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1 **A revised IAEA data compilation for estimating the soil to plant transfer of radionuclides in tropical**
2 **environments**

3

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12 Keywords: concentration ratio; crops; MODARIA; radioactivity

13

14 **Highlights**

- 15 • Plant-soil concentration ratio data for tropical environments were compiled in the IAEA MODARIA
16 II programme.
- 17 • More than 800 summary values were derived from over 10,000 observations.
- 18 • Plant-soil concentration ratios are generally higher in tropical environments than temperate
19 environments.
- 20 • A new IAEA Technical Document on soil to plant transfer in non-temperate environments is being
21 prepared.

22

23 **Abstract**

24 A revision of the International Atomic Energy Agency (IAEA) Technical Report Series No. 472 (TRS 472)
25 transfer parameter data for root uptake of radionuclides by crops in tropical environments was
26 conducted under the IAEA Modelling and Data for Radiological Impact Assessments (MODARIA II)
27 programme (2016–2019). Data on concentration ratios between plant and soil ($CR_{\text{plant-soil}}$) were
28 collated and summarised following a specific data selection process based on the Köppen-Geiger
29 classification of tropical (class A) climates. An overview of the data collation and analysis methods is
30 presented together with a comparison of $CR_{\text{plant-soil}}$ values between the revised tropical dataset and
31 TRS 472 datasets. The revised dataset of $CR_{\text{plant-soil}}$ values for tropical environments is part of the IAEA
32 MODARIA II programme Technical Document on soil to plant transfer of radionuclides in non-
33 temperate environments.

34 **1. Introduction**

35 Assessing the impact of radionuclides released into the environment is a general requirement of
36 international safety standards (IAEA, 2014). Such releases can occur through routine human activities
37 and/or accidents. Furthermore, radionuclides existing in the environment as a result of past practices
38 may require management for mitigating radiological consequences. Predictive models, underpinned

39 by realistic parameterisation, are essential tools to better allow us to assess and manage these
40 exposure situations.

41 Releases impacting upon agricultural environments can lead to enhanced radionuclide activity
42 concentrations in crops via direct deposition on aerial parts and root uptake from soil. The rate and
43 extent of soil to plant transfer of radionuclides is influenced by various biogeochemical and
44 physicochemical factors (see below). However, in many radiological assessment models the
45 quantification of such transfer is simplified to a single key parameter termed the plant-soil
46 concentration ratio ($CR_{\text{plant-soil}}$) which is the equilibrium ratio of the radionuclide activity concentration
47 in the plant (edible portion) to that in the soil (IAEA, 2001; Yu et al., 2020).

48 In addition to the need for impact assessment, the study of the movement of radionuclides provides
49 insights into the mechanisms and kinetics of environmental processes thereby improving our
50 understanding of ecosystem behaviour. Such studies have shown that numerous factors influence the
51 soil to plant transfer of radionuclides and the related $CR_{\text{plant-soil}}$ value. Plant uptake physiology may be
52 linked to environmental variables such as temperature (affecting biochemical reaction rates), rainfall
53 intensity and periodicity, as well as photoperiod and light intensity (Adams and Langton, 2005; Criddle
54 et al., 1997; Feng et al., 2012). Soil type and chemistry are also important factors. In particular, the
55 availability of nutrient analogues of the radionuclide of interest, the overall nutrient conditions, the
56 degree of complexation by organic and inorganic ligands in the soil, clay minerals, pH and soil water
57 availability, amongst others (Tagami et al., 2012). The net effect of these factors may differ by site.

58 The International Atomic Energy Agency (IAEA) has for many years supported efforts to compile data
59 on the transfer of radionuclides through the food chain to humans. A key reference source of such
60 data is the Handbook of Parameter Values for the Prediction of Radionuclide Transfer in Terrestrial
61 and Freshwater Environments, published as Technical Reports Series No. 472 (TRS 472) (IAEA, 2010).
62 The TRS 472 handbook includes $CR_{\text{plant-soil}}$ values derived from published studies (note that in TRS 472
63 the equivalent parameter to $CR_{\text{plant-soil}}$ is called transfer factor and is denoted as F_v). Most of the $CR_{\text{plant-}}$
64 $_{\text{soil}}$ values in TRS 472 relate to temperate environments, with only a comparatively small dataset
65 available for tropical environments. Within TRS 472 there are no clear criteria applied to classify data
66 as ‘tropical’.

67 The need to conduct radiological assessments in tropical environments may increase in the future due
68 to both climate change (which may lead to more parts of the world having hotter and more humid
69 conditions) and an expansion of nuclear power in these regions (IAEA, 2020). Reliable data for tropical
70 environments will be needed to support such assessments.

71 Within the IAEA Modelling and Data for Radiological Impact Assessments (MODARIA II) programme
72 (2016–2019), the issue of climate as one of the essential differences between regions of the world
73 was considered under the broad umbrella of Working Group 4 “Transfer processes and data for
74 radiological impact assessment”. A subgroup of Working Group 4 focussed on soil to plant transfer in
75 non-temperate climate regions, particularly tropical and arid regions. The subgroup collated and
76 analysed $CR_{\text{plant-soil}}$ data based on the Köppen-Geiger (K-G) climate classification of tropical (class A)
77 and arid (class B) climates (Beck et al, 2018). The K-G classification of ‘tropical’ specifically refers to
78 warm and humid climates rather than to any other climate type that may exist in the Tropics. This
79 paper describes the work of the subgroup in relation to tropical environments. The derived summary
80 values of $CR_{\text{plant-soil}}$ will be published in an associated IAEA Technical Document (TECDOC). The work of
81 the subgroup to develop a complementary dataset for arid environments is described in a separate
82 paper in this special issue (Semioschkina and Voigt, this issue).

83 2. Methods

84 2.1. Data collation

85 Most of the data for tropical environments originally compiled for TRS 472 were used as the starting
86 point for the revision. These data were checked against source references as a quality assurance
87 process and also evaluated to determine if they were acquired from sites that satisfied the K-G
88 classification for the tropical climate sub-types of rainforest (Af), monsoon (Am) or savannah (Aw) as
89 defined in Beck et al. (2018). A literature search for additional data was also undertaken. The literature
90 search focused on original source publications reporting data and did not include review articles. Data
91 for sites meeting the K-G climate criteria were collated together with associated environmentally
92 relevant information (e.g. location, plant species, soil properties, agricultural practice, etc) that
93 facilitated the attribution of the data into more refined subsets.

94 The data collation included both radionuclides and stable isotopes. Combining data for radioactive
95 and stable isotopes of the same element could lead to some bias, as recently produced radionuclides
96 entering the environment may be more bioaccessible than stable isotopes encased within the
97 crystalline soil matrix. However, the chemical similarity between different isotopes of the same
98 element means that they generally behave in a similar manner within comparable environments. The
99 use of stable isotopes as analogues to estimate the transfer of radionuclides is a common approach
100 due to their comparative ease of measurement and also because of diminishing inventories of some
101 radionuclides (e.g. ¹³⁷Cs and ⁹⁰Sr) in the environment in parts of the world.

102 The data collation focused on sites where equilibrium had likely been established between the
103 movements of radionuclides and stable isotopes into and out of the relevant compartments of the
104 environment based on site description information available in the source references. These were
105 either planned or existing exposure situations (e.g. long-term discharges of radionuclides or
106 contaminants, fallout radionuclides from past nuclear weapons testing, radionuclides and stable
107 isotopes in natural and semi-natural systems, etc). Data for dynamic or changing conditions (such as
108 an accidental release or emergency exposure situation) were not included and generally not available.

109 As part of the data collation, source references were evaluated for descriptions of equipment,
110 procedures and controls relating to data quality. This included: (i) descriptions of sampling strategy;
111 (ii) descriptions of measurement techniques and equipment; and (iii) descriptions of analytical
112 measurement quality control processes (e.g. participation in proficiency tests or inter-comparison
113 exercises and/or use of reference materials for internal validation of measurements). The
114 presence/absence of such information was noted with each data entry.

115 2.2. Categorising plants and soils

116 The categorisation of plants and soils followed that of TRS 472, but with some minor adjustments to
117 account for specific features in the data.

118 Plant groups and their associated compartments are shown in Table 1. A new group of 'medicinal
119 plants' (subdivided as shrubs, woody trees and non-woody trees) was introduced to account for the
120 medicinal use of certain compartments (e.g. bark and leaves) that are not otherwise consumed. While
121 some herbs are also used for medicine, they were not included as a subgroup of medicinal plants since
122 they are generally also used for food or as a condiment. Instead, the primary group of 'herbs' is
123 applicable to both culinary and medicinal uses of herbs. Within the 'fruits' group, a new subgroup
124 termed non-woody trees was added and included crops such as banana, papaya and palm fruits.

125 Grasses were treated as a single plant group with no distinction between cultivated species, pasture
126 and leguminous fodder, as was done in TRS 472.

127 Soil groups are shown in Table 2. Similar to TRS 472, the primary means of categorising soils was based
128 on percentages of clay, sand and organic matter. However, in the absence of quantitative soil
129 characterisation data, qualitative information on soil texture was used as a secondary means of
130 categorisation. A new soil group of 'coral sand' was added to distinctly categorise Marshall Islands
131 soils based on their unique matrix of high calcium carbonate concentrations and virtual absence of
132 clay minerals which affects radionuclide leachability and bioavailability (Simon et al., 2002; Robison et
133 al., 2006). The TRS 472 tropical dataset specified that Marshall Islands soils were outside the applied
134 classification scheme and grouped them as 'other'. Similar soils to those of the Marshall Islands would
135 be present on most coral atolls that fall within the K-G classification of tropical (class A) climates across
136 the Pacific Ocean region, within the Caribbean and in the Indian Ocean and nearby seas.

137 2.3. Data treatment

138 Some treatment of the data was required prior to deriving the final summary $CR_{\text{plant-soil}}$ values and is
139 described in the TECDOC. In brief, this included applying a standard set of assumptions to estimate
140 the number of observations in a study if not reported in the source reference, converting plant
141 concentration data from fresh to dry mass and calculating means and standard deviations from
142 individual measurement values for each study as necessary.

143 2.4. Calculating $CR_{\text{plant-soil}}$ values

144 The final summary $CR_{\text{plant-soil}}$ values were calculated for each element by plant group (and subgroup
145 where applicable), plant compartment and soil group. They were also derived for all soil groups
146 combined and for all soil groups excluding coral sand. The reason for the latter exclusion was to permit
147 more direct comparisons with the $CR_{\text{plant-soil}}$ values in the TRS 472 datasets for subtropical and
148 temperate environments where there are no coral sand soil data present.

149 The final summary $CR_{\text{plant-soil}}$ values for all plant groups other than fruits were calculated relative to
150 plant dry mass concentrations. The values for fruits were calculated relative to plant fresh mass
151 concentrations, as was the case in TRS 472.

152 The weighted arithmetic mean (AM) and combined standard deviation (SD_{combined}) accounting for
153 within and between study variation were calculated from the $CR_{\text{plant-soil}}$ values for each study as:

$$154 \quad AM = \frac{\sum_i n_i CR_i}{N}$$

155

$$156 \quad SD_{\text{combined}} = \sqrt{\frac{\sum_i \left((n_i - 1)SD_i^2 + n_i CR_i^2 \right) - \frac{(\sum_i n_i CR_i)^2}{N}}{N - 1}}$$

157 where n_i is the number of observations in study i , CR_i is the mean $CR_{\text{plant-soil}}$ for study i , N is the total
158 number of observations in all studies and SD_i is the standard deviation for study i .

159 Approximate estimates of the geometric mean (GM) and geometric standard deviation (GSD) were
160 calculated from the AM and SD as:

$$161 \quad GM = \exp\left(-0.5 \ln\left(\frac{SD^2 + AM^2}{AM^4}\right)\right)$$

162

$$163 \quad GSD = \exp\left(\sqrt{\ln\left(\frac{SD^2 + AM^2}{AM^2}\right)}\right)$$

164 Note that the *GM* and *GSD* were only calculated in cases where $N \geq 3$.

165 2.5. Comparing datasets

166 The $CR_{\text{plant-soil}}$ values in the revised tropical dataset and the TRS 472 datasets for tropical, subtropical
167 and temperate environments were evaluated for similarities and differences. The evaluation was
168 based on values with equivalent element – plant group – plant compartment – soil group
169 combinations. As all the raw data (i.e. individual measurement values) underpinning the datasets were
170 unavailable, a direct statistical comparison of the best measures of central tendency (be they single
171 values, *GM* or *AM* values) was not possible. Instead, the approach taken was to compare the mean
172 values in each dataset based on the ratio between them (i.e. revised/TRS 472). The ratios were also
173 assessed using a sign test (<https://www.real-statistics.com/non-parametric-tests/sign-test/>) to
174 compare the datasets overall.

175 In calculating the ratio, the relevant *GM* value from each dataset was used if available, otherwise the
176 *AM* value was used. Also, $CR_{\text{plant-soil}}$ values for soils lacking characterisation information (i.e.
177 ‘unspecified’) were compared with $CR_{\text{plant-soil}}$ values derived across all soil groups. Coral sand data were
178 excluded from comparisons with the TRS 472 subtropical and temperate datasets.

179 3. Results

180 3.1. Data coverage

181 The data underpinning the revised tropical dataset were collated from nearly 100 source references
182 (publication list provided in the TECDOC). They covered 36 elements (Al, Am, As, Ba, Ca, Cd, Co, Cr, Cs,
183 Cu, Fe, Hg, K, La, Mg, Mn, Mo, Na, Ni, P, Pb, Po, Pu, Ra, Rb, S, Sb, Sc, Se, Sm, Sr, Th, U, V, Y and Zn)
184 including data for radionuclides and stable isotopes. The geographical coverage included 21 countries
185 (Australia, Bangladesh, Benin, Brazil, Cameroon, Cuba, Ecuador, French Polynesia, Ghana, Honduras,
186 India, Indonesia, Malaysia, Marshall Islands, Nigeria, Peru, Philippines, Sri Lanka, Tanzania, Thailand
187 and Vietnam) spread across four continents (Africa, Asia, Australia and South America). More than
188 100 plant species were covered, including many that are common crops in tropical environments (e.g.
189 *Amaranthus*, *Brassica*, *Manihot*, *Musa*, *Oryza*, *Solanum*) and some that are also harvested in
190 subtropical and temperate environments (e.g. bean, cabbage, citrus, maize, potato, sorghum).

191 3.2. Description of the revised tropical dataset and data availability

192 The revised tropical dataset is too large to include in this paper and is available in the TECDOC. The
193 dataset contains $CR_{\text{plant-soil}}$ values for more than 800 element – plant group – plant compartment – soil
194 group combinations based on more than 10,000 total observations. Compared to the TRS 472 dataset
195 for tropical environments, there are about a factor of five higher number of reported combinations
196 and about a factor of ten higher number of observations. Much of the new data arose from the
197 inclusion of stable isotopes and studies published after the publication of TRS 472.

198 Table 3 summarises the overall data availability in the revised tropical dataset. For each element and
199 plant group, it shows the approximate number of $CR_{\text{plant-soil}}$ values across all plant compartments and
200 soil groups. Fruits are the best represented plant group, with $CR_{\text{plant-soil}}$ values available for 31 elements

201 based on more than 3000 total observations. Other plant groups with good overall data availability
202 include leafy vegetables (18 elements, >1000 observations), non-leafy vegetables (21 elements, >800
203 observations), rice (19 elements, >1000 observations) and tubers (28 elements, >600 observations).
204 The plant groups with lower data availability include cereals (7 elements, <100 observations), grasses
205 (12 elements, <200 observations) and maize (15 elements, <200 observations). Elements of potential
206 interest to radiological impact assessment with good overall data availability include Cs, Sr, U, Th and
207 Ra, while those with low data availability include Am, Po and Pu.

208 Table 4 shows the availability of $CR_{\text{plant-soil}}$ values for specific soil groups within the revised tropical
209 dataset. The number of such values was low due to the lack of soil characterisation data (including
210 descriptions of soil texture) in most source references. Hence, most of the $CR_{\text{plant-soil}}$ values in the
211 revised tropical dataset relate to unspecified soils. Where soil information was available, the specified
212 soil group was mainly loam or sand.

213 For the newly introduced coral sand soil group, $CR_{\text{plant-soil}}$ values were limited to K and fallout
214 radionuclides (Am, Cs, Pu and Sr) from past nuclear weapons testing (Table 4). When compared to all
215 soils excluding coral sand, the Cs $CR_{\text{plant-soil}}$ for coral sand soils was generally one order of magnitude
216 higher in cereals, fruits, herbs, leafy vegetables, non-leafy vegetables, leguminous vegetables and
217 tubers (Figure 1). This is likely due to the very low clay mineral content in coral sand soils, making Cs
218 more readily available for uptake. In contrast to Cs, the Sr $CR_{\text{plant-soil}}$ values in fruits (the only plant
219 group for which Sr data for coral sand soils were available) were about two orders of magnitude lower
220 than those for all soils excluding coral sand. The marked difference in root uptake for Sr is likely due
221 to strong competition from the nutrient Ca, which is chemically similar to Sr (both group II elements)
222 and is a major component of the coral sand soil matrix. The available $CR_{\text{plant-soil}}$ values for K were
223 generally similar between coral sand soils and all other soils, possibly due to the regulation of K uptake
224 as an essential macronutrient in plants. No comparative $CR_{\text{plant-soil}}$ values between coral sand and other
225 soils were available for Am and Pu.

226 3.3. Revised $CR_{\text{plant-soil}}$ values for selected elements

227 Sub-datasets for selected elements with isotopes that are radiologically relevant are presented in
228 Tables 5–8. Cs (Table 5) and Sr (Table 6) are given as examples of artificial radionuclides that can be
229 released into the environment from nuclear facilities. U (Table 7) and Ra (Table 8) are given as
230 examples of natural radionuclides whose environmental concentrations can be enhanced through
231 activities such as uranium mining and phosphate fertiliser production. The $CR_{\text{plant-soil}}$ values presented
232 in Tables 5–8 relate to all soil groups combined and all soil groups excluding coral sand where
233 applicable (Cs and Sr). Soil group specific data are provided in the TECDOC.

234 3.4. Comparison with TRS 472 $CR_{\text{plant-soil}}$ values

235 Table 9 summarises the outcome of determining the ratio between the $CR_{\text{plant-soil}}$ values in the revised
236 tropical dataset and those in TRS 472 for equivalent element – plant group – plant compartment – soil
237 group combinations. The evaluation considered the TRS 472 datasets for temperate, subtropical and
238 tropical environments, as well as those for rice (combined TRS 472 datasets for radionuclides and
239 stable isotopes in rice), which were not assigned to any particular environment type in TRS 472. The
240 total number of comparisons across all TRS 472 datasets was 319.

241 The comparison of the revised tropical dataset to the TRS 472 temperate dataset yielded ratios that
242 were mostly (>80%) greater than 1. About 40% of the ratios were greater than 10 and about 14% were
243 greater than 100. By comparison, only about 2% of the ratios were less than 0.1. Ratios greater than
244 100 were observed for leafy vegetables (Fe, Pb, Sb and Th), non-leafy vegetables (Na and Th), root

245 crops (Ba, Ra, Th and U) and tubers (Ba, Cr, Pb, Sr and Th) for various soil types. The sign test, which
246 assessed whether there were significantly more ratios >1 than <1, provided a highly significant result
247 ($p < 0.001$) that the $CR_{\text{plant-soil}}$ values in the revised tropical dataset were generally greater than those
248 in the TRS 472 temperate dataset. As an illustrative example, Figure 2 shows ratios of the revised
249 tropical $CR_{\text{plant-soil}}$ values in the Cs, Sr, U and Ra sub-datasets (Tables 5–8) to the equivalent entry in the
250 TRS 472 temperate tables.

251 The comparison to the TRS 472 subtropical dataset gave a similar result to that for the temperate
252 dataset. The general trend was for ratios greater than 1, with the sign test confirming that this trend
253 was highly significant ($p < 0.001$).

254 For the comparison to the TRS 472 tropical dataset, about 73% of the ratios were between 0.1 and 10,
255 indicating that the $CR_{\text{plant-soil}}$ values in the revised tropical dataset were mostly within a factor of 10
256 lower or higher than those in the TRS 472 tropical dataset. This is not unexpected, as the climate
257 categories of the two datasets are more closely aligned. The sign test indicated no significant
258 difference ($p > 0.05$) between the two datasets overall based on ratios. However, the ratios still
259 generally tended towards higher values. Examples of ratios greater than 10 included Cs and natural
260 radionuclides (Ra, Th and U) across a range of plant and soil types. There were only seven ratios less
261 than 0.1, with most of these being for fruits.

262 For the comparison to the TRS 472 rice data, most (>90%) of the ratios were greater than 1 and many
263 (>50%) were greater than 10. Examples of ratios greater than 10 included Cs, Ra and Th for a range of
264 soil types. The sign test returned a highly significant result ($p < 0.001$) that the rice $CR_{\text{plant-soil}}$ values in
265 the revised tropical dataset were generally higher than those in the TRS 472, which were derived from
266 data across a range of climate types.

267 **4. Discussion**

268 *4.1. Processes leading to higher $CR_{\text{plant-soil}}$ values in tropical environments*

269 The $CR_{\text{plant-soil}}$ values in the revised tropical dataset, compiled based on the K-G classification of tropical
270 (class A) climates, tend towards higher values overall than those in TRS 472 across all environment
271 types (Table 9). Although that was not a consistent outcome for each individual $CR_{\text{plant-soil}}$ value in the
272 revised tropical dataset (at least one comparable value was lower by a factor of >100), it was highly
273 statistically significant ($p < 0.001$) across the range of comparable values for comparisons to the TRS
274 472 temperate and subtropical datasets.

275 The tropical (class A) climates considered in the revised dataset are both warm and humid. The rainfall
276 in these climates can be either seasonal or continuous, but is quite reliable and copious in either case.
277 As a result, the type of vegetation growing in these environments tends to be lush and abundant, even
278 if sometimes seasonal. Soil microbiota, the main drivers of nutrient cycles in soils, also tend towards
279 higher populations in more humid environments (Sieverding, 1990; Twining et al., 2004; Visser, 1969).
280 Overall, vigorous plant growth is typical under these climatic conditions. However, such growth also
281 depends on the type and nutritional status of the associated soil.

282 Common and abundant soils in tropical environments include Acrisols, Alisols, Andosols, Ferralsols,
283 Gleysols, Lixisols and Nitisols (IUSS Working Group WRB, 2015). Despite the common opinion that
284 these soils are generally lateritic, acidic and infertile, it is only the case for about 7% of tropical soils
285 (Sanchez, 2000). Most tropical soils are deep and well developed. However, the upper layers are often
286 depleted in more complex clay minerals such as illite, with 1:1 type clays such as kaolinite being more
287 common (Finkl, 2008; Punke, 2017). The prevailing clay mineralogy can substantively reduce cation

288 exchange capacity (CEC) and hence make dissolved elements (including radionuclides) in the active
289 rooting zone more available for uptake in these environments. This characteristic may be one reason
290 for the generally higher $CR_{\text{plant-soil}}$ values in tropical environments.

291 The tendency towards luxuriant growth is constrained by, and contributes to, nutrient limitation in
292 the surface soils in tropical environments. The low levels of nutrients are also exacerbated by the low
293 CEC noted above in that any nutrients may be washed down the soil profile by the abundant input of
294 rainwater to the soil surface. These processes lead to reduced levels of dissolved substances in surface
295 soils and may be another factor for comparatively higher $CR_{\text{plant-soil}}$ values in tropical environments by
296 decreasing surface soil concentrations of elements and radionuclides available for root uptake.

297 As noted above, soil microbial populations, particularly fungi, are supported by ready availability of
298 soil moisture. Soil fungi associated with plant roots, particularly vesicular arbuscular mycorrhiza
299 (VAM), greatly enhance effective root surface areas (Bonfante and Genre, 2010; Garrett, 1981;
300 Hoeksema et al., 2018). Given their relative abundance in moist tropical soils, this is another possible
301 reason for the observed tendency towards higher $CR_{\text{plant-soil}}$ values in tropical environments. The high
302 microbial loads also lead to rapid decomposition of infalling organic matter, particularly leaf litter, and
303 the re-adsorption of associated nutrients and their chemical analogues. Hence, any materials lost by
304 leaf fall are readily made available to the roots of the plants. Further, the rapid decomposition of
305 organics leads to a reduction in the ability of such ligands to complex with any dissolved elements.
306 This may also contribute to the observed trend for higher $CR_{\text{plant-soil}}$ values in tropical environments.

307 The issues discussed above are pertinent to natural ecosystems. The same basic principles apply to
308 agricultural land within tropical (class A) climates. However, human activities inevitably have some
309 influence on altering natural patterns. Agricultural systems applied across tropical environments range
310 from gathering wild plants and basic subsistence methods, such as 'slash and burn', to broad scale
311 industrial agriculture using machines and chemicals (National Research Council, 1993). All such
312 systems employ methods to enhance plant nutrition, to amend soil organic loads and to adapt soil
313 moisture levels. As such, there are a multitude of potential factors that could influence radionuclide
314 transfer and related $CR_{\text{plant-soil}}$ values within such systems. Some of the more critical factors include
315 alteration of dissolved nutrient levels, artificial physical alteration of soil profiles, irrigation, soil
316 organic matter amendment, soil pH, soil Eh, crop type and others. A more detailed discussion of these
317 factors as they relate to tropical systems is available in Tagami et al. (2012).

318 *4.2. Application of the revised tropical $CR_{\text{plant-soil}}$ values*

319 The revised $CR_{\text{plant-soil}}$ values for tropical environments have been derived from data for numerous sites
320 worldwide that meet the K-G definition of tropical (class A) climate. Hence, they are broadly
321 representative of the soil to plant transfer of radionuclides under such climate conditions and can be
322 considered generic values for tropical environments globally.

323 The revised $CR_{\text{plant-soil}}$ values can be used in radiological assessment models to predict radionuclide
324 activity concentrations in crops due to root uptake from soils. However, given their generic nature,
325 their accuracy is limited to providing a general guide of the expected doses. Therefore, the values are
326 most suitable for use in screening assessments to evaluate the radiological significance of exposures
327 via the food chain. It is generally expected that several conservative assumptions would accompany
328 the use of the values in screening assessments to ensure that doses are not underestimated.

329 Similar to the advice given in TRS 472, the revised $CR_{\text{plant-soil}}$ values are not generally recommended for
330 use in situations where an accurate estimate of the dose is required. This may include situations where
331 the initial screening assessment was not passed or where there is a specific need to document the

332 actual radiation risk from crops (possibly so it can be put into context with other known risks). In such
333 cases, site-specific data will likely provide the most realistic estimate of the dose. The revised $CR_{\text{plant-soil}}$
334 values are also not recommended for use in emergency exposure situations where equilibrium
335 conditions for the movements of radionuclides into and out of the relevant environmental
336 compartments are not met. Assessors should consider the purpose of their assessment and ensure
337 that the use of the revised $CR_{\text{plant-soil}}$ values available in the TECDOC is fit for that purpose.

338 *4.3. Addressing data gaps*

339 Although the revised tropical dataset is an improvement over that of TRS 472, data gaps remain (as
340 can be seen in Table 3) and may need filling depending on the specific scenario under assessment.
341 Gap filling methods are described in TRS 472 and include the use of analogue isotopes, analogue
342 elements and analogue species (i.e. plant groups). However, gap filling represents a source of
343 uncertainty in the assessment and careful selection of analogues is required to minimise this
344 uncertainty to the extent practicable.

345 **5. Conclusions**

346 The revised dataset of $CR_{\text{plant-soil}}$ values for tropical environments developed under the IAEA MODARIA
347 II programme provides many additional entries than were available in TRS 472 for tropical
348 environments. It also benefits from being based on clearly defined criteria for data selection by
349 following the K-G classification of tropical (class A) climates.

350 Where comparisons could be made, the $CR_{\text{plant-soil}}$ values in the revised tropical dataset were generally
351 higher than those in TRS 472 for the equivalent element – plant group – plant compartment – soil
352 group combination. This trend was significant ($p < 0.05$) for comparisons made to the TRS 472 datasets
353 for temperate and subtropical environments and rice, but not for tropical environments.

354 Various biogeochemical factors pertinent to tropical environments may lead to higher $CR_{\text{plant-soil}}$ values.
355 These factors include soil moisture levels, a preponderance of low activity clays, low nutrient
356 availability and high microbial populations in soil, amongst others. Regional agricultural practice
357 factors are also likely to influence the data. Experimental studies would be beneficial to confirm these
358 observations.

359 The revised $CR_{\text{plant-soil}}$ values can be used to predict radionuclide activity concentrations in crops due
360 to root uptake from soil in tropical environments. However, they are generic values, best suited for
361 conservative screening assessments. Where accurate estimates of radiation dose are required, site-
362 specific data should be used.

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430 Table 1. Plant groups (subgroups) and plant compartments in the revised tropical dataset.

Plant group	Plant compartment
Cereals	Grains, seeds and pods Stems and shoots
Maize	Grains, seeds and pods Stems and shoots
Rice	Grains, seeds and pods Stems and shoots
Leafy vegetables	Leaves
Non-leafy vegetables	Fruits, heads, berries and buds
Leguminous vegetables	Grains, seeds and pods
Root crops	Roots
Tubers	Tubers
Fruits (herbaceous plants, shrubs, woody trees, non-woody trees)	Fruits, heads, berries and buds
Grasses	Stems and shoots
Herbs	Grains, seeds and pods Leaves Rhizomes Roots Stems and shoots Whole plant
Medicinal plants (shrubs, woody trees, non-woody trees)	Bark Leaves
Other crops	Cacao beans Coffee beans Peanuts

431

432 Table 2. Soil groups in the revised tropical dataset.

Soil group	Definition
Sand	Sand content \geq 65% and clay content $<$ 18%, or texture described as sandy
Clay	Clay content \geq 35%, or texture described as clayey
Loam	Clay content between 18% and 35%, or texture described as loamy
Organic	Organic matter content \geq 20%
Coral sand	Marshall Islands soils
Unspecified	No characterisation information

433

434 Table 3. Data availability for CR_{plant-soil} values in the revised tropical dataset.

Element	Cereals	Maize	Rice	Leafy vegetables	Non-leafy vegetables	Leguminous vegetables	Root crops	Tubers	Fruits	Grasses	Herbs	Medicinal plants	Other crops
Al													
Am													
As													
Ba													
Ca													
Cd													
Co													
Cr													
Cs													
Cu													
Fe													
Hg													
K													
La													
Mg													
Mn													
Mo													
Na													
Ni													
P													
Pb													
Po													
Pu													
Ra													
Rb													
S													
Sb													
Sc													
Se													
Sm													
Sr													
Th													
U													
V													
Y													
Zn													

Key	0	1-10	11-50	51-100	>100
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437 Table 4. Availability of CR_{plant-soil} values with specific soil group information in the revised tropical
 438 dataset.

Element	Cereals	Maize	Rice	Leafy vegetables	Non-leafy vegetables	Leguminous vegetables	Root crops	Tubers	Fruits	Grasses	Herbs	Medicinal plants	Other crops
Al													
Am									X				
As													
Ba													
Ca													
Cd													
Co													
Cr													
Cs	X	X		X	X	X		X	X		X		
Cu													
Fe													
Hg													
K			O				O, X	O	X		X	X	
La													
Mg													
Mn													
Mo													
Na													
Ni													
P													
Pb													
Po													
Pu									X				
Ra			O				O	O					
Rb													
S													
Sb													
Sc													
Se													
Sm													
Sr									X				
Th			O				O	O					
U													
V													
Y													
Zn													

Key	Loam	Sand	Clay	Organic	O	Coral sand	X
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441 Table 5. Revised tropical $CR_{\text{plant-soil}}$ values for Cs for all soil groups (an asterisk (*) indicates all soil groups excluding coral sand).

Plant group (subgroup)	Plant compartment	N ^a	AM ^b	SD ^c	GM ^d	GSD ^e	Minimum	Maximum
Cereals	Grains, seeds and pods	21	9.4E+0	9.1E+0	6.7E+0	2.3	1.3E-1	3.1E+1
<i>Cereals</i>	<i>Grains, seeds and pods</i>	6	2.3E-1	1.2E-1	2.1E-1	1.6	1.3E-1	3.3E-1
Rice	Grains, seeds and pods	33	2.6E-1	3.2E-1	1.7E-1	2.6	3.4E-2	3.7E-1
Leafy vegetables	Leaves	117	2.3E+1	3.9E+1	1.2E+1	3.2	3.4E-1	2.5E+2
Leafy vegetables*	Leaves	66	1.8E+0	1.6E+0	1.3E+0	2.2	3.4E-1	2.9E+0
Non-leafy vegetables	Fruits, heads, berries and buds	66	1.0E+0	1.6E+0	5.5E-1	3.0	2.8E-3	9.7E+0
Non-leafy vegetables*	Fruits, heads, berries and buds	55	5.2E-1	5.5E-1	3.6E-1	2.4	2.8E-3	2.3E+0
Leguminous vegetables	Grains, seeds and pods	8	6.6E-1	1.3E+0	2.9E-1	3.6	8.4E-3	3.9E+0
Leguminous vegetables*	Grains, seeds and pods	7	1.9E-1	1.0E-1	1.7E-1	1.6	8.4E-3	2.6E-1
Root crops	Roots	18	2.9E-1	3.3E-1	1.9E-1	2.5	7.1E-2	8.1E-1
Tubers	Tubers	7	1.6E+0	1.5E+0	1.2E+0	2.2	1.6E-1	3.8E+0
Tubers*	Tubers	4	5.7E-1	3.4E-1	4.9E-1	1.7	1.6E-1	1.0E+0
Fruits (all fruits)	Fruits, heads, berries and buds	718	2.5E+0	2.6E+1	2.5E-1	8.6	4.5E-3	4.1E+1
Fruits (all fruits)*	Fruits, heads, berries and buds	14	6.4E-2	6.7E-2	4.4E-2	2.4	4.5E-3	2.3E-1
Fruits (woody trees)	Fruits, heads, berries and buds	42	3.2E-1	1.1E+0	8.7E-2	5.0	4.5E-3	3.7E+0
Fruits (woody trees)*	Fruits, heads, berries and buds	7	2.4E-2	5.1E-2	1.0E-2	3.7	4.5E-3	1.4E-1
Fruits (non-woody trees)	Fruits, heads, berries and buds	671	2.7E+0	2.6E+1	2.8E-1	8.4	1.0E-1	4.1E+1
Grasses	Stems and shoots	16	1.3E-1	7.7E-2	1.1E-1	1.8	4.0E-2	3.4E-1
Herbs	Leaves	48	5.1E+0	1.1E+1	2.1E+0	3.8	4.0E-2	5.7E+1
Herbs*	Leaves	40	6.3E-1	1.7E-1	6.1E-1	1.3	4.0E-2	3.0E+0
Medicinal plants (woody trees)	Leaves	55	3.4E-1	1.7E-1	3.0E-1	1.6	5.0E-2	1.5E+0
Medicinal plants (non-woody trees)	Leaves	12	6.3E-1	n/a ^f	n/a	n/a	2.3E-1	1.3E+0

442 ^aN: number of observations in all studies.

443 ^bAM: arithmetic mean.

444 ^cSD: standard deviation.

445 ^dGM: geometric mean.

446 ^eGSD: geometric standard deviation.

447 ^fn/a: value not available.

448 Table 6. Revised tropical $CR_{\text{plant-soil}}$ values for Sr for all soil groups (an asterisk (*) indicates all soil groups excluding coral sand).

Plant group (subgroup)	Plant compartment	N ^a	AM ^b	SD ^c	GM ^d	GSD ^e	Minimum	Maximum
Cereals	Grains, seeds and pods	6	6.0E-1	2.0E-1	5.7E-1	1.4	4.4E-1	7.6E-1
Rice	Grains, seeds and pods	28	3.5E-1	3.4E-1	2.6E-1	2.2	1.2E-1	8.9E-1
Leafy vegetables	Leaves	35	8.1E+0	8.0E+0	5.8E+0	2.3	1.6E-1	1.8E+1
Non-leafy vegetables	Fruits, heads, berries and buds	4	6.2E-1	5.9E-2	6.1E-1	1.1	5.5E-1	6.9E-1
Leguminous vegetables	Grains, seeds and pods	17	3.4E+0	1.4E+0	3.2E+0	1.5	5.8E-1	5.9E+0
Root crops	Roots	6	2.0E+0	2.2E-1	2.0E+0	1.1	1.1E+0	3.0E+0
Tubers	Tubers	23	3.8E+1	6.2E+1	2.0E+1	3.1	7.0E-2	2.2E+2
Fruits (all fruits)	Fruits, heads, berries and buds	191	4.4E-1	1.1E+0	1.6E-1	4.1	6.0E-4	7.4E+0
Fruits (all fruits)*	Fruits, heads, berries and buds	93	9.0E-1	1.5E+0	4.6E-1	3.2	1.9E-3	7.4E+0
Fruits (herbaceous plants)	Fruits, heads, berries and buds	18	2.1E-1	5.5E-1	7.8E-2	4.1	1.9E-3	2.5E+0
Fruits (shrubs)	Fruits, heads, berries and buds	6	5.5E-1	5.0E-1	4.1E-1	2.2	4.8E-2	1.4E+0
Fruits (woody trees)	Fruits, heads, berries and buds	76	1.0E+0	1.6E+0	5.4E-1	3.1	1.4E-2	7.4E+0
Fruits (non-woody trees)	Fruits, heads, berries and buds	91	1.2E-2	8.9E-2	1.6E-3	7.5	6.0E-4	8.3E-1
Herbs	Leaves	8	1.0E+0	7.6E-1	8.3E-1	1.9	9.0E-2	2.8E+0
Medicinal plants (woody trees)	Leaves	15	1.2E+0	7.1E-1	1.1E+0	1.7	1.8E-1	2.7E+0

449 ^aN: number of observations in all studies.

450 ^bAM: arithmetic mean.

451 ^cSD: standard deviation.

452 ^dGM: geometric mean.

453 ^eGSD: geometric standard deviation.

454 Table 7. Revised CR_{plant-soil} values for U for all soil groups.

Plant group (subgroup)	Plant compartment	N ^a	AM ^b	SD ^c	GM ^d	GSD ^e	Minimum	Maximum
Cereals	Grains, seeds and pods	6	2.0E-3	5.4E-4	1.9E-3	1.3		
Maize	Grains, seeds and pods	4	6.4E-1	1.4E-1	6.2E-1	1.2	4.7E-1	8.0E-1
Rice	Grains, seeds and pods	15	9.2E-2	5.1E-2	8.1E-2	1.7	4.0E-2	2.0E-1
Leafy vegetables	Leaves	9	4.9E-1	4.1E-1	3.8E-1	2.1	2.1E-2	1.3E+0
Non-leafy vegetables	Fruits, heads, berries and buds	33	1.2E-2	2.3E-2	5.9E-3	3.4	6.0E-4	3.1E-2
Root crops	Roots	34	4.8E-1	5.6E-1	3.1E-1	2.5	1.9E-2	2.7E+0
Tubers	Tubers	49	1.8E-1	2.6E-1	1.0E-1	2.9	1.6E-3	1.5E+0
Fruits (all fruits)	Fruits, heads, berries and buds	134	1.3E-2	4.6E-2	3.7E-3	5.0	1.2E-5	3.5E-1
Fruits (herbaceous plants)	Fruits, heads, berries and buds	15	2.1E-3	3.3E-3	1.2E-3	3.0	1.2E-5	1.0E-2
Fruits (shrubs)	Fruits, heads, berries and buds	12	2.1E-3	3.8E-3	1.0E-3	3.3	1.4E-4	1.3E-2
Fruits (woody trees)	Fruits, heads, berries and buds	84	2.2E-3	3.7E-3	1.1E-3	3.2	3.7E-5	2.2E-2
Fruits (non-woody trees)	Fruits, heads, berries and buds	23	6.7E-2	9.6E-2	3.9E-2	2.9	1.4E-4	3.5E-1
Grasses	Stems and shoots	2	3.1E-1	-	-	-	2.6E-1	3.5E-1
Herbs	Leaves	2	2.7E-3	-	-	-	n/a ^f	n/a
Medicinal plants (shrubs)	Leaves	5	5.7E-3	2.3E-3	5.3E-3	1.5	n/a	n/a
Medicinal plants (woody trees)	Leaves	10	9.6E-3	3.5E-3	9.0E-3	1.4	5.5E-3	1.4E-2
Medicinal plants (non-woody trees)	Leaves	10	3.0E-3	8.2E-4	2.8E-3	1.3	1.4E-3	4.5E-3
Other crops	Peanuts	3	5.1E-1	7.0E-1	3.0E-1	2.8	1.0E-2	1.5E+0

455 ^aN: number of observations in all studies.

456 ^bAM: arithmetic mean.

457 ^cSD: standard deviation.

458 ^dGM: geometric mean.

459 ^eGSD: geometric standard deviation.

460 ^fn/a: value not available.

461 Table 8. Revised tropical CR_{plant-soil} values for Ra for all soil groups.

Plant group (subgroup)	Plant compartment	N ^a	AM ^b	SD ^c	GM ^d	GSD ^e	Minimum	Maximum
Maize	Grains, seeds and pods	1	8.5E-3	-	-	-	-	-
Rice	Grains, seeds and pods	78	1.3E-1	2.2E-1	7.0E-2	3.1	2.2E-3	9.8E-1
Leafy vegetables	Leaves	69	3.3E-1	3.5E-1	2.2E-1	2.4	1.0E-2	2.0E+0
Non-leafy vegetables	Fruits, heads, berries and buds	85	1.4E-1	2.5E-1	6.6E-2	3.4	1.2E-3	7.6E-1
Leguminous vegetables	Grains, seeds and pods	15	1.3E-1	1.3E-1	9.7E-2	2.2	6.3E-3	4.2E-1
Root crops	Roots	52	7.9E-1	7.8E-1	5.6E-1	2.3	4.0E-2	5.0E+0
Tubers	Tubers	45	6.2E-1	9.0E-1	3.5E-1	2.9	3.9E-3	4.1E+0
Fruits (all fruits)	Fruits, heads, berries and buds	177	2.8E-2	5.6E-2	1.2E-2	3.6	2.4E-4	4.6E-1
Fruits (herbaceous plants)	Fruits, heads, berries and buds	21	1.6E-2	2.4E-2	8.8E-3	3.0	2.5E-4	9.5E-2
Fruits (shrubs)	Fruits, heads, berries and buds	11	2.0E-2	2.9E-2	1.2E-2	2.9	1.1E-3	9.1E-2
Fruits (woody trees)	Fruits, heads, berries and buds	105	2.0E-2	2.6E-2	1.2E-2	2.7	2.4E-4	1.0E-1
Fruits (non-woody trees)	Fruits, heads, berries and buds	40	5.5E-2	1.0E-1	2.7E-2	3.4	3.8E-4	4.6E-1
Grasses	Stems and shoots	35	5.9E+0	7.4E+0	3.7E+0	2.6	4.0E-3	3.0E+1
Herbs	Leaves	90	2.1E-1	2.7E-1	1.3E-1	2.7	6.3E-3	2.0E+0
Medicinal plants (shrubs)	Bark	1	7.0E-2	-	-	-	-	-
Medicinal plants (shrubs)	Leaves	11	1.2E-1	8.8E-2	9.2E-2	2.0	3.7E-2	3.3E-1
Medicinal plants (woody trees)	Bark	28	1.4E-1	7.1E-2	1.2E-1	1.6	7.0E-2	2.7E-1
Medicinal plants (woody trees)	Leaves	83	1.1E-1	1.0E-1	8.1E-2	2.2	1.5E-2	6.5E-1
Medicinal plants (non-woody trees)	Leaves	20	8.6E-2	7.6E-2	6.4E-2	2.1	9.7E-3	3.8E-1

462 ^aN: number of observations in all studies.

463 ^bAM: arithmetic mean.

464 ^cSD: standard deviation.

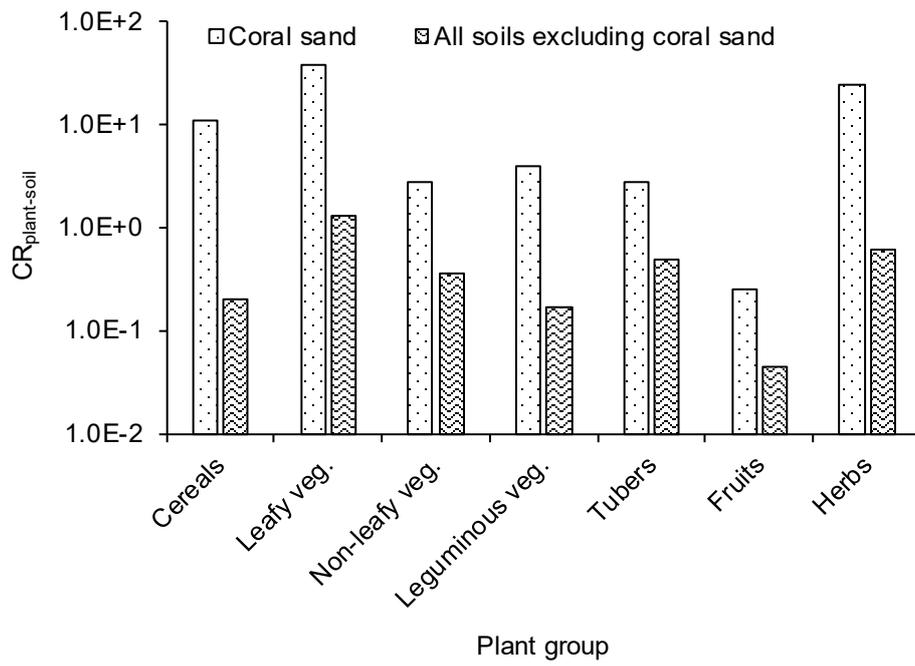
465 ^dGM: geometric mean.

466 ^eGSD: geometric standard deviation.

467 Table 9. Ratio of $CR_{\text{plant-soil}}$ values between the revised tropical dataset and TRS 472 datasets.

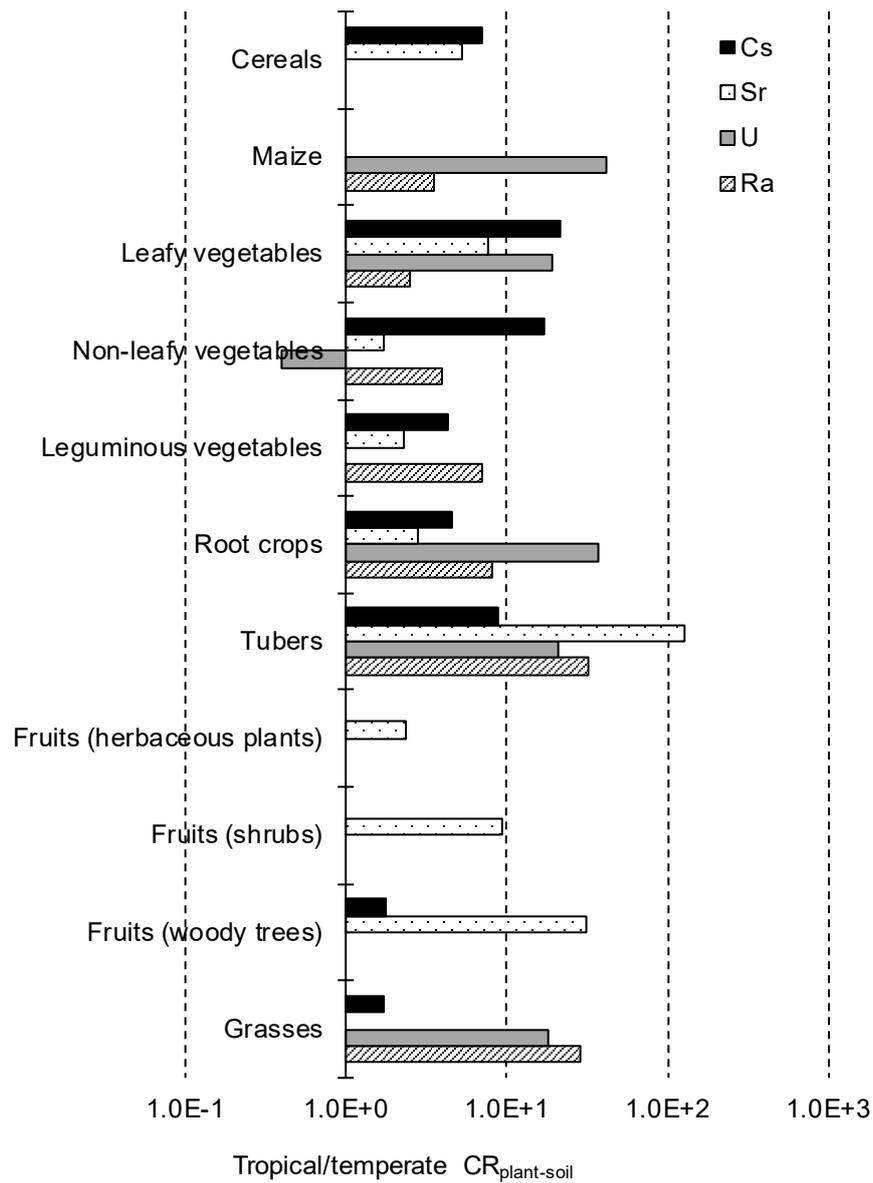
TRS 472		Number of comparisons by ratio class						All
Table no.	Description	≤ 0.01	$>0.01-0.1$	$>0.1-1$	$>1-10$	$>10-100$	>100	
17 & 19	Temperate	0	3	25	64	43	22	157
20	Tropical	1	6	41	20	7	9	84
21	Subtropical	0	1	8	25	13	2	49
22 & 23	Rice	0	0	2	10	10	7	29

468



470

471 Figure 1. Geometric mean values of the Cs $CR_{\text{plant-soil}}$ for coral sand soils and all soils excluding coral
472 sand.



473

474 Figure 2. Illustrative example of the ratios between $CR_{\text{plant-soil}}$ values in the revised tropical dataset and
 475 the equivalent entry in the TRS 472 temperate dataset for selected elements (ratios were calculated
 476 using the relevant *GM* value from each dataset if available, otherwise the *AM* value was used; ratios
 477 >1 indicate higher $CR_{\text{plant-soil}}$ values in the revised tropical dataset and ratios <1 indicate higher $CR_{\text{plant-}}$
 478 $_{\text{soil}}$ values in the TRS 472 temperate dataset).