years BP. A reservoir correction of 450 years was subtracted  
 646 before calibration. B = bulk sediment; C = Charcoal.  
 647

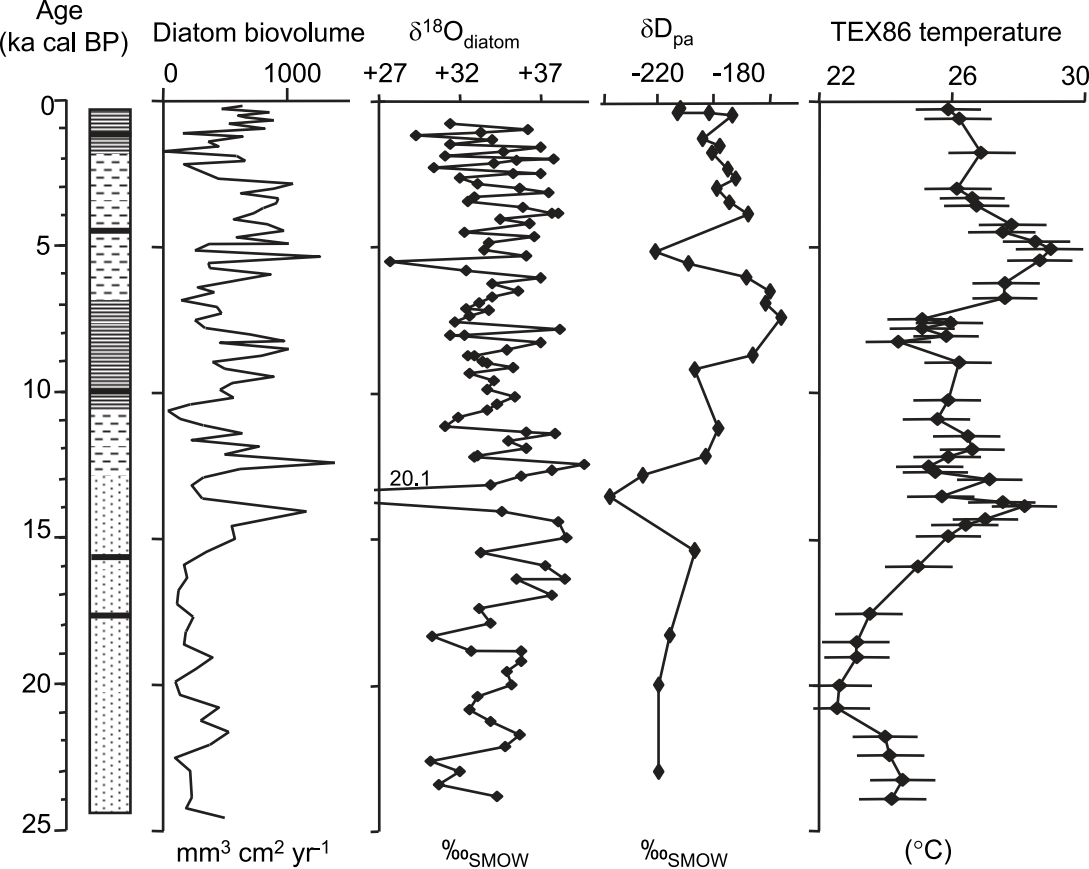
Depth (cm)	Calendar yrs before 1998	<sup>14</sup> C age ( <sup>14</sup> C yr BP)	2sigma maximum (cal yr BP)	Calibrated <sup>14</sup> C age intercepts (cal yr BP)	2sigma minimum (cal yr BP)	Laboratory code
5	323	-				(varve count)
35	552	-				(varve count)
53	698	-				(varve count)
139.5 B		2510±50	2150	2000	1890	NOSAMS 18271
248.5 B		4020±65	4080	3860	3690	NOSAMS 18272
379.5 B		6260±65	6750	6640	6450	NOSAMS 18566
500.5 B		8820±110	9500	9460, 9430	9030	NOSAMS 18692
537.5 B		9550±120	10560	10230	9920	NOSAMS 18567
648.0 B		11450±85	13160	13000	12680	NOSAMS 18561
744.0 C		14450±100	17790	17360	16950	NOSAMS 18561
900.0 B		21000±240		24170		NOSAMS 18693

648









Varves

Non-varved

Varves & homogenites

Volcanic ash

