

1 Observations and models to support the first Marine Ecosystem Assessment
2 for the Southern Ocean (MEASO)

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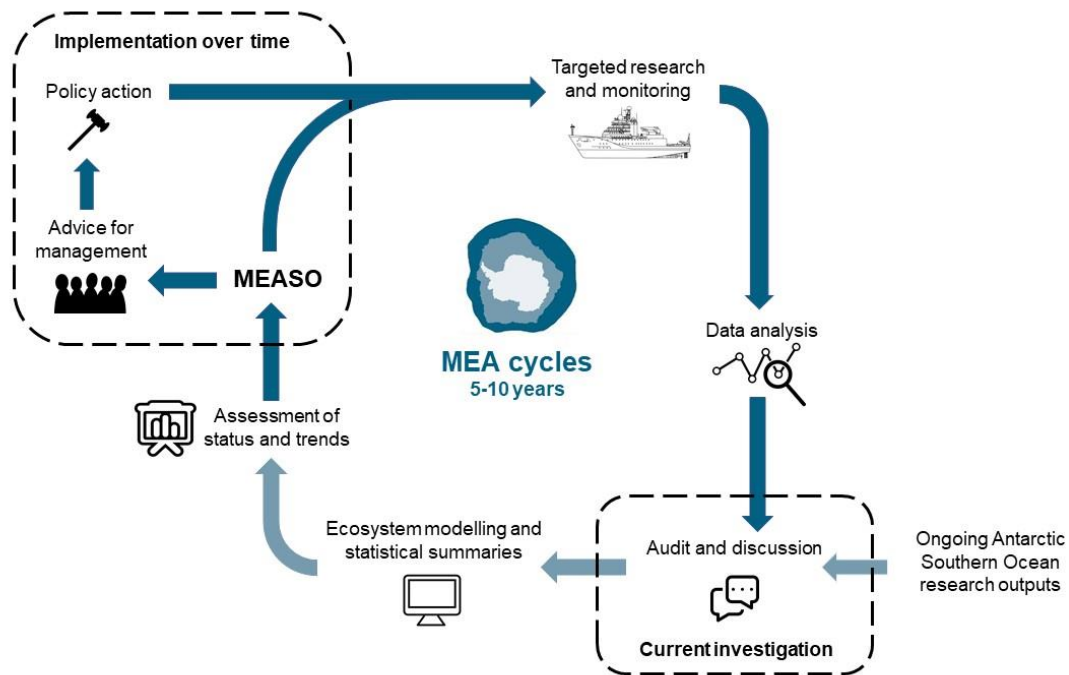
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19 Abstract

20 Assessments of the status and trends of habitats, species and ecosystems are needed for effective
21 ecosystem-based management in marine ecosystems. Knowledge on imminent ecosystem
22 changes (climate change impacts) set in train by existing climate forcings are needed for adapting
23 management practices to achieve conservation and sustainability targets into the future. Here, we
24 describe a process for enabling a marine ecosystem assessment (MEA) by the broader scientific
25 community to support managers in this way, using a MEA for the Southern Ocean (MEASO) as an
26 example. We develop a framework and undertake an audit to support a MEASO, involving three
27 parts. First, we review available syntheses and assessments of the Southern Ocean ecosystem
28 and its parts, paying special attention to building on the SCAR Antarctic Climate Change and
29 Environment report and the SCAR Biogeographic Atlas of the Southern Ocean. Second, we audit
30 available field observations of habitats and densities and/or abundances of taxa, using the literature
31 as well as a survey of scientists as to their current and recent activities. Third, we audit available
32 system models that can form a nested ensemble for making, with available data, circumpolar
33 assessments of habitats, species and food webs. We conclude that there is sufficient data and
34 models to undertake, at least, a circumpolar assessment of the krill-based system. The auditing
35 framework provides the basis for the first MEASO but also provides a repository
36 (www.SOKI.aq/display/MEASO) for easily amending the audit for future MEASOs. We note that an
37 important outcome of the first MEASO will not only be the assessment but also to advise on
38 priorities in observations and models for improving subsequent MEASOs.

39 Graphical Abstract



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41

42 Highlights

- 43 • An audit of the survey data and models available to assess the status of Southern Ocean
- 44 biota
- 45 • This audit will inform the first Marine Ecosystem Assessment for the Southern Ocean
- 46 (MEASO)
- 47 • An ensemble of models can be used for circumpolar assessments of krill based system
- 48 • MEASO-1 will identify risks of climate change impacts and needs for management

49

50 Keywords

51 Antarctica, conservation, ecosystem-based management, CCAMLR,

52 **1. Introduction**

53 Assessments of the state of marine ecosystems and the causes of change in these systems are
54 becoming very important for marine nations and internationally (e.g. Nymand-Larsen et al. 2014;
55 Constable et al. 2017). They will enable managers to understand how change in habitats, species,
56 communities, and foodwebs (hereafter referred to collectively as 'ecosystem changes') may give
57 rise to change in marine ecosystem services. Moreover, managers need to consider the potential
58 for multiple causes of change from different societal uses (sectors) of marine ecosystems; there is
59 an increasing need to develop multi-sectoral management systems that can appropriately adjust
60 those sectors causing change. An imminent and pressing challenge is to develop management
61 systems that will facilitate the adaptation of sectors to expected future changes, such as those
62 caused by climate change and ocean acidification.

63 At present, marine ecosystem assessments are mostly undertaken on a case-by-case basis when
64 managing individual, or a small set of, 'activities' such as fisheries, pollution, and coastal
65 engineering. They are generally based on empirical assessments from field
66 observations. Typically, the combined effects across all activities are not directly assessed and
67 managed, nor are the potential effects of climate change and ocean acidification included in these
68 assessments individually or collectively. The latter effects are more often considered separately
69 and heuristically based on reviews of disparate results in the existing peer-reviewed scientific
70 literature, which we term 'derivative assessments'. The most comprehensive derivative
71 assessments for the marine environment are those by the Intergovernmental Panel on Climate
72 Change (IPCC) and the United Nations World Ocean Assessment.

73 A scientific process is needed that directly assesses the potential for combined and cumulative
74 effects and, particularly, can examine how those effects might continue in the short-to medium term
75 future. The reason for assessing the future is that many effects may not be evident at the time of
76 the assessment, although the drivers may have set them in train and made them unavoidable in the
77 future. In the case of climate change and ocean acidification and based on the Earth system

78 changes wrought by the ozone hole and its recovery, these changes may be two to three decades
79 hence (IPCC, 2014).

80 Several important issues arise when attempting to consider and manage multiple drivers of effects,
81 and the resulting cascading changes in ecosystems. Firstly, climate change and ocean acidification
82 are not solely 'bottom up' drivers impacting on productivity of marine ecosystems. Species other
83 than primary producers may be affected by changes in the physical and chemical systems. As a
84 result, there may be 'top down' drivers that cause shifts in the structure and function of ecosystems
85 as well (e.g. Johnson et al. 2011). Secondly, some parts of the ecosystem will be better studied
86 than others because science tends to be more focussed on species or processes of direct interest
87 to specific activities, such as fisheries. Lastly, future trajectories of the ecosystem may be difficult to
88 foresee due to short time series of data or insufficient data to make empirical projections under
89 climate change scenarios.

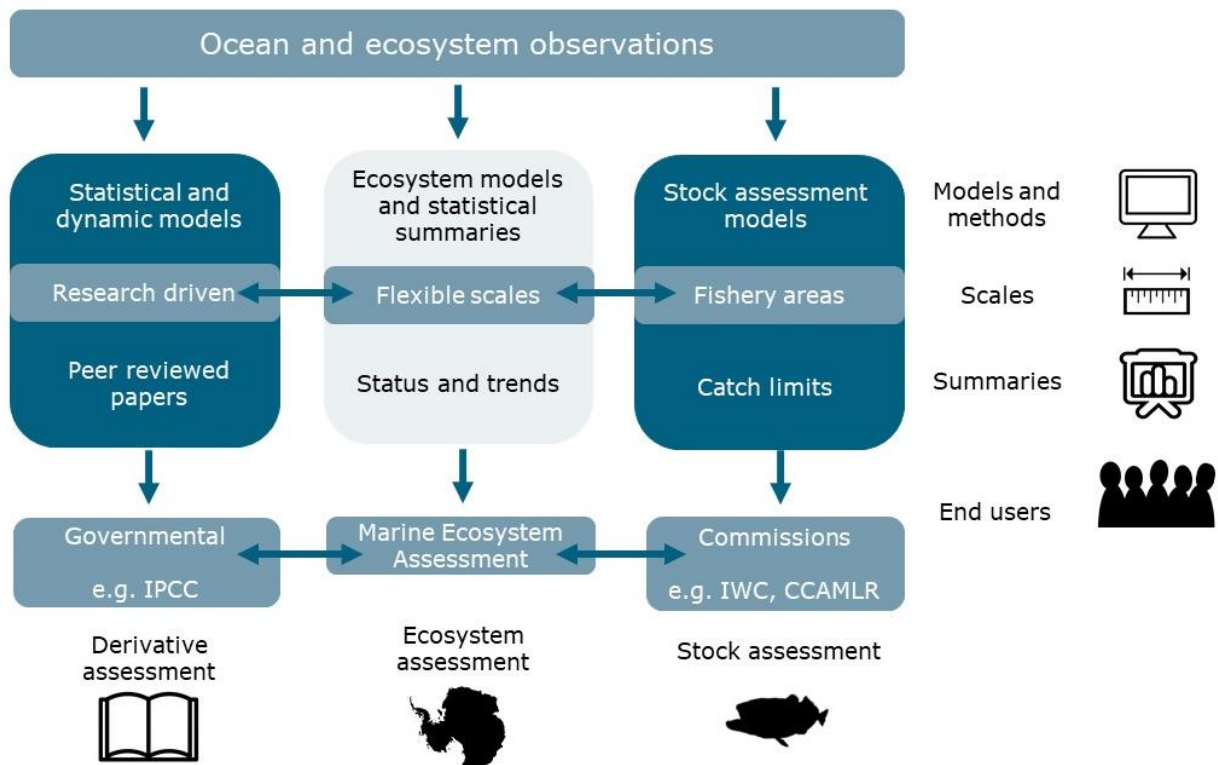
90 Ecosystems models provide a means to overcome these issues, they can be used in conjunction
91 with time series data to validate and improve future predictions. In addition, they enable the
92 integration of disparate datasets and knowledge of processes in order to examine the interactions of
93 effects from different activities and from climate change and ocean acidification (Melbourne-Thomas
94 et al. 2017). While there is an ongoing need to reduce uncertainty in our understanding of
95 ecosystem function and to better incorporate this understanding into management-oriented models,
96 these models will be central to developing realistic scenarios for the future (Constable et al. 2017).

97 1.1. What is a marine ecosystem assessment?

98 A marine ecosystem assessment (MEA) aims to bring together available data and knowledge from
99 the scientific literature and different management bodies to, with the aid of models where possible,
100 assess ecosystem status and change. Where possible, the relative importance of different
101 stressors in causing that change will be assessed. Assessments of change will include historical
102 change to the present, as well as providing realistic projections of change into the short-to-medium
103 term future. The results are envisaged to directly support end-users, particularly policy makers, in

104 adapting their work to ecosystem changes that may not be readily apparent in their jurisdiction but
 105 could impact on their objectives. Thus, a MEA aims to provide an overarching and integrated
 106 assessment, which has the flexibility and coverage enabling it to be adapted and useful to the
 107 needs of individual end-users, as well as providing context for derivative assessments and fisheries
 108 stock assessments (Figure 1).

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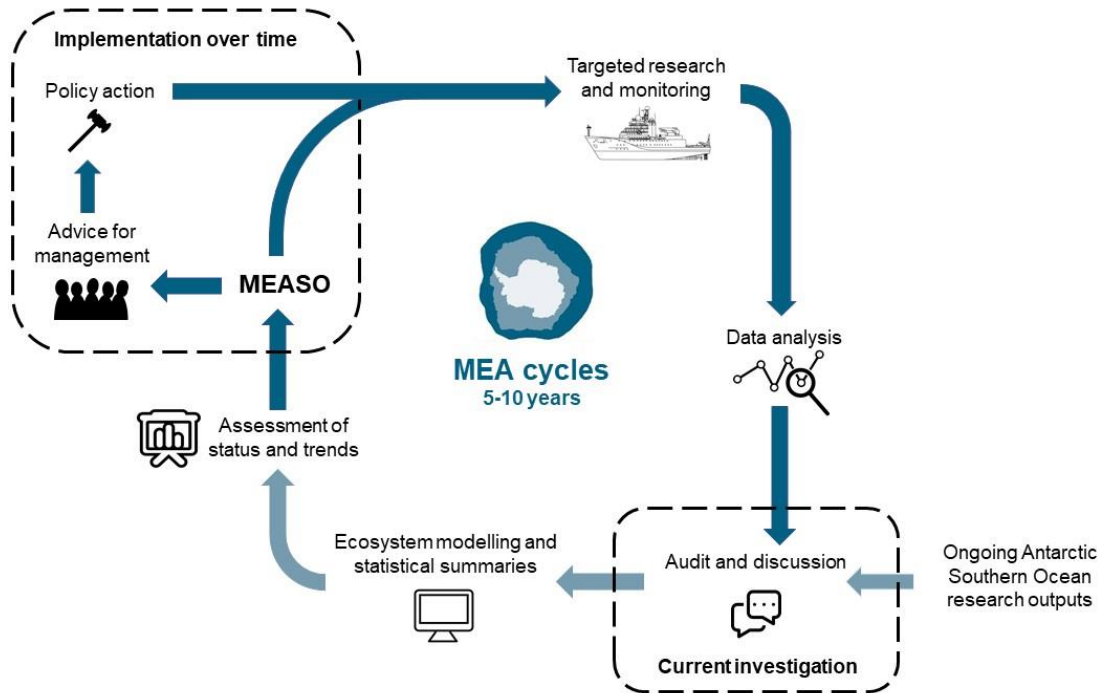
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111 Figure 1. Comparison of the types of assessments undertaken for marine ecosystems, including the roles
 112 of observations and models within the workflows of the different assessments. Marine
 113 Ecosystem Assessments provide context for derivative and stock assessments (sideways
 114 arrows). In this example we use Antarctica as a region of interest.

115

116 Here, we use the Southern Ocean as a case study to illustrate the information that would be
 117 compiled and the methods used to develop an integrated, overarching assessment – a Marine
 118 Ecosystem Assessment for the Southern Ocean (MEASO). The need for regular assessments of
 119 change of marine ecosystems around Antarctica and in the Southern Ocean (ASO ecosystems) has
 120 been identified by the Antarctic Treaty Consultative Meeting (ATCM) (ATCM, 2015), the Commission

121 for the Conservation of Antarctic Marine Living Resources (CCAMLR) (CCAMLR, 2015; SC-
122 CCAMLR 2011), the Scientific Committee on Antarctic Research (SCAR) (Kennicutt et al. 2014;
123 Turner et al. 2009; Turner et al. 2014) and in the work of the IPCC (IPCC 2014; Nymand-Larson et
124 al. 2014). A MEASO is intended to be a consensus report on status and trends in Southern Ocean
125 habitats, species and foodwebs, drawing on the experience, results and methods of the broader
126 ASO research community. Figure 2 illustrates how a regular MEASO process is intended to interact
127 with policy makers. The MEASO cycle would operate similar to an IPCC cycle, over 5-10 years.
128 The work would be expected to benefit from published syntheses and collaborations amongst
129 researchers across the spectrum of existing research activities, as well as from observations from
130 long-term monitoring programs, e.g. the Southern Ocean Observing System (SOOS). Where
131 possible, statistical and dynamic modelling would be used to assess the status and trends of
132 habitats, species and food webs. The synthesis report would then provide summaries for use by
133 policy-makers and other end-users. In addition, the synthesis would identify important priorities for
134 advancing future assessments.



135

136 Figure 2. The processes and work flow in a Marine Ecosystem Assessment (MEA) using the Marine
 137 Ecosystem Assessment for the Southern Ocean (MEASO) regarding the management region
 138 around Antarctica as an example. The dashed box in the lower right corner indicates the starting
 139 point of the first MEASO, to which this paper contributes by providing an audit of available
 140 knowledge, data, syntheses and models. Future audits might include identifying new or advanced
 141 data sets, assessment methods and models, and any assessments that may have been
 142 undertaken since the previous MEASO. The dashed box in top left corner demonstrates the
 143 potential interaction of MEAs with policy-makers. MEASO is envisaged to be an ongoing process,
 144 where each MEASO will advise on priorities for future research and monitoring to improve
 145 subsequent MEASOs.

146

147 The first MEASO begins half way through the cycle and aims, through implementation of a first
 148 assessment, to establish processes and priorities for more comprehensive MEASOs in future. In
 149 this paper, we provide an 'audit' of the materials and methods available for the first MEASO. While
 150 this audit is comprehensive it is not intended to be exhaustive; our focus is on establishing a
 151 framework (using the Southern Ocean Knowledge and Information Wiki, www.soki.ag, as a
 152 repository) that can easily be amended and updated for future assessments.

153 Specifically, we start by summarising existing syntheses and derivative assessments on status and
 154 trends of habitats, species and food webs, including establishing spatial and temporal scales of
 155 reporting. Secondly, we document the types of observations available for assessing status and
 156 analysing trends, as well as the types of information that can be assembled for better understanding

157 the pressures on different taxa in the ecosystem. In this section, we consulted researchers to better
158 understand the data available and the scope of species-specific and assemblage-level assessments
159 that may be undertaken. Thirdly, we summarise the status of models that could be used in a
160 MEASO. Lastly, we identify the scope of the analyses that might be undertaken now, without
161 substantially more research effort, to assess the status and trends in Southern Ocean ecosystems
162 in a MEASO. Thus the primary purpose of this manuscript is to assess the information and data we
163 have available to produce the first MEASO. In this instance we do not aim to undertake a detailed
164 gap analysis or to provide a comprehensive set of recommendations for improving data collection
165 and coverage for future MEASOs, as these will be key activities later in the MEASO process,
166 outlined in the future directions section of this manuscript.

167 **2. Syntheses of ecosystem status and trends**

168 2.1. SCAR Antarctic Climate Change and the Environment report

169 The Scientific Committee on Antarctic Research (SCAR) has supported a number of efforts to
170 provide syntheses on Antarctic and Southern Ocean science for policy makers. The Antarctic
171 Environments Portal is one such initiative (<https://www.environments.aq>), where smaller syntheses
172 may be found. A substantial synthesis on the effects of climate change on ASO systems – Antarctic
173 Climate Change and the Environment (ACCE) - was undertaken by SCAR as part of the 2007-2009
174 International Polar Year (IPY) (Turner et al. 2009). The aim of ACCE was to describe how the
175 physical climate system of Antarctica has varied over geological time and how environmental
176 change during the instrumental period may affect biota (Convey et al. 2009). The 2009 report was
177 largely focused on the West Antarctic Peninsula and how changes in sea-ice and primary
178 production may affect ice-dependent species such as penguins and krill, as well the the sensitivity
179 of biota in other habitats, including benthos. Each year SCAR prepares updates of the ACCE report
180 that highlight the new advances in our knowledge relevant to different sections of the report and
181 provide some direction on priorities for future research; updates are presented at the Antarctic

182 Treaty Consultative Meeting and published online (see <https://www.scar.org/policy/acce-updates/>
183 for all ACCE updates).

184 2.2. Scientific Committee for the Conservation of Antarctic Marine Living Resources

185 The Scientific Committee for the Conservation of Antarctic Marine Living Resources (SC-CAMLR)
186 has undertaken ecosystem syntheses on two occasions. In 2004, it held a Workshop on Plausible
187 Ecosystem Models for testing approaches to krill management (SC-CAMLR, 2004). In 2008, it held
188 a joint workshop with the Scientific Committee of the International Whaling Commission on Input
189 Data for Antarctic Marine Ecosystem Models (SC-CAMLR, 2008). Expert groups provided
190 syntheses on different taxa for publication in *CCAMLR Science* in 2012, including on phytoplankton
191 (Strutton et al. 2012), zooplankton (Atkinson et al. 2012a), krill (Atkinson et al. 2012b), fish as
192 predators of krill (Kock et al. 2012), ice-breeding seals (Southwell et al. 2012), and penguins
193 (Ratcliffe and Trathan, 2012).

194 2.3. SCAR Biogeographic Atlas of the Southern Ocean

195 The SCAR Biogeographic Atlas of the Southern Ocean (De Broyer et al. 2014) was produced using
196 data collected during the Census of Antarctic Marine Life (CAML) voyages in the IPY 2007-2009
197 (content and data available at <http://data.biodiversity.aq/>). It is one of the major contributors to our
198 current knowledge of the biodiversity and biogeography of Southern Ocean biota. The Atlas collates
199 1.07 million occurrence records of 9064 validated species from ~434,000 distinct sampling stations;
200 these are fundamental data in providing the necessary geospatial framework for marine biodiversity
201 knowledge and understanding, and for assessing its gaps (De Boyer et al. 2014). The Atlas is now
202 regarded as a milestone product of 21st Century Antarctic Science (De Broyer and Koubbi 2015), it
203 has been cited in 95 publications between 2015-2018 according to Google Scholar. It has
204 contributed to major publications reviewing knowledge of climate change impacts on Antarctic
205 ecosystems (e.g. Constable et al. 2014a; Chown et al. 2015) and potential ecological change under
206 future conditions (Griffiths et al. 2017). In addition it has been used to advise future monitoring,
207 management and conservation of ASO ecosystems (e.g. Gutt et al. 2017; Koubbi et al. 2017;

208 Cavanagh et al. 2016; Constable et al. 2016; Xavier et al. 2016) including contributing to supporting
209 scientific information for spatial management measures in CCAMLR (Teschke et al. 2014).

210 Table 1 summarises how the Atlas may be updated with more recent information. Trends in new
211 research across taxa within the ASO include taxonomic, biogeographic, ecological and physiological
212 studies. Information provided by the Atlas editorial team, many of the original lead authors of the
213 chapters of the Atlas, along with literature reviews that we undertook was summarised into 7 sub-
214 topics in the table. Not surprisingly, the advances in genetic technologies have resulted in many of
215 the taxonomic groups undergoing revision, as well as enabling better understanding of spatial
216 populations structures and food web linkages in the region. The Atlas team are in the process of
217 creating an online version of the Atlas, which will display the original content of the Atlas but with
218 integrated R code (in Bookdown, <https://bookdown.org/yihui/bookdown/>) to map the most recent
219 records.

220 Table 1. Expected research findings by taxa achieved since the publication of the SCAR Biogeographic
221 Atlas of the Southern Ocean (de Broyer et al. 2014). Shaded cells indicate new research
222 available. Columns show how new research could be used to update the relevant chapters:
223 Taxonomic re-evaluation (previous taxonomic classifications have been altered); species
224 discovery (previously undescribed morphological or cryptic species); invasive species (species
225 previously considered non-Antarctic have now been recorded within the Southern Ocean);
226 species shift (evidence of species shifts within the ASO e.g. the poleward movement); sample
227 coverage (additional samples are now available from previously un-sampled locations or un-
228 sorted material increasing spatial coverage within the ASO); ecological (improved understanding
229 of ecological traits, e.g. diet, habitat, reproduction, which may change distribution of taxa now or
230 in the future); physiological (insights into physiological traits, e.g. acclimation or adaptation to
231 changing temperature or acidity, which might influence distributions). Taxonomic experts who
232 provided information for this table are acknowledged at the end of this manuscript.

Taxa	Taxonomic re-evaluation	Species discovery	Species shift	Sample Coverage	Ecological	Physiological
Polychaetes						
Bryozoa						
Ascidian						
Benthic Hydroids						
Stylasteridae						
Antarctic Hexacorals						
Harpacticoid copepods						
Pycnogonida						
Benthic Ostracoda						
Benthic Amphipods						
Isopoda						
Cumacea						
Crabs and Lobsters						
Shrimps						
Pelagic Copepods						
Halocyprid Ostracods						
Hyperiid amphipods						
Euphausiids						
Lysianassoidea amphipods						
Tanaidacea						
Asteroidea						
Crionoids						
Echinoids						
Fish						
Benthic foraminifera						
Gastropoda						
Bivalvia						
Octopuses						
Pteropods						
Squid						
Marine nematodes						
Porifera						
Gelatinous zooplankton						
Near Surface zooplankton						
Tintinnid ciliates						
Macroalgae						
Sea-ice Metazoans						

235 2.4. Other Reports

236 A number of bodies under the auspices of the United Nations have developed syntheses on ASO
237 ecosystems. These include the IPCC and Regular Process for Global Reporting and Assessment of
238 the State of the Marine Environment (World Ocean Assessment). The most recent regional reports
239 from each are those by IPCC Working Group II on Polar Regions in 2014 (Nymand-Larson et al.
240 2014) and by the World Ocean Assessment in 2016 on high-latitude ice and the biodiversity
241 dependent upon it (Rice and Marschoff, 2016). An IPCC Special Report on the Oceans and
242 Cryosphere in a Changing Climate has a Polar Regions chapter examining the effects of climate
243 change on polar regions, including ecosystems due for release in 2020. Similar works have been
244 conducted for the Arctic; the Conservation of Arctic Flora and Fauna State of the Arctic Marine
245 Biodiversity Report has also investigated detectable changes and gaps in our ability to assess
246 status and trends in Arctic marine ecosystems under changing conditions.

247 Several major research projects have also resulted in dedicated journal issues with specific
248 publications on different taxa and processes (e.g. Brant and Ebbe 2007, Hofmann et al. 2011) whilst
249 others have focused on the physical environmental changes and how these may affect biota now
250 (e.g. Rogers et al. 2012; Murphy et al. 2013; Constable et al. 2014a; Chown et al. 2015) and under
251 future conditions (Griffiths et al. 2017). Some papers have developed syntheses along with advice
252 for future monitoring, management and conservation of ASO ecosystems (e.g. Xavier et al. 2015;
253 Cavanagh et al. 2016; Constable et al. 2016; Gutt et al. 2017; Koubbi et al. 2017).

254 **3. Observations to support MEASO**

255 3.1. Field Programmes

256 Scientific observations within the ASO commenced in the late 1800s with the first Challenger
257 expedition, shortly followed by the initiatives of the first International Polar Year and the Belgica and
258 Discovery expeditions (Figure 3). These early expeditions formed the foundation for benthic and
259 pelagic species records in the Southern Ocean (Griffiths, 2010). In 1981 the first large-scale

260 international research project, BIOMASS (Biological Investigations of Marine Antarctic Systems and
261 Stocks) took place (El-Sayed et al. 1994). In 1978 satellite technologies were implemented to
262 observe the variability and trends in Antarctic sea ice (Cavaliere and Parkinson, 2008). Since then
263 satellites have also been used to monitor sea surface temperature, ocean topography and ocean
264 colour (a proxy for chlorophyll concentration, Johnson et al. 2013). These combined with ongoing
265 oceanographic research assist in characterising the changing pelagic habitats of the ASO.

266 Many ASO research programmes have targeted Antarctic krill and krill-dependent predators,
267 especially whales. For example, the BROKE and BROKE-West expeditions were designed to
268 improve our understanding of krill dynamics within east Antarctica (Nicol et al. 2000a; 2010) whilst
269 the CCAMLR-2000 Survey (also CCAMLR-2000 Krill Synoptic Survey) was initiated to improved
270 estimates of krill biomass in the Atlantic sector of the Southern Ocean (Trathan et al. 2001). The
271 outcomes of these programs were used by CCAMLR to set precautionary catch limits for the krill
272 fishery (Hewitt et al. 2004). CCAMLR has established an ecosystem monitoring program (CEMP)
273 for monitoring krill-dependent species, which at present focusses on land-based predators (Agnew,
274 1997). It also provides for regular assessments of the status of fish stocks based on tagging and
275 groundfish surveys (see Fishery Reports - <https://www.ccamlr.org/en/publications/fishery-reports>).

276 The CEMP was established in 1987 with national research agencies contributing data, as available,
277 to the CCAMLR Secretariat. CEMP uses standardised methods to monitor 8 indicator species
278 considered dependent on Antarctic krill. These species include the adélie penguin
279 (*Pygoscelis adeliae*), chinstrap penguin (*P. antarctica*), gentoo penguin (*P. papua*), macaroni
280 penguin (*Eudyptes chrysolophus*), black-browed albatross (*Thalassarche melanophrys*), Antarctic
281 petrel (*Thalassoica antarctica*), cape petrel (*Daption capense*) and the Antarctic fur seal
282 (*Arctocephalus gazella*). CEMP is developed from national contributions to individual programs.
283 General coordination is provided through the CCAMLR Working Group on Ecosystem Monitoring
284 and Management.

285 Ecosystem-oriented research programs and monitoring have been of increasing importance over
286 the last few decades. Many are focussed on biogeochemistry or krill-based food webs . Long term

287 observation programmes such as the Palmer Long Term Ecological Research
288 (LTER, <http://pal.lternet.edu/>) and the Rothera Time Series (RaTS,
289 <https://www.bas.ac.uk/project/rats/>) collect sustained observations within the vicinity of national
290 research stations. These programmes collect oceanographic, biochemical and biological data to
291 investigate inter-annual variation and climate change impacts on the Antarctic ecosystem. Other
292 programmes are more fisheries oriented such as for the UK at South Georgia (krill, toothfish,
293 icefish), Australia at Heard Island and McDonald Islands (toothfish, icefish) and Macquarie Island
294 (toothfish), France at Kerguelen Islands (toothfish, icefish) and Crozet Islands (toothfish), South
295 Africa at Prince Edward & Marion Islands (toothfish), and New Zealand, UK and Norway in the Ross
296 Sea (toothfish). Land-based predators are extensively monitored on many subantarctic islands,
297 including South Georgia, Crozet, and Kerguelen.

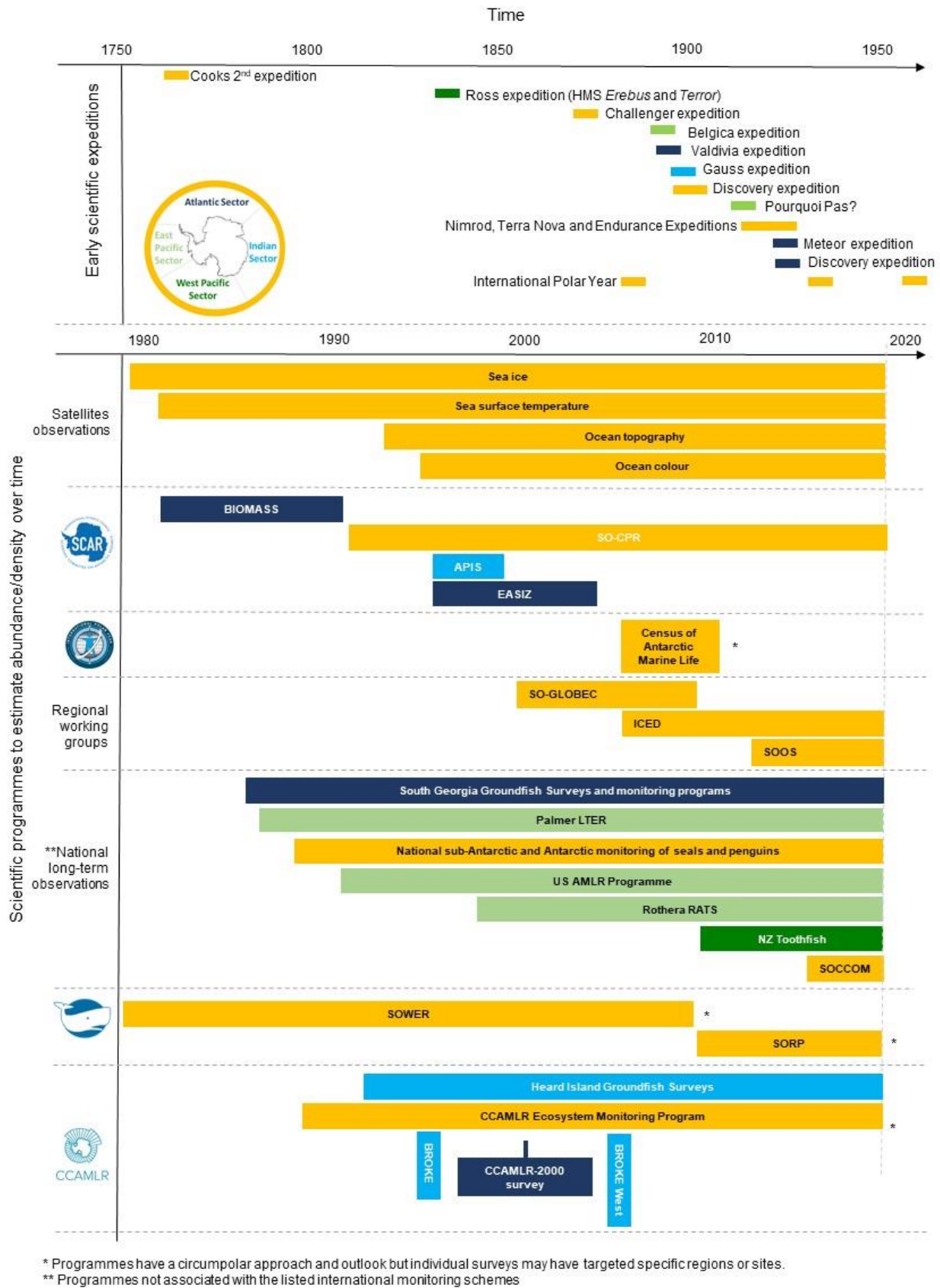


Figure 3. Timeline including often-cited examples of the major scientific observations within the Antarctic Southern Ocean ecosystem from early scientific observations and modern survey and sampling

301 programmes. Including Scientific Committee for Antarctic Research (SCAR) lead programmes:
302 BIOMASS - Biological Investigations of Marine Antarctic Systems and Stocks (1981-1991), SO-
303 CPR – Southern Ocean Continuous Plankton Recorder (1991 onwards), EASIZ - Ecology of the
304 Antarctic Sea Ice Zone (1994-2004), APIS - The International Antarctic Pack Ice Seals
305 Programme. Commission for the Conservation of Antarctic Living resources (CCAMLR)
306 programmes: CEMP - CCAMLR Ecosystem Monitoring Programme, BROKE - Baseline
307 Research on Oceanography, Krill and the Environment. International Polar Year (IPY)
308 programmes and the Census of Antarctic Marine Life (CAML) (2005-2010). International Whaling
309 Commission (IWC) programmes: SOWER - Southern Ocean Whale and Ecosystems Research
310 Programme (1978-2009), SORP - Southern Ocean Research Partnership. Regional working
311 groups: SO-GLOBEC - Southern Ocean Global Ocean Ecosystems Dynamics, ICED - Integrating
312 Climate and Ecosystem Dynamics in the Southern Ocean, SOOS - Southern Ocean Observing
313 System. National long-term observation examples including: LTER - Long-term Ecological
314 Research Programme, AMLR - Antarctic Marine Living Resources, RATS - Rothera Antarctic
315 Time Series, SOCCOM - Southern Ocean Carbon and Climate Observations and Modelling
316 project. Details of additional CEMP sites and ongoing monitoring see
317 <https://www.ccamlr.org/en/science/cemp-sites>.

318 Many of the field programmes shown in Figure 3 were international research efforts consisting of
319 multiple expeditions within different regions of the ASO. The CAML, which ran from 2005-2010,
320 coordinated 18 major research voyages to the Antarctic and the Southern Ocean during the 2007-
321 2009 International Polar Year (Schiaparelli et al. 2013), many of which targeted unsampled regions,
322 for example the deep-sea benthos within the Amundsen and Bellingshausen Seas (Linse et al.
323 2013). Overall the CAML voyages sampled about 350 sites within the ASO collecting pelagic,
324 demersal and benthic fauna using a variety of sampling gears (Stoddart, 2010). The species data
325 collected during CAML voyages were deposited in SCAR-MarBIN (Scientific Committee on Antarctic
326 Research Marine Biodiversity Network, now biodiversity.aq) data portal, containing data for over 14
327 000 species.

328 Since BIOMASS, Southern Ocean GLOBEC provided the impetus to develop internationally co-
329 ordinated, integrated studies of the krill-based food web (Hofmann et al. 2011). In 2008, it morphed
330 into the IMBER and SCAR program, Integrating Climate and Ecosystem Dynamics in the Southern
331 Ocean (ICED) (Murphy et al. 2008), with a continued focus on process studies, as well as a new
332 emphasis in developing ecosystem models (Murphy et al. 2012).

333 The SOOS was established as a partnership between SCAR and the Scientific Committee on
334 Oceanic Research to develop sustained observing of essential physical, chemical and biological
335 variables to underpin research and monitoring of the region (Rintoul et al. 2011; Meredith et al.
336 2013; Constable et al. 2016; Newman et al. accepted). Although in its infancy, SOOS is beginning

337 to provide mechanisms for retrieving data for the purposes of a MEASO. Its development of
338 regional working groups (Newman et al. accepted) will enable further implementation of co-
339 ordinated field observations identified as important to MEASO in the future.

340 3.2. Taxon-level assessments

341 In its simplest form, an assessment of the status and trends of a species can be derived from
342 abundance data of taxa over time (Constable et al. 2014b). Using the defined assessment
343 components in Table 2, we review the relative spatial coverage of and, in the case of pelagic taxa,
344 the observed trends in taxa-specific assessments within the published literature (Table 3, Table 4).
345 This is an important part of the MEASO process in order to establish an understanding of studies
346 and data available to assess status and trends across the ASO and over time. At this stage, we did
347 not review the utility of the assessments for the purposes of MEASO; while our review here is not
348 exhaustive, the number of assessments indicated for each taxonomic group indicate the relative
349 attention given to each group. The published assessments varied in the amount of data included.
350 Some were derived from long-term data sets that may help identify trends in species
351 abundance/density over time. Others were “snapshots” of a species that may be used as an
352 indicator of status but not trends. Our results highlight the real differences in coverage between taxa
353 and between sectors. Ideally a MEASO would be based on circumpolar assessments of abundance
354 or density but, at present, this only exists for a limited number of species (Klekociuk and Wienecke
355 2016). Only few locations/regions are well sampled across the spectrum of taxa. Some types of
356 areas, such as the deep-sea benthos, are only poorly sampled (Brandt et al. 2014).

Table 2. Definitions and categories of the assessed components in Table 3 and Table 4.

Assessed component	Definition	Categories/criteria
Status assessments	The number of assessments of status (abundance, density) that we found publicly available per taxa within the Antarctic Southern Ocean.*	
Relative spatial coverage	The relative number of published assessments of status within each sector (or circumpolar) per taxa.*	Atlantic Indian West Pacific East Pacific Circumpolar
Relative depth coverage (benthic only)	The relative number of published assessments of status across depth categories.*	Shelf <1000 m Shelf-slope = 0-3000 m Shelf-slope-basin = 0- >3000 m Basin >3000 m
Trend assessments (pelagic only)	The total number of status assessments over time within the Antarctic Southern Ocean.*	
Earliest data	An indication of the earliest abundance, density or biomass data.	
Observed trends (pelagic only)	An indication of the observed trends in the abundance, density or biomass of a taxa over time from the literature*	Increase (↑) = all published trends indicate an increase in abundance/density Decrease (↓) = all published trends indicate a decrease in abundance/density No change (-) = all published trends indicate no change in abundance/density Interannual variation (∓) = all published trends indicate interannual variation in abundance/density Contrasting trends (?) = published trends vary within species, between species or with location No published trends (x) = no trends within the published literature for that taxa.

358 * For exact number and links to references see supplementary information.

359

360 Some of the earliest species-level studies within the ASO focused on krill and marine mammals,
 361 dating back to the early 20th Century during the sealing and whaling eras. This was followed by a
 362 rise in scientific estimates of krill abundance between 1930 and 1980 (Pauley et al. 2000; Nicol et
 363 al. 2000b) and an interest in their potential relationship between krill abundance and large-scale
 364 oceanographic processes (for a review of early works see Priddle et al. (1988) and for the earliest
 365 fisheries data see Fedulov et al. (1996)). For birds and marine mammal's quantitative abundance
 366 data was scarce until the 1970s and close to non-existent prior to the 1950s (Croxall et al. 1992).
 367 Crude estimates of seal and whale populations are suggested in Laws et al. (1977). In many early
 368 works the methodologies are unpublished or at are unreliable.

369 Species-level abundance or density data have been compiled in recent decades for a number of
 370 species, including zooplankton (from SO-CPR surveys; <https://www.scar.org/science/cpr/home/>),
 371 Antarctic krill (raw data in KRILLBASE; <https://www.bas.ac.uk/project/krillbase/#data>), Adelie

































372 penguins (colony counts; <http://www.penguinmap.com/>), whales (assessments;
373 <https://iwc.int/status>), albatross (assessments; <https://acap.aq/acap-species?lang=en>), species with
374 conservation assessments (IUCN red list; [https://www.iucn.org/resources/conservation-tools/iucn-
375 red-list-threatened-species](https://www.iucn.org/resources/conservation-tools/iucn-red-list-threatened-species)), and in CCAMLR fishery reports by CCAMLR management area
376 (<https://www.ccamlr.org/en/publications/fishery-reports> and supplementary information). Additional
377 individual assessments have been submitted to the CCAMLR Working Group for Ecosystems
378 Monitoring and Management. These can be found online and available on request; for example
379 reports, submitted for the Predator Survey Workshop in 2008 include reports of fur seal, flying bird
380 and penguins abundance (<https://www.ccamlr.org/en/wg-emm-psw-08>).

381 These sources have varying degrees of quality-control. For some datasets, limited repeat
382 observations may make trends difficult to estimate. Attention may need to be given to interannual
383 variation associated with El Niño–Southern Oscillation (Trathan et al. 2003; Meredith et al. 2005;
384 Fielding et al. 2014). Importantly, inconsistencies between surveys and/or sampling biases of
385 different survey methods may need to be accounted for through standardisation procedures. These
386 issues have been important to resolve in existing datasets, including standardisation across
387 sampling methods and spatial and temporal coverage for Antarctic krill (in KRILLBASE; Loeb &
388 Santora 2015; Cox et al. 2018), Adelie penguins (Adelie penguin census repositories; Southwell et
389 al. 2013) and ice-breeding seals (APIS repositories; Southwell et al. 2012).

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Table 3. Review of the spatial coverage and observed trends of taxon-specific assessments for plankton, krill, fish and air breathing species in the Antarctic Southern Ocean. The definitions of each assessed component is outlined in Table 2. For relative spatial coverage; dark blue = Atlantic sector, light blue = Indian Sector, dark green = West Pacific sector, light green = East Pacific and yellow = circumpolar. A full list of references used to assess the relative spatial coverage and determine observed trends is available in the supplementary information.

Taxon	Status assessments	Relative spatial coverage	Trend assessments	Earliest data	Observed trends	Key references*
Plankton 	155		-	1900s	Not assessed**	Hosie et al. 2003; McLeod et al. 2010; Atkinson et al. 2012a;
Krill 	40		20	1920s	~ ~ ~ ~	Nicol et al. 2000a,b; Atkinson et al. 2004; Watkins et al. 2004; Fielding et al. 2014; Atkinson et al. 2016; Cox et al. 2018.
Mackerel Icefish 	5		3	1970s	~ ~ X X	De la Mare et al. 1998; Everson et al. 1999; North et al. 2005.
Toothfish 	10		7	1980s	↓ ? ~ X	Williams et al. 2002; Tuck et al. 2003; Hillary et al. 2006; Candy and Constable et al. 2008; Hanchet et al. 2010; Mormede et al. 2014; Day et al. 2015.
Baleen whales 	18		4	1970s	X ↑ ↑ X	Branch and Butterworth. 2001; Branch 2006; Branch 2007; Leaper et al. 2008; Branch 2011.
Toothed whales 	5		1	1970s	X X ↓ X	Kasamatsu et al. 2000; Branch and Butterworth 2001; Branch et al. 2004; Pitman et al. 2018.
Ice-breeding Seals 	28		7	1970s	? ? ↓ X	Erickson and Hanson 1990; Wiemerskirch et al. 2003; Southwell et al. 2012.
Elephant Seals 	24		21	1950s	↑ ? X ?	Laws (1994); McMahon et al. 2005; Hindell et al. 2016.
Fur Seals 	15		14	1950s	↑ ↑ X ↑	Hucke-Gaete et al. 2004.
Adelie Penguin 	23		12	1940s	? ↑ ↑ ↓	Croxall et al. 1988; Trivelpiece et al. 1990; Woehler and Croxall 1997; Micol et al. 2001; Croxall et al. 2002; Forcada et al. 2006; Dunn et al. 2016; Lyver et al. 2014; Lynch et al. 2012; Lynch and LaRue 2014; Southwell et al. 2015
Chinstrap Penguin 	16		10	1950s	↓ ~ X ↓	Croxall et al. 1988; Trivelpiece et al. 1990; Woehler and Croxall 1997; Croxall et al. 2002; Forcada et al. 2006.
Emperor penguin 	17		6	1940s	X ↓ ~ ↓	Kooyman and Mullins 1990; Jouventin and Weimerskirch 1990; Woehler and Croxall 1997; Croxall et al. 2002; Barber et al. 2007; Micol et al. 2001; Fretwell et al. 2012.
Gentoo penguin 	10		6	1970s	↑ X X ?	Croxall et al. 1988; Woehler and Croxall 1997; Croxall et al. 2002; Forcada et al. 2006; Dunn et al. 2016; Dunn et al. 2018.
Macaroni penguin 	6		3	1950s	↓ ? X X	Croxall et al. 1988; Woehler and Croxall 1997; Reid and Croxall 2001.
Antarctic and Cape Petrels 	9		3	1960s	↓ ↓ X X	van Franeker et al. 1999; van Franeker et al. 2001.
Black-browed Albatross 	18		5	1960s	X ? X X	Woehler and Croxall 1997; Reid and Croxall 2001.



Observed trends

- ↑ Increase
- ↓ Decrease
- No change
- ~ Interannual-variation
- ? Contrasting trends, local variation or variation between species
- X No published trends

























*Full reference list in supplementary information
** Sectoral observed trends in plankton not assessed due to high variation on local scales

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398 Data on Antarctic benthic communities has been assembled since the 1960s, recorded mostly from
399 trawl, dredge, corer and camera data (Gutt et al. 2013). However, the density of taxa has often not
400 been recorded (Downey et al. 2012); the difficulties in collecting quantitative benthic samples mean
401 that many studies are only semi-quantitative (Clarke, 2008). Some equipment including the
402 epibenthic sledge and camera technologies are able to generate quantitative abundance data for
403 macro and megafauna species respectively (Gutt and Starbans, 2003; Brandt et al. 2007a; Post et
404 al. 2017). Studies that assess trends over time are rare, usually in shallow water habitats close to
405 research stations (E.g. Conlan et al. 2004; Stark et al. 2014). Table 3 summarises the coverage of
406 benthic assessments by depth and sector. To date the greatest number of benthic studies have
407 been conducted in the Weddell Sea, around the West Antarctic Peninsula and Ross Sea (Gutt et al.
408 2013)

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Table 4. Review of the spatial and depth coverage of taxon-specific assessments for major benthic invertebrate taxonomic groups in the Antarctic Southern Ocean. The definitions of each assessed component is outlined in Table 2. For relative spatial coverage; dark blue = Atlantic sector, light blue = Indian Sector, dark green = West Pacific sector, light green = East Pacific and yellow = circumpolar. A full list of references used to assess the relative spatial and depth coverage is available in the supplementary information.

Taxon	Status assessments	Relative spatial coverage	Relative depth coverage	Earliest data	Example references*
All benthos	 108			1960	Dayton et al. 1974; Gutt et al. 2011.
Meiofauna	 3			1980	Gutzmann et al. 2004.
Macrofauna	 13			1970	Gutt et al. 2007; Glover et al. 2008; Brandt et al. 2014; Stark et al. 2014.
Megafauna	 10			1980	Lockhart and Jones 2008.
Annelida	 9			1970	Hilbig et al. 2006; Neal et al. 2018.
Cnidaria	 2			1980	Waller et al. 2011.
Crustacea	 14			1970	Brandt et al. 2007b; Brokeland et al. 2007; Kaiser et al. 2007, 2009.
Echinodermata	 4			1980	Piepenburg et al. 1997; Manjon-Cabeza and Ramos 2003.
Foraminifera	 5			1970	Cornelius and Gooday 2004; Majewski 2005.
Mollusca	 8			1970	Clarke et al. 2007; Schiaparelli et al. 2014.
Porifera	 5			1960	Dayton 1989; Gocke and Janussen 2013



Depth Distribution
 Shelf (<1000 m)
 Shelf-slope (0 - 3000 m)
 Shelf-slope-basin (0 - >3000 m)
 Basin (>3000 m)

*Full reference list in supplementary information

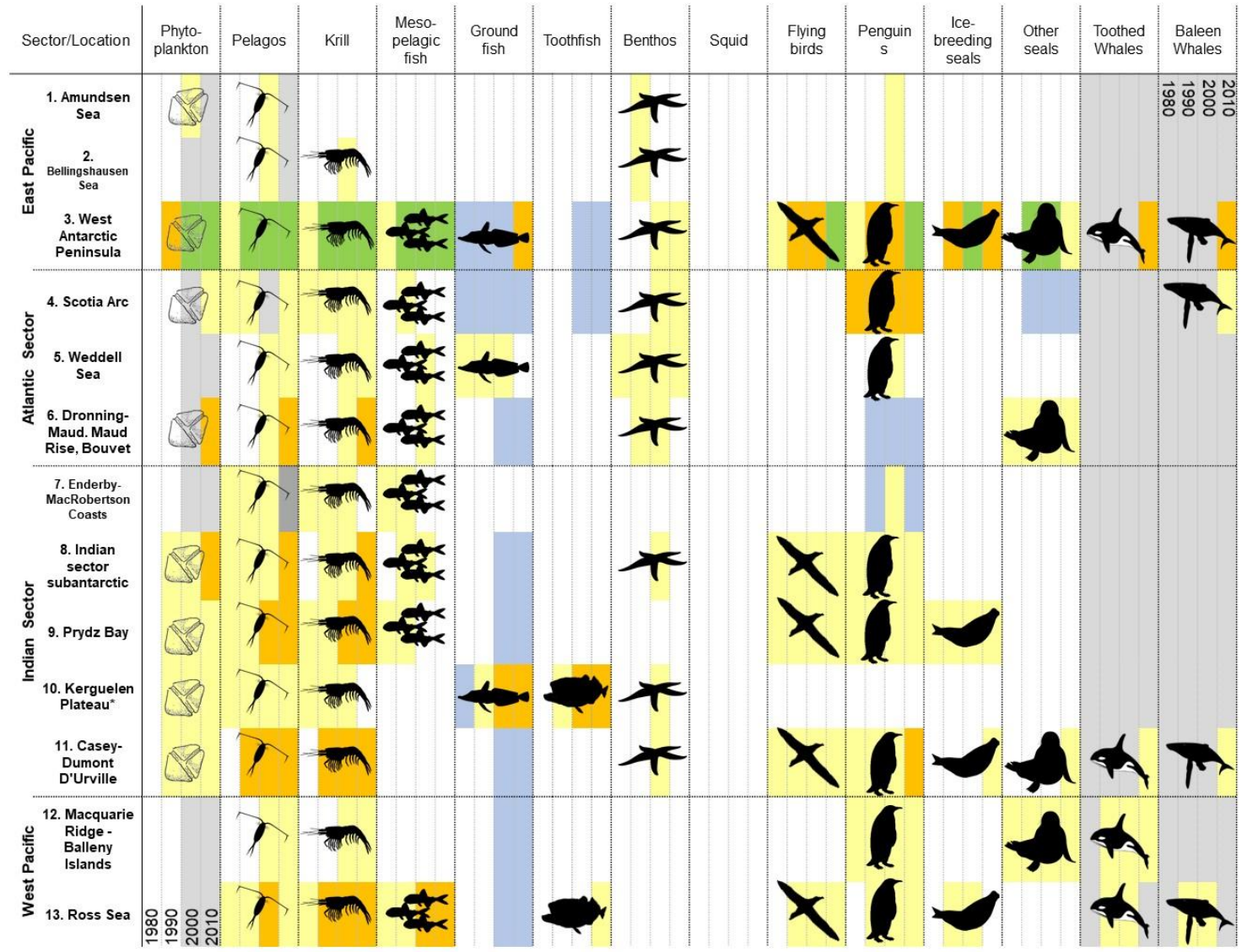
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416 3.3. Consultation on research activities on density or abundance

417 In addition to the review of the literature and online sources, we consulted 92 scientists from 18
 418 different countries for information on assessments of density/abundance of ASO taxa. The aim of
 419 this consultation was to determine the spatio-temporal coverage of research programs estimating
 420 abundance (or relative density) of taxa within the Southern Ocean in each decade from 1980 to the
 421 present. A total of 14 broad taxonomic groups sub-divided into 49 monitoring groups were listed
 422 within the consultative document over 13 different sites within the ASO (full instructions, taxonomic
 423 groups and data are provided in supplementary material).

424 Completed responses were received from 30 individuals from 13 of the targeted countries including
425 (number of responses): Argentina (1), Australia (5), Canada (1), Chile (2), France (1), Germany (5),
426 India (1), Italy (4), Japan (1), Russia (1), South Africa (2), United Kingdom (3) and the USA (3).
427 Additional information was also provided by New Zealand, Australia and the USA. Others indicated
428 that they were not able to contribute or had already contributed to previous responses for their
429 nation. These data are available on SOKI and contributors acknowledged at the end of this
430 manuscript.

431 The greatest survey coverage, indicated by the highest number of research surveys or programmes,
432 over time and taxa was recorded for the West Antarctic Peninsula, one of the most accessible
433 regions of the ASO, whilst the main spatial gaps (fewer surveys or programmes) appear to be the
434 Amundsen Sea, Bellingshausen Sea and Macquarie Ridge (Figure 4). The number of surveys
435 generally increased with time in most regions reflecting the increase in Antarctic research capacity
436 with time. Across taxa, flying birds were mostly covered at locations near to coastal research
437 stations, and benthic taxa were not well represented. Such spatial biases, inherent when studying
438 the Southern Ocean, are discussed in Griffiths et al. (2014). In previous research, the most intense
439 sampling tends to be at the more easily accessible locations, e.g. close to research stations, or the
440 WAP, and depths less than 1000 m. Taxonomic biases are somewhat easier to overcome; there
441 was a surge in species data recorded in online databases during the SCAR Biogeographic Atlas
442 project (Griffiths et al. 2011, 2014). However, we still lack abundance data for many groups. These
443 differences could reflect both the nature of scientific programmes or, the relative success of the
444 community-based survey approach.



Coverage: Low (1-5 surveys/programmes) Medium (6-15 surveys/programmes) High (>15 surveys/programmes) CCAMLR Other

446 Figure 4. Compiled community survey responses by taxa, location and region over time. Colour
447 scheme for the relative number of national research programs measuring species
448 abundance: yellow (low 1-5 surveys/programmes), orange (medium 6-15
449 surveys/programmes); green (high >15 surveys/programmes). Grey shading indicates
450 additional data available from other circumpolar studies and databases including SO-
451 GLOBEC (phytoplankton and pelagos) and IWC (toothed and baleen whales). Blue
452 shading indicates data within CCAMLR sources including fishery assessments
453 (bathypelagic and ground-fish) and from CEMP monitoring sites (penguins and fur
454 seals). The four cells within each taxon indicate time by decade from 1980 to 2010.
455 Further details of the survey are available in the supplementary information.

456 **4. Models to support MEASO**

457 Models underpin the scientific method (Peters 1991). The term 'model' is used in many
458 ways (see Melbourne-Thomas et al. 2017), ranging from (i) heuristic discussions on a
459 system and/or hypotheses of various complexities, to (ii) statistical models aimed at
460 predicting the magnitude of one or more dependent variables based on a series of
461 independent and related variables, to (iii) formal system-level structures linking objects
462 (nodes – physical and chemical variables, species, human uses) by processes (edges –
463 trophic interactions, physiological responses, competitive interactions), the behaviour of
464 which are forced by system drivers (variables – seasonality, ENSO, climate change,
465 fisheries). Hereafter, the latter system-level models are termed 'system models'. In this
466 section, we focus on the system models, regarding that statistical models, which include
467 species distribution models, underpin the species-specific analyses. System models are
468 those that help identify causes and effects and consequent changes when the forcing
469 variables change.

470 System models can be used to test outstanding hypotheses on the effects of change,
471 develop plausible scenarios of current and future change given the data, and for undertaking
472 more precise assessments of the status and trends of the ecosystem (and its likelihood)
473 using estimation procedures (Murphy et al. 2012; Melbourne-Thomas 2017). Ranging in
474 complexity from single species to whole ecosystems (Table 5), system models provide
475 scientists with a method for linking disparate studies on status of some important species
476 with many other studies on processes and ecosystem interactions, thereby enabling

477 complex system studies even though not all components of the system have been observed
478 simultaneously. Thus, system models, couched in observations, can be used to explore the
479 outcomes from multiple ecosystem interactions and perturbations and reporting the
480 consequences to decision makers (Watters et al. 2013; Klein et al. 2018). With the rise of
481 ecosystem-based management practices, which are supported by CCAMLR (Constable
482 2004, 2011; Kock et al. 2007), the development of ecosystem models to investigate future
483 climate, fishing and conservation scenarios are increasingly important (Gurney et al. 2014).

484 The main ecological and modelling challenges in the development of system models is
485 summarised in Murphy et al. (2012). Some of the first ecological modelling applications
486 within the Southern Ocean were based on Antarctic krill because of its importance to whales
487 as well as its emerging importance as a target commercial species (see references in Hill et
488 al. 2006). Antarctic krill is a relatively well studied species, with much information on its
489 growth rate, transport, and population dynamics which can be incorporated into models
490 (Siegel 2016), however it will be important to ensure that future modelling approaches are
491 flexible enough to allow representation of potential shifts to non-krill dominated ecosystem
492 states (McCormack et al. in review ; Trebilco et al. in review)

493 Early modelling studies investigated the interaction between krill aggregations and
494 harvesting operations in attempt to utilise the krill catch rate as a proxy for abundance
495 (Mangel, 1988; Butterworth 1988) whilst conceptual models provided qualitative descriptions
496 of the food-web and model multi-species interactions (for references see Hill et al. 2006).

497 Qualitative network models have since been used to examine directional responses of
498 ecosystem components to perturbations, including the mechanisms behind observed
499 changes and the impacts of model complexity on results (Melbourne-Thomas et al. 2012,
500 2013). This approach provides a quick yet substantial insight into system functioning (Levins
501 1996).





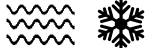



502 Quantitative food web and ecosystem models have also been developed to simulate
503 responses to ecosystem perturbations including fishing (Fulton 2010). These include the



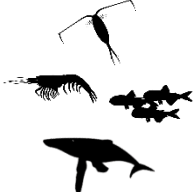





504 widely used Ecopath with Ecosim, a mass balance model with a time dynamic simulation
505 based on the functional groups within an ecosystem (Christensen and Walters 2004, see
506 <https://ecopath.org> for model and software details). Pinkerton et al. (2010) used a similar
507 framework to Ecopath but included key non-trophic transfers (e.g. seasonal release of
508 material from sea-ice, vertical detrital flux) to investigate the ecosystem impacts of fishing in
509 the Ross Sea.









510 More recently, end-to-end, or whole-ecosystem models, attempt to include all major relevant
511 processes within the ecosystem, such as nutrient cycling, climate forcing, environmental
512 variability and harvesting as well as representations of biological species/functional groups
513 that include ecological processes such as feeding, growth, reproduction and
514 dispersal (Fulton 2010; Murphy et al. 2012). An end-to-end modelling framework, Atlantis
515 (Fulton et al. 2010, 2011) is currently under development for implementation in East
516 Antarctica. This model will enable development of climate change scenarios for the regional
517 ecosystem as well as evaluating different management and adaptation options for fisheries
518 and other activities.

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Table 5. Different modelling approaches used within Antarctic and Southern Ocean (ASO) ecosystems from physical and biogeochemical to whole ecosystem models, anticipated utility within the MEASO project, current ASO coverage and example references.

Model type	Description	Examples	Anticipated utility	Implementation	ASO Coverage	Example references
Qualitative models 	Framework to examine ecosystem responses to press perturbation.	Qualitative network models	Understand linkages and feedback mechanisms.	West Antarctic Peninsula and aspatial.		Melbourne-Thomas et al. 2013; Goedegebuure et al. 2017.
Earth System 	Simulation of physical, chemical and biological processes within the earth system. Can incorporate global climate models.	Coupled Model Intercomparison Project (CMIP5) models	Provides forcings for regional models	Global with circum-Antarctic detail. Note, southern boundary may not be to the coast		Reviewed in Cavanagh et al. 2017
Regional Physical 	Simulation of physical conditions within the Southern Ocean such as temperature, salinity and currents.	Ocean General Circulation Models (OGCM) Regional Ocean Modelling System (ROMS) Southern Ocean State Estiamte (SOSE)	Provides regional physical forcing for ecosystem models.	Ross Sea, West Antarctic Peninsula Indian sector		Dinnimen et al. 2011; Corney et al. in review; Mazloff et al. 2010
Regional Biogeochemical 	Simulation of biogeochemistry in the Southern Ocean e.g. nutrient cycling, carbon uptake, productivity	Nutrient, phytoplankton, zooplankton and detritus (NPZD)	Understand different drivers that control the base ASO productivity.	All sectors, including pelagic and in sea ice		Pasquer et al. 2005; Saenz and Arrigo 2014; Vancoppenolle et al. 2010; Melbourne-Thomas et al. 2015; Priestster et al. 2017

<p>Single Species</p> 	<p>Simulation to understand the ecology of a single species based on current biological knowledge and environmental setting.</p>	<p>Krill examples: Advection, recruitment, relationship with physical drivers e.g. sea ice and climatic variation etc</p>	<p>Filling gaps in space and time, where we have patchy abundance data.</p>	<p>Mostly commercially exploited species (krill, seals, whales); Scotia Arc, South Georgia, West Antarctic Peninsula, Ross Sea, Indian Sector</p>		<p>Hofmann et al. 1998; Murphy et al. 2004; Thorpe et al. 2007; Wiedenmann et al. 2008; Jenouvrier et al. 2014.</p>
<p>Foodweb models</p> 	<p>Simulation of the trophic interactions within an ecosystem from primary producers to higher predators. Used to investigate the impacts of changes in primary production, fishing effort and species loss.</p>	<p>Mass balance Ecopath with Ecosim. Size spectrum models</p>	<p>Representation of food entire food web to explore relative importance of trophic linkages and the relative impact of different climate and fishing scenarios.</p>	<p>Ross Sea, Scotia Arc, South Georgia, West Antarctic Peninsula, Indian Sector</p>		<p>Mori and Butterworth 2004, 2005, 2006; Pinkerton et al. 2010; Hill et al. 2012; Ballerini et al. 2014; Gurney et al. 2014; McCormack et al. in prep.; Subramaniam et al. in prep</p>
<p>Benthic models</p> 	<p>Simulation of habitat complexity that shapes biological communities in benthic ecosystems, and roles in benthic-pelagic coupling</p>		<p>Explore dynamics of benthic assemblages in relation to iceberg scour, environmental change and fisheries</p>	<p>Weddell Sea, Scotia Sea Indian sector</p>		<p>Johst et al. 2006; Pothoff et al. 2006a, 2006b</p>
<p>Specific interaction models</p> 	<p>Dynamic models of the interactions between selected species within the ecosystem. Can provide quantitative information on ecosystem performance for use in management of human activities including fishing.</p>	<p>Foosa (a krill predatory-fishery model) Spatial Multispecies Operating Model (SMOM) Ecosystem Productivity Ocean Climate (EPOC)</p>	<p>Subset a food web to specific primary interactions for exploring effects of environmental change or fisheries scenarios.</p>	<p>Mostly krill and krill predators (penguins, whales). Scotia Sea, circum-Antarctic</p>		<p>Constable 2005, 2008; Watters et al. 2013; Plaganyi & Butterworth 2015; Klein et al. 2018; Tulloch et al. 2018</p>

<p>Socio-ecological</p> 	<p>Framework used to understand the interactions between societal, environmental and governmental factors.</p>	<p>To investigate policy-relevant scenarios that may contribute to adaptive conservation and management of marine social—ecological systems</p>	<p>Yet to be implemented in Antarctica.</p>			
<p>End to end models</p> 	<p>These models include submodels on physics, chemistry, biology, human uses, economics and management. They are spatially structured and can resolve small time-steps (minutes) if needed. They enable exploration of direct and indirect effects of change in one or more components on the other elements of the system. Components can be modelled at different levels of complexity from pools to complex populations with behaviours.</p>	<p>Atlantis</p>	<p>Enable exploration of system-level scenarios of change as well as having methods for evaluating how well management and adaptation measures may work under various scenarios.</p>	<p>Currently being implemented for East Antarctica.</p>		<p>Melbourne-Thomas et al. In Prep;</p>
<p>  East Pacific  Atlantic  Indian  West Pacific  Circumpolar </p>						

523 Figure 5 illustrates how the different system models described in Table 5 might fit together in
524 a nested, ensemble of models. While not all the available models described in Table 5 will
525 be used in the initial MEASO, the aim will be to utilise scenarios of environmental change
526 from Earth System models (Cavanagh et al. 2017), along with time-series of observations of
527 physics, chemistry and biology, to drive regional food web and/or species models. These
528 latter models can then be used to investigate the consequences, and their likelihoods, of the
529 different scenarios on different parts of the ecosystem (see, for example, Klein et al. 2018).

530 How might this work in practice?

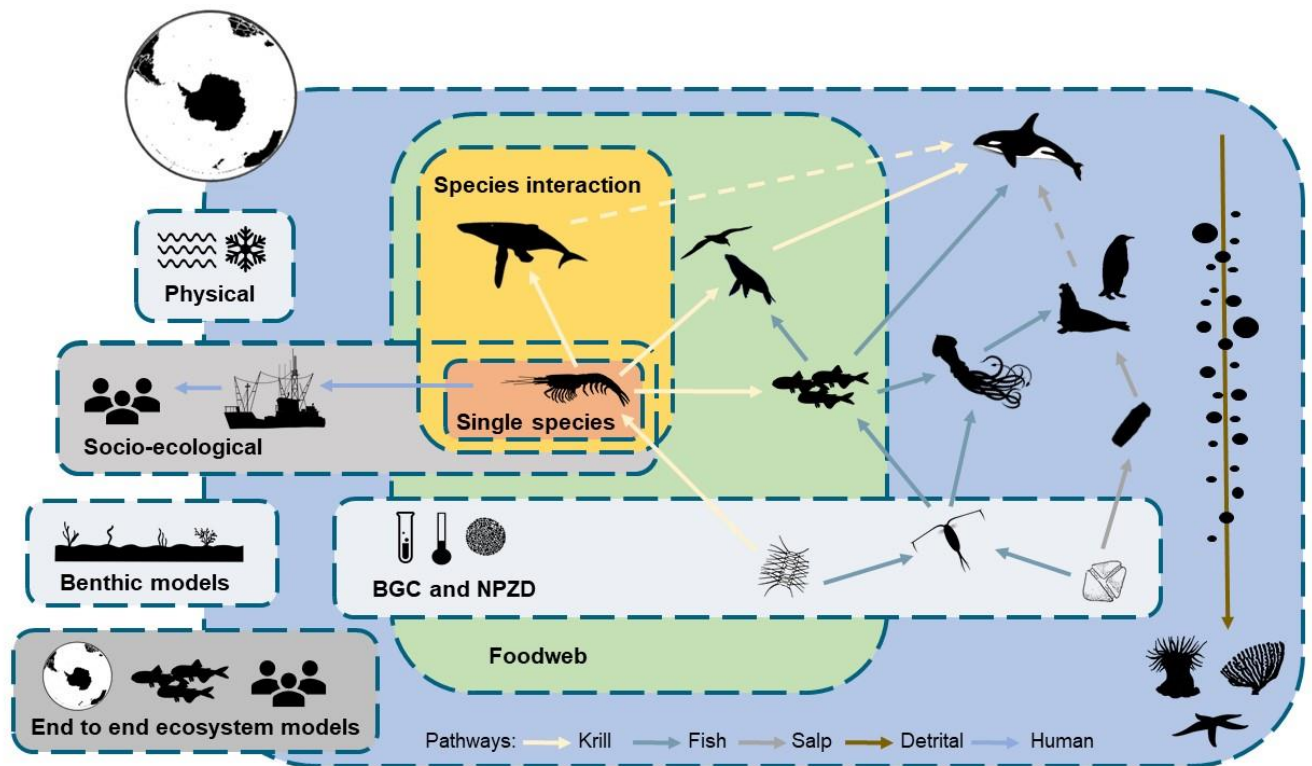
531 Qualitative models are a useful means for developing a suitable, plausible network of
532 interactions expected in an ecosystem model, linking physical, chemical and biological
533 components. Once formed, a qualitative model can then be used to generate possible
534 directions of change in different species/functional groups arising from press perturbations in
535 different parts of the network, particularly in the physical and chemical components. For
536 example, possible changes in the krill-based food web have been explored for the West
537 Antarctic Peninsula (Melbourne-Thomas et al. 2013; Trebilco et al. in review). Overall, this
538 process can be used to simplify food web models in order to achieve computational
539 efficiencies, in preparation for using the nested ensemble of models.

540 Earth System models can provide the state of habitat variables and primary producers
541 across the Southern Ocean, although sea ice may not be well described at present
542 (Cavanagh et al. 2017). The ability for these models to represent the actual state of the
543 Southern Ocean can be assessed as to their fit to time series of ocean observations; the
544 relative ability for representing reality is termed 'model skill'. Environmental scenarios from
545 models with high skill will establish the base conditions for driving the regional food web
546 and/or species models. The results for the different scenarios can be immediately used for
547 looking at potential shifts in suitable habitats for different species under the different
548 scenarios (e.g. krill eggs - Kawaguchi et al. 2013; krill larvae in sea ice – Melbourne-Thomas
549 et al. 2016; krill growth potential – Hill et al. 2013).

550 Time series of observations of physics, chemistry and biology can be used to establish the
551 starting conditions for model assessments of projected changes under different scenarios.
552 While end-to-end models take account of the interactions between physics, chemistry and
553 biology when undertaking projections, singles species and food web assessments can be
554 undertaken using a hierarchical approach to the models. For example, biogeochemical
555 models can help bound the production in a region based on time-series (observations or
556 model data) of the physical environment. The time-series of production can then be used as
557 inputs to species-specific models or to underpin the productivity in a food web. Models such
558 as Ecopath with Ecosim, can help ensure the starting conditions of the relative biomasses of
559 species or functional groups are appropriate given the observed relative abundances
560 amongst a subset of taxa. Thus, projections into the future will have plausibility given these
561 initial calculations. For some regions and species, time-series of observations will enable
562 species and food web models to be fit to the data, enabling a test of the plausibility of the
563 models given the precision in the estimation of parameters.

564 Given the development of models to date, it will be possible to at least examine biological
565 scenarios under different future environmental scenarios from Earth System models for
566 Antarctic krill and krill-based food webs (e.g. some recent models available are Constable
567 and Kawaguchi 2017; Murphy et al. 2017).

568 Uncertainties in the outcomes of the projections arise from parametric uncertainty, natural
569 variation and the role of extreme events in altering trajectories of different taxa. In addition,
570 uncertainties can arise from different views of how the ecosystems work – structural
571 uncertainty. Estimating the uncertainty in the consequences of the different scenarios will be
572 an important part of the assessment (Constable 2004; Fulton, 2010; Link et al. 2012). A
573 further step in reducing uncertainties using this hierarchical, ensemble of models, combined
574 with existing time-series of data, will be to evaluate how an observing system for Southern
575 Ocean ecosystems might be improved to better contribute in the future to a subsequent
576 MEASO (Constable et al. 2016).



578

579 Figure 5. Single and integrated model approaches that could be used in marine ecosystem
 580 assessments. The generic Southern Ocean food web shown here represents different
 581 energy pathways and the most commonly studied species and interactions in model
 582 analyses.

583 5. Summary and future directions

584 Southern Ocean ecosystems cover a range of different physical and chemical
 585 environments with four different meridional sectors (ocean scale) and subantarctic and
 586 polar zonal divisions within sectors. The best studied sector is the East Pacific (West
 587 Antarctic Peninsula) followed by the Atlantic sector, both of which have had emphases
 588 on the Antarctic krill-based pelagic systems. Nevertheless, a nested ensemble of
 589 models with sufficient time series of observations are available to undertake circumpolar
 590 assessments of, at least, the Antarctic krill-based system. This can be achieved by
 591 applying available knowledge and general principles of interactions between physical,
 592 chemical and biological components of food webs.

593 We provide here a framework for auditing available data, syntheses and system models
594 (incorporating knowledge of autecological and ecosystem processes) for a MEASO.
595 This framework provides a means of easily collating works and information not yet
596 included in our audit in order to make them available for future assessments. While we
597 have had an emphasis on the scientific literature, it will be possible to use the auditing
598 process in future to collate and make available data and models not yet or not able to be
599 established in the literature.

600 An important task for MEASO will be to evaluate the degree to which future assessments
601 may benefit from programs to fill in taxonomic gaps in data within each of the main
602 sectors. Here, it will be important to consider how advice to end users, such as different
603 management bodies, may be improved by filling in those gaps. As described in the use
604 of the system models, it will be possible to evaluate how the ecosystem parts of the
605 SOOS could be improved by increasing spatial and/or temporal coverage of
606 observations of particular taxa (Meredith et al. 2013; Constable et al. 2016) and
607 important components of their habitats (Trebilco et al. in review). A major gap that can
608 be identified by our audit here is the need to have greater coverage of observations and
609 modelling of benthic systems, particularly as they may pertain to managing the
610 interactions of fisheries with benthic habitats as well as the role of benthic habitats in the
611 carbon cycle (e.g. Barnes et al. 2018).

612 Technological advances have greatly increased our efficiency to obtain ecological data in
613 the Southern Ocean. These advances include the use of genetics to identify species,
614 study diversity, population connectivity and phylogeography (e.g. Grant et al. 2011;
615 Cluas et al. 2014), stable isotopes analysing diet for foodweb studies (Raymond et al.
616 2011); acoustics, automated cameras and satellites in locating species and monitoring
617 populations and habitats (e.g. Fretwell et al. 2012; Southwell et al. 2013; Trebilco et al. in
618 review) and autonomous and remotely operated vehicles to survey the most remote and
619 ice-covered regions (Gutt et al. 2017). Our temporal coverage has also increased with a

620 number of moored and remote observing systems, providing continuous and sustained
621 data collection. The development of a network of long-term biological monitoring stations
622 and survey transects within ASO ecosystems has been suggested and may be feasible
623 with these technological advances (Griffiths et al. 2010; Constable et al. 2014,
624 2016). Importantly, the development of improved observing in the region is coordinated
625 by the SOOS (www.soos.aq) (Newman et al. accepted).

626 In addition to advancing technologies, capacity building and knowledge sharing has been
627 highlighted as a strategic goal in preserving Antarctica's biodiversity (Chown et al. 2017).
628 International research committees and networks such as SCAR and SOOS help to
629 coordinate activities between their member countries with Antarctic programs at different
630 stages of development (Summerhayes, 2008; Newman, accepted). In its lifetime SCAR
631 has expanded from 12 to 44 member countries including 14 initial stage programmes
632 and 12 associate members. Colombia, an associate member of SCAR, is an example of
633 a developing nation, which in the last 40 has progressed from sending their first scientist
634 to Antarctica on an international programme to the development of 37 research projects
635 and leading their third international science expedition in 2016-2017 (Diaz, 2017). From
636 the start MEASO has reflected SCAR's capacity building ethos, encouraging
637 collaboration between Antarctic nations and involvement of early career scientists as
638 demonstrated at the MEASO conference in 2018 with 23 participating countries and 57
639 early career scientists out of the 173 attendees.

640 Biological assessments in the ASO began with the BIOMASS program of the Scientific
641 Committee on Antarctic Research in the 1980s, followed by a series of assessments and
642 the CAML project in the International Polar Year leading to the SCAR Biogeographic
643 Atlas of the Southern Ocean and the report on the Antarctic Climate Change and
644 Environment. The Marine Ecosystem Assessment for the Southern Ocean is a further
645 step in these assessments aiming to provide needed scientific advice to support the
646 sustainable management and conservation of the region long in to the future.

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