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Geostrophic Adjustment Problems in a Polar Basin

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20 **Abstract**

21
22 The geostrophic adjustment of a homogeneous fluid in a circular basin with idealized
23 topography is addressed using a numerical ocean circulation model and analytical process
24 models. When the basin is rotating uniformly, the adjustment takes place via excitation of
25 boundary propagating waves and when topography is present, via topographic Rossby waves.
26 In the numerically derived solution, the waves are damped because of bottom friction, and a
27 quasi-steady geostrophically balanced state emerges that subsequently spins-down on a long
28 time scale. On the f -plane, numerical quasi-steady state solutions are attained well before the
29 system's mechanical energy is entirely dissipated by friction. It is demonstrated that the
30 adjusted states emerging in a circular basin with a step escarpment or a top hat ridge, centred
31 on a line of symmetry, are equivalent to that in a uniform depth semicircular basin, for a
32 given initial condition. These quasi-steady solutions agree well with linear analytical
33 solutions for the latter case in the inviscid limit.

34 On the polar plane, the high latitude equivalent to the β -plane, no quasi-steady
35 adjusted state emerges from the adjustment process. At intermediate time scales, after the fast
36 Poincaré and Kelvin waves are damped by friction, the solutions take the form of steady-state
37 adjusted solutions on the f -plane. At longer time scales, planetary waves control the flow
38 evolution. An interesting property of planetary waves on a polar plane is a nearly zero
39 eastward group velocity for the waves with a radial mode higher than two and the resulting
40 formation of eddy-like small-scale barotropic structures that remain trapped near the western
41 side of topographic features.

42
43 Keywords geostrophic adjustment, polar circulation, Kelvin waves, vorticity waves.

44 **1 Introduction**

45 In this paper, we consider how a homogeneous fluid, initially not in geostrophic balance,
46 adjusts to that balance in a circular basin in the presence of an idealized topography. We first
47 consider the case of a uniformly rotating basin, followed by examples in which the latitudinal
48 dependence of the vertical component of the earth's angular rotation is retained. The Nucleus
49 for European Modelling of the Ocean(NEMO)ocean modelling framework (Madec et al.,
50 1998; Madec, 2008) is used to determine the adjustment solutions numerically. Linear,
51 inviscid analytical solutions are also derived to validate the numerical solutions and to add
52 further insight into the adjustment process.

53

54 The “classical” geostrophic adjustment problem considers a horizontally unbalanced,
55 uniformly rotating barotropic fluid which is initially at rest relative to the rotating frame of
56 reference in a horizontally unbounded domain. In the initial state, a step in the fluid surface
57 exists which is maintained by a vertical barrier. Upon removal of the barrier, the fluid adjusts
58 to a steady geostrophic state, in which the pressure gradient is balanced by the Coriolis force,
59 by Poincaré waves propagating to infinity (Gill, 1976; Gill., 1982). There are numerous
60 extensions of this classical adjustment problem that address the effects of stratification
61 (Grimshaw et al., 1998), non-linearity (Ou, 1984, 1986; Hermann et al., 1989), the presence
62 of topography (Johnson, 1985; Gill et al., 1986 Willmott and Johnson, 1995), a variety of
63 configurations for the initial unbalanced states (Killworth, 1992) and adjustment in a closed
64 basin (Stocker and Imberger, 2003).

65

66 In a closed, uniformly rotating basin of uniform depth, the homogeneous fluid will
67 evolve towards a balanced state through the propagation of Poincaré and Kelvin-type
68 boundary waves (Antenucci and Imberger, 2001). With topography present, topographic
69 Rossby waves will also play a role in the adjustment process. Therefore, in the inviscid limit,

70 no steady geostrophically balanced state will emerge because there is no mechanism to damp
71 or evacuate the waves. In numerical simulations or laboratory experiments, bottom and lateral
72 friction are present, which damp the waves excited during the adjustment process. Further,
73 the adjusted states are nearly in geostrophic balance and quasi-steady, and they all spin down
74 on a long time scale, set primarily by the magnitude of the bottom and lateral friction.

75

76 Geostrophic adjustment problems in a closed domain, such as the circular basin
77 considered in this study, have received attention in the refereed literature. For example, the
78 hydrodynamics and energetics of the geostrophic adjustment of a two-layer fluid, initiated by
79 a discontinuity in the interface of two layers, was examined by Wake et al. (2004, 2005) in
80 laboratory experiments in a circular, uniformly rotating tank with either constant depth or
81 ridge topography. They observed the composition of baroclinic Kelvin and Poincaré waves
82 with an emergent geostrophic, double-gyre, quasi-steady state solution, which slowly
83 decayed. The steady-state, analytical solution and frequencies of the dominant waves were
84 found in the linear approximation for the case of a circular basin with a flat bottom.

85

86 In this paper, we consider the adjustment problem in a circular basin centred at the
87 pole which either (i) rotates uniformly or (ii) retains the latitudinal variation of the earth's
88 angular rotation in the polar-plane, the so-called γ -approximation (the high latitude equivalent
89 of the mid-latitude β -plane approximation). In case (ii), the analogue of mid-latitude
90 planetary Rossby waves will be excited during the adjustment process. It will be shown in
91 case (ii) that no quasi-steady state, adjusted state is possible except when the contours of the
92 initial surface height anomaly do not cross the planetary potential vorticity contours (i.e., axi-
93 symmetric adjustment).

94

95 Free waves in a circular basin on the polar plane, where the Coriolis parameter f
96 decreases quadratically with distance from the pole, were first considered by Le Blond
97 (1964). LeBlond (1964) derived the gravest, or fundamental, eigenfunction of a circular
98 basin and found the approximate analytical expression for the dispersion relation of waves.
99 Later, Haurwitz (1975) and Bringer and Stevens (1980) used cylindrical coordinates to
100 examine freely propagating waves in a high-latitude atmosphere. Harlander (2005) took the
101 further step of deriving the equation for free waves on a δ -plane, which combines both polar
102 (γ) and β -effects. Harlander (2005) studied ray propagation on the polar and δ -planes and
103 showed how to obtain solutions analytically. In the simplest case of free waves in a circular
104 basin on the polar plane, all these solutions give the same result.

105

106 We focus on the following questions in this paper:

- 107 • How do sharp topographic features and the form of the initial surface elevations affect
108 the geostrophic adjustment?
- 109 • How is mechanical energy partitioned between the wave and quasi-steady
110 components of the flow?

111

112 The paper is structured as follows: Section 2 formulates the problems to be addressed and
113 describes the numerical model used in the experiments. Results are presented in Section 3.
114 Sections 3a and 3b discuss numerical and analytical solutions, respectively, for a uniformly
115 rotating circular basin with simple topography. Section 3c then considers how the adjustment
116 is altered when the basin is located on a polar plane. Finally, Section 4 considers a polar basin
117 more closely resembling the Arctic basin, followed by a summary of the results obtained
118 throughout the entire paper.

119

120 **2 Formulation of the problem and the choice of numerical model**

121 *a Set Up of the Problem.*

122 Consider the problem of the geostrophic adjustment of a barotropic ocean in a circular basin
123 with idealized bathymetry. The pole is located at the centre of the circular basin. We
124 introduce a spherical polar coordinate system (ϕ, θ, a) , where θ is the co-latitude, ϕ is
125 the longitude and a is the radius of the earth. Here we adopt the well-known thin-shell
126 approximation of replacing the radial distance with a , reflecting the fact that the oceans are a
127 shallow layer on the surface of the earth. For analytical convenience, we will also work with
128 a local Cartesian coordinate frame Oxy where the origin lies on the polar axis and
129 $x = r \cos \phi, y = r \sin \phi$, where $r = a \sin \theta$. In the case of the Arctic, the characteristic lateral
130 extent of the basin corresponds to $0 \leq \theta \leq \pi/12$. Figure 1 shows the spherical and local
131 Cartesian frames introduced above.

132
133 In this study, we will address the role that idealized topography plays in the
134 geostrophic adjustment of a prescribed initial, unbalanced, potential vorticity anomaly. Let
135 $H_0(\phi, \theta)$ denote the depth of the fluid measured from the undisturbed surface. Guided by the
136 physical characteristics of the Arctic basin, the depth of the deepest region of the basin is
137 taken to be 3000 m.

138 Four idealized topographies and basins are considered:

- 139 (a) a top-hat ridge of height 2 km and width 100 km centred on a diagonal;
- 140 (b) a step escarpment of height 1 km coincident with a diameters;
- 141 (c) a semicircular basin of uniform depth; and
- 142 (d) a linear sloping bottom occupying one-half of the circular basin, with a uniform
143 depth shallow region in the other half of the basin.

144

145 In all cases, the bathymetry contours form a family of straight parallel lines. We first
 146 consider the geostrophic adjustment of a homogeneous fluid in the presence of topography on
 147 a uniformly rotating (f-plane) circular basin. In this case, the adjustment takes place through
 148 the excitation of gravity waves, boundary trapped Kelvin-type waves, super-inertial Poincaré
 149 waves and sub-inertial topographic Rossby waves. The study then addresses the equivalent
 150 problem on a polar-plane. In addition to the waves that are supported on the f-plane, the
 151 polar-plane also supports planetary Rossby waves, the analogue of planetary waves in a
 152 uniform depth ocean on a mid-latitude β -plane.

153
 154 Throughout this study we will assume that the ocean is initially at rest, and we
 155 prescribe an initial surface elevation η_0 , taking one of two forms. The first form is

$$156 \quad \eta_0(\phi, \theta) = -(1/2)\hat{\eta} \operatorname{sgn}(\phi), \quad (1a)$$

157 where $\hat{\eta}$ is a constant defining the initial amplitude of the step elevation. The second form of
 158 η_0 corresponds to a flat-top circular cylinder centred on the pole. This distribution is most
 159 simply expressed using the Cartesian coordinate frame shown in Fig. 1b:

$$160 \quad \eta_0(r, \theta) = \hat{\eta}H(\alpha R - r), \quad (1b)$$

161 where H denotes the Heaviside function, $0 < \alpha < 1$ is a constant, $R = \pi a / 12$ is the radius of
 162 the basin, and r is the polar distance from the origin. Clearly Eq. (1b) describes a cylinder of
 163 radius αR and height $\hat{\eta}$.

164
 165 The initial linearized potential vorticity anomaly associated with η_0 is given by

$$166 \quad Q = -\frac{f\eta_0}{H_0^2}, \quad (2)$$

167 where f is the Coriolis parameter.

168

169 **b** *Numerical Model*

170

171 The majority of geostrophic adjustment solutions are calculated using a barotropic numerical
172 ocean model, augmented by linear analytical solutions in certain cases to facilitate
173 understanding of the numerical solutions. The analytical solutions also provide a consistency
174 check on the validity and overall performance of the numerical model. In this study, we use
175 the numerical ocean circulation model NEMO (Madec et al.,1998), which is a non-linear
176 primitive equation, three-dimensional model. NEMO is used operationally by several
177 meteorological agencies (e.g., the UK Met Office and Météo France). In the experiments
178 reported in this paper, we use the NEMO model with two options for the calculation of
179 barotropic pressure. To reproduce the earlier stage of adjustment we employ a free surface
180 non-linear explicit algorithm to resolve fast waves associated with the propagation of the
181 initial hydraulic jump. As this algorithm needs a very small time step (typically 2 s), in most
182 of the numerical experiments we used a filtered non-linear free surface algorithm, which is
183 stable with a relatively large time step (see Table 1) but damps fast waves. We performed
184 selected numerical experiments with both schemes, which established that the long-time
185 behaviour of the solutions is essentially identical. The vertical viscosity was set to be constant
186 throughout the study. A quadratic law is adopted for the dependence of the bottom shear
187 stresses on velocity. In this study we use a biharmonic operator to prescribe a lateral
188 viscosity. In most experiments, the model domain is a circular basin, initially defined on a
189 sphere with the centre lying on the equator, which is then rotated so that the domain centre
190 lies on the pole. Table 1 provides the values for the grid size, model time step and other
191 model parameters adopted in this study. In this study, the numerical model is set up to
192 simulate inviscid dynamics as closely as possible. Thus, the vertical and lateral mixing
193 coefficients were taken as small as computationally possible while still suppressing numerical
194 instabilities.

195

196 **3 Results**

197 **a** *Geostrophic Adjustment f -Plane Solutions.*

198 In this sub-section, the NEMO model is used to determine all the solutions. We first consider
199 the adjustment in a circular basin from an initial step in the surface elevation given by Eq.
200 (1a) in the presence of a topographic step escarpment, which is oriented to be orthogonal to
201 the initial surface elevation escarpment. This simulation was performed with a time-explicit
202 free surface algorithm for the barotropic pressure to resolve all the waves responsible for the
203 subsequent flow evolution. Figure 2 shows contour plots of the surface elevation at various
204 times and contour plots of the time-averaged elevations. Figure 3 shows surface elevations
205 and the velocity at various times in a the cross-sections A-B and C-D, marked on Fig. 2a,
206 which are located at the deep and shallower parts of the basin, respectively.

207

208 The adjustment is characterized as follows.

- 209 (i) Propagation of the initial step in the surface elevation as a hydraulic jump in a
210 direction perpendicular to the initial line of surface discontinuity (see Figs 2a and 3a).
211 During this early phase of adjustment the effects of the earth's rotation are
212 unimportant. When the hydraulic jumps reach the edge of the basin, wave reflection
213 and scattering takes place. Wave scattering occurs because of the curvature of the
214 boundary wall of the basin. The reflection and scattering process takes place multiple
215 times (see Figs 3b and 3d).
- 216 (ii) On reaching the boundary of the basin, a fraction of wave energy is scattered into
217 boundary trapped Kelvin-type waves with near-inertial periods and these waves
218 propagate cyclonically around the basin (see Fig. 2b). After multiple reflections and

219 scattering of gravity waves at the basin walls, most of the energy resides in the near-
220 inertial Kelvin-type waves.

221 (iii) Figure 2e shows that after 12 hours the presence of the topography escarpment in
222 time-averaged solutions becomes apparent in the time-averaged contour plot of the
223 surface elevation. Sub-inertial topographic Rossby waves dictate the longer time
224 scale adjustment. After three days, a quasi-steady geostrophically balanced four-gyre
225 structure emerges in the time-averaged solutions.

226
227 We now examine the earliest stages of the adjustment in more detail. Figures 3a to 3d
228 show plots of the surface elevation at various times along the vertical sections A-B (deep
229 basin) and C-D (shallow basin). Along section C-D the phase speed of the wave is 139.6 m s^{-1}
230 ¹, and is in excellent agreement with the speed of long non-dispersive gravity waves $(gH_0)^{1/2}$
231 ($H_0=2000 \text{ m}$), namely 140 m s^{-1} . A similar conclusion is valid for the wave propagating
232 along section A-B ($H_0=3000 \text{ m}$), with the numerically derived and analytical wave speeds
233 corresponding to 170.6 m s^{-1} and 171 m s^{-1} , respectively.

234
235 The effects of non-linearity associated with the surface elevation are evident in the
236 steep wavefronts shown in Figs 3a and 3c. The nature of the waves excited during the early
237 stage of the adjustment can also be deduced from the Hovmöller plots along section C-D
238 shown in Fig. 4. In the early stage of the adjustment, the surface elevation anomaly changes
239 sign each time the gravity waves are reflected from the boundary of the basin (see Fig. 4b).
240 This is caused by water convergence at the landing edge of the front, as shown in Fig. 3e.
241 Figure 4a shows that after four days no radially propagating gravity waves are present and
242 Kelvin-type waves with a period of approximately 13 hours dominate the solution. Figure 4c
243 shows the calculations using the time-filtered version of the model that removes high-
244 frequency waves.

245

246 In this paper we retain the terminology of “Kelvin-type wave” for the waves that have
247 mixed properties of Kelvin waves and the lowest mode of cyclonically propagating Poincaré
248 waves (following Stoker and Imberger, 2003). Traditionally, waves with sub-inertial
249 frequencies $\omega < f$ have been called Kelvin waves and with super-inertial frequencies, $\omega > f$
250 , Poincaré waves. The existence of Kelvin waves in the circular flat bottom basin (Lamb,
251 1932) is determined by the value of the Burger number $S = L / R$ for each azimuthal
252 wavenumber N such that $S^{-2} \leq (N+1)N$, where $L = (gH_0)^{1/2} / f$ is the external Rossby
253 radius of deformation and R is the radius of the basin. For $1/\sqrt{6} \leq S \leq 1/\sqrt{2}$ one single
254 Kelvin wave exists, while for $S > 1/\sqrt{2}$ there is none. Stoker and Imberger (2003) have
255 shown that energetic properties associated with the lowest mode waves change smoothly
256 across the boundary $\omega = f$, and the direction of rotation remains cyclonic; they also retained
257 the notation of Kelvin-type waves for the lowest mode cyclonically propagating wave for the
258 case $S > 1/\sqrt{2}$. In a circular basin with step escarpment topography and depths $H_0=3000$ m
259 and $H_0=2000$ m, the Burger number takes the value of $S = 0.708 > 1/\sqrt{2}$ and
260 $S = 0.57 < 1/\sqrt{2}$ in the deep and shallow parts of the basin, respectively. To quantify the
261 amplitude of wave we show a contour plot of A in Figs 4d and 4e

262
$$A = \sqrt{2} \left\{ T^{-1} \int_0^T \eta^2 dt - \left\langle T^{-1} \int_0^T \eta dt \right\rangle^2 \right\}^{1/2} .$$

263 The time period for the averaging is $T=5$ days, and the time interval spans nearly 10
264 periods of the Kelvin-type waves. Also, we plot in Figs 4d and 4e snapshots of the wave
265 component of currents at two times that are in anti-phase for waves with a period of 13 hours.
266 From Figs 4d and 4e we observe that this wave has properties of both Kelvin and Poincaré
267 waves: wave sea surface elevations are higher near the solid boundary, currents have both an

268 along-shore boundary trapped component, relevant for the Kelvin wave, and a cross-centre
 269 component, specific to the lowest mode of the Poincaré wave.

270

271 During a subsequent adjustment the solution comprises Kelvin-type waves,
 272 topographic Rossby waves and a geostrophically balanced quasi-steady four-gyre system.
 273 Because friction is present in the numerical solutions, all constituents decay with time. To
 274 quantify how the wave component of the flow changes with time, we plot a time series of
 275 $\max(A)$ in Fig. 5a.

276

277 We observe that the waves in this particular experiment decay at day 160, and the
 278 initial amplitude of the waves exceeds the initial surface elevation. To quantify how the
 279 quasi-steady flow spins down to a state of rest, the time series of $|\Delta\eta| \equiv |\eta_{\max} - \eta_{\min}|/2$ at
 280 both sides of the basin, averaged over 10 Kelvin wave periods are plotted in Fig. 5b. Here

$$\eta_{\max} = \max \left\{ T^{-1} \int_0^T \eta dt \right\} T^{-1} \int_0^T \eta dt$$

$$\eta_{\min} = \min \left\{ T^{-1} \int_0^T \eta dt \right\} T^{-1} \int_0^T \eta dt$$

281

282 In practice, the locations of η_{\max} and η_{\min} occur at the centre of the cyclonic and anticyclonic
 283 gyres, respectively. The rate of decay of the quasi-steady four-gyre system is about 5% per
 284 year in this experiment (see Fig. 5b), which is much smaller than the rate of decay of the
 285 wave component, and increases with an increasing bottom drag coefficient (not shown).

286

287 As mentioned above, the calculation of steep non-linear gravity waves associated with
 288 an initial hydraulic jump using NEMO requires a very small time step, one that is 10 times
 289 smaller than that demanded by the Courant constraint. In the numerical solution with a
 290 filtered free-surface mechanism, fast gravity waves are damped numerically and first stage of

291 the adjustment described earlier in this section (stage i) ,is absent. Instead, the initial stage of
292 the adjustment takes the form of a diffusive front, which can be seen in the profiles of the
293 surface elevations plotted at various time in Fig. 3f. When the surface height anomaly reaches
294 the wall, Kelvin-type waves emerge (see Fig. 4c). However, the Kelvin-type waves are much
295 weaker than in Fig. 4a and mostly decay by day 4. The strength of the quasi-steady four-gyre
296 system and its rate of decay are almost identical in the time-filtered and unfiltered models
297 (Fig. 5b). Thus, as the key focus of this paper is the longer time scale adjustment, hereafter
298 we use the free-surface filtered algorithm version of the NEMO model.

299

300 With dissipation present, the four-gyre system corresponds to the adjusted quasi-
301 steady state limit. In the quasi-steady state limit, no fluid can cross the escarpment. Notice
302 that the gyres are nearly symmetric about the escarpment with higher amplitudes at the
303 shallow part of the basin and are antisymmetric about the line of initial discontinuity in the
304 surface elevation.

305

306 In a geostrophically adjusted state, the impact of a steep escarpment on the flow is
307 identical to that of a vertical wall because no fluid can cross isobaths. Therefore, we would
308 expect the geostrophically adjusted solutions in Fig. 2f to be qualitatively the same if the step
309 escarpment is replaced with a ridge. Further, we would expect the adjusted solution shown in
310 Fig. 2f to be qualitatively the same if the circular basin is replaced with a semicircular basin
311 of uniform depth and the initial surface elevation coincides with the symmetry line of the
312 basin. Figure 6 confirms these conjectures.

313

314 Figure 7 shows the adjusted solutions that emerge when a cylindrical surface
315 elevation Eq. (1b) is initially prescribed. The solutions shown in Fig. 7 again confirm the
316 equivalence of the adjusted solutions in the three basins. With the escarpment topography,

317 Figs 3f, 5b and 7b show that the strength of the circulation is inversely proportional to the
318 water depth.

319
320 Up to now, we have considered the geostrophic adjustment problem in a circular basin
321 with either a top-hat ridge or a step escarpment. Are there any new features in the adjustment
322 problem if topography without a depth discontinuity is introduced? This question is addressed
323 in the following experiments. We consider a circular basin with linear sloping topography in
324 one half of the basin and uniform depth in the other half of basin (case (d) in Section 2)
325 which is plotted in Fig. 8. Initially, a surface elevation with a step discontinuity along the
326 diameter perpendicular to the bathymetry contours is imposed (identical to the initial
327 condition associated with the adjustment shown in Fig. 2). Figure 8 shows the contours of the
328 surface deviation. We observe, that after a “rapid Kelvin wave adjustment” two gyres
329 emerge, similar to those discussed by Wake et al. (2004). However, during this adjustment
330 phase, the flow is essentially decoupled from the topography. On the longer topographic
331 Rossby wave adjustment time scale, the flow evolves to create a four-gyre system, similar to
332 that shown in Fig. 6.

333
334 Figure 9 shows a time series of the surface elevations at two locations marked A and
335 B in Fig. 8d. Point B lies in the uniform depth region of the basin. At B, the time series in
336 Fig. 9 reveal that topographic Rossby waves with periods of 40–50 days are superimposed on
337 the quasi-steady “adjusted gyre amplitude” of 0.04 m. Contrast this behaviour with the time
338 series at point A lying over the slope. Here, the Rossby waves continue to propagate and the
339 shorter wave periods (40–50 days) are modulated by longer period (200 days) waves. The
340 latter waves propagate energy in the opposite direction to the shorter period waves. Thus,
341 over the slope, an observer sees a two-gyre system that alternates in sign with time. No quasi-

342 steady double gyre system emerges over the slope and, indeed, Fig. 9 shows that the surface
343 elevation oscillating about the zero amplitude is level.

344

345 **b** *Analytical Solutions of a Linear Problem in a Semicircular Domain on an f -Plane.*

346 Figures 3 and 6 demonstrate the equivalence of the adjusted solutions in a circular basin (with
347 either a ridge or step escarpment topography) and a semicircular basin with uniform depth.

348 Using the linearized shallow water equations, an analytical solution can be derived for the
349 geostrophically balanced state that emerges in numerically determined solutions. This

350 analytical solution provides a useful independent assessment of NEMO model performance.

351 A plan view of the semicircular basin with the right-handed Cartesian coordinate reference
352 frame used in the subsequent analysis is shown in Fig. 1b.

353 Let us estimate the dimensionless parameters that characterize the ageostrophic terms
354 in the full non-linear problem with friction. The main constituents of the numerical solutions
355 are Kelvin waves and the geostrophically balanced quasi-steady component, which have a
356 length scale equivalent to the Rossby radius L and different velocity scales. The geostrophic
357 component of the solution is determined by the initial potential vorticity, given by Eq. (2).

358 The upper limit of the geostrophic velocity scale can be estimated from the assumption that
359 all of the initial potential vorticity anomaly is transferred to relative vorticity:

360
$$Q \approx \frac{\nabla \times \mathbf{u}}{H_0}$$

361 Thus, the initial potential vorticity anomaly scale is $Q \approx U_g / (H_0 L)$. Using (1) we obtain

362
$$U_g \approx QHL = Lf\eta_0 / H_0 \approx 0.03 \text{ m s}^{-1}$$
 for typical parameter values used in this study.

363 The dominant component of currents generated by Kelvin waves is alongshore and is
364 in geostrophical balance (Gill, 1982). For the semi-infinite basin,

365

366 $fU_{KW} \approx \frac{g\hat{\eta}}{L},$

367 where U_{KW} and $\hat{\eta}$ are typical scales for the alongshore Kelvin wave velocity component and
 368 the surface elevation respectively. The appropriate scale for L is the Rossby radius of
 369 deformation and therefore

370 $U_{KW} \approx \frac{g^{1/2}\hat{\eta}}{H_0^{1/2}} \approx 0.07ms^{-1},$

371 using typical parameter values, used in this study.

372 In the closed basin the effects of solid boundaries must be taken into account. However,
 373 these estimates are in agreement with the results of the numerical solution. The Rossby
 374 number $R_o = U(Lf)^{-1} \approx 2 \times 10^{-4}$ to 4×10^{-4} for this problem is relatively small, justifying the
 375 neglect of the non-linear advection terms in the governing equations. The input of the
 376 dissipation terms is estimated by the dimensionless numbers $C_d U (fH_0)^{-1} \approx 10^{-5}$ to 10^{-4} for
 377 vertical and $A_B f^{-1} L^{-4} \approx 3 \times 10^{-12}$ for lateral viscosity, respectively, where C_d is the bottom
 378 drag coefficient and A_B is the lateral viscosity for the biharmonic operator (see Table 1)
 379 employed in the numerical experiments. Thus, all the diffusive and advective terms can be
 380 neglected in the subsequent analysis.

381

382 Three forms for η_0 will be considered. First, we consider

383 $\eta_0(x, y) = -(1/2)\hat{\eta} \text{sgn}(y),$ (3)

384 corresponding to a step lying along the x-axis of amplitude $\hat{\eta}$. When $\hat{\eta} > 0$, shallow (deep)
 385 fluid initially occupies the region $y > 0$ ($y < 0$). To assess the sensitivity of the steady-state
 386 solution to η_0 , we also consider a linear sloping initial surface elevation

387 $\eta_0(x, y) = -\frac{3\pi}{8}\hat{\eta}\frac{y}{R}.$ (4)

388 Equation (4) is chosen to ensure that the domain-averaged value of the linearized potential
 389 vorticity anomaly is identical to that associated with Eq. (3). We will also calculate the
 390 steady-state geostrophically balanced solution that emerges from an initial top-hat,
 391 semicircular cylinder surface elevation, with centre at O, located symmetrically about the x-
 392 axis (see Fig. 1b).

393
 394 Following Gill et al. (1986) and Willmott and Johnson (1995), the steady-state
 395 geostrophically balanced solution attained after releasing the fluid from rest with an initial
 396 surface elevation $\eta_0(x, y)$ can be determined without solving the full initial value problem.
 397 Instead, neglecting the viscous and non-linear terms in the equations, we invoke the
 398 conservation of potential vorticity, which is of course determined from the initial conditions,
 399 to calculate the final adjusted solutions directly. The adjustment of an ocean at rest to an
 400 initial perturbation in the sea surface elevation η_0 is governed by the following equation
 401 (Gill, 1976):

$$402 \quad \eta_{tt} - gH_0 \nabla^2 \eta + f^2 \eta = f^2 \eta_0, \quad . \quad (5a)$$

403 Let η_s denote the steady-state component of the solution of Eq. (5a). Then η_s satisfies

$$404 \quad \nabla^2 \eta_s - L^{-2} \eta_s = -L^{-2} \eta_0 \quad . \quad (5b),$$

405 For analytical convenience, Eq. (5b) is non-dimensionalized. Using primes to denote
 406 dimensionless quantities we define

$$407 \quad r' = \frac{r}{L}, \quad \eta'_s = \frac{\eta_s}{\hat{\eta}}, \quad \eta'_0 = \frac{\eta_0}{\hat{\eta}}.$$

408 On dropping the primes, the dimensionless form of Eq. (5b) becomes

$$409 \quad \nabla^2 \eta_s - \eta_s = -\eta_0 \quad . \quad (6)$$

410 On the boundary of the basin, the normal component of velocity vanishes which requires that

$$411 \quad \eta_{s\theta} = 0 \quad \text{on} \quad r = S^{-1}, \quad |\phi| < \pi/2, \quad (7a)$$

412 $\eta_{sr} = 0$ on $|\phi| = \pi/2$, $0 \leq r \leq S^{-1}$, (7b)

413 where $S = L/R$ is the Burger number.

414 The method of solution for Eq. (6), subject to Eqs (7a) and (7b), uses standard
 415 techniques (see, for example, Boyce and DiPrima (1992)). The dimensionless steady-state
 416 solutions associated with initial conditions Eq. (3) and Eq. (4) are, respectively:

417
$$\eta_s = -\frac{2}{\pi} \sum_{n=0}^{n=\infty} \frac{1}{2n+1} f_{2n+1}(r) \sin[2(2n+1)\phi],$$
 (8)

418 and

419
$$\eta_s = \frac{3}{2} S \sum_{n=1}^{n=\infty} \frac{(-1)^n n}{(2n-1)(2n+1)} f_n(r) \sin(2n\phi),$$
 (9)

420 where

421
$$f_n(r) = \frac{K_n(S^{-1})I_n(r)}{I_n(S^{-1})} \int_0^{S^{-1}} \xi I_n(\xi) \psi(\xi) d\xi -$$
 (10)

$$- I_n(r) \int_r^{S^{-1}} \xi K_n(\xi) \psi(\xi) d\xi - K_n(r) \int_0^r \xi I_n(\xi) \psi(\xi) d\xi.$$

422 In Eq. (10) I_n and K_n denote the modified Bessel functions of the first and second
 423 kind, respectively, and

424
$$\psi(\xi) = \begin{cases} 1, & \text{in Eq. (8)} \\ \xi, & \text{in Eq. (9)} \end{cases}.$$

425 When the initial surface elevation takes the form of a right semicircular cylinder, the solution
 426 in a semicircular basin can be found from the solution in the circular basin and initial
 427 conditions that are antisymmetric relative to the y -axis. Let

428
$$\eta_0 = \alpha^2 + \eta_{\text{asym}},$$
 (11)

429 where

430
$$\eta_{\text{asym}} = [H(r - \alpha S^{-1}) - \alpha^2] \text{sgn}(\pi/2 - |\phi|)$$
 (12a)

431
$$\psi(r) = (H(r - \alpha S^{-1}) - \alpha^2), \quad 0 < r < S^{-1}.$$
 (12b)

432 Here, the semicircular mean value α^2 was subtracted from the initial condition.

433 Because of its antisymmetric form, such a solution satisfies the condition

434
$$\eta_{\text{asym}} = 0, \quad \text{on } y = 0 \quad (13)$$

435 and the associated steady-state, dimensionless solution is given by

436
$$\eta_s = \alpha^2 - \frac{4}{\pi} \sum_{n=0}^{\infty} \frac{1}{2n+1} f_{2n+1}(r) \sin[(2n+1)\phi] \quad (14)$$

437 Figures 10a and 10e show contour plots of η given by Eqs (8) and (9), calculated by
438 summing over the first three non-zero modes, respectively. Figures 10b to 10d and 10f to 10h
439 show the contribution of each mode, respectively, to solutions of Eqs (8) and (9). The value
440 $S=0.708$ is used in each case. Although the solutions in Figs 10a and 10e are qualitatively
441 identical, the latter has weaker amplitude. Clearly, the gravest mode is dominant, and the
442 higher modes shift the location of the gyre's centres towards the symmetry axis $\phi = 0$ (see
443 Fig. 10).

444
445 Contours of η given by a steady-state solution Eq. (14) are shown in Fig. 11 for
446 $\alpha = 0.5$, where the solution is computed by the summation of the first three modes and the
447 corresponding components of the solution.

448
449 Equations (8), (9) and (14) are somewhat complicated to compute, requiring the
450 numerical evaluation of the integrals in Eq. (10) to calculate each term in the summations.
451 Interestingly, these solutions are found to simplify dramatically in the asymptotic case $S \gg 1$
452 , where $S = L/R$. This “large S limit” corresponds to the “small (or deep since $L \rightarrow \infty$ as
453 $H \rightarrow \infty$) basin limit” or weak rotation limit. Clearly, this limit is more relevant to regional
454 seas and lakes (see estimate of S for the Great Lakes in Csanady (1967)), than to the Arctic
455 Ocean, when $S \sim O(1)$. However, we found that the asymptotic solutions in this limit also
456 provide a reasonably accurate estimate for the case when $S \sim O(1)$. In other words,

457 simplified small-basin limit solutions can be used to approximate the adjusted solution in a
458 basin of lateral extent comparable to the Arctic Ocean.

459
460 In dimensionless coordinates, the circular basin spans $0 \leq r \leq S^{-1}$ and therefore in the
461 limit $S \gg 1$ we can employ the asymptotic representations

462
$$I_n(r) \approx \frac{r^n}{\Gamma(n+1)2^n},$$

463
$$K_n(r) \approx \Gamma(n)2^{n-1}r^{-n},$$

464 for a fixed n , valid for small arguments (Abramowitz and Stegun, 1964), where Γ denotes the
465 gamma function. We find that Eqs (8), (9) and (14) can, respectively, be approximated in this
466 “small-basin” limit by

467

468
$$\eta_S = \frac{2S^{-2}}{\pi} \sum_{n=0}^{\infty} \frac{(\zeta^{2n+1} - \zeta^2)}{(2n-1)(2n+1)(2n+3)} \sin[2(2n+1)\phi], \quad (15)$$

469

$$\eta_s = \frac{3S^{-2}}{2} \sum_{n=1}^{n=\infty} (-1)^n \sin(2n\phi)$$

$$470 \quad \times \left\{ \begin{array}{l} \frac{n(\zeta^n - \zeta^3)}{(n+3)(n-3)(2n-1)(2n+1)}, \quad n \neq 3 \\ \frac{\zeta^n}{2(n+3)} + \ln(\zeta)\zeta^3, \quad n = 3 \end{array} \right\}, \quad (16)$$

$$\eta_s \approx \alpha^2 + \frac{4S^{-2}}{\pi} \sum_{n=0}^{n=\infty} \frac{1}{2n+1} \sin[(2n+1)\phi] +$$

$$471 \quad \left\{ \begin{array}{l} \frac{(1-\alpha^2)\zeta^{2n+1}}{(2n+1)} \left[\frac{\alpha^{2n+3}}{(2n+3)} - \frac{\alpha^{1-2n}}{(1-2n)} \right] + \frac{(\zeta^2 + \zeta^{2n+1})}{(2n+3)(1-2n)}, \quad \zeta < \alpha \\ \frac{(1-\alpha^2)\alpha^{2n+3}}{(2n+1)(2n+3)} \left[\zeta^{2n+1} - \zeta^{-(2n+1)} \right] + \frac{(\zeta^{2n+1} - \zeta^2)}{(2n+3)(1-2n)}, \quad \zeta \geq \alpha \end{array} \right\}, \quad (17)$$

472 where $\zeta = Sr$, varies from 0 to 1. The convergence of the series in Eqs (15) to (17) is
 473 relatively fast, with the n^{th} term of the series $\sim n^{-3}$, for large n . The series can be truncated at
 474 the fourth term if η_s is to be calculated with a precision $O(10^{-2})$.

475

476

In the analytical solutions shown in Figs 10 and 11, $S=0.708$, which certainly does not
 477 satisfy the “large S limit”. However, the asymptotic Eqs (15) to (17) are found to provide a
 478 reasonable approximation to the solution even when $S \sim O(1)$. For example, Fig. 12a shows the
 479 “amplitudes” of steady-state solutions, which we define as

$$480 \quad A_s(\eta_s) = 0.5\Delta\eta_s S^2 = 0.5(\eta_s^{\max} - \eta_s^{\min}) S^2, \quad (18)$$

481 plotted as a function of S for the exact Eqs (8), (9) and (3.14). In all cases amplitude A_s

482 asymptotes to a constant, albeit different, value of η^* , as S increases. Also plotted in Fig.

483 12a is the amplitude A_s for the “small basin” approximation Eq. (15). The maximum

484 deviation between Eqs (15) and (8) is 20% for $0.6 < S < 1.4$ and very close to the “small basin”
485 limit at $S > 1.2$ (lakes, regional seas). Thus, the computationally efficient solution Eq. (15)
486 provides a reasonable approximation to the exact solution, even when $S = 0.708$, the
487 representative value of this parameter for the Arctic Ocean. The amplitude, Eq. (16), is also
488 shown on Fig. 12a, associated with the quasi-steady solutions plotted in Fig. 4b (the black
489 diamond), and Fig. 11 ($\alpha = 0.5$) (grey circle). The numerical solution has a smaller amplitude
490 than the analytical solution for the initial step escarpment in the surface elevation, whereas it
491 is larger than the analytical solution derived from the linear initial surface elevation.

492
493 Figure 13a shows a contour plot of the solution for Eq. (8) and Fig. 13b shows the
494 equivalent plot for Eq. (15). Although the two plots are qualitatively the same, we observe
495 that the amplitudes are not identical, as revealed by the contours near the centres of the gyres
496 . However, when Eq. (15) is multiplied by the factor $A_s(0.708) / \eta^*$, where $\eta^* = \lim_{S \rightarrow \infty} A_s$,
497 (A_s is plotted as a function of S for Eq. (8) in Fig. 12a) and the resulting field is contoured, we
498 obtain Fig. 13c. The two solutions contoured in Figs 13a and 13c are indistinguishable, as
499 expected.

500
501 The reasonable agreement between the numerical and analytical solutions allows us to
502 use the latter to estimate the partitioning of energy between the geostrophic and fluctuating
503 (wave) components of the flow in a manner similar to Stoker and Imberger (2003) and Wake
504 et al. (2004). The energetics of the geostrophic component, as a function of S , calculated from
505 Eqs (8) to (13) are plotted in Figs 12b and 12c. It is easy to demonstrate that, in a
506 semicircular basin (or in a circular basin with a ridge or escarpment in topography), the
507 energetics of the geostrophic component of the flow coincide with that in a circular basin
508 with a flat bottom. Thus, the patterns of the former are similar to those presented in Wake et

509 al. (2004) for an initial step height discontinuity at the interface between fluid layers and for
 510 an initial linear gradient of the density interface (Stoker and Imberger, 2003). For the case of
 511 a semicircular cylinder initial surface elevation, the expressions for the initial potential
 512 energy (IPE), and potential (PE) and kinetic (KE) components of the steady-state solutions
 513 are given by

$$514 \quad \text{IPE} = \frac{\pi}{4} \left(\frac{\alpha}{S} \right)^2 (1 - \alpha^2) \quad , \quad (19a)$$

$$515 \quad \text{PE} = \frac{4}{\pi} \sum_{n=0}^{n=\infty} \frac{1}{(2n+1)^2} \int_0^{S^{-1}} f_{2n+1}^2(r) r dr \quad , \quad (19b)$$

$$516 \quad \text{KE} = -\text{PE} + \frac{4}{\pi} \sum_{n=0}^{n=\infty} \frac{1}{(2n+1)^2} \int_0^{S^{-1}} f_{2n+1}(r) [H(r - \alpha/S) - \alpha^2] r dr \quad . \quad (19c)$$

517 According to the asymptotic solutions, in the large S limit, the fraction of energy converted to
 518 kinetic geostrophic energy decays with the parameter S as S^{-2} at large S (small/deep basin
 519 limit) and as S^{-4} for potential geostrophic energy (PE). In the small S limit, the ratio of
 520 geostrophic kinetic energy (KE) to available potential energy asymptotically approaches the
 521 infinite domain limit of 1/3, as expected from the classical result (Gill, 1982). At small
 522 Burger numbers, potential energy exceeds the kinetic energy, while for large S most of the
 523 energy of the quasi-steady state solution is concentrated in the kinetic energy (Fig. 12c).

524

525 *c Geostrophic Adjustment on the Sphere*

526 In this section we consider a circular basin in which the Coriolis parameter is allowed to vary
 527 with latitude according to

$$528 \quad f = 2\Omega \cos(\theta) \quad . \quad (20)$$

529 Near the pole the Coriolis parameter can be approximated by

$$530 \quad f \approx 2\Omega(1 - \theta^2 / 2) \quad , \quad (21)$$

531 which is the “polar-plane” approximation. Referring to the coordinate system shown in Fig.
532 1a, we observe that $r/a = \sin(\theta) \approx \theta$, near the pole. Thus, with respect to the polar coordinate
533 frame shown in Fig. 1b

$$534 \quad f = 2\Omega\left[1 - \frac{1}{2}(r/a)^2\right], \quad (22)$$

535

536 Figure 14 shows contours of the surface elevations at $t=3$ days, 18 days and 720 days
537 that emerge from the initial step escarpment elevation Eq. (1a), calculated using NEMO on a
538 sphere. After three days, a double-gyre system emerges, equivalent to that discussed by Wake
539 et al. (2004), which is established by the propagation of coastal trapped waves, circling the
540 basin about 10 times during this period. On the f-plane, this double-gyre system would
541 correspond to the final adjusted steady-state solution in the absence of dissipation. However,
542 on the sphere, contours of planetary potential vorticity correspond to concentric circles, and
543 their radial gradient supports the analogue of mid-latitude planetary Rossby waves. The fluid
544 in the double gyres that are established after five days crosses the isolines of planetary
545 potential vorticity, thereby generating planetary waves. Thus, the double gyres rotate
546 clockwise (equivalent to westward propagation of planetary waves at mid-latitudes)
547 essentially without change of form, which can be seen by comparing Figs 14a and 14c.

548

549 The time series of the surface elevation at four locations, marked A to D in Fig. 14a,
550 are shown in Fig. 15. The time series are dominated by the gravest Rossby wave mode, with a
551 period in the range of 120–125 days, although the asymmetry of the oscillations reveals the
552 presence of higher modes. Figure 15b shows a running time average of the time series over
553 125 days which reveals that the higher modes have periods of 400–600 days.

554

555 We now consider the above solution from a quantitative viewpoint. The approximate
 556 solution for the dispersion relation for planetary waves on the polar-plane has been derived
 557 by LeBlond (1964) and is given by

$$558 \quad \omega_{k,n} = |k| / (M + \varepsilon^{-1} \beta_{k,n}^2) \quad (23a)$$

559 where

$$560 \quad \varepsilon = \left(\frac{R}{a} \right)^2, \quad M = \frac{(2\Omega a)^2}{gH} \quad (23b)$$

561 In (23a) $\omega_{k,n}$ is the dimensionless frequency (scaled by 2Ω), $k < 0$ is the azimuthal
 562 wavenumber and $\beta_{k,n}$, is the n^{th} root of the Bessel function of the first kind $J_{|k|}$. Clearly, the
 563 group speed cannot be determined analytically. However, we observe from Fig. 16a, the
 564 dependence of $\beta_{k,n}$ on wavenumber which, for a given range of k , is very close to linear
 565 (with a regression coefficient R satisfying $R^2 \sim 0.9999$, the coefficients for the linear
 566 regressions based on $1 \leq |k| \leq 5$ and $1 \leq |k| \leq 9$ for lower and higher ranges of k , are shown in
 567 Table 2). Therefore, an approximate form for $\beta_{k,n}$ is given by

$$568 \quad \beta_{n,k} = b_n - a_n k, \quad (24a)$$

569 where b_n and a_n are constants. Thus, the dispersion relation Eq. (23a) becomes

570

$$571 \quad \omega_{k,n} = -k / (M + \varepsilon^{-1} (b_n - a_n k)^2) \quad (24b)$$

572

573 Using Eq. (24b) it is clear, that the phase speed of the waves, c_p , is always negative
 574 and propagates clockwise, which is equivalent to westward propagation in the mid-latitudes,
 575 and

576

577 $c_p = \omega_{k,n} / k = -1 / (M + \varepsilon^{-1}(c_n - a_n k)^2) .$ (25)

578

579 The group velocity of the waves is given by

580 $c_g = \frac{\partial \omega'_{k,n}}{\partial k} = \frac{\varepsilon^{-1}((a_n k)^2 - c_n^2) - M}{(M + \varepsilon^{-1}(c_n - a_n k)^2)^2} .$ (26)

581 Notice that c_g changes sign, from negative to positive with increasing $|k|$. Equation (26)

582 reveals that long waves transport energy westward and short waves transmit energy eastward,

583 analogous to their mid-latitude counterparts.

584

585 For specific values of the parameters $\varepsilon = 0.067$ and $M = 29.33$, corresponding to the
 586 NEMO numerical simulations, the dimensional periods and dimensionless frequencies, phase
 587 and group speeds are plotted in Fig. 16. The gravest-mode long-wave period ($n=1, k=-1$) is
 588 125 days (see Fig. 16c), which is in excellent agreement with the numerical results (see Fig.
 589 15a).

590

591 We now anticipate that if the initial surface elevation is axi-symmetric, such as the
 592 top-hat cylinder Eq. (1b), and the flow remains axi-symmetric throughout the adjustment, no
 593 planetary waves will be generated; because after the rapid adjustment associated with fast
 594 gravity radial wave propagation, shown in Fig. 17a, isolines of the surface elevation continue
 595 to be axi-symmetric. Thus, fluid flows along, rather than across, the gradient of planetary
 596 potential vorticity and no planetary waves are therefore generated. Figures 17b to 17c show
 597 contours of surface elevation at $t=3$ days and 720 days that emerge from the initial top-hat
 598 circular cylinder elevation Eq. (1b), and clearly no planetary waves are present.

599

600 Now consider the geostrophic adjustment in a circular basin on a sphere in the
601 presence of an escarpment in the bottom topography. Figure 18 shows contours of the surface
602 elevation and, for comparison, adjustment in a semicircular basin of uniform depth when the
603 initial surface elevation takes the form of an escarpment. Recall that we found that, on the f-
604 plane, the geostrophic adjusted solutions in the presence of either a ridge or escarpment
605 topography are equivalent to those in a uniform depth semicircular domain. This result also
606 carries over to the polar-plane adjustment problem.

607
608 Hereafter, we will analyze in detail the adjustment in a uniform depth semicircular
609 domain since it replicates the behaviour of the solutions in a circular basin with either a ridge
610 or escarpment bottom topography. Figure 19 shows contours of η and kinetic energy (KE) in
611 a uniform depth, semicircular basin when the initial surface elevation takes the form of a step
612 escarpment. During the first three days, the adjustment mirrors the f-plane solution, with two
613 emerging gyres. Later, westward propagating, long Rossby waves (i.e., phase and group
614 velocities both directed anticyclonically) are generated. The waves then reach the western
615 boundary, marked on Fig. 18, where they reflect as “short waves”. The cycle then repeats,
616 although the wave amplitude is continuously reduced because of bottom and lateral friction.

617
618 The long waves in Fig. 19 are characterized by a radial mode $n=1$ and azimuthal
619 wavenumber $k=-2$. From the dispersion relation Eq. (24b), we find that the wave period is
620 125 days (see Fig. 16c). The corresponding group velocity in the azimuthal direction for these
621 waves Eq. (26) shows that the travel time for energy to propagate from the eastern to the
622 western boundary $T_{k,n} \approx \pi / (2\Omega(c_g)_{k,n})$ is 139 days, which is in good agreement with the
623 numerical results in Fig. 18. On reflection at the western boundary, the short waves are
624 characterized by $n=1$, with $|k|$ varying between 4 and 6. We see from Fig. 16 that the wave

625 periods and the time taken for energy to return to the eastern boundary are 105–120 days and
626 732 days, respectively, which is consistent with the plots in Fig. 18 and time series of sea
627 surface elevation and kinetic energy plotted in Figs 20a and 20b.

628

629 The geostrophic adjustment for the case when the initial surface elevation takes the
630 form of a semicircular cylinder is shown in Fig. 21. Early in the adjustment, the surface
631 elevation mimics the f-plane solution (see Fig. 6a). Later, the cyclonic and anticyclonic gyres
632 propagate westward (anticyclonically), creating a dipole structure adjacent to the western
633 boundary (see Fig. 21 (c) at $t=150$ days). Reflection from the western boundary takes place
634 over a long time scale. Short waves or vortices, with radial wavenumber $|k| = 4-8$ are
635 visible for $t=450$ days. Although the surface elevation amplitude at this time is small (a few
636 millimetres), the barotropic velocity field attains speeds of the order of 1 cm s^{-1} . Contours of
637 eddy kinetic energy reveal that, even after three years of integration, eastward propagating
638 (counter-clockwise) eddies are still present.

639

640 A quantitative interpretation of this adjustment in terms of planetary wave dynamics
641 follows. The long waves are characterized by $|k|=1$, $n=2$, and, from Fig. 16c, we see that the
642 wave period is about 350 days. The associated group speed is shown in Fig. 16e. From this
643 group speed, we calculated the time taken for energy to reach the “western” boundary, which
644 is 155 days, in agreement with the numerical solution (Fig. 21c). The relative short waves,
645 that propagate energy eastward, are characterized by $n=2$ and radial wavenumbers $|k| > 6$
646 (Figs 21d and 21e). Figure 16 shows that these waves are characterized by periods of 300
647 days and an extremely long energy propagation time (about 30 years), which makes them
648 appear almost stationary, taking the form of eddies with slowly decaying amplitudes.

649

650 **4 Discussion and summary**

651 Numerical and analytical solutions have been derived for the geostrophic adjustment of a
652 homogeneous fluid in a circular basin with idealized topography, namely a step escarpment
653 and a top-hat ridge. In all these cases it is demonstrated that the adjusted solutions are
654 equivalent to that in a flat-bottom semicircular basin, which is also studied in this paper. The
655 adjustment problems considered in this study fall into two categories: (i) a uniformly rotating
656 basin and (ii) a polar-plane basin.

657
658 In all the adjustment problems, the fluid is initially at rest with respect to the rotating
659 coordinate frame, and the surface elevation of the fluid is displaced from its equilibrium
660 position. The surface displacement takes one of two forms: (i) a step escarpment or (ii) a right
661 circular cylinder centred on the rotation axis of the earth.

662
663 When the basin is rotating uniformly, the adjustment takes place through the
664 excitation of fast gravity waves, boundary trapped Kelvin-type waves and when topography
665 is present, topographic Rossby waves. In the numerical simulations, dissipation damps the
666 waves, and a quasi-steady geostrophically balanced state emerges, which, in turn, spins down
667 on a long time scale to a final state of rest. The steady-state solutions for the semicircular
668 basin problem are found by analytical methods. Computationally efficient asymptotic
669 solutions are derived from these analytical solutions in the small basin or equivalently deep
670 basin limit. It is demonstrated that these asymptotic solutions give reasonable results in cases
671 when the small basin limit is not strictly satisfied, such as, for example, the Arctic Ocean
672 basin. In all the examples considered in this paper, the quasi-steady state consists of an even
673 number of gyres, the structure of which is determined by the form of the initial surface
674 elevation and topography. We also calculate the partitioning of energy between the wave and
675 quasi-steady components.

676

677 On the polar-plane, the initial adjustment mimics that of the uniformly rotating case;
678 at intermediate time scales of 3 to 20 days, circulation patterns develop that are very similar
679 to those simulated on the f-plane. The fluid, however, undergoes further wave adjustment
680 because of excitation of the planetary (Rossby) waves generated when fluid crosses planetary
681 potential vorticity contours. Because Kelvin waves and polar-plane Rossby waves are
682 separated by a spectral gap, and because the initially adjusted solution on a polarplane
683 mimics the f-plane steady-state solutions, the fraction of initial potential energy that is
684 transferred to Rossby polar waves can be estimated using analytical solutions on the f-plane
685 plotted in Figs 12b to 12c.

686

687 As in the f-plane case, the adjustment in a basin with step escarpment or ridge
688 topography is similar to the adjustment in a semicircular basin. Short wavelength planetary
689 waves are generated when the long waves are reflected at the mid-latitude equivalent of the
690 western boundary. The short waves with a radial mode greater than two have an extremely
691 small group speed, leading to a time scale in excess of 30 years for energy to travel from the
692 western to the eastern boundary. The short waves manifest themselves as long-lived
693 barotropic vortices.

694

695 One question that naturally arises is whether any of the features identified in the
696 geostrophic adjustment problems described above carry across to a more realistic
697 representation of the Arctic Ocean. This question is addressed in the numerical solutions
698 shown in Figs 22 and 23. The geometry of the basin is identical in both cases, namely, an
699 irregularly shaped domain of uniform depth, 3000 m, the perimeter of which coincides with
700 the 500 m isobath in the Arctic Ocean. A top-hat ridge of width 100 km and height 2000 m
701 spans the deep basin and is representative of the Lomonosov Ridge in the Arctic Ocean. The

702 solutions in Fig. 22 are calculated for the uniformly rotating basin, while those in Fig. 23 are
703 calculated on the polar-plane. Initially, the surface elevation takes the form of a flat-top
704 circular cylinder of radius 800 km and height 0.4 m, centred on a pole and could, for
705 example, have been produced by Ekman pumping associated with an atmospheric cyclonic
706 circulation.

707
708 Contours of surface elevation on day 5 and day 365 are plotted in Figs 22a and 22b,
709 respectively, and agree qualitatively with those in Fig. 5. The steady-state solution adjusts to
710 the convoluted shape of the coastline; therefore, higher mode structures emerge near the
711 coast.

712
713 On the polar-plane (Fig. 23), the early stages of the adjustment mimic those shown in
714 Fig. 22. However, westward propagating planetary waves are eventually generated which, at
715 later times, reflect at the western boundary and transfer energy into slowly propagating short
716 waves which are subsequently damped by bottom friction. Qualitatively, the adjustment
717 process shown in Fig. 23 mirrors that in the idealized basin shown in Fig. 20.

718
719 The problems discussed in this study facilitate an understanding of the rich interplay
720 of rotating flows and topography at high latitudes. A worthwhile extension of this study is to
721 consider the role played by stratification using, for example, a two-layer model.

722
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795 77.

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798

799 Table 1. Parameter values used in the NEMO modelling system. E/F denotes explicit and
 800 filtered surface pressure algorithm experiments.

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 804

Horizontal Resolution	Vertical Resolution	Time Step E/F	Biharmonic Horizontal Viscosity	Bottom Drag Coefficient
0.1°x0.1°	10 levels	2 s / 15min	$-3 \times 10^8 \text{ m}^4 \text{ s}^{-1}$	10^{-4}

805
 806
 807

808

809 Table 2. Coefficients for liner regression (24a).

810

	$a(n=1:5)$	$c(n=1:5)$	$a(n=1:9)$	$c(n=1:9)$
$k=-1$	1.235	2.630	1.177	2.798
$k=-2$	1.329	5.255	1.264	5.920
$k=-3$	1.379	8.840	1.315	9.020
$k=-4$	1.412	11.96	1.350	12.12

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815 **Figure captions**

816

817 Fig. 1 (a) Schematic of the polar basin; (b) a polar projection of the basin showing the local
 818 Cartesian coordinate frame, where $OA = r = a \sin \theta$.

819

820 Fig. 2 Contour plots of surface elevation η associated with the geostrophic adjustment of
 821 a homogeneous fluid in a circular basin with a topographic escarpment on an f-
 822 plane. (a)–(c): η are contoured at times shown, (d)–(f) are time means for time
 823 interval shown. Contour interval is 5 cm and 1 cm on panels (a)–(d) and (e)–(f),
 824 respectively. Solid contours correspond to positive elevations and the shaded area
 825 with dashed contours corresponds to negative elevations. The upper left panel
 826 shows the cross-section of bottom topography.

827

828 Fig. 3 (a)–(d) Surface elevation for the wave-resolving numerical simulation at various
 829 times at cross-sections A-B and C-D, at deep and shallow parts of the basin, shown
 830 in Fig. 2a; (e) the same but for velocity in the y-direction at cross-section C-D; (f)
 831 as in (c) but for the numerical simulation, filtering fast waves.

832

833 Fig. 4 Hovmöller diagrams for η : (a) for wave-resolving simulations; (b) as i (a)
 834 zoomed; (c) for wave-filtering simulations. (d) and (e) Wave amplitude

835
$$A = \sqrt{2} \max \left\{ T^{-1} \int_0^T \eta^2 dt - \left\langle T^{-1} \int_0^T \eta dt \right\rangle^2 \right\}^{1/2} \text{ averaged over 5 to 10 days and 10}$$

836 to 15 of the simulation (contours). Wave component of currents $u_w = u - T^{-1} \int_0^T u dt$

837 , where the period of averaging was $T=13$ hours, at 5 days 22 hours and 6 days 4
838 hours, roughly in antiphase with the Kelvin-type wave (arrows).

839

840 Fig. 5 (a) Decay in intensity of the wave amplitude $\max(A)$ of the surface elevation
841 (defined as shown in the plot) for the wave-resolving simulation (black line) and
842 the wave-filtering simulation (grey line); (b) $|\Delta\eta|$, averaged over 5 days plotted
843 against time for the first 600 days of the integration at locations D and E shown in
844 Fig. 2f (1) denotes the wave-resolving simulation and (2) the wave-filtering
845 simulation.

846

847 Fig. 6 Quasi-steady solutions of η on the f-plane: (a) a circular basin with a ridge; (b)
848 uniform depth semicircular basin with the initial step being the sea surface height
849 elevation. Contour interval is 1 cm. The upper panel shows vertical cross-sections
850 of the bottom topography,

851

852 Fig. 7 Quasi-steady solutions on the f-plane: (a) a circular basin with top-hat ridge; (b) a
853 circular basin with step escarpment; (c) a semicircular basin with uniform depth.
854 Initially the surface deviation takes the form of a right circular cylinder, shown in
855 the left panel. Contour interval is 5 mm.

856

857 Fig. 8 Contour plots of η in a circular basin with topography shown in the upper icon
858 (topography case (d) in Section 2) on the f-plane. The initial unbalanced surface
859 elevation is given by Eq. (1a). Contour interval is 1 cm.

860

861 Fig. 9 Time series of η at locations A and B shown on Fig. 7d.

862

863 Fig. 10 Contour plots of η given by: (a), (e) Eqs (8) and (9), respectively, summing over
864 the first three non-zero modes only; (b–d) and (f–h) are the contributions from
865 modes $n=1, 2$ and 3 respectively. The contour interval is 1 cm for (a), (b), (e), (f)
866 and 2 mm for (c), (d), (g) and (h).

867

868 Fig. 11 Contour plot of η given by Eq. (14) for $\alpha=0.5$, contour interval is 2 mm.

869

870 Fig. 12 (a) Dependence of the amplitude function associated with Eqs (8), (9) and (14) on
871 S . Also plotted, the amplitude associated with the asymptotic solution Eq. (15) and
872 dimensionless amplitudes of numerical solutions shown in Fig. 11 ($\alpha=0.5$) and Fig.
873 6b; (b) the ratio of total geostrophic energy (KE+PE) at the adjusted steady state to
874 initial potential energy and the ratio of kinetic energy to available potential energy
875 (IPE-PE) for different initial conditions as a function of S ; (c) the ratio of kinetic
876 energy to potential energy in the adjusted steady states as a function of S .

877

878

879 Fig. 13 (a) A plot of η given by Eq. (8) for $S=0.708$; (b) by the asymptotic solution Eq.
880 (15); (c) the asymptotic solution Eq. (15) multiplied by $A(0.72)/\eta^*$, where A refers
881 to the Eq. (8) and $\eta^* = \lim_{S \rightarrow \infty} A_S$.

882

883 Fig. 14 Contour plots of η at the times associated with the adjustment on a sphere of a
884 fluid in a flat bottom circular basin. The initial surface elevation is given by Eq.
885 (1a). The contour interval is 1 cm.

886

887 Fig. 15 (a) Time series of sea surface elevation at the locations shown in Fig. 16a; (b) 125
888 days of running averages of the time series shown in (a).

889

890 Fig. 16 (a) Dependence of the roots of the Bessel function on the wavenumber and linear
891 fits. Plots of the dispersion relations derived from the LeBlond (1964) solution for
892 free Rossby waves on a polar plane. (b) Dimensionless frequency, normalized by
893 the Coriolis frequency; (c) dimensional periods; (d) phase velocity; (e) group
894 velocity. On the plots, k and n denote the azimuthal wavelength and radial mode
895 numbers, respectively.

896

897 Fig. 17 As in Fig. 14 but for a right circular cylinder initial sea surface elevation: (a) plots
898 of surface elevation at various times along a diameter revealing the “rapid” gravity
899 wave adjustment; (b) and (c) contour plots of η . The simulation was made with a
900 time-split algorithm for a barotropic pressure gradient, resolving fast waves.

901

902 Fig. 18 As in Fig. 14 but for a circular basin with step topography and a semicircular basin.
903 The contour interval is 5 mm.

904

905 Fig. 19 Adjustment to initial step sea surface elevation in a semicircular basin on a sphere.
906 Sea surface elevations (upper panels) and kinetic energy (lower panels) at the times
907 shown. Contour interval for sea surface elevation is 1 cm.

908

909 Fig. 20 Time series of (a) sea surface elevation and (b) kinetic energy at the locations
910 shown in Fig. 19a.

911

912 Fig. 21 Adjustment to initial semicircular cylinder sea surface elevation in a semicircular
913 basin of uniform depth on a sphere. Sea surface elevations (a–e) and kinetic energy
914 (f–j) on the dates shown.

915

916 Fig. 22 Quasi-steady sea surface elevations that result from the initial cylinder surface
917 heights in the deep Arctic basin on an f -plane.

918

919 Fig. 23 (a–c) Sea surface elevations that results from the initial cylinder surface heights in
920 the deep Arctic Ocean on a sphere at dates shown. (d) kinetic energy at dates
921 shown.

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