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**Trace metal speciation predictions in natural aquatic systems: incorporation of  
dissolved organic matter (DOM) spectroscopic quality**

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## 24    **Abstract**

25    To calculate metal speciation in natural waters, modellers must choose the proportion of dissolved  
26    organic matter (DOM) that is actively involved in metal complexation, defined here as the % active  
27    fulvic acid (FA); to be able to estimate this proportion spectroscopically would be very useful. In  
28    the present study, we determined the free  $\text{Cd}^{2+}$ ,  $\text{Cu}^{2+}$ ,  $\text{Ni}^{2+}$  and  $\text{Zn}^{2+}$  concentrations in eight  
29    Canadian Shield lakes and compared these measured concentrations to those predicted by the  
30    Windermere Humic Aqueous Model (WHAM VI). For seven of the eight lakes, the measured  
31    proportions of  $\text{Cd}^{2+}$  and  $\text{Zn}^{2+}$  fell within the range of values predicted by WHAM; the measured  
32    proportion of  $\text{Cu}^{2+}$  fell within this range for only half of the lakes sampled, whereas for Ni, WHAM  
33    systematically overestimated the proportion of  $\text{Ni}^{2+}$ . With the aim of ascribing the differences  
34    between measured and modeled metal speciation to variations in DOM quality, the % active FA  
35    needed to fit modeled to measured free metal concentrations was compared to the lake-to-lake  
36    variation in the spectroscopic quality of the DOM, as determined by absorbance and fluorescence  
37    measurements. Relationships between % active FA and DOM quality were apparent for Cd, Cu, Ni  
38    and Zn, suggesting the possibility of estimating the % active FA spectroscopically and then using  
39    this information to refine model predictions. The relationships for Ni differed markedly from those  
40    observed for the other metals, suggesting that the DOM binding sites active in Cd, Cu and Zn  
41    complexation are different from those involved in Ni complexation. To our knowledge, this is the  
42    first time that such a distinction has been resolved in natural water samples.

43

44    **Running Head**

45    Predicting metal speciation in lake waters

46

47    **Environmental Context**

48    To assess the risk posed by environmental contaminants such as metals, one needs to be able to  
49    identify the key chemical species that prevail in natural waters. One of the recognized stumbling  
50    blocks is the need to quantify the influence of heterogeneous dissolved organic matter (DOM).  
51    Here we explore the possibility of using the optical signature of DOM to determine its quality, to  
52    alleviate the need to make assumptions about its metal-binding properties, and to improve the  
53    prediction of trace metal species distributions in natural waters.

54

55

## 56    **1    Introduction**

57    Knowledge of the speciation of trace metals in natural waters is widely recognised as a key to  
58    understanding both their geochemical mobility and their ecotoxicological impacts. In natural  
59    freshwater systems, trace metals may interact with a variety of ligands, including common  
60    inorganic anions (e.g. OH<sup>-</sup>, HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, Cl<sup>-</sup>, F<sup>-</sup> and SO<sub>4</sub><sup>2-</sup>), simple monomeric organic  
61    molecules of natural or anthropogenic origin (e.g. metabolites such as citrate or glycine, or  
62    polycarboxylates such as nitrilotriacetic acid) and dissolved humic substances (fulvic acid (FA) and  
63    humic acid (HA)).[1] From a geochemical or ecotoxicological perspective, the key species is the  
64    free metal ion (M<sup>z+</sup>), which is usually presumed to be in equilibrium with these various dissolved or  
65    particulate ligands and with the epithelial or cell surfaces of the resident aquatic organisms; it is the  
66    master species from which the concentrations of all other species of interest can in principle be  
67    calculated.

68    Several approaches have been used to determine free metal ion concentrations in natural waters.  
69    For example, the equilibrium ion exchange technique (IET) has been employed to determine Cd<sup>2+</sup>,  
70    Ni<sup>2+</sup> and Zn<sup>2+</sup> concentrations in environmental samples.[2–4] Various electrochemical techniques  
71    have also been used, including potentiometry with ion-selective electrodes (ISEs)[5,6] and cathodic  
72    stripping voltammetry,[5,7] as has the Donnan membrane technique.[8,9] However, given the  
73    complexity of the analytical matrices in which the free metal ion is found, and the often very low  
74    ambient metal concentrations, the determination of [M<sup>z+</sup>] in natural waters is not a routine  
75    measurement.

76    A complementary approach to such analytical determinations would be the use of chemical  
77    equilibrium models to calculate the free metal ion concentration. For simple systems, containing  
78    inorganic cations and anions together with simple monomeric ligands, such an approach is  
79    reasonably straightforward. However, for waters containing natural dissolved organic matter  
80    (DOM), the chemical equilibrium calculations must deal with the challenging presence of FA and

81 HA. These ubiquitous but poorly defined materials behave like multidentate ligands and  
82 polyelectrolytes in solution. Dudal and Gérard[10] have reviewed various approaches that have  
83 been developed to account for natural organic matter in aqueous chemical equilibrium models,  
84 including two that are currently widely used: the Windermere Humic Aqueous Model (WHAM)  
85 and the Non-Ideal Competitive Adsorption (NICA)–Donnan model. In addition to FA and HA,  
86 DOM also includes biogenic molecules (amino acids, proteins and carbohydrates), the  
87 concentrations of which tend to be maintained at very low levels due to their degradation and  
88 uptake by heterotrophic microorganisms. As a result, these other components of the DOM pool  
89 normally will not affect trace element speciation.[11] In waters affected by wastewater effluents,  
90 the DOM may also include strong chelating agents of anthropogenic origin.

91 Recent comparisons of free metal ion concentrations measured in natural aquatic systems with the  
92 concentrations predicted for these systems with the NICA and WHAM speciation models have  
93 demonstrated major differences between measured and predicted values for some metals, notably  
94 Cu, Ni and Pb.[3,12,13] Lofts and Tipping[14] have discussed possible reasons for these  
95 differences, including errors in measuring the free metal ion, errors in measuring the variables that  
96 are used as input data for the model and differences between the DOM present in the natural waters  
97 and the types of (isolated) FA and HA that have traditionally been used to calibrate or parameterise  
98 the chemical equilibrium models. In the present paper we have explored this latter factor.

99 One of the challenges involved in applying a chemical equilibrium model to a natural water is to  
100 estimate the FA and HA content of the water. Current speciation models that include dissolved  
101 organic matter (DOM), such as WHAM, incorporate the measured quantity of DOM, but users  
102 must define the DOM quality by choosing the percentage of the DOM that is actively involved in  
103 metal complexation, and by deciding what proportions of this ‘active’ DOM should be designated  
104 FA and HA. To be able to estimate this ‘percentage active’ DOM spectroscopically would be very  
105 useful. For example, the specific ultraviolet absorbance of the DOM ( $SUVA_{254}$ ), a measure of the

106 aromaticity of the organic matter, has been used to estimate the proportion of DOM that is present  
107 as HA or FA in solution and that participates in the complexation of Cu in reconstituted natural  
108 water samples[15,16] and in the complexation of Cu[17] and Cd[18,19] in soil extracts. A better a  
109 priori understanding of the quality of DOM in a given sample would alleviate the need to make  
110 assumptions about the activity of DOM and might reduce the discrepancies between predicted and  
111 measured free metal concentrations.

112 The purpose of this paper is thus three-fold: (i) to determine the free  $\text{Cd}^{2+}$ ,  $\text{Cu}^{2+}$ ,  $\text{Ni}^{2+}$  and  $\text{Zn}^{2+}$   
113 concentrations in a set of lakes located along a metal contamination gradient; (ii) to compare these  
114 measured concentrations with the free metal concentrations predicted by WHAM VI and (iii) to  
115 explore the use of the optical signature of the DOM in each lake as a means of estimating the  
116 proportion of the natural DOM that is active in metal complexation.

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118 understanding both their geochemical mobility and their ecotoxicological impacts. In natural  
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are used as input data for the model and differences between the DOM present in the natural waters and the types of (isolated) FA and HA that have traditionally been used to calibrate or parameterise the chemical equilibrium models. In the present paper we have explored this latter factor. One of the challenges involved in applying a chemical equilibrium model to a natural water is to estimate the FA and HA content of the water. Current speciation models that include dissolved organic matter (DOM), such as WHAM, incorporate the measured quantity of DOM, but users must define the DOM quality by choosing the percentage of the DOM that is actively involved in metal complexation, and by deciding what proportions of this 'active' DOM should be designated FA and HA. To be able to estimate this 'percentage active' DOM spectroscopically would be very useful. For example, the specific ultraviolet absorbance of the DOM (SUVA<sub>254</sub>), a measure of the aromaticity of the organic matter, has been used to estimate the proportion of DOM that is present as HA or FA in solution and that participates in the complexation of Cu in reconstituted natural water samples[15,16] and in the complexation of Cu[17] and Cd[18,19] in soil extracts. A better a priori understanding of the quality of DOM in a given sample would alleviate the need to make assumptions about the activity of DOM and might reduce the discrepancies between predicted and measured free metal concentrations.

The purpose of this paper is thus three-fold: (i) to determine the free  $\text{Cd}^{2+}$ ,  $\text{Cu}^{2+}$ ,  $\text{Ni}^{2+}$  and  $\text{Zn}^{2+}$  concentrations in a set of lakes located along a metal contamination gradient; (ii) to compare these measured concentrations with the free metal concentrations predicted by WHAM VI and (iii) to explore the use of the optical signature of the DOM in each lake as a means of estimating the proportion of the natural DOM that is active in metal complexation.

## 177    **2    Methodology**

### 178    2.1    Study area

179    Although a detailed description of the study sites can be found in Mueller et al.[20] a brief  
180    description is given here. Lake water was collected from lakes in two different regions on the  
181    Canadian Shield: near Rouyn-Noranda in north-western Québec and near Sudbury in north-central  
182    Ontario. Both study areas have been greatly affected by metal mining and smelting activities,  
183    particularly by atmospheric deposition of acid and metals.[21,22] The pH of the lakes tends to  
184    decrease and total metal concentrations tend to increase with a decrease in the down-wind distance  
185    from the metal smelters, particularly in the Sudbury area.[23] Acid mine drainage from point  
186    sources, such as abandoned mines or mineralised outcrops, may also decrease the pH of lake water  
187    locally. In each region, lakes were chosen to represent a gradient in water quality (pH, dissolved  
188    organic carbon (DOC)) and trace metal concentrations.

### 189    2.2    Lake water sampling

190    Lake water samples were taken from four lakes in each of the study areas during July and August  
191    2008. Sampling sites were chosen in the littoral zones of small, well mixed lakes and the sampling  
192    sites were considered to be representative of the whole lake epilimnion. The spatial variability of  
193    water chemistry (i.e. pH and concentrations of DOC and major cations) is known to be minimal  
194    within many of the sampled lakes.[3]

195    Lake water was collected passively using equilibrium diffusion sampling as described in Fortin et  
196    al.[3] and Mueller et al.[20] Briefly, 250-mL polypropylene jars (Nalgene, Nalge Nunc  
197    International Corporation, Rochester, NY) topped with custom-made plastic lids fitted with a 0.2-  
198    µm filter membrane (HT Tuffryn Membrane, Pall Canada Ltd, Ville St-Laurent, QC, Canada) were  
199    filled with Milli-Q (Millipore, EMD Millipore, Billerica, MA) ultrapure water ( $>18 \text{ M}\Omega \text{ cm}$ ) and  
200    fixed to plastic rods that were anchored to the lake bottom. The samplers were installed at a depth  
201    of 1 m from the lake surface and left to equilibrate for 13 to 14 days. Following this equilibration

202 period, the samplers were brought to the surface, the membrane surface rinsed with ultrapure water,  
203 the membranes pierced with a clean plastic pipette tip and the custom jar lids replaced with clean  
204 polypropylene screw lids. The sample jars were bagged and stored in the dark at 4 °C in field  
205 coolers for a maximum of 12 days until they were transported back to the laboratory. Note that lake  
206 water pH, conductivity and temperature were measured in the field at the time of sample collection.  
207

### 208 2.3 Sample analysis

209 All plastic laboratory and sampling equipment was soaked in 10 % (v/v) nitric acid for at least 24 h  
210 and then rinsed a minimum of six times with ultrapure water and dried under a Class 100 laminar  
211 flow hood. The only exception was for the polystyrene vials used for storing the subsamples for  
212 anion analyses; these vials were rinsed three times with ultrapure water only. All glassware was  
213 soaked in a 2 N HCl solution before being rinsed with ultrapure water.

214 Once the polypropylene jars had arrived in the central laboratory, they were opened in a clean Class  
215 100 laminar flow hood, and subsamples were collected as follows. A 10-mL subsample was  
216 transferred to a polypropylene vial and acidified to 2 % (v/v) with concentrated ultra-trace nitric  
217 acid (BDH Aristar Ultra, VWR International, Mississauga, ON, Canada) for analysis of major  
218 cations (Ca, Mg) by atomic optical emission spectroscopy (ICP-OES, Varian Vista AX CCD,  
219 Agilent Technologies Canada Inc., Mississauga, ON) and total metals (Cd, Cu, Ni and Zn) by  
220 inductively coupled plasma–mass spectrometry (ICP-MS, Element X Series, Thermo Scientific,  
221 Mississauga, ON). A second 10-mL subsample was transferred to a polystyrene vial for the  
222 determination of anion concentrations ( $F^-$ ,  $Cl^-$ ,  $NO_3^-$ ,  $SO_4^{2-}$  and  $PO_4^{3-}$ ) by ion chromatography  
223 (Dionex ICS-2000, Thermo Scientific).

224 Details concerning the quantitative and qualitative characterisation of DOM can be found in

225 Mueller et al.[20] Briefly, 20-mL subsamples were transferred to amber borosilicate vials (I-Chem

Brand, Thermo Fisher Scientific, Rockwood, TN) for total organic carbon analysis (TOC-VCPH, Shimadzu Scientific Instruments, Columbia, MD). Subsamples (1 mL) were transferred to 3-mL glass vacutainers (Kendall Monoject, Mansfield, MA) for total inorganic carbon analysis by gas chromatography (Varian 3800 GC, Agilent Technologies Canada Inc.). The absorption (Varian UV-Vis Cary 300 Spectrophotometer, Agilent Technologies Canada Inc.) and fluorescence (Varian Cary Eclipse Fluorescence Spectrophotometer, Agilent Technologies Canada Inc.) spectra of lake water DOM were also measured. The specific UV absorbance (SUVA,  $\text{L m}^{-1} \text{mg}^{-1} \text{C}$ ) was also calculated by dividing the measured absorbance at 254 nm by the concentration of DOC.[24] Excitation–emission matrix (EEM) fluorescence spectra were measured using 1-cm quartz cuvettes at excitation wavelengths from 210 to 400 nm at increments of 5 nm and at emission wavelengths from 300 to 580 nm at increments of 2 nm. The fluorescence index was calculated as the ratio between the fluorescence emission intensity at 470 nm over that at 520 nm for an excitation at 370 nm.[25,26] Finally, the multivariable statistical tool, parallel factor analysis (PARAFAC), was employed to deconvolute the EEM spectra using the procedure outlined by Stedmon and Bro[27] as implemented in a MATLAB environment, using the ‘N-way toolbox for MATLAB’.[28]

241

## 2.4 Metal speciation measurements

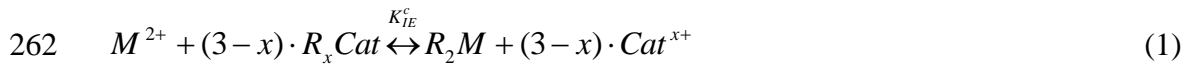
All sub-samples for metal speciation measurements were filtered (0.2- $\mu\text{m}$  polycarbonate filter; AMD Manufacturing Inc., Mississauga, ON) under a laminar flow hood before analysis. A precipitate was observed in a few samples, which prompted a filtration step for all samples just before the metal speciation analyses. WHAM metal speciation simulations were performed using the element concentrations measured on these filtered samples. All calibration solutions were prepared using ultrapure water. Stock solutions of Cd, Cu, Ni and Zn were prepared from ICP-MS standard solutions (4 %  $\text{HNO}_3$ , PlasmaCAL, SPC Science, Baie D’Urfé, QC, Canada). To take into account the variable natural conditions of each lake sampled, e.g. pH, ionic strength and total metal

concentrations, a lake-specific limit of quantification (LOQ) was calculated for the measurement of the free metal ion for each lake, based on the standard deviation of triplicate measurements. When the free metal value for a lake fell below its LOQ, it was discarded and the free metal ion concentration or percentage was not reported.

255

#### 2.4.1 Ion exchange technique (IET)

The concentrations of  $\text{Cd}^{2+}$ ,  $\text{Ni}^{2+}$  and  $\text{Zn}^{2+}$  were measured following the methods described by Fortin and Campbell[29] and updated by Fortin et al.[3] Briefly, the IET involves the equilibration of the free metal ions in an unknown sample with a cation exchange resin (Dowex 50W-X8, 50-100 mesh, Sigma–Aldrich Canada Ltd, Oakville, ON, Canada) that has been calibrated with standard solutions according to the following reaction:



263

where  $M^{2+}$  is the free metal ion,  $R_x\text{Cat}$  represents the resin with binding sites filled by a mono- or divalent cation ( $\text{Cat}^{x+}$ ),  $x$  is the charge on the cation and  $R_2M$  represents the resin with binding sites filled by the metal ion of interest. The conditional equilibrium constant ( $K_{IE}^c$ ) for the above reaction is calculated using the following equation:

$$K_{IE}^c = \frac{[R_2M] \cdot [\text{Cat}^{x+}]^{3-x}}{[M^{2+}] \cdot [R_x\text{Cat}]^{3-x}} \quad (2)$$

269

Under swamping electrolyte conditions,  $[\text{Cat}^{x+}]$  and  $[R_x\text{Cat}]$  are assumed to remain constant and Eqn 2 is rearranged to give the resin distribution coefficient at fixed ionic strength and pH,  $\lambda_{o,i,pH}$  ( $\text{L g}^{-1}$ ).

$$\lambda_{o,i,pH} = K_{IE}^c \cdot \frac{[Cat^{x+}]^{3-x}}{[R_x Cat]^{3-x}} = \frac{[R_2 M]}{[M^{2+}]} \quad (3)$$

The resin was calibrated with standard solutions with a pH range of 5.3 to 8.9 and with known concentrations of free  $Cd^{2+}$  (0.24 to 42 nM),  $Ni^{2+}$  (13 to 202 nM) and  $Zn^{2+}$  (4.9 to 148 nM) and the resin distribution coefficient ( $\lambda$ ) was calculated for each metal before applying the method to the natural unknown samples (see Supplementary Material).

Experimentally, the resin was pre-equilibrated with a matrix solution that had an ionic strength ( $Ca(NO_3)_2$  as the swamping electrolyte) and pH similar to the calibrating solution and the natural samples; a sufficient volume from a 25 mM  $Ca(NO_3)_2$  (99.0 %, Sigma–Aldrich Canada Ltd) stock solution was also added to each natural sample so as to obtain a constant Ca concentration of 0.46 mM in all natural samples. By levelling the ionic strength of the natural samples, we ensured that the time needed to reach equilibrium between the resin and the sample was similar for all samples and that a single metal-specific distribution coefficient could be used. After a steady-state had been reached between the resin and the sample (190 mL), the resin was rinsed with ultra-pure water and eluted with a volume ( $V$ , 6 mL) of 10 % (v/v) ultra-trace nitric acid (BDH Aristar Ultra, VWR International). The amount of free metal originally bound to the resin at steady-state ( $[R_2 M]$ ) was calculated using the following equation:

$$[R_2 M] = \frac{[M_{Eluate}] \cdot V}{m_r} \quad (4)$$

where  $[M_{Eluate}]$  is the concentration of metal measured in the eluate and  $m_r$  is the mass of the resin used (approximately 7 mg; weighed precisely). Finally, by combining equations 3 and 4, the free metal concentration in the sample was calculated using equation 5.

296

297 
$$[M^{2+}] = \frac{[M_{Eluate}] \cdot V}{\lambda_{o,i,pH} \cdot m_r} \quad (5)$$

298

299 2.4.2 Cupric ion selective electrode (Cu ISE)

300 Because the IET tends to overestimate free  $\text{Cu}^{2+}$  when the lake pH is greater than 6.5,[3] we  
301 determined  $\text{Cu}^{2+}$  in the lake samples using a cupric ion selective electrode (Cu ISE, Orion, Thermo  
302 Scientific) following the methods outlined by Rachou et al.[6] The Cu ISE was calibrated daily  
303 with a solution containing 0.1-mM copper nitrate ( $\text{Cu}(\text{NO}_3)_2$ , PlasmaCAL, SPC Science), 1-mM  
304 iminodiacetic acid (IDA, Sigma–Aldrich Canada Ltd), 2.5-mM potassium hydrogen phthalate  
305 ( $\text{KHC}_8\text{H}_4\text{O}_4$ , 99.95 %, Sigma–Aldrich Canada Ltd), 10-mM potassium nitrate ( $\text{KNO}_3$ , 99.995 %,   
306 Fluka, Sigma–Aldrich Canada Ltd) and 6-mM sodium hydroxide ( $\text{NaOH}$ , 98 %, Sigma–Aldrich  
307 Canada Ltd). The calibration solution was split into 5 to 11 subsamples with pH values varying  
308 from 2 to 11, adjusted by the addition of small known amounts of either  $\text{HNO}_3$  or  $\text{NaOH}$ , and  
309 allowed to equilibrate overnight. The free  $\text{Cu}^{2+}$  concentration calculated ranged from the method  
310 detection limit (three times the standard deviation (s.d.) of six replicate blank measurements) of  
311  $2.6 \times 10^{-11}$  to  $6.3 \times 10^{-5}$  M. The calibration subsamples with pH values above 6 were bubbled with  
312  $\text{N}_2$  gas, to avoid a decrease in pH with the dissolution of atmospheric carbon dioxide,  $\text{CO}_2$  (aq). The  
313 pH and temperature of the samples were respectively monitored using an Orion (Orion, Thermo  
314 Scientific) electrode and probe. During Cu ISE measurements, constant stirring and temperature  
315 conditions were also maintained. The calibrated response of the Cu ISE electrode was determined  
316 from the plot of the measured ISE potential against the calculated pCu for each calibration  
317 subsample. For the natural water samples, the concentration of  $\text{Cu}^{2+}$  was then calculated using the  
318 Cu ISE calibration equation and the measured electrode potential. The natural samples were  
319 amended with  $\text{KNO}_3$  (99.995 %, Fluka, Sigma–Aldrich Canada Ltd; a specific volume of a 1.0-M

320 stock solution was added to achieve a final concentration of 10 mM KNO<sub>3</sub>) to ensure a constant  
321 ionic strength among the natural samples.

322

## 323 2.5 Metal speciation calculations

324 The free Cd<sup>2+</sup>, Cu<sup>2+</sup>, Ni<sup>2+</sup> and Zn<sup>2+</sup> concentrations measured for each lake were compared with  
325 values calculated using the WHAM, model version 6.1.[30] Several assumptions were made in the  
326 application of the model to the lake water samples. First, we assumed that the DOC-to-DOM ratio  
327 was 2,[31] that 65 % of the DOM was active in the complexation of metals[32] and that this active  
328 fraction was composed of FAs only. We also assumed that both Fe<sup>III</sup> and Al<sup>III</sup> activities are  
329 controlled by the solubility of their hydroxides, as calculated using the respective empirical  
330 equations given by Lofts et al.[33] and Tipping.[34] Other measured WHAM input parameters  
331 included pH and the total concentrations of Na, Mg, K, Ca, Cr, Co, Ni, Cu, Zn, Cd, Cl, NO<sub>3</sub>, SO<sub>4</sub>,  
332 CO<sub>3</sub> (measured as total inorganic carbon) and F. The measured surface temperature of the lakes  
333 sampled (used in the WHAM simulations) varied between 20 and 23 °C. Separate WHAM  
334 simulations were run for lake samples analysed using the IET free ion measurement method (for  
335 the analysis of free Cd<sup>2+</sup>, Ni<sup>2+</sup> and Zn<sup>2+</sup>) and for those analysed with the ISE method (for the  
336 analysis of free Cu<sup>2+</sup>); the input data for these simulations corresponded exactly to the composition  
337 of the samples used for the free metal ion analyses, thus accounting for the differences in their ionic  
338 composition (respective additions of Ca(NO<sub>3</sub>)<sub>2</sub> and KNO<sub>3</sub>).

339

## 340 2.6 Statistical analysis

341 All statistical analyses were calculated at the  $\alpha$  equals 0.05 confidence level using *SigmaPlot 11.0*  
342 (Systat Software Inc., San Jose, CA). Parametric *t*-tests and linear and non-linear regression were  
343 used when the normality and homogeneity of variances among datasets were confirmed; otherwise,  
344 the appropriate non-parametric tests were employed (Mann–Whitney Rank Sum test, in place of the



345 *t*-test). Note that for the regression equations generated between the WHAM calculated percentage  
346 of FA active in metal complexation (%aFA<sub>opt</sub>) and the relative contribution of PARAFAC  
347 fluorescence components 1 and 3 to the overall fluorescence spectrum of lake DOM (see  
348 *Relationships between %aFA<sub>opt</sub> and DOM spectroscopic properties* below), the assumptions of  
349 normality and homogeneity of variance were confirmed. The only exception was for Ni, where the  
350 variance among the datasets was not constant ( $P = 0.01$ ).  
351

### 352 **3 Results and Discussion**

#### 353 3.1 Water quality

354 The speciation of dissolved metals in freshwater systems is sensitive to the concentration of DOM,  
355 which tends to complex free metal ions, but may also be affected by other water quality variables,  
356 notably the concentrations of Ca, Mg, Al, Fe and the H<sup>+</sup> ion, these being potential competitors for  
357 the cation binding sites on the DOM.[35] In addition, for a water of given composition, the total  
358 dissolved concentration of the metal may affect its speciation (i.e. a limited number of high affinity  
359 binding sites). In the following two subsections we describe how the water chemistry varied among  
360 the studied lakes.

##### 361 3.1.1 Background water chemistry

362 The water quality (i.e. [major cations], pH) of the lakes was described in Mueller et al.[20] for 2007  
363 and 2008. Only the 2008 data are considered here, since the metal speciation measurements date  
364 from that year. Wide ranges in general water chemistry (pH, Ca, Mg and DOC concentrations)  
365 were found among the eight lakes sampled (Table 1), reflecting both regional geological  
366 differences and local differences at the watershed scale.[20] The lakes in both sampling regions  
367 have low concentrations of calcium ([Ca]: 68 to 464 µM) and magnesium ([Mg]: 27 to 274 µM),  
368 contributing to generally soft water. Lake Bethel, from the Sudbury region, had the highest pH and

369 the highest Ca and Mg concentrations of all the lakes; for the IET measurements, all other lake  
370 water samples were thus adjusted upwards to these Ca concentrations (see *Ion exchange technique*  
371 (*IET*) above).

372 Lakes from the Rouyn-Noranda region are circumneutral, with a mean pH of 7.25 and a narrow  
373 range of values between pH 6.81 and 7.69. The mean pH for the Sudbury lakes (7.07) is slightly  
374 lower than that of the Rouyn-Noranda lakes and pH values span a wider range (6.40 to 8.08).  
375 Historically, the Rouyn-Noranda lakes were less affected by anthropogenic acidification than were  
376 those in the Sudbury area,[36] despite massive SO<sub>2</sub> emissions from the Horne smelter; the Rouyn-  
377 Noranda lakes are located in the Abitibi clay belt and are effectively better buffered against acid  
378 deposition. Although the average circumneutral pH of the lakes in the Sudbury regions is evidence  
379 of the recovery of these lakes from historical acidification in recent years,[37] pH values in the  
380 lakes of this region spanned a wider range than in the Rouyn-Noranda lakes.

381 Based on their historical chlorophyll-*a* concentrations (1–4 µg chl-*a* L<sup>-1</sup>; P. G. C. Campbell,  
382 unpubl. data), the four studied lakes in the Rouyn-Noranda region are oligotrophic, as are Lakes  
383 Raft and Whitson in the Greater Sudbury area (2–3 µg chl-*a* L<sup>-1</sup>;  
384 [http://www.greatersudbury.ca/cms/index.cfm?app=div\\_lakewaterquality&lang=en&currID=619](http://www.greatersudbury.ca/cms/index.cfm?app=div_lakewaterquality&lang=en&currID=619),  
385 accessed 7 August 2012). We were unable to find comparable data for Lake Geneva, which lies  
386 outside the Greater Sudbury area, but given the lack of development in its watershed, it is also  
387 likely oligotrophic. The only exception is Lake Bethel, which is much more productive (12–  
388 24 µg chl-*a* L<sup>-1</sup>;  
389 [http://www.greatersudbury.ca/cms/index.cfm?app=div\\_lakewaterquality&lang=en&currID=619](http://www.greatersudbury.ca/cms/index.cfm?app=div_lakewaterquality&lang=en&currID=619))  
390 and can be classified as eutrophic.

391

### 392 3.1.2 Dissolved organic carbon

393 The mean DOC concentration of the Rouyn-Noranda lakes was higher ( $6.1 \text{ mg C L}^{-1}$ ) and spanned  
394 a wider range ( $4.9$  to  $8.1 \text{ mg C L}^{-1}$ ) than in the lakes from the Sudbury region (mean of  $3.4 \text{ mg L}^{-1}$ ;  
395 range from  $2.0$  to  $4.9 \text{ mg L}^{-1}$ ). The higher DOC concentration in the lakes in the Rouyn-Noranda  
396 region than Sudbury lakes reflects the greater soil cover and the higher watershed-to-lake area  
397 ratios for the Rouyn-Noranda lakes. As described in Mueller et al.[20] the quantity and the quality  
398 of DOM in the lakes sampled vary as a function of regional watershed characteristics as well as  
399 DOM processing within the watershed and within the lake itself.

400 DOM optical quality ( $\text{SUVA}_{254}$  and Fluorescence Index) was found to differ significantly between  
401 sampling regions and among lakes (see Table S1 for more details) and is described elsewhere.<sup>[20]</sup>  
402 The authors also used PARAFAC to extract fluorescence components from EEM fluorescence  
403 spectra measured for 19 lakes in the Rouyn-Noranda and Sudbury regions, including the eight lakes  
404 retained for the present study. Of particular interest were the humic-like fluorescence components  
405 of ubiquitous origin (C1) and allochthonous origin (C3). Component C1 corresponds to a reduced  
406 quinone fluorophore associated with higher plant matter, whereas C3 corresponds to an oxidised  
407 quinone fluorophore.[20] We used the proportion of each of these DOM fluorescence components  
408 (relative to the sum of all four PARAFAC fluorescence components, i.e.  $\text{C1/C}_T$  or  $\text{C3/C}_T$ ) as  
409 measures of the quality of DOM sampled in our lakes, and in particular as proxies for the fraction  
410 of DOM active in the complexation of trace elements (see *Optimisation of WHAM VI predictions*  
411 below).

412

## 413 3.2 Lake-to-lake variations in metal concentrations and metal speciation

### 414 3.2.1 Total dissolved metal ion concentrations

415 The total dissolved metal concentrations (Cd, Cu, Ni and Zn) varied markedly among the eight  
416 lakes sampled (Table 1). For lakes in the Rouyn-Noranda region, the concentration gradient ratios  
417 (i.e. the ratio of the maximum metal concentration to the minimum metal concentration,  
418  $[M]_{\max}/[M]_{\min}$ ) decreased in the sequence Cd (33)  $\sim$  Zn (31)  $>$  Cu (7)  $>$  Ni (2.5). In the Sudbury  
419 region, the  $[M]_{\max}/[M]_{\min}$  ratios decreased in the order Ni (63)  $>$  Cd (31)  $>$  Cu (16)  $>$  Zn (5). Lakes  
420 in the Rouyn-Noranda region had significantly higher mean total concentrations of Cd ( $P = 0.004$ )  
421 and Zn ( $P = 0.030$ ) than the lakes in the Sudbury area, but lower concentrations of Ni ( $P < 0.001$ ).  
422 No significant difference in total dissolved Cu was found between the sampling regions.

423 The lake-to-lake variability in the measured total dissolved metal concentrations within a given  
424 region is largely due to variations in atmospheric loading from local mining and smelting  
425 activities.[21,38] Despite the marked reductions in smelter emissions that have been achieved over  
426 the past 30 years, lakes in close proximity to and down-wind from the metal smelters (e.g. Lakes  
427 Dufault and Dasserat in the Rouyn-Noranda region, Lakes Raft and Whitson in the Sudbury area)  
428 still have considerably higher total dissolved metal concentrations than do those located upwind or  
429 far downwind.

430

### 431 3.2.2 Free metal ion concentrations

432 The free  $\text{Cd}^{2+}$ ,  $\text{Cu}^{2+}$ ,  $\text{Ni}^{2+}$  and  $\text{Zn}^{2+}$  concentrations also varied among lakes (Table 1). The ratio of  
433  $[M^{2+}]_{\max}/[M^{2+}]_{\min}$  for the Rouyn-Noranda lakes decreased as follows: Zn (121)  $>$  Cd (54)  $>$  Cu  
434 (5.5). Free  $\text{Ni}^{2+}$  concentrations were only measurable in one replicate sample from one lake for the  
435 Rouyn-Noranda region and, therefore, no concentration gradient ratio was calculated. The ratio of

436  $[M^{2+}]_{\max}/[M^{2+}]_{\min}$  for the Sudbury lakes decreased in the sequence Ni (136) > Cu (64) > Cd  
437 (40) > Zn (22). As anticipated, lakes that had the highest concentrations of dissolved metal also  
438 exhibited the highest free metal ion concentrations.

439 In the present context, where we are comparing measured and predicted metal speciation in  
440 different lakes, it is important to consider the relative proportion of the free metal ion in each lake,  
441 as this measure takes into account the differences in total dissolved metal concentrations among  
442 lakes. As anticipated, copper was much more strongly complexed than the other three metals – the  
443 mean percentage of free metal for copper was only 1.3 %, whereas the mean percentages for  $Cd^{2+}$   
444 (54 %),  $Ni^{2+}$  (29 %) and  $Zn^{2+}$  (50 %) were much higher (Table 1). For all four metals, and in both  
445 the Rouyn-Noranda and Sudbury regions, the percentage of free metal tended to decrease as the pH  
446 increased, pointing to complexation competition by protons as an important factor affecting metal  
447 speciation. A general decline in the percentage of free metal was also observed for Cd, Cu and Ni  
448 as the DOC concentration increased, presumably reflecting an increase in the ligand-to-metal ratio  
449 (see Supplementary Materials for these pH and DOC relationships).

450

### 451 3.2.3 Comparison of the observed metal speciation with literature values

452 In comparing metal speciation in different freshwater environments, the reported free metal ion  
453 concentrations are not particularly useful, since they will vary according to the metal loadings  
454 affecting the water body. Comparisons of free metal ion proportions are a more meaningful way of  
455 evaluating how metal speciation varies from one lake or river to another. In Table 2, we have  
456 compiled free metal ion percentages that have been reported in the literature for Cd, Cu, Ni and Zn  
457 (the recent review paper by Lofts and Tipping[14] was used as a starting point to identify papers  
458 where free metal ion concentrations for natural waters had been reported). Table 2 cannot claim to  
459 be comprehensive, as the representation of surface waters from Switzerland and Canada is

abnormally high, but the compilation does offer some insight into metal-to-metal differences in metal speciation.

Copper is the metal for which the Table 2 database is the most extensive and it is also consistently the most strongly complexed metal, followed by  $\text{Ni} > \text{Cd} \geq \text{Zn}$ . For a given metal, however, there are distinct regional differences; the percentage of free metal is virtually always lower in the alkaline Swiss lakes than in the more acidic and more dilute lakes on the Canadian Precambrian Shield. On a relative scale, this difference is particularly notable for  $\text{Cu}^{2+}$ , where the percentage can be less than 0.001 % in many of the Swiss lakes but consistently above 1 % in the Canadian surface waters. Factors contributing to this trend include the aforementioned differences in pH and alkalinity (carbonate and hydroxide complexation), but also the metal loadings. Total dissolved metal concentrations in the Canadian lakes are much higher than in the Swiss surface waters (Table 2), presumably reflecting inputs from the mining and smelting activities that are prevalent on the Canadian Shield. Higher total metal concentrations, and the resulting higher metal-to-DOM ratios, would be expected to lead to lower degrees of complexation.

### 3.3 Lake-to-lake variations in predicted metal speciation and comparison with measured values

#### 3.3.1 Initial WHAM simulations

##### *Free metal ion concentrations*

The WHAM VI chemical equilibrium model has been described in detail by Tipping.[39,40] In comparisons with its predecessor (Model V), Model VI does a better job of simulating metal binding to FA and HA, particularly at low metal concentrations, for low  $[\text{M}]/\text{DOC}$  ratios and for metals that tend to bind to the strong metal-binding sites (putative N and S functional groups) that were expressly introduced into Model VI. In brief, FA and HA are assumed to be rigid spheres of uniform size (radii of 0.8 and 1.7 nm) with two classes of ion-binding groups positioned randomly

on their surface: type A sites correspond to monoprotic carboxylic acid groups, whereas type B sites are modelled as weaker (e.g. phenolic) acid groups. Metal ions and their first hydrolysis products ( $\text{MOH}^{(n-1)+}$ ) compete with each other, and with protons, for the type A and type B groups. Bidentate metal-binding sites are generated by combining pairs of proton-binding sites (A–A or A–B combinations). Only proton-binding sites that are sufficiently close to each other are able to form bi-dentate sites ( $\leq 0.45$  nm apart; estimated statistically). Similar rules are used to generate tridentate metal-binding sites.

When using WHAM to predict the free metal concentrations in the studied lakes, we initially assumed that the components of the DOC pool that participate in metal complexation reactions could be represented entirely by FA (100 % FA, 0 % HA), and that this FA portion corresponds to 65 % of the DOC; these choices were based on the recommendation of Bryan et al.[32] for copper complexation in natural waters. Good agreement was observed between predicted and measured values of  $[\text{Cd}^{2+}]$  (Fig. 1a), over a 100-fold concentration range (0.01 to 1.1 nM). Agreement for  $[\text{Zn}^{2+}]$  was also reasonable (Fig. 1d) over a similar 100-fold concentration range but for free  $\text{Zn}^{2+}$  concentrations (2 to 280 nM) that were considerably higher than for  $\text{Cd}^{2+}$ . In the least contaminated lakes (Geneva, Bethel and notably Opasatica), the predicted free  $\text{Zn}^{2+}$  concentrations were somewhat higher than the measured values, suggesting that WHAM VI may underestimate Zn complexation at low dissolved Zn-to-DOC molar ratios.

At high free  $\text{Cu}^{2+}$  concentrations ( $>0.4$  nM) our ISE measurements and the free  $\text{Cu}^{2+}$  values predicted by WHAM VI were in very good agreement (Fig. 1b), but below this threshold, in Lakes Vaudray, Geneva, Opasatica and Bethel, our measured values were 4 to 22 times higher than the predicted values. In their study of Cu speciation in three natural waters with similar low total dissolved Cu concentrations (19–30 nM), Unsworth et al.[12] also reported measured values of  $\text{Cu}^{2+}$  that exceeded WHAM VI predictions; free  $\text{Cu}^{2+}$  concentrations as estimated by two

508 independent techniques (Donnan membrane; Hollow-fibre permeable liquid membrane) were 1 to 3  
509 orders of magnitude higher than the WHAM VI predictions.

510 The generic constants used in WHAM VI have been generated from laboratory titrations of isolated  
511 HA and FA. Copper/DOC ratios in these titrations are very often higher than those encountered in  
512 natural water samples, and thus one might have expected calculations with the generic constants to  
513 underestimate copper complexation. However, we observe the opposite result (overestimation of  
514 Cu complexation, as was the case for Unsworth et al.[12]). Clearly more experiments to determine  
515 the conditional equilibrium constants for the complexation of Cu to DOM should be carried out  
516 under conditions approaching those found in natural waters (e.g. low Cu/DOC ratios; natural rather  
517 than isolated organic matter).

518 In our experiments, but not in those of Unsworth et al.,[12] there is a second possible explanation  
519 of an analytical nature for the discrepancy between measured and modelled free  $\text{Cu}^{2+}$   
520 concentrations. In the four lakes with low total Cu concentrations, the total dissolved Cu  
521 concentration may be below that which is necessary to properly measure the free  $\text{Cu}^{2+}$   
522 concentration using an ISE ( $\sim 10^{-7}$  M) in a partially buffered system.[6,31,41] In such systems, if  
523 the buffering capacity is insufficient, Cu contamination from the electrode during the  
524 potentiometric measurement may artificially increase the apparent  $[\text{Cu}^{2+}]$  value. However, if this  
525 were the case, all four lakes might be expected to exhibit similar  $\text{Cu}^{2+}$  concentrations, which is not  
526 the case. Note too that in all our lakes, the free  $\text{Cu}^{2+}$  concentration is buffered by the DOM  
527 naturally present in the samples.

528 Finally, WHAM VI consistently over-predicted the free  $\text{Ni}^{2+}$  concentration in all the lakes where  
529 the ambient concentration was above the method detection limit (Fig. 1c), i.e. the opposite result  
530 from that with Cu, suggesting that the default equilibrium constants for the complexation of Ni to



531 natural DOM are too low for the environmental conditions found in our lakes. Van Laer et al.[42]  
532 came to a similar conclusion in their study of the complexation of Ni by the DOM present in six  
533 Belgian surface waters. At their background Ni concentrations (40 to 80 nM), the measured free-  
534 ion fraction, as measured using the Donnan membrane technique, varied from 4 to 45 %; WHAM  
535 VI overestimated the free-ion proportion more than two-fold, even if it was assumed that all the  
536 DOM was present as FA and actively participating in Ni complexation.

#### 537 *Free metal ion percentages*

538 A comparison was also made between measured and modelled ‘percentage free metal’ values to  
539 take into account differences in the total metal concentrations specific to each lake (Fig. 2). A lake-  
540 specific percentage free metal limit of quantification (LOQ) was calculated for each lake based on  
541 three times the standard deviations of triplicate measurements of both the free metal and total metal  
542 concentrations measured for each lake. Any percentage free metal value calculated for a lake that  
543 yielded a value below its LOQ was discarded.

544 In addition to using the default assumption that 65 % of the DOM is present as FA and is active in  
545 metal complexation, we also calculated a range of model percentage free metal predictions by  
546 arbitrarily halving (33 %) and doubling (130 %) this value. It should be noted that increasing the  
547 %aFA to a value higher than 100 % simply increases the number of FA binding sites involved to a  
548 value that exceeds the complexation capacity ( $\mu\text{mol}$  binding sites per unit carbon) of the default  
549 FA. In the presentation of the results of these simulations (Fig. 2), the calculated percentage free  
550 metal is depicted as an envelope of values for each lake: the middle value corresponds to the  
551 default value obtained with the assumption of 65 % aFA, whereas the upper and lower values  
552 correspond to the percentage free metal calculated with 33 and 130 % as the proportions of DOM  
553 active in metal complexation. The bracket of upper and lower percentage free metal values included  
554 the measured percentage free metal values for both Cd and Zn in most lakes (7 of 8). The lakes for

555 which the measured value fell outside the predicted envelope were those with the lowest total and  
556 free metal concentrations. Even with the assumption of only 33 % aFA, i.e. minimum  
557 complexation, WHAM VI still predicted a lower percentage free  $\text{Cu}^{2+}$  than the measured value for  
558 the lakes with the lowest total Cu concentrations (4 of 8). Similarly, even with the assumption of  
559 130 % aFA, i.e. maximum complexation, the measured values of percentage free  $\text{Ni}^{2+}$  all fell below  
560 the minimum predicted value.

### 561 3.3.2 Optimization of WHAM VI predictions

562 The chemical equilibrium simulations discussed to this point were run with WHAM VI in its  
563 default mode, using the assumptions that were outlined earlier. Among the adjustable WHAM input  
564 parameters are (i) the user-defined proportion of DOM active in metal complexation and (ii) the  
565 relative proportions of FA and HA making up the DOM that is active in metal complexation. The  
566 latter parameter varies as a function of the type of organic matter, e.g. whether it is derived from  
567 soil water, peat water or lake water.[32] The proportion of DOM that is active in metal  
568 complexation is usually unknown and must be estimated by the user of WHAM.

569 At this point, we retained the assumption that the DOM actively involved in metal complexation  
570 could be represented as FA, but we removed any constraint on the ‘percentage active FA’ and ran  
571 multiple simulations for each lake and each metal, individually. The optimal percentage of FA  
572 actively involved in metal complexation (%aFA<sub>opt</sub>) required to exactly predict the measured free  
573 metal ion was calculated by repeatedly running WHAM Model VI, adjusting the FA concentration  
574 until the predicted free metal ion concentration equalled the observed value. A computer code was  
575 used to automatically run WHAM and optimise the values of %aFA. The %aFA<sub>opt</sub> was calculated  
576 in this manner for each metal and for each lake as:

$$\%aFA_{opt} = \frac{100 \cdot [FA]_{opt}}{2 \cdot [DOC]}$$

where  $[FA]_{opt}$  is the optimised FA concentration ( $\text{mg L}^{-1}$ ) and  $[DOC]$  is the DOC concentration ( $\text{mg L}^{-1}$ ). In a given lake and for a particular metal, an optimal %aFA for each of the triplicate samples was calculated by finding the FA concentration that best described all the measured free ion concentrations for each sample simultaneously (Table 3). This was done by adjusting the model FA concentration, as before, and minimising the error term.

$$\sum_1^i ([M]_{obs,i} - [M]_{calc,i})^2$$

where  $[M]_{obs,i}$  and  $[M]_{calc,i}$  are the observed and calculated free ion concentrations for metal  $i$ .

By increasing or decreasing the %aFA, the number of FA binding sites involved in metal complexation is either increased or decreased, whereas the intrinsic metal binding affinities at these binding sites remain constant. In other words, the ligand concentration is adjusted. As can be seen in Table 3, the optimal %aFA values obtained in this manner varied from lake to lake, presumably reflecting inter-lake differences in the quality of the DOM. For  $\text{Cu}^{2+}$  the  $\%aFA_{opt}$  values all fell in the 8 to 90 % range, but for  $\text{Cd}^{2+}$ ,  $\text{Zn}^{2+}$  and particularly  $\text{Ni}^{2+}$ , the values were all much higher, often exceeding the notional limit of 100 %: 61 to 250 % for  $\text{Cd}^{2+}$ ; 65 to 410 % for  $\text{Zn}^{2+}$  and 440 to 1900 % for Ni.

### 3.3.3 Possible reasons for the divergence between WHAM calculations and measured metal speciation

Although increasing the %aFA, and thus augmenting the number of binding sites on the FA (as described in the preceding section), is an acceptable adjustment, increasing this value up to three orders of magnitude higher than the default value is clearly unrealistic. This result suggests that in

598 addition to adjusting the binding capacity of the FA, it will likely also be necessary to adjust metal  
599 binding affinities ( $K_{MA}$ )[39] for Cd, Zn and especially Ni. There may well be natural ligands  
600 present in the lake water that have a higher affinity for Ni than does FA. Further experiments to  
601 determine the binding constants of these metals with natural DOM, at environmentally realistic  
602 metal concentrations, are clearly needed.

603 Discrepancies between WHAM predictions and measured free-metal ion concentrations could also  
604 arise if ligands other than FA and HA were involved in metal complexation. For example, Baken et  
605 al.[43] detected amino-polycarboxylate anions in some urban Belgian surface waters and suggested  
606 that these ligands of anthropogenic origin were responsible for the greater than expected metal  
607 complexation in these samples (as seen here for Ni). However, such an explanation seems unlikely  
608 in the present case, given the remote location of our lakes and the absence of urban wastewater  
609 inputs.

610

#### 611 3.4 Relationships between %aFA<sub>opt</sub> and DOM spectroscopic properties

612 Given the marked lake-to-lake differences in %aFA<sub>opt</sub>, we explored possible relationships between  
613 this value and the optical properties of the DOM in the original lake water samples. Initially we  
614 looked for relationships with SUVA<sub>254</sub> values, given the promising results obtained by soil  
615 scientists working on Cu and Cd speciation in soil solutions.[17,18] However, plots of %aFA<sub>opt</sub>  
616 against SUVA<sub>254</sub> or the fluorescence index failed to yield useful relationships (see Figs S5, S6).  
617 Our interest then turned to the fluorescence spectrum, to determine if it could be used to estimate  
618 the proportion of DOM that is active in metal complexation. We plotted the relative fluorescence of  
619 each of the four PARAFAC fluorescence components against the %aFA needed to force the  
620 WHAM-calculated free metal concentration to equal the measured free metal ion concentration

621 (%aFA<sub>opt</sub>) (see Figs S7, S8). The most statistically significant relationships with %aFA<sub>opt</sub> for the  
622 four metals emerged for C1/C<sub>T</sub> and C3/CT (Fig. 3). In seeking such relationships, we are using the  
623 fluorescence EEM as a ‘proxy’ for the composition of the DOM. Although spectrofluorimetry does  
624 not necessarily probe the functional groups or the exact sites involved in metal complexation by the  
625 DOM, it is nevertheless a useful and easy way to track changes in the quality of DOM in natural  
626 aquatic samples.[20,44]

627 For Cd, the strongest ( $R^2 = 0.79$ ) and most statistically significant ( $P < 0.0001$ ) relationship  
628 ( $y = 380e^{(-6.4x)}$ ) emerged between %FA<sub>opt</sub> and the relative proportion of C3 (Fig. 3e). A statistically  
629 significant ( $P < 0.001$ ) positive linear relationship ( $y = 1100x - 260$ ;  $R^2 = 0.62$ ) was found between  
630 Cu and C1/C<sub>T</sub> (Fig. 3b). For Ni a strong ( $R^2 = 0.96$ ) and significant ( $P < 0.001$ ) linear relationship  
631 ( $y = -20\,000x + 6800$ ) was observed with C1/C<sub>T</sub> (Fig. 3c). Finally, a weak ( $R^2 = 0.33$ ), but  
632 statistically significant ( $P = 0.01$ ) decreasing exponential relationship ( $y = 560e^{(-5.3x)}$ ) was found  
633 between Zn and C3/C<sub>T</sub> (Fig. 3h).

634 In terms of the ubiquitous humic-like DOM fluorescence component (C1), the positive linear  
635 relationships between %aFA<sub>opt</sub> and C1/C<sub>T</sub> for Cd and Cu (Fig. 3a, b) suggest that with an increase  
636 in the relative contribution of this component in the lakewater samples, the proportion of the DOM  
637 that is involved in Cd and Cu complexation increases. In contrast, a negative linear relationship was  
638 found for Ni (Fig. 3c), indicating a decrease in the binding capacity of the DOM for Ni. With  
639 respect to the allochthonous-like DOM component (C3), the negative exponential relationships  
640 between %aFA<sub>opt</sub> and C3/C<sub>T</sub> for Cd and Zn (Fig. 3e, h) suggest that with an increase in the  
641 allochthonous signature of the DOM in the lakewater samples, the proportion of the DOM that is  
642 involved in Cd and Zn complexation decreases. In contrast, a weak but positive relationship with  
643 the C3/C<sub>T</sub> ratio was found for Ni – as the allochthonous signature of the DOM in the lake samples  
644 decreases, the binding activity of the DOM for Ni increases. This singular behaviour of Ni, as

645 demonstrated by the contrast between Fig. 3c and 3g and the comparable plots for the other metals,  
646 suggests that the DOM binding sites active in Cd, Cu and Zn complexation are different from those  
647 involved in Ni complexation. To our knowledge, this is the first time that such a distinction has  
648 been resolved in natural water samples.

649 Admittedly the relationships shown in Fig. 3 will have to be explored over a wider range of DOM  
650 samples, but they do support our original idea that the proportion of DOM active in metal  
651 complexation could be estimated on the basis of its fluorescence signature and then introduced into  
652 chemical equilibrium models such as WHAM. To test this idea, we incorporated each lake's  
653 optimised %aFA value, as calculated from the relationship between %aFA optimised and  $C1/C_T$  or  
654  $C3/C_T$  for each metal and lake (i.e. the regressions shown in Fig. 3), into WHAM and re-ran the  
655 chemical equilibrium simulations to predict the free  $M^{2+}$  concentrations; this was done for each  
656 metal, since the optimised %aFA value is metal-specific. Fig. 4 depicts the comparison between  
657 these 'improved' WHAM calculations of free  $M^{2+}$  and the measured free  $M^{2+}$  concentrations.  
658 Compared with the results presented in Fig. 1, the greatest improvement using the  $C1/C_T$  ratio was  
659 found for nickel, for which the modelled free  $Ni^{2+}$  decreased to within at most a factor of 1.3  
660 difference from the measured free  $Ni^{2+}$  concentrations. For  $Cd^{2+}$  and  $Zn^{2+}$ , metals for which the  
661 original WHAM predictions were already good, no improvement was noted (indeed the agreement  
662 was slightly worse than with the arbitrary value of 65 % active FA). Although the WHAM  
663 calculation of free  $Ni^{2+}$  in our lakes was improved by estimating the proportion of FAs active in Ni  
664 complexation from the spectroscopic quality of DOM, the estimated %aFA was still unrealistically  
665 high, as mentioned earlier. Nevertheless, the idea of using the spectroscopic quality of DOM as a  
666 proxy for the proportion of DOM that is active in metal complexation remains promising.

667

### 668 3.5 Conclusions

669 The main goal of this study was to explore the possibility of incorporating a measure of the quality  
670 of DOM into chemical speciation models, such as WHAM, to improve their predictions of trace  
671 metal speciation in natural aquatic systems. For Cd, Cu, Ni and Zn, we have shown that such an  
672 approach is feasible, using simple fluorescence measurements on lakewater DOM to estimate the  
673 proportion of DOM that is actively involved in metal complexation (as was done in generating Fig.  
674 4). However, our results have also indicated that in addition to adjustments to the metal-binding  
675 capacity of the DOM, it may also be necessary to adjust the affinity constants that are used by  
676 WHAM to calculate the speciation of some metals, especially Ni; the possibility of using the  
677 spectroscopic properties of DOM to predict its metal-binding affinity should be explored. The  
678 possible involvement of ligands other than FA and HA in metal complexation should also be  
679 considered, particularly for samples with low total trace metal concentrations. Improved trace metal  
680 speciation predictions, especially at low, environmentally significant metal concentrations, will be  
681 important not only for geochemical studies, but also for the prediction of chronic toxicity in natural  
682 aquatic systems (e.g. as part of models such as the Biotic Ligand Model or BLM[45]).

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**Table 1** Measured pH and mean ( $\pm$  SD, n=3) dissolved organic carbon (DOC), total dissolved concentrations of major cations and trace metals, as well as measured free metal concentrations and % free metal values for lakes sampled from Rouyn-Noranda (QC) and Sudbury (ON) in 2008. \* indicates only one sample above limit of quantification (LOQ; calculated as three times the standard deviation of triplicate analyses of each lake). NA indicates no samples above the LOQ.

	Rouyn-Noranda region lakes				Sudbury region lakes			
	Dasserat	Dufault	Opasatica	Vaudray	Bethel (be)	Geneva	Raft (ra)	Whitson
pH	6.92	7.59	7.69	6.81	8.08	6.9	6.4	6.9
DOC	4.85 $\pm$ 0.03	5.2 $\pm$ 0.1	6.3 $\pm$ 0.1	8.10 $\pm$	4.89 $\pm$ 0.03	2.9 $\pm$ 0.1	2.03 $\pm$	3.77 $\pm$
Ca ( $\mu$ M)	207 $\pm$ 1	404 $\pm$ 3	218 $\pm$ 2	83 $\pm$ 1	464 $\pm$ 3	68 $\pm$ 3	81 $\pm$ 2	143 $\pm$ 1
Mg ( $\mu$ M)	93.7 $\pm$ 0.3	118 $\pm$ 1	114 $\pm$ 1	37.1 $\pm$ 0.6	274 $\pm$ 3	26 $\pm$ 1	46 $\pm$ 1	78.4 $\pm$ 0.4
Cd (nM)	0.8 $\pm$ 0.2	2.0 $\pm$ 0.2	0.06 $\pm$ 0.01	0.608 $\pm$	0.018 $\pm$ 0.009	0.021 $\pm$	0.56 $\pm$	0.51 $\pm$
Cu (nM)	112 $\pm$ 3	246 $\pm$ 3	35.1 $\pm$ 0.2	43 $\pm$ 1	24.9 $\pm$ 0.1	10.5 $\pm$ 0.6	86.0 $\pm$ 0.9	165 $\pm$ 3
Ni (nM)	8 $\pm$ 2	5.1 $\pm$ 0.3	12.5 $\pm$ 0.4	12 $\pm$ 1	226 $\pm$ 2	18 $\pm$ 2	775 $\pm$ 41	1136 $\pm$ 54
Zn (nM)	464 $\pm$ 51	342 $\pm$ 60	15 $\pm$ 4	52 $\pm$ 5	12 $\pm$ 3	21 $\pm$ 8	62 $\pm$ 22	52 $\pm$ 2
Cd <sup>2+</sup> (nM)	0.5 $\pm$ 0.2	1.14 $\pm$	0.021 $\pm$ 0.008	0.26 $\pm$	NA	0.01*	0.402 $\pm$	0.31 $\pm$
Cu <sup>2+</sup> (nM)	0.37 $\pm$ 0.04	0.44 $\pm$	0.08 $\pm$ 0.01	0.20 $\pm$	0.05 $\pm$ 0.01	0.48 $\pm$	3.2 $\pm$ 0.5	1.0 $\pm$ 0.1
Ni <sup>2+</sup> (nM)	0.6*	NA	NA	NA	7.3 $\pm$ 0.9	4.0 $\pm$ 0.7	545 $\pm$ 9	489 $\pm$ 72
Zn <sup>2+</sup> (nM)	279 $\pm$ 32	188 $\pm$ 23	2.3 $\pm$ 0.9	31 $\pm$ 18	2.3 $\pm$ 0.4	10.7 $\pm$ 0.9	51 $\pm$ 16	32 $\pm$ 4
% Cd <sup>2+</sup>	63 $\pm$ 36	58 $\pm$ 5	37 $\pm$ 17	42 $\pm$ 4	NA	70	71 $\pm$ 2	61 $\pm$ 10
% Cu <sup>2+</sup>	0.32 $\pm$ 0.04	0.17 $\pm$	0.21 $\pm$ 0.04	0.47 $\pm$ 0.2	0.20 $\pm$ 0.05	4.6 $\pm$ 0.9	3.7 $\pm$ 0.6	0.57 $\pm$
% Ni <sup>2+</sup>	8	NA	NA	NA	3.2 $\pm$ 0.4	22 $\pm$ 5	70 $\pm$ 4	43 $\pm$ 7
% Zn <sup>2+</sup>	60 $\pm$ 10	55 $\pm$ 12	15 $\pm$ 7	60 $\pm$ 36	20 $\pm$ 6	51 $\pm$ 20	82 $\pm$ 39	62 $\pm$ 8

**Table 2** Mean ( $\pm$  SD, n=3) calculated %aFA<sub>opt</sub> for lakes sampled from Rouyn-Noranda (QC) and Sudbury (ON) in 2008.

Lake (code)	%aFA <sub>opt</sub>			
	Cd	Cu	Ni	Zn
Dasserat (DS)	93 $\pm$ 41	80 $\pm$ 12	1400 <sup>a</sup>	95 $\pm$ 33
Dufault (DU)	61 $\pm$ 3	56 $\pm$ 8	NA <sup>b</sup>	65 $\pm$ 21
Opasatica (OP)	75 $\pm$ 5	16 $\pm$ 3	NA	310 $\pm$ 33
Vaudray (VA)	92 $\pm$ 14	32 $\pm$ 7	NA	95 $\pm$ 90
Bethel (be)	NA	9 $\pm$ 2	1900 $\pm$ 130	190 $\pm$ 36
Geneva (ge)	140 <sup>a</sup>	8 $\pm$ 1	890 $\pm$ 170	290 $\pm$ 120
Raft (ra)	250 $\pm$ 23	86 $\pm$ 8	440 $\pm$ 74	410 $\pm$ 4
Whitson (wh)	140 $\pm$ 31	90 $\pm$ 8	580 $\pm$ 75	180 $\pm$ 51

<sup>a</sup> indicates only one sample above limit of quantification (LOQ; calculated as three times the standard deviation of triplicate analyses of each lake).

<sup>b</sup> NA indicates values not available.

848 Table 3: Free metal ion percentages in various natural water samples.

849

Metal	Sample description	N	Total	Percent free		Reference
			dissolved [M] (nM)	metal ion Mean	SD	
Cd	Canadian Shield lakes	7	0.06-2.0	54	13	(this study)
	Canadian Shield lakes	13	0.07-9.3	72	11	Fortin et al. <sup>[3]</sup>
	Canadian Shield lakes	4	0.9-8.0	20	16	Guthrie et al. <sup>[13]</sup>
	Swiss lake, UK river	4	0.07-0.20	3.7	3.5	Sigg et al. <sup>[8]</sup>
	Swiss lake, UK river	5	0.06-0.87	4.9	3.5	Kalis et al. <sup>[46]</sup>
Ni	Canadian Shield lakes	5	8-1140	29	28	(this study)
	Canadian Shield lakes	6	490-1760	11	6	Gopalapillai et al. <sup>[47]</sup>
	Swiss lake, UK river	4	6-20	6.6	1.4	Sigg et al. <sup>[8]</sup>
	Swiss lake, UK river	7	6-59	3.0	1.4	Kalis et al. <sup>[46]</sup>



	Belgian surface waters	6	44-75	22	16	Van Laer et al. <sup>[42]</sup>
Cu	Canadian Shield lakes	8	11-246	1.3	1.8	(this study)
	Canadian Shield lakes	2	42-150	1-53 <sup>a</sup>	-	Guthrie et al. <sup>[13]</sup>
	Swiss lake, UK river	4	19-30	0.25	0.12	Sigg et al. <sup>[8]</sup>
	Swiss lake, UK river	7	13-76	0.21	0.22	Kalis et al. <sup>[46]</sup>
	Swiss lakes (neutral)	25	4-17	<0.001 <sup>b</sup>	-	Xue and Sigg <sup>[48]</sup>
	Swiss lakes (acidic)	5	3-71	4	5	Xue and Sigg <sup>[48]</sup>
	Swiss rivers	20	10-68	<0.001 <sup>b</sup>	-	Xue and Sigg <sup>[48]</sup>
	Swiss lake	2	65-71	0.3	0.2	Xue and Sunda <sup>[5]</sup>
Zn	Canadian Shield lakes	8	12-464	50	23	(this study)
	Canadian Shield lakes	10	10-2010	86	15	Fortin et al. <sup>[3]</sup>
	Canadian Shield lakes	4	46-1300	25	4	Guthrie et al. <sup>[13]</sup>
	Swiss lake, UK	4	28-282	10	6	Kalis et al. <sup>[46]</sup>

river

Swiss lakes

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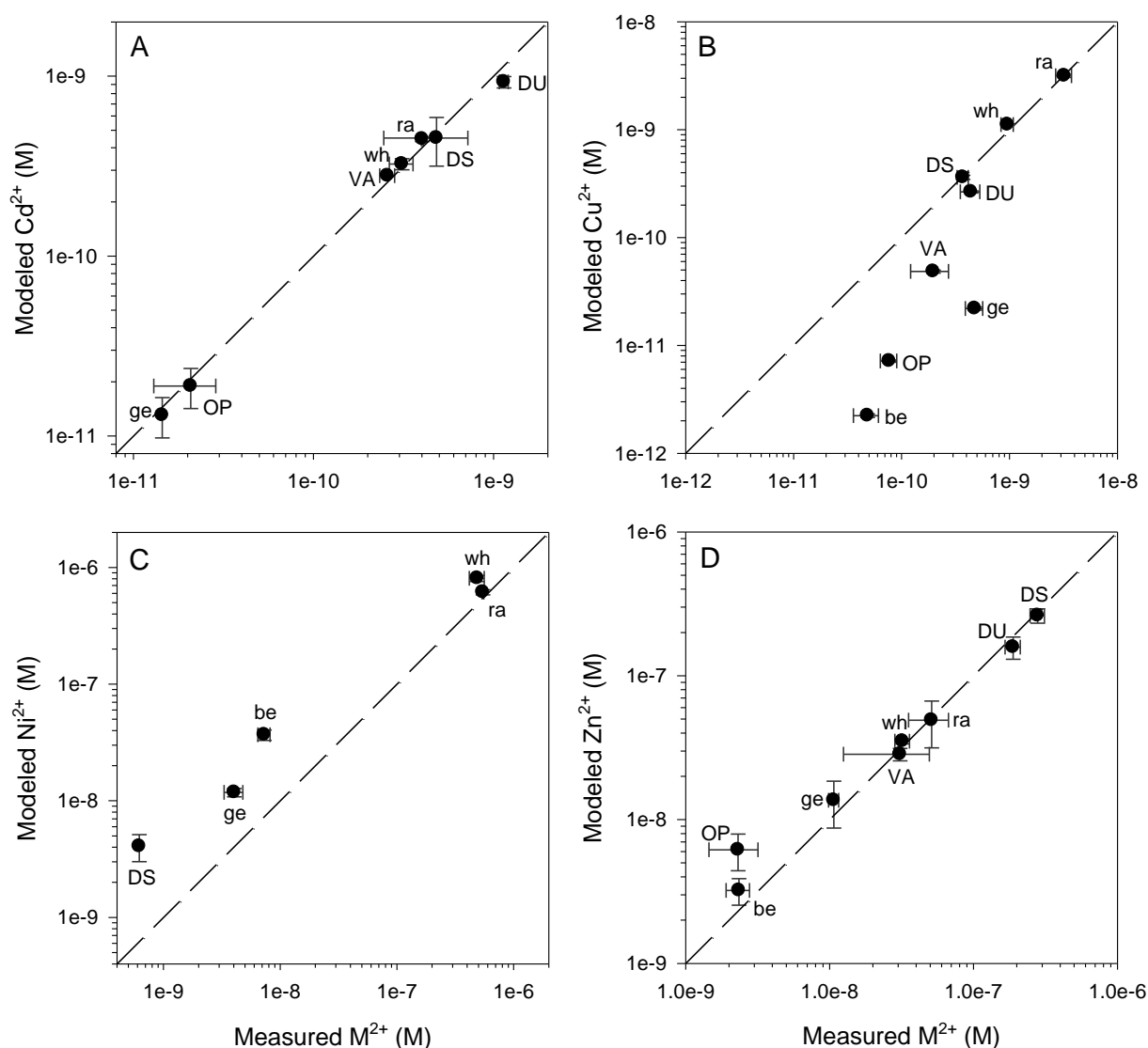
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Xue and Sigg<sup>[49]</sup>

850 <sup>a</sup> Concentration range (N=2) rather than the mean value. <sup>b</sup> These very low values were  
851 obtained by competitive ligand exchange and cathodic stripping voltammetry. Lofts  
852 and Tipping (2011) have recently suggested that this technique may underestimate  
853 free  $\text{Cu}^{2+}$  concentrations.

854 **Figure 1** Comparison of mean ( $\pm$  SD, n=3) concentrations of the free metal ion  
855  $[\text{M}^{2+}]$  calculated with WHAM (with the 65% aFA assumption) and those  
856 measured using IET (Cd, Ni and Zn) and ISE (Cu). The dashed line  
857 represents the 1:1 line.

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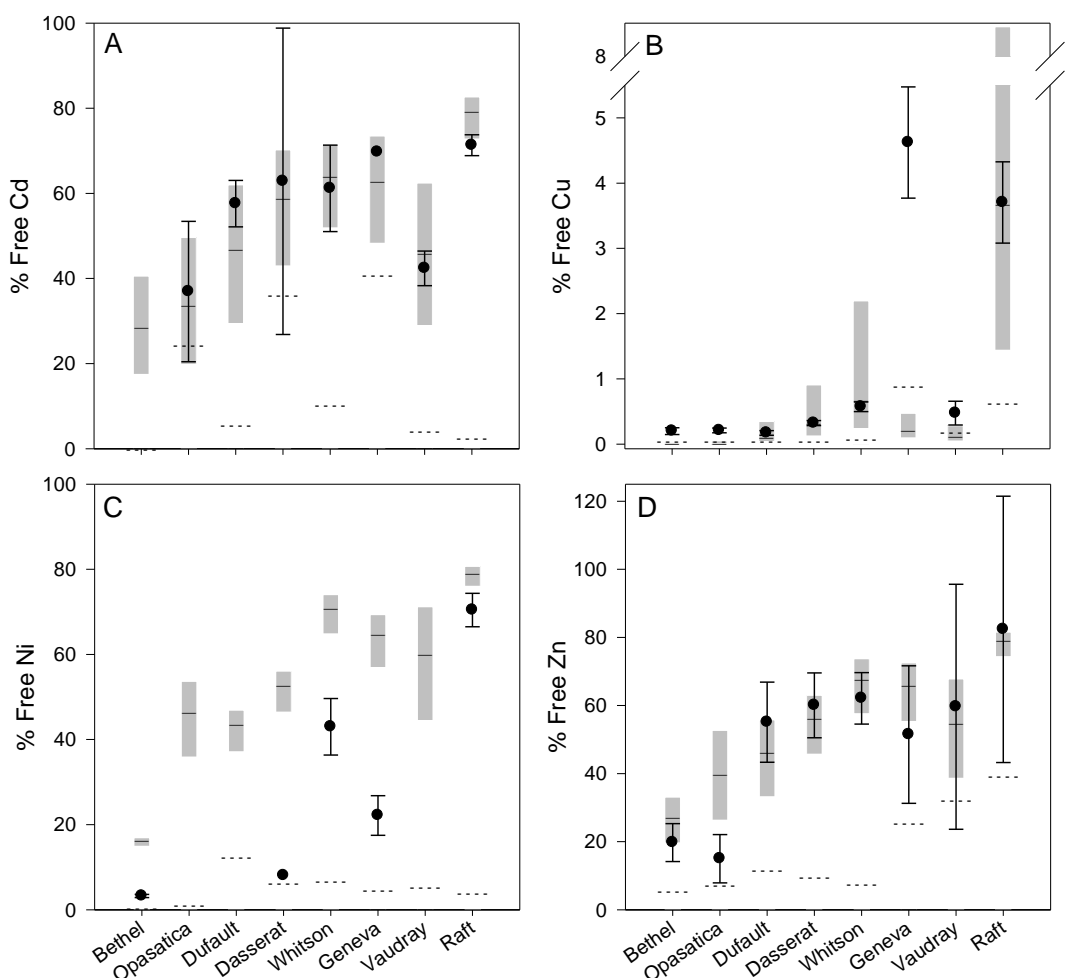


859 **Figure 2** Comparison between measured percent free  $\text{Cd}^{2+}$  (A),  $\text{Cu}^{2+}$  (B),  $\text{Ni}^{2+}$  (C)



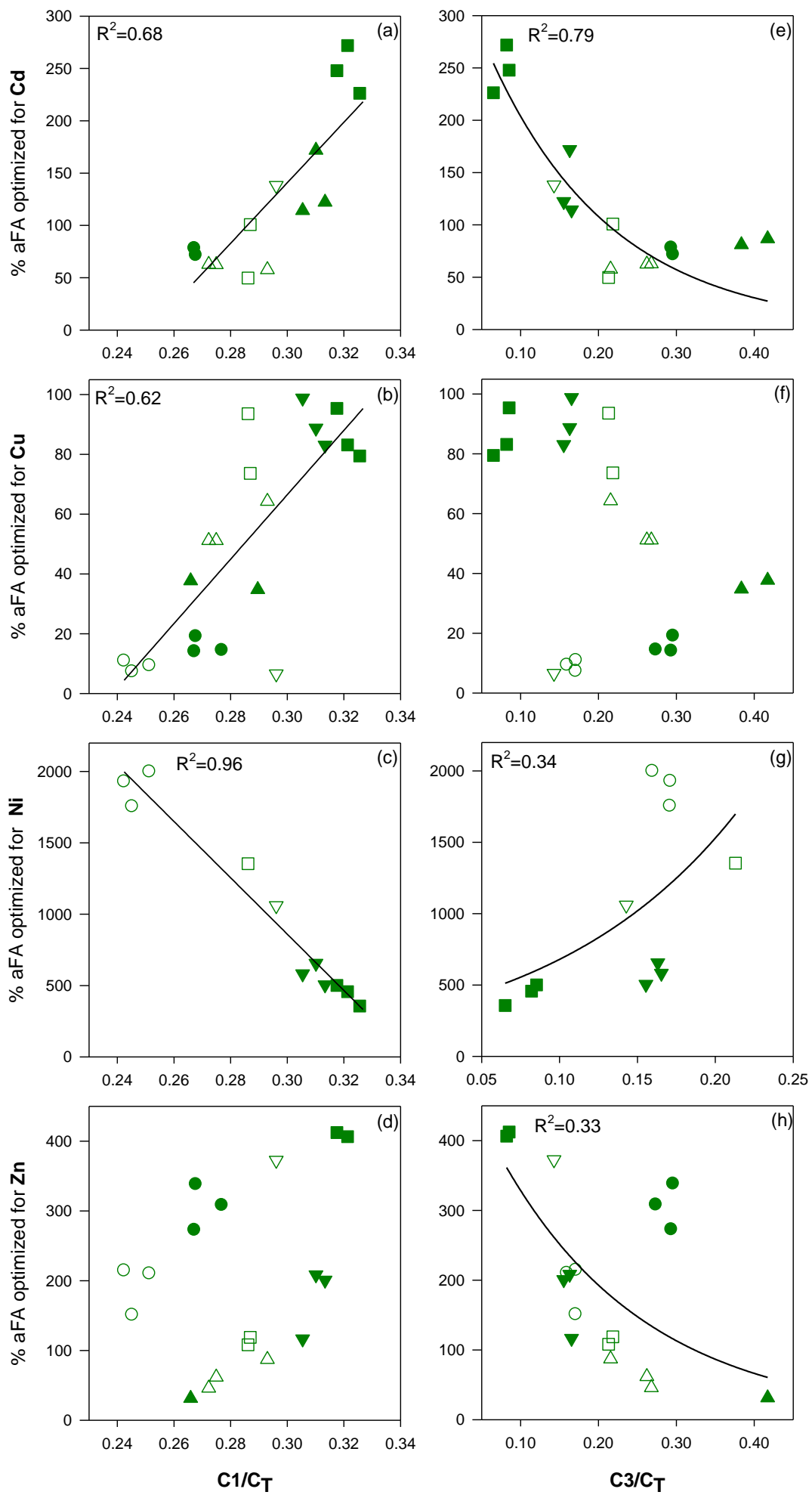
sampled from the regions of Rouyn-Noranda, QC and Sudbury, ON.

Measured points below the method limit of quantification (LOQ) are not shown. WHAM predictions were calculated for a range of percent active fulvic acid (%aFA) input values. Lakes on the x-axis are arranged from high pH on the left to low pH on the right. Solid circles are measured % free  $M^{Z+}$  values with error bars represent standard deviation of triplicate measures. Grey vertical bars represent the range of WHAM predicted % free  $M^{Z+}$  values using 33% to 130% aFA. Solid horizontal lines are WHAM predicted % free  $M^{Z+}$  values using 65% aFA. Dashed horizontal



lines represent the LOQ.

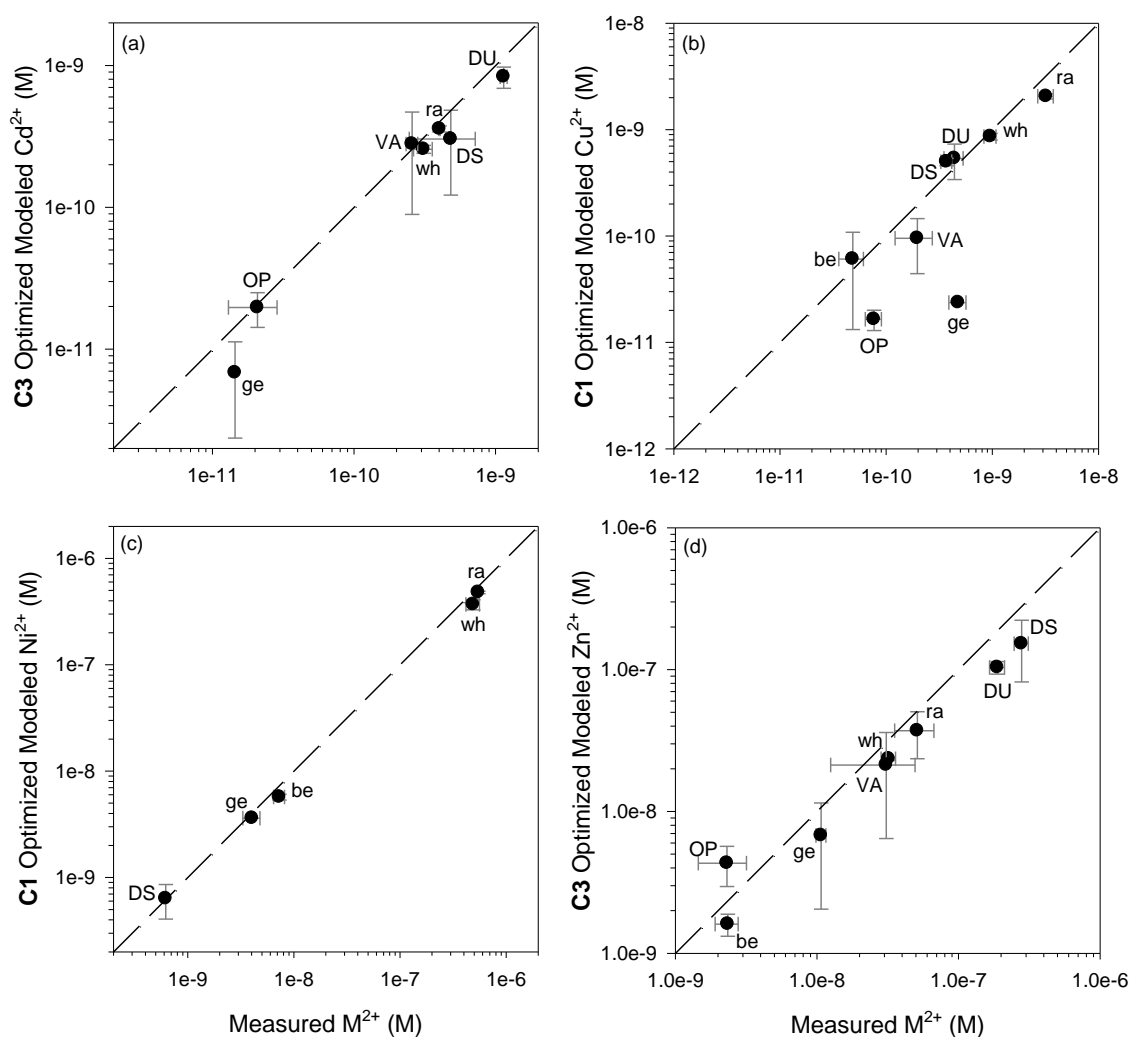
**Figure 3** Relationship between the WHAM calculated percent fulvic acid active in complexation (%aFA<sub>optimized</sub>) for Cd, Cu, Ni and Zn and the relative contribution of PARAFAC fluorescence components 1 (panels a – d) and 3 (panels e – h) to the overall fluorescence spectrum of lake DOM. Points of the same shape and fill represent replicate samples. Solid lines represent regression equations of statistical significance at the 0.05 alpha level.



879

880 **Figure 4** Comparison of mean ( $\pm$  SD, n=3) concentrations of the free metal ion  
 881  $[M^{2+}]$  calculated with WHAM (with the %aFA estimated from Figure 3)  
 882 and those measured ( $\pm$  SD, n=3) using the IET (Cd, Ni and Zn) or ISE  
 883 (Cu) methods. The dashed line represents the 1:1 line.

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