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1 **Experimental comparison of continuous and intermittent flooding of rice in relation to**  
2 **methane, nitrous oxide and ammonia emissions and the implications for nitrogen use**  
3 **efficiency and yield**

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12 **Abstract**

13 Intermittent flooding (IF) of rice has been encouraged as an approach to reduce water use and  
14 methane emissions compared with continuous flooding (CF), but may involve trade-offs. This study  
15 compared the contrasting effect of IF and CF flooding regimes on emissions of methane (CH<sub>4</sub>),  
16 nitrous oxide (N<sub>2</sub>O) and ammonia (NH<sub>3</sub>), nitrogen use efficiency (NUE) and yield. A split plot design  
17 was used which assessed the effects of four different fertiliser types. The results suggest that  
18 converting from CF to IF irrigation does lower CH<sub>4</sub> emissions (by approximately 18%); however, this  
19 comes at a cost. IF irrigation resulted in a significant decrease in grain yield, regardless of fertiliser  
20 type (6.1% in this study) and also a significant decrease in NUE (a drop of 22.5 % when compared to  
21 CF). IF irrigation also resulted in a small, but statistically significant (t-test p <0.01) increase in N<sub>2</sub>O  
22 emissions. Difference in NH<sub>3</sub> emission between the flooding regimes was not statistically significant.  
23 Our study concludes that conversion from CF to IF irrigation methods may well reduce overall global

24 warming potential of greenhouse gas emissions from rice production; however, yield penalties and  
25 nitrogen pollution are likely to increase as a result. LCC based application of NCU may lower the yield  
26 scaled GHG emissions under CF irrigation and NH<sub>3</sub> loss in IF irrigation.

## 27 **Introduction**

28 Rice farming is one of the largest wide scale agricultural activities on Earth, with an estimated global  
29 production of approximately 782 million tonnes of rice harvested in the year 2018 (FAOSTAT, 2020).  
30 The population of India is largely dependent on rice as a staple crop and as a commercial export, and  
31 contributes to approximately 26.6% of the global annual production (FAOSTAT, 2020). A total of 44.5  
32 million hectares of land in India is used to grow rice (13.5 % of all land cover), with the vast majority  
33 converted to flooded or heavily irrigated paddies to increase productivity (Gupta et al., 2016a).  
34 Although highly productive, rice paddies also contribute largely to emissions of the powerful  
35 greenhouse gases methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O) (Akiyama et al., 2005; Denman et al., 2007;  
36 Bhatia et al., 2012a; Gupta et al., 2015). In addition, rice production contributes to air pollution in  
37 the form of ammonia (NH<sub>3</sub>) emissions, and water pollution in the form of pesticides, nitrate (NO<sub>3</sub>)  
38 and phosphorus compounds (Maraseni et al., 2009; He et al., 2018; Tayefeh et al., 2018; Wang et al.,  
39 2018).

40 CH<sub>4</sub> is of particular importance when considering the environmental footprint of rice paddies, which  
41 are estimated to emit 25 to 100 Tg CH<sub>4</sub> yr<sup>-1</sup>, accounting for approximately 10% of global  
42 anthropogenic emissions of CH<sub>4</sub> (Ciais et al., 2014, Denman et al., 2007; Ehalt et al., 2001). There is  
43 still a large degree of uncertainty over the true magnitude of CH<sub>4</sub> emissions at the global scale as  
44 regional weather differences and management practices can vary conditions that drive the biogenic  
45 processes of methanogens (methane emitting microorganisms) which favour warm anaerobic  
46 conditions such as those found in tropical wetlands. As intensively managed rice paddies regularly  
47 receive large quantities of nitrogen rich mineral and organic fertilisers, N<sub>2</sub>O emissions are also  
48 associated with rice production (Akiyama et al., 2005; Datta et al 2009; Qin et al., 2009; Bhatia et al.,

49 2013a). Based on IPCC Tier 1 emission factors, approximately 1% of the nitrogen applied to crops as  
50 fertiliser is expected to be lost in the form of N<sub>2</sub>O (IPCC, 2014). However, emissions of N<sub>2</sub>O are also  
51 dependant on fertiliser type, microbial populations and regional and management factors that affect  
52 the microbial processes of nitrification and denitrification (Bhatia et al., 2010; Butterbach-Bahl et al.,  
53 2013; Griffis et al., 2017; Cowan et al., 2020, Malyan et al., 2021a). Efficient management of N in  
54 cropped soils is the required for N<sub>2</sub>O mitigation and climate change adaptation (Pathak et al., 2016).

55 Reducing atmospheric concentrations of GHGs is required if we are to meet the Paris Agreement  
56 target of keeping global warming below 1.5 degrees globally (Nisbet et al., 2020). Due to the  
57 relatively short lifetime of CH<sub>4</sub> in the atmosphere (approximately 9 years), reductions in CH<sub>4</sub> could  
58 have a significant impact on short term trends in global warming (Dlugokencky et al., 2011; Collins et  
59 al., 2018). Reducing concentrations of N<sub>2</sub>O is important in the longer term, as it has an expected  
60 lifetime of approximately 116 years (Prather et al., 2015), thus mitigation efforts would take several  
61 decades to reduce concentrations effectively. Reducing the environmental impacts of rice  
62 production is an important step in mitigating emissions of GHGs at the global scale, and some  
63 success has been achieved in reducing GHG emissions by changing management practices. Altering  
64 fertiliser application methods (Yao et al., 2017; Bhatia et al., 2012b, Malyan et al., 2019, Kriti et al.,  
65 2020; Malyan et al., 2021b), improving straw management (Pathak et al., 2006), seeding/planting  
66 methods (Bhatia et al., 2013b, Jain et al 2014), and application of carbon storage methods (e.g. Allen  
67 et al., 2019; Liu et al., 2015), bio inoculants (Malyan et al., 2021b; Rani et al., 2021) can drastically  
68 reduce overall GHG budgets associated with rice paddy farming. However, mitigation efforts are also  
69 fraught with difficulty, and often result in instances of 'pollution swapping', in which one  
70 environmental aspect is improved at the cost of another (Dragosits et al., 2008; Weller et al., 2014).

71 As CH<sub>4</sub> emissions from rice paddies are largely the result of anaerobic conditions in the soil due to  
72 regular flooding, one approach to reduce emissions has been to limit the time in which the paddies  
73 remain flooded (i.e. intermittent versus continuous flooding). This approach has seen CH<sub>4</sub> emissions  
74 reduced significantly, but at the cost of increasing N<sub>2</sub>O emissions as the anaerobic conditions that

75 result in CH<sub>4</sub> emissions also prevent the process of nitrification which produces N<sub>2</sub>O (Akiyama et al.,  
76 2005; Abao et al., 2000; Cai et al., 1997; Weller et al., 2014). Under IF, a total water saving of 47.5-  
77 49.3% was observed by Oo et al. (2018) as compared to CF with no significant impact on rice yield.  
78 Pathak et al. (2003) reported that CH<sub>4</sub> emission from rice reduced by 25.4% on changing the  
79 irrigation from saturated (or CF) to IF; however, N<sub>2</sub>O increased by 16.7%. Hou et al. (2005) also  
80 observed a 24.2% reduction in seasonal CH<sub>4</sub> emissions under IF compared to CF, with a simultaneous  
81 increase of 23.7% in N<sub>2</sub>O emissions. During the rice growth period when the paddy field was  
82 submerged, N<sub>2</sub>O emissions were low in CF, while in IF, due to frequent alteration between dry and  
83 wet soil conditions, N<sub>2</sub>O emissions increased (Zhang et al., 2018). Gupta et al. (2016b) reported that  
84 the GWP fell by 11.4% when irrigation management was changed from CF to IF, with a slight decline  
85 in rice yield, however, the overall greenhouse gas budget was significantly reduced.

86 One common form of pollution swapping observed in other crop systems is that of reducing N<sub>2</sub>O  
87 emissions at the cost of releasing ammonia (NH<sub>3</sub>) into the atmosphere (e.g. Lam et al., 2016). Unlike  
88 emissions of CH<sub>4</sub> and N<sub>2</sub>O, volatilisation of NH<sub>3</sub> is largely physiochemical driven, and largely  
89 dependent on temperature and humidity. Elevated NH<sub>3</sub> concentrations in the atmosphere, as a  
90 result of emissions after wide scale nitrogen fertiliser application can result in increased particulate  
91 matter (PM) in cities, especially when elevated NH<sub>3</sub> comes in contact with high nitrate and sulphate  
92 concentrations, typically emitted from traffic and burning materials in large megacities cities in Asia  
93 (Saraswati et al., 2019; Wang et al., 2015). The resulting formation of PM<sub>2.5</sub> and PM<sub>10</sub> aerosols can  
94 result in serious impacts on human health such as cardiovascular and respiratory problems (Bittman  
95 et al., 2013). Pollution swapping that results in an increase in NH<sub>3</sub> emissions is possible when  
96 microbial inhibitors such as nitrification inhibitors are used with urea fertiliser (Lam et al., 2016:  
97 Zaman et al., 2009). These inhibitors typically work by reducing the rate at which microbes can  
98 consume reactive nitrogen in soils, thus allowing increased uptake by crops. However, by increasing  
99 the duration in which the nitrogen is present in the soil, the likelihood that ammonium compounds  
100 will volatilise into NH<sub>3</sub> into the atmosphere increases. Although microbial inhibitors widely used in

101 India, such as neem oil, have been shown to potentially reduce N<sub>2</sub>O emissions in rice paddies (e.g.  
102 Majumdar et al., 2000, Malla et al., 2005, Gupta et al., 2016b), the impacts of these inhibitors on NH<sub>3</sub>  
103 emissions is still largely unknown, as studies have been limited by methodology available. Where  
104 NH<sub>3</sub> emissions are quantified from rice paddies, it has been estimated that up to 44% of nitrogen  
105 applied can be lost in the form of NH<sub>3</sub> volatilisation (e.g. Fillery and Datta, 1986). However, the  
106 commonly used NH<sub>3</sub> chamber flux methodology is associated with high measurement uncertainties  
107 due to the “sticky” properties of NH<sub>3</sub> gas and the likely resultant underestimation of NH<sub>3</sub> emissions.

108         Although CH<sub>4</sub> emissions have been widely studied in rice paddies across Asia, there is less  
109 regional information on the emissions of N<sub>2</sub>O and NH<sub>3</sub>, especially from studies where pollution  
110 mitigation efforts have been carried out (Móring et al., 2021). In this study, we aim to add to this  
111 knowledge by investigating emissions of CH<sub>4</sub>, N<sub>2</sub>O and NH<sub>3</sub> as a result of two rice paddy irrigation  
112 methods (continuous and intermittent flooding regimes), and four fertiliser types; prilled urea, neem  
113 coated urea, neem coated urea applied according to leaf colour index charts and a 50:50 farmyard  
114 manure and neem coated urea and bio fertiliser mix. We aim to establish the environmental costs  
115 and benefits of each of these management regimes, as well as the impact that each has on the  
116 overall yield of a rice crop in conditions typical to the trans Indo-Gangetic plains (IGP) region.

## 117 **Methods**

### 118 **Experimental site and soil**

119 A field experiment was conducted growing rice in kharif (rainy season) during 2016 in a silty clay  
120 loam (Typic Ustochrept) soil at the experimental farm of the Indian Agricultural Research Institute,  
121 New Delhi, India. The site is located in the Indo-Gangetic alluvial tract at 28°40' N and 77°12' E, at an  
122 altitude of 228 m above mean sea level. The climate of the region is subtropical and semi-arid.  
123 Approximately 80% of the annual rainfall (750 mm) typically occurs from July to September. The soils  
124 are classified as well drained, with the groundwater table at approximately 6.6 m and 10 m deep

125 during the rainy and dry seasons, respectively. The mean maximum and minimum temperatures  
126 from July to October are 35°C and 18°C, respectively. The alluvial soil of the experimental site had a  
127 bulk density of 1.38 g cm<sup>-3</sup>, pH (1:2 soil:water) of 8.01, organic carbon of 4.2 g kg<sup>-1</sup> and total N of 0.24  
128 g kg<sup>-1</sup>. Rainfall and temperature data were collected from the nearby meteorological laboratory,  
129 located 300 meters from the experimental site, using the tipping bucket method for rainfall  
130 measurements, and a shielded thermometer placed at 1.5 m to measure air temperature (Figure 1).

### 131 Crop management and treatments

132 Rice was grown using two irrigation regimes of continuous flooding (CF) and intermittent flooding  
133 (IF) irrigation in a split plot design with five separated nitrogen treatments (Table 1), each with three  
134 replicates. These irrigation methods aimed to mimic common practice in the region. Each replicated  
135 plot measured 6 m by 7 m. Rice (variety Pusa 44) was raised in a nearby nursery and 30 days old  
136 seedlings were transplanted in to the puddled fields on 12-13<sup>th</sup> July 2016 at a spacing of 20 x 15 cm.  
137 Irrigation events occurred throughout the growing period via the basin irrigation technique. Each  
138 irrigation event increased the depth of the water in the plots by approximately 0.5 to 2 cm, varying  
139 each time (Figure 1d). Twenty-five irrigations were applied for the continuous flooding treatment  
140 (CF), whereas fifteen irrigations were applied under the intermittent flooding (IF) treatment.  
141 Irrigation was applied when fine cracks developed on the soil surface in the IF treatment. The five  
142 fertiliser treatments shown in Table 1 were:

143 Control (CON) plots received no nitrogen. Neem coated urea (NCU) with 120 kg N ha<sup>-1</sup>  
144 applied (50% 15 days after transplanting, 25% at maximum-tillering, and 25% at flowering).  
145 Integrated treatment (FYM) with 120 kg N ha<sup>-1</sup> applied (50% of N in the form of farmyard manure  
146 applied ten days prior to rice transplanting, 50% of N as neem coated urea applied in three splits of  
147 50:25:25. The roots of the rice seedlings were dipped for two hours before they were transplanted in  
148 the biofertiliser culture comprising of mix of cyanobacterial strains—*Anabaena torulosa*, *Nostoc*

149 *carneum*, *Nostoc piscinale* and *Anabaena doliolum* which have a symbiotic association with *Azolla*.  
150 Leaf colour chart (LCC) based NCU application (30 kg N ha<sup>-1</sup> of neem coated urea was applied 15 days  
151 after transplanting and subsequently all application of neem coated urea were 30 kg N ha<sup>-1</sup> based on  
152 LCC readings of level 4 on a IRRI notified leaf colour chart. The LCC readings were taken at weekly  
153 intervals from 10 randomly selected topmost fully expanded leaves starting at 21 days after  
154 transplanting. When the LCC value of six out of ten leaves fell below the critical level, 30 kg N ha<sup>-1</sup> of  
155 urea was top dressed on the same day. Prilled urea (PRI) with 120 kgN ha<sup>-1</sup> was applied (50% 15days  
156 after transplanting, 25% at maximum-tillering, and 25% at flowering). A basal dose of 26 kg P ha<sup>-1</sup>, 50  
157 kg K ha<sup>-1</sup> and 10 kg Zn ha<sup>-1</sup> was applied to all the treatments.

#### 158 Plant sampling and estimation of yield

159 Vegetation samples were collected during harvest to estimate the biomass of crops. Rice yields were  
160 determined from one square meter of area in each plot in triplicate. The grains were separated from the  
161 straw, dried, and weighed. Grain moisture was determined immediately after weighing and sub-samples  
162 were dried in an oven at 65 °C for 48 hours. The dried grain and biomass samples were ground and used  
163 to estimate the total N content using the Kjeldahl method (Page et al. 1982). Plant nitrogen  
164 content was calculated separately for (i) grain and (ii) stem and leaf. Nitrogen use efficiency  
165 (NUE) was calculated after subtracting the equivalent nitrogen content of the control plots  
166 under the same irrigation method for (i) the total harvest of the entire plant (Harvest NUE) and  
167 (ii) the grain harvest (Grain NUE). Thus, NUE reported in this study represents the additional  
168 effects on yield that the fertiliser application has, above that measured in the control plots.

#### 169 Collection and analysis of greenhouse gas samples and fluxes

170 Collection of greenhouse gas samples was carried out using the static chamber technique (e.g.  
171 Bhatia et al. 2005). Transparent chambers of 50 cm x 30 cm x 100 cm (length x width x height) were  
172 made of 6 mm thick acrylic sheets. An aluminium soil base frame (channel) of 15 cm height and 5 cm



173 internal diameter placed in the field and was used with each chamber. The channels were inserted  
174 at 10 cm depth in the soil and stayed in situ for the duration of the experiment. They were filled with  
175 water to make the system airtight. The chambers were placed over the rice plants on the sampling  
176 days. A small rotary fan and a glass thermometer were also attached to each chamber. Gas samples  
177 were drawn through a silicone septum on top of the chamber with a 50 ml syringe attached to a  
178 hypodermic needle (24 gauge) at 0, 30, and 60 minutes for both CH<sub>4</sub> and N<sub>2</sub>O. Syringes were made  
179 air tight with a 3-way stopcock. Headspace volume inside the chambers was recorded to calculate  
180 concentrations of N<sub>2</sub>O-N and CH<sub>4</sub>-C. Concentrations of CH<sub>4</sub> in the gas samples was analysed using a  
181 gas chromatograph (GC) fitted with a flame ionization detector (FID)(GC 8A Series, Shimadzu) and  
182 N<sub>2</sub>O samples were analysed using a GC with electron capture detector (ECD)(Hewlett Packard 5890  
183 Series II) as per Pathak et al., (2002, 2003). Gas standards of 2 and 5ppm for CH<sub>4</sub> and 500 and  
184 1000ppb for N<sub>2</sub>O were used as calibration standards.

185 Fluxes of N<sub>2</sub>O and CH<sub>4</sub> were calculated as:

$$186 \quad F = \frac{dC}{dt} \cdot \frac{\rho V}{A} \quad (\text{Eq. 1})$$

187 where F is the gas flux from the soil (nmol m<sup>-2</sup> s<sup>-1</sup>), dC/dt is the rate of change in the concentration in  
188 time in nmol mol<sup>-1</sup> s<sup>-1</sup> estimated by linear regression,  $\rho$  is the density of air in mol m<sup>-3</sup>, V is the  
189 volume of the chamber in m<sup>3</sup> and A is the ground area enclosed by the chamber in m<sup>2</sup>.

190 A smoothing approach via a general additive model (GAM) was used to gap-fill flux data and  
191 estimate cumulative fluxes of N<sub>2</sub>O and CH<sub>4</sub> for the duration of the measurement period. This  
192 accounted for temporal patterns at a range of time scales and nonlinear responses to environmental  
193 variables, implemented using the mgcv package in the R software (Wood, 2006). The GAM was  
194 fitted to the flux data, using the same model terms for both the N<sub>2</sub>O and CH<sub>4</sub> data, but run  
195 separately. The terms included were temperature, water depth, and time since fertilisation. The

196 GAM allows for non-linearity by fitting a smooth response with cubic splines. The degree of  
197 smoothing is optimised by the algorithm, but was also adjusted subjectively, such that the model  
198 was not over-fitting to noise in the data. Uncertainty was quantified by simulating 2000 replicate  
199 time series from the GAM, given the uncertainty in the fitted parameters, to estimate the posterior  
200 distribution. The quantiles of this posterior distribution provided the 95% confidence interval at each  
201 predicted time step (Marra and Wood, 2012).

### 202 Estimation of ammonia volatilisation losses

203 Transparent static chambers measuring 18 cm x 18 cm x 30cm (length x width x height) were placed  
204 on soil base frames inserted in between the rows of rice plants in the plots for one hour (two  
205 replicates per treatment, separate bases than used for the GHG measurements). The volatilised  
206 ammonia gas inside the chamber was bubbled through 0.01N boric acid solution containing mixed  
207 indicator (methyl red and bromocresol green) using a vacuum pump with a flow rate of 3 l min<sup>-1</sup> for  
208 3.5 minutes. The volatilised ammoniacal N was determined by the titration of boric acid solution  
209 with 0.001 N sulphuric acid as per Bremner (1965). The mass of ammonia measured per unit area of  
210 soil enclosed was then estimated as:

$$211 \quad N = (S_{vol} \times 28.014) \div A \quad (\text{Eq. 2})$$

212 where N is NH<sub>3</sub>-N in mg m<sup>-2</sup>, S<sub>(vol)</sub> is amount of 0.001 N sulphuric acid consumed in (ml), the constant  
213 28.014 is the molecular weight of NH<sub>3</sub>-N (g mol<sup>-1</sup>) multiplied by 2 (two moles of NH<sub>3</sub> react with one  
214 mole of sulphuric acid) and A is the soil area covered by the chambers (m<sup>2</sup>).

215 In lack of an effective model by which to interpolate between measurement dates, we used a locally  
216 weighted smoothing (LOESS) function to estimate cumulative emissions of NH<sub>3</sub>. This was  
217 implemented by the geom\_smooth function in the R package "ggplot2" with a span of 0.25. The  
218 advantage of this method is that it was able to estimate an uncertainty to the fitted model. Due to

219 the sticky properties of NH<sub>3</sub>, the chamber method is limited in terms of calculating fluxes as NH<sub>3</sub> gas  
220 will also attach to the chamber walls during measurements, thus underestimating fluxes to some  
221 degree. However, the concentration measurements provided by the method does allow for a  
222 relative comparison of NH<sub>3</sub> emissions expected from the different treatments in this experiment,  
223 and remains a valid tool to carry out these comparisons under the circumstances of working in rice  
224 paddies with limited access to power if the above concerns are taken into account.

## 225 **Results**

### 226 Grain and Biomass Yield

227 The mean dry harvest yields of the full rice plant (grain, stem and leaf) collected from the plots  
228 varied between 1,515 and 1,869 g m<sup>-2</sup>, and the harvests from the different fertiliser and irrigation  
229 treatments yielded broadly similar values with no consistently outstanding treatment effect (Table  
230 2). This was also true for the mass of grain harvested for each of the treatment types (dry), with the  
231 exception of the CON plots which had considerably lower yield. Dry grain yield for the CON plots was  
232 327 and 307 g m<sup>-2</sup> for the CF and IF treated fields, respectively, while all other grain yields exceeded  
233 at least 440 g m<sup>-2</sup>. The grain yield was significantly higher in the CF plots than that harvested in the IF  
234 plots (t-test p = 0.01) with mean yields of 516 and 467 g m<sup>-2</sup>, respectively.

### 235 N content and Nitrogen use efficiency

236 The total N content of the crops grown in the CF plots was higher than the respective treatment  
237 plots in the IF fields in all cases. This difference was most notable in the N content of the grain, while  
238 the N content in the stem and leaf segments were comparable in magnitude. In the case of the LCC  
239 treated plots in the CF fields, the N content of the stem and leaf exceeded 0.55%, by far the largest  
240 value observed in all of the plots. The grain NUE was the highest in LCC plots in both IF and CF. The  
241 harvest nitrogen use efficiency (NUE, the % of N applied used by the crop harvest minus the N

242 content of the control plots) was significantly higher in the CF plots than the IF plots (t-test  $p = 0.03$ ),  
243 with peak efficiency achieved by the LCC treated CF plots at 64.5% of applied nitrogen. By contrast,  
244 the lowest NUE observed was for FYM treated plots in the IF fields with an NUE of only 23.6% (Figure  
245 4a).

#### 246 Greenhouse gas fluxes

247 Fluxes of  $\text{N}_2\text{O}$  measured from the plots varied from 0.08 to 1.31  $\text{nmol m}^{-2} \text{s}^{-1}$ . Observed fluxes  
248 typically increased in the days immediately after a fertiliser application, and remained elevated  
249 during the growing period, with the exception of the CON plots which remained near zero  
250 throughout the experiment (Figure 2a). Cumulative  $\text{N}_2\text{O}$  emissions from the different plots were  
251 broadly similar throughout the experiment, although emissions from the fertilised IF plots were  
252 significantly larger than those calculated for the CF plots in all cases, (t-test  $p < 0.01$ ) with mean  
253 cumulative flux values of 1.11 and 1.38  $\text{kg N}_2\text{O-N ha}^{-1}$  for CF and IF plots, respectively (Table 3, Figure  
254 4b).

255 Fluxes of  $\text{CH}_4$  measured from the plots varied from -6.9 to 144  $\text{nmol m}^{-2} \text{s}^{-1}$ . Measurements in all  
256 plots, including the CON plots, observed a gradual rise in  $\text{CH}_4$  emissions during the growing season,  
257 peaking in the period between late August and early September before falling back to pre-  
258 transplanting magnitude (Figure 2b & Figure 2c). The largest peaks in emissions were observed in the  
259 FYM plots for both the IF and CF plots, which resulted in considerably larger cumulative  $\text{CH}_4$   
260 emissions when compared to the other treatments (Table 3). Cumulative  $\text{CH}_4$  emissions from the  
261 FYM treatments were 53.5 and 39.6  $\text{kg CH}_4\text{-C ha}^{-1}$  for the CF and IF plots, respectively. This compares  
262 to a range of 20.0 to 28.3  $\text{kg CH}_4\text{-C ha}^{-1}$  for all other treatments and control plots. Cumulative  $\text{CH}_4$   
263 emissions measured from the control plots were comparable to the fertilised plots, with the  
264 exception of the FYM treatments. Methane emissions were significantly lower with application of  
265 NCU as compared to PRI under IF (Table 3). A comparison of cumulative  $\text{CH}_4$  emissions measured

266 from the different irrigation methods (with the exception of the FYM plots) reveals that emissions  
267 were significantly higher from the CF plots than the IF plots (t-test  $p = 0.05$ ), with mean cumulative  
268 emissions of 33.5 and 27.15 kg CH<sub>4</sub>-C ha<sup>-1</sup>, respectively.

269 Global warming potential (GWP) was estimated for the plots using values provided from the IPCC  
270 2014, Fifth Assessment Report (IPCC, 2104) (i.e. molar volume multiplied by 265 for N<sub>2</sub>O and 28 for  
271 CH<sub>4</sub> for a 100-year time horizon). Using cumulative emissions for both N<sub>2</sub>O and CH<sub>4</sub>, the CO<sub>2</sub>  
272 equivalent (CO<sub>2</sub>eq) is presented in Figure 3c and Table 3. A comparison of GWP between the plots  
273 shows that CO<sub>2</sub>eq is dominated by the contribution from CH<sub>4</sub>. This comparison also highlights the  
274 large contribution to the GWP of the control plots without fertiliser application, which are  
275 comparable in magnitude to the other treatments with the exception of the FYM plots. After control  
276 plot values have been subtracted, emissions of CO<sub>2</sub>eq from the FYM plots are estimated to be 905  
277 and 637 kg CO<sub>2</sub>-C ha<sup>-1</sup> under CF and IF, respectively. These are significantly higher than the other  
278 treatments, with CO<sub>2</sub>eq estimates ranging from 96 to 233 kg CO<sub>2</sub>-C ha<sup>-1</sup> once control plot values have  
279 been subtracted. This large difference is entirely attributable to the higher CH<sub>4</sub> emissions from the  
280 FYM plots (Figure 4c). The GWP under the two flooding methods was significantly different for the  
281 control, FYM and NCU plots (Table 3).

282

### 283 Ammonia volatilisation

284 Total collection of volatilised NH<sub>3</sub> collected in the chambers ranged from 0 to 154 mg N m<sup>-2</sup> d<sup>-1</sup>.  
285 Measured NH<sub>3</sub> concentrations peaked after each fertiliser application, with the largest emissions  
286 observed after applications to the NCU treated CF plots (Figure 3a). With the exception of the CON  
287 plots, all plots observed a marked increase in NH<sub>3</sub> concentrations during the measurement period  
288 (Table 4). Cumulative emission estimates suggest that between 27.2 and 32.9 kg of NH<sub>3</sub>-N are lost  
289 from the fertilised plots over the measurement period, resulting in NH<sub>3</sub> EFs ranging from 22.9 to

290 27.4%. The lowest EF for NH<sub>3</sub> was 22.7% (PRI) in CF and 22.9% (LCC) under IF. Mean cumulative NH<sub>3</sub>  
291 EFs are similar at 24.7 and 24.8% for the CF and IF plots, respectively, with no statistical significance  
292 between the different methods (t-test p =0.46). Interpolation uncertainty for NH<sub>3</sub> fluxes as  
293 estimated using the LOESS fit is approximately the same order of magnitude as differences observed  
294 between the two irrigation methods (Figure 3b, Figure 4d).

295

## 296 **Discussion**

297 Our results suggest that nitrogen fertiliser type is not a powerful driver of yields in rice paddies if the  
298 amount of fertiliser applied is the same, but that there was a significant difference in grain yields  
299 when comparing continuous flooding (CF) and intermittent flooding (IF) methods (Figure 4a). The  
300 average of the dry grain yield from the harvests was significantly higher in the CF plots than the IF  
301 plots (t-test p = 0.01), suggesting that a conversion from CF to IF farming would reduce grain yield by  
302 approximately 6.1%. Published literature on the effects that more water efficient irrigation systems  
303 have on yields varies, with many studies showing that less water intensive methods generate similar  
304 or occasionally increased yield as CF fields (e.g. de Avila 2015; Thakur et al., 2018; Massey et al.,  
305 2014; Nugroho et al., 1994). However, there is also strong evidence to show that intermittent  
306 flooding can consistently reduce grain yield (e.g. Eriksen et al., 1985, Jain et al 2016, Carrijo et al.,  
307 2017). The consensus among many rice farmers is that the CF method is more productive (Carrijo et  
308 al., 2017), and our study supports this. Rather than a particular irrigation method controlling rice  
309 yields, it is likely that water depth is the true factor in determining the success of the crops. In  
310 various experiments, water depth varies widely, and in some experiments using water-saving  
311 irrigation methods, the threshold of water in the paddies at which rice production is impacted may  
312 not be reached. The depth of water in which rice is most productive has been estimated as 5 to 10  
313 cm (Talpur et al., 2013), although this will vary dependant on other site and crop specific variables.

314 In our experiment, water depth in the IF paddies reached zero, or near-zero multiple times, thus this  
315 critical threshold was likely breached several times, consequently yields were impacted. A further  
316 meta-analysis of the available data would be required to establish best practice for both saving  
317 water, and keeping grain yields similar or higher than CF methods.

318 Although the total mass of fresh weight harvest was comparable for the different treatments, the  
319 NUE varied drastically between the plots as the N content was significantly different among the  
320 treatments in grain and biomass. The highest N content in grain was in FYM plots in CF and in LCC  
321 plots in IF. The NUE of the different fertilisers varied from 23.6 to 64.5%, and was significantly higher  
322 in the CF plots than the IF plots (t-test  $p = 0.03$ ). The NUE of the crops is typical of values recorded in  
323 other NUE experiments (e.g. Chen et al., 2015; Cassman et al., 1993; Zhang et al., 2018b), however,  
324 depending on practice, rice harvests can see N recovery rates vary from as much as 5 to 96% (Peng  
325 et al., 2006). The NUE was significantly higher in the CF plots than the IF plots (t-test  $p = 0.03$ ), with  
326 mean NUEs of 51.3 and 28.8%, respectively. Theoretically, the slower release of N via the neem  
327 coated urea, and the use of leaf colour charts to apply less N per application, spread over regular  
328 intervals was expected to increase plant NUE in our experiment as crops would have better access to  
329 nitrogen throughout the growing season. This appeared successful in the CF LCC plot in which the  
330 highest NUE was recorded at 64.5% of the applied N; however, this was not found in the IF plots in  
331 which the LCC plots recorded a much lower NUE of 28.4%. Even though among the IF plots, the N  
332 content in grain was the highest in LCC, no significant increase was observed in the grain and  
333 biomass yield over NCU plots which led to a lower NUE for the LCC plots in IF. Significantly higher  
334 total biomass yield was recorded for NCU plots in IF compared to all other treatments.

335 Cumulative N<sub>2</sub>O emissions from the fertilised IF plots were significantly larger than those calculated  
336 for the CF plots (t-test  $p < 0.01$ ) with EFs ranging between 0.56% and 0.70% (Figure 4b). These values  
337 fall below the default estimates of 1% of applied N released as N<sub>2</sub>O used by the IPCC Tier 1 approach  
338 for mineral fertiliser application (IPCC, 2014), although agree well with emissions expected from

339 urea and organic fertiliser emissions presented in other studies which are typically in the range from  
340 0.4% to 0.9% (e.g. Cowan et al., 2020; Islam et al., 2018; Thorman et al., 2020; Yue et al., 2019). The  
341 neem oil coating in NCU acting as nitrification inhibitor (Kumar et al., 2007) likely lowered the N<sub>2</sub>O  
342 emissions by 8.6% and 4.8% in NCU compared to PRI, in CF and IF, respectively, but the difference  
343 was not significant. Gupta et al. (2016b) reported a significant decrease in N<sub>2</sub>O emissions using neem  
344 oil coated urea compared to prilled urea in transplanted continuously flooded rice. The use of LCC  
345 resulted in an additional 30 kg N fertiliser application, however, the N<sub>2</sub>O emissions were not higher  
346 and were at par with other fertiliser treatments. The LCC method has been reported to be more  
347 efficient in increasing N-use efficiency (Bhatia et al., 2012b) as demand-driven N application results  
348 in higher N uptake by the crop and increases NUE. In this experiment, the LCC based N fertilizer  
349 application also had significantly higher NUE in CF plots.

350 Cumulative CH<sub>4</sub> emissions from the plots were broadly similar (CON plots included), with the  
351 exception of the FYM treatments. Cumulative emissions for the FYM treatments were approximately  
352 double that of the other fertiliser applications using the same irrigation method (Figure 4c). Taking  
353 emissions of the CON plots into account, emissions of CO<sub>2</sub>eq related to the FYM applications were  
354 considerably larger than the other treatments, resulting in an additional 1,014 and 743 kg CO<sub>2</sub>-C ha<sup>-1</sup>  
355 from the CF and IF plots, respectively. Emissions associated with the other fertilisers ranged from  
356 208 to 360 kg CO<sub>2</sub>-C ha<sup>-1</sup> once the control plot values were subtracted. Higher emissions from  
357 organic fertiliser applications such as FYM have been reported before in similar studies (e.g. Jain et  
358 al., 2000; Pathak et al., 2003; Pandey et al., 2014), and our experiment provides further evidence  
359 that adding carbon rich organic materials such as animal waste to rice paddies will substantially  
360 increase CH<sub>4</sub> emissions. Significantly lower emissions of CH<sub>4</sub> measured in NCU compared to PRI  
361 under IF may be due to the presence of Nimin in the neem oil coating which has been reported to  
362 increase the methanotrophic bacterial population in the soil, thus increasing CH<sub>4</sub> oxidation and  
363 reducing fluxes (Datta and Adhya, 2014).



364 Cumulative CH<sub>4</sub> emissions measured from the CF plots (excluding FYM) were approximately 18%  
365 larger than the IF plots (t-test p = 0.05), with mean cumulative emissions of 26.2 and 22.2 kg CH<sub>4</sub>-C  
366 ha<sup>-1</sup> for the two irrigation methods, respectively. Our observations of increased N<sub>2</sub>O and decreased  
367 CH<sub>4</sub> in the IF plots are consistent with similar studies (Yagi et al., 1996; Jain et al., 2000; Cai et al.,  
368 1997). Although we do report a degree of pollution swapping as a result of switching from CF to IF  
369 irrigation methods, a comparison of GWP between the rice paddy plots shows that CO<sub>2</sub>eq is  
370 dominated by the contribution from CH<sub>4</sub>. This comparison also highlights the large CO<sub>2</sub>eq emissions  
371 from the control plots that did not receive fertiliser application, with GWP emissions from the CF and  
372 IF CON plots of 720 and 621 kg CO<sub>2</sub>eq ha<sup>-1</sup>. With the exception of the FYM treatments, differences in  
373 GWP of the NCU, LCC and PRI methods were all comparable in magnitude in terms of both N<sub>2</sub>O and  
374 CH<sub>4</sub> emissions. However, if the gains in economic yield benefit are taken into account, LCC based  
375 NCU application resulted in a significant reduction in the yield scaled GWP which is the global  
376 warming potential per kg of economic yield (Table 3).

377 Measurements of NH<sub>3</sub> emissions are notoriously difficult to carry out in remote locations. Although  
378 the NH<sub>3</sub> measurement method deployed in this experiment has its weaknesses, we are still able to  
379 meaningfully assess the magnitude of NH<sub>3</sub> losses. What is clear from the measurements carried out  
380 in this study is that a large amount of the applied N is lost as volatilised NH<sub>3</sub> in the plots. Using a  
381 basic analysis, EFs of NH<sub>3</sub> (emissions minus the control) ranging from 22.8 to 27.4% of the applied N  
382 was estimated to have been lost from the plots. The LCC based N application of NCU did not  
383 significantly impact the NH<sub>3</sub> losses compared to NCU applied through traditional split application,  
384 even though NH<sub>3</sub>-N volatilized from the LCC plots from IF and was significantly lower than from the  
385 PRI plots (Table 4). These estimates compare well with some studies (e.g. Hayashi et al., 2008; Datta  
386 et al., 2012; Wang et al., 2018) in which NH<sub>3</sub> emissions are reported in the region of 10 to 25%.  
387 However, it is difficult to compare studies directly due to varying meteorological conditions which  
388 drive NH<sub>3</sub> volatilisation and large uncertainties in the majority of methods used to measure NH<sub>3</sub>

389 fluxes. Theoretically, our measurements could be underestimating the flux of  $\text{NH}_3$  due to losses on  
390 the surface of walls of flux chambers; however, contamination of the acid traps as a result of high  
391 atmospheric concentrations could also result in overestimation. Regardless, our results show that  
392  $\text{NH}_3$  emissions peak after fertiliser application in the paddies compared with the low emissions  
393 measured in the CON plots, and that relatively large N losses occur due to  $\text{NH}_3$  volatilisation. We  
394 recommend further research in this area to determine the true magnitude of these N losses, which  
395 are sizable enough to cause harm both environmentally and economically.

396 Overall, the change in irrigation method from CF to IF led to a significant reduction in grain yield and  
397 harvest NUE. The GWP was, however, reduced by the change in irrigation method from CF to IF for  
398 FYM and NCU treatments. The yield scaled GWP was lower in CF with LCC based fertiliser N  
399 application.

## 400 **Conclusions**

401 Our conclusions are mixed regarding the economic and environmental costs and benefits of the  
402 different fertiliser types and irrigation methods. The different applications of urea and neem coated  
403 urea showed little difference in terms of yield, GHG and  $\text{NH}_3$  response, with most significant  
404 differences between the plots being observed between the different irrigation methods. In terms of  
405 grain yield, conventional flooding (CF) irrigation methods performed better than the intermittent  
406 flooding (IF) in this study. The NUE of the crops in the CF plots was higher overall than those in the IF  
407 fields, and grain yields were 6.3% lower in the IF fields. However, GHG and  $\text{NH}_3$  emissions were also  
408 larger from the CF plots, especially in the case of FYM application, which resulted in an additional  
409 loss of  $905 \text{ kg CO}_2\text{eq ha}^{-1}$  in the form of non-GHG gases during the measurement period resulting in  
410 higher yield scaled greenhouse emissions. Emissions of  $\text{CH}_4$  dominated the  $\text{CO}_2\text{eq}$  emissions for all  
411 plots, but emissions of  $\text{CH}_4$  were lower in the IF plots when compared to CF. Although the IF plots  
412 produced less  $\text{CH}_4$ , slightly more  $\text{N}_2\text{O}$  was produced, resulting in a small amount of trade off. The use

413 of LCC based N application may result in an increase in NUE and lower yield scaled greenhouse gas  
414 emissions from the conventionally practiced continuous flooding method of irrigation in rice grown  
415 in this region. Realistically, CH<sub>4</sub> reductions are a far more important target for future mitigation  
416 efforts as CH<sub>4</sub> emissions make up approximately 78 to 94% of the total CO<sub>2</sub>eq from the rice paddies  
417 in this study. Measurements of NH<sub>3</sub> from the plots could not determine decisive differences  
418 between the treatments; however, our measurements do show that emissions of NH<sub>3</sub> from rice  
419 paddies are not trivial, ranging from approximately 22.8 to 27.4% of applied N.

420 We recommend that further studies deploy more advanced methods where possible to better  
421 determine the temporal behaviour of GHG emissions during the intermittency of flooding events  
422 (such as auto chambers or eddy covariance) to capture peak events that irregular sampling may  
423 miss. We also recommend the use of more advanced NH<sub>3</sub> measurement methodology where  
424 possible to fully determine the nature of NH<sub>3</sub> emissions from rice paddies for which there are few  
425 studies reported in the literature.

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723

724 **Table 1** Nitrogen fertiliser treatment details. FYM = farmyard manure, NCU = Neem coated urea, LCC  
725 = leaf colour chart, CF = continuous flooding, IF = Intermittent flooding

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#	Name	Fertiliser applied
1	CON	No nitrogen fertiliser was applied
2	FYM	50% N through FYM + 50% N through NCU+ Bio fertiliser (120 kg N ha <sup>-1</sup> )*
3	LCC	Leaf Colour Chart (LCC) based NCU application (150 kg N ha <sup>-1</sup> in CF and 120 kg N ha <sup>-1</sup> in IF)
4	NCU	100% N through NCU (120 kg N ha <sup>-1</sup> )
5	PRI	100% N through Prilled urea (120 kg N ha <sup>-1</sup> )

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726 \* Indicates the rate of N fertiliser applied in each treatment

727

729 **Table 2** Yield harvest data from three replicate plots for each fertiliser and irrigation treatment.

730 Mean values reported for fresh harvest biomass.

Treatment	Total Biomass (g m <sup>-2</sup> )	Grain yield (g m <sup>-2</sup> )	N Content Stem & Leaf (%)	N Content Grain (%)	N Content Total (kg ha <sup>-1</sup> )	Harvest NUE (%)	Grain NUE (%)
<b>CF</b>							
CON	1,515	327*	0.249	1.588	81.5		
FYM	1,868*	502*	0.387	1.894*	147.9*	55.4*	27.6*
LCC	1,688	533*	0.553*	1.783*	158.9*	64.5*	30.6*
NCU	1,634	504*	0.331	1.743	125.3	36.5	25.7
PRI	1,714	524*	0.415*	1.732	140.1*	48.9*	28.4*
<b>IF</b>							
CON	1,582	307	0.276	1.496	81.1		
FYM	1,618	476	0.334	1.499	109.5	23.6	21.1
LCC	1,637	478	0.331	1.608	115.2	28.4	22.9
NCU	1,869*	469	0.332	1.579	120.5	32.8	21.3
PRI	1,749	446	0.359	1.588	117.6	30.4	18.4

731 \* Indicates a statistically significant increase when compared to the same fertiliser treatment applied

732 to the alternate irrigation regime.

733

734 **Table 3** Cumulative fluxes are presented for N<sub>2</sub>O and CH<sub>4</sub> emissions measured from the plots. A  
 735 general additive model (GAM) as described in the text was used to interpolate between  
 736 measurement dates using available meteorological data to estimate cumulative fluxes and provide  
 737 95% confidence intervals of the fitted prediction. The global warming potential (GWP) of N<sub>2</sub>O and  
 738 CH<sub>4</sub> emissions are presented as CO<sub>2</sub> equivalent (CO<sub>2</sub>eq), using values provided from the IPCC 2014,  
 739 Fifth Assessment Report (IPCC, 2014). Yield scaled GWP represented by grain yield, as reported in  
 740 Table 2.

Treatment	N <sub>2</sub> O Flux (kg N <sub>2</sub> O-N ha <sup>-1</sup> )		CH <sub>4</sub> Flux (kg CH <sub>4</sub> -C ha <sup>-1</sup> )		N <sub>2</sub> O + CH <sub>4</sub> GWP (kg CO <sub>2</sub> -C ha <sup>-1</sup> )		Yield scaled GWP (kg CO <sub>2</sub> -C kg <sup>-1</sup> )
	Cumulative	95% C.I.	Cumulative	95% C.I.	Cumulative	95% C.I.	
<b>CF</b>							
CON	0.4	0.39-0.42	24.1*	22.4-25.7	720*	632-808	0.22
FYM	1.12	1.07-1.17	53.5*	52.3-54.6	1625*	1565-1685	0.32*
LCC	1.09	1.05-1.14	25	23-27	824	725-923	0.15
NCU	1.07	1.03-1.12	28.3*	26.7-29.9	914*	799-1029	0.18*
PRI	1.17	1.11-1.23	27.2*	25.4-28.9	894	790-998	0.17
<b>IF</b>							
CON	0.61*	0.59-0.63	19.7	18.8-20.6	621	574-668	0.21
FYM	1.31*	1.23-1.39	39.6	37.6-41.5	1258	1156-1360	0.26
LCC	1.37*	1.28-1.46	24.5	22.7-26.2	842	748-936	0.18*
NCU	1.38*	1.28-1.49	20	18.8-21.3	717	581-853	0.15
PRI	1.45*	1.37-1.53	24.6	22.7-26.6	853	748-958	0.19

741 \* Indicates a significant increase when compared to the same fertiliser treatment applied to the  
 742 alternate irrigation regime.

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749 **Table 4** Cumulative emissions of NH<sub>3</sub> calculated from measurement data using LOESS interpolation  
 750 between points. An assumption is made that the NH<sub>3</sub> collected by the acid traps in the chamber is  
 751 representative of NH<sub>3</sub> volatilised from the surface during the measurement period.

Treatment	NH <sub>3</sub> Emission Cumulative (kg NH <sub>3</sub> -N ha <sup>-1</sup> )	NH <sub>3</sub> EF %	95% C.I.
<b>CF</b>			
CON	2.8		
FYM	27.4	22.8	1.4
LCC	31.6	26.4	2.0
NCU	32.1	26.7	3.1
PRI	27.2	22.7	1.5
<b>IF</b>			
CON	3.7		
FYM	28.6	23.8	1.5
LCC	27.5	22.9	1.6
NCU	30.3	25.2	2.0
PRI	32.9	27.4*	2.0

752 \* Indicates a significant increase when compared to the same fertiliser treatment applied to the  
 753 alternate irrigation regime.

754

755 **Legends of Figures**

756 **Figure 1** (a) Mean daily air temperature (min and max shaded), (b) mean daily relative humidity, (c)  
757 cumulative daily rainfall and (d) water depth of the continuously (black) and intermittently (red)  
758 flooded paddies are presented for the field site during the period in which measurements took place  
759 (12/07/16 to 21/10/16).

760 **Figure 2** Mean daily fluxes of (a) N<sub>2</sub>O and (b) CH<sub>4</sub> are presented in nmol m<sup>-2</sup> s<sup>-1</sup>. Global warming  
761 potential of the cumulative emissions of N<sub>2</sub>O and CH<sub>4</sub> during the experiment are presented in kg of  
762 CO<sub>2</sub>eq (c). A general additive model (GAM) as described in the text was used to interpolate between  
763 measurement dates using available meteorological data to estimate cumulative fluxes and provide  
764 95% confidence intervals of the fitted prediction (line/shaded). Fertiliser dates for all plots are  
765 shown (vertical lines) with additional dates included for LCC applications only (green vertical lines).

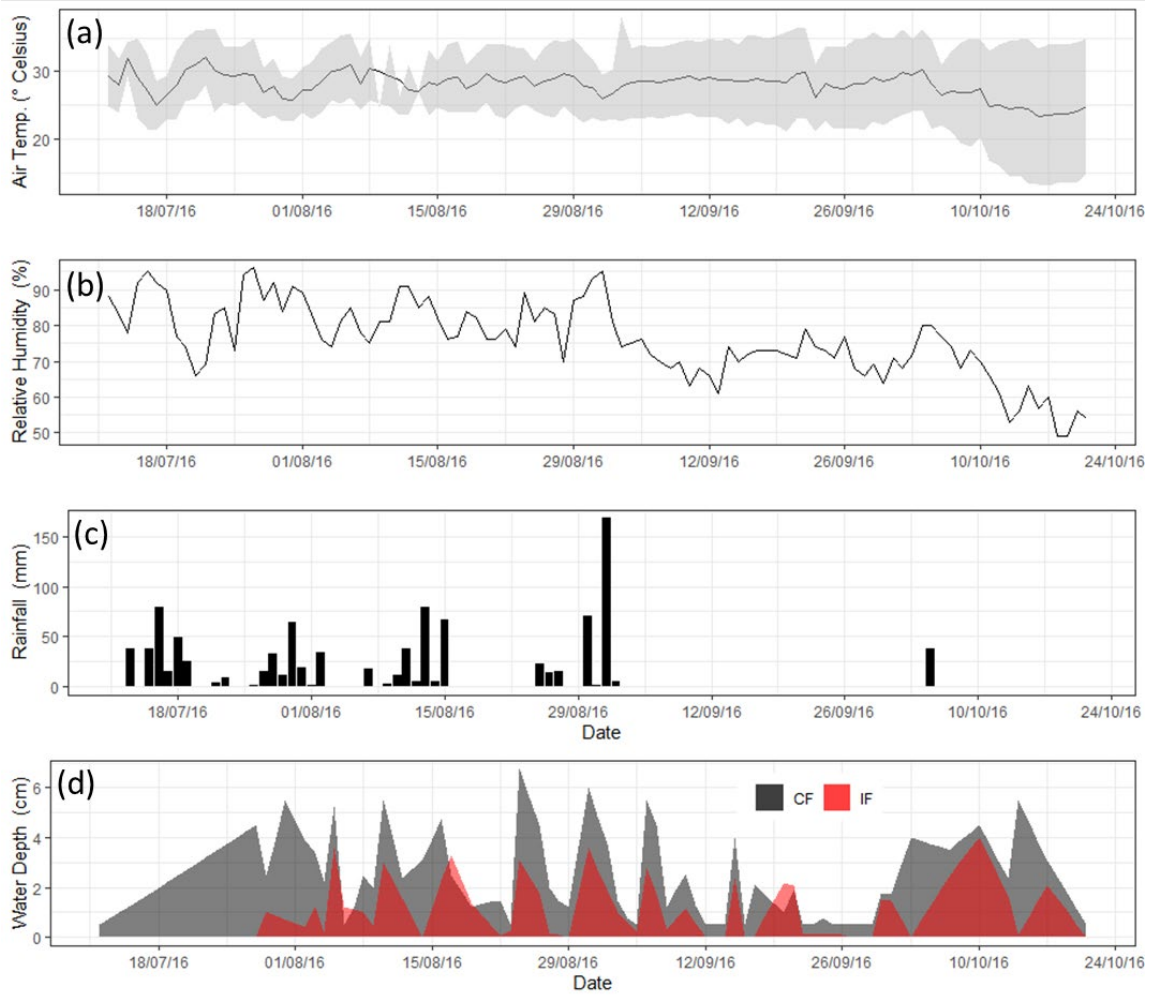
766 **Figure 3**(a) Emissions of NH<sub>3</sub> measured using transparent chambers are shown for each fertiliser  
767 treatment type for both the conventional and intermittently flooded plots. (b) The difference  
768 between the interpolated data is presented, coloured red when CF plots emissions are higher and  
769 blue where IF emissions are higher. Fertiliser dates for all plots are shown (vertical lines) with  
770 additional dates included for LCC applications only (green vertical lines).

771 **Figure 4** Bar plots highlight differences in (a) NUE, (b) N<sub>2</sub>O EFs, (c) GWP and (d) NH<sub>3</sub> EFs observed for  
772 the different fertiliser types and irrigation regimes in the experiment. Error bars represent the 95%  
773 confidence interval in the estimated values.

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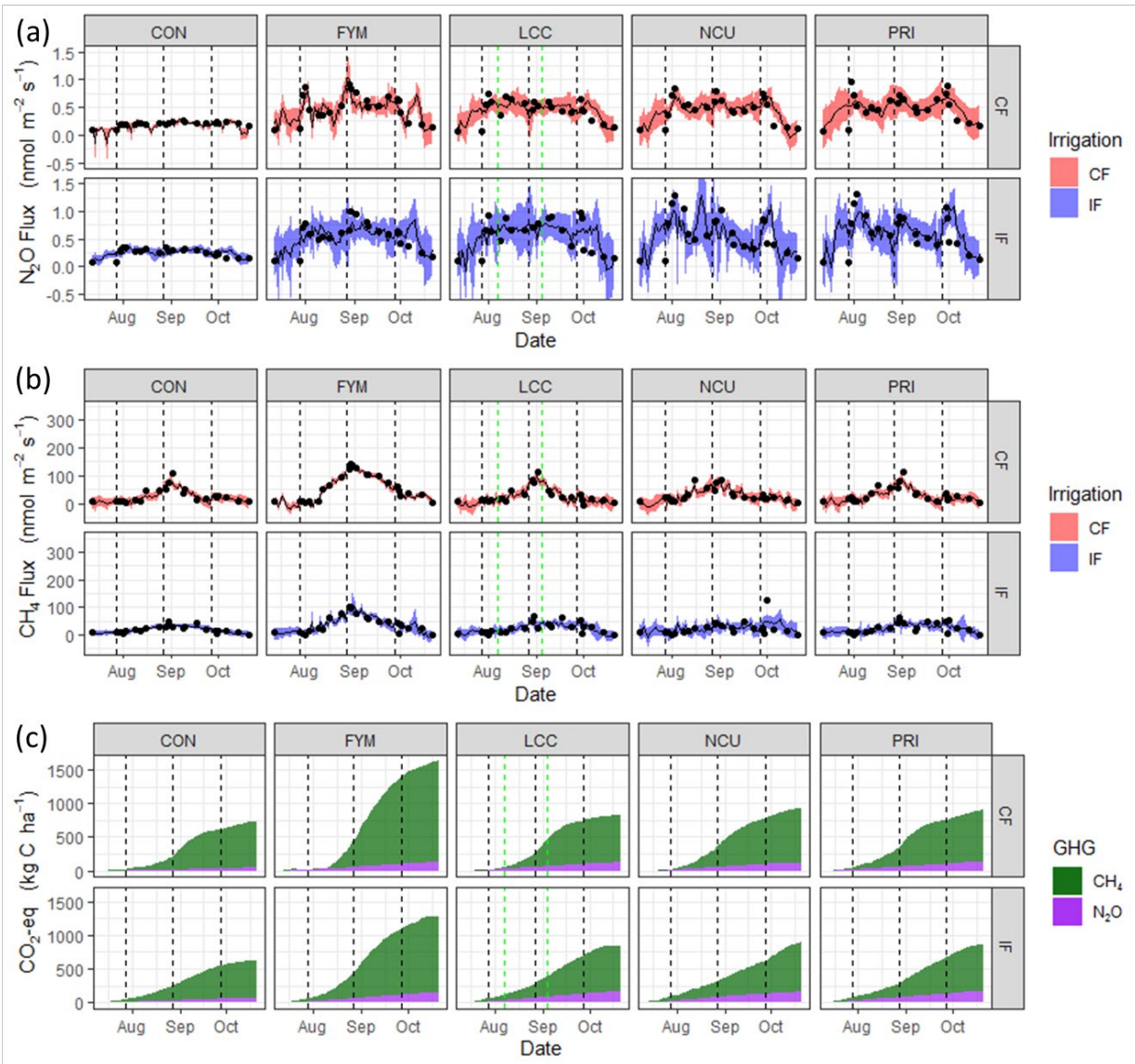


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778 **Figure 1**

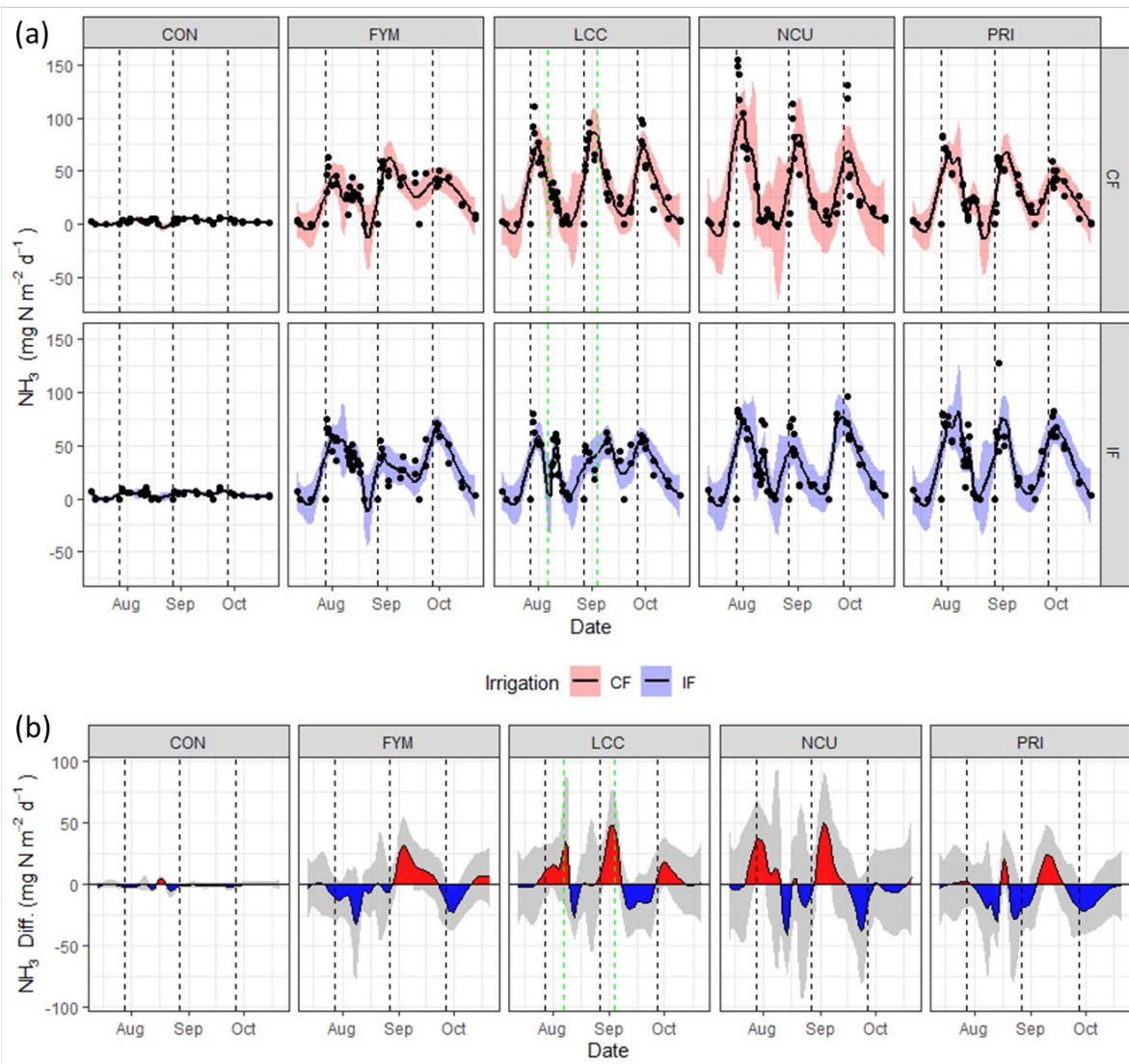
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783 **Figure 2**

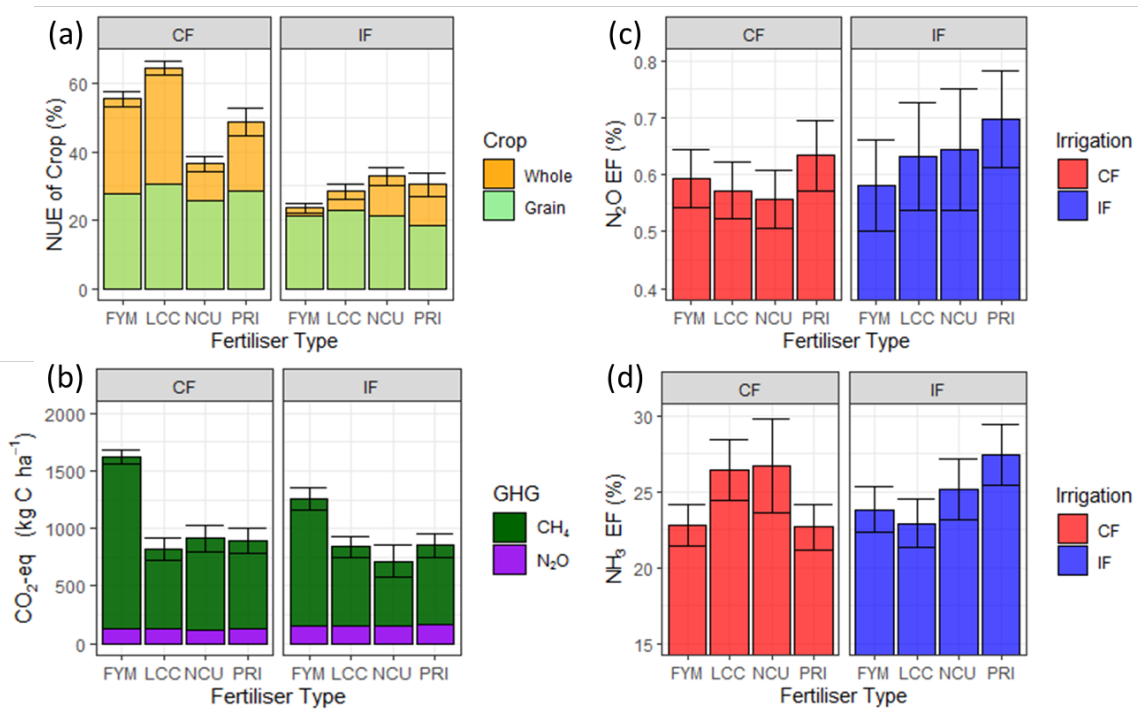




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787 **Figure 3**

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791 **Figure 4** Bar plots highlight differences in (a) NUE, (b) N<sub>2</sub>O EFs, (c) GWP and (d)NH<sub>3</sub> EFs observed for  
 792 the different fertiliser types and irrigation regimes in the experiment. Error bars represent the 95%  
 793 confidence interval in the estimated values.

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