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1	Radiocesium concentration ratios and radiation dose to wild rodents in Fukushima
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1 Abstract

2 Radiocesium was dispersed from the Fukushima Dai-ichi disaster in March 2011, causing 3 comparatively high radioactive contamination in nearby environments. Radionuclide 4 concentrations in wild rodents (Apodemus argenteus, and Apodemus speciosus) within these 5 areas were monitored from 2012-2016. However, whole-organism to soil transfer parameters 6 (i.e., concentration ratio, CR_{wo-soil}) for wild rodents at Fukushima were not determined and hence 7 were lacking from the international transfer databases. We augmented the 2012-2016 data by 8 collecting soil activity concentrations (Bq/kg, dry mass) from five rodent sampling sites in 9 Fukushima Prefecture, and developed corresponding CR_{wo-soil} values for radiocesium (¹³⁴Cs and 10 ¹³⁷Cs) based on rodent radioactivity concentrations (Bq/kg, fresh mass). The CR_{wo-soil} were added 11 to the Wildlife Transfer Database (WTD; http://www.wildlifetransferdatabase.org/), supporting 12 the development of the International Commission on Radiological Protection's (ICRP) 13 environmental protection framework, and increasing the WTD from 84 to 477 entries for cesium 14 and Muridae ('Reference Rat'). Significant variation occurred in CRwo-soil values between study sites within Fukushima Prefecture. The geometric mean CR_{wo-soil}, in this paper, was higher than 15 16 that reported for Muridae species for Chernobyl. 17 Radiocaesium absorbed dose rates were also estimated for wild rodents inhabiting the 18 five Fukushima study sites and ranged from 1.3-33 μ Gy h⁻¹. Absorbed dose rates decreased by a

19 factor of two from 2012-2016. Dose rates in highly contaminated areas were within the ICRP

20 derived consideration reference level for Reference Rat (0.1-1 mGy d⁻¹), suggesting the possible

21 occurrence of deleterious effects and need for radiological effect studies in the Fukushima area.

22 Keywords: Reference Rat; internal dose; external dose; ERICA Tool; concentration ratio

1 1. Introduction

Research quantifying the radiation dose received by wildlife has increased as the
scientific community tries to assess the impact of radiation on the environment (Hinton et al.,
2013) and support the development of environmental radiation protection (ICRP, 2008; IAEA,
2014a). Better understanding the environmental transfer of radionuclides will improve estimates
of radiation doses received by wildlife from radionuclide releases (Whicker et al., 1999), and
reduce the uncertainties associated with environmental impact assessments (Beresford et al.
2008a).

9 Simplified compartmental models are often used to estimate radionuclide uptake by 10 wildlife (e.g. Brown et al., 2008). Radionuclide activity concentration data from open source 11 monitoring programs and studies in radioactively contaminated environments can improve such compartmental models. A commonly used compartmental model for radiological assessments of 12 terrestrial wildlife is the whole-organism to soil concentration ratio (CR_{wo-soil}, (IAEA, 2014a)). 13 14 The CR_{wo-soil} is the equilibrium ratio of radionuclide activity concentration in a whole-organism 15 (Bq kg⁻¹ fresh mass; fm) to the radionuclide concentration in soil (Bq kg⁻¹ dry mass; dm; IAEA, 16 2014b; ICRP, 2009b). CR_{wo-soil} values provide a pragmatic approach to estimate radioactivity 17 concentrations in organisms for screening assessments, without the need to measure radioactivity 18 levels in organisms. CR_{wo-soil} values, or some other predictive approach, are a necessity in 19 planned exposure assessments where radioactive releases have not yet occurred. 20 CR_{wo-soil} values are used to estimate radionuclide activity concentrations in organisms, 21 which in-turn are used to estimate internal doses. The estimated doses can be compared to 22 benchmark dose rates suggested as being protective of wildlife populations (see Howard et al., 23 2010), such as the Derived Consideration Reference Levels (DCRLs) suggested by the

International Commission for Radiological Protection (ICRP) (ICRP, 2008). The ICRP has
proposed a list of Reference Animals and Plants (RAPs) to support their radiological assessment
framework (ICRP, 2009a, 2008). One of the RAPs is a small terrestrial mammal, 'Reference Rat'
(defined as a generic representative of the *Muridae* family), which has a DCRL of 0.1-1 mGy d⁻¹
(ICRP, 2008). CR_{wo-soil} values for Reference Rat have been collated into the Wildlife Transfer
Database (WTD, (Copplestone et al., 2013)) to support the development of radiological
assessments (IAEA, 2014b; ICRP, 2009b).

31 Large variations exist in the WTD CR_{wo-soil} data, including those for Reference Ratcesium (Cs) (i.e. coefficient of variation, CV = 445%), which greatly increase the uncertainties 32 33 of any predictions from which they are derived. Such large variation in Reference Rat CRwo-soil 34 data is likely due to aggregating across sites and rodent species that have different life history 35 characteristics, such as diet. Additionally, in versions of the WTD used to support activities of 36 the assessment model development (Brown et al., 2016; IAEA, 2014b; ICRP, 2009b), the WTD 37 Reference Rat-Cs data were heavily biased towards CR_{wo-soil} studies from the Chernobyl 38 Exclusion Zone (Howard et al., 2013), hereafter referred to as 'Chernobyl'. Regionally biased 39 data may increase the uncertainty of estimates when extrapolating to other areas. It is logical to 40 speculate that more credible radionuclide transfer predictions can likely be obtained by using 41 site- and circumstance-specific data.

Herein, we report on radiocesium (¹³⁴Cs and ¹³⁷Cs) CR_{wo-soil} for 393 wild rodents
inhabiting five Japanese forest sites within Fukushima Prefecture and contaminated following
the Fukushima Dai-ichi Nuclear Power Plant (FDNPP) accident of 2011 (Chino et al., 2011).
The rodent radioactivity concentration data were published in an open source data paper
(Ishiniwa et al., 2019). Subsequently, we visited the five sites and collected soil samples for

47 radionuclide analyses. The radiocesium concentrations in wild rodents and soil samples were 48 then used to derive radiocesium $CR_{wo-soil}$ values, and to estimate absorbed radiation dose rates to 49 the species at each site.

50 2. Materials and Methods

51 2.1 Study area

52 Due to radioactive material dispersed from the FDNPP accident in 2011, the Japanese 53 Government evacuated people over an area of approximately 1150 km². The evacuated zone was 54 comprised mainly of forest (75%), rice paddies (10%), other agricultural fields (10%), and urban 55 areas (5%) (Steinhauser et al., 2014). Rodent sampling sites were within the forested terrain of 56 the evacuation zone, which has an annual average temperature of 11°C and average annual 57 precipitation of 1300 mm. Additional site information can be found in Ishiniwa et al. (2019). 58 *2.2 Rodent radiocesium data*

59 We used radiocaesium activity concentration data from Ishiniwa et al. (2019), collected at 60 five trapping grids (each with a 1 km radius) in different forests within Fukushima Prefecture 61 between August 2012 and August 2016 (Fig. 1). Their data consisted of radiocaesium activity 62 concentrations in 393 samples of Apodemus speciosus (large Japanese field mouse) and Apodemus argenteus (small Japanese field mouse), which are within the definition of the ICRP's 63 64 Reference Rat. Relevant life-history information for each species is provided in Table 1. Small 65 mammal monitoring protocols, and individual animal radiocesium activity concentration are provided in Ishiniwa et al., (2019). In brief, captured rodents were euthanized, and the head and 66 67 internal organs (stomach, intestine, liver, spleen, and reproductive organs) removed. The 68 remaining carcasses were homogenized individually and transferred to polystyrene containers (U8; diameter = 50 mm; height = 62 mm). 134 Cs and 137 Cs activity concentrations were measured 69

vising high-purity germanium (HpGe) detectors (GMX45P4-76, ORTEC, TN, or GCW7023,

71 Canberra industries Inc., TN) calibrated with a standard source (MX033U8PP, the Japan

72 Radioisotope Association). Gamma Studio (SEIKO EG&G CO., LTD., Tokyo, Japan) and

73 Spectrum Explorer (Canberra Industries Inc.) software were used to analyze the γ-ray spectra.

74 Radiocaesium activity concentrations were decay corrected to the day of capture.

75 2.3 Soils

76 Three, 9 cm deep soil cores (5 cm diameter) were collected from randomly selected 77 points within each of the five trapping grids during July 2018. Ambient dose rates (μ Sv h⁻¹) were 78 measured using a NaI scintillation survey meter (Hitachi TCS-172), at a height of 1 m above the 79 ground surface at each soil sampling location. The survey meter was calibrated with a standard source. Soil sampling and preparation was conducted according to Onda et al. (2015). Samples 80 81 were dried at 80°C, homogenized and placed into polystyrene containers (U8; diameter = 50 82 mm; height = 62 mm). All soils were analyzed for radiocesium activity concentrations using 83 HpGe detectors (GC3018, Canberra Industries Inc., Japan, Tokyo). The gamma-spectra obtained were analyzed with Gamma Explorer (Canberra Industries Inc.) with coincidence summing 84 85 correction applied. Samples were assayed until gamma-ray emissions of 604.7 and 661.6 keV, for ¹³⁴Cs and ¹³⁷Cs respectively, had standard deviations from counting statistics below 10%. 86 87 Results were subsequently decay corrected to the rodent sampling dates (2012-2016) to enable 88 the derivation of CR_{wo-soil} values. 89 2.4 Calculation of CR_{wo-soil} values

90 CR_{wo-soil} values were calculated from the fresh mass radiocesium activity concentrations
 91 determined in rodent carcasses collected at each study site by Ishiniwa et al. (2019), and the
 92 corresponding mean soil concentrations specific to each trapping grid. Activity concentrations in

rodents were considered to be whole-body values because radiocesium is relatively uniformly
distributed. Removing the internal organs prior to measurement was not considered a bias in the
whole-body estimations (Beresford et al., 2008b; Kubota et al., 2015). All measurement data
(¹³⁴Cs and ¹³⁷Cs activity concentrations in soil and CR_{wo-soil} values) are provided in the
supplementary information file.

98 2.5 Dose Assessment using the ERICA Tool

The ERICA Tool (version 1.3, Tier 3 probabilistic assessment; Brown et al., 2016, 2008) was used to estimate absorbed dose rates to both rodent species at all five study sites using the available soil and whole-body ¹³⁴Cs and ¹³⁷Cs activity concentrations. Other radionuclides had decayed to undetectable levels at the time of this study (Steinhauser et al., 2014). Due to yearly variations in sufficiency of rodent sample sizes, absorbed dose rates were estimated for 2012 and 2016 at sites N1 and N2; 2012 at site N3; and 2014 at sites N4 and N5.

105 To estimate external dose, we compared three different occupancy scenarios: (1) an "on-106 soil" occupancy factor of 1.0 was used within the ERICA Tool for both species (i.e. the rodents 107 were assumed to spend all of their time on the soil surface); (2) A. argenteus has a tendency to be 108 arboreal, therefore another scenario was conducted with time spent in the air (10 m) set to 50% 109 (i.e. mimicking time spent above ground in trees) and 50% "on-soil"; and (3) 50% of the time 110 spent "in-soil" (i.e. representing underground nesting) and 50% "on-soil". Lognormal 111 distributions for both soil and animal radiocaesium activity concentrations were assumed (in 112 accordance with Brown et al. 2008). Each site's average percent soil dry matter content value 113 was used (see Supplement material).

ERICA uses Dose Conversion Coefficients (DCCs; μGy h⁻¹per Bq kg⁻¹) that are
 radionuclide-specific. The DCCs convert activity concentrations in soils to external dose rate,

and activity concentrations in organisms to internal dose rate. Organism-specific DCCs are
calculated within the ERICA tool based on the geometry (shape and size) of the organism. We
used the "new organism" option in the ERICA Tool and input specific sizes of 0.03 m, 0.03 m,
0.11 m, 0.040 kg (height, width, length and mass) and of 0.03 m, 0.03 m, 0.075 m, 0.020 kg for *A. speciosus and A. argenteus*, respectively, based on information provided in Table 1 and
Kubota et al. (2015). The ERICA Tool default radiation weighting factors (10 for alpha, 3 for
low energy beta, and 1 for other beta/gamma) were used.

123 2.6 Statistical analysis

124 All statistical analyses were performed using MINITAB version 18. A P-value of less 125 than 0.05 was considered statistically significant. Prior to statistical analyses a log transformation 126 was applied to the radiocesium activity concentration data and subsequent CR_{wo-soil} values to 127 satisfy the assumption of normality. A general linear model (GLM) with Tukey pairwise comparison was used to compare ¹³⁷Cs CR_{wo-soil} values among species and sites. Additionally, 128 129 Mann-Whitney u-test was used to compare CR_{wo-soil} data from the WTD to this study. The ¹³⁷Cs 130 CR_{wo-soil} values were lognormal distributed (Kolomogorov-Smirnov test) and are summarized as geometric means (GM) and geometric standard deviations (GSD); arithmetic means (AM) and 131 132 standard deviations (SD) are provided for comparative purposes to reported CR_{wo-soil} studies and 133 for application in the ERICA Tool as described above.

134 **3. Results**

Summarized (134 Cs and 137 Cs) soil activity concentrations for each study site and measured ambient dose rates are provided in Table 2. Ambient dose rates were highest in areas where soil radiocesium activity concentrations were greatest and decreased as activity concentrations decreased ($r^2 = 0.92$).

Wild rodent radiocesium (¹³⁴Cs and ¹³⁷Cs) activity concentrations are summarized by
year in Table 3, for each site and species. The range of activity concentrations in rodents
exceeded three orders of magnitude, with the highest radiocesium concentration (780 kBq kg⁻¹,
site N1) observed in *A. speciosus* and the lowest (0.35 kBq kg⁻¹, N5) observed in *A. argenteus*. *3.1 CR_{wo-soil} values*

144 A total of 393 radiocesium CR_{wo-soil} values were derived from collated data and are

summarized in Table 4 by species and site. Paired t-tests indicated no statically significant

146 difference in the $CR_{wo-soil}$ of ¹³⁴Cs and ¹³⁷Cs isotopes (p >0.05). This agrees with other studies

147 (e.g., Barnett et al., 2014; Copplestone et al., 2013; ICRP, 2009a; Tagami et al., 2018), which

148 demonstrated CR_{wo-soil} are the same for all isotopes. Because CR_{wo-soil} values for ¹³⁴Cs and ¹³⁷Cs

149 were similar, subsequent statistical comparisons were based only on ¹³⁷Cs data.

150 *3.2 Contribution to the Wildlife Transfer Database*

151 Our 393 CR_{wo-soil} values for Reference Rat-Cs were added to values in the WTD. The

added data now comprise 80% of the WTD values for ICRP Reference Rat-Cs (ICRP, 2009b),

and 100% of the available $CR_{wo-soil}$ data for Reference Rat in Japan (Table 5). Integration of these

data into the WTD reduced the variation (CV) of CR_{wo-soil} for Reference Rat from 450% to

155 340%, and importantly provided Japanese-specific data for future regional screening

156 assessments.

157 3.3 CR_{wo-soil} values – Comparisons over time, between species and among locations

158 *A. speciosus* had a significantly higher $CR_{wo-soil}$ value than *A. argenteus* across our entire 159 dataset (p <0.05). However, further analysis showed there was a significant difference between 160 the two species at only one site (N1) (p <0.05). There was no trend with time in the $CR_{wo-soil}$ 161 values for either species (Fig. 2, p >0.05).

162 Site differences in CR_{wo-soil} values within Fukushima Prefecture occurred for each

163 species. For A. specious, CR_{wo-soil} values were significantly higher at sites N2 and N3 than site

164 N1 (p < 0.05). For *A. argenteus*, sites N2 and N4 had significantly higher CR_{wo-soil} values than site

165 N1 (p <0.05).

166 3.4 Comparison of Fukushima and Chernobyl data

167 $CR_{wo-soil}$ data from Chernobyl and our study sites both had log normal distributions with168significant variations indicating that the GM provides the most suitable measure of central169tendency. The GM for our study was higher than the GM reported for Reference Rat species in170Chernobyl studies (Table 5), which dominated the WTD. Additionally, there was a statistical171difference (p <0.01, Mann-Whitney u-test) between the CR_{wo-soil} for Chernobyl and our172Fukushima data.

173 *3.5 ERICA Tool absorbed dose rates*

Total absorbed dose rates (external and internal doses combined) for the Fukushima data ranged from 4.8-33 μ Gy h⁻¹, 1.3-17 μ Gy h⁻¹, and 2.3-9.6 μ Gy h⁻¹, in 2012, 2014, and 2016, respectively, assuming a 100% occupancy on the soil surface (Table 6). External irradiation accounted for the majority of the total radiation dose to both rodent species (about 66%). Similar contributions of internal dose rates to the total dose occurred in all years. Both external and internal dose rates declined by about 50% from 2012-2016, largely explained by the physical decay of ¹³⁴Cs.

181 Time spent in, on or above the soil altered the dose rates to rodents simulated by ERICA. 182 Estimated absorbed dose rates to *A. argenteus* decreased by about 8% when time spent in trees 183 was assumed to be 50% (Table 6). When below ground nesting was assumed to be 50% the 184 external dose rates for *A. argenteus* increased by approximately 20% (Table 6). 185

186 4. Discussion

187 Simple models based on soil contamination levels (e.g. CR_{wo-soil}) are routinely used to 188 estimate radioactivity concentrations in terrestrial biota and evaluate risks to humans and the 189 environment (IAEA, 2014b). A large range in CR_{wo-soil} values, with three to four orders of 190 magnitude variation, is typically seen for the transfer of radionuclides to specific wildlife groups 191 (IAEA, 2014b), including three orders of magnitude variation of the values in Cs for Reference 192 Rat (Copplestone et al., 2013; ICRP, 2009a). Some progress is being made to develop alternative 193 approaches that take into account the effect of site and possibly help address the lack of data for 194 many radionuclides and organisms (Beresford et al., 2016; Beresford and Willey, 2019). 195 However, most environmental assessment models used in regulatory screening assessments are 196 currently reliant on the CR_{wo-soil} approach (e.g. Beresford et al., 2008a; Brown et al., 2016; ICRP, 197 2009a; Oskolkov et al., 2011). Generic CRwo-media values (e.g. IAEA 2014) are only suitable for 198 screening-level assessments (Wood et al., 2013). However, users of CR_{wo-soil} values tend to 199 ignore their large variations, which can be especially misleading when $CR_{wo-soil}$ values are used 200 in more 'realistic' assessments, as opposed to conservative screening assessments. Consequently, 201 assessment approaches generally suggest using site-specific data rather than generic CR_{wo-soil} 202 values for assessments (e.g Brown et al., 2008; Jones et al., 2003; Sheppard, 2005). But even 203 CR_{wo-soil} values derived from site-specific data demonstrate large variations, as evidenced by the 204 Fukushima data reported herein (CV = 160%).

205 *4.1 Reference Rat CR_{wo-soil} values*

206 The $CR_{wo-soil}$ values from this study are within the ranges reported for Reference Rat 207 species (Table 5). The WTD collates data by element making no distinction for isotopes (i.e. 208 cesium CR_{wo-soil} for ¹³⁷Cs, ¹³⁴Cs and stable Cs are amalgamated). However, Reference Rat-Cs 209 CR_{wo-soil} values from studies using stable-element concentrations (e.g. Barnett et al., 2014; 210 Guillén et al., 2018) tend to be lower (by approximately an order of magnitude) than CRwo-soil 211 values estimated here (Table 5). Lower CR_{wo-soil} values for stable Cs have been reported by a 212 number of authors for many organisms (Barnett et al., 2014; Beresford et al., 2020; Copplestone 213 et al., 2013; Thørring et al., 2016). The lower CR_{wo-soil} values of stable elements are most likely 214 because radioisotopic fallout is a relatively recent addition to local soils, and has not had 215 comparable time to bind to inert soil components that reduce biological uptake. 216 Our CR_{wo-soil} values for A. speciosus can be compared to data from a highly contaminated site $(47 \pm 27 \text{ kBq m}^{-2})$ close to the FDNPP, where Kubota et al. (2015) measured ¹³⁷Cs activity 217 218 concentrations in A. speciosus (n = 48). Using the Kubota et al. data, we calculated their AM 219 137 Cs CR_{wo-soil} to be approximately 0.1 (range = 0.01 to 0.6). Their CR_{wo-soil} was lower than our 220 AM CR value of 0.5, but within our standard deviation (\pm 0.8). The lower AM CR_{wo-soil} derived 221 from Kubota et al. (2015) could merely reflect the large variation in CR_{wo-soil}, or may be due to 222 the reduced bioavailability of Cs isotopes locked into glassy particles found in highly 223 contaminated areas closer to the FDNPP (Johansen et al., 2020; Reinoso-Maset et al., 2020). 224 4.2 CR_{wo-soil} variation by species and location 225 Although there was a significant difference in CR_{wo-soil} values for the two species of wild rodents across our entire data (p <0.05), the significance was driven by just one of the five 226 227 trapping grids (N1, the site with the largest sample size for both species). When analyzed 228 separately by site, no difference in CR_{wo-soil} values were observed between A. speciosus and A. 229 argenteus $CR_{wo-soil}$ values at four of the five sites (p > 0.05). If the difference between species is

real, it may be due to dietary factors between both species (Table 1). A. speciosus consumes

roots, while *A. argenteus* consumes plant leaves, and radiocesium concentrations are often higher
in roots than in leaves (Cline and Hungate, 1960; Zhu and Smolders, 2000). Root consumption
would also enhance inadvertent ingestion of contaminated soil (Green and Dodd, 1988).
Additionally, *A. argenteus* has a tendency to be arboreal, and therefore might consume
arthropods in trees, such as spiders, which have significant differences in radiocesium
concentrations based on trophic levels (Tanaka et al., 2016).

237 Our expansion of the WTD for CR_{wo-soil} Reference Rat-Cs from 84 to 477 entries did not 238 change the GM reported in the WTD, and counters the suggestion by Wood et al. (2013) that 239 more CR_{wo-soil} data will strengthen the CR_{wo-soil} construct; combined Chernobyl and Fukushima 240 CR_{wo-soil} value for Reference Rat-Cs still range over two orders of magnitude. It is our opinion 241 that soil contamination levels are poor predictors of biota activity concentrations and that CR_{wo-} soil should only be used in screening level assessments. Conclusions from dose-effect research 242 243 that rely on generic CR_{wo-soil} values (e.g. Beaugelin-Seiller et al. 2020; Garnier-Laplace et al., 244 2015) should be viewed cautiously.

245 *4.3 Estimated external dose rates*

246 External irradiation accounted for the majority of the estimated absorbed dose rates for 247 wild rodents in our study when assuming a 100% 'on-soil' occupancy (60%, 73%, and 67%, in 248 2012, 2014, and 2016, respectively). However, our estimated external doses using the ERICA 249 Tool show that species-specific behaviors, such as the time an animal spends on the soil surface 250 versus underground (in-soil) or in trees (arboreal), can significantly influence external dose rates 251 for wild rodents (Table 6). Similar changes in external dose due to time spent in sub-habitats 252 (e.g. trees vs underground) was also documented for snakes wearing GPS-coupled dosimeters at 253 Fukushima (Gerke et al., 2020). We used the default assumption of homogenous contamination

of soil with burrows located at a depth of 25 cm, which may not reflect the actual situation and
result in uncertainty in our estimated dose rates (Beaugelin-Seiller, 2014). Although we defined
species-specific geometries approximating the size of *A. speciosus* and *A. argenteus* in this study,
the absorbed dose rates estimated using those geometries differed little from those estimated
using the ERICA Tool default 'Mammal – small burrowing' geometry (e.g. less than 1%
difference in the external dose rate estimates).

260 Ishiniwa et al. (2019) measured ambient dose rates (μ Sv h⁻¹) at all trapping sites (one 261 measurement at all five sites in 2012, and five measurements at all sites in 2013-2016) during the 262 rodent sampling program in which this paper is based. Estimated external absorbed dose rates 263 using the ERICA Tool, tended to be similar to ambient dose rates (Table 6). This is in agreement 264 with comparisons of ambient measurements to TLDs attached to small mammals in 265 contaminated areas of Chernobyl (Beresford et al., 2008b; Chesser et al., 2000); the conversion 266 factor for ambient dose rates (μ Sv h⁻¹) to absorbed dose (μ Gv) via specific air kerma being 267 relatively small for Cs isotopes (e.g. 1.1, Kubota et al. 2015). Pragmatically, taken together, this 268 suggests that ambient dose rate measurements (μ Sv h⁻¹) may be changed directly to external 269 absorbed dose rates (μ Gy h⁻¹) for estimating external absorbed dose rates for wild rodents. This 270 is also likely because of wild rodent's small home ranges, whereas recent studies of wolves, with 271 more complex use of their much larger home ranges, showed that the spatial-temporal aspects of 272 an animal's position within a contaminated environment dominated the differences in external 273 dose among animals within the population (Hinton et al., 2019), and that spatial-temporal aspects 274 of contamination should be better considered to improve external dose estimations.

275 *4.4 Uncertainty due to soil sampling strategy*

276 Radiocesium from FDNPP accident was distributed heterogeneously across the impacted 277 areas and large spatial variation has been observed (Kato et al., 2018; Mikami et al., 2015). Soil 278 concentrations tended to vary across relatively small distances, as shown by our sampling sites 279 and other studies over similar sampling areas using larger sampling campaigns (Anderson et al., 280 2019; Kubota et al., 2015a). We acknowledge that three soil concentration measurements at each 281 rodent trapping grid, used for deriving CR_{wo-soil} values and external absorbed dose rate estimates, 282 may not fully represent the wild rodents' environment. More field samples and interpolation of 283 contaminant levels (e.g. kringing method) across the habitat of the target biota would reduce 284 variation seen in our trapping grids. Additionally, variation seen in CR_{wo-soil} values may also be 285 caused by the soil radiocesium concentration back calculation. The decay correction from the 286 soil sampling campaign date to the respective rodent capture date ignores potential radiocesium 287 vertical migration that could have occurred between 2012 and 2016 (Konoplev et al., 2018; 288 Yoschenko et al., 2018). Radiocesium may have been more bioavailable to wild rodents 1-2 289 years after the FDNPP accident and surface contamination of vegetation would have been higher 290 than a simple decay correction implies.

291 4.5 Estimated absorbed dose rates in context with the ICRP DCRLs

The ICRP DCRLs are order of magnitude ranges in absorbed dose rates within, which there is likely to be some chance of deleterious radiation induced effects occurring to individuals (ICRP, 2008). For Reference Rat, the DCRL is approximated at 4-40 μ Gy h⁻¹ (0.1 – 1 mGy d⁻¹). In 2012, the estimated absorbed dose rates to sampled wild rodents were within this benchmark range (e.g. 33 μ Gy h⁻¹ for *A. speciosus* at N1; assuming a 100% occupancy on-soil surface). In 2014, absorbed dose rates to wild rodents were within the DCRL range at N4 (17 μ Gy h⁻¹). In 2016, average absorbed dose rates to wild rodents had decreased approximately 50% from 2012,

299	but some were still within the ICRP DCRL (e.g. 9.6 μ Gy h ⁻¹ for A. speciosus at N1). Our ERICA
300	simulated dose rates assumed 100% on-soil occupancy, but real dose rates could be higher
301	because both species of rodents are known to spend time underground (Ohdachi et al., 2009).
302	Some field studies have reported radiation effects on wild rodents, such as chromosomal
303	aberrations (Fujishima et al., 2020; Kubota et al., 2015b) and altered spermatogenesis (Takino et
304	al., 2017) in the severely contaminated areas of Fukushima. Given that our estimated absorbed
305	dose rates are within the DCRLs, additional studies of chronic effects on populations of exposed
306	wild rodents in areas of Japan receiving high levels of contamination are warranted. This
307	recommendation supports that from other studies of wild rodents sampled from Fukushima
308	impacted areas where the estimated total absorbed dose was 50 μ Gy h ⁻¹ in 2014 (Kubota et al.,
309	2015a) and 13-23 μ Gy hr ⁻¹ from 2012-2016 (Onuma et al., 2020).

310

311 **5.** Conclusion

312 The CR_{wo-soil} values presented in this paper are the largest reported data set for Reference 313 Rat-Cs from FDNPP contaminated sites. Although several studies have estimated absorbed dose 314 rates for wild rodents using various methodologies, to our knowledge no radiocesium CR_{wo-soil} 315 values have previously been published for species falling within the definition of Reference Rat 316 in Japan. The 393 CR_{wo-soil} values for Reference Rat-Cs have now been integrated into the WTD 317 (the data are entered as Reference ID 572 in the WTD (http://www.wildlifetransferdatabase.org/) 318 and comprise 80% of the data currently (February 2020) available for Reference Rat-Cs (ICRP, 319 2009b). The addition of our data has reduced the coefficient of variation by about 100%. The 320 revised WTD database is being used for the development of environmental protection frameworks (e.g. by the ICRP, https://www.icrp.org/icrp_group.asp?id=92). 321

322 CR_{wo-soil} values in this paper were within the range of other reported Reference Rat-Cs 323 CR_{wo-soil} values with the exception of CR_{wo-soil} values derived from stable-Cs. Generally, variation 324 in CR_{wo-soil} values, and their use to estimate internal dose, may have little impact on the 325 estimation of total dose, because external dose will likely dominate in situations similar to the 326 FDNPP accident (see also Howard et al., 2013).

Estimated absorbed dose rates for wild rodents dropped by about 50% from 2012-2016, largely due to ¹³⁴Cs decay, but the absorbed dose rates in highly contaminated sites in 2016 were still within the DCRL proposed by ICRP for Reference Rat. Thus, there is the possibility of deleterious effects, and additional studies on potential impacts of chronic exposures to small mammals and other species in the Fukushima impacted areas are warranted.

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- 542 Additional Information
- 543 **Supplementary information** accompanies this manuscript as a downloadable MS Excel (.xlsx)

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- 545 **Declaration of interest:** none
- 546 **Competing financial interests:** the authors declare no competing interests.

547 Figure legends

- 548 Figure 1. Wild rodents were captured at five sites in Fukushima Prefecture, Japan (N1, N2, N3,
- 549 N4, and N5). The Fukushima Dai-ichi Nuclear Power Plant is represented by a red X, with a 20
- 550 km radius (grey line in inset map). Provided are airborne ambient dose rate (μ Sv h⁻¹)
- 551 measurements in November 2018 provided by the Ministry of Education, Culture, Sports,
- 552 Science and Technology (MEXT) and Nuclear Regulation Authority (NSR) airborne monitoring
- 553 project. Presented map is sourced from Extension Site of Distribution Map of Radiation Dose
- 554 (MEXT/NSR) site (<u>https://ramap.jmc.or.jp/map</u>).
- 555 **Figure 2.** Box-whisker plots of annual ¹³⁷Cs CR_{wo-soil} values for (A) *Apodemus speciosus* and (B)
- 556 A. argenteus collected in Fukushima prefecture. Whiskers show -1.5 IQR of lower quartile and

- 557 +1.5 IQR of upper quartile, and each box shows lower and upper quartiles. Open circles
- 558 represent outliers in the data.

559	Table 1 : Life-history information for Apodemus speciosus and Apodemus argenteus in Japan.
560	

Name	Adult size ^a	Habitat ^a	Adult diet ^a	Home range ^{a,b}
Apodemus speciosus	Medium or large sized mouse-like appearance, 80-140 mm head and body length 20-60 g body weight	Forests, plantations, riverside fields, dense grasses, paddy fields, ground dweller little tendency to climb trees	Root and stems of plants, seeds, berries and insects	$304 - 1853 \text{ m}^2$
Apodemus argenteus	Small sized mouse-like appearance, 65-100 mm head to body length, 10-20 g body weight.	Wooded areas, lowlands to alpine zones, plantations and scrublands, preference to mature tree forests. Nests are below ground and occasionally in trees above ground.	Seeds, green plants, fruits, insects.	$200 - 1325 \text{ m}^2$

563 564 565 **Table 2.** Summarized data on ambient dose rate (μ Sv hr⁻¹) and radiocesium activity concentrations (kBq kg⁻¹ dm) in soil samples (n = 3) at each site in 2018.

Site	Arithmetic mean \pm SD		
	Ambient dose rate	^{134}Cs	¹³⁷ Cs
	(µSv hr ⁻¹)	(kBq kg ⁻¹ dm)	(kBq kg ⁻¹ dm)
N1	3.9 ± 0.40	5.5 ± 3.5	53 ± 35
N2	1.7 ± 0.06	1.1 ± 0.71	11 ± 6.2
N3	4.3 ± 0.25	4.2 ± 1.5	41 ± 14
N4	6.3 ± 0.46	6.9 ± 0.76	65 ± 4.3
N5	0.47 ± 0.06	0.64 ± 0.48	5.8 ± 4.3

Species	Site	Capture	Capture Number	¹³⁴ Cs activity concentration			¹³⁷ Cs activity concentration		
		year	of samples	$(kBq kg^{-1}, fm)$			$(kBq kg^{-1}, fm)$		
				Geometric	Arithmetic	Range	Geometric	Arithmetic	Range
				mean [GSD]	mean \pm SD		mean [GSD]	mean \pm SD	
A. speciosus	N1	2012	20	21 [4.2]	51 ± 74	0.68 - 310	32 [4.2]	78 ± 110	1.0 - 470
		2013	27	2.1 [1.8]	2.6 ± 1.8	0.84 - 8.5	4.2 [1.8]	5.2 ± 3.8	1.7 - 18
		2014	25	2.4 [2.5]	4.0 ± 5.0	0.81 - 22	6.5 [2.5]	11 ± 14	2.2 - 61
		2015	14	2.7 [2.2]	3.8 ± 3.7	1.0 - 12	10. [2.2]	14 ± 13	3.7 - 46
		2016	19	2.0 [2.1]	2.6 ± 1.8	0.39 - 7.4	11 [2.1]	14 ± 9.7	2.1 - 39
	N2	2012	18	3.2 [2.7]	5.1 ± 6.3	0.84 - 27	4.9 [2.6]	7.9 ± 9.8	1.4 - 43
		2013	11	2.1 [2.0]	2.6 ± 2.0	0.88 - 6.8	3.9 [1.9]	4.9 ± 3.4	1.6 - 13
		2014	19	2.2 [2.0]	2.8 ± 2.0	0.41 - 8.5	6.0 [2.0]	7.5 ± 5.2	1.1 - 22
		2015	17	2.7 [2.3]	3.9 ± 4.6	0.57 - 20	10. [2.2]	14 ± 17	2.6 - 74
		2016	23	0.69 [2.2]	0.93 ± 0.87	0.13 - 4.2	3.7 [2.2]	5.0 ± 4.7	0.71 - 23
	N3	2012	11	20 [3.2]	32 ± 27	3.2 - 74	31 [3.2]	49 ± 41	5.0 - 110
	N4	2014	10	7.9[2.2]	11 ± 11	2.5 - 39	21 [2.3]	30 ± 29	6.3 – 100.
	N5	2014	9	0.50 [2.9]	0.90 ± 1.3	0.10 - 4.1	1.3 [2.9]	2.3 ± 3.3	0.26 - 11
A. argenteus	N1	2012	30	5.7 [2.1]	8.1 ± 11	1.2 - 61	12 [2.0]	12 ± 16	3.8 - 89
		2013	20	2.2 [1.6]	2.4 ± 2.2	1.0 - 5.5	4.2 [1.6]	4.6 ± 2.4	2.0 - 11
		2014	16	1.3 [1.9]	1.6 ± 1.2	0.62 - 5.0	3.4 [1.9]	4.1 ± 3.1	1.7 - 13
		2015	7	0.69 [1.5]	0.73 ± 0.20	0.36 - 1.1	2.5 [1.5]	2.7 ± 0.90	1.3 - 3.9
		2016	7	1.1 [3.2]	2.1 ± 3.0	0.36 - 8.6	5.5 [3.2]	11 ± 16	1.9 - 46
	N2	2012	16	4.0 [2.0]	4.9 ± 3.2	0.90 - 13	5.9 [2.1]	7.4 ± 5.2	1.3 - 21
		2013	29	1.8 [2.7]	3.2 ± 4.7	0.36 - 23	3.5 [2.7]	6.3 ± 9.2	0.63 - 44
		2014	15	1.9 [2.3]	2.7 ± 2.5	0.44 - 9.5	5.4 [2.3]	7.6 ± 7.1	1.3 - 21
		2015	2	0.41 [3.3]	0.56 ± 0.50	0.18 - 0.95	1.4 [3.4]	2.0 ± 2.0	0.59 - 3.4
		2016	6	0.82 [2.8]	1.2 ± 1.0	0.16 - 2.9	4.3 [2.7]	6.2 ± 5.4	0.86 - 15
	N4	2014	16	7.2 [2.3]	9.8 ± 8.0	1.8 - 28	19 [2.4]	26 ± 21	4.5 - 71
	N5	2014	16	0.30 [2.1]	0.36 ± 0.2	0.093 - 0.77	0.78 [2.0]	0.94 ± 0.61	0.26 - 2.0

Table 3: Summarized data on radiocesium activity concentrations (kBq kg⁻¹, fm) for wild rodents in Fukushima Prefecture at five
 sites, from 2012 to 2016. All the individual data is available in Ishiniwa et al. 2019.

Species	Site	Number of	CR _{wo-soil}			
		CR _{wo-soil} derived				
			Geometric	Arithmetic	Range	
			mean [GSD]	mean ± SD		
A. speciosus	N1	105	0.2 [3]	0.4 ± 0.9	0.02 - 8	
	N2	88	0.5 [2]	0.7 ± 0.8	0.06 - 7	
	N3	11	0.7 [3]	1 ± 0.9	0.1 - 2	
	N4	10	0.3 [2]	0.4 ± 0.4	0.1 - 2	
	N5	9	0.2 [3]	0.4 ± 0.5	0.04 - 2	
	All	223	0.3 [3]	0.5 ± 0.9	0.02 - 8	
A. argenteus	N1	80	0.1 [2]	0.1 ± 0.2	0.02 - 1	
	N2	68	0.4 [3]	0.6 ± 0.6	0.05 - 4	
	N4	16	0.3 [2]	0.4 ± 0.3	0.06 - 0.3	
	N5	6	0.1 [2]	0.1 ± 0.1	0.04 - 0.3	
	All	170	0.2 [3]	0.3 ± 0.5	0.02 - 4	
All samples		393	0.2 [3]	0.5 ± 0.8	0.02 - 8	

Table 4. ¹³⁷Cs CR_{wo-soil} data for rodents at all study sites in Fukushima Prefecture.

570 **Table 5.** Summarized Reference Rat-Cs CR_{wo-soil} data from multiple studies (radio- and stable Cs). n/a - indicates the information is 571 not available.

Reference Rat study	Number of	Species	CR _{wo-soil}				
locations	CR _{wo-soil} derived						
			Geometric	Arithmetic mean	Range		
			mean [GSD]	± SD [CV%]			
<i>Radioisotope (</i> ^{134,137} <i>Cs)</i>							
Fukushima, Japan ^a	393	A. argenteus; A. speciosus	0.2 [3]	0.5 ± 0.8 [160]	0.02 - 8		
Chernobyl, Ukraine ^{b,c}	94	A. flavicollis, A. agrarius,	0.1 [3]	0.3 ± 0.4 [133]	0.01 - 2		
		Micromys minutes, A. sylvaticus					
Stable isotope (¹³³ Cs)							
Chernobyl, Ukraine ^b	3	A. flavicollis	0.07 [2]	0.08 ± 0.03 [38]	0.005 - 0.1		
United Kingdom ^d	3	A. sylvaticus	n/a	0.01 ± 0.01 [100]	0.005 - 0.03		
Spain ^e	12	A. sylvaticus	n/a	0.04 ± 0.04 [100]	0.003 - 0.08		
Radio- and stable Cs							
WTD ^f	84	Muridae	0.2 [6]	1.0 ± 4 [445]	0.005 - 40		
Japan data ^a plus WTD ^f	477	Muridae	0.2 [4]	0.5 ± 2 [341]	0.005 - 40		

^aThis study; ^bBeresford et al., 2020, 2008b; ^cOskolkov et al. 2011; ^dBarnett et al., 2014; ^cGuillien et al., 2018; ^fICRP, 2009a (WTD as described by Copplestone et al. 2013).

Site	Year	Species	Ambient dose	Absorbed dose rate					
		-	rate $(\mu Sv h^{-1})^a$	$(\mu Gy h^{-1})$	l)				
				Internal	External			Total ^b	Range $(5^{\text{th}} - 95^{\text{th}} \text{ percentile})$
`					100%	50%	50%	_	
					on-soil	in-air	in-soil		
N1	2012	A. speciosus	17	19	14			33	14 - 69
		A. argenteus		2.9	14	13	25	17	8.3 - 31
	2016	A. speciosus	7.6	2.5	7.1			9.6	4.9 - 17
		A. argenteus		1.9	7.1	6.5	13	9.0	4.2 - 17
N2	2012	A. speciosus	6.8	1.9	3.1			5.0	2.5 - 9.0
		A. argenteus		1.7	3.1	2.9	6.0	4.8	2.7 - 8.0
	2016	A. speciosus	2.8	0.90	1.4			2.3	1.0 - 4.2
		A. argenteus		1.1	1.4	1.3	2.5	2.5	1.2 - 4.5
N3	2012	A. speciosus	21	12	11			23	14 - 38
N4	2014	A. speciosus	18	6.1	11			17	12 - 26
		A. argenteus		5.2	11	10.	19	16	12 - 22
N5	2014	A. speciosus	1.5	0.44	1.1			1.5	0.65 - 3.1
		A. argenteus		0.19	1.1	1.0	2.0	1.3	0.60 - 2.4

573 **Table 6.** A comparison of estimated mean absorbed dose rates to *Apodemus speciosus* and *Apodemus argenteus* at N1 and N2 in 2012 574 and 2016; N3 in 2012; N4 and N5 in 2014.

^aFrom Ishiniwa et al. 2019 survey, based on ambient dose measurements taken at 1 m height. ^bTotal absorbed dose rate provided is sum of internal absorbed dose rate and external dose rate assuming a 100% occupancy on the soil.









