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1 Population level impacts of chemical contaminants on apex avian species

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10 **Abstract**

11 We undertook short case studies of how: (i) dieldrin and DDT affected populations of the
12 peregrine falcon (*Falco peregrinus*) and other birds of prey in Britain; (ii) diclofenac
13 impacted vulture populations across in SE Asia. In both cases, high levels of [contaminated-
14 mediated] acute mortality largely drove the population crashes. Impaired, or naturally low,
15 rates of reproduction likely limited recovery rates. The studies illustrate the huge, long-lived
16 impacts that contaminants can have on bird populations. They changed our scientific
17 understanding of the importance of different exposure routes and influenced how we now
18 conduct monitoring and risk assessment. They also demonstrated the value of long-term
19 population monitoring and archived specimens for identifying the causal factors and
20 mechanisms behind the population crashes.

21

22 **Keywords:** organochlorines, pharmaceutical, population crash, monitoring, raptor, vulture

23

24 **Declaration of interest:** None

25

26

27

28 Introduction

29 The impacts of chemical contaminants on apex predators, particularly birds, have been well
30 studied over the last 50 years. This is partly because exposure to a diverse range of
31 contaminants, including toxic heavy metals (such as lead), insecticides, biocides and
32 pharmaceuticals, has resulted in lethal poisoning and/or impaired reproduction that, in
33 some cases, has directly led to global population declines [1-6]. Detection of population-
34 level effect is arguably easier in birds than for many other animals. This is because many
35 species are relatively easy to observe and count and there is typically a cadre of professional
36 and amateur ornithologists with an interest in ringing birds, conserving them and
37 undertaking population counts.

38

39 In this short paper, we describe two of the clearest case studies in which exposure to
40 chemicals has caused major population declines in apex avian species. The first is the classic
41 case of the impacts that organochlorine (OC) pesticides, particularly cyclodienes (such as
42 dieldrin) and DDT, had on birds of prey, and in particular the peregrine falcon (*Falco*
43 *peregrinus*). The second is much more recent and catastrophic impact of diclofenac on
44 vultures in SE Asia. We describe the factors that led to population declines in both cases and
45 outline what general lessons have or can be drawn from them, and the role population
46 monitoring played in detecting effects in particular.

47

48 Case Study 1: Organochlorine pesticides and the peregrine falcon in Britain

49 The impacts on wildlife during the late 1940s and 1950s that extensive use of synthetic
50 pesticides were having on the environment, including birds, was highlighted to the world
51 through Rachel Carson's now classic book "Silent Spring" [7]. While Carson was based in
52 America, similar concerns were also being voiced in Britain over the effects of OC pesticides.
53 The realisation that peregrine falcon numbers were declining in Britain was due to a 1961-2
54 survey, led by Derek Ratcliffe, which measured occupancy and breeding success of
55 peregrines in known territories. This was initiated, somewhat ironically, because of concerns
56 from pigeon fanciers that peregrine numbers were rising and falcons were killing increasing
57 numbers of pigeons. The survey in fact showed that occupation of breeding territories by
58 peregrines was less than half that of the 1930s average and only 21% of occupied territories

59 were producing young; productivity in many areas had become too low to maintain
60 population numbers [3]. There was no evidence of natural mortality factors accounting for
61 this national-scale decline and attention began to focus on the possible toxic effects of
62 cyclodiene pesticides used as seed dressings, and in particular dieldrin (a seed dressing in its
63 own right and a metabolite of another compound, aldrin). These dressings were already
64 reported to have caused the deaths of granivorous birds feeding in treated fields and there
65 were also reports of deaths of predatory birds and mammals [3,8] in and around agricultural
66 fields. Analysis of addled eggs and carcasses showed that peregrines were exposed to
67 dieldrin and other OC pesticides [9] while spatial and temporal analysis demonstrated that
68 the extent of decline in populations was positively correlated with the prevalence of arable
69 farming and with the onset of use of seed dressings [3]. Such associations were also found
70 in other similar species such as the sparrowhawk (*Accipiter nisus*) [2,10-12].

71 The role of dieldrin in causing population declines in peregrines and other species was
72 complicated by simultaneous exposure of the birds to DDT which was used extensively
73 around the world as an insecticide. DDT causes eggshell thinning, although the extent of
74 thinning can vary markedly between species [13]. Thinning results in structurally weaker
75 eggs and impaired reproductive success. Through measurements of the shell index of failed
76 eggs and eggs held in museum and other collections, Ratcliffe demonstrated that eggshell
77 thinning in peregrines began as far back as 1947 [3], reflecting the post-war surge in use of
78 the compound. There was a similar thinning in sparrowhawk eggs (Figure 1) and other
79 species that began between 1946 and 1952. Chemical analysis also demonstrated that DDE,
80 the main metabolite of DDT, was detectable in peregrine shells from 1947 onwards [14].
81 The eggshell thinning seen in Britain was also extensively reported in other countries and
82 regions, especially North America [15,16].

83 The growing evidence that OC pesticides were impacting wildlife led the UK Government
84 Advisory Committee on Pesticides to advise that their agricultural use should be limited.
85 This led to increasing restrictions through the 1960s on the use of cyclodienes as seed
86 dressings, with an eventual ban in Britain in 1975. DDT use was also increasingly restricted
87 although there was not a complete ban in Britain until the 1980s. Monitoring of pesticide
88 residues in carcasses of birds found dead and in failed eggs demonstrated that exposure
89 levels in peregrines and other species declined following the bans, eventually falling below

90 toxic levels [3,17], although trace amounts of both compounds still remained detectable in
91 eggs and carcasses 20-30 years later [18].

92 The coupling of dieldrin-induced mortality and DDT-impaired reproduction in peregrine,
93 sparrowhawks [2] and other species was a powerful combination, with two key ecological
94 drivers (survival and recruitment of young) markedly impaired. The consequence was a
95 drastic population crash. This was mainly been attributed to dieldrin-induced mortality and
96 the extent to which DDT-mediated impairment of reproduction may have increased the rate
97 of decline is unclear. However, poor recruitment may well have slowed the recovery of
98 peregrines and other species following the banning of dieldrin. Eggshell thickness in
99 peregrines, although recovering, was still below pre-DDT levels in 1980 and it was only in
100 the late 1980s that population numbers were similar to those seen in the 1930s [19,20].
101 The Predatory Bird Monitoring Scheme [21] still tracks eggshell thickness in the similarly
102 affected sparrowhawk and eggs only fully recovered to pre-DDT levels in in the late 1990s
103 (Figure 1), slightly lagging behind the recovery in numbers [22]

104

105 **Case study 2: New threats and pathways: Old World vultures and modern veterinary** 106 **medicines**

107 In the 1980s, one species (*Gyps bengalensis*) of Old World vulture was considered the most
108 abundant large raptor on Earth [23] – perhaps numbering some 40 million individuals – yet,
109 by 2000, it was being listed by the IUCN as Critically Endangered. Surprisingly, the complete
110 collapse of this and two other South Asian vulture species (*G. indicus* and *G. tenuirostris*)
111 was, in 2004, clearly linked to an entirely new chemical threat and an almost completely
112 overlooked exposure pathway.

113 Collapsing Old World vulture populations across South Asia were first highlighted in the late
114 1990s by field conservationists working in India [24] and Pakistan. Geographically extensive
115 and rapid declines in three *Gyps* species were being observed – and the driver behind this
116 was initially very elusive [25,26]. Then in 2004, Oaks presented his team's findings from
117 Pakistan [6], and identified that the wholly unexpected cause of the decline was exposure to
118 a common veterinary pharmaceutical, diclofenac. This non-steroidal anti-inflammatory drug

119 (NSAID) was widely used as a veterinary drug but had not been considered an
120 environmental toxicant of any note.

121 Diclofenac had emerged onto the Indian sub-continent as a low-cost off-patent veterinary
122 drug in the mid-1990s, and had quickly ascended to become the NSAID of choice for
123 livestock treatment. Very widely used to treat mastitis, lameness, inflammation, etc., by
124 2006 diclofenac was detectable as a residue in ~10% of livestock carcasses available to
125 vultures across India [27]. However, it was also extremely nephrotoxic to *Gyps* [6], with an
126 LD₅₀ of just 0.098-0.225 mg kg⁻¹ bw (in *G. bengalensis*; [28]. Further, very few carcasses (just
127 0.13–0.75%) had to contain lethal residue levels to drive the mortality rates being observed
128 [29]. From an avian ecotoxicology perspective, a “perfect storm” of sorts had unpredictably
129 occurred (Figure 2).

130 Since diclofenac was recognised as the principal driver behind vulture declines in South Asia,
131 it has been a race to effectively halt and reverse this trend - and literally “*Save Asia’s*
132 *Vultures from Extinction*” (www.save-vultures.org). Certain critical actions have occurred,
133 including: (a) several large *Gyps* captive breeding (and ultimately release) programmes have
134 been instigated in South Asia; (b) diclofenac has been effectively banned as a veterinary
135 drug (starting in 2006) across the region; and (c) a veterinary NSAID proven to be “*vulture*
136 *safe*” (at normal and elevated exposure levels) has been identified – meloxicam [30]. These
137 actions, alongside other intensive efforts, may now [31] be leading to a cessation in declines
138 and/or some small signs of recovery for *G. bengalensis* – but critically, numerous wider
139 questions regarding NSAID safety toward scavenging birds still remain.

140 Whilst Old World *Gyps* vultures are now known to be particularly susceptible to diclofenac
141 poisoning, [32] presented the first indication (from a survey collating data from 870 birds
142 from 79 species) that a much wider suite of NSAIDs (including carprofen, flunixin, ibuprofen
143 and phenylbutazone) may also be nephrotoxic to a range of avian scavengers (globally).
144 Since then, safety trials have now confirmed nephrotoxicity at plausible field exposure levels
145 in *Gyps* due to ketoprofen [33], carprofen [34] and aceclofenac [35] – whilst very worryingly,
146 both nimesulide (in South Asia; [36] and flunixin (in Europe; [37]) have now been clearly
147 linked to wild *Gyps* mortalities on two continents. Further, concern now also exists
148 regarding potential impacts on what some may consider to be more enigmatic species such
149 as eagles, following the death of two steppe eagles (*Aquila nipalensis*) in India in association

150 with diclofenac [38]. In a concerning twist to this story – whilst SE Asia was extremely quick
151 to ban diclofenac across that region to protect vultures – and despite immense efforts in
152 Europe in recent decades to conserve European vulture species, diclofenac was recently
153 permitted to emerge onto the veterinary market in Spain, Portugal and Italy.

154

155 **Discussion**

156 These case studies demonstrate the massive and long-lasting impact that environmental
157 contaminants can have on bird populations. Commonalties include the facts that acute
158 mortality was a major ecological driver and that population recovery was/is limited by DDT-
159 impaired or naturally low (vultures) rates of reproduction and recruitment. The impact of
160 OCs on wildlife spawned the birth of “ecotoxicology” and provided major insights into the
161 importance of persistence, bioaccumulation and toxicity (PBT) as key characteristics of
162 chemicals associated with environmental risk; PBT remains a cornerstone for chemical risk
163 assessment today. The OC “experience” also led to the development of a specific bird
164 reproduction test (that includes measurement of eggshell thinning) as part of pre-
165 registration pesticide testing regimes, and provided a clear demonstration of how exposure
166 to chemical mixtures can exacerbate impacts on populations. It also led to the widespread
167 practice of chemical and eggshell thickness monitoring in predatory birds [39], these
168 species being used as environmental sentinels to help detect the emergence of new
169 contaminant risks [40]. The pioneering work of Ratcliffe also demonstrated the value of
170 analysing archived historical samples to understand the mechanisms by which chemicals
171 exert population effects and to provide proof of synchrony between chemical use and such
172 effects. The Asian vulture case has highlighted again just how easily a new environmental
173 contaminant can quickly and unpredictably emerge and have global population level
174 impacts on wild species by causing direct mortality. This occurred despite huge advances in
175 knowledge and experience that have advanced chemical risk assessment in the last 50
176 years. It has also highlighted the importance of assessing risk across a diverse range of
177 ecological traits and exposure pathways. The risk to scavengers and decomposers feeding
178 on the carcasses and waste of medically-treated animals (including humans) has largely
179 been overlooked, but this is likely one of the most significant pathways by which wildlife are
180 exposed to for pharmaceuticals [41]. The loss of vulture populations has also highlighted

181 how contaminant-induced population declines can have severe knock-on effects, such as
182 widescale loss of invaluable and long-standing ecosystem services. In the case of *Gyps*
183 vultures, a rapid and very efficient carrion disposal system which has helped reduce the
184 occurrence and spread of disease [42] for decades has now been almost completely lost
185 across South Asia.

186 Would ongoing and/or increased population monitoring have prevented the population
187 crashes described in these case studies? Declines may have been detected more rapidly but
188 there are many other factors that can cause bird populations to fluctuate [43]. Even with
189 ongoing population monitoring in place, the speed of the OC and diclofenac-mediated
190 declines would probably still have resulted in population crashes within the time it would
191 have taken to attribute cause and initiate mitigation. Remarkably, the Asian vulture declines
192 were recognised, causality determined, and government bans implemented all within about
193 a decade, yet Old World *Gyps* populations in South Asia still plummeted from 10s of millions
194 to precariously low levels (just thousands to 10s of thousands) within that timeframe.
195 Clearly, prevention rather than remediation is paramount and requires well-founded risk
196 assessment that, as the vulture case study demonstrates, still evidently requires significant
197 improvement. Ongoing monitoring is however likely to provide early alerts of slower
198 contaminant-induced declines, and there clearly remains high value in linking spatial and
199 temporal population trends to information on contaminant exposure. Detected associations
200 are often correlative and not proof of causality but they add significantly to the overall
201 “weight of evidence” regarding both risk and impact. In all but the most clear-cut
202 circumstances, decisions as to whether to restrict or ban the use of certain chemicals,
203 because of their environmental impact, will typically rely on such weight of evidence.

204

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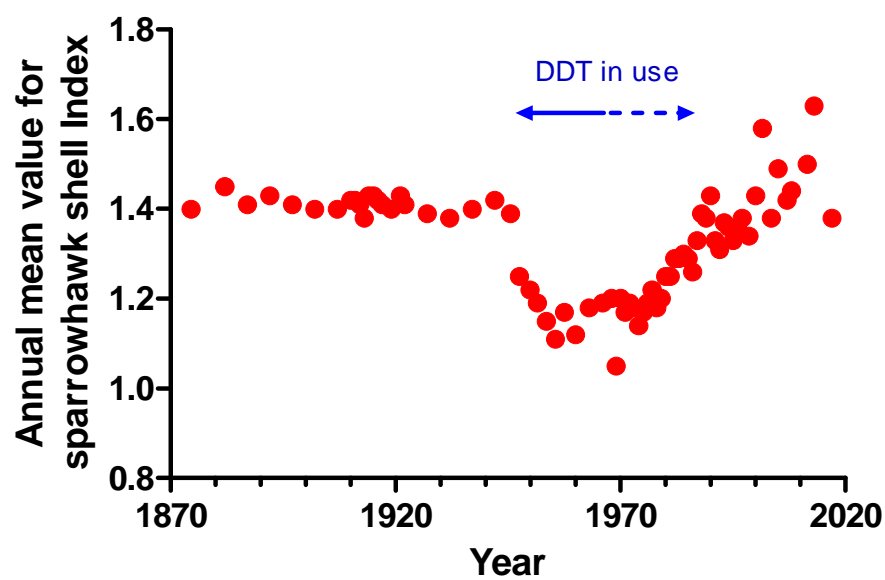
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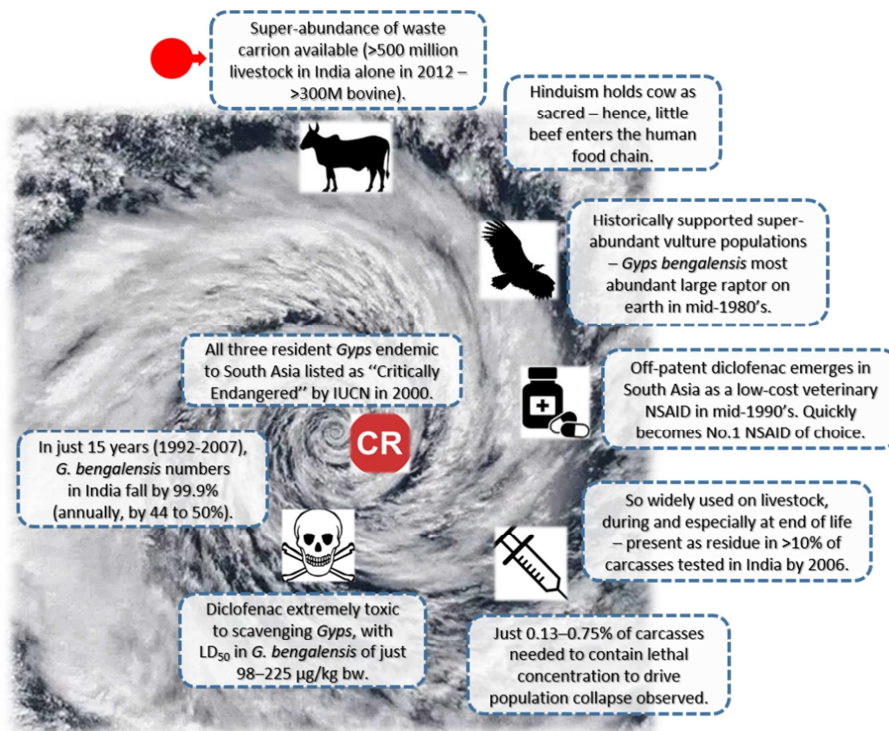
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Figure 1. Mean annual eggshell index for sparrowhawks in Britain. Data are updated (and statistically summarised) from those published by Newton ([2,44])



352

353 **Figure 2.** Some key factors (clockwise from the top) relevant to the collapse of scavenging
 354 *Gyps* vulture populations in South Asia due to diclofenac.

355

Highlights

- Contaminants can exert massive adverse effects on bird populations
- We illustrate this by reviewing the effects of cyclodiene and other organochlorine (OC) pesticides on birds of prey and the effect of diclofenac on Asian vulture populations
- In both cases, acute mortality drove population crashes and with slow (multi-decadal) rates of recovery
- Long-term population monitoring and archived specimens were crucial for identifying the causal factors and mechanisms behind the population crashes
- Risk assessment and monitoring methods improved following the OC “experience” in the 1950/60s but were not sufficient to pre-empt diclofenac –mediated effects in the 1990s
- Diclofenac demonstrated how contaminants can impact wildlife populations and the ecosystem services they provide