

Article (refereed) - postprint

Wang, Chen; Duan, Jiakun; Ren, Chenchen; Liu, Hongbin; Reis, Stefan; Xu, Jianming; Gu, Baojing. 2022. **Ammonia emissions from croplands decrease with farm size in China.** *Environmental Science & Technology*, 56 (14). 9915-9923. <https://doi.org/10.1021/acs.est.2c01061>

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1 **Ammonia emissions from croplands decrease with farm size in China**

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25 **Abstract:**

26 **Farm size affects nitrogen fertilizer input and agricultural practices, which are key**
27 **determinants of ammonia (NH₃) emissions from croplands. However, the degree to**
28 **which NH₃ emissions are associated with changes in farm size is not well understood yet**
29 **despite its crucial role in achieving agricultural sustainability in China, where**
30 **agricultural production is still dominated by smallholder farms. Here we provide a first**
31 **analysis of the relationship between farm size and NH₃ emissions based on 863,000**
32 **surveys conducted in 2017 across China. Results show that NH₃ emissions (kg ha⁻¹) on**
33 **average decrease by 0.07% for each 1% increase in average farm size. This change**
34 **occurs mainly due to a reduction in nitrogen fertilizer use and the introduction of more**
35 **efficient fertilization practices. The largest reduction in NH₃ emissions is found in maize,**
36 **with less pronounced changes in rice cultivation, and non for wheat production. Overall**
37 **lower NH₃ emissions factors can be observed in the north of China with increasing farm**
38 **size, especially in the northeast, the opposite pattern was found in the south. National**
39 **total NH₃ emissions could be approximately halved (1.5 Tg) in a scenario favouring a**
40 **conversion to large-scale farming systems. This substantial reduction potential**
41 **highlights the potential of such a transition to reduce NH₃ emissions, including benefits**
42 **from a socioeconomic point of view as well as for improving air quality.**

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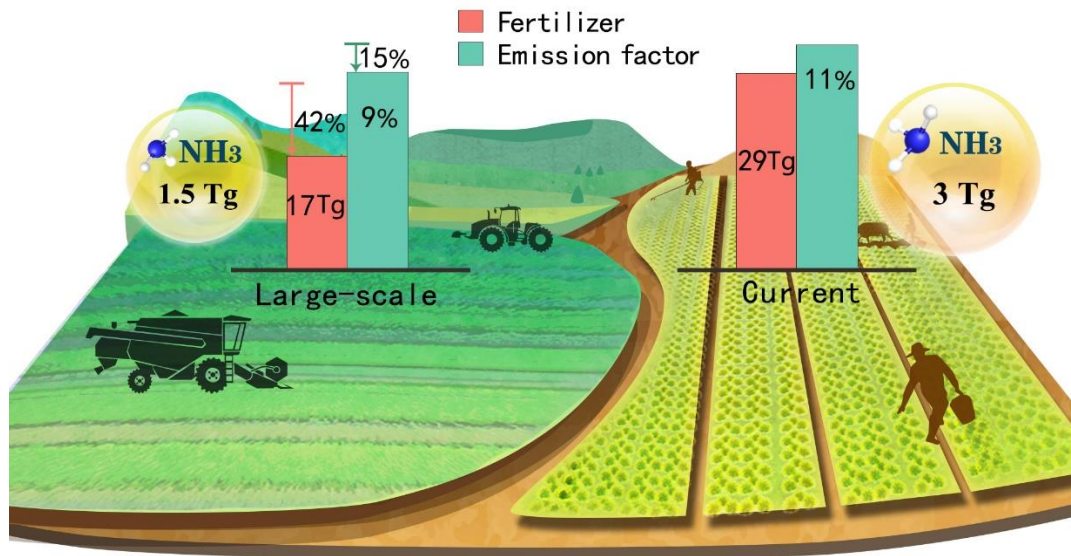
44 **Key words:** Farm size; Ammonia emissions; Agriculture; Crops; Fertilizer use

45 **Synopsis**

46 Increasing farm size is critical to mitigate NH₃ emissions by reducing nitrogen fertilizer input
47 and changing fertilization practices.

48

49 TOC:



50

51

52 Introduction

53 Ammonia (NH₃) plays an important role in the anthropogenic impacts on the global
54 nitrogen (N) cycle; however, substantially increased NH₃ emissions as a consequence of
55 intensive use of synthetic fertilizers have well-documented negative impacts on ecosystem
56 and human health, contributing to environmental problems including air pollution, soil
57 acidification, eutrophication and biodiversity loss¹⁻³. To safeguard food security, China uses
58 one-third of the world production of synthetic N fertilizers on its croplands, which only
59 account for below 9% of global total agricultural area.⁴ Over-use of N fertilizers leads to a
60 large amount of NH₃ emissions from croplands, accounting for about 30-40% of the total NH₃
61 emission in China.^{5,6} With population growth and increasing affluence and better living
62 standards, N fertilizer use and its NH₃ emissions from croplands are projected to continue to
63 increase unless mitigation measures are introduced.^{7,8} One such measure is optimizing N

64 fertilizer use, which would both help to reduce economic cost and agricultural NH₃ emissions,
65 while maintaining a sufficient level of plant nutrient inputs for crop growth, thus achieving a
66 triple benefit of maintaining food security, economic efficiency gains and environmental
67 protection.^{9, 10}

68 China's agriculture is dominated by smallholder farms.¹⁰ It has been documented that
69 small-scale farming typically leads to substantial over-use of fertilizer.^{11, 12} The "Action Plan
70 for the Zero increase of synthetic fertilizer use" launched in 2015 and the "Clean Air Action",
71 updated in 2018, both committed to reducing NH₃ emissions from agricultural systems.^{13, 14}
72 Advanced fertilization methods such as described by the "4R" of nutrient stewardship (Right
73 amount, Right timing, Right fertilizer type, and Right placing) and soil testing have been
74 developed; however, they are difficultly applied at the smallholder level due to constraints
75 related to the small farm size.^{15, 16} Fertilizer use per hectare would be projected to decrease
76 with the increase of farm size due to the changes of agricultural practices enabled by the
77 larger-scale farming operation.^{15, 17} For example, smallholder farmers prefer non-fixed inputs
78 such as synthetic fertilizer and pesticides to generate yield increase, while larger farm
79 operations tend to increase the use of fixed inputs, such as machinery and irrigation, due to
80 their scale effects.¹¹ However, the mechanisms of how and to what extent potential changes in
81 farm size can affect NH₃ emissions from croplands is not yet well understood.

82 In this paper, we explored the impact of farm size on NH₃ emission in croplands in over
83 2,800 counties in China. First, the relationship between farm size and NH₃ emissions was
84 analyzed at national scale; second, the analysis focused on how farm size affects NH₃

85 emissions in various crops and field management practices, as well as identifying the impact
86 of both natural and socio-economic factors affecting NH₃ emissions; third, future changes of
87 NH₃ emissions in croplands were projected taking into consideration large-scale farming in
88 China, based on modelling both changes in N fertilizer use and NH₃ emissions per N fertilizer
89 use in all counties.

90

91 **Data and methods**

92 **Data sources**

93 Data on farm size, fertilizer use, and management practices were collected from the
94 Second National Pollution Census across China in 2017, providing a unique data source
95 including approximately 863,000 field surveys conducted in over 2,800 counties. The spatial
96 origin of survey data is depicted in Figure S1. It comprises general information on the field
97 (planting area, location and planting mode), crop information (crop name, farming and
98 irrigation methods, crop yield, straw yield and recycled amounts in each season), and
99 fertilization (fertilization time, type, amount, nutrient content and fertilization method in each
100 season). Statistical information about each province is provided in Table S1. All synthetic
101 fertilization rates are converted into N application rates according to the N content of the
102 specific fertilizers applied. To eliminate extreme values and reduce estimation errors, we
103 excluded outliers of main variables in the data less than 3% quantile and greater than 97%
104 quantile. The precise geographical coordinates of each field were recorded in the census
105 datasets and allowed for the generation of high-resolution distribution maps of fields included
106 in the survey. Statistical analyses were conducted at a county scale to derive the average farm

107 size of each county. In addition, soil and meteorological information of each county was
108 compiled based on a map with 1 km × 1 km spatial resolution to represent the information of
109 each survey field. Climate data for each county were obtained from the China Meteorological
110 Data Web (<http://data.cma.cn/>) and Fick and Hijmans¹⁸. Soil pH and clay content were
111 obtained from the Harmonized World Soil Database¹⁹ and Resource and Environment Science
112 and Data Center (<http://www.resdc.cn/data.aspx?DATAID=264>).

113

114 **Emission calculation**

115 Ammonia emissions are known affected by agricultural practices, climate and soil
116 factors.²⁰⁻²² In order to calculate the NH₃ emissions from fertilization, fertilizer type,
117 fertilization time, fertilizer application rate, fertilization method and crop type are included in
118 the calculation. We divided crop types covered in the survey data into four categories: wheat,
119 corn, rice and others. Fertilizer types are divided into synthetic fertilizer (Syn_fer), organic
120 fertilizer (Org_fer), and controlled-release fertilizer (CRF). Fertilization methods include deep
121 fertilization (Deep), irrigation after deep fertilization (Deep_water), topdressing (Top) and
122 irrigation after topdressing (Top_water). Here, we use the average temperature of the month to
123 represent the fertilization temperature at that time. Based on the empirical NH₃ emission
124 model we developed²³, NH₃ emissions from each fertilization can be calculated using Eq (1):

$$125 \quad \ln(y) = \alpha + \sum_i \beta_i X + C_1 \text{Croptype} + C_2 \text{Fertilizertype} + C_3 \text{Mode} + \varepsilon \quad (1)$$

126 where y represents accumulated emissions (kg NH₃ ha⁻¹), X stands for the potential
127 explanatory variables, here including the fertilizer application rate (Nrate), clay (%) and
128 temperature, α , β and C represent the model coefficients, which is listed in Table S2, ε is

129 model error, detailed explanations can be found in Wang et al.²³

130 The emission factor (EF) in each county is calculated by computing the weighted
131 average of all the surveyed plots using Eq (2):

$$132 \quad EF_{i,j} = \sum_{i,j}^n \frac{y_{i,j}}{Nrate_{i,j}} / n_{i,j} \quad (2)$$

133 where EF represents the emission factor (%), y stands for accumulated emissions (kg NH₃ ha⁻¹),
134 $Nrate$ stands for the fertilizer application rate (kg NH₃ ha⁻¹), n refers to the number of
135 surveyed plots, i, j represent the individual counties and crop types.

136

137 **Scenario analyses**

138 China's croplands are not naturally fragmented, because most of the croplands are
139 located in the plains, which are physically suitable for large-scale farming. China has potential
140 to consolidate 86% of its cropland area into a large-scale farming regime with an average
141 farm size >16 ha to reduce N fertilizer input and improve mechanization to reduce N losses.²⁴

142 To explore the impact of large-scale farming on NH₃ emissions, we estimated changes of NH₃
143 emissions assuming the large-scale farming potential is achieved in China, based on mapping
144 croplands at a 30 m × 30 m spatial resolution for geostatistical analysis.²⁵ Detailed

145 information on large-scale farming in China can be found in Duan et al.²⁴ Data on fertilizer
146 use of 2,853 counties in 2017 was collected based on the city-level Statistical Yearbooks, and
147 for data that could not be obtained from there, province-level statistical yearbook data was

148 allocated based on the proportion of cultivated land area. All city-level statistical yearbooks

149 are available at <http://data.cnki.net/yearbook/>. The predicted N total fertilizer use and

150 emission factor in each county under the large-scale farming (LF) scenario is calculated as
151 follows:

$$152 \quad Y_i^{Predicted LF} = \exp(\beta \cdot \ln X_i^{Current} - \alpha \cdot \ln X_i^{With LF}) \cdot Y_i^{Current} \quad (3)$$

153 Y represents the synthetic fertilizer application rate used in i county. X stands for the farm size
154 (ha), α refers to estimated coefficients of large-scale farming from Duan et al.²⁴

$$155 \quad EF_{ijt}^{Predicted LF} = \exp(\beta \cdot \ln X_i^{Current} - \beta \cdot \ln X_i^{With LF}) \cdot EF_i^{Current} \quad (4)$$

$$156 \quad E_i = \sum_i EF_i \cdot Y_i \quad (5)$$

157 where EF represents emission factor (%) in i county, E represents the emission volume (in kg
158 NH_3) in i county, X stands for the farm size (ha), β represent the estimated coefficients in Fig.
159 1.

160

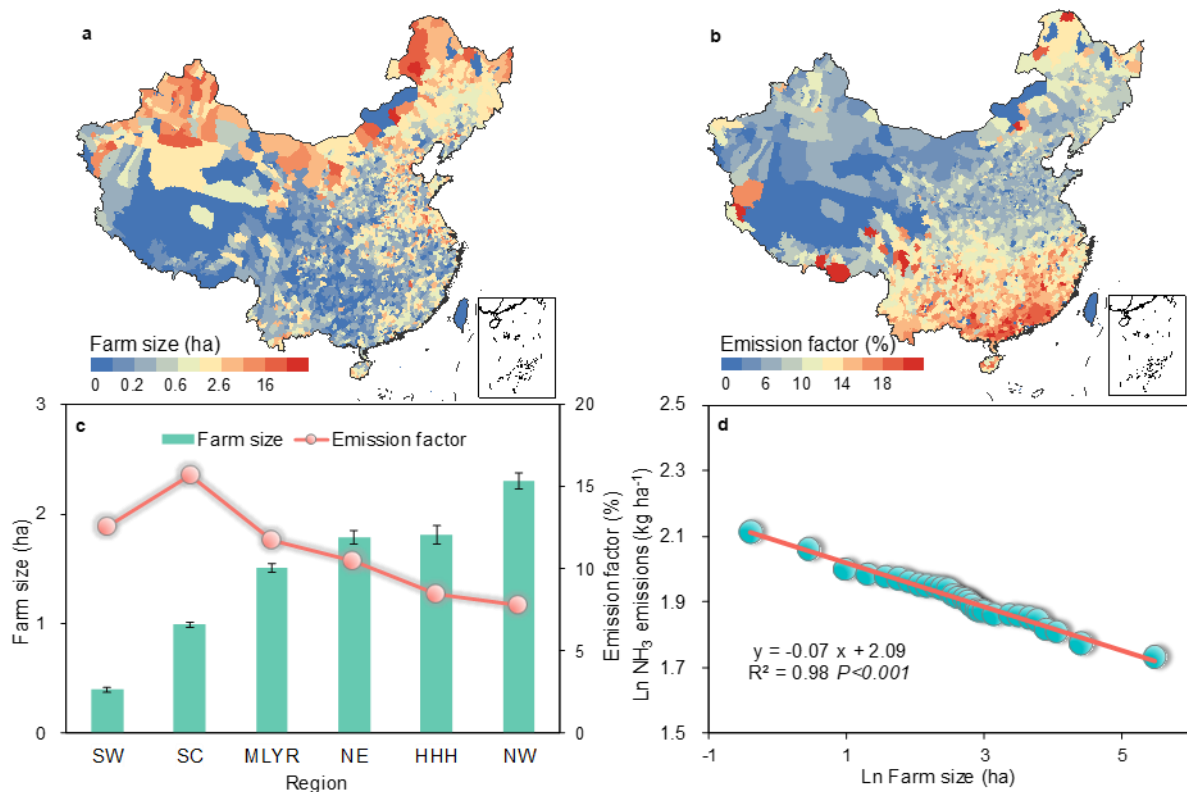
161 **Results and Discussion**

162 **Emissions as a function of farm size**

163 NH_3 emissions per unit area decrease with the increase in farm size at national scale (Fig.
164 1, Table S3). The results show that NH_3 emission factors are typically higher in small-scale
165 farms in the south; in contrast, NH_3 emission factors are lower in the large-scale farms in the
166 north, especially in the northwest (NW) and northeast (NE) of China. This opposite pattern is
167 also more obvious in terms of provinces level (Fig. S2), The high emission factors in South
168 China (SC) are strongly affected by high temperature and multiple crop cycles per year.
169 Multiple cropping systems typically require a higher N fertilization rate and are therefore
170 subject to NH_3 emission rates and emission magnitudes.^{23,26} Meanwhile, small-scale farms in
171 the south are more suitable for manual topdressing of fertilizer in the hilly, while in large-

172 scale farming in the north it is feasible to apply more advanced fertilization methods in the
173 plain areas.

174 In Guangdong and Guangxi provinces in South China, the average farm size is between
175 0.3 and 0.9 ha, with NH₃ emission factors as high as 16% and 18%, respectively (Table S4).
176 Tropical fruits and vegetables are commonly cultivated in South China with the most common
177 fertilization method being topdressing (Fig. S3, S4). The high air temperature in south China
178 also benefits the increase of NH₃ emission. For example, sugarcane is mainly planted in
179 Guangxi with a high fertilization rate, leading to the high NH₃ emission intensity. Average
180 farm sizes in Northwest China are quite large, e.g. Inner Mongolia and Xinjiang, are 7.2 and
181 3.9 ha, with widespread utilization of advanced agricultural practices such as mechanical
182 operation leading to comparatively low NH₃ emission factors of 8.5% and 8.3%, respectively.



183

184 **Figure 1. Farm size and emission factor in 2017 in China.** (a) Average cropland farm size in

185 each county; (b) Emission factor in each county; (c) Comparison of farm size and emission
186 factors in each region; (d) Changes of cumulative NH₃ emission with farm size. The farm size
187 in each county is averaged across all survey plots, and the emission factor is calculated by
188 applying the ERMA model based on Wang et al.²³, considering the fertilization rate, fertilization
189 type and fertilization method of different crops in each county. Error bars in (c) represent the
190 standard errors. Data in Fig. 1d have been log-transformed, and farm size is divided into 29
191 groups, with each data point representing an average value of a certain farm size group. The
192 number of farms in each farm group used for the analysis is shown in Table S5. For the
193 abbreviations of all place names and the provinces included in each agricultural area, see
194 Appendix Table S5.

195 With the increase in farm size, the amount of fertilizer per hectare (kg ha⁻¹) and the NH₃
196 emissions per N fertilizer application (kg ha⁻¹) are decreasing. Statistically, a 1% increase in
197 farm size is associated with a 0.07% decrease in NH₃ emissions overall (Fig. 1d). Higher
198 income due to increased profitability in large-scale farms enables the increased utilization of
199 modern technologies and management practices, which in turn benefit N use efficiency and
200 reduce of NH₃ emissions.¹⁵ Advanced agricultural management practices, such as irrigation
201 and mechanization, are invested in more readily by large-scale farms, and farmers on these
202 farms typically have better agricultural knowledge and skills, applying these to improve
203 agricultural labor productivity and the use efficiency of agricultural inputs.^{27, 28}

204

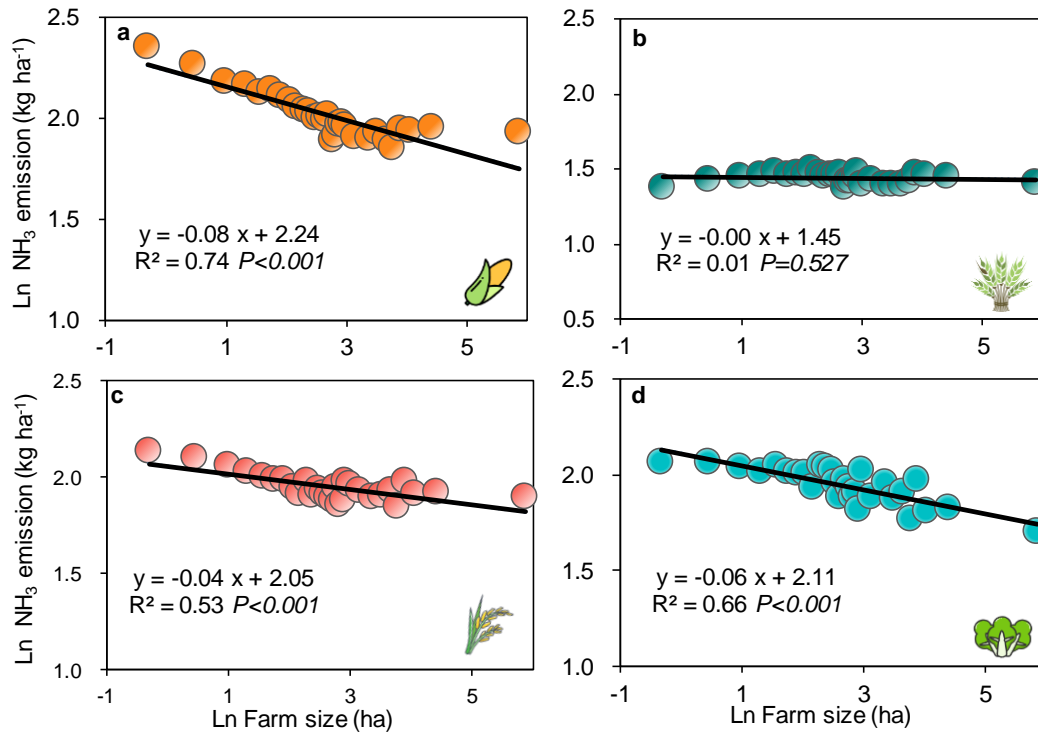
205 **Emissions in different crops**

206 In this paper, crops were divided into four categories (rice, wheat, maize and other crops)
207 and the relationship between NH₃ emissions and farm size for each crop type was analyzed.
208 NH₃ emissions from all crops decrease with the increase of farm size except for wheat (Fig.
209 2). Wheat mainly uses base fertilizer and less topdressing during the growth period. The
210 fertilization mainly occurs in the autumn and spring while air temperatures are low, and the
211 average temperature difference between base fertilizer and topdressing is small (12.4 -
212 13.4 °C). Currently, wheat farms have the largest average farm size among all crop farming
213 types (2.4 ha), with deep placement of fertilizers being the prevalent application method
214 (Figs. 3a, 4a). All these factors contribute to a lower NH₃ emission rate observed for wheat
215 farming. Unlike other crops that are distributed in both the north and south, the geographical
216 distribution of wheat farming is mainly concentrated in the North China Plain. NH₃
217 volatilization of different farm sizes is less affected by climate and fertilization practices,
218 resulting in little change in the relationship between the farm size and the NH₃ volatilization
219 (Fig. 2b).

220 Substantial reduction in NH₃ emissions in maize is found with the increase of farm size.
221 In addition to summer maize in the North China Plain, a large amount of spring maize is
222 planted in Northeast and South China, which is a wide gap in the geographical location of
223 maize planting. Summer maize needs topdressing during the growth seasons, e.g. at the 12-
224 leaf stage, and hence temperature when fertilization is applied is typically high with an
225 average of 17.8 - 22.4 °C. Although for some crop rotations, such as rice-wheat or wheat-

226 corn, the fertilization methods and time required for both crops on the same size farmland are
227 different. Since maize is planted in different locations across the country at various season of
228 the year, the more obviously difference between fertilization times with temperature and the
229 size of farms could be analyzed. The average farm size of maize farms is also the smallest
230 compared with other crops (Fig.3a). In the South, e.g. in Sichuan and Yunnan provinces, the
231 limited utilization of advanced fertilization methods on mostly small farms, in combination
232 with high air temperatures, results in high NH₃ emissions. In Northeast China, spring maize is
233 planted on large-scale farms and fertilizer is often applied in one-time deep application
234 regimes (Fig.S3). Therefore, these factors indicate that farm size has the largest relative
235 impact on NH₃ emissions in maize farming.

236 Ammonia emissions of rice and other upland crops also decrease with the increase in
237 farm size, which are primarily found in the hilly areas in the South. Rice planting is
238 concentrated in the Middle and Lower Yangtze River (MLYR) and broadcasting is the most
239 commonly applied fertilization method. The proportion between topdressing vs. deep
240 application is about 7:3 (Figs. S3, S4). Other crops, including other food and cash crops, are
241 distributed fairly evenly across the country.



242

243 **Figure 2. Farm size and NH₃ emissions of different crops.** (a) Maize; (b) Wheat; (c) Rice;

244 (d) Other crops. The abscissa is the grouping of farm size, which have been log-transformed,

245 each data point represents an average value of a certain farm size group. And the number of

246 farms in each farm group used for the analysis is shown in Table S7. These icons in the figure

247 are from the Iconfont, which holds a third-party open copyright: <https://www.iconfont.cn/> (the

248 same below).

249

250 **Field management with farm size**

251 Small rural household-based farms with both crop planting and livestock raising (CPLR)

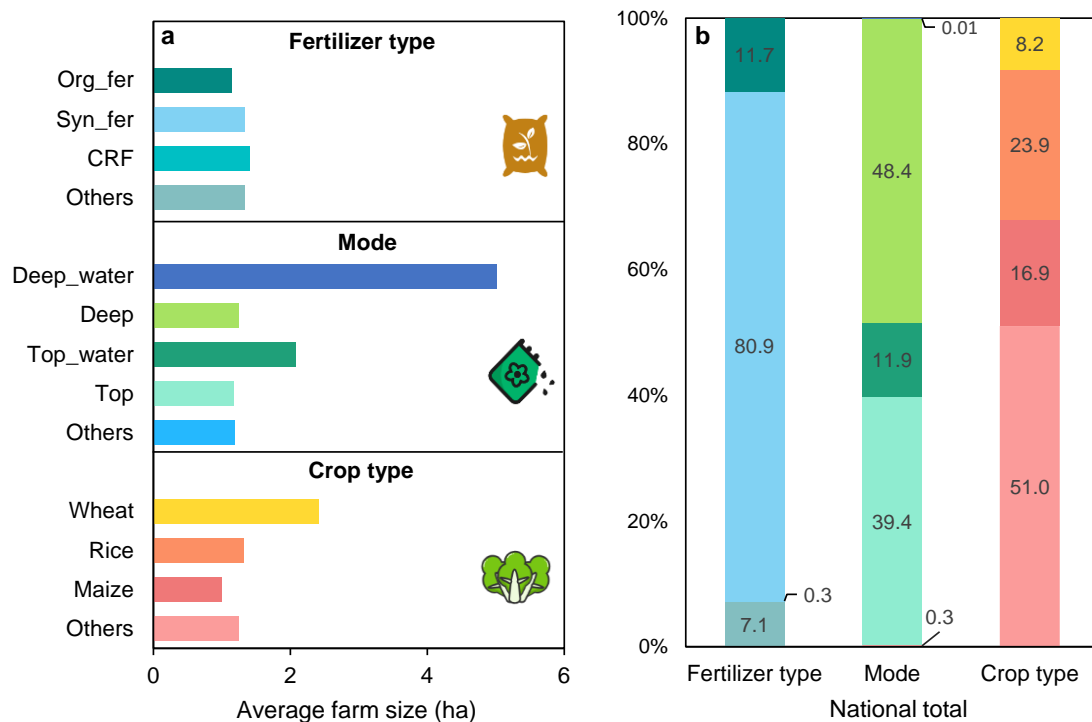
252 tend to apply more organic fertilizer, which reflects a more traditional agricultural

253 development mode of self-sufficiency.²⁹ Manure would be returned to own croplands to

254 provide nutrient input. However, the share of CPLR households has declined sharply in recent

255 years, with increasing numbers of farmers seeking part-time employment in urban areas and

256 tending to overuse chemical fertilizers as an “insurance” to avoid yield losses while investing
 257 less time in the farming activities.^{10, 30} Figure 3a show that the average farm size which
 258 applying synthetic fertilizer and controlled-release fertilizer is comparatively more prevalent
 259 than the application of organic fertilizer. The larger the average farm size, farmers are more
 260 willing to invest in advanced agricultural machinery, young and efficient labor force, and then
 261 choose more efficient fertilizer types, including slow-release compound fertilizers and
 262 organic-inorganic compound fertilizers. The proportion of synthetic fertilizer (81%) is the
 263 highest compared to other fertilizer in China (Fig. 3b), and there is no clear direction of
 264 change with an increase in average farm size (Fig. S6c). The application of organic fertilizer
 265 decreases gradually with the increase of farm size, but when the scale increases to a certain
 266 extent, the application proportion will increase, mainly because large farms apply more
 267 processed and relatively high-quality organic fertilizer, which can improve soil fertility.
 268 Compared to the medium-scale farms, small and large-scale farm has a higher proportion of
 269 organic fertilizer (Fig. S6c) with the total proportion of organic fertilizer used in China is
 270 12%.



272 **Figure 3. Agricultural practices under different farm size.** (a) Average farm size in relation
273 to different fertilization types, modes and crop types; (b) The proportion of different farming
274 characteristics in China, the numbers in the figure represent the proportion; Syn_fer: synthetic
275 fertilizer; Org_fer: organic fertilizer; Syn & Org: mixture of organic and synthetic fertilizer;
276 CRF: control released fertilizer; Top: broadcasting fertilization; Top_water: irrigation after
277 broadcasting or broadcasting before rainfall. Deep: deep placement of fertilizers. Deep_water:
278 irrigation after deep placement.

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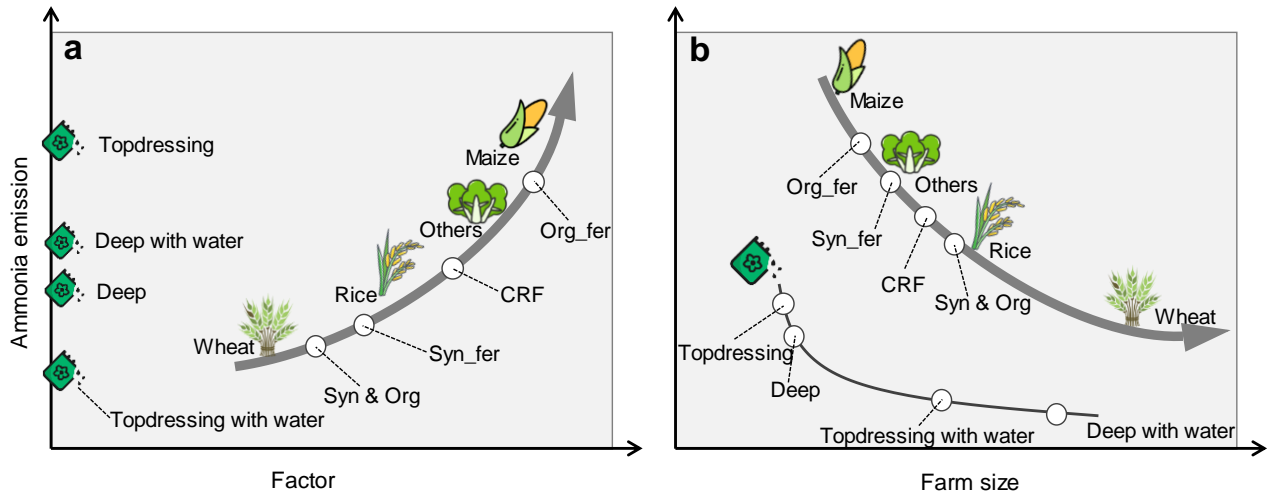
280 The change in farm size has substantial impacts on agricultural management practices.¹⁰

281 ¹¹ The average farm size of farms applying two different fertilization methods, i.e.,
282 topdressing with water and deep application with water, is significantly larger than that of
283 farms applying other methods (Fig. 3a, 4b). It also proves that more machinery and better
284 irrigation facilities such as sprinklers or drip systems will invest to relatively large-scale
285 farmers to meet the nutrient requirements for crop production, which contribute to the
286 increase of N use efficiency (NUE), reduce NH₃ emissions and bring long-term profits.^{31,32}
287 Novel agricultural technologies such as accurate layered fertilization techniques reduce soil
288 compaction and allows for the placement of fertilizers at different depths to reduce the overall
289 amount fertilizer used and improve NUE as fertilizer nutrients are better accessible to plants,
290 compared to broadcasting.

291 In small-scale cropland farming, hand broadcasting accounts for a larger proportion of
292 fertilizer application. With an increase in farm size, the proportion of broadcasting decreases
293 (Fig. S6a), while irrigation after topdressing increases. Fertilization combined with irrigation
294 is a common way adopted by farmers to improve NUE and reduce NH₃ emissions.

295 Smallholder farms usually rely on rain-fed or traditional pumped well irrigation systems. In

296 most cases, these irrigation systems will be operated inefficiently, which will wash away a
 297 large amount of fertilizer and result in higher than average fertilizer losses. However, in
 298 contrast, large-scale farms utilize more fixed infrastructure can be invested, and irrigation
 299 facilities such as sprinkler or drip can improving both water and fertilizer use efficiencies.³²



300
 301 **Figure 4. Driving factors of NH₃ emission in croplands.** (a) Effect of crop type, fertilizer type
 302 and fertilization mode on NH₃ emissions, the priority of each factor in the figure is based on
 303 our previous study²³; (b) Effect of farm size on NH₃ emissions through the three major driving
 304 factors in (a), with the order based on Fig. 3.

305
 306 A series of advanced land management technologies have been developed to improve
 307 NUE; however, these technologies are rarely implemented at smallholder scale due to
 308 socioeconomic barriers related to farm size.^{9, 16} For example, soil testing and leaf N sensors
 309 have been demonstrated the capability to significantly reduce N inputs while increasing NUE.
 310 However, the adoption rate of such advanced methods by Chinese smallholders is extremely
 311 low due to the high implementation cost per hectare of frequent in-situ field monitoring and
 312 low direct economic benefits. Farmers with larger cropland scale, (e.g., alternative farming

313 models and cooperation farming) have high profits, younger workforce and more willing to
314 try new technologies and better management approaches on their farms.

315

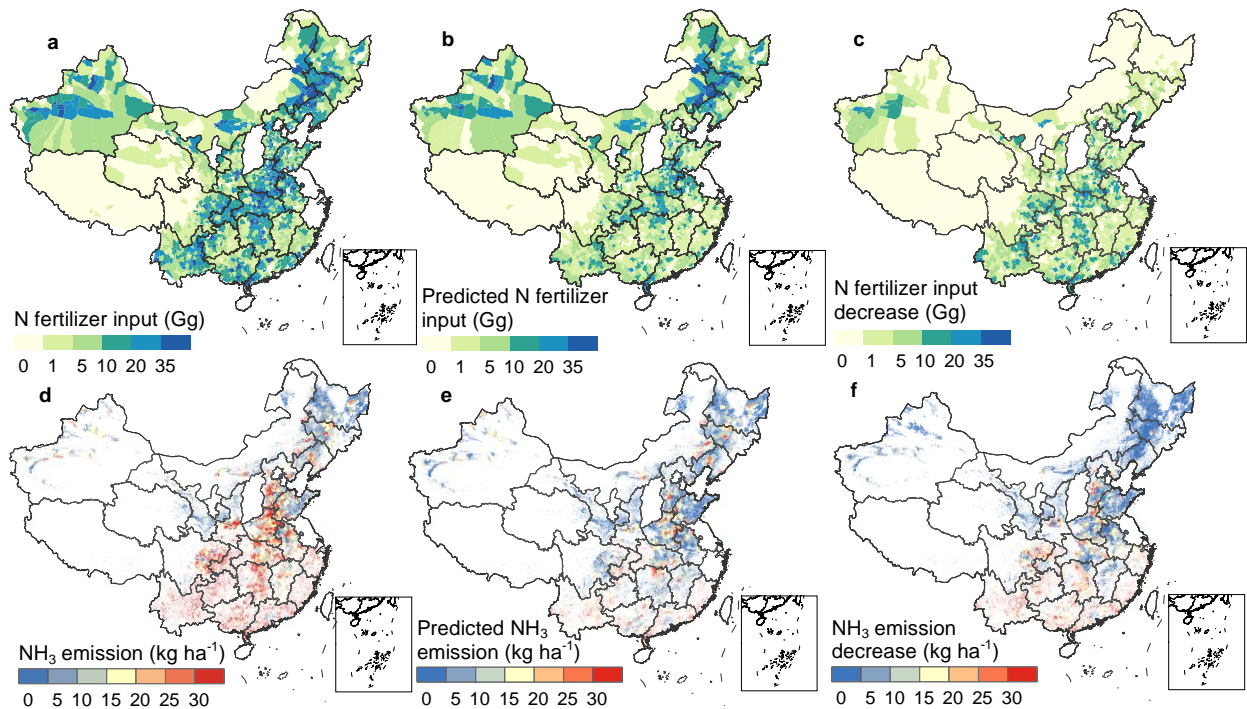
316 **Reducing NH₃ emissions through large-scale farming**

317 More than 70% of Chinese cropland is managed by smallholders, and only a small share
318 of croplands is managed by farms of a size larger than 16 ha. These large-scale croplands are
319 mainly distributed in Northeast China and northwest Xinjiang, Inner Mongolia and a small
320 part in North China Plain (Fig. S7a). NH₃ emissions in the north are significantly lower than
321 those in the south, with the national average emissions per ha being 11% (Table S4). The
322 fertilization method proportion of broadcast by hand in south China such as Jiangxi,
323 Guangdong and Guizhou are 77%, 63% and 66%, respectively, while the proportion in north
324 China such as Inner Mongolia and Xinjiang are 12% and 7%, respectively (Fig. S3). This is
325 mainly due to the lack of mechanical investment on small-scale cropland compared with the
326 north. By using geostatistical analysis, we estimate that over 80% of the croplands in China
327 could be consolidated into large-scale farms with an average farm size larger than 16 ha (Fig.
328 S7b). Regionally, farm size could be increased substantially in Sichuan Basin, Northeast
329 China and North China Plain, to farm sizes even above 100 ha in some areas. However, due to
330 topographic limitations, small-scale croplands will likely remain the dominant farming type in
331 Guangzhou and Yunnan and coastal Fujian Province.

332 In a large-scale farming scenario, total N input to Chinese croplands would be reduced
333 by 12 Tg (Fig. 5c), while the application of animal manure to croplands would increase due to

334 better coupling of livestock-cropland systems. The reduction of N fertilizer application mainly
335 occurs in regions, where large-scale farming is feasible, such as Sichuan province (where N
336 fertilizer use could be reduced by more than 50%, Table S8). The decrease in N fertilizer
337 application is a result of an increase in mechanization, e.g. increased use of deep placement
338 using machinery in large-scale farming, leading to reduced NH₃ emissions. The overall NH₃
339 emission factor would decline by 9.3% nationwide under the large-scale farming scenario.
340 Total NH₃ emissions from croplands could hence be reduced by 1.5 Tg, accounting for 49%
341 of total cropland NH₃ emissions (Fig. 5f).

342 China still faces challenges in implementing a large-scale farming scenario in terms of
343 land tenure and the *Hukou* system.¹⁷ Land consolidation requires a large amount of financial
344 investment, e.g. for the removal of ridges, footpaths, ponds, paddy levees and other non-
345 cultivated lands.³³ Although it may have long-term benefits at societal level and to farmers,
346 the one-of investment required is still a significant barrier. While this may prevent a full
347 implementation in the short term, it may be realized through unifying agricultural operations
348 e.g. through land service rental, which could present an interim solution to a full-scale
349 implementation of large-scale farming with the aim to mitigate NH₃ emissions. Land service
350 rental could also reduce the fixed input costs per hectare of cropland without introducing
351 profound changes to the land tenure and *Hukou* system, and it could help to improve the
352 uptake of mechanization.¹⁶



353

354 **Figure 5. Changes of N fertilizer input and NH₃ emission between current level and large-**

355 **scale farming scenario.** (a) Current N fertilizer input in 2017; (b) Predicted N fertilizer input

356 under a large-scale farming scenario; (c) N fertilizer input reduction; (d) Current NH₃ emissions

357 in 2017; (e) Predicted NH₃ emissions under a large-scale farming scenario; (f) NH₃ emission

358 reduction. The predicted values are based on the geographical potential for the introduction of

359 large-scale farming. The changes result from the differences between predicted and current

360 values. Average changes across different provinces are provided in Supplementary Table S8.

361 (1Gg=10⁹g)

362

363 **Socioeconomic barriers and limitations**

364 Currently, research on options for the reduction of NH₃ emissions from cropland mainly

365 focuses on technical innovations for optimizing fertilizer use, but socioeconomic constraints

366 are not well understood. In order to reduce non-point source pollution, China implemented a

367 “Zero increase of synthetic fertilizer use” objective in 2015, covering precision fertilization,
368 adjusting the use structure of synthetic fertilizer, improving fertilization methods and organic
369 fertilizer substitution. However, Chinese agriculture is dominated by smallholder farming
370 with traditional fertilization methods being the cause of a substantial losses of nutrient N to
371 the environment via NH₃ emissions. As we have established that farm size has an impact on
372 NH₃ emissions, such measures and investments would not be effective if applied to
373 smallholder farms. Efforts from different stakeholders including government, scientists,
374 enterprises and farmers are required to promote the expansion of farm size, which will reduce
375 the use of synthetic fertilizer, improve fertilization technology and reduce NH₃ emissions.

376 Due to the Household Contract Responsibility System (HCRS) in China, cropland is
377 divided into 4-5 pieces to safeguard that both high- and low-quality lands are allocated to
378 each rural household in a fair manner. In addition, the relative difference between the cost of
379 synthetic fertilizers and the revenue generated from crops means that overall the cost of
380 fertilizer to farms is a minor factor, which is also one of the main reasons for overuse of
381 fertilizer.¹⁷ With the urbanization process in China, a large number of rural laborers migrate
382 for a non-agricultural job in cities, which would cause a substantial change on the income
383 structure of rural households.³⁴ Such small farmers tend to apply more synthetic fertilizers to
384 ensure crop yield and use the simplest and inefficient fertilization methods to minimize
385 investment to gain the maximum benefits, but potentially leading to decline in the NUE,
386 increase in fertilizer loss and NH₃ emission. Large-scale farms or family farms with high
387 agricultural income are more willing to try new technologies and advanced measures.¹⁵

388 Therefore, to improve the NUE, it is necessary to provide knowledge-transfer and incentives
389 to farmers from a socio-economic perspective. Increase farm size and subsidies to attract
390 young labors with the agricultural knowledge and skills to return to rural areas, focus on
391 agricultural management, and reduce the application of synthetic fertilizer and NH₃ emission.

392 At the same time, the proportion of farms run by small rural households with both crops
393 and livestock would be gradually reduced. Typically, large-scale livestock farms are located
394 far away from croplands, leading to long-distance transport of manure.^{29, 35} Although organic
395 fertilizers are very efficient providers of nutrients, odour of unprocessed manure and high
396 transportation cost are difficult to be accepted by farmers. Therefore, the decoupling between
397 small cropland farms and livestock is also the main reason for the reduction of manure
398 recycling, leading to a large amount of nutrient and NH₃ emission losses to the environment.
399 More efforts to spatially co-locate livestock farms and thus integrate livestock farms in
400 cropland areas would improve the reuse of manure in croplands, which could not only reduce
401 NH₃ emissions of those croplands, but also the NH₃ emissions from livestock production
402 overall.

403

404 **Competing interests**

405 The authors declare no competing interests.

406

407 **CRedit authorship contribution statement**

408 **Chen Wang:** Software, Formal analysis, Methodology, Writing – Original Draft,

409 Visualization. **JiaKun Duan:** Visualization, Formal analysis. **Chenchen Ren:** Software,

410 Methodology. Formal analysis. **Hongbin Liu:** Resources, Data curation. **Stefan Reis:** Writing
411 – Review & Editing. **Jianming Xu:** Writing – Review & Editing. **Baojing Gu:**
412 Conceptualization, Formal analysis, Writing – Original Draft, Funding acquisition.

413

414 **Acknowledgments**

415 This study was supported by the National Natural Science Foundation of China
416 (42061124001).

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