

## Article (refereed) - postprint

---

Xiao, L.; Robinson, M.; O'Connor, M. 2022. **Woodland's role in natural flood management: evidence from catchment studies in Britain and Ireland.**

© 2021 Elsevier B.V.

This manuscript version is made available under the CC BY-NC-ND 4.0 license  
<https://creativecommons.org/licenses/by-nc-nd/4.0/>



This version is available at <http://nora.nerc.ac.uk/id/eprint/531675>

Copyright and other rights for material on this site are retained by the rights owners. Users should read the terms and conditions of use of this material at <https://nora.nerc.ac.uk/policies.html#access>.

**This is an unedited manuscript accepted for publication, incorporating any revisions agreed during the peer review process. There may be differences between this and the publisher's version. You are advised to consult the publisher's version if you wish to cite from this article.**

**The definitive version was published in *Science of the Total Environment* (2022), 813, 151877. <https://doi.org/10.1016/j.scitotenv.2021.151877>**

The definitive version is available at <https://www.elsevier.com/>

Contact UKCEH NORA team at  
[noraceh@ceh.ac.uk](mailto:noraceh@ceh.ac.uk)

1       **Woodland’s role in natural flood management: Evidence**  
2                   **from catchment studies in Britain and Ireland**

3   L. Xiao<sup>1\*</sup>, M. Robinson<sup>2</sup>, M. O’Connor<sup>1</sup>

4

5   <sup>1</sup> Civil Engineering, National University of Ireland (Galway)

6   <sup>2</sup> UK Centre for Ecology and Hydrology (Wallingford, Oxon).

7   \*Corresponding Author (now at the Department of Civil, Structural and Environmental  
8   Engineering, Trinity College Dublin, Ireland) [liwen.xiao@tcd.ie](mailto:liwen.xiao@tcd.ie)

9

10

11

12

13

14

15

16

17

18

19

20

21

22 **ABSTRACT**

23 Despite the attention currently given to the potential environmental benefits of large-  
24 scale forest planting, there is a shortage of clear observational evidence regarding the  
25 effects on river flows, and what there is has often been contradictory or inconclusive.  
26 This paper presents three independently conducted paired-catchment forestry studies  
27 covering 66 station-years of flow measurements in the UK and Ireland. In each case  
28 coniferous evergreen trees were removed from one catchment with minimal soil  
29 disturbance while the adjoining control catchment was left unchanged. Trees were  
30 removed from 20% - 90% of the three experimental basins. Following woodland  
31 removal there was a large increase in dry weather baseflow at all sites. Baseflows  
32 increased by about 8% after tree removal from a quarter of the Hore basin and by 41%  
33 for the near-total cut at Howan. But the changes were more complex for peak flows.  
34 Tree harvesting increased the smallest and most frequent peak storm flows, indicating  
35 that afforestation would lead to the suppression of such events. This was however  
36 restricted to events well below the mean annual flood, indicating that the impact of  
37 forests upon the largest and most damaging floods is likely to be limited. Whilst a forest  
38 cover can be effective in mitigating small and frequent stormflows it should never be  
39 assumed to provide protection against major flood events.

40

41 **KEY WORDS:**

42 Forest Harvesting, Streamflow, Natural flood Management, Before-After-Control-  
43 Impact, Evidence-Based Forest Impact

44

45

46

## 47        **1. INTRODUCTION**

48        Woodlands are the world's biggest single land cover, and change in forest extent is  
49        the greatest land use alteration by area. In many parts of the world, especially in the  
50        tropics, there is concern about the rapid loss of the forests due to population growth  
51        and economic pressures, while in most Western European countries the forest area  
52        has been increasing, largely driven by environmental concerns. The greatest *relative*  
53        increases in Europe have been in Britain and Ireland (FAO, 2010; Keenan et al., 2015)  
54        rising by over 35% and 50% respectively in the last 40 years albeit both from a very  
55        low base, and there is considerable scope for further woodland expansion.

56        Catchment studies worldwide have almost universally found greater *annual*  
57        *evaporation* (lower total runoff) from forests compared with short vegetation (Bosch  
58        and Hewlett, 1982; Zhang et al., 2001). This applies both in humid areas due to higher  
59        canopy interception losses, and also in arid areas due to trees' greater rooting depth.  
60        Conflicting opinions remain, however, about the effect of forestry on flow *regimes*,  
61        especially extreme peak flows. Trees have often been claimed to moderate river flow  
62        extremes, reducing peak flows and releasing waters more slowly helping to sustain  
63        dry weather flows. Yet despite much research, considerable controversy remains and  
64        a recent literature review found a marked lack of consensus as to the magnitude, and  
65        even direction, of forests' impacts on high flows (Stratford et al., 2017).

66        The idea of using trees as part of *Natural Flood Management* of a catchment is very  
67        attractive and is in tune with the role of trees to lock up large amounts of carbon and  
68        to aid environmental rehabilitation and biological diversity. So it is crucially important  
69        that its role in flood amelioration is properly understood.

70        Opinions about the impact of forests on low flows are also divided. Forests' greater  
71        evaporation will generally reduce net recharge to soil moisture and so deplete stream

72 baseflows (Allen and Chapman, 2001; Andréassian, 2004), yet in some cases, due to  
73 improved infiltration, afforestation may increase groundwater recharge and hence low  
74 flows (van Dijk and Keenan, 2007; Neary et al., 2009). Some scientists are sceptical  
75 of uncritical claims of forests' hydrological benefits, pointing to many interacting  
76 physical characteristics of river catchments that may influence flood generation  
77 (DeWalle, 2003). Several studies have attributed observed streamflow differences  
78 between wooded and non-wooded basins to soil and geological variations, rather than  
79 to the vegetation (Cosandey et al., 2005). Furthermore, some associated aspects of  
80 commercial forestry, including pre-planting drainage, building access roads, and use  
81 of heavy machinery during felling leading to soil compaction may result in hydrological  
82 impacts that are quite distinct from the 'natural' effects of the forest itself.

83 The uncertainty and folk law concerning the role of forests have meant that both the  
84 friends and enemies of forestry have "claimed more than they could prove"  
85 (Andréassian, 2004). Nevertheless there is a common perception among many natural  
86 resource managers and the public that forestry is an inherently natural land cover and  
87 so will automatically result in an environmental enhancement. Rojas et al. (2003)  
88 reported a general lack of scientific data to support many of the claims of hydrological  
89 benefits made in assessments of environmental services.

90 Many previous studies were either of single site and short term, or else reviewed  
91 multiple studies by different people and used different analysis techniques (Tembata  
92 et al., 2020; Page et al., 2020; Bathurst et al., 2020; Stratford et al., 2017; Soulsby et  
93 al., 2017; Fahey and Payne, 2017; Green and Alila, 2012). When assessing the  
94 hydrological impact of a forest cover, the felling techniques used in these studies were  
95 much more aggressive than those in current use and in many cases site damage  
96 during felling due to soil compaction and gullyng, as well as new forestry extraction

97 roads could have had a major role and create a false impression of the effect of the  
98 *forest itself* (Bathurst et al., 2020). We believe that this study of multiple sites using  
99 raw data analysed in a consistent manner can add real value to the debate. This study  
100 used *Before-After-Control-Impact* (BACI) method which is a rigorous approach in any  
101 comparison study of flow changes is essential to provide an objective analysis of forest  
102 impacts based on observations in catchment studies. The forest felling activities in this  
103 study followed the best management practices to minimise the soil disturbance, which  
104 allowed to assess the effect of the forest itself on the flows, rather than any changes  
105 of soil conditions.

106

## 107 **2. MATERIALS AND METHODS**

### 108 **2.1 Methods**

109 This paper uses data from three catchment forest studies in Britain and Ireland using  
110 *environmentally sensitive* harvesting techniques under modern forestry guidelines to  
111 minimise soil damage. These techniques are very different to those used in earlier  
112 felling studies, and the environmental conditions (in terms of climate, soils and tree  
113 species) were distinct from those prevailing in many earlier widely reported North  
114 American studies.

115 To study and interpret the impact of land cover on river flows researchers often use  
116 comparatively small basins where catchment characteristics are known and  
117 vegetation can be manipulated and then directly related to streamflow behaviour. The  
118 simplest approach is a direct comparison of outflows from a forested and a non-  
119 forested catchment, similar in all the characteristics believed to affect runoff, but  
120 differing in their vegetative cover. It can be difficult to find adjacent reference basins

121 that do not also differ in some of their other physical characteristics. A more rigorous  
122 strategy that overcomes many of these problems requires two similar catchments  
123 (both forested) to be monitored in a pre-felling 'calibration' period to establish a  
124 relationship between their flows. Then the trees on one basin are felled and the  
125 subsequent flows of the two basins are compared and any differences from the  
126 previously established relationship are indicative of the forest impact. This paired  
127 catchment, *Before-After-Control-Impact* (BACI) experimental approach separates  
128 climatic variability effects from streamflow changes due to forest cover. This is now a  
129 well-established research tool in assessment studies that reduces the confounding  
130 influences of weather and catchment characteristics, and enables a more rigorous  
131 analysis to distinguish catchment changes from climatic variability and which with care  
132 can then be used to provide information on any changes in flood risk (Alila et al., 2009).

133 The site damage and downstream impacts on stream ecology and hydrology led to  
134 tighter regulation on how such work should be carried out. These concerns became  
135 addressed in a series of environmentally sensitive *Forest Guidelines* in both the UK  
136 and in Ireland for good forest management, including tree harvesting (Forestry  
137 Commission, 2003; Forestry Commission, 2011; Forest Service, 2000). The use of  
138 specialised forest machinery such as harvesters and forwarders enables timber to be  
139 extracted and lifted entirely clear of the ground with minimal soil disturbance. Brash  
140 'mats' composed of branches and the tops of trees support the felling machinery and  
141 protect the underlying soil from rutting, compaction and erosion to minimise soil  
142 structural damage. In addition on some of the steeper slopes a cable crane may be  
143 used. Providing the work is carried out responsibly, and avoids periods when there is  
144 heavy rainfall, forest harvesting impacts on watercourses can be largely ameliorated  
145 by proper management (Nisbet et al., 2002).

146 This provides the opportunity to ‘repurpose’ the existing results of some recent forest  
147 felling studies which had been originally established to determine the impacts of forest  
148 harvesting under modern guidelines, particularly on stream water quality. A key point  
149 of these guidelines is to minimise soil damage, and so any observed changes in  
150 hydrology will be primarily due to the presence or absence of the trees, rather than by  
151 any changes to soil conditions.

152

## 153 **2.2 Study sites**

154 A review of the literature identified a small number of paired catchment studies where  
155 modern techniques to reduce soil damage had been used in felling woodland and the  
156 impacts on flow regimes had not been published. Three independent studies were  
157 selected in this paper; they were located several hundred kilometres apart, but each  
158 has a humid maritime climate which is typical of the majority of forests in their  
159 respective countries. The study sites (see Figure 1 and Table 1) are situated within:

160 a) Hore study site is located in *Hafren Forest*, a large forest block in mid-Wales on the  
161 eastern side of the Plynlimon mountain (Foster et al., 2001). Two small catchments  
162 established by the Institute of Hydrology (now part of UK Centre for Ecology and  
163 Hydrology) were used for this study comprising the 390 ha grassland Gwy, used for  
164 sheep grazing, and the 310 ha Hore, predominantly under coniferous forest. About  
165 25% of the Hore was felled in July 1985 to June 1987 as part of a water balance study  
166 (Roberts and Crane, 1997).

167 b) Howan study site is in located in *Kielder Forest* on the Scotland / England border,  
168 N. Europe’s largest man-made forest (Robinson, 1998). Two catchments in Coalburn  
169 Catchment, each with mature closed canopy forest were instrumented comprising a



170 150 ha control basin and the adjacent 20 ha Howan Burn catchment that was felled in  
171 2008, and the results have not previously been published.

172 c) Burrishoole site is located in *Nephin Forest* in western Ireland in Co Mayo on the  
173 *Nephin Beg* mountain range, one of the largest contiguous forest blocks in Ireland.  
174 Small research catchments in Burrishoole were established by the National University  
175 of Ireland Galway (NUIG), as part of a larger study into ecosystem response to  
176 environmental change. They comprise an 8 ha control basin and a 12ha experimental  
177 basin felled as part of a water quality study (Rodgers et al., 2011; Rodgers et al., 2010;  
178 Rodgers, 2008).

179 The study areas have similar physical characteristics. The sites share many common  
180 features representative of large forests in their respective countries in terms of  
181 generally peaty soils and underlying low permeability geology. Comparative  
182 hydrological data had been collected at each pair of catchments before the removal of  
183 the woodland on one. All sites had streamflow measurement structures and their  
184 catchment areas are sufficiently small that the nature, timing and extent of the forest  
185 felling is known accurately. In common with about 75% of the UK and Ireland's *existing*  
186 forests, as well as half of the *current planting* the sites had fast-growing commercial  
187 conifers that are tolerant of acidic, waterlogged and often peaty soils. The tree felling  
188 operations were all in line with the current guidelines ensuring that the results of these  
189 studies reflect the impact of the *tree removal* rather than the *soil damage* by heavy  
190 machinery that may have unintentionally distorted the results of many early felling  
191 studies.

192 There are three drainages in the Nephin sites which were ditched before the initial  
193 planting. The ground topographies are the same for the study sites before and after  
194 felling. Post-felling cultivation at the Kielder site (Table 1) involved mounding scooping

195 out a small depression to provide material for a raised, drier, mound for each tree,  
196 which is designed to avoid creating an artificial drainage system, and its resulting  
197 problems – flooding, siltation downstream. It is nothing to do with topography, and the  
198 remnant old drainage system is not deliberately destroyed.

199 All the sites were equipped with flumes, where water levels were recorded every 15  
200 minutes in Hore and Howan, and 5 minutes in Burrishoole. Instantaneous flow data  
201 were used for peak flow analysis in this study. The precipitation characteristics were  
202 similar during the before and after felling periods in Hore and Howan sites, while the  
203 weather was drier in the summer before felling than after felling in the Burrishoole sites  
204 (Rodgers, 2008).

205

### 206 **3. RESULTS**

207 The experimental design for the three studies comprised contiguous paired ‘control’  
208 and ‘experimental’ catchments with continuous flow measurements before and after  
209 felling. In total the measurements cover 66-station years of hydrological observations  
210 and 610 storm pairs. The impacts of felling are described below for low flows and for  
211 peak flows.

212

#### 213 **3.1 Low flows**

214 In this study, low flows were analysed by comparing the paired basin flows during a  
215 series of dry weather periods. There are many different ways to characterise low flows,  
216 including frequency, duration or severity, and conclusions about flow changes may be  
217 dependent on the metric chosen (Robinson and Dupeyrat, 2005). Rather than using a  
218 particular single index value we took periods when the control catchment flows were

219 exceeded for more than 80% of the time, and after excluding any periods with recorded  
220 precipitation all the remaining flows were accumulated chronologically (Figure 2).

221 All of our experimental sites show a clear and consistent increase in baseflows after  
222 felling relative to the control catchments. Baseflows increased by about 8% after tree  
223 removal from a quarter of the Hore basin (Figure 2a) and by 41% for the near-total cut  
224 at Howan (Figure 2c). At Burrishoole the results needed closer examination as  
225 ongoing field observations revealed that blockage of a drain during harvesting led to  
226 unmeasured seepage from an adjacent 4 ha area into the downstream harvested  
227 study catchment enhancing its measured dry weather flows. Accordingly, the flows  
228 were adjusted proportionately to account for this additional area, and although subject  
229 to greater uncertainty than the other sites the results are broadly in line with them  
230 (Figure 2b).

231 The changes found at all the sites of forests reducing streamflow in dry weather  
232 periods is consistent with our understanding of the hydrological functioning of basins.  
233 Forests almost always lead to a reduction in the lowest flows, and in this study their  
234 removal resulted in an increase in baseflows. This finding is consistent with a review  
235 by Smakhtin (2001) of the published international literature on low flows which  
236 concluded that forestry *generally* reduces dry weather baseflows. Similarly, (Iroumé et  
237 al., 2005) found that decreasing the vegetation cover could increase baseflow. By  
238 adopting a traditional parried catchment approach, (Fahey and Payne, 2017) found  
239 that compared with tussock grass, following forest canopy closure the afforestation  
240 reduced the low flow (Q95) by 26%, with an average reduction of 78% for small events.  
241 These findings are consistent with our study.

242

### 243        **3.2    High flows**

244    At each of the study sites a large number of rainstorm events were identified and the  
245    maximum Instantaneous flows from the catchment pairs were plotted before and after  
246    the felling (Figure 3). A least squares regression was fitted to the flow peak pairs in  
247    each period. A high correlation coefficient with limited scatter before the felling  
248    provides a measure of the consistency in response of the control and experimental  
249    catchments and a measure of the confidence that any differences following harvesting  
250    can be attributed to the vegetation change. The slope coefficients are broadly scaling  
251    factors reflecting the relative sizes of the control and experimental catchments in each  
252    pair. None had an intercept coefficient statistically significantly different from zero.  
253    Where the period of record of the control catchment was sufficiently long, the mean  
254    annual observed flood peak was estimated. The period of streamflow measurements  
255    at Burrishoole was too short to estimate this with certainty, but records from the control  
256    catchment and the fact that the Burrishoole gauge was overtopped several times –  
257    which is not included in the analysis of this study – during this study period indicate  
258    that the measured peaks were well below the mean annual flood.

259    In all three studies the post-felling peaks lie slightly above the pre-felling relationship,  
260    but the overall increase was small and was not statistically significant. None had an  
261    intercept coefficient statistically significantly different from zero.

262    These results provide little evidence of any consistent forest impact on peak flows, for  
263    sites chosen to be broadly representative of the bulk of present-day UK and Irish  
264    forests. They also provide an indication of the likely trend for other types of forest  
265    planting, and so bring into question some of the assumptions of the potential of  
266    woodlands for natural flood management. Nonetheless, the results in Figure 3 suggest  
267    that there may have been a change at the lower magnitude end of the peak flow pairs.

268 Figure 4 shows evidence of an increase in the *smaller* and more frequent flow peaks  
269 for the Burrishoole and Howan basins, although not at the Hore, which had the  
270 smallest area felled and showed no evidence of any discernible change. At Howan  
271 there was a statistically significant increase in small events (slope and intercept;  $P >$   
272  $0.001$ ) corresponding to peaks up to about  $1.3 \text{ m}^3/\text{s}$  (occurring typically about 3 times  
273 per year at the control catchment). Burrishoole showed a statistically significant  
274 increase in the smallest peaks after felling (intercept increase  $p > 0.001$ ) occurring  
275 typically about 10 times per year indicating that a forest can suppress peak flows –  
276 albeit only for the smallest events predominantly in summer.

277 These three studies show that, contrary to much popular opinion, tree harvesting (with  
278 minimal soil disturbance) did not result in a significant detectable increase in large  
279 peak flows from any of the study sites. Any change was restricted to the minor peaks,  
280 well below the mean annual flood, and to catchments in which more than half of the  
281 area was changed. Forests have only a restricted effect on peak flow suppression.  
282 The peak flows are generated through runoff and subsurface flows in storm events.  
283 The evaporation and interception capacity of a forest canopy is limited in a storm event  
284 and so is proportionally weaker at the times of heavy rain, and hence the effect of the  
285 forest on larger floods is limited. If forest harvesting and biomass removal has little  
286 impact on high flows, the associated conclusion must be that reforestation effects on  
287 peak flows will also be minor.

288 This increase in magnitude of the most commonly observed (smaller) floods would  
289 help to reconcile the frequent ‘public’ perception that streamflow peaks “increase” after  
290 felling, with the ‘scientific’ evidence that forests’ role in reducing the rarer, larger (and  
291 most damaging) flood events is very limited.

292

## 293 **4. DISCUSSION**

### 294 **4.1 Impact of forest on low flows and high flows**

295 There has been much less attention in the literature regarding how forestry affects  
296 baseflows than annual yield or peaks. Variety of conclusions about the impact of  
297 forestry on low flows have been reported. Some researchers report a reduction in  
298 groundwater recharge due to afforestation some report the opposite response, and  
299 others report a mixed response that varies over time (Allen and Chapman, 2001; van  
300 Dijk and Keenan, 2007; Neary et al., 2009). In this study, the increase of low flow  
301 increase was observed after harvesting. The findings were consistent with Smakhtin  
302 (2001), Iroumé et al. (2005) and Fahey and Payne (2017).

303 The variety of conclusions about the impact of forestry on low flows could be due to  
304 the complexity of the mechanisms of low flows generation which are often site-specific.  
305 Low flows are fed by soil and groundwater; greater evaporation losses from the forest  
306 canopy reduces soil water contents and recharge to the water table and therefore  
307 decreases baseflow. Dry weather low flows are normally derived from groundwater  
308 discharge and so the local geology may exert a dominant effect, masking the influence  
309 of land cover and management. Studies at both the Hafren (Hudson, 1988) and Kielder  
310 (Newson, unpublished data) found lower soil moisture in dry weather periods under  
311 the closed canopy forest than under adjacent grass, indicating the potential to create  
312 a buffer in the upper soil to store up to the equivalent depth of several centimetres of  
313 storm rainfall. In this study, the three study sites all had low permeability bedrock so  
314 the effect of local geology on the results should be minimised. In addition, in this study  
315 the paired catchments have similar drainage system and little soil disturbance before  
316 and after felling, which could mitigate the potential impact of the ground condition  
317 changes on dry flow.

318 The three widely located independent studies presented here provide a consistent  
319 picture that forestry can reduce the magnitude of the smaller and more frequent floods,  
320 but this influence reduces for larger and more damaging floods and forests have a  
321 limited impact on the highest flows. The findings are consistent with many studies  
322 around the world. For example, Fahey and Payne (2017) assessed the impact of  
323 afforestation on stream flows using a tussock grass catchment as the control site in  
324 New Zealand, and found that the mature forest reduced average peak flows for small  
325 events by 78%, and had less impact on peak flows during high magnitude storms.  
326 Similarly, Silveira et al. (2016) investigated the effects of converting the natural  
327 grassland to forest on water resources and concluded that forest could reduce specific  
328 discharge by 17.2%. In Chile, Iroumé et al. (2005) analysed the impact of different land  
329 uses on runoff and peak flows in four experimental catchments and found that forest  
330 harvesting generated increase in summer runoff, which was due to the combined  
331 effect of the vegetal cover and topography. Bathurst et al. (2020) further studied the  
332 impact of forest on flood using catchments in the UK, New Zealand, USA and Chile  
333 and found that while forest reduced peak flows in low to moderate floods, it had less  
334 impact on large flood events, and forest activities such as road network and drainage  
335 ditches modified the impacts. Soulsby et al. (2017) used the Storm Frank on  
336 December 30, 2015 as an opportunity to study the impact of forest on flood peak during  
337 an extreme weather event. They analysed the rainfall-runoff response in Dee  
338 catchment, UK and concluded that forest had very low potential to ameliorate flooding  
339 of such magnitude.

340 However, some studies were reported otherwise. Green and Alila (2012) conducted a  
341 meta-analysis of four catchments and concluded that forest harvesting could increase  
342 the magnitude of peak flows over flood event size of up to 50-year return period, but

343 this could be because the floods were caused by snowmelt rather than rainfalls.  
344 Reviewing multiple studies by different people could also draw a different conclusion.  
345 Tembata et al. (2020) analysed flood disaster dataset, climate data and satellite land  
346 cover data from China and concluded that broadleaf trees and mixed-tree forests  
347 forest could mitigate flood occurrence. Bradshaw et al. (2007) analysed data collected  
348 from 56 developing countries for the period from 1990 to 2000 used an information  
349 theory-based approach. They concluded that forests are correlated with flood risk and  
350 suggested reforestation for the mitigation of severity of flood-related catastrophes. Van  
351 Dijk et al. (2009) suggested that the forests' mitigation on floods could be due to the  
352 forest associated landscape changes and activities rather than the trees.

353

#### 354 **4.2 Impact of forest associated activities on flows**

355 Though it appears at variance with the extensive historic literature reporting increases  
356 in peak runoff associated with clear felling (Anderson, 1976; Cheng, 1989; Thomas  
357 and Megahan, 1998), there now is a growing consensus that forests generally have  
358 little impact on large peak flows (Laurance, 2007; Calder and Aylward, 2006), and that  
359 exceptions arise from situations when soil damage caused by the forest removal itself  
360 has directly led to reduced infiltration and soil storage capacity (Bruijnzeel, 2000). The  
361 large increases in flooding and erosion reported in the scientific literature were often  
362 an artefact of the felling operations rather than a true representation of the role of the  
363 forest itself (Van Dijk et al., 2009; van Dijk and Keenan, 2007). If not conducted  
364 carefully forest harvesting can reduce soil infiltration and porosity leading to less soil  
365 water storage capacity and encouraging surface runoff, which is then facilitated by  
366 harvesting roads, ditches and skidding trails connecting runoff generation areas  
367 directly to the main stream network. Furthermore, it is also increasingly recognised



368 that many early famous catchment studies (including *Hubbard Brook*, *Coweeta* and  
369 *HJ Andrews*) only examined small events, and were not concerned with assessing the  
370 impacts of infrequent, severe, flood events (DeWalle, 2003). It was not perhaps to the  
371 foresters' disadvantage that tree removal appeared to increase the frequency and  
372 severity of flood risk. Bathurst et al. (2018) even found that due to forest ditching,  
373 comparing with grassland, the forest catchment had larger flood peaks.

374

375 The more sympathetic felling techniques currently in use are very different to those  
376 used in earlier published studies. Several reviews (Beschta et al., 2000; Robinson and  
377 Dupeyrat, 2005; DeWalle, 2003) noted that felling studies where significantly  
378 increased peak flows had been reported were often subject to severe soil disturbance  
379 by the logging or associated road construction. A forest cover can provide protection  
380 against soil erosion and associated increased flood risk downstream. Cosandey et al.  
381 (2005) found woodland greatly suppressed floods in an area on steep slopes with  
382 severely eroded soils, but had little impact in areas of well-vegetated permeable soils  
383 where a dense grass cover provided as good a protection against floods as woodland.

384 The present study shows the crucial role of careful forest management to protect the  
385 soil in minimising the potential adverse effects of felling on flood risk. Harvesting trees  
386 with *minimal* soil disturbance does not lead to increases in annual peak flows. The  
387 concomitant conclusion must logically be that planting trees will have a much lesser  
388 impact on flood reduction than would be hoped.

389

### 390       **4.3    Natural flood management and forest**

391    Dadson et al. (2017) reviewed the published literature (observations and modelling)  
392    on the potential role of *Natural Flood Management* in the UK, including the impact of  
393    forest cover. They concluded that the broad impact on peak flows is greater for small  
394    floods, and lessens for larger floods due to the relatively limited amount of canopy  
395    storage and generally drier soils beneath forest stands compared with grassland.  
396    Under sustained winter rainfall, soil saturation will occur and little mitigation of high  
397    flood flows would be expected.

398    This raises the key question, not addressed, at what flood return interval do flow peaks  
399    converge, with/without trees? Figure 4 shows that this is restricted to peaks well below  
400    the mean annual flood in the three catchments studied. Is the convergence likely to  
401    occur at larger or smaller flood frequencies in other basins, and what factors may be  
402    at work?

403    The observed benefit found in the three study catchments shown here was limited to  
404    peaks well below the mean annual flood, but the return interval may be dependent  
405    upon soil conditions and there could be situations, such as areas of steep slopes and  
406    soils prone to erosion, where greater benefits can be achieved. In the erodible basins  
407    observed by Cosandey et al. (2005) the ability of forests to suppress flood peaks  
408    extended to larger magnitude (less frequent) events than the British and Irish studies  
409    reported here. A similar result has been found in modelling studies. Birkinshaw et al.  
410    (2011) used a model simulation approach to provide insights into the impact of forest  
411    cover on peak flows of differing magnitudes. Their results suggested that peak flow  
412    suppression might occur up to the 10-year return interval flood. A similar indicative  
413    flood magnitude of 10 years was reached in a modelling study of small steeply sloping  
414    basins in the Cascade Mountains of USA (La Marche and Lettenmaier, 2001).

415 Combining the observational evidence with the model scenarios provides a consistent  
416 picture that tree removal would increase smaller peak flows the most, with a reducing  
417 impact at higher flows. The flood magnitude at which the peak flows converge was  
418 much smaller (and more frequent) in the flatter and well vegetated British and Irish  
419 catchments than in steeply sloping mountains, where the greater potential for soil loss  
420 after felling extended the flood magnitude up to which peak flows were suppressed by  
421 the presence of forests.

422 The small catchment results reported here are not necessarily directly transposable to  
423 very large catchments, due to the small percentage of a larger basin affected and as  
424 variations are smoothed by the pooling of sub-catchment outflows. Over 25% of the  
425 basin area had to be affected before any impact could be detected on peak flows.  
426 Some long-term catchment studies have linked an observed reduction of river low  
427 flows to forest felling (Muma et al., 2011) but others report an increase (Pike and  
428 Scherer, 2003). Often a critical question is not addressed – namely what is forestry  
429 compared against? Changes in the forest area must necessarily result in a  
430 corresponding loss or gain of the alternative land use, which itself may alter streamflow  
431 behaviour. Non-forest land such as intensive farming and pastureland overgrazing  
432 may lead to soil structural damage and increase storm runoff (Marshall et al., 2014),  
433 where afforestation activities such as building roads and digging drainage systems  
434 can change the hydrological characteristics of the forest sites. In this study, sites with  
435 and without tree cover were compared, where the land use and soil conditions were  
436 not affected. Many previous studies such as Fahey and Payne (2017) compared the  
437 forest sites with grasslands and had similar findings as this study. However, Bathurst  
438 et al. (2018) compared the forest site – one of the control sites used in this study - with  
439 grassland and found that the forest catchment had larger flood peaks, due to forest

440 ditching, indicating that the effect of afforestation activities on flow is more significant  
441 than the tree cover effects.

442 The timing and extent of land use changes is often a matter of conjecture in large-  
443 scale historical studies. Consequently, claims that historic flow records of large  
444 complex river basins demonstrate the impact of changes in forestry cover  
445 (overwhelming all other factors) must be treated with great caution and investigated  
446 carefully. Hence the continuing need for closely monitored small basin studies.

447

## 448 **5. Conclusions**

449 By analysing data collected from three independently conducted paired-catchment  
450 forestry studies covering 66 station-years of flow measurements, this study concluded  
451 that while forest can reduce baseflows, its impact on reducing flood peak flows  
452 decreases (possibly to zero) for larger flood events and for larger basins – Large floods  
453 and large catchments are the two cases of most importance for flood damage and loss  
454 of life. There is continuing debate concerning of the impact of forestry on flood peaks,  
455 and the belief in the moderating role of a forest with regard to *large* floods is far more  
456 widespread in *public* opinion than in *scientific* circles. There is an urgent need for  
457 effective dissemination and consistent promotion of the scientific evidence so that  
458 decisions can be made on the basis of merit. It would be unwise, to use the limited  
459 evidence of flood peak suppression for example, to justify urban development on land  
460 with a history of flooding because it was situated downstream of a newly planted forest.

461

462

463

464 **Acknowledgements**

465 The Plynlimon catchments in Wales, operated by the UK's *Centre for Ecology and*  
466 *Hydrology* were established with funding from the *Natural Environment Research*  
467 *Council*. The Burrishoole study in Ireland, run by *NUI Galway*, was established with  
468 funding from the *Environmental Protection Agency (EPA)*, the *National Council for*  
469 *Forest Research and Development (COFORD)* and the Department of Agriculture,  
470 Food and the Marine (RSF07 552, 11/C/208) in Ireland. The Howan and Coalburn  
471 catchments in England are operated by the *Environment Agency* with support from the  
472 *Forestry Commission* and *United Utilities plc*. The analyses in this paper were partly  
473 supported by an *EU Interreg* grant under the *ForestClim* project.

474 We are indebted to M. Rodgers from National University of Ireland (Galway), S. Grant  
475 UK Centre for Ecology and Hydrology (Bangor, Gwynedd), M. Newson Tyne Rivers  
476 Trust, Tyne House (Horsley, Northumberland), M. Müller Inland Fisheries Ireland  
477 (Ballina, Co Mayo), and many individuals involved in these studies whether collecting  
478 the data in the field or processing it back in the office, as well as those with the vision  
479 to establish the catchments and to take a long-term view to support and sustain them.

480

481

482

483 **REFERENCES**

- 484 Alila, Y., Kuraś, P. K., Schnorbus, M. & Hudson, R., 2009. Forests and floods: A new  
485 paradigm sheds light on age-old controversies. *Water Resources Research*, 45, n/a-  
486 n/a [10.1029/2008wr007207](https://doi.org/10.1029/2008wr007207).
- 487 Allen, A. & Chapman, D., 2001. Impacts of afforestation on groundwater resources  
488 and quality. *Hydrogeology Journal*, 9, 390-400 [10.1007/s100400100148](https://doi.org/10.1007/s100400100148).
- 489 Anderson, H. W., Hoover, M.D., and Reinhart, K.G., , 1976. Anderson, H.W.,  
490 Hoover, M.D., and Reinhart, K.G., 1976, Forests and water: Effects of forest  
491 management on floods, sedimentation, and water supply: U.S. Forest Service  
492 General Technical Report PSW-18/1976, 115 p.
- 493 Andréassian, V., 2004. Waters and forests: from historical controversy to scientific  
494 debate. *Journal of Hydrology*, 291, 1-27  
495 <https://doi.org/10.1016/j.jhydrol.2003.12.015>.
- 496 Bathurst, J., Birkinshaw, S., Johnson, H., Kenny, A., Napier, A., Raven, S.,  
497 Robinson, J. & Stroud, R., 2018. Runoff, flood peaks and proportional response in a  
498 combined nested and paired forest plantation/peat grassland catchment. *Journal of*  
499 *Hydrology*, 564, 916-927 [10.1016/j.jhydrol.2018.07.039](https://doi.org/10.1016/j.jhydrol.2018.07.039).
- 500 Bathurst, J. C., Fahey, B., Iroumé, A. & Jones, J., 2020. Forests and floods: Using  
501 field evidence to reconcile analysis methods. *Hydrological Processes*, 34, 3295-3310  
502 <https://doi.org/10.1002/hyp.13802>.
- 503 Beschta, R. L., Pyles, M. R., Skaugset, A. E. & Surfleet, C. G., 2000. Peakflow  
504 responses to forest practices in the western cascades of Oregon, USA. *Journal of*  
505 *Hydrology*, 233, 102-120 [https://doi.org/10.1016/S0022-1694\(00\)00231-6](https://doi.org/10.1016/S0022-1694(00)00231-6).
- 506 Birkinshaw, S. J., Bathurst, J. C., Iroumé, A. & Palacios, H., 2011. The effect of  
507 forest cover on peak flow and sediment discharge-an integrated field and modelling  
508 study in central-southern Chile. *Hydrological Processes*, 25, 1284-1297  
509 [10.1002/hyp.7900](https://doi.org/10.1002/hyp.7900).
- 510 Bosch, J. M. & Hewlett, J. D., 1982. A review of catchment experiments to determine  
511 the effect of vegetation changes on water yield and evapotranspiration. *Journal of*  
512 *Hydrology*, 55, 3-23 [https://doi.org/10.1016/0022-1694\(82\)90117-2](https://doi.org/10.1016/0022-1694(82)90117-2).
- 513 Bradshaw, C. J. A., Sodhi, N. S., Peh, K. S. H. & Brook, B. W., 2007. Global  
514 evidence that deforestation amplifies flood risk and severity in the developing world.  
515 *Global Change Biology*, 13, 2379-2395 [10.1111/j.1365-2486.2007.01446.x](https://doi.org/10.1111/j.1365-2486.2007.01446.x).
- 516 Bruijnzeel, L. A. 2000. Forest hydrology and hydrological effects of land cover  
517 change. In: J, E. (ed.) *The Forests Handbook*, Vol 1.
- 518 Calder, I. R. & Aylward, B., 2006. Forests and Floods: Moving to an Evidence-based  
519 Approach to Watershed and Integrated Flood Managemen. *Water International*, 31,  
520 541 - 543
- 521 Cheng, J. D., 1989. Streamflow changes after clear-cut logging of a pine beetle-  
522 infested watershed in southern British Columbia, Canada. *Water Resources*  
523 *Research*, 25, 449-456 <https://doi.org/10.1029/WR025i003p00449>.
- 524 Cosandey, C., Andréassian, V., Martin, C., Didon-Lescot, J. F., Lavabre, J., Folton,  
525 N., Mathys, N. & Richard, D., 2005. The hydrological impact of the mediterranean

- 526 forest: a review of French research. *Journal of Hydrology*, 301, 235-249  
527 <https://doi.org/10.1016/j.jhydrol.2004.06.040>.
- 528 Dadson, S. J., Hall, J. W., Murgatroyd, A., Acreman, M., Bates, P., Beven, K.,  
529 Heathwaite, L., Holden, J., Holman, I. P., Lane, S. N., O'connell, E., Penning-  
530 Rowsell, E., Reynard, N., Sear, D., Thorne, C. & Wilby, R., 2017. A restatement of  
531 the natural science evidence concerning catchment-based 'natural' flood  
532 management in the UK. *Proceedings of the Royal Society A: Mathematical, Physical*  
533 *and Engineering Sciences*, 473, 20160706 10.1098/rspa.2016.0706.
- 534 Dewalle, D. R., 2003. Forest hydrology revisited. *Hydrological Processes*, 17, 1255-  
535 1256 <https://doi.org/10.1002/hyp.5115>.
- 536 Fahey, B. & Payne, J., 2017. The Glendhu experimental catchment study, upland  
537 east Otago, New Zealand: 34 years of hydrological observations on the afforestation  
538 of tussock grasslands. *Hydrological Processes*, 31, 2921-2934 10.1002/hyp.11234.
- 539 Fao, 2010. *Global Forest Resources Assessment 2010*. Forestry Paper 163.
- 540 Forest Service, 2000. *Forest Harvesting and Environment Guidelines*. Dublin.
- 541 Forestry Commission, 2003. *Forests and Water Guidelines*. 4th ed. In:  
542 COMMISSION, F. (ed.). Edinburgh.
- 543 Forestry Commission, 2011. *UK Forest Standard*. In: COMMISSION, F. (ed.).  
544 Edinburgh.
- 545 Foster, H. J., Lees, M. J., Wheeler, H. S., Neal, C. & Reynolds, B., 2001. A  
546 hydrochemical modelling framework for combined assessment of spatial and  
547 temporal variability in stream chemistry: application to Plynlimon, Wales. *Hydrology*  
548 *and Earth System Sciences*, 5, 49-58 10.5194/hess-5-49-2001.
- 549 Green, K. C. & Alila, Y., 2012. A paradigm shift in understanding and quantifying the  
550 effects of forest harvesting on floods in snow environments. *Water Resources*  
551 *Research*, 48 <https://doi.org/10.1029/2012WR012449>.
- 552 Hudson, J. A., 1988. The contribution of soil moisture storage to the water balances  
553 of upland forested and grassland catchments. *Hydrological Sciences Journal*, 33,  
554 289-309 10.1080/02626668809491249.
- 555 Iroumé, A., Huber, A. & Schulz, K., 2005. Summer flows in experimental catchments  
556 with different forest covers, Chile. *Journal of Hydrology*, 300, 300-313  
557 <https://doi.org/10.1016/j.jhydrol.2004.06.014>.
- 558 Keenan, R. J., Reams, G. A., Achard, F., De Freitas, J. V., Grainger, A. & Lindquist,  
559 E., 2015. Dynamics of global forest area: Results from the FAO Global Forest  
560 Resources Assessment 2015. *Forest Ecology and Management*, 352, 9-20  
561 <https://doi.org/10.1016/j.foreco.2015.06.014>.
- 562 La Marche, J. L. & Lettenmaier, D. P., 2001. Effects of forest roads on flood flows in  
563 the Deschutes River, Washington. *Earth Surface Processes and Landforms*, 26,  
564 115-134 [https://doi.org/10.1002/1096-9837\(200102\)26:2<115::AID-  
565 ESP166>3.0.CO;2-O](https://doi.org/10.1002/1096-9837(200102)26:2<115::AID-ESP166>3.0.CO;2-O).
- 566 Laurance, W. F., 2007. Forests and floods. *Nature*, 449, 409-410 10.1038/449409a.
- 567 Marshall, M. R., Ballard, C. E., Frogbrook, Z. L., Solloway, I., McIntyre, N., Reynolds,  
568 B. & Wheeler, H. S., 2014. The impact of rural land management changes on soil

569 hydraulic properties and runoff processes: results from experimental plots in upland  
570 UK. *Hydrological Processes*, 28, 2617-2629 <https://doi.org/10.1002/hyp.9826>.

571 Muma, M., Assani, A. A., Landry, R., Quessy, J.-F. & Mesfioui, M., 2011. Effects of  
572 the change from forest to agriculture land use on the spatial variability of summer  
573 extreme daily flow characteristics in southern Quebec (Canada). *Journal of*  
574 *Hydrology*, 407, 153-163 <https://doi.org/10.1016/j.jhydrol.2011.07.020>.

575 Neary, D. G., Ice, G. G. & Jackson, C. R., 2009. Linkages between forest soils and  
576 water quality and quantity. *Forest Ecology and Management*, 258, 2269-2281  
577 10.1016/j.foreco.2009.05.027.

578 Nisbet, T. R., Welch, D. & Doughty, R., 2002. The role of forest management in  
579 controlling diffuse pollution from the afforestation and clearfelling of two public water  
580 supply catchments in Argyll, West Scotland. *Forest Ecology and Management*, 158,  
581 141-154 [https://doi.org/10.1016/S0378-1127\(00\)00714-3](https://doi.org/10.1016/S0378-1127(00)00714-3).

582 Page, T., Chappell, N. A., Beven, K. J., Hankin, B. & Kretzschmar, A., 2020.  
583 Assessing the significance of wet - canopy evaporation from forests during extreme  
584 rainfall events for flood mitigation in mountainous regions of the United Kingdom.  
585 *Hydrological Processes*, 34, 4740-4754 10.1002/hyp.13895.

586 Pike, R. & Scherer, R., 2003. Low Flows in Snowmelt-dominated Watersheds.  
587 *Streamline Watershed Management Bulletin*, 8

588 Roberts, G. & Crane, S., 1997. The effects of clear-felling established forestry on  
589 stream-flow losses from the Hore sub-catchment. *Hydrology and Earth System*  
590 *Sciences*, 1 10.5194/hess-1-477-1997.

591 Robinson, M., 1998. 30 years of forest hydrology changes at Coalburn: water  
592 balance and extreme flows. *Hydrology and Earth System Sciences*, 2, 233-238  
593 10.5194/hess-2-233-1998.

594 Robinson, M. & Dupeyrat, A., 2005. Effects of commercial timber harvesting on  
595 streamflow regimes in the Plynlimon catchments, mid-Wales. *Hydrological*  
596 *Processes*, 19, 1213-1226 <https://doi.org/10.1002/hyp.5561>.

597 Rodgers, M., O'connor, M., Robinson, M., Muller, M., Poole, R. & Xiao, L., 2011.  
598 Suspended solid yield from forest harvesting on upland blanket peat. *Hydrological*  
599 *Processes*, 25, 207-216 10.1002/hyp.7836.

600 Rodgers, M., O'connor, M., Healy, M. G., O'driscoll, C., Asam, Z.-U.-Z., Nieminen,  
601 M., Poole, R., Müller, M. & Xiao, L., 2010. Phosphorus release from forest harvesting  
602 on an upland blanket peat catchment. *Forest Ecology and Management*, 260, 2241-  
603 2248

604 Rodgers, M. L., Xiao; Markus, Müller; Mark, O'connor; Elvira, De Eyto; Russell,  
605 Poole; Mark, Robinson and Mark, Healy, 2008. Quantification of erosion and  
606 phosphorous release from a peat soil forest catchment. Johnstown Castle, Ireland:  
607 Environmental Protection Agency.

608 Rojas, M., Aylward, B., Wily, L., Kanji, N., Pimbert, M. & Rosales, M., What Are We  
609 Learning from Experiences with Markets for Environmental Services in Costa Rica?:  
610 A Review and Critique of the Literature. 2003.

611 Silveira, L., Gamazo, P., Alonso, J. & Martínez, L., 2016. Effects of afforestation on  
612 groundwater recharge and water budgets in the western region of Uruguay.  
613 *Hydrological Processes*, 30, 3596-3608 10.1002/hyp.10952.



614 Smakhtin, V. U., 2001. Low flow hydrology: a review. *Journal of Hydrology*, 240, 147-  
615 186 [https://doi.org/10.1016/S0022-1694\(00\)00340-1](https://doi.org/10.1016/S0022-1694(00)00340-1).

616 Soulsby, C., Dick, J., Scheliga, B. & Tetzlaff, D., 2017. Taming the flood—How far  
617 can we go with trees? *Hydrological Processes*, 31, 3122-3126  
618 <https://doi.org/10.1002/hyp.11226>.

619 Stratford, C., House, A., Acreman, G., Lopez, D., Nisbet, M., Chappell, L., Clarke, N.,  
620 Leeson, S., Robinson, J., Rogers, M., Tickner, M., Stratford, C., House, A., Old, G.,  
621 Acreman, M., Dueñas-Lopez, M., Miller, J., Newman, J., Reynard, N. & House, C.,  
622 2017. Do trees in UK-relevant river catchments influence fluvial flood peaks? Project  
623 NEC06063., UK Centre for Ecology and Hydrology, Wallingford.

624 Tembata, K., Yamamoto, Y., Yamamoto, M. & Matsumoto, K. I., 2020. Don't rely too  
625 much on trees: Evidence from flood mitigation in China. *Science of The Total*  
626 *Environment*, 732, 138410 [10.1016/j.scitotenv.2020.138410](https://doi.org/10.1016/j.scitotenv.2020.138410).

627 Thomas, R. B. & Megahan, W. F., 1998. Peak flow responses to clear-cutting and  
628 roads in small and large basins, Western Cascades, Oregon: A second opinion.  
629 *Water Resources Research*, 34, 3393-3403 <https://doi.org/10.1029/98WR02500>.

630 Van Dijk, A. I. J. M. & Keenan, R. J., 2007. Planted forests and water in perspective.  
631 *Forest Ecology and Management*, 251, 1-9  
632 <https://doi.org/10.1016/j.foreco.2007.06.010>.

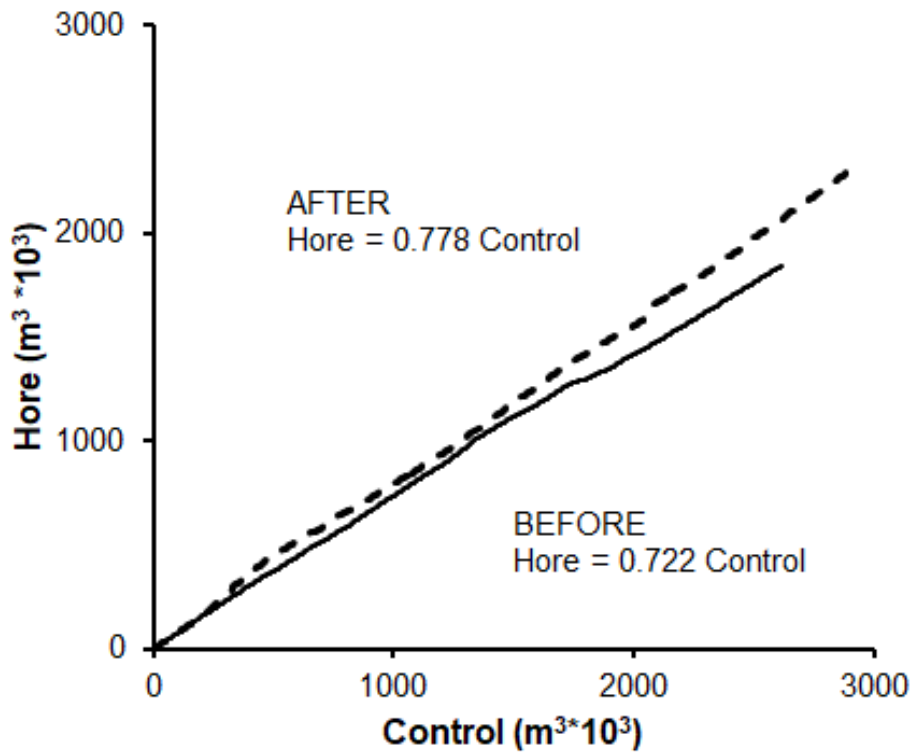
633 Van Dijk, A. I. J. M., Van Noordwijk, M., Calder, I. R., Bruijnzeel, S. L. A.,  
634 Schellekens, J. & Chappell, N. A., 2009. Forest-flood relation still tenuous - comment  
635 on 'Global evidence that deforestation amplifies flood risk and severity in the  
636 developing world' by C. J. A. Bradshaw, N.S. Sodi, K. S.-H. Peh and B.W. Brook.  
637 *Global Change Biology*, 15, 110-115 [10.1111/j.1365-2486.2008.01708.x](https://doi.org/10.1111/j.1365-2486.2008.01708.x).

638 Zhang, L., Dawes, W. R. & Walker, G. R., 2001. Response of mean annual  
639 evapotranspiration to vegetation changes at catchment scale. *Water Resources*  
640 *Research*, 37, 701-708 <https://doi.org/10.1029/2000WR900325>.

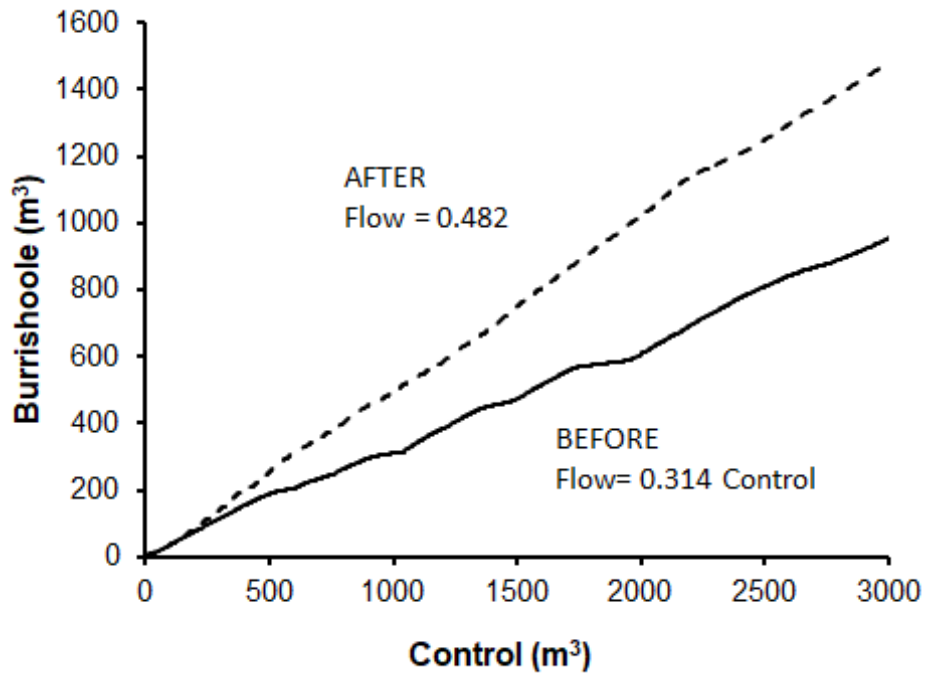
641

**Figure 1** Cumulative low flows for dry weather periods before and after felling: a) Hore, b) Burrishoole and c) Howan

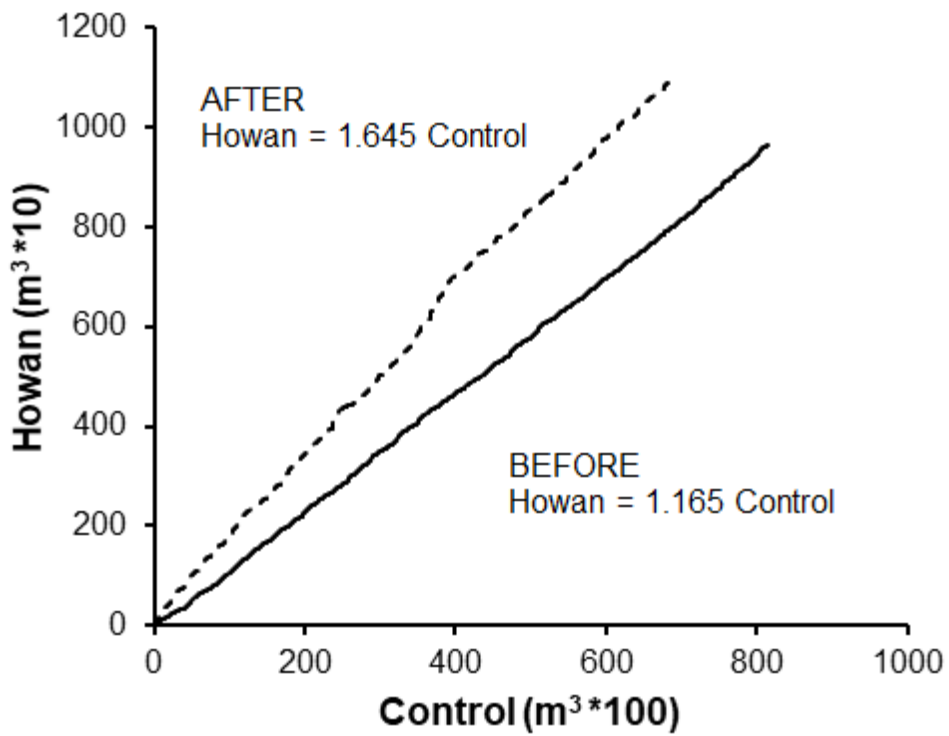
a) Hore (25% felled)



b) Burrishoole (60% felled)

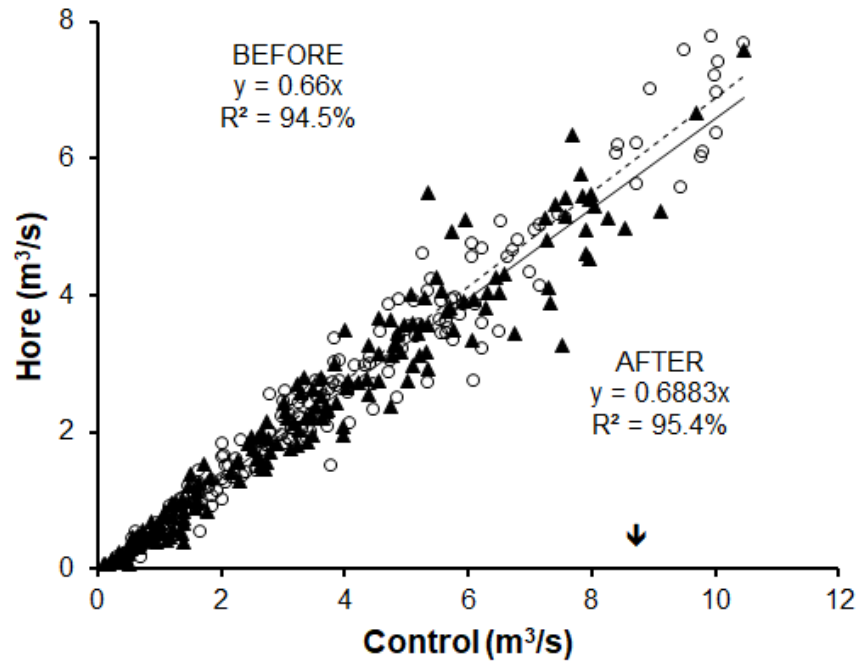


c) Howan (100% felled)

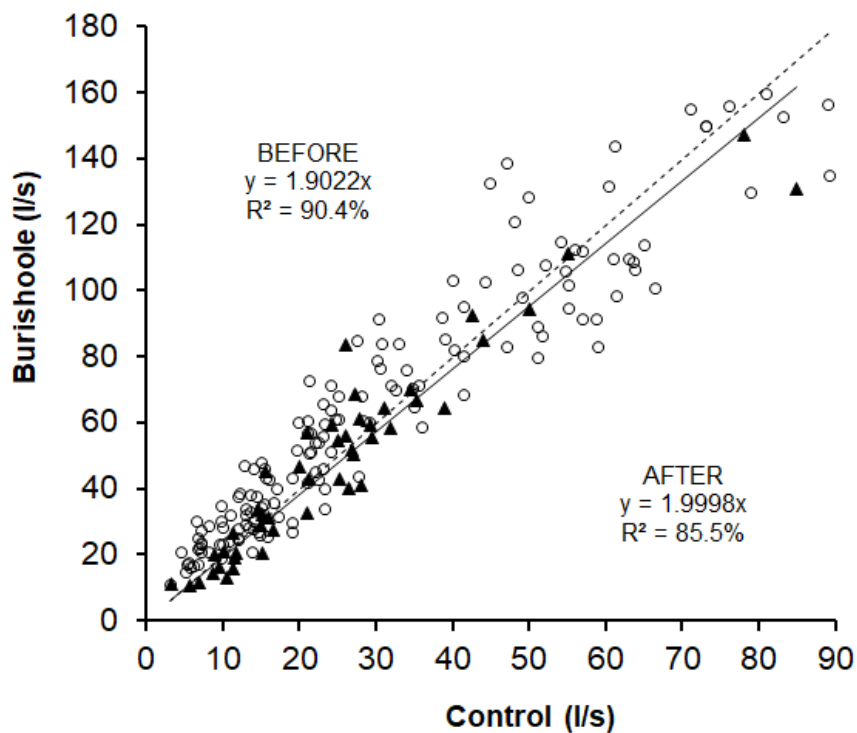


**Figure 2** Scatterplot of peak flows before ( $\blacktriangle$ ) and after felling ( $\circ$ ) with best fit lines (continuous and dashed respectively). The intercepts were not significantly different from zero.  $\blacktriangledown$  is the estimated mean annual flood at the control basins (Hore control:  $8.8 \text{ m}^3/\text{s}$ ; Howan control:  $2.3 \text{ m}^3/\text{s}$ ).

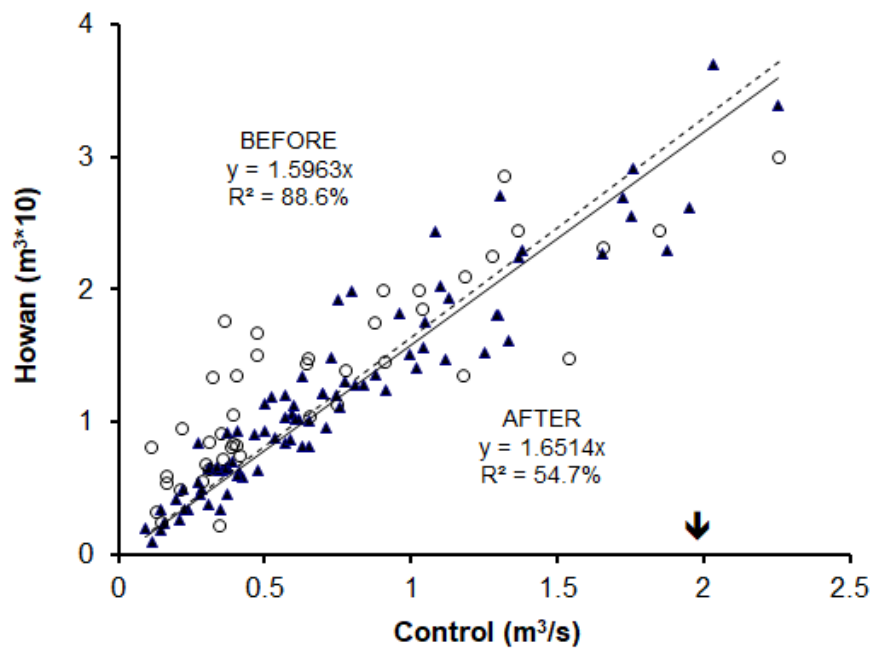
a) Hore



b) Burrishoole

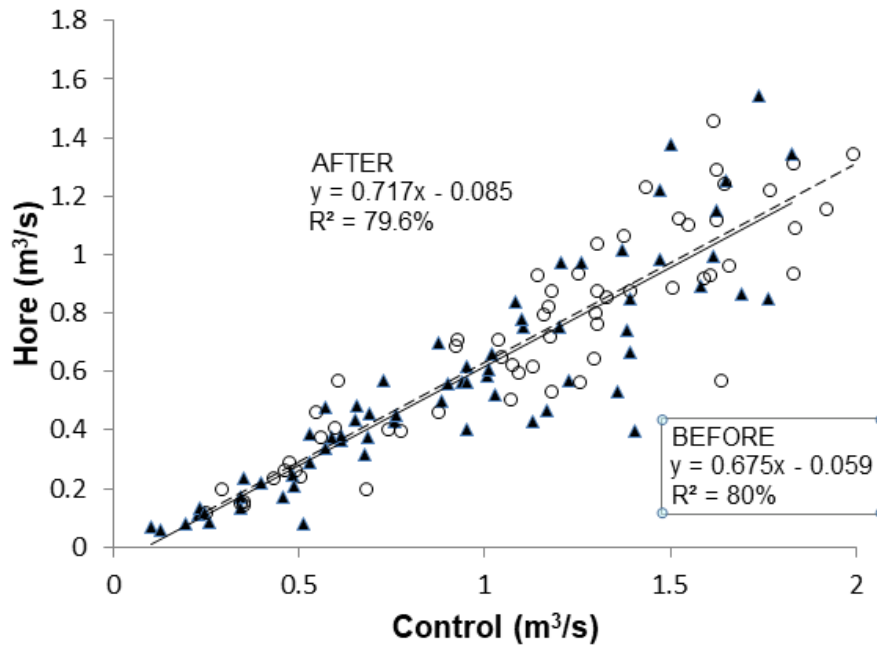


c) Howan

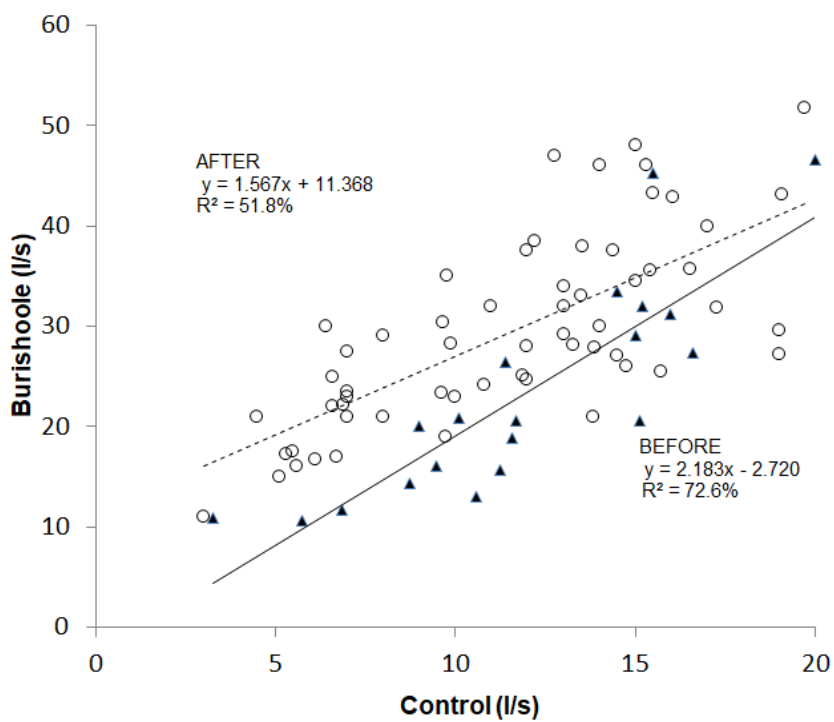


**Figure 3** Scatterplot of minor peak flows before (▲) and after felling (○). Best fit lines include a statistically significant intercept for Burrishoole and Howan. Same symbols as Fig 2.

a) Hore



b) Burrishoole



c) Howan

