



1 **Lake ecosystem tipping points and climate feedbacks**

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22 **Abstract**

23 Lakes experience anthropogenically-forced changes that may initiate ecosystem feedbacks, in
24 some cases reaching tipping points beyond which impacts become hard to reverse. Lakes are also
25 important players in the global climate by ventilating a large share of terrestrial carbon back to
26 the atmosphere as greenhouse gases, and will likely provide substantial feedbacks to climate
27 change. In this paper we address various major changes in lake ecosystems, and discuss if
28 tipping points can be identified, predicted, or prevented in them, along with their associated
29 feedbacks to climate change. Potential tipping dynamics assessed include eutrophication-driven
30 anoxia and internal phosphorus-loading, increased loading of organic matter from terrestrial to
31 lake ecosystems (lake “browning”), lake formation or disappearance in response to cryosphere
32 shifts, switching from nitrogen to phosphorus limitation, salinization, and the spread of invasive
33 species. We also address other types of abrupt, or threshold-type shifts in lakes and ponds, and
34 conclude on which tipping points are locally or regionally relevant. We identify a key set of co-
35 drivers that could lead to self-sustaining feedbacks, with warming, browning, and eutrophication
36 leading to increased lake stratification, heterotrophy, and algal mass, which separately or
37 collectively drive benthic oxygen depletion and in turn increased greenhouse gas emissions
38 (helping to drive further warming and organic matter loading) and internal phosphorus-loading
39 (driving further eutrophication). Several of these processes can feature tipping points, which
40 further warming will likely make easier to reach. We argue that the full importance of the
41 vulnerability of lakes to climate and other anthropogenic impacts, as well as their feedback to
42 climate is not yet fully acknowledged, so there is a need both for science and communication in
43 this regard.

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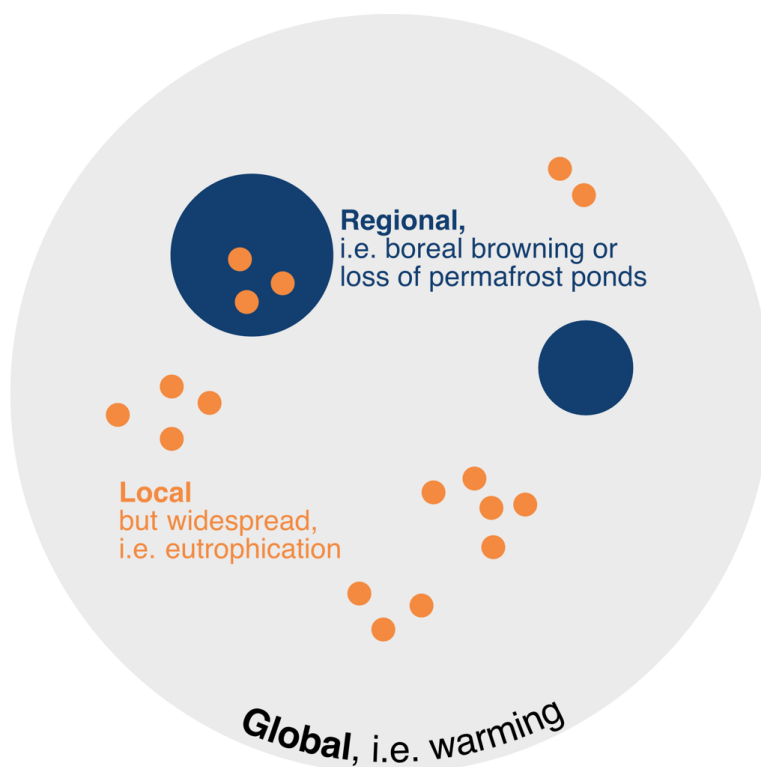
45 **Introduction**

46 In natural sciences, the hysteretic behaviour of lakes (Scheffer et al. 2007) has informed the
47 concept of tipping points at the ecosystem level, following the development of the alternative
48 stable states theory in shallow lakes (Scheffer et al. 1993). Given the global vulnerability of
49 freshwaters and the pervasive nature of major pressures acting upon them (e.g. nutrient pollution,
50 over-extraction, and climate change), tipping points in these systems could have significant
51 societal impacts, including on human and environmental health, food production, and climate
52 regulation. The capacity to detect discontinuous ecosystem responses to pressure changes in
53 natural systems has been challenged (e.g. Hillebrand et al. 2020; Davidson et al. 2023).
54 Nevertheless, there are several studies that have reported the occurrence of tipping points even if
55 they are difficult to detect (Lade et al, 2021), such as shifts from one alternative state to another
56 in small shallow lakes, the most populous lake type globally (Messenger et al., 2016).
57 Widespread loss of water-bodies, from Arctic or sub-arctic ponds to wetlands or bogs might
58 qualify as one type of tipping point, but are not self-propelled by internal feedbacks themselves
59 rather than by permafrost thaw (Smol and Douglas 2007). The question of “sudden” system
60 shifts, alternative stable states and hysteresis depends too on what is considered a relevant time
61 span; days, years, decades or centuries. Also, systems may have alternative states that are not
62 necessarily fixed over long time-spans, hence the phrase “stable” should be used with caution,
63 just like there are strong and weak hysteresis. Uncertainty also remains on the geographical
64 extent of tipping points in lakes and the wider relevance for the Earth’s climate system – we here
65 focus on potential tipping points of global or regional relevance, and with relevance to global
66 change.

67 Empirical analyses, process modelling and experimental studies are advanced for shallow
68 lakes, providing a good understanding of lake ecosystem behaviour around tipping points. There
69 are related concepts in the literature (regime shifts, catastrophic shifts, forward switches, etc.), but here
70 we adopt the definition of a tipping point occurring when self-sustaining change in a system is
71 triggered beyond a forcing threshold, typically starting with positive feedback loops, then
72 entering a runaway phase before finally the tipping-point brings the system into a different
73 alternative state (Nes et al. 2016). For example, the well documented increase of phosphorus (P)
74 loading across European lakes in the last century (e.g. from agricultural and waste water
75 pollution) has uncovered critical loading thresholds beyond which lakes can shift rapidly from a



76 clear water, submerged macrophyte rich state to a turbid, phytoplankton dominated state
77 (Scheffer et al., 2001; Jeppesen et al., 2005; Tátrai et al. 2008), and vice versa, when nutrient
78 loading decreases. One of the theoretical implications is that to induce a switch back to the initial
79 state the nutrient loading should be reduced to a lower threshold before the shift might be
80 possible (hysteresis). Adding to such well-described and mechanistically well understood
81 changes, there is a wide range of local or single lake shifts that may be categorized as tipping
82



83
84 Fig. 1. Impacts at levels that may qualify for tipping points at relevant scales. Regional or biome-
85 wise effects could be loss of ponds and lakes due to permafrost thaw and/or increased loadings of
86 DOM in the boreal biome or salinization. Also local, but widespread changes such as
87 anthropogenic eutrophication of lakes in populated areas would have large-scale impacts. Lakes
88 worldwide shows a warming trend, hence a global impact.
89
90 points. The question remains as to whether tipping points are merely isolated phenomena in
91 single lakes, or specific *types* of lakes, or whether they are, or may be in the future, manifest



92 across geographically distinct populations of lakes experiencing similar environmental change,
93 with the potential for regional or global extent (Fig. 1).

94 It is well established that lakes are sensitive to the effects of climate change, including
95 warming and changes in precipitation and storminess (e.g., Adrian et al., 2009; Meerhoff et al.,
96 2022). Emerging evidence suggests that lakes and ponds may also play an important role in
97 climate regulation, through both the emission of greenhouse gases (i.e. predominantly CH₄,
98 Downing et al., 2021) and carbon burial (Anderson et al., 2020). Lakes and rivers are impacted
99 by climate change and other anthropogenic pressures globally, but they also provide strong
100 feedbacks to the global climate systems and carbon (C) cycle, (Cole et al. 2007; Tranvik et al.
101 2009), despite comprising a small part of global water extent While global estimates of net
102 greenhouse gas (GHGs) emissions from lakes remain poorly constrained, there is general
103 consensus that a significant fraction of terrestrially fixed C is degassed to the atmosphere via
104 surface waters. Cole et al. (2007) conservatively estimated that inland waters annually receive
105 some 1.9 Pg C y⁻¹ from the terrestrial landscape, of which at least 0.8 Pg C y⁻¹ is returned to the
106 atmosphere through water to atmosphere GHG exchange. Later estimates revised this global
107 GHG exchange term, to include evasion rates, at 2.1 Pg C yr⁻¹, from lakes, rivers and reservoirs
108 (Raymond et al. 2013). Notably, boreal lakes are important conduits of CO₂ release to the
109 atmosphere, estimated to be equivalent to the annual CO₂ release from forest fires, globally
110 (Hastie et al. 2017). Under a high CO₂-emission scenario and as a result of increased terrestrial
111 NPP, CO₂ emissions from boreal lakes are projected to increase by 107%, showing the coupling
112 between the terrestrial and aquatic C cycle (Hastie et al. 2017).

113 This significant role of surface waters for GHG-emissions is also highly relevant, but
114 poorly constrained both in national and global C-budgets (Lindroth and Tranvik 2021). The
115 balance between inputs of organic C and nutrients is a key determinant of the balance between
116 heterotrophic and autotrophic processes, and thus not only determine the biodiversity,
117 community composition and food web structure, but also the productivity-to-respiration (P:R)
118 ratio. And so, it is relevant to consider the extent to which potential tipping points may drive, or
119 be driven by, climate change, leading to higher level feedbacks to the Earth's climate system.

120 Here, we discuss tipping points in freshwaters reported in the literature, focusing on lakes
121 and ponds, with the potential for global or at least regional or biome-scale relevance. In this
122 context we will constrain the discussion to potential tipping points that are more generic, at least



123 with some regional or biome-wise impact, and that could have feedbacks to the climate, while
 124 not necessarily being driven or triggered by climate change *per se*. We identify 6 candidate
 125 categories for tipping points at a relevant scale in this context (regional to global impact), and for
 126 each of the categories we discuss whether observed changes can be categorised as tipping points
 127 according to the definition above. We also address climatic and other drivers and consequences,
 128 including potential feedbacks to the climate system, and wider societal implications, with
 129 emphasis on the most relevant and influential categories.

130 **Candidates and categories of lake tipping points**

131 In principle many abrupt or sudden changes imposed on a waterbody could result in specific
 132 impacts, i.e. toxic waste or toxic treatments (e.g. rotenone to kill off undesired species; runoff of
 133 herbicides inadvertently killing aquatic plants), hydrological alterations by impoundment or
 134 canals, and stocking of new (often exotic) species. To qualify as tipping point, there should be
 135 self-sustaining dynamics and positive feedbacks involved, and to be relevant in a wider context,
 136 the tipping point should be more generic to certain types of impact, certain types of waterbodies,
 137 and potentially also have feedbacks to the climate in terms of GHG-emissions. We have
 138 identified 6 stressors that may trigger a freshwater ecosystem to cross a tipping point (Table 1),
 139 and scrutinise them one by one.

140

141 Table 1. Candidate events from the literature with potential to occur at local to regional scales, their association with
 142 climate change, and whether tipping points and hysteresis have been associated with them. Brackets indicate higher
 143 uncertainty.
 144

Type of event	Local, common	Regional	Climate driver	Climate feedback	Tipping point	Hysteresis
Eutrophication driven water anoxia and internal P-loading	x		x	x	x	x
Increased loadings of DOM		x	x	x	(x)	(x)
Disappearance/ appearance of waterbodies		x	x	x	x	(x)
Switch between N and P limitation		x	x	(x)		
Salinization		x	x	x		(x)
Spread of invasive species	x	(x)	(x)			(x)

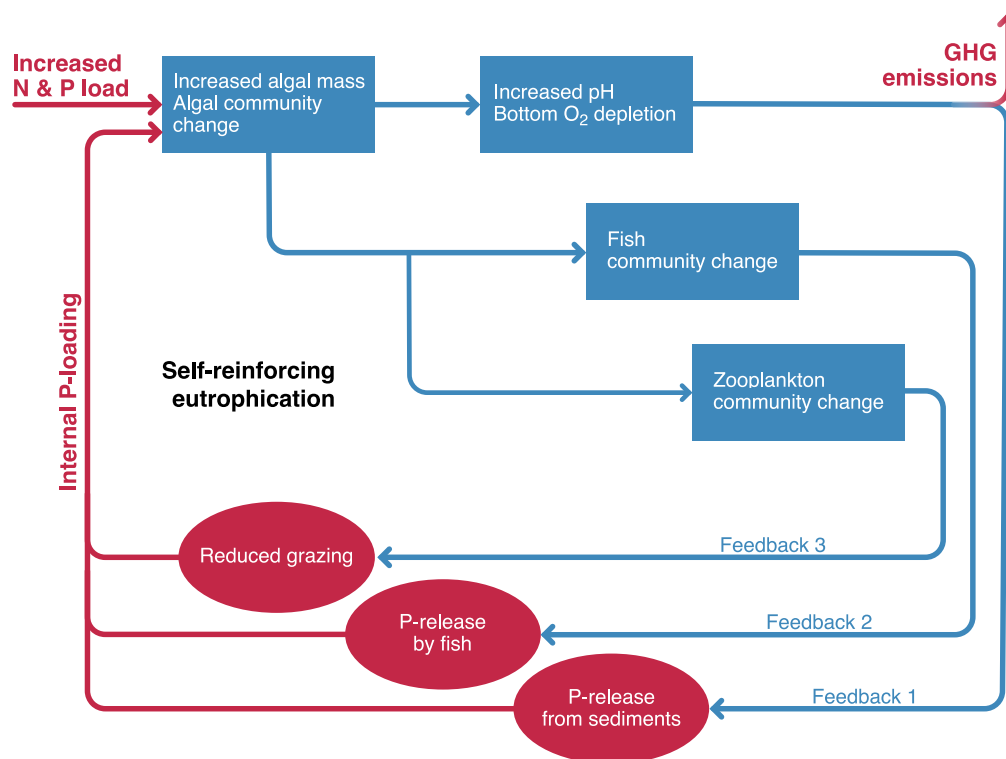
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147 **1. Eutrophication driven anoxia and internal P-loading**

148 Eutrophication is one of if not the most pervasive impacts on fresh waters and coastal systems.
149 Although it may naturally occur due to inputs from the watershed or from biota translocating
150 nutrients from connecting ecosystems, eutrophication is a largely human-induced phenomenon.
151 The main causes of cultural eutrophication have varied across time and regions. However, it is
152 widely accepted that the main current cause of eutrophication is the change in land use in the
153 watersheds and particularly agricultural activities acting as diffuse sources of nutrients (among
154 other agrochemicals) (Moss 2008; Schulte-Uebbing et al., 2022). Agriculture, with myriad
155 impacts on fresh waters that go well beyond nutrient pollution (Moss, 2008), has been pointed as
156 a major driver of ecosystem shifts and tipping points (Gordon et al., 2008).
157



158
159 Fig. 2. Feedback loop diagram for eutrophication, demonstrating key feedbacks that can amplify
160 P-loading (and beyond a tipping point drive self-sustaining change) and drive increased
161 greenhouse gas emissions.
162



163 The mobilisation of P from sediments, a process known as internal loading (Sondergaard et al.,
164 2001), plays a key role in hysteresis in lakes recovering from cultural eutrophication (Boström et
165 al. 1982; Jeppesen et al. 1991; Spears & Steinman 2020). Eutrophication-driven changes in
166 biota, such as changes in fish composition and size structure with cascading effects on
167 zooplankton and phytoplankton as well as strong impacts if fish mediated nutrient cycling
168 (Brabrand et al. 1990), also strengthen hysteresis and maintain a system with deepwater anoxia
169 and high nutrient load, supporting the release of GHGs (Fig. 2).

170

171 *Feedbacks and Tipping points*

172 The phenomenon of eutrophication is local, but widespread, and likely to worsen in its
173 manifestations as a result of climate change (Moss et al., 2011; Meerhoff et al., 2022). In
174 particular, the process of internal loading may be enhanced by lake warming (Jeppesen et al.,
175 2009) due to an increased metabolism of bacteria and speed of biochemical reactions. Warming
176 also increases stratification and thermal stability promoting anoxia (Maberly et al. 2020;
177 Woolway et al. 2020). Increases in precipitation, and high intensity rainfall events, are also
178 expected to significantly increase runoff of P from agricultural catchments to surface freshwaters
179 (Ockenden et al., 2017), further promoting eutrophication and its manifestations.

180 The different states of shallow lakes can feedback differently on climate by either
181 reducing or increasing GHG emissions (Hilt et al. 2017). Clear and turbid lakes differ in their
182 CO₂ emissions due to the magnitude of CO₂ uptake by primary producer photosynthesis. Efflux
183 of CO₂ appears to decrease when submerged macrophytes establish after the reduction of
184 nutrient loading (Jeppesen et al., 2016). Submerged-macrophyte dominated shallow lakes tend to
185 emit lower CH₄ by ebullition and diffusion than phytoplankton turbid lakes (Colina et al., 2022;
186 Davidson et al. 2018). The turbid state in particular feeds back on climate since warming and
187 eutrophication induced water anoxia could offset increased CO₂-fixation by blooms or by
188 macrophytes as lower oxygen levels stimulate methane (CH₄) emission, with CH₄ emissions
189 from eutrophic systems expected to increase with 6-20% with each degree of warming (Aben et
190 al. 2017).

191 In addition, the eutrophication and warming-associated shift from submerged macrophyte
192 dominance to phytoplankton or floating plant dominance may also strongly increase greenhouse
193 gas emissions, particularly CH₄ (Aben et al. 2022). Cyanobacterial blooms, a typical



194 manifestation of eutrophication and high internal P-loading, can both promote CO₂ sequestration
195 and produce CH₄. CH₄ can be produced even under oxic conditions as a by-product of
196 photosynthesis (Bižić et al., 2020). Blooms often create anoxic layers at the surface of aquatic
197 systems or along the water column after their collapse of blooms, favouring the release of CH₄
198 via methanogenesis (Li et al., 2021; Yan et al., 2017). Cyanobacterial blooms are thus considered
199 a key mechanism by which eutrophication has a positive feedback on climate change (Bižić
200 2021; Yan et al., 2017). Although increased inputs on N from atmospheric deposition or
201 catchment runoff are main causes of elevated N₂O release from lakes (Yang et al. 2015),
202 warming also impacts aquatic N₂O emissions. N₂O emissions are estimated to increase with 8 –
203 14% for each degree of warming (Velthuis and Veraart 2022), highlighting another strong
204 ecosystem-climate feedback.

205 Despite the fact that nutrient loading is still the major driver of eutrophication
206 manifestations such as blooms (e.g. Bonilla et al., 2023), climate change is expected to promote
207 eutrophication (Moss et al., 2011; Meerhoff et al., 2022). Indeed, interaction between
208 temperature and trophy has been observed to produce synergistic emission responses in
209 experimental lakes (Davidson et al., 2018) and warming alters resident microbial communities to
210 favour methanogenesis over methanotrophy (Zhu et al., 2020). It is thus likely that warming
211 decreases the nutrient thresholds for a tipping point leading to a shift to an alternative state in
212 shallow lakes and ponds. The predominantly amplifying influence of climate change on
213 eutrophication-driven tipping points in lakes provides a mechanism for coherent tipping beyond
214 the local scale, with more widespread eutrophication-induced tipping points expected with
215 further warming. However, whether any of eutrophication's climate feedback effect can be
216 buffered by projected eutrophication-driven increases in lake carbon burial (Anderson et al.
217 2020) remains uncertain, and there is a dearth of studies that generate bi-directional carbon flux
218 data to assess the balance between emission and burial in lakes. Moreover, robust projections are
219 lacking for climate impacts on eutrophication, with no emergent regional to global warming
220 threshold identifiable beyond which a nonlinear increase in these localised tipping points occur
221 (Grasset et al. 2020), which is amplified by the fact that tipping points become harder to predict
222 in a warmer climate (Kosten et al. 2009).

223



224 **2. Increased loadings of DOM in the boreal biome**

225 Over thousands to millions of years, the mutual feedback between terrestrial vegetation and
226 aquatic productivity has been essential for the evolution of the atmosphere and the global climate
227 (Beerling 2007). Vegetation serves not only as a major C pool and eventually a source of total
228 organic carbon (TOC) in boreal areas, but it also promotes root exudates of CO₂ and organic C.
229 This enhances weathering rates thereby increasing the flux of nutrients (P, N, Si, Fe, Ca and
230 carbonate (CO₃)) (Humborg et al. 2004; Hessen et al. 2009) to surface waters. The availability of
231 nutrients subsequently enhances aquatic productivity, and thereby C-sequestration. In addition,
232 the carbonate species are important for buffering capacity towards acidification in freshwater and
233 marine systems. On different timescales there is thus a range of feedback mechanisms between
234 terrestrial and aquatic ecosystems that demands a better understanding. Tracking past history
235 (Holocene) tree-line, forest cover and lake sediments, revealed a strong and consistent link
236 between climate, forest cover and lake TOC (Rosén 2005). Thus, at least on the centennial scale,
237 there is a strong temporal TOC-link between terrestrial and aquatic systems. Allochthonous C
238 derived either directly as leachate from litterfall and roots or indirectly via partial decomposition
239 of organic matter in the soils, constitutes the by far dominant pool of dissolved organic matter
240 (DOM) in boreal freshwaters. Forest cover and fraction of bogs and wetland areas in the
241 catchment are key determinants for the concentration and color of this terrestrially derived DOM
242 (Dillon and Molot 1997; Kortelainen et al. 2006; Larsen et al. 2011a), of which TOC is the main
243 constituent.

244 Since terrestrially derived C is a main determinant of freshwater C, any changes in
245 terrestrial primary production and export of organic C will invariably also increase aquatic
246 outputs of CO₂. Increased terrestrial productivity has been linked to a “CO₂-fertilization” (Huang
247 et al. 2007) yet these CO₂ effects will be constrained by N-availability. Elevated N-deposition
248 due to human emissions has driven a ~12% increase in the forest C sink in tandem with the CO₂-
249 fertilization effect, while at the same time also increased the deficiency of phosphorus (and other
250 key elements allocated to tree biomass).

251 Increased export of terrestrially derived dissolved organic matter (DOM) to lakes and
252 rivers in boreal regions (“browning”) is a widespread phenomenon partly linked to reduced
253 acidification, but also driven by land-use changes (notably afforestation) and climate change
254 (CO₂-fertilization of forests, warming and hydrology) (de Wit et al., 2016; Creed et al. 2018;



255 Monteith et al., 2023). An empirically based space-for-time model of changes in NDVI under a
256 2° C climate scenario predicts a continued profound browning of boreal lakes (Larsen et al.
257 2011b). Forest dynamics are slow, however, hence space-for-time scenarios projecting increased
258 flux of TOC from catchments owing to increased forest cover could require centuries to play out.
259 Thus, catchment properties governing *production* of TOC such as forest size and fraction of bog
260 and wetland areas could very well be temporally decoupled from the export, especially
261 considering the large stock of organic matter typically present in boreal catchments.

262 Time series analysis (30 years) of data from 70 Norwegian catchments and lakes
263 provided however evidence also for a tight temporal coupling between the decadal increase in
264 land “greening” (with NDVI as a proxy) and lake browning (with TOC as a proxy) (Finstad et al.
265 2016), and the browning on northern lakes can to a large extent be attributed a recent
266 afforestation (Kritzberg 2017; Skerlep et al. 2020.). The prominent “greening” by increased
267 vegetation cover trend in many boreal and alpine regions (Guay et al. 2014) and increase in
268 forest volume (cf. Opdahl et al. 2023) will thus have bearings on lakes and rivers in these
269 regions. There are a number of confounding explanatory drivers for this greening: warming,
270 elevated CO₂, accumulated nitrogen deposition and changes in grazing activities as well as
271 forestry practices. An extended growing season has also been recorded (Barichivich et al. 2013),
272 and elevated levels of CO₂ per se may contribute to this (Piao et al. 2006). In sum, these changes
273 in the environmental drivers and pressures yield an increase in terrestrial net primary production,
274 notably at high latitudes (Forkel et al. 2016). Since a significant fraction of the terrestrial NPP
275 will be exported to surface waters as DOM, it means that terrestrial greening could lead to
276 freshwater browning.

277 The role of forest cover is further accentuated by a need for a carbon-negative future (i.e.
278 net drawdown of CO₂ from the atmosphere) where widespread afforestation is the only currently
279 feasible means of reducing atmospheric concentrations of CO₂ beyond the continued action of
280 natural carbon sinks (MacDougall et al., 2020). However, such afforestation also comes with
281 climate costs, both in terms of decreased albedo (Betts and Ball 1997; Bathiany et al. 2010;
282 Lawrence et al. 2022) and as argued above, the potential for increased production and degassing
283 of GHGs from surface waters. Enhanced primary production in forested catchments stimulated
284 by reactive nitrogen deposition has, by increasing the pool of C available for fluvial export, been
285 linked to increased carbon burial in northern lakes over the past two centuries (Heathcote et al.



286 2015). Again, this highlights the need for improved understanding of the balance between carbon
287 emissions and burial in lakes in response to browning (Williamson et al., 2015) and other
288 identified stressors in order to better constrain climate feedbacks. Browning will also promote
289 darkening of coastal waters with as yet unknown climate feedbacks (Opdal et al. 2023). The
290 question that remains unsettled is whether these terrestrial and aquatic responses are directly
291 coupled in time, or if there is a delayed aquatic response in the order of decades or even
292 millennia. Another issue is how the CO₂ in itself could boost these processes, and how this
293 skewed C-supply to autotrophs could affect land-aquatic interactions.

294 Wide-scale shifts in boreal lakes caused by increased loadings of DOM can promote a
295 prolonged and more intensified stratification period (implications summarized above, described
296 for DOM by Spears et al., 2017), amplified by warming. Increased terrestrial DOM loadings
297 intensify net heterotrophy in the systems (i.e. through increased light attenuation and increased
298 access to organic C for heterotrophic bacteria) (Hessen et al. 1990; Karlsson et al. 2007; Thrane
299 et al 2014; Horppila et al. 2023). While at present the thresholds around these effects have not
300 been well constrained, the impacts may be significant at the global scale for GHG emissions
301 (Tranvik et al. 2009) and regionally for coastal NPP (Opdal et al. 2019). Given the strong
302 empirical links between drivers and consequences, it means that impacts and feedback can be
303 predicted qualitatively, while not yet quantitatively.

304

305 *Feedbacks and tipping points*

306 The links and feedbacks between climate to land to lakes and back to climate in terms of
307 increased GHG-emissions is conceptually well understood, and also the main drivers for the
308 specific GHGs (CO₂, CH₄ and N₂O) in boreal areas is understood (Yang et al. 2015; Wik et al.
309 2016; Valiente 2022). However, the question as of whether these feedbacks can result in tipping
310 points by becoming self-sustaining beyond a threshold is not yet settled. Most boreal lakes are
311 net heterotrophic and thus conduits of CO₂, often also CH₄, due to high concentrations of DOM
312 and common deep-water of sediment anoxia. A shift from net autotrophy to net heterotrophy
313 would classify as a binary shift, yet with a strong, positive climate feedback. If it eventually
314 leads to oxygen depletion and cascading feedbacks then it would qualify as a tipping point, yet
315 with a time delay between the two events, and where the latter is the critical tipping event. There
316 is also a commonly reported unimodal response in lakes to increased loadings of DOM, typically



317 around 5 mg DOC l⁻¹ (Karlsson et al. 2007; Thrane et al 2014), where increases in DOM below
318 the threshold may promote NPP and thus CO₂ drawdown due to N and P associated with DOM,
319 while reduced NPP and increased degassing of CO₂ (and CH₄) will take place above. We thus
320 propose two types of large-scale potential tipping points, one related to anoxia, the other to
321 DOM-concentrations, yet both are related to increasing load of terrestrially derived DOM across
322 the boreal region.

323

324 **3. Disappearance/appearance of waterbodies**

325 A global reduction in lake water storage (Yao et al., 2023), and the climate-driven creation or
326 disappearance of water bodies is a crucial issue. Loss of water-bodies due to overuse, warming
327 or draught pose a major threat to vulnerable, freshwater resources, also by deteriorating water
328 quality or salinization (cf. below). The most dramatic warming has already taken place in the
329 high Arctic with temperature increases up to 3 °C over the past few decades (Wang et al. 2022),
330 and onset of permafrost thaw (Langer et al. 2016). Both current and future permafrost thaw and
331 glacier melting can both create new waterbodies and drain old, providing a strong link to the fate
332 of the cryosphere (Smith et al. 2005; Olefeldt et al. 2021). Such small, but numerous waterbodies
333 residing on permafrost over large geographical scales in Eurasia and North-America are
334 currently among the most vulnerable water-bodies globally (Smol and Douglas 2007; Heino et
335 al. 2020). They host species-poor but specific communities of invertebrates (Rautio et al. 2011;
336 Walseng et al. 2021) of vital importance for birdlife and other biota. Warming may also affect
337 these waterbodies indirectly via glacier melt, increased inputs of organic C, fertilisation by
338 increasing populations of geese (caused by climate change), and consequently changes in
339 microbial communities and increased GHG emissions (Eiler et al. 2023). Thus, by their share
340 number these systems may also serve as increasingly important conduits of greenhouse gases and
341 historical soil carbon stocks to the atmosphere (Laurion et al. 2010; Negandhi et al. 2016), and
342 play an important role in mediating nutrient delivery to the polar oceans (Emmerton et al., 2008),
343 potentially affecting global NPP (Terhaar et al., 2021). While the main problem is loss of water
344 bodies resting on (thawing) permafrost (Smol and Douglas 2007) there are also cases where
345 collapsing palsas and thermokarst areas create new waterbodies, and these waterbodies may
346 themselves represent a positive feedback by accelerating the thaw (Langer et al. 2016; Turetsky
347 et al., 2020).



348

349 *Feedbacks and tipping points*

350 Some essential feedbacks to climate change are involved in the change of Arctic waterbodies;
351 e.g. reduced ice and snow cover in the Arctic will promote further permafrost thaw. More
352 organic carbon entering water bodies from their terrestrial surroundings, combined with warming
353 and eventually bird induced eutrophication will promote GHG emissions. It is important to make
354 clear that some of the impacts are contrasting, i.e. the loss of waterbodies may at first increase
355 GHG emissions (Keller et al. 2020; Paranaiba et al. 2021) but will eventually reduce GHG
356 emissions. Permafrost thaw and drainage of water-logged areas will increase CO₂-emissions, but
357 could reduce CH₄-emissions. Sudden release of methane-hydrates upon permafrost thaw is a
358 possibility, yet hard to predict and quantify, and not specifically linked to aquatic habitats.

359 Few changes are as irreversible as complete habitat loss, and the climate-driven loss of
360 numerous water-bodies residing on permafrost over large geographical scales in Eurasia and
361 North-America (due to permafrost thaw) with climate feedbacks in terms of changed GHG-
362 emissions is possible. In fact, as argued by Smol and Douglas (2007); “The final ecological
363 threshold for these aquatic ecosystems has now been crossed: complete desiccation”. If strictly
364 adhering to the tipping point criteria as an event occurring when self-sustaining change in a
365 system is triggered beyond a forcing threshold, typically starting with positive feedback loops
366 and a runaway phase before finally the tipping-point brings the system into a different alternative
367 state, loss of waterbodies is not strictly a tipping point, but a binary shift. Abrupt permafrost
368 thaw, which can drive abrupt self-sustained formation or draining of thermokarst lakes, is
369 categorised as a “regional impact” climate tipping element by Armstrong McKay et al. (2022).
370 We extend this categorisation to include the lakes associated with these abrupt thaw processes,
371 seeing them as a coupled permafrost-lake systems with tipping dynamics involving both
372 components (Turetsky et al., 2020).. Despite the scale considered here, the extent of open water
373 globally is relatively easy to quantify using remote sensing, and it is possible to make predictions
374 based on time-series and empirical relationships between temperature increase, permafrost thaw
375 and loss of water-bodies. Quantifying potential climate feedbacks related to processing of
376 organic C to CO₂ and CH₄ should be possible to predict within orders of magnitude, with initial
377 analysis suggesting abrupt thaw involving thermokarst lake formation and draining could double
378 the warming impact of gradual permafrost thaw (Turetsky et al., 2020).



379

380 **4. Switch from N to P-limitation**

381 Imbalance in biogeochemical cycles has become a major concern both on the local and global
382 scale. Anthropogenic emissions of CO₂ now appear as the major environmental challenge for
383 ecosystems and human well-being in the foreseeable future. In relative terms, however, the
384 anthropogenic effects on the global N-cycle are even more pronounced. Transformation of
385 atmospheric N₂ to more reactive reduced or oxidized forms of inorganic N by fertilizer industry
386 and combustion processes has dramatically changed, and recent analyses of the global N-cycle
387 (Bodirsky et al. 2014; Zhang et al. 2020) suggest that various human activities currently convert
388 similar N₂ to total natural ecosystem fixation, and that both the use of N and P are far beyond
389 “safe boundaries” (Rockström et al. 2023).

390 Increased N-deposition may affect surface waters in fundamentally different ways. It will
391 increase the emissions of N₂O (Yang et al. 2015), and increased deposition of inorganic N
392 promotes soil and water acidification through increased NO₃ in surface waters (Stoddard 1994).
393 It will however also affect elemental ratios in lakes and rivers (Hessen et al. 2009). The relative
394 proportions of these elements will determine the nature of elemental limitation for both
395 autotrophs and a range of heterotrophs, and could thus profoundly affect community composition
396 and ecosystem processes. One effect of such skewed inputs of N over P would be an intensified
397 P-limitation in surface waters or even large-scale shifts from N to P-limitation (Elser et al. 2009).
398 Conversely, increased N-loss by denitrification, eventually associated with increased internal P-
399 loading may shift systems from P to N-limitation (Weyhenmeyer et al. 2007). Societal
400 implications include an increased prevalence of toxin producing cyanobacteria, purported to be
401 promoted in extent by warming (Paerl et al., 2008) and favouring non-N-fixing toxin producing
402 species where reduced-N concentrations are high relative to oxidized-N (Hoffman et al., 2022).
403 Additionally, a threshold on toxic effects on sensitive freshwater species has been proposed (i.e.
404 2 mg L⁻¹; Camargo et al., 2006; Moss et al., 2013), above which a marked decline in biodiversity
405 is expected.

406

407 *Feedbacks and tipping points:*

408 Changes in N- versus P-limitation of NPP are associated with changes in community structure,
409 both for the phytoplankton and macrophyte communities. While the shift from one limiting



410 nutrient to another representing no doubt represent a binary shift and abrupt transition, it is not
411 driven by self-propelling events or positive or negative feedbacks, since a shift from N to P-
412 limitation typically is caused by N-deposition or agricultural use of fertilizers. While increased
413 N-loading per se could promote climate feedbacks in terms of N₂O, the switch from N to P-
414 limitation or vice versa is neither driven by climate or have strong feedbacks on climate. There is
415 also no inherent hysteresis, and when drivers change the system may immediately return to the
416 other limiting nutrient. For these reasons we do not classify this category as a tipping point
417 according to the definition above.

418

419 **5. Salinization**

420 Salinization is a prevalent threat to freshwater rivers, lakes and wetlands world-wide, particularly
421 in arid and semi-arid regions and coastal areas. It is caused by a range of anthropogenic actions
422 including water extraction, pollution and climate change (Herbert et al. 2015). The causes of
423 salinization have historically been classified as being primary or secondary. Primary salinization
424 refers to natural causes including wet and dry deposition of marine salts, weathering of rocks and
425 surface or groundwater flows transporting salts from geological salt deposits. Secondary
426 salinization refers to salinization caused by human activities such as irrigation with water rich in
427 salts, rising of brackish and saline groundwater due to increased ground water extraction and
428 increased seawater intrusion as a result of sea level rise. The distinction between natural and
429 anthropogenic causes underlying salinization is becoming less clear cut due to climate change as
430 anthropogenically caused changes in temperature, precipitation patterns and wind will affect the
431 primary salinization processes (Oppenheimer et al. 2019). Salinization has severe consequences
432 for aquatic communities (Jeppesen et al. 2015, Short et al. 2016, Cunillera-Montcusí et al. 2022).
433 Salinization has a strong ecological impact often associated with osmotic stress and changes in
434 biogeochemical cycles which often entails an increase in concentration of toxic sulfides (Herbert
435 et al. 2015). Negative effects of increased salinity have been described for trophic levels ranging
436 from microorganisms to fish and birds (reviewed by Cunillera-Montcusí et al. 2022). In addition,
437 salinization also has a high societal impact particularly related to domestic and agriculture water
438 supply in arid and semi-arid regions (Williams et al. 1999).

439

440 *Feedbacks and tipping points*



441 Regime shift from clear to turbid may occur at 6-8 per mil salinity in systems with intermediate
442 to high nutrient loadings and have been associated with a change in zooplankton community
443 composition from cladocerans to more salinity tolerant cyclopoid copepods (Jeppesen et al
444 2007). Salinity induced regime shift may also lead to dominance by microbial mats at the
445 expense of submerged macrophytes (Davis et al. 2003, Sim et al. 2006). While there are species-
446 specific tolerance thresholds to salinity, and these effects are expected to interact with other
447 stressors - including eutrophication (Jeppesen et al. 2007, Kaijser et al. 2019), color and turbidity
448 (Davis et al. 2003) - the process is not driven by feedbacks of increased salinization, but external
449 factors like warming, water (over)use and road salting. Hysteresis after refreshing of salinized
450 systems has been little studied, but is likely strongly biogeochemical in nature as evidenced by
451 previously brackish waters that have been flushed with freshwater for over 90 years and still
452 contain high levels of chloride, sodium and sulfate (Van Dijk et al. 2019).

453 Salinization tends to decrease CH₄ emissions (Herbert et al. 2015, Chamberlain et al.
454 2020, Gremmen et al. 2022). The decrease in CH₄ emission can be either caused by a decrease in
455 CH₄ production - e.g. because methanogens are outcompeted by sulfate reducers or are
456 negatively impacted by sulfide toxicity - or because an increase in methane oxidation (reviewed
457 by Herbert et al. 2015). The salinity induced decrease in aquatic CH₄ emissions may imply a
458 negative feedback with climate change, but only when this is not off-set by a decrease in carbon
459 burial. Insight in this balance is currently limited (Chamberlain et al. 2020), and while no doubt
460 salinization are widespread on regional scales and may reach threshold values for species and
461 processes, we do not categorize it is a tipping point under the cited criteria.

462

463 6. Spread of invasive species

464 Freshwaters are especially vulnerable to species loss and population declines as well as species
465 invasions due to their constrained spatial extent. Substantial ecosystem changes by reinforcing
466 interactions between invasive species and alternative states (i.e. macrophyte *versus*
467 phytoplankton dominance, as described above) may occur (Reynolds and Aldridge 2021). The
468 spread of several invasive species can in dramatic ways change community composition and
469 ecological functions, and per se be regarded as sudden transition with major site-specific or
470 regional impacts. Moreover, species invasions can very well be facilitated by climate change
471 (Rahel and Olden, 2008). While species invasions for good reasons are of major ecological and



472 societal concern, and can induce ecological tipping points in certain lakes, they are generally not
473 self-propelling involving internal feedbacks. No doubt it may be appropriate to say that invaded
474 system as subject of hysteresis, since also local extinction of species is far from trivial.

475

476 *Feedbacks and tipping points*

477 We do here not pursue the discussion feedbacks and potential tipping points further for this
478 candidate category since we have constrained our definition of tipping points to situations with
479 internal feedback and regional occurrence. It is however likely that species invasions interact
480 with other drivers lowering the potential thresholds (of nutrients, temperature, browning, etc.) for
481 a shift to occur, and vice versa, by impacting on previously occurring stabilizing mechanisms
482 (Willcock et al. 2023). This is an area that deserves further research.

483 **Discussion**

484 Freshwaters are one of the most vulnerable ecosystems and resources globally, and will
485 increasingly be so with continued global warming. They also link catchment properties and
486 terrestrial changes to marine systems, and notably lakes serve as good sentinels of global change
487 (Adrian et al. 2009). Population declines and species loss of freshwater species are happening at
488 an alarming pace, and is another reason why knowledge on the ecological status of lakes is
489 important. Drinkable freshwater is a scarce resource qualitatively, but also quantitatively (Yao et
490 al. 2023). Predicting (and preventing) sudden shifts in water quality and quantity is therefore a
491 high priority also from an anthropocentric perspective, and insights into feedbacks, thresholds
492 and tipping points are highly relevant to lakes. Lake are also major players in the global climate,
493 and besides being highly vulnerable to climate change, they can provide strong feedback to the
494 climate by ventilating a substantial share of terrestrially fixed C back to the atmosphere as CO₂
495 and CH₄ (Cole et al. 2007; Tranvik et al. 2009; Raymond et al. 2013). Lakes are also subject to
496 changes, sometimes sudden, due to climate change and other natural or anthropogenic drivers. In
497 fact, some of the first and most striking examples on tipping points and regime shift come from
498 lake studies (Scheffer et al. 1993; Jeppesen et al. 1998).

499 We argue that there are two key drivers that may shift lakes towards major ecological
500 changes, as well as increased climate feedback by GHG emissions, namely eutrophication and
501 browning (increased loadings of terrestrially derived DOM). Both these drivers are promoted by

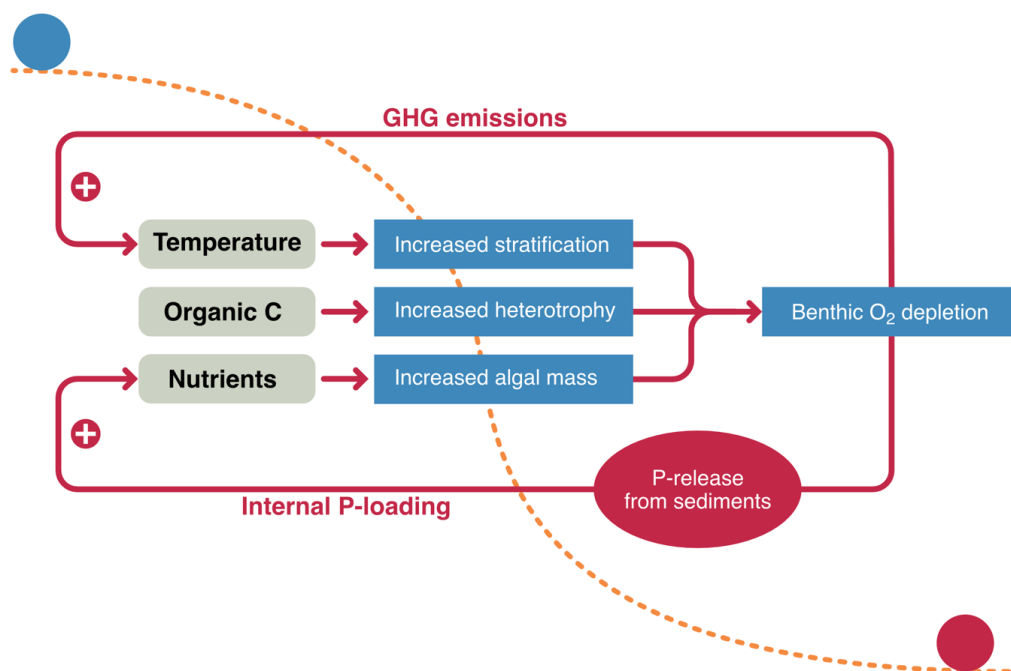


502 warming, which *per se* may be seen as a separate driver. Both processes are also characterised to
503 some degree by self-sustaining feedback loops, feedback to climate in terms of GHG-emissions,
504 and are also strongly integrated with land surface impacts in the catchment (Fig. 3). Warming,
505 browning, and eutrophication lead to increases in stratification, heterotrophy, and algal mass,
506 which collectively drive benthic oxygen depletion and in turn increased GHG emissions (helping
507 to drive further warming and DOM loading from land) and internal P loading (driving further
508 eutrophication) (Meerhoff et al. 2022). Several of these processes can feature tipping points
509 (eutrophication and potentially DOM loading), which warming will likely make easier to reach.
510 Few processes have been more thoroughly described in terms of drivers, impacts and remedies
511 than freshwater eutrophication. The drivers are well known (nutrient loadings, basically from
512 agricultural activities, but locally also sewage), despite long-term controversies regarding the
513 relative importance of nitrogen or phosphorus in promoting eutrophication (e.g., Smith &
514 Schindler 2009, Paerl et al. 2016). There are also long traditions for predictive hydraulic models
515 that link the load of phosphorus to algal blooming and benthic O₂-depletion (e.g., Vollenweider
516 type models, Imboden 1974). Moreover, given the scarcity, increasing demands and increasing
517 prices of P worldwide, there are indeed strong arguments to close the loop for P and reduce
518 excess P (Spears et al. 2022). Due to the strong impact of O₂-depletion on sediment release of P
519 and thus internal fertilization (Soendergaard et al. 2002), that will play in concert with food-web
520 driven feedbacks (cf. Fig. 3), tipping points in this context can be identified, while the climate
521 component is difficult to separate.

522 Browning shares many of these attributes in terms of increased net heterotrophy. Shift
523 from net autotrophy with a net uptake of CO₂ to net heterotrophy with a net release of CO₂ (plus
524 CH₄) also represents a binary situation, yet since most boreal lakes already are net heterotrophic
525 owing to microbial conversion of organic C (Hessen et al. 1990; Cole et al. 1994; Larsen et al.

526

527



528

529 Fig. 3. The interactive role of eutrophication, DOM-export (browning) and warming on lakes.
530 Separately or combined they promote benthic O₂-depletions which cause an internal feedback by
531 P-loading from sediments and a climate feedback via release of greenhouse gases. The potential
532 shift between states (blue to red circle) is indicated.

533

534

535 2011), most boreal lakes simply become more heterotrophic, hence there is no tipping point in
536 this context. However, increased degree of heterotrophy combined with increased thermal
537 stability, will promote deep-water anoxia, thereby internal P-cycling and GHG-release. Since the
538 key driver here is external load of terrestrial DOM, the feedback component by P-release is
539 weaker than in the case of eutrophication, but increased release of GHGs no doubt poses
540 feedback to the climate and hence the terrestrial systems that may promote further browning.
541 These processes are amplified by climate change, they have global consequences in terms of
542 greenhouse emissions, have high confidence and should thus be a top priority for parametrization
543 and serve as the lake categories for global tipping elements.

544 As a separate type of binary tipping point, widespread and with feedback of GHG-
545 release, we propose the loss of water bodies, notably Arctic ponds. This is driven by permafrost



546 thaw in the case of thermokarst-linked lake formation or disappearance (categorised as a regional
547 tipping element in previous assessments (Armstrong McKay et al. 2022), but together the
548 coupled permafrost-lake system can act as a localised tipping system with the lake providing key
549 feedbacks to help drive self-sustaining thaw. This makes the tipping points easy to monitor (by
550 remote sensing), and predictable in the sense that it will be closely linked to permafrost thaw.
551 There are however feedbacks to the climate, with potentially high emissions during the drying
552 process (Marcé et al. 2019; Turetsky et al. 2020) although the final disappearance of water
553 bodies could in fact reduce GHG-emissions and thus serve as a negative feedback. A different
554 situation would be the less widespread case of new waterbodies formed by collapsing palsas, in
555 cases also retreating glaciers, but the combined net effect of permafrost thaw and increased
556 release of CO₂ by oxidised organic C and the effect of disappearing waterbodies is not settled but
557 should be a research question of high priority.

558

559 *Gradients or tipping points – does it matter?*

560 One could argue that what matters is whether a change or process is linear (and thus more
561 predictable) or non-linear (and less predictable), and that the rest is semantics. This is truly not
562 the case, since there are substantial differences in what here is considered as tipping point, not
563 the least in terms of whether impacts are easily reversible or are effectively “locked in” (e.g.
564 hysteresis). Still, from an ecosystem perspective, abrupt shifts, even if they do not qualify as
565 tipping points, may have devastating effects that should urge us to invest more in preventing
566 deterioration as we do not know where/if a sudden shift may occur. As argued by Moss et al.
567 (2008): “the sort of precision demanded by legislators and lobbies will never be attainable and
568 this has been a major weapon used to delay regulation of agricultural activities.”

569 Shifts between ecological states do not necessarily involve alternative stable states with
570 hysteresis. In fact, both the concepts of abruptness and irreversibility depends on time
571 perspective. Over a lakes life-time perspective, shifts back and forth between states occurring
572 over years or even decades are “sudden” in a relative sense. For example, Rühland et al. (2008)
573 report apparent coherence in diatom community shifts post 1850 on hemispheric scales over 100 years or
574 so. Similarly, a coherent, global increase in hypoxia in lakes have reported over a 100 years period (from
575 about 1850) by Jenny et al. (2016). If the observational time step is increased to centuries, then it is likely
576 that more large-scale examples will come through in paleo-studies. In fact, there are several examples on



577 coherence in lake responses to climate variability or climate change, some of which also can take place
578 over short time spans (Stone et al. 2016; Isles et al. 2023). Finally, it is also worth pointing to the fact that
579 multiple drivers may jointly drive lakes towards shifts or tipping points, as shown in Huang et al. (2022)
580 and Willcock et al. (2023).

581 Taken together, there are at least two major reasons why an improved understanding of sudden
582 changes in lake ecosystems are imperative; they are highly vulnerable to climate change and other
583 anthropogenic stressors globally, and they serve as major feedbacks to the climate system by GHG
584 emissions. Being well-mixed and semi-closed entities, still reflecting changes in catchment properties,
585 they also serve as sentinels of global change (Adrian et al. 2019). For fresh waters in general, lakes are
586 crucial in the hydrological cycling, and link the terrestrial and marine ecosystems. The major tipping
587 point dynamics converge in oxygen depletion, primarily in deeper strata and the sediment surface, which
588 promotes feedbacks and hysteresis in terms of internal P release as well as increased GHG-emissions.
589 High nutrient load, increased inputs of dissolved organic C and warming all drive oxygen depletion, and
590 while many problems related to global warming boils down to the obvious recommendation of reduced
591 use of fossil fuel and other GHG-emitting activities, reducing nutrient loading is comparatively simpler
592 both for N and P, both elements that long time ago have crossed the “safe boundary” thresholds
593 (Rockström et al. 2009; 2023). The incentives should be even larger for closing the P-loop, given the
594 scarcity of this non-substitutable element and its role in eutrophication (Brownlie et al. 2022).

595 Regime shifts and tipping points are concepts closely linked to resilience (Andersen et al.
596 2008; Spears et al. 2017). Lakes represent excellent model case studies in this respect and have
597 been used widely to demonstrate theories of ecological stability and resilience that are needed to
598 underpin preventative management approaches and to guide science-based environmental policy.
599 The full importance of the vulnerability of lakes to climate and other anthropogenic impacts, as
600 well as their feedback to climate is not yet fully acknowledged, so there is a need both for
601 science and communication in this regard. However, we argue that the search for empirical
602 evidence to underpin theory should not prevent societies and managers taking more action to protect fresh
603 waters in the meantime.

604

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606 to the writing and approved the final manuscript.

607 *Code and data availability:* Not relevant

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609



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