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# Comparison of 2-D and 3-D full waveform inversion imaging using wide-angle seismic data from the Deep Galicia Margin

Bhargav Boddupalli<sup>(a)</sup>,<sup>1,\*</sup> Tim A. Minshull,<sup>1</sup> Joanna Morgan,<sup>2</sup> Gaye Bayrakci<sup>3</sup> and Dirk Klaeschen<sup>4</sup>

<sup>1</sup>School of Ocean and Earth Science, University of Southampton, Southampton SO143ZH, UK. E-mail: bhargav@ig.utexas.edu

<sup>2</sup>Department of Earth Sciences and Engineering, Imperial College London, London SW72AZ, UK

<sup>3</sup>National Oceanography Centre Southampton, European Way, Southampton SO14 3ZH, UK

<sup>4</sup>GEOMAR, Helmholtz Centre for Ocean Research Kiel, Kiel 24148, Germany

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## SUMMARY

Full waveform inversion (FWI) is a data-fitting technique capable of generating high-resolution velocity models with a resolution down to half the seismic wavelength. FWI is applied typically to densely sampled seismic data. In this study, we applied FWI to 3-D wide-angle seismic data acquired using sparsely spaced ocean bottom seismometers (OBSs) from the Deep Galicia Margin west of Iberia. Our data set samples the S-reflector, a low-angle detachment present in this area. Here we highlight differences between 2-D, 2.5-D and 3-D-FWI performances using a real sparsely spaced data set. We performed 3-D FWI in the time domain and compared the results with 2-D and 2.5-D FWI results from a profile through the 3-D model. When overlaid on multichannel seismic images, the 3-D FWI results constrain better the complex faulting within the pre- and syn-rift sediments and crystalline crust compared to the 2-D result. Furthermore, we estimate variable serpentinization of the upper mantle below the S-reflector along the profile using 3-D FWI, reaching a maximum of 45 per cent. Differences in the data residuals of the 2-D, 2.5-D and 3-D inversions suggest that 2-D inversion can be prone to overfitting when using a sparse data set. To validate our results, we performed tests to recover the anomalies introduced by the inversions in the final models using synthetic data sets. Based on our comparison of the velocity models, we conclude that the use of 3-D data can partially mitigate the problem of receiver sparsity in FWI.

Key words: Waveform inversion; Controlled source seismology; Crustal imaging.

#### **1 INTRODUCTION**

Seismic imaging is one of the most widely used tools for understanding crustal processes. Advances in seismic imaging are mainly driven by the hydrocarbon exploration industry. In academia, first arrival traveltime tomography (FATT) using long-offset refraction data is a widely used method to obtain smooth velocity models of the crust (e.g. Hammer *et al.* 1994; Zelt & Barton 1998). A drawback of the FATT method is its resolution, which is limited at best to the width of the first Fresnel zone. In addition, the presence of lowvelocity zones can pose difficulties for identifying the first arrivals in the data. To deal with such problems, seismic imaging studies are moving forward towards higher-resolution techniques like waveform inversion, which utilize more information in the waveform

\*Now at: Institute for Geophysics, University of Texas at Austin, TX, USA.

record to derive finer resolution models. The full waveform inversion (FWI) technique was proposed by Lailly (1983) and Tarantola (1984) as a linearized inverse problem using adjoint operators. Advances in computational facilities and seismic data acquisition techniques have greatly supported the development of FWI. FWI is capable of resolving structures to half the shortest seismic wavelength present in the data. Moreover, it accounts for information beyond the traveltimes of the waveform depending upon the wave attributes inverted during the inversion. However, higher resolution comes at a cost of large computational requirement and increased non-linearity of the inverse problem. Obtaining the correct solution using FWI largely depends on how well-posed is the inverse problem.

Wide-angle seismic data are well suited for performing FWI because they are rich in low-frequencies. Furthermore, OBSs enable recording of long-offset marine seismic data given a powerful source, which otherwise is not possible using a limited-offset streamer. Although wide-angle acquisition using OBSs has been widely conducted in last few decades, FWI techniques have rarely been applied to these data until recently. In academia, the main concern in using a wide-angle data set for FWI is the data sparsity. A sparse data set may cause the inversion to fail to converge to the right solution due to a poorly constrained inverse problem. The first application of FWI to synthetic wide-angle data was demonstrated by Pratt et al. (1996) in 2-D in the frequency domain, while real data applications were demonstrated by Dessa et al. (2004) and Operto et al. (2006). In the last few years, different variants of FWI have been applied to OBS data from different tectonic settings, restricted mostly to 2-D (e.g. Górszczyk et al. 2017, 2019; Kamei et al. 2013). Morgan et al. (2016) demonstrated the application of 3-D acoustic, anisotropic FWI to a sparse data set from the Juan de Fuca Ridge, revealing low-velocity zones interpreted as magmatic-hydrothermal reaction zones. Using a similar approach, Davy et al. (2018) presented isotropic high-resolution models using sparsely spaced and noisy 2-D OBS data from the Deep Galicia margin west of Iberia. The data set used by Davy et al. (2018) is a subset of the 3-D data set used in this study.

The Galicia margin has been a testing ground for various hypotheses on rifting, that explain the observed crustal thinning, reduced mantle velocities, and mantle exhumation (e.g. Boillot *et al.* 1987; Reston 2009). This margin is a sediment starved and magma-poor margin allowing optimal resolution of features using geophysical imaging. Seismic studies in this region have revealed many interesting observations over last few decades (e.g. Boillot *et al.* 1980; Whitmarsh *et al.* 2001). 3-D seismic reflection imaging has enabled unique insights into the rifting tectonics in the margin, including 3-D interpretation of the fault structures, identification of the coherent corrugations on the S-surface and identification of the S-interval (Lymer *et al.* 2019; Schuba *et al.* 2018). 2-D and 3-D tomographic models derived using wide-angle seismic data were used to identify thin oceanic crust west of the margin and estimate serpentinization below the S-reflector (Bayrakci *et al.* 2016; Davy *et al.* 2016).

In this paper, we performed 3-D FWI using wide-angle seismic data recorded by 40 OBSs in the Deep Galicia Margin (DGM) assuming an acoustic and isotropic medium. We also performed 2.5-D and 2-D FWI using subsets of the data set and compared the 3-D FWI result with 2-D and 2.5-D FWI results. 3-D wide-angle data have the potential to improve the resolution of subsurface velocity structure compared to 2-D because of the extra data recorded from the shot lines away from the receivers, but 2-D wide-angle studies are more common than 3-D studies. Hence, we aim to highlight differences in imaging between 2-D, 2.5-D and 3-D approaches using a real sparse data set, and illustrate the limitations in resolution of 2-D and 2.5-D FWI imaging in a rifted margin setting compared to 3-D. A detailed interpretation of full 3-D FWI results will be presented elsewhere.

### 2 GEOLOGICAL SETTING

The West Iberia margin is a magma-poor rifted margin where rifting is thought to have occurred in several phases (e.g. Murillas *et al.* 1990; Péron-Pinvidic *et al.* 2007; Tucholke *et al.* 2007). In the early stages of rifting during the late Triassic to early Jurassic, the fault-bounded Lusitanian and Galicia Interior Basins were formed (Fig. 1; Murillas *et al.* 1990; Tucholke *et al.* 2007). During the Late Jurassic to Early Cretaceous, the Galicia Interior Basin developed further westward on the Galicia margin, thinning the continental crust to 15 km (Pérez-Gussinyé *et al.* 2003). In the final stages, the extension was primarily focused in the DGM, thinning the crust to less than 5 km (Murillas et al. 1990) and exhuming the subcontinental mantle lithosphere (Boillot & Winterer 1988; Tucholke et al. 2007). The DGM is a hyperextended zone characterized by west-dipping normal faults that sole into a detachment fault (Fig. 1; Reston et al. 1996, 2007). Here, the continental crust comprises tilted fault blocks of crystalline basement rocks overlain by pre-, syn- and post-rift sediments. Various mechanisms are proposed to explain the faulting pattern, crustal thinning, asymmetry on the conjugate margins and role of the detachment fault in continental break-up. The detachment fault underlying the fault blocks is called the S-reflector, which is imaged as a bright reflector on seismic sections in this region (De Charpal et al. 1978; Lymer et al. 2019; Reston et al. 1996, 2007; Schuba et al. 2018). It is interpreted as a boundary separating the continental crust above from the serpentinized mantle below (Leythaeuser et al. 2005; Reston et al. 1996). Westward of the thinned continental crust lies a narrow, north-south oriented ridge with 2-4 km of relief. Based on direct sampling, this ridge is interpreted as exhumed mantle and is known as the Peridotite Ridge (e.g. Beslier et al. 1993; Boillot et al. 1980). Similar ridges are identified along-strike on the West Iberia margin (Beslier et al. 1993). At the DGM, exhumed mantle is postulated to extend seaward by at least 85 km from the Peridotite Ridge (Davy et al. 2016; Dean et al. 2015). Under the influence of continuous stretching during rifting, the entire crust was thinned to less than 10 km, inferred to have made it cooler and brittle and to have coupled the lower and upper crust (Pérez-Gussinyé et al. 2003; Pérez-Gussinyé & Reston 2001). The normal faults cut through the entire crust, acting as fluid conduits, transporting seawater to the upper mantle and serpentinizing the upper mantle peridotites (Bayrakci et al. 2016; Pérez-Gussinyé & Reston 2001; Reston 2007). Using P-wave velocities derived from wide-angle seismic data, Bayrakci et al. (2016) and Davy et al. (2018) presented the evidence for preferential hydration of the upper mantle close to the normal faults, with maximum serpentinization of 45 per cent.

#### **3 SEISMIC DATA**

The Galicia-3-D (G3D) experiment was conducted at the DGM from June to August 2013, to acquire a multichannel reflection and coincident wide-angle seismic data. Wide-angle data were recorded within the 3-D box using 78 ocean bottom hydrophones/seismometers (Fig. 2). The FS Poseidon deployed and recovered the OBSs, which concurrently recorded the signals from the seismic reflection experiment. OBSs were deployed in four lines with a spacing of  $\sim$ 6.5 km between the lines (Fig. 2). The mean spacing between OBSs along each line is  $\sim$ 3.2 km. In this study, wide-angle data recorded by the hydrophones were used because of the absence of geophones on some instruments. The multichannel reflection seismic volume, acquired by the RV Marcus G. Langseth, was processed over an area of 68.5 km (east-west) by 20 km (north-south, Fig. 2). The 3-D seismic reflection data were acquired using four 6 km streamers towed 200 m apart. The survey was carried out using two air-gun arrays each consisting of 20 airguns. The two arrays were towed 100 m apart with gun volumes between 40 cu.in. and 360 cu.in. at a depth of 9 m. The total individual volume of each airgun array was 3300 cu.in. Seismic shots were fired alternately using two source arrays every 37.5 m (shot interval of  $\sim 16$  s with ship speed of 4.5 knots). Processing of the reflection seismic data set was performed by Repsol, who produced a 3-D pre-stack Kirchhoff time migrated seismic volume.



**Figure 1.** Upper panel: map showing the location of the Galicia 3-D (G3D) experiment in the DGM (black box). East of the DGM is the Galicia Bank, Galicia Interior Basin (GIB), Porto Basin (PB) and Lusitania Basin (LB). To the south of the study region is the Southern Iberia Abyssal Plain. Colours in the map indicate bathymetry and topography. Lower panel: time migrated seismic profile across G3D area highlighting the Peridotite Ridge (PR), pre- and syn-rift sediments (below dashed blue), crystalline crust (below dashed red) and the S-reflector (dashed black).



**Figure 2.** Map showing the location of the OBSs, and multichannel seismic shooting in white. The OBSs locations are indicated along four profiles from south to north: line1, line2 line3 and line4. Locations of multichannel seismic line 420 through the instruments in line2 is shown in orange. The red-dashed box marks the area for the 3-D FWI and the dashed brown box marks the multichannel seismic volume. Green coloured instruments are used for 3-D FWI, whereas the yellow ones have not been used in this work. The instruments in pink have only two shot profiles recorded directly above them.

FWI technique involves iteratively updating a starting model using a least-squares local inversion and the Born approximation (Lailly 1983; Tarantola 1984). The basic equation that is solved in the FWI is:

$$\bar{m} = G^{-1} d_o, \tag{1}$$

where  $d_o$  is the observed data;  $G^{-1}$  is the inverse of the forward modelling operator (G) and  $\overline{m}$  is the inverted model. There is no formal method to estimate  $G^{-1}$  from G. Hence, the solution to the inverse problem is achieved with an iterative approach using gradient based methods. In this study, we perform 3-D FWI in the time domain using the FULLWAVE code (Warner et al. 2013). The starting model is updated in steps by reducing the residuals between the observed and predicted data generated with a forward modelling algorithm, which in our case uses the finite difference method (Warner et al. 2013). The RMS amplitude of the predicted data set is scaled to match the RMS of the observed data traceby-trace, and a broad sliding time-window is applied during the modelling so that different phases are normalized independently (Warner et al. 2013). The misfit (f) between predicted and observed data sets is calculated by summing the squares of the differences between the trace-by-trace normalized predicted  $(d_p)$  and observed  $(d_o)$  data, for every time sample:

$$f = \frac{1}{2} \left\| \frac{d_p}{d_{p_2}} - \frac{d_o}{d_{o_2}} \right\|_2^2.$$
<sup>(2)</sup>

Assuming a linear relationship between model perturbation and data residual, the model update is given as

$$\delta m = -H^{-1}\frac{\partial f}{\partial m},\tag{3}$$

where *H* is the Hessian matrix containing all the second-order differentials of the functional *f* with respect to model parameters, and  $\frac{\partial f}{\partial m}$  is the gradient of the functional with respect to each of the model parameters. The gradient term is computed by taking a zero-lag cross-correlation between the source generated forward-propagated wavefield and residual generated back-propagated wavefield using the adjoint source (Tarantola 1984). The computation of the Hessian matrix is avoided by replacing the Hessian with a scaling parameter using the steepest-descent algorithm for optimization. Density is estimated from the velocities using Gardner's law below the seafloor and is fixed during the inversion step (Gardner *et al.* 1974).

#### 4.1 FWI workflow

We followed the workflows of Warner *et al.* (2013) and Morgan *et al.* (2016):

- 1. Generating the source wavelet
- 2. Determining the starting model
- 3. Determining the starting frequency
- 4. Preprocessing the data
- 5. Defining the modelling and inversion strategy
- 6. Quality assurance

#### 4.2 Generating the source wavelet

The source wavelet was generated by developing a Wiener filter. Building the wavelet involved the following steps: (1) extracting the nearest offset (<50 m) direct water-wave arrivals from a few selected OBSs, muting the arrivals after 1 second to minimize contamination by subsurface properties; (2) aligning the direct waterwave on every individual trace to the same time (say 3.5 s); (3) stacking the traces to obtain a mean trace for the direct-water wave at one OBS location and resampling the trace to 1 ms; (4) generating a synthetic trace using a random guess wavelet and water column velocities for the same selected OBS (the guess wavelet should be bandpass filtered in the same way as the data and resampled to 1 ms); (5) repeating steps 1 and 2 for the synthetic trace by extracting and adjusting the direct water arrival time; (6) matching the synthetic trace with the observed trace to obtain an inverse filter using the Wiener method; (7) convolving the inverse filter with the guess wavelet to build the source wavelet and (8) verifying the source wavelet by regenerating synthetic data and comparing it with the observed OBS data.

#### 4.3 Starting model

The starting velocity model is an important component of FWI. To qualify as a starting model for FWI, a velocity model should be able to predict the observed data within half a wavelength at the starting frequency. The starting model used for FWI in this study was derived from FATT of the wide-angle seismic data recorded by OBSs from Galicia3D experiment presented by Bayrakci et al. (2016). The authors used the First Arrival Seismic Tomography code, which solves the Eikonal equation using finite difference scheme and optimization using the LSQR variant of the conjugate gradient algorithm (Paige & Saunders 1982; Zelt & Barton 1998). The RMS misfit for the final FATT model is 73 ms and the chi-squared value is 1. We modify this model by replacing a constant water velocity of 1520 m s<sup>-1</sup> with the water column velocity profile measured during data acquisition to improve the accuracy of the first arrival predictions in the forward modelling, and linearly interpolating the grid from 500 m spacing to 50 m, to ensure an accurate and smooth seafloor. Although the final inversion runs were performed using a 50 m grid spacing, most of the test runs initially were performed using a grid spacing of 100 m to reduce computational time. A 3-D linear interpolation technique was used to interpolate the model from 500 to 100 m and then further to 50 m after application of smoothing filters described below. Cubic-spline, near-neighbour and linear interpolation techniques were all tested. The spline method introduced spurious values at the seafloor around the edges of the Peridotite Ridge, and the near-neighbour technique resulted in a pixelated model as it uses just the neighbouring points for calculating values at intermediate gridpoints. Use of a linear interpolation technique overcame these shortcomings and resulted in a smoother starting model compared to the near-neighbour technique. Smooth starting models without sharp velocity contrasts are preferred for FWI, unless such contrasts are well-constrained, such as the seafloor (Morgan et al. 2016). Therefore a further smoothing process was carried out using a 2-D convolutional filter applied along each profile of the 3-D velocity model. Prior to the application of the filter, the velocity model was converted into a slowness model and vertically resampled to 1 m. The filter was applied over a window with dimensions 200 m vertically and 1500 m horizontally to remove any sharp velocity changes.

The adequacy of the starting model was tested for its ability to predict the data within half a seismic wavelength for all instruments. The phase plots, plotting the phases of the first arrivals, assured the adequacy of the starting model by indicating that the starting model is not cycle-skipped (Fig. 3). The phases are computed by applying



**Figure 3.** Phase residuals for OBS 46 for the starting model at frequencies 2.7 and 3 Hz. Each dot represents the phase of the first arrivals extracted using a Gaussian window centred on these arrivals. Panels (a) and (b) are the phase plots of the observed data at 2.7 and 3 Hz, respectively. Panels (c) and (d) are the phase plots of the synthetic data predicted using the starting model at 2.7 and 3 Hz, respectively. The residual plot (e and f) indicate that most of the data is predicted within half a cycle accuracy by the starting model. Noisy traces at far offsets indicated by red arrows are out of phase.

a Fourier transform to each windowed trace to include just the first arrival and extracting the phase at a particular frequency (Warner et al. 2013). The concentric nature around the OBS of the phase changes of the first arrival with increasing offset indicates a good signal-to-noise ratio to maximum offset of ~15 km. At ~15 km offset, the phase plots for the observed data show more chaotic behaviour indicating poor signal-to-noise ratio (Figs 3a and b). If the starting model, compared to the observed data, is not cycleskipped, then the phase change is smooth and consistent with offset whereas if it is cycle-skipped there will be sudden jumps in the phase (Shah et al. 2012). The phase residuals were calculated by subtracting phases of the first arrivals of the observed data from the synthetic data. The phase of the first arrivals of the predicted and observed data were compared to check if the predicted data were within an acceptable limit ( $-\pi$  to  $+\pi$ , Figs 3e and f). Further verification was carried out by plotting sets of 10 traces from each data set-observed and predicted-alternating to manually check for poor fit (Fig. 4).

#### 4.4 Starting frequency

Non-linearity of the inverse problem can be partially mitigated by starting the inversion from the lowest frequency available in the data and progressing towards higher frequencies (Bunks *et al.* 1995). The choice of the starting frequency for our inversion is based on examining the phase of the first arrivals in the observed data with increasing offset (Figs 3a and b). The RMS values of the phase residuals at 2.7 and 3 Hz are 1.42 and 1.44 radians, respectively. RMS values well below  $\pi$  radians clearly indicate that the starting model is able to predict the phase of the first arrivals within the acceptable limits, and the starting frequency for the inversion can be 2.7 or 3 Hz.

the data set starting from 2.7 and 3 Hz did not show significant differences. The RMS amplitude of the difference between the results of these test runs is equal to 36 m s<sup>-1</sup> with the largest perturbation value equal to 236 m s<sup>-1</sup> (Fig. 5). Hence, we started the final inversion run by applying low-pass filter rolling off at 3 Hz to reduce the computational time, rather than following the fundamental notion of starting the inversion from the lowest possible frequency (Sirgue 2006).

#### 4.5 Pre-process the data

A minimum-phase Ormsby bandpass filter with corner frequencies of 2–3 to 5–7 Hz was applied to reduce the random noise in the input data. The limits for the bandpass filter were chosen after trying different frequency ranges. The same filter was also applied to each OBS to include only the first arrivals. The unmuted window lengths were customized depending on the match of the observed traces with the synthetic traces generated using the starting model (Fig. 4). The window lengths varied between 700 and 1200 ms for all the instruments. Data up to maximum offset of 15 km were included into the inversion, beyond which the data quality was degraded by arrivals from the previous shot, making it unsuitable for FWI.

#### 4.6 Modelling strategy

We inverted only for *P*-wave velocities, assuming isotropic and acoustic approximations for the medium. These assumptions are based on the previous tomographic and FWI studies in this region using the same wide-angle data set, which indicated an absence of strong elastic and anisotropic effects (Bayrakci *et al.* 2016; Davy *et al.* 2018). In this work, we developed a 2-D velocity model using only two nearby shot lines from the instruments along line 2, a 2.5-D



Figure 4. Observed (highlighted) and predicted data using the starting model plotted in sets of 10 traces from OBS 46, alternating to show the match between the arrivals along two profiles. (a) Profile 1 is the closest profile to the OBS 46 location and (b) Profile 2 is at a distance of  $\sim 10$  km from this location. The data shown are bandpass filtered and trace-by-trace normalized.



Figure 5. Difference between the starting model and final model along a profile through the instruments in line 2 using the 3-D inversion. Panel (a) is the difference plot for inversion starting from 3 Hz. Panel (c) is the difference between (a) and (b).

velocity model using all shots from the instruments along line 2, and a 3-D velocity model using wide-angle data from OBSs along lines 1, 2 and 3 (Fig. 2).

Wide-angle refraction data from 40 OBSs were considered for the 3-D inversion (Fig. 2). The number of profiles recorded by each instrument was 100. Data from the northernmost line (line 4) of OBSs were not included because of larger uncertainty in their relocated positions, which is partly due to the lack of a shooting profile directly above the OBSs. Profiles with low signal-to-noise ratio on a particular OBS were excluded from the inversion. Only data that were predicted by the starting model within a half seismic wavelength were included in the 2.5-D and 3-D inversions (Appendix A). Data from OBS 51 were not included in the 2-D FWI because the data along the closest shot lines were not recorded by this instrument, but were included in the 2.5-D and 3-D FWI. Data from OBSs 80 and 85 were not used in our inversions because the starting model was unable to predict the data accurately due to time-shifts that we were unable to correct.

The maximum and minimum velocities in the starting model are 1497 and 8000 m s<sup>-1</sup>, respectively. The grid spacing in the velocity model is 50 m in each of the three dimensions. To ensure that the wavefield is computed in at least two locations in every cell given the maximum velocity in the starting model, the sampling interval of the input data should be less than 3.125 ms (0.5 \* grid spacing/maximum velocity; Lines *et al.* 1999). Hence, the data were resampled to 3 ms to satisfy this condition. The grid dimensions

in the inline and crossline directions were chosen to include all the receivers and shots within the grid and to avoid edge effects in the wave propagation, which requires shots falling within 1 km of the edge of the grid to be removed. The dimensions of the grid along the inline (along the shooting profiles) and crossline (across the shooting profiles) directions are 78.5 and 22.1 km, respectively, and the vertical dimension is 12 km. To facilitate the implementation of the code, a data reciprocity rule was applied to treat the shots as receivers and OBSs as shots. The inversion was performed following the multiscale approach starting from low frequencies and progressing towards higher frequencies in steps (Bunks et al. 1995). During the inversion, the program applies low-pass filters, in steps rolling off at frequencies 3, 3.3, 3.9, 4.5 and 5.2 Hz, to the data and the source wavelet (Warner et al. 2013). Thirty iterations were performed at each filter setting to allow the misfit functional to reduce and flatten. For the final inversion run, smoothing was applied to the gradient using a Gaussian filter with correlation lengths of  $2 \times$  seismic wavelength and  $1 \times$  seismic wavelength in the horizontal and vertical directions, respectively. The seismic wavelength for gradient smoothing was calculated using the local velocity in the model and the rolling off frequency specified for the current iteration. These smoothing parameters were adjusted to suppress short-wavelength artefacts in the result. 2.5-D and 2-D inversions were performed using same parametrization as 3-D inversion but included data only from instruments along line 2. The total number of shots for the 3-D, 2.5-D and 2-D inversions are 84768, 55591 and 1792, respectively Table A1. For the 2-D inversion, two shot lines were used from 16 OBSs along line 2 (Fig. 2) and projected onto an imaging line closest to line 2. The velocity model dimensions for the 2-D inversion were 78.5 km in the horizontal direction and 12 km in the vertical dimension.

FWI is a computationally demanding imaging technique, so forward modelling and inversion runs were performed on two large linux clusters: Iridis 5 (University of Southampton) and Mobilis (National Oceanography Centre). Mobilis comprises 72 computing nodes each with 64 GB of memory (DDR3 memory) and 2× Intel Xeon E5-2650 2.6 GHz eight-core processors, giving a total of 1152 processor cores. Iridis 5 comprises of 464 compute nodes with dual 2.0 GHz Intel Skylake processors. Each compute node has 40 CPUs per node with 192 GB of DDR4 memory. Initial testing and 2-D inversions were performed on Mobilis because of its smaller queue time, whereas the final 3-D inversion runs were performed on Iridis 5. The 2-D inversion run was performed by assigning 4 OBSs to each node, while for 3-D inversion 2 OBSs were assigned to each node. In 3-D inversions, no extra CPUs/nodes were assigned for the OBSs that have just two shot lines recorded (Fig. 2). Runs on Mobilis were performed using four nodes and the computation time for each iteration was  $\sim 1$  min in the final 2-D inversion run. For the final 3-D inversion run, 18 nodes were used on Iridis 5, with one extra node reserved for communication between the nodes. The compute time for each iteration for the 3-D run was  $\sim$ 45 min.

#### 4.7 Quality assurance

We tracked the progress of the 3-D inversion by monitoring the phase residuals, obtained by subtracting the phases of the predicted and observed data (Fig. 6). These phase residuals clearly have reduced after the first iteration at both frequencies (Figs 6c and d). Abrupt changes from one extreme phase to other  $(-\pi \text{ to } + \pi)$  indicate cycle-skipping, which is not observed except for a few noisy traces at the far and near offsets. Including a substantial number of

cycle-skipped traces into the inversion could cause it to converge to a local minimum that is not the global minimum. However, if the number of such traces is small, the effects from cycle-skipped traces are nullified by the rest of the data driving the model towards the global minimum (Shah et al. 2012). For most of the data the phase change is gradual at 3 Hz, except for a very few traces at far offsets that have poorer signal-to-noise ratio (Fig. 6). At 4.5 Hz, there are regions that change their phases abruptly from red to blue. However, these same regions show small phase changes at 3Hz. There is a gradual improvement as the inversion progresses without cycle-skipping, and the phase difference for most of the traces is close to zero for the final model (Figs 6e and f). This is a clear advantage of progressing from lower frequencies to higher frequencies during the inversion. At lower frequencies, the probability of cycle-skipping is lower because the misfit function near the global minimum is smoother and broader, hence reducing the chance of getting trapped in a local minima. To further check the convergence of the FWI, we plotted the predicted data from the traveltime model and FWI inverted model with the observed data to check the improvements resulted from FWI (Fig. 7; Appendix B). The result shows clear improvement in the alignment of the FWI model synthetics with the observed data compared to the traveltime model synthetics.

## **5 RESULTS AND DISCUSSION**

We compare the results from 2-D, 2.5-D and 3-D FWI along the seismic line 420 (OBS line 2) in both depth and time domains (Figs 2, 8 and 9). In our comparison, we have also included the 2-D FWI result from Davy et al. (2018), which was derived along the same line using the wide-angle data from the OBSs, but from a different starting model (from Davy et al. 2016). Our 2-D result is derived using just two shot profiles closest to the instruments along line 2 and the starting velocity model was obtained from the 3-D traveltime model by extracting a profile closest to the shots and instruments along line 2 (Bayrakci et al. 2016). In order to highlight the differences between 2-D, 2.5-D and 3-D imaging, we overlaid the time-converted velocity profiles on a time-migrated seismic section obtained from 3-D seismic volume (Fig. 9; Lymer et al. 2019). The interpretations used in our comparison were developed through the entire 3-D reflection seismic volume and are independent of our FWI velocity models (Lymer et al. 2019). In the text that follows, we use the following abbreviations: 2DA corresponds to 2-D FWI result of Davy et al. (2018), 2DB corresponds to our 2-D FWI result with shots and receivers projected onto an imaging line, 2DC corresponds to the 2-D FWI result with shots and receivers at their actual locations, 3DA corresponds to the 2.5-D inversion using data from instruments along line 2 and 3DB correspond to full 3-D results.

#### 5.1 2-D FWI

#### 5.1.1 2DA versus 2DB

The inversion strategy of the 2DA FWI result differs from that of the 2DB FWI result in the length of the average unmuted time window applied to the input seismic data, the maximum offset of data used for the inversion and the smoothing parameters applied to the models during the inversions. These differences are likely to be the primary reason for the differences observed in the two sections, especially the short wavelength structures (Figs 8a–b and 9a–b). In



Figure 6. Phase plots residuals for starting model, model after 1st iteration and final models at 3 and 4.5 Hz. The phases show gradual change towards zero phase difference except at two locations highlighted in dashed red boxes at 4.5 Hz. A few lines have been excluded from the inversion because of their poor fit or poor quality, resulting in gaps in the refracted arrivals in the above plots.



Figure 7. Observed and synthetic data along the same two profiles from OBS 46 as shown in Fig. 4. (a) and (b) Left-hand profiles are alternating sets of 10 traces from observed data (highlighted) and synthetics from the starting model. (c) and (d) Right-hand plots show the observed data (highlighted) and synthetics from the final model.



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**Figure 8.** Velocity profiles along line 2: Profile a is the result from 2-D FWI – 2DA (Davy *et al.* 2018), profile b is the 2-D FWI from the 3-D starting model – 2DB, profile c is the 3-D FWI using only the instruments along line 2–3DA, profile d is the result from the full 3-D FWI using instruments coloured in green and pink in figure 2, and profile e is the starting model from 3-D traveltime tomography (Bayrakci *et al.* 2016). Comparing the 2-D FWI and 3-D FWI in depth domain. Interpretations from multichannel seismic images (Lymer *et al.* 2019) are converted from time to depth using respective velocity models and overlaid on the sections along with the OBS locations in yellow circles. Dashed blue line marks top of the syn-rift sediments, dashed red line is the top of the crystalline crust and dashed black line is the S-reflector. Velocity contours for 3.5, 5.25, 6.5 and 7.5 km s<sup>-1</sup> are marked in solid black lines. The red arrow indicates imaging artefacts. The black solid box in 2DA around peridotite ridge highlights a good match between the multichannel interpretation (dashed blue) and the velocity contour for 3.5 km s<sup>-1</sup> compared to the other models.

addition, the starting models used for 2DA and 2DB are derived from different studies (Figs 8a, b, 9a, and b; Bayrakci *et al.* 2016; Davy *et al.* 2016). In 2DA, the starting model was derived from 2-D traveltime tomography, in which the thickness of the sediment column is also constrained using the top basement depths from the multichannel images. Such a modelling approach resulted in improved fit of the top of the basement with the multichannel images, which is evident at the Peridotite Ridge compared to the result from 2DB (Fig. 8). In both the results, the long wavelength structure fits the interpreted top of the pre- and syn-rift sediments and crystalline crust well, indicating that different starting models generated a similar background model. However, the finer details within the fault blocks and the undulations at the S-reflector differ between the images.



Figure 9. Comparison between 2-D and 3-D FWI results and multichannel time sections, which are overlaid with interpretations from Lymer *et al.* (2019). Profiles and velocity contours correspond to their respective profile and contour labels in Fig. 8. Fault numbers (F-series) are marked on the FWI result (d). Red arrow marks vertical artefacts.

## 5.1.2 2DB versus 2DC

Traditionally in 2-D imaging the shots and receivers locations are projected onto an imaging line, which results in errors in wave propagation. However, if the projections are within an acceptable distance from their actual locations to the closest imaging line passing through the shots and receivers, the resulting errors would be insignificant. Performing synthetic tests with the projected locations can validate these approximations, in which anomalies introduced artificially into the velocity model are recovered using synthetic data. Such a synthetic test was performed for the 2DB case, and the introduced anomalies were recovered during the inversion using the same starting model used for 2DB inversion (Figs 14a and b; Bayrakci *et al.* 2016). The result from this test is discussed in detail in the Section 6. Although the synthetic test validated the approximations considered in the 2DB case, we performed another

2-D inversion (2DC) using the actual shot and receiver locations to highlight the differences in 2-D imaging due to the projected locations. In order to consider their actual locations, we performed the 2DC inversion using a narrow 3-D velocity grid of width 2 km in the crossline direction encompassing the shots and receivers along the line 2 (Fig. 2). The other dimensions of the velocity grid were the same as the 2DB case and the starting model was extracted from the 3-D traveltime tomography (Bayrakci *et al.* 2016). Also, the number of shots and receivers used in 2DC were the same as the 2DB case. The models 2DB and 2DC compare well up to a depth of 8 km and show large differences in the deeper regions of the model (Fig. 10). At the S-reflector, 2DB shows velocities greater than 6.5 km s<sup>-1</sup>, whereas the velocities in 2DC are less than 6.5 km s<sup>-1</sup> (Fig. 10). In principle, these two inversion runs should generate similar images, considering that the errors from shots and receiver locations are



Figure 10. 2-D FWI inversion results (a) 2DB obtained by projecting shots and receivers onto the imaging line, (b) 2DC performed using a narrow 3-D grid encompassing the shots and receivers at their actual locations and (c) difference between 2DB and 2DC indicates that the differences are larger below 8 km depth.

insignificant. However, predominantly large differences below 8 km depth suggest that the 2-D imaging has resulted in a non-unique model of the deeper sections (Fig. 10). It is arguable that the 2DC inversion results in more accurate 3-D amplitudes than the 2DB inversion, therefore giving rise to the difference in the images. Seismic data generated using 3-D and 2-D codes produce synthetic data with a factor of  $\sqrt{t}$  difference in amplitudes (where *t* is traveltime). Since the 2DC inversion uses a narrow 3-D grid the amplitudes of the synthetic arrivals will better match the observed, and this may lead to differences in the 2DC and 2DB images. However, in the FWI code used here, the input data are normalized using a broad sliding window, and this has a net effect of minimizing the effect of amplitude differences due to a 2-D approximation, attenuation, elasticity, etc. (Warner *et al.* 2013).

Although 2-D FWI results show a reasonable match with the multichannel seismic image (Figs 8a, b, 9a and b), differences in the short-wavelength structures are notable. Compared to the 3-D starting model, all the 2-D results show an improved fit to the multichannel images especially along the top basement blocks (Fig. 9). Our preferred 2-D FWI model for this study is 2DB because it has been developed following the conventional 2-D procedure by projecting shots and receivers unto the imaging line. Also, the parameters used in developing 2DB are the same as the 3-D inversions making it more suitable for comparison.

## 5.1.3 3-D FWI

Both 3-D velocity models have resolved the structures that are geologically relevant, but there are differences between them (Fig. 8). To highlight the differences between 3-D models, we carried out a detailed comparison of the images based on the match between the velocity models and multichannel seismic images, focusing on the pre- and syn-rift sediments, the basement blocks and the S-reflector. We also include the 2-D FWI models in the following discussion.

#### 5.1.4 Pre- and syn-rift sediments

Pre- and syn-rift sediments are interpreted by Lymer et al. (2019) between the boundaries of the base of the post-rift sediments (dashed blue line) and top of the crystalline crust (dashed red line) (Figs 8 and 9). All of the 2-D and 3-D FWI results resolve the long wavelength structure in this zone well, as indicated by their match with the multichannel interpretations. The velocities of pre- and syn-rift sedimentary packages range from  $\sim$ 3.5 to  $\sim$ 5.25 km s<sup>-1</sup> overlying the crystalline crust along this profile. The 3.5 km s<sup>-1</sup> velocity contour tends to oscillate in the FWI results compared to the starting model, and the pattern of the oscillations is inconsistent between different 2-D and 3-D results beyond 25 km (Figs 8 and 9). This oscillatory pattern is likely to be the result of complex faulting within the pre- and syn-rift packages which has been previously interpreted in multichannel seismic images from the Deep Galicia margin (Lymer et al. 2019; Ranero & Pérez-Gussinyé 2010; Reston 2005). Such a fine-scale faulting pattern is beyond the resolution limits of our inversions, primarily because of the absence of high-frequency content in our data set. However, the oscillations are smaller in the 3DB result than in the 3DA and all 2-D results. Although the contour shows short-wavelength perturbations (oscillations) within the pre- and syn-rift sediments, it shows an improved alignment with the faults compared to the starting model, especially along faults F5, F4 and F3 (Fig. 9).

Our 3DA model shows artefacts within the sedimentary column, which are vertical in nature and lie beneath the OBS positions (Figs 8 and 9). These artefacts are mainly observed in the western region of the model between the Peridotite Ridge and the crustal blocks. They arise from the inclusion of shot profiles away from the OBS locations. Arrivals from the far-offset profiles travel through deeper parts of the model that are not well constrained in the 3DA inversion, because in 3DA there are no other OBSs located between the far-offset shot profiles and the OBS location to constrain deeper parts of the model. Further, the fact that these artefacts are not observed in the 2DB and 3DB models supports the above explanation. Another contributing factor for these artefacts may be the high uncertainty of the starting model in the western part (Bayrakci *et al.* 2016).

#### 5.1.5 Crystalline crust

For all the FWI models, the crystalline crustal section shows a significantly better match than the starting model to the multichannel seismic image (Fig. 9). The velocity range for crystalline basement inferred from our results is ~5.25 to ~6.5 km s<sup>-1</sup>. All of the 2-D models show circular velocity contours in some locations within the crust, which are geologically unrealistic. Such structures can be a result of a poorly constrained inverse problem or from the assumed approximation of the wave equation as acoustic, isotropic and non-attenuating. However, these circular features would also be observed in 3-D imaging if the assumed approximations of the wave equation do not apply to the real Earth model in the study region. A good match of the 3DB velocities within the crystalline crust with multichannel images supports the 3-D nature of crustal fault-blocks.

#### 5.1.6 S-reflector and serpentinization

Previously, the 3-D traveltime model has shown that velocity 6.5 km s<sup>-1</sup> contour follows well the S-reflector (Bayrakci *et al.* 2016). However, FWI results have introduced undulations in the 6.5 km s<sup>-1</sup> contour in both 2-D and 3-D. 2DA and 2DB show different velocity patterns at S that are highlighted by the 6.5 km s<sup>-1</sup> contour. The reason for this difference may be the different inversion strategies for the two cases, particularly the choice of the window lengths, smoothing parameters and offsets for the input data. Although the 2DA and 2DB models predict higher velocities than 6.5 km s<sup>-1</sup> at S compared to the starting model, it is observed that 2-D imaging has generated non-unique models at S in the section 5.1. To explore the velocities below the S-reflector, we plotted average velocity values below the S-reflector over a window of 100 ms along line 2 for all the models using the interpretations from the multichannel seismic image (Fig. 11). It can be seen clearly that the 2-D results show poor correlation with each other except at  $\sim$ 43 km where both models show unrealistically high velocities (Fig. 11). However, the pattern of 2DA shows a match with the 3DB result in certain locations and the 2DB result shows a poor match with 3DB at all locations along the profile. None of the 2-D results correlates well with the 3DA and 3DB results (Fig. 11). The correlation between 3DA and 3DB is poor until  $\sim$ 27 km, beyond which the curves tend to follow each other. This mismatch between 3DA and 3DB in the western parts of the models may be due to inclusion of very limited data from OBSs 38 and 40. Moreover, the data from OBSs 38 and 40 were limited to  $\sim 11$  km offset because our starting model was not able to predict the data sufficiently well beyond these offsets for these OBSs. Only

10 shot profiles closest to their locations were included from these OBSs in 3DA and 3DB inversion runs.

Overlaying the 3DB velocity model over a time slice through the 3-D seismic volume at 100 ms below an average depth of the S-reflector shows a good match between the velocity model and the time slice, suggesting that the model is well-resolved at these depths. The good match also suggests that, at the resolution of our models, the S-reflector is a velocity boundary (Fig. 12). These observations suggest that 2-D imaging has failed to generate a realistic model in the deeper parts. Furthermore, the range of velocities resolved by 3DB below the S-reflector indicates that 3DB has constrained the problem well with 8 km s<sup>-1</sup> corresponding to unaltered peridotites with 0 per cent serpentinization (Carlson & Miller 2003). Velocities higher than 8.3 km s<sup>-1</sup> are unrealistic at the S-reflector and lower velocities correspond to some degree of serpentinization of the mantle (Bayrakci et al. 2016; Davy et al. 2018). In 3DB, the region below the S-reflector has been well resolved because of the data from the instruments located in the other OBS lines of the survey which were not included in the 2-D and 2.5-D inversion runs. Our 3-D modelling has clearly enhanced resolution of the pattern of serpentinization below the S-reflector compared to the starting model which predicted velocities mostly close to 6.5 km s<sup>-1</sup>. Below the S-reflector the mantle peridotites have undergone serpentinization, with an inferred maximum serpentinization of 45 per cent occurring around the fault intersections based on the relationship of Carlson & Miller (2003).

Based on 2DA, Davy *et al.* (2018) supported the concept of preferential serpentinization of the upper mantle rocks close to faults. The result from 3DB along the same profile shows a better correlation between the fault intersections with the S-reflector and occurrence of lower velocities compared to the other models along this line.

#### 5.2 Data fit

Another interesting aspect to compare between 2-D and 3-D FWI is the fit between the observed and predicted data sets. Our forward modelling scheme does not account for medium properties like anelastic attenuation and anisotropy, nor elastic and density effects that affect wave propagation in the real earth. Therefore, our modelling does not completely predict the field data, especially the amplitudes of the waveforms. Although technically it is possible to invert for these effects during an inversion, such an approach can make the inversion an ill-posed problem with many unknowns, particularly in case of a sparse OBS data set with limited data coverage.

Wave propagation in 3-D is more accurate than in 2-D. Therefore, it can be expected that 3-D waveforms fit the real data better than 2-D waveforms. However, our data fitting results suggest that this expectation is not always met. We plotted data residuals for 2DB, 3DA and 3DB (2-D, 2.5-D and 3-D, respectively) using data only used for the 2DB inversion (Appendix C). We applied trace-bytrace normalization to the real and synthetic data before calculating the residuals. We calculated the data residuals for each model by subtracting the final model predicted data from the observed data and the RMS value of the difference was computed to quantify the fit in all three cases. For majority of the OBSs the fit between the predicted and observed data for the 2-D and 3-D cases is similar, and there are a few cases where 2-D fits the data better than 3-D (Fig. 13). OBSs 38, 40, 81 and 82 show significantly better fits in 2-D than in 3-D. To explain these observations, we explore the cases of instruments 45, 46 and 38 in detail.



Figure 11. Velocities below the S-reflector averaged over a window of 100 ms for each of the FWI results. The intersection of the faults with the S-reflector are indicated by vertical dashed lines.



Figure 12. Time slice extracted at 9.1 s two-way traveltime (a) time slice from the multichannel volume and (b) 3DB FWI model overlaid on the time slice. Black arrows point to the boundary between the crust and upper mantle. North is shown by orange arrow. Line 2 is shown in orange.

For OBS 45, the 2-D inversion provides the best fit, while for OBS 46, the 2.5-D inversion provides the best fit (Fig. 14). The differences are small, making it difficult to establish clearly which inversion is better fitting the data. We performed 30 iterations at each frequency in all the inversions and increasing the number of iterations may have further narrowed the differences without significant improvements in the velocity models. However, for OBS 38, the differences between 2-D, 2.5-D and 3-D cases are significant compared to other OBSs (Fig. 14). OBS 38 has high uncertainty in its location due to the need to correct large time shifts in the raw data, so only very limited data from this OBS, that our starting model was able to predict, were used in the inversion. The significantly smaller RMS value of 2-D residuals compared to 2.5-D and 3-D residuals

may be due to overfitting. It is possible that there is overfitting in all the three cases for all the OBSs. However, the degree of overfitting varies from OBS to OBS depending on various factors that affect the constraints on the inversion such as the dimensions of the problem, data sparsity, OBS location uncertainties and unaccounted wave propagation effects. We suspect higher degrees of overfitting of data in the 2-D case in OBSs 38, 40, 81 and 82 which show larger differences in the RMS values of the residuals (Fig. 13). It is also possible that the circular features observed in the 2-D imaging partially resulted from overfitting. The difference between 2.5-D and 3-D RMS values is due to the inclusion of the data from the OBSs along lines 1 and 3 resulting in lesser constraints on the 2.5-D inversion compared to the 3-D inversion (Fig. 2).



Figure 13. RMS percent of the residuals for 2-D, 2.5-D and 3-D (2DB, 3DA and 3DB) for each OBS along line 2 within oval shaped circles in black with OBS numbers on their top.

In our comparison of data residuals, it is important to note is that we have suppressed the amplitude effects during the inversion by performing trace normalization of the data sets. Inverting for amplitude effects would have probably resulted in larger data residuals, fundamentally due to the different 2-D and 3-D forward modelling operators. Even with such subtle differences in the data residuals, an interesting observation is the occurrence of brighter residuals at the near offsets in the 3-D data residuals (Fig. 14). This is probably due to poor sampling of the subsurface by OBS data in the near offsets in general, perhaps sparse spacing between the OBS may have further reduce the constraints at the near offsets. However, the same near-offset arrivals in the 2-D data residuals are matched due to overfitting by the inversion (Fig. 14).

## **6 RECOVERING THE ANOMALIES**

The most common way to estimate the resolution of the final inverted model is through checkerboard tests (e.g. Morgan et al. 2016). In our case, we did not perform checkerboard tests because introducing even small anomalies in the form of checkers resulted in changing of the arrival times, particularly far-offset arrivals, beyond the range of our mute gates applied to the input data for the actual inversion. Instead, we added the anomalies introduced by the FWI into the starting model and recovered the anomalies by performing synthetic tests. To check whether our inversion strategies produced consistent results and to explore the size of the anomalies introduced by the FWI, and hence the resolution of the inversions, we performed synthetic inversion runs using the 2DB, 3DA and 3DB models. In these tests, we generated synthetic data using the final models and used the synthetic data as observed data in the inversion runs. The synthetic data were generated using the same forward modelling scheme as used in the actual inversion runs. The starting model was the same as used in the actual runs (Bayrakci et al 2016). The results from these inversions, when subtracted from the starting should match the anomalies recovered in the actual inversion runs 2DB, 3DA and 3DB (Fig. 14). All three tests successfully recovered most of the anomalies introduced by the FWI into the final models, indicating that the anomalies recovered in the actual inversion runs are real. However, the amplitudes of the recovered anomalies are smaller in magnitude than the actual anomalies. This discrepancy may be because of using trace-by-trace normalized misfit function in the inversion which in effect only inverts for phase information in the data. The RMS amplitudes of the differences between the

anomalies introduced by FWI and the anomalies recovered are 45, 68 and 83 m  $\rm s^{-1}$  for the 2DB, 3DA and 3DB inversions, respectively.

Analysing the anomalies introduced by 2-D, 2.5-D and 3-D inversion, we observe that the shapes of anomalies introduced by 2DB differ from 3DA and 3DB. This difference is due many factors affecting the constraints on the inverse problem with major contribution coming from the difference in the amount of data. An interesting change in the anomalies from 2-D to 3-D is the extent of the blue anomaly below the S-reflector (Fig. 15). In 2DB, the blue anomaly below S is mainly observed between  $\sim$ 35 and 50 km, while in 3DA and 3DB the anomaly extends to  $\sim$ 27 and  $\sim$ 20 km, respectively, covering the full length of the S in 3DB (Fig. 15). This progressive extension of the anomaly demonstrates that 2DB failed to resolve the velocities below the S-reflector along its full length. The reason for the poor performance of 2DB below the S-reflector may be that fewer first arrivals from these regions are included in the inversion. This problem may be a more general one for performing FWI on sparsely recorded data sets. Previously, most 2-D FWI studies using OBS data sets have had advantage of close spacing of the OBS and long offset recording, enabling strong constraints on the deeper part (e.g. Dessa et al. 2004; Operto et al. 2006). Jian et al. (2017) demonstrated the application of 2-D elastic FWI to a sparely recorded OBS data set to image an axial magma chamberlike structure below the Southwest Indian Ridge. In that study, data from only three OBSs were used and the spacing between the OBSs were 8 and 16 km, which is greater than in our study. However, in spite of such sparse spacing, FWI successfully converged using data from offsets up to  $\sim$ 50 km. Combining the experience from this and previous studies of FWI implementation using OBS data, it can be inferred that OBS spacing is an important factor for successful application of FWI, but other factors are also important, such as shot spacing, the maximum offset with usable data and the target depth of investigation. In our case, the 3-D data set has partly mitigated the problem of data sparsity, which is evident from the differences between the 2-D and 3-D FWI results.

## 7 CONCLUSIONS

We have successfully performed 3-D FWI in the time domain using wide-angle data recorded using sparsely spaced OBSs on the Deep Galicia margin. We compared our results with a 2-D FWI result derived using a subset of the data set through the 3-D model. Our comparison revealed:



Figure 14. Data residual plots of the normalized observed and synthetic data sets for the 2DB, 3DA and 3DB models for OBS 45 (a), 46 (b) and 38 (c) along the closest shooting profile to the instruments. Each panel shows the OBS number, number of dimensions of the inversion, and RMS value of the residuals. The white arrows indicate the nearer offsets arrivals.

1. Both 2-D and 3-D FWI applications show improved alignment of the structures with coincident multichannel seismic reflection images, compared to the traveltime model.

2. Within the pre- and syn-rift sediments, the 2-D model shows an oscillatory behaviour, which is reduced in the 3-D model indicating 3-D nature of the fine-scale faulting.

3. In the crystalline crust, 2-D imaging fails to fully recover the alignment of structures due to the complex 3-D nature of the faults observed on the multichannel reflection images.

4. Poor constraints on the inverse problem due to sparse data and/or our assumed approximation of the wave equation results in circular velocity contours which are geologically

unrealistic. 2-D FWI images contained more such features than 3-D images, indicating that they arise mainly from the data sparseness.

5. The 3-D FWI model has enhanced the resolution of the pattern of serpentinization below the S-reflector compared to the starting model. The occurrence of lower velocities below the S-reflector correlates with overlying fault intersections at the S-reflector.

6. Data residual plots suggest that 2-D inversion can be prone to overfitting in the case of a sparse OBS data set, while 2.5-D and 3-D inversions are better constrained with more data to avoid overfitting. Overfitting may vary from OBS to OBS depending on factors like location uncertainty and poor coverage by the data.



**Figure 15.** (a) Difference plot of the 2DB model and the starting model. (b) Difference between the recovered anomaly model and the starting model. (c) Difference plot of the 3DA model and the starting model. (d) Difference between the recovered 3DA anomaly model and the starting model. (e) Difference plot of the 3DB model and the starting model. (f) Difference between the recovered 3DB anomaly model and the starting model. (f) Difference between the recovered 3DB anomaly model and the starting model. The black arrows indicate a blue anomaly that progressively extends below the S from 2-D to 3-D. The interpretations are same as in Fig. 8 for respective profiles.

7. Anomaly recovery tests recovered the anomalies introduced by the FWI in both 2-D and 3-D. The progressive extension of an anomaly below the S from 2-D to 3-D results highlights that the 2-D inverse problem is poorly constrained in the deeper parts of the model.

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## DATA AVAILABILITY

The data underlying this paper are being uploaded to https://www.pangaea.de. Prior to availability there, they will be shared on a reasonable request to the corresponding author.

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#### APPENDIX A

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Table A1. Number of shot traces used in the 3D FWI from each OBS.

56 $3917$ $57$ $6445$ $59$ $6114$ $61$ $8193$ $62$ $8899$ $63$ $3961$ $64$ $9835$ $66$ $6921$ $67$ $5751$ $68$ $4899$ $70$ $12693$ $71$ $6651$ $37$ $6930$ $38$ $375$ $40$ $247$ $41$ $6120$ $45$ $11618$ $46$ $12381$ $47$ $12659$ $48$ $7797$ $51$ $8818$ $52$ $6924$ $53$ $5675$ $54$ $4032$ $19$ $4237$ $20$ $2745$ $21$ $10230$ $25$ $18881$ $26$ $16603$ $37$ $4823$ $29$ $15640$ $34$ $8566$ $35$ $14345$ $797$ $8566$ $35$ $14345$ $38$ $8566$ $35$ $14345$ $34$ $298$	OBS number	Number of shots
57     6445       59     6314       61     8193       62     8899       63     3961       64     9835       66     6921       67     5751       68     4899       70     12 693       71     6651       37     6930       38     375       40     247       41     6129       43     6129       45     11 618       46     12 381       47     12 659       48     7797       51     8818       52     6924       53     6755       48     12 381       52     6924       53     6755       48     12 302       19     4237       20     2745       21     10 230       25     15 640       30     18 379       31     6461       34     8566       35     14 345       797     14 345       35     14 345       36     265       81     288	56	3917
59       6314         61       8193         62       3861         63       3961         64       9835         66       6921         67       5751         68       4899         70       12 693         71       6651         37       6930         38       375         40       247         41       6129         45       11 618         46       12 381         47       12 659         48       7797         51       8818         52       6675         54       4032         19       4237         20       2745         21       10 230         25       15 640         30       15 640         31       6461         34       8566         35       14 345         54       8566         35       14 345         361       298	57	6445
61     8193       62     8899       63     3961       64     9835       66     6921       67     5751       68     4899       70     12 693       71     6651       37     6930       38     375       40     247       41     6120       45     11 618       46     12 381       47     12 659       48     7797       51     8818       52     6924       53     5675       54     4032       19     4237       20     2745       21     10 230       25     16 603       27     4823       29     15 640       31     6461       34     8566       35     14 345       79     15 440       34     8566       35     14 345       79     265       81     298	59	6314
62     8899       63     3961       64     6921       67     5751       68     4899       70     12 693       71     6651       37     6930       38     375       40     247       41     6120       43     6129       45     11 618       46     12 659       48     7797       51     8818       52     6924       53     5675       54     4032       19     4237       20     2745       21     10 230       25     6603       26     15 640       37     4823       29     15 640       31     6461       34     8566       35     14 345       34     265       81     28	61	8193
63     3961       64     9835       66     6921       67     5751       68     4899       70     12 693       71     6651       37     6631       37     6631       37     6120       43     6120       43     6129       45     11 618       46     12 381       47     12 659       48     12 381       47     81818       52     6924       53     5675       54     4032       19     4237       20     2745       21     10 230       25     18 881       26     18 881       27     4823       29     15 640       30     18 379       31     6461       34     8566       35     14 345       79     14 345       79     31       34     8566       35     14 345       79     265	62	8899
64     9835       66     6921       67     5751       68     4899       70     12 693       71     6651       37     6930       38     375       40     247       41     6120       43     6120       44     12 381       47     11 618       46     12 381       47     12 659       48     7797       51     8818       52     6924       53     5675       54     4032       20     2745       21     10 230       25     18 881       26     18 881       27     4823       29     15 640       30     18 379       31     6461       34     8566       35     14 345       79     265	63	3961
66       6921         67       5751         68       4899         70       12 693         71       6651         37       6930         38       375         40       247         41       6120         43       6129         45       11 618         46       12 811         47       12 659         48       7797         51       8818         52       6924         53       5675         54       4032         19       4237         20       2745         21       10 230         25       18 881         26       16 603         27       4823         29       15 640         30       18 379         31       6461         34       8566         35       14 345         79       265         81       298	64	9835
67       5751         68       4899         70       12 693         71       6651         37       6930         38       375         40       247         41       6120         43       6129         45       11 618         46       12 381         47       12 659         48       7797         51       8818         52       6924         53       5675         54       4032         19       4237         20       2745         21       10 230         25       18 881         26       15 640         30       15 640         31       6461         34       8566         35       14 345         79       265         81       298	66	6921
68       4899         70       12 693         71       6651         37       6930         38       375         40       247         41       6120         43       6129         45       11 618         46       12 381         47       12 659         48       7797         51       8818         52       6924         53       5675         54       4032         19       2745         21       10 230         25       18 881         26       16 603         27       4823         29       15 640         30       18 379         31       6461         34       8566         35       14 345         79       265         81       298	67	5751
70 $12 693$ $71$ $6651$ $37$ $6930$ $38$ $375$ $40$ $247$ $41$ $6120$ $43$ $6129$ $45$ $11 618$ $46$ $12 381$ $47$ $12 659$ $48$ $7797$ $51$ $8818$ $52$ $6924$ $53$ $5675$ $54$ $4032$ $19$ $4237$ $20$ $2745$ $21$ $10 230$ $25$ $18 881$ $26$ $16 603$ $30$ $18 379$ $31$ $6461$ $34$ $8566$ $35$ $14 345$ $79$ $265$ $81$ $298$	68	4899
71 $6651$ $37$ $6930$ $38$ $375$ $40$ $247$ $41$ $6129$ $43$ $6129$ $45$ $11 618$ $46$ $12 381$ $47$ $12 659$ $48$ $7797$ $51$ $8818$ $52$ $6924$ $53$ $5675$ $54$ $4032$ $19$ $4237$ $20$ $2745$ $21$ $10 230$ $25$ $18 881$ $26$ $15 640$ $30$ $15 640$ $30$ $18 379$ $31$ $6461$ $34$ $8566$ $35$ $14 345$ $79$ $265$ $81$ $298$	70	12 693
37 $6930$ $38$ $375$ $40$ $247$ $41$ $6120$ $43$ $6129$ $45$ $11 618$ $46$ $12 381$ $47$ $12 659$ $48$ $7797$ $51$ $8818$ $52$ $6924$ $53$ $5675$ $54$ $4032$ $19$ $4237$ $20$ $2745$ $21$ $10 230$ $25$ $18 881$ $26$ $15 640$ $30$ $15 640$ $30$ $18 379$ $31$ $6461$ $34$ $8566$ $35$ $14 345$ $79$ $265$ $81$ $288$	71	6651
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	37	6930
40 $247$ $41$ $6120$ $43$ $6129$ $45$ $11 618$ $46$ $12 381$ $47$ $12 659$ $48$ $7797$ $51$ $8818$ $52$ $6924$ $53$ $5675$ $54$ $4032$ $19$ $4237$ $20$ $2745$ $21$ $10 230$ $25$ $16 603$ $27$ $4823$ $29$ $15 640$ $30$ $18 379$ $31$ $6461$ $34$ $8566$ $35$ $14 345$ $79$ $265$ $81$ $298$	38	375
$\begin{array}{ccccc} 41 & & & & & & & & & & & & & & & & & & $	40	247
43 $6129$ $45$ 11 618 $46$ 12 381 $47$ 12 659 $48$ 7797 $51$ $818$ $52$ $6924$ $53$ $5675$ $54$ $4032$ $19$ $4237$ $20$ $2745$ $21$ $10 230$ $25$ $18 881$ $26$ $16 603$ $27$ $4823$ $29$ $15 640$ $30$ $18 379$ $31$ $6461$ $34$ $8566$ $55$ $14 345$ $79$ $265$ $81$ $298$	41	6120
45 $11 618$ $46$ $12 381$ $47$ $12 659$ $48$ $7797$ $51$ $8818$ $52$ $6924$ $53$ $5675$ $54$ $4032$ $19$ $4237$ $20$ $2745$ $21$ $10 230$ $25$ $18 881$ $26$ $16 603$ $27$ $4823$ $29$ $15 640$ $30$ $18 379$ $31$ $6461$ $34$ $8566$ $35$ $14 345$ $79$ $265$ $81$ $298$	43	6129
12 $12$ $12$ $131$ $47$ $12$ $659$ $48$ $7797$ $51$ $8818$ $52$ $6924$ $53$ $5675$ $54$ $4032$ $19$ $4237$ $20$ $2745$ $21$ $10$ $230$ $2745$ $21$ $10$ $230$ $15$ $25$ $18$ $26$ $16$ $30$ $18$ $37$ $4823$ $29$ $15$ $31$ $6461$ $34$ $8566$ $35$ $14$ $34$ $8566$ $35$ $14$ $34$ $8566$ $35$ $14$ $34$ $8566$ $35$ $14$ $34$ $8566$ $35$ $14$ $34$ $8566$ $35$ $14$ $34$ $8566$ $35$ $298$	45	11 618
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	46	12.381
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$\begin{array}{cccccccccccccccccccccccccccccccccccc$	51	8818
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54     4032       19     4237       20     2745       21     10 230       25     18 881       26     16 603       27     4823       29     15 640       30     18 379       31     6461       34     8566       35     14 345       79     265       81     298	53	5675
19     4237       20     2745       21     10 230       25     18 881       26     16 603       27     4823       29     15 640       30     18 379       31     6461       34     8566       35     14 345       79     265       81     298	54	4032
20       2745         21       10 230         25       18 881         26       16 603         27       4823         29       15 640         30       18 379         31       6461         34       8566         35       14 345         79       265         81       298	19	4237
21       10 230         25       18 881         26       16 603         27       4823         29       15 640         30       18 379         31       6461         34       8566         35       14 345         79       265         81       298	20	2745
25       18 881         26       16 603         27       4823         29       15 640         30       18 379         31       6461         34       8566         35       14 345         79       265         81       298	21	10.230
26       16 603         27       4823         29       15 640         30       18 379         31       6461         34       8566         35       14 345         79       265         81       298	25	18 881
27       4823         29       15 640         30       18 379         31       6461         34       8566         35       14 345         79       265         81       298	26	16 603
29     15 640       30     18 379       31     6461       34     8566       35     14 345       79     265       81     298	27	4823
30       18 379         31       6461         34       8566         35       14 345         79       265         81       298	29	15 640
31     6461       34     8566       35     14 345       79     265       81     298	30	18 379
34     8566       35     14 345       79     265       81     298	31	6461
35     14 345       79     265       81     298	34	8566
79     265       81     298	35	14 345
81 298	79	265
290	81	205
82 285	82	290
20J 26 20J	86	203

# APPENDIX B

Observed and predicted data using the final 3-D model (3DB) plotted in sets of 10 traces from all OBSs, alternating to show the match between the arrivals along the closest profile to the OBSs. The data shown are bandpass filtered (observed data) and trace-by-trace normalized.















# APPENDIX C

Data residual plots of the normalized observed and synthetic data sets for the 2-D, 2.5-D and 3-D models for OBSs of line 2 along the closest shooting profile to the instruments. Each panel indicates the OBS number and the RMS value of the residuals.



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