

## Article (refereed) - postprint

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Starnes, Daniel; Unrine, Jason; Chen, Chun; Lichtenberg, Stuart; Starnes, Catherine; Svendsen, Claus; Kille, Peter; Morgan, John; Baddar, Zeinah Elhaj; Spear, Amanda; Bertsch, Paul; Chen, Kuey Chu; Tsyusko, Olga. 2019.  
**Toxicogenomic responses of *Caenorhabditis elegans* to pristine and transformed zinc oxide nanoparticles.** *Environmental Pollution*, 247. 917-926.  
<https://doi.org/10.1016/j.envpol.2019.01.077>

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<https://doi.org/10.1016/j.envpol.2019.01.077>

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Toxicogenomic responses of *Caenorhabditis elegans* to pristine and transformed Zinc Oxide nanoparticles

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32 **Abstract**

33 Manufactured nanoparticles (MNPs) undergo transformation immediately after they enter  
34 wastewater treatment streams and during their partitioning to sewage sludge, which is applied to  
35 agricultural soils in form of biosolids in many parts of the world. We examined toxicogenomic  
36 responses of the model nematode *Caenorhabditis elegans* to pristine and transformed ZnO-MNPs  
37 (phosphatized pZnO- and sulfidized sZnO-MNPs). To account for the toxicity due to dissolved  
38 Zn, a ZnSO<sub>4</sub> treatment was included. Transformation of ZnO-MNPs reduced their toxicity by  
39 nearly ten-fold, while there was almost no difference in the toxicity of pristine ZnO-MNPs and  
40 ZnSO<sub>4</sub>. This combined with the fact that far more dissolved Zn was released from ZnO- compared  
41 to pZnO- or sZnO-MNPs, suggests that dissolution of pristine ZnO-MNPs is one of the main  
42 drivers of their toxicity. Transcriptomic responses at the EC<sub>30</sub> for reproduction resulted in a total  
43 of 1161 differentially expressed genes. Fifty percent of the genes differentially expressed in the  
44 ZnSO<sub>4</sub> treatment, including the three metal responsive genes (*mtl-1*, *mtl-2* and *numr-1*), were  
45 shared among all treatments, suggesting that responses to all forms of Zn could be partially  
46 attributed to dissolved Zn. However, the toxicity and transcriptomic responses in all MNP  
47 treatments cannot be fully explained by dissolved Zn. Two of the biological pathways identified,  
48 one essential for protein biosynthesis (Aminoacyl-tRNA biosynthesis) and another associated with  
49 detoxification (ABC transporters), were shared among pristine and one or both transformed ZnO-  
50 MNPs, but not ZnSO<sub>4</sub>. When comparing pristine and transformed ZnO-MNPs, 66% and 40% of  
51 genes were shared between ZnO-MNPs and sZnO-MNPs or pZnO-MNPs, respectively. This  
52 suggests greater similarity in transcriptomic responses between ZnO-MNPs and sZnO-MNPs,  
53 while toxicity mechanisms are more distinct for pZnO-MNPs, where 13 unique biological

54 pathways were identified. Based on these pathways, the toxicity of pZnO-MNPs is likely to be  
55 associated with their adverse effect on digestion and metabolism.

56 **Keywords:** gene expression, nanomaterial, nematode, transcriptomics, soil, wastewater

57

### 58 **Capsule**

59 The toxicity and transcriptomics responses of transformed ZnO nanoparticles are distinct from  
60 pristine ZnO nanoparticles and only partially due to release of ions.

## 61 **Introduction**

62           The growth of the nanotechnology industry continues rapidly, as indicated by increases in  
63 both the number of products containing nanomaterials and the variety of manufactured  
64 nanoparticles (MNPs) reaching the market (Vance, Kuiken et al. 2015). Many products containing  
65 MNPs are incorporated into consumer products prior to full consideration of their potential  
66 environmental implications. Zinc oxide MNPs (ZnO-MNPs) possess unique physico-chemical  
67 properties that allow for a wide array of applications, from biosensors, to optical devices, to  
68 personal care products (Zhong Lin 2004). Recent estimates for global production of ZnO-MNPs  
69 exceeds 550 tons annually with nearly all of those MNPs being used in cosmetics, sunscreens, and  
70 paints (Spisni, Seo et al. 2016). With increased ZnO-MNPs use in consumer products, the primary  
71 pathway by which ZnO-MNPs enter the environment is through the land application of biosolids  
72 from wastewater treatment plants (WWTP) (Ju-Nam and Lead 2008, Mueller and Nowack 2008).  
73 After entering the wastewaters, MNPs partition to sewage sludge (Ma, Levard et al. 2014), the  
74 majority of which is land applied as a soil amendment. For example, yearly 60% (US), 70%  
75 (United Kingdom), and 80% (Spain) of all biosolids from WWTPs are applied to land (EPA 1995,  
76 Mueller and Nowack 2008, Gottschalk, Sonderer et al. 2009, Lucid, Fenton et al. 2013). Given  
77 that wastewater and sewage sludge are enriched in  $\text{SH}^-$  and  $\text{PO}_4^{3-}$ , two of the primary  
78 transformation processes for ZnO-MPNs, phosphatation and sulfidation, will result in formation  
79 of  $\text{Zn}_3(\text{PO}_4)_2$  and ZnS containing solid phases (Martínez, Bazilevskaya et al. 2006, Lombi, Donner  
80 et al. 2012, Ma, Levard et al. 2014).

81           Pristine, which we define as “as synthesized”, ZnO-MNPs have already been shown to  
82 cause toxicity in *C. elegans* by negatively impacting their growth, reproduction, and increasing  
83 their mortality (Gupta, Kushwah et al. 2015). In the same study, using fluorescently labeled ZnO-

84 MNPs, the authors showed that the MNPs were deposited in the intestinal tissues of the nematodes.  
85 ZnO-MNPs have been found to have a wide concentration range for mortality to *C. elegans*, from  
86 2.2 mg L<sup>-1</sup> up to the 780 mg L<sup>-1</sup>, depending on the exposure media (Ma, Bertsch et al. 2009, Wang,  
87 Wick et al. 2009). Using *Danio rerio* (zebrafish), Xiaoshan et al. showed that ZnO-MNPs were  
88 more effective at generating reactive oxygen species in embryos compared to dissolved Zn when  
89 exposed to equal concentrations on a Zn basis(Xiaoshan, Jiangxin et al. 2009). ZnO-MNPs have  
90 been shown to induce oxidative stress response genes in *C. elegans*(Gupta, Kushwah et al. 2015),  
91 *Eisenia fetida*(Mwaanga P. 2017), *Arabidopsis thaliana* (Landa, Vankova et al. 2012), and *Danio*  
92 *rerio* (Xiaoshan, Jiangxin et al. 2009).

93         The majority of the research conducted on the toxicity of ZnO-MNPs has utilized mostly  
94 commercially available pristine ZnO-MNPs, while information on the effects of transformed ZnO-  
95 MNPs is limited. The study which examined effects of ZnO-MNPs, aged in different soils for 56  
96 and 142 days, to *Eisenia andrei* demonstrated that weight was the only variable affected by the  
97 aging of ZnO-MNPs. The aging of ZnO-MNPs did not affect earthworms' reproduction (Romero-  
98 Freire, Lofts et al. 2017). Among studies on the effects of transformed (simulated "aging") metal  
99 nanomaterials in invertebrates, more emphasis has been given to sulfidized Ag-MNPs, which are  
100 the primary transformation products of Ag-MNPs in wastewater, sewage sludge and biosolids.  
101 Sulfidized sAg-MNPs showed significant decreases in Ag bioavailability and their toxicity in *C.*  
102 *elegans* as well as different toxicity mechanisms when compared to pristine Ag-MNPs (Levard,  
103 Hotze et al. 2013, Starnes, Unrine et al. 2015, Starnes D. 2016). When a model legume *Medicago*  
104 *truncatula* was grown in soils-amended with biosolids containing a mixture of transformed Ag-,  
105 ZnO- and TiO<sub>2</sub>-MNPs, exposure adversely affected the plant's nodulation rate and caused plant  
106 toxicity. The transcriptomic data combined with the metal uptake data suggested that the observed

107 effects were likely due to increased bioavailability of Zn from ZnO-MNPs and were more  
108 pronounced for transformed MNPs than for transformed bulk metals (Chen, Unrine et al. 2015,  
109 Judy, McNear et al. 2015). When testing the same biosolids amended soils in *E. fetida*, it was also  
110 found that the biosolids containing transformed MNPs were more toxic to reproduction than the  
111 biosolids containing transformed bulk metals (Lahive, Matzke et al. 2017). To our knowledge,  
112 there are no studies that have investigated the toxicogenomic effects of pristine ZnO-MNPs as  
113 compared to phosphatized- and sulfidized ZnO-MNPs. Given that both transformed forms of ZnO-  
114 MNP are predicted to be present in biosolids applied to agricultural fields, this represents a gap in  
115 the understanding of the risks that ZnO-MNPs possess to environmental health.

116 The purpose of this study was to investigate and compare the toxicity and transcriptomic  
117 responses of *C. elegans* exposed to pristine ZnO-MNPs and transformed phosphatized and -  
118 sulfidized, ZnO-MNPs and ZnSO<sub>4</sub>. Our hypothesis is that the mode of toxicity would differ  
119 between pristine and transformed ZnO-MNPs, and that those would be distinct from the response  
120 to ZnSO<sub>4</sub> exposure. Due to the high solubility of ZnO-MNPs, we also hypothesized that significant  
121 part of the response of *C. elegans* to pristine ZnO MNPs would be due to the dissolved Zn

## 122 **Materials and Methods**

### 123 *Zinc Oxide Nanoparticle Synthesis and Characterization*

124 Protocols for ZnO-MNPs synthesis and characterization were adapted from previously  
125 published research from our laboratory (Ma, Levard et al. 2013, Rathnayake, Unrine et al. 2014).  
126 Pristine and transformed ZnO-MNPs started with the dispersion of uncoated ZnO (Nanosun,  
127 Micronisers, Melbourne, Victoria, Australia) with a nominal primary particle size of 30 nm.  
128 Primary particle size distribution for both pristine and transformed ZnO-MNPs was determined  
129 using transmission electron microscopy (TEM; JEOL 2010F, Tokyo, Japan); 10 µL of 200 mg

130 Zn L<sup>-1</sup> ZnO-MNPs were placed on formvar coated 200 mesh Cu grids and allowed to air dry in a  
131 laminar flow hood, 100 particles were measured from three separate micrographs. The exposure  
132 solutions with pH of 8.2 were prepared in synthetic soil pore water (SSPW) and were used to  
133 determine electrophoretic mobility and hydrodynamic diameter of ZnO-MNPs. The mean  
134 intensity weighted (z-average) hydrodynamic diameters were measured in exposure media  
135 (SSPW) at 100 mg Zn L<sup>-1</sup> using dynamic light scattering (DLS, Malvern ZetaSizer Nano-ZS,  
136 Malvern, United Kingdom). Zeta potentials (ZP) of both pristine and transformed ZnO were  
137 calculated using the Hückel approximation in SSPW using 100 mg Zn L<sup>-1</sup> suspensions from  
138 electrophoretic mobilities measured by phase analysis light scattering (PALS, Malvern Zetasizer  
139 Nano-ZS). The Z-average (intensity weighted) diameter of the pristine ZnO-MNPs in the  
140 exposure media was 265 nm.

#### 141 *Transformation of pristine ZnO-MNPs*

142 Phosphatized ZnO-MNPs were generated by dispersing 25 mg Zn L<sup>-1</sup> of nanoSun ZnO  
143 powder into 50 ml of 5.3 mM solution of (pH adjusted to 6) in polypropylene centrifuge tubes.  
144 The concentration of Na<sub>2</sub>HPO<sub>4</sub> used for the phosphatation has been predicted to result in complete  
145 transformation of ZnO-MNPs in wastewater (Rathnayake, Unrine et al. 2014). Ten replicates were  
146 created and each tube was sealed with parafilm and placed horizontally in racks on a reciprocating  
147 shaker for 5 days according to Rathnayake et al., 2014 (Rathnayake, Unrine et al. 2014).  
148 Following incubation, the tubes were centrifuged at 3320 x g for 20 min. Supernatants were  
149 decanted and pellet was resuspended in ultra-pure 18 MΩ water. This process was repeated three  
150 times to ensure that all residual Na<sub>2</sub>HPO<sub>4</sub> was removed. Sulfidized ZnO-MNPs were prepared at  
151 a concentration of 42 mg Zn L<sup>-1</sup> by dispersing nanoSun powder into 50 ml of 0.06 M solution of  
152 Na<sub>2</sub>S in He-sparged water in polypropylene centrifuge tubes. According to Ma et al. study (Ma,

153 Levard et al. 2013), use of this Na<sub>2</sub>S concentration is expected to result in complete sulfidation  
154 of ZnO-MNPs. Ten replicates were created and each was filled to maximum capacity to minimize  
155 the headspace in the tube. Tubes were sealed with a double layer of parafilm and placed  
156 horizontally in racks on a reciprocating shaker for 5 d. Following incubation tubes were  
157 centrifuged at 5000 x g for 1 h. Supernatants were decanted and the pellet was re-suspended in  
158 ultra-pure water. This process was repeated 3 times to ensure that all residual Na<sub>2</sub>S was removed.  
159 After final wash, transformed nanoparticles were lyophilized and transformation was confirmed  
160 by comparing to authentic standards using powder X-ray diffraction (PANalytical x'pert Pro).  
161 Stock solutions of the transformed and pristine MNPs were dispersed in ultra-pure water at 100  
162 mg Zn L<sup>-1</sup> with continuous sonication in a water cooled-cup-horn sonicator for 45 min at 100%  
163 power (Misonix, Newtown CT, USA). TEM images, energy dispersive spectroscopy (EDS) and  
164 DLS particle size distributions, including DLS data in actual exposure media, hydrodynamic  
165 diameter (volume weighted) and zeta potential are given in Supporting Information (Table S1,  
166 Figures S1- S4). The Z-average (intensity-weighted) diameters of pZnO-MNPs and sZnO-MNPs  
167 in the exposure media (SSPW) were 1715 nm and 1022 nm, respectively. Powder X-ray  
168 fractograms and X-ray diffraction patterns (XRD) for pristine and phosphatized ZnO nanoparticles  
169 are presented in Figs. S5 and S6.

#### 170 *Nematode exposures and toxicity experiments*

171 All exposures were conducted in SSPW (Na 4 mM, Mg 0.5 mM, Al 1 μM, K 1.0 mM, Ca  
172 1.25 mM, NO<sub>3</sub> 3.5 mM, SO<sub>4</sub> 0.5 mM, PO<sub>4</sub> 1.0 μM, and I=10.3 mM). A complete description of  
173 SSPW can be found in Tyne et al. (Tyne, Lofts et al. 2013); however, we modified our solutions  
174 by omitting the iron to avoid the formation of Fe-oxohydroxide solids, which may form  
175 heteroaggregates with the MNPs, complicating our ability to characterize the exposure solutions

176 and interpret the results. We also omitted fulvic acid to further isolate the transformation effects  
177 of phosphate and sulfide on ZnO-MNP toxicity (Tyne, Lofts et al. 2013). The solutions were  
178 aerated overnight and the pH adjusted to 8.2 with 0.1 M NaOH (Tyne, Lofts et al. 2013).

179 The toxicity protocols represent modifications of previously established *C. elegans* toxicity  
180 testing methods (Tsyusko, Unrine et al. 2012, Starnes, Unrine et al. 2015). Wild type N2 Bristol  
181 strain of *C. elegans* were obtained from the Caenorhabditis Genetics Center (CGC) and were age-  
182 synchronized using NaClO/NaOH solution (Williams and Dusenbery 1988). For mortality testing,  
183 larval L3 stage nematodes were exposed to varying concentrations of ZnSO<sub>4</sub>, ZnO-, pZnO-, and  
184 sZnO-MNPs in SSPW without bacterial food for 24 h in a 24 well polycarbonate tissue culture  
185 plate. Four concentrations were used for every treatment. Unfed nematodes were exposed to  
186 ZnSO<sub>4</sub> (5-20 mg Zn L<sup>-1</sup>), ZnO-MNPs (5-20 mg Zn L<sup>-1</sup>), pZnO-MNPs (50-200 mg Zn L<sup>-1</sup>) and  
187 sZnO-MNPs (50-200 mg Zn L<sup>-1</sup>). Exposures without feeding were conducted to differentiate  
188 between mortality due to dissolution and mortality due to intact particles, where dissolution and  
189 subsequent binding of Zn<sup>2+</sup> ions to microbial cells would have complicated our ability to quantify  
190 exposure to Zn<sup>2+</sup> ions (Levard, Yang et al. 2014). Each treatment had four replicates per  
191 concentration with 10 (±1) nematodes in each well and all concentrations were tested in two  
192 independent experiments.

193 For reproduction, eggs were hatched on K-agar plates with OP50 bacterial lawns (Williams  
194 and Dusenbery 1988) and were placed into the exposure solutions. For each exposure, six L1  
195 nematodes were exposed to four concentrations per treatment of ZnSO<sub>4</sub> (0.5-2.0 mg Zn L<sup>-1</sup>), ZnO-  
196 MNPs (0.5-2.0 mg Zn L<sup>-1</sup>), pZnO-MNPs (5.0-20.0 mg Zn L<sup>-1</sup>) and sZnO-MNPs (5.0-20.0 mg Zn  
197 L<sup>-1</sup>) in SSPW. These concentrations were chosen to avoid mortality >10%. Reproduction  
198 experiments were conducted in the presence of bacterial food, *E. coli* (OP50 strain), added (OD<sub>600</sub>

199 = 1) at the rate of 10  $\mu\text{L mL}^{-1}$  of exposure solution. Exposure solutions for reproduction  
200 experiments were replaced after 24 h and fresh bacterial food was added. After 50 h of exposure,  
201 individual nematodes were transferred to K-agar plates for egg laying. Adult worms were  
202 transferred to fresh K-Agar plates every 24 h for 72 h. Plates were incubated for 24 h to allow  
203 hatching, then stained with Rose Bengal (0.5  $\text{mg L}^{-1}$ ), heated to 50°C for 55 min, then fully hatched  
204 juveniles were counted. Each exposure was tested in two independent experiments.  $\text{EC}_{30}$  values  
205 were calculated from parameters determined using linear regression.

206 To help quantify effects of dissolved Zn when exposed to ZnO-, pZn- or sZnO-MNP  
207 treatments, particle free supernatants were generated by centrifuging suspension of ZnO-MNPs in  
208 SSPW at 16,800 x g in for 3 hours after 24 hour incubation at 20°C. Immediately after  
209 centrifugation, the supernatant was decanted and used for the exposures. Nematodes exposed to  
210 particle free supernatants were compared to nematodes exposed to whole suspensions that had  
211 been incubated for 24 h. The highest concentration was selected for all treatments, and the  
212 exposure conditions were the same as in the experiments for mortality tests (ZnSO<sub>4</sub> and ZnO-  
213 MNPs 20  $\text{mg L}^{-1}$ , pZnO-MNPs and sZnO-MNPs 200  $\text{mg L}^{-1}$ ).

#### 214 *Nematode exposure and microarrays*

215 Nematodes at L1 stage were exposed at sublethal equitoxic concentrations (the  $\text{EC}_{30}$  for  
216 reproduction) to ZnSO<sub>4</sub> (0.75  $\text{mg Zn L}^{-1}$ ), ZnO-MNPs (0.75  $\text{mg Zn L}^{-1}$ ), pZnO-MNPs (7.5  $\text{mg Zn}$   
217  $\text{L}^{-1}$ ) and sZnO-MNPs (7.5  $\text{mg Zn L}^{-1}$ ) for 48 hours. All exposures for microarrays were conducted  
218 in the presence of bacterial food, *E. coli* (OP50 strain), at the rate of 10  $\mu\text{L}$  stock solution per mL  
219 of exposure solution ( $\text{OD}_{600} = 1$  for stock solution). To ensure a consistent exposure over the course  
220 of 48 hours, exposure solutions were replaced after 24 h with fresh ones and fresh bacterial food  
221 was added. Exposures were carried out in 15 mL polypropylene centrifuge tubes containing 4 mL

222 of exposure solution. The tubes were arranged horizontally in an incubator and three replicates per  
223 treatment were prepared. After exposure, RNA was extracted from each replicate using Trizol with  
224 subsequent purification with Qiagen RNeasy Kit (Qiagen, Chatsworth, CA). Cells were lysed  
225 using multiple freeze-thaw cycles (-80 and 37 °C) as previously described (Tsyusko, Unrine et al.  
226 2012). All samples were checked for RNA integrity using a Nanodrop ND-2000  
227 spectrophotometer and Agilent 2100 Bioanalyzer to examine the quality and quantity of the RNA  
228 samples. All RNA samples had RNA integrity numbers of nine and above and were sent to the  
229 Microarray Core Facility at the University of Kentucky to be processed for RNA transcriptome  
230 assay using Affymetrix *C. elegans* whole genome whole transcriptome (WT) microarrays. A total  
231 of 100 ng of total RNA was used to generate labeled ss-cDNA using Affymetrix WT Plus kit. The  
232 labeled ss-cDNA (5.0 µg) were fragmented and hybridized to EleGene 1.0 ST arrays for 16 h at  
233 45°C in a hybridization oven with rotation at 60 RPM. The arrays were washed and stained using  
234 the Affymetrix 450 fluidics station and scanned on the Affymetrix GeneChip 7G scanner.

### 235 *Microarray data analysis*

236 Raw data signal intensity files were normalized using the robust multi-array average  
237 (RMA) algorithm following quantile normalization implemented in the Expression console  
238 (Affymetrix) in Partek Genomics Suite 7.0 (Partek, Inc., St. Louis, MO) (Irizarry, Hobbs et al.  
239 2003). Microarray data were analyzed for differences between each treatment (ZnO-, pZnO-,  
240 sZnO-MNPs and ZnSO<sub>4</sub>) and control using one-way ANOVA with contrasts. The ANOVA data  
241 were filtered by unadjusted p-value of 0.05 in at least one of the treatments versus control. Multiple  
242 comparison correction function FDR step-up in Partek was applied to calculate the false discovery  
243 rate (FDR) for each experimental condition for every differentially expressed gene. After that the  
244 threshold was set at fold change (FC) ±2 with FDR < 0.2 to balance the protection against false

245 positives while minimizing the rate of false negatives. In Partek, all genes that were significantly  
246 different from control in at least one treatment were analyzed using agglomerative hierarchical  
247 cluster analysis (HCA). HCA is a 2-Pass clustering method; the first pass is a K-means clustering  
248 and in the second pass the K-means clusters are joined by agglomerative clustering. The final lists  
249 of differentially expressed genes that were significantly different from control in each treatment  
250 were then used for pathway analysis using the Kyoto Encyclopedia of Genes and Genomes  
251 (KEGG) search tools, integrated in Partek, to investigate the possible involvement of relevant  
252 pathways. The same gene lists were also used with the functional annotation clustering tool in  
253 bioinformatics resource DAVID 6.8(Huang da, Sherman et al. 2009, Huang da, Sherman et al.  
254 2009) to identify significant changes within each of the gene lists for the enriched biological  
255 pathways. We have also applied Pathview Web (Luo, Pant et al. 2017), which is an user friendly  
256 open source for the pathway visualization and data integration, to screen for biological pathways  
257 using the same gene lists. The gene ontology analysis functionality was utilized in Partek to screen  
258 terms for biological processes (BP), molecular function (MF), and cellular compartment (CC)  
259 using default settings. All microarray data have been submitted (Accession # GSE114881) to the  
260 National Center for Biotechnology Information (NCBI).

261 *qRT-PCR.*

262 Quantitative reverse transcription polymerase chain reaction (qRT-PCR) analyses were  
263 performed on samples in triplicates (both biological and technical) from independent experiment.  
264 Y45F10D.4 was selected as the reference gene, which had no significant changes across treatments  
265 in either microarray or qRT-PCR analysis. Y45F10D.4 encodes for a putative iron-sulfur cluster  
266 enzyme has been shown to be an excellent candidate for use as reference gene in nanotoxicity  
267 (Xiu, Zhang et al. 2012). Four differentially expressed genes were selected for qRT-PCR

268 confirmation. Among them were p-glycoproteins (*pgp-5* and *pgp-6*), nuclear localized metal  
269 responsive (*numr-1*), and scramblase (*scrm-8*) genes. 300 ng of RNA were converted to cDNA  
270 using a High-Capacity RNA-to-cDNA kit™ (Applied Biosystems) and RNA was cleaned with  
271 DNase I for 15 minutes prior to cDNA conversion (Qiagen, Chatsworth, CA). qRT-PCR reactions  
272 were carried out in 10 µL volumes using TaqMan Fast Advanced master mix, TaqMan gene  
273 expression arrays for each gene (Table S2), and 1:19 diluted in water cDNA. All gene probes  
274 spanned exons and StepOne Plus system (Applied Biosystems) was used for all amplifications  
275 with a program of 10 min at 95 °C, followed by 40 cycles of 10 s at 95 °C and 30 s at 60 °C.  
276 Negative controls and minus reverse transcription (–RT) negative controls were run for every  
277 gene/sample to check for DNA contamination.

#### 278 *Dissolution Experiments*

279 We used reverse dialysis to determine dissolution of the exposure suspensions. Pristine and  
280 transformed ZnO MNPs were suspended in synthetic soil pore water (SSPW, test media). Two  
281 concentrations (0.75 and 7.5 mg L<sup>-1</sup>) of Zn as pristine ZnO MNPs, phosphatized ZnO MNPs  
282 (pZnO MNPs), and sulfidized ZnO MNPs (sZnO MNPs), identical to those used for the  
283 reproduction toxicity test were prepared in triplicates by adding sonicated MNP stock suspensions  
284 to the SSPW media (pH =8.2) in a 50 mL metal-free polypropylene centrifuge tube. The tubes  
285 were vortexed and then placed in a cup horn sonicator for 45 mins at 100% power. 0.8 mL of  
286 SSPW media (with no added Zn) were loaded into Pur-A-Lyzer™ Midi dialysis cells (3.5 kDa  
287 molecular weight cutoff, Sigma-Aldrich) and the cells were then placed in 50 mL polypropylene  
288 tubes containing a 45 mL of 0.75 or 7.5 mg L<sup>-1</sup> of as-synthesized and transformed ZnO MNPs,  
289 ZnSO<sub>4</sub> (*i.e.* an ion control treatment), or a no Zn added control (*i.e.* the SSPW media with no Zn).  
290 The tubes were tightly closed and agitated on an orbital shaker at 150 rpm under darkness at 20

291 °C. After a 24 hour of equilibration, the samples were taken from each of the dialysis cells (the  
292 dissolved fraction) and acidified to 0.15 M HNO<sub>3</sub>. For measurement of the total Zn (dissolved +  
293 particles), samples were taken from the solution outside of the dialysis cell at 0 hr and after 24 hr  
294 of equilibration, brought to 0.75 M HNO<sub>3</sub>, heated to 100°C in a microwave digestion system for  
295 10 min, and then diluted to 0.15 M HNO<sub>3</sub>. The Zn concentration was measured by inductively  
296 coupled plasma mass spectrometry (ICP-MS) (Agilent 7500 cx, Santa Clara, CA). The speciation  
297 of Zn at thermodynamic equilibrium in SSPW media was modeled using Visual MINTEQ, version  
298 3.0.

### 299 *Zn speciation*

300 To determine the speciation of Zn in nematode tissues, we performed synchrotron-based X-ray  
301 micro-spectroscopy. To generate complete Longitudinal Sections (LS) nematodes needed to be  
302 embedded for cryo-sectioning perpendicular to the cutting surface. To achieve this, a layer of OCT  
303 was introduced to cover the base of the cryo-mold and solidified using a dry-ice isopropanol bath.  
304 A layer of OCT mixed with fresh nematodes was subsequently introduced into the cryomold which  
305 was then inserted (horizontally) into the top of a 50 ml falcon and after gentle centrifugation, the  
306 OCT was again solidified using a dry-ice isopropanol bath. The block thus generated was sectioned  
307 at 10 µm and sections air dried prior to mounting on metal free polyimide film (Kapton) for  
308 synchrotron analysis and graphite discs for analytical SEM. Micro-spectroscopy was performed  
309 on beamline X26A, National Synchrotron Light Source, Brookhaven National Laboratory, Upton,  
310 NY, USA. The distribution of Zn was mapped by scanning the sections in an X-ray beam with an  
311 approximate spot size of 7 x 7 µm and an incident energy set at 10,500 eV. The Zn K<sub>α1</sub> emission  
312 (8638.9 eV) was detected using a silicon drift detector (Vortex ME4, Hitachi). An ion chamber  
313 immediately upstream of the K-B mirror enclosure was used to normalize for incident beam

314 intensity. We performed spectral fitting to extract the fluorescence intensity due to the Zn  $K_{\alpha 1}$   
315 emission. Data were plotted and quantified using ImageJ (<https://imagej.nih.gov/ij/>). Several areas  
316 of sufficient Zn fluorescence intensity from each section were further analyzed by performing X-  
317 ray absorption near edge spectroscopy (XANES). Spectral normalization, background subtraction,  
318 and linear combination fitting were performed using Athena to determine Zn speciation (Ravel  
319 and Newville 2005).

### 320 *Statistical Analysis*

321 Analysis of Variance (ANOVA) with post-hoc Dunnett's multiple comparison corrections  
322 were applied to test whether each concentration within a treatment was significantly different from  
323 control for mortality and reproduction experiments. Homogeneity of variance and normality of  
324 errors assumptions were checked using Q-Q plots and studentized residual plots. EC<sub>30</sub> and, when  
325 appropriate, EC<sub>50</sub> values were calculated from parameters determined using linear regression. SAS  
326 v9.4 (SAS Institute, Cary, NC, USA) was used for all statistical analyses and SigmaPlot 12.3  
327 (Systat, San Jose, CA, USA) was used to generate mortality and reproduction figures.

## 328 **Results and Discussion**

### 329 *Toxicities of pristine and transformed ZnO-MNPs*

330 In all tested treatments and concentrations, *C. elegans* mortality did not exceed 30%.  
331 Reproduction was the most sensitive endpoint, with decreases observed of up to 80% (Figs. 1 and  
332 2). Mortality and reproduction responses were similar for both ZnO-MNPs and ZnSO<sub>4</sub>. For  
333 reproduction, the EC<sub>50</sub> values for ZnSO<sub>4</sub> and ZnO-MNPs were 1.23 (95% CI 1.03-1.42) mg Zn  
334 L<sup>-1</sup> and 1.19 (95% CI 0.92-1.46) mg Zn L<sup>-1</sup>, respectively (Table S3). The similarity in *C. elegans*  
335 responses to pristine ZnO-MNPs and Zn<sup>2+</sup> has been observed previously (Ma, Bertsch et al. 2009).  
336 However, the EC<sub>50</sub> values in our study are about 10-fold less than those reported by Ma et al.,

337 while being similar to those reported by Gupta et al., 2015(Yin, Cheng et al. 2011, Gupta, Kushwah  
338 et al. 2015). LC<sub>50</sub> values ranging from 300 µg Zn L<sup>-1</sup> to more than 1.0 g Zn L<sup>-1</sup> have been reported  
339 for pristine ZnO (Khare, Sonane et al. 2011, Yin, Cheng et al. 2011, Gupta, Kushwah et al. 2015).  
340 This variation is likely due to the difference in media chosen for the exposures (SSPW in this study  
341 versus K-medium and K-medium with sodium acetate buffer added in the Ma et al. and Gupta et  
342 al. respectively, studies). The different developmental stages at which the tests were conducted  
343 (L3 in this study versus 3 and 4-days old adults in the Ma et al and Gupta et al., respectively,  
344 studies) could have also contributed to the variability in the LC<sub>50</sub> values.

345 Toxicity, defined as a significantly different response from control, was substantially  
346 decreased when *C. elegans* were exposed to either of the transformed ZnO-MNPs as compared to  
347 pristine ZnO-MNPs (Figs. 1 and 2). For both endpoints, a 10-fold higher concentration was  
348 necessary to achieve similar levels of toxicity when comparing results from either ZnSO<sub>4</sub> or  
349 pristine ZnO-MNPs to the transformed pZnO- and sZnO-MNPs. The EC<sub>50</sub> values were very  
350 similar between both transformed pZnO- and sZnO-MNPs, 11.57 (95% CI 9.65 - 13.49) mg Zn L<sup>-1</sup>  
351 and 11.29 (9.25 - 13.34) mg Zn L<sup>-1</sup>, respectively (Table S3; Fig. 2). Similar trends were also  
352 observed in our previous study (Starnes, Unrine et al. 2015) of sulfidized Ag-MNPs. However, in  
353 contrast with this study, the toxicity of Ag<sup>+</sup> was about 10-fold greater than that of the pristine Ag-  
354 MNPs (Starnes, Unrine et al. 2015). In that study the highest percentage of dissolution for pristine  
355 Ag-MNPs at lowest concentration of 0.05 did not exceed 6% (Starnes, Unrine et al. 2015), while  
356 dissolution of pristine ZnO-MNPs in this study is 66% at lowest concentration of 0.75 mg L<sup>-1</sup>  
357 tested. Thus, these differences in toxicity for Ag-MNPs or nearly identical responses for ZnO-  
358 MNPs between pristine MNPs and their respective ions are likely due to the lower solubility of  
359 Ag-MNPs relative to ZnO-MNPs.

360 The observed decrease in toxicity between transformed and pristine ZnO-MNPs is also  
361 consistent with our dissolution data, where the transformed ZnO-MNPs demonstrated lower levels  
362 of dissolution than pristine ZnO-MNPs (Fig. 3). All ZnO-MNPs showed concentration-dependent  
363 dissolution, with percent dissolved Zn being greater at 0.75 mg Zn L<sup>-1</sup> than 7.5 mg Zn L<sup>-1</sup>  
364 concentrations (Fig. 3). As expected, pristine ZnO-MNPs had the highest percent dissolved Zn at  
365 both concentrations (66% at 0.75 mg Zn L<sup>-1</sup> and 12% at 7.5 mg Zn L<sup>-1</sup>). Additionally, both  
366 transformed ZnO MNP treatments had significantly lower dissolved Zn than pristine ZnO MNPs  
367 at both concentrations. Among the transformed ZnO-MNPs, the percent dissolved Zn were similar  
368 (about 6%) at 7.5 mg Zn L<sup>-1</sup>, while it was significantly higher for pZnO-MNPs at 0.75 mg L<sup>-1</sup> Zn  
369 (49.1% for pZnO- and 15.7% for sZnO-MNPs). The greater dissolution at low concentration of  
370 pZnO- compared to sZnO- is unexpected because Zn<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> has a K<sub>sp</sub> value (in pure water) of  
371 10<sup>-33</sup> compared to a K<sub>sp</sub> of 10<sup>-25</sup> for ZnS (Dean 1985). However, the protocol we used for  
372 phosphatation results in 51% residual ZnO while the sulfidation protocol results in nearly complete  
373 sulfidation (Ma, Levard et al. 2013, Rathnayake, Unrine et al. 2014). It is important to note that the  
374 solubility measurements were taken without presence of bacteria and nematodes and therefore the  
375 dissolution observed in the actual experiments with the bacteria/nematodes may be different. We  
376 analyzed dissolution without nematodes present because ions released from the NPs could bind to  
377 nematode tissues and would not be recovered during centrifugation, therefore underestimating  
378 dissolution.

379 According to the dissolution data, pristine ZnO-MNPs are almost completely dissolved at  
380 both tested concentrations. This, taken together with the mortality and reproduction results, (Figs.  
381 1 and 2) suggest that a large portion of the observed toxicity from pristine ZnO-MNPs is likely  
382 due to released Zn<sup>2+</sup>. However, the data from the particle free supernatant versus whole solution

383 experiment demonstrate that mortalities for the nematodes exposed to particle free supernatants of  
384 ZnO-, pZnO-, and sZnO-MNPs showed nearly a threefold decrease compared to whole solutions,  
385 and were not significantly different from that in controls. Mortalities in the whole suspensions  
386 were 17% for ZnO-MNPs, 18% for pZnO-MNPs, and 19% for sZnO-MNPs (Fig. S7). When  
387 nematodes were exposed to supernatants of ZnSO<sub>4</sub>, the mortality was 21%, similar to the 20%  
388 mortality observed in the whole (un-centrifuged) ZnSO<sub>4</sub> solution. This indicates that although the  
389 toxicity of ZnSO<sub>4</sub> and ZnO-MNPs are similar, the toxicity of ZnO-, pZnO- and sZnO-MNPs are  
390 not entirely due to the release of dissolved Zn into exposure solution. This does not rule out release  
391 of dissolved Zn in vivo, however.

392 The x-ray fluorescence intensity and Zn speciation data for the nematodes exposed to  
393 pristine ZnO- and pZnO-MNPs showed that no ZnO or Zn<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> was present inside of the  
394 nematodes after the exposure (Fig. S8). We were unable to collect data for the animals exposed to  
395 sZnO-MNPs due to limitations on beamline availability. The best model fit suggested that Zn was  
396 complexed with sulfhydryl and carbonyl groups. Since there was no Zn<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> or ZnO detected  
397 in the nematodes exposed to pristine or transformed pZnO-MNPs, this further indicates that the  
398 observed toxicity must be due to ions released prior and/or after their uptake. Since the dissolution  
399 rate of transformed ZnO- is lower than pristine ZnO-MNPs, it may take a longer time for them to  
400 dissolve after uptake. If the main route of uptake of the intact particles is through the *C. elegans*'  
401 gut, and environment with an average pH of 3.92 (Chauhan, Orsi et al. 2013), it is expected that  
402 all three forms of ZnO-MNPs will be eventually dissolved.

#### 403 *Differentially expressed genes*

404 Whole genome microarray screening for all treatments resulted in 1161 genes that were  
405 significantly differentially expressed relative to control. Out of these genes, 96 were shared by all

406 treatments (8.3%) (Fig. 4). Among those, there were up-regulated genes associated with response  
407 to metal ions (Tvermoes, Boyd et al. 2010, Zeitoun-Ghandour, Charnock et al. 2010), such as  
408 nuclear localized metal responsive gene (*numr-1*) and both metallothionein genes (*mtl-1*, *mtl-2*).  
409 The upregulation of *numr-1* by all Zn treatments was independently confirmed with qRT-PCR  
410 (Fig. S9). In previous study with Ag-MNPs, *numr-1* responded to Ag- ions and Ag-MNPs but not  
411 sulfidized Ag-MNPs (Starnes D. 2016). In this study both pristine and transformed ZnO-MNPs  
412 upregulated expression of *numr-1* indicating on the effects due to dissolution. Metallothioneins are  
413 small cysteine-rich proteins involved in metal detoxification and maintenance of physiological Zn  
414 (Zeitoun-Ghandour, Charnock et al. 2010). There are two isoforms of metallothionein in *C.*  
415 *elegans* and both genes responded to all Zn treatments. Out of 193 genes responding to ZnSO<sub>4</sub>,  
416 only 49 genes were unique to ZnSO<sub>4</sub>, the remaining 144 genes were shared among all treatments  
417 (Figure 4). Taken together with the dissolution and toxicity data, this further suggests that the  
418 effects in all treatments are partially attributed to the release of Zn<sup>2+</sup> ions, either in the exposure  
419 media or in vivo. When comparing transcriptomic responses between pristine and transformed  
420 ZnO-MNP treatments, the stronger similarity (66% shared genes) was observed between ZnO-  
421 MNP and sZnO-MNPs while the responses between ZnO-MNPs and pZnO-MNPs had less  
422 commonality (40% shared genes). Exposure to pZnO-NPs resulted in the highest number of  
423 differentially expressed genes (992) with 512 of these being unique. Exposure to ZnO-MNPs and  
424 sZnO-MNPs resulted only in 31(out of 455) and 78 (out of 453), respectively, unique genes further  
425 emphasizing distinctiveness in the responses to the transformed pZnO-MNPs.

426 When hierarchical cluster analysis was performed, all replicates clustered together within  
427 their respective treatments. We also observed that all three MNP treatments grouped closer  
428 together than with ZnSO<sub>4</sub> (Fig. 5). If the toxicity of MNP treatments had been only due to the

429 release of  $Zn^{2+}$ , we would expect a random distribution of the replicates rather than ordering into  
430 two major groups. There have been a limited number of published toxicogenomic studies on the  
431 effects of the metal or metal oxide MNPs in invertebrates (Starnes D. 2016), (Roh, Sim et al. 2009,  
432 Tsyusko, Unrine et al. 2012, Poynton, Lazorchak et al. 2013, Rocheleau, Arbour et al. 2015,  
433 Gomes, Roca et al. 2018). In a toxicogenomic study with pristine ZnO-MNPs in the benthic  
434 amphipod *Hyalella azteca*, a random distribution of the ZnO-MNP and  $Zn^{2+}$  replicates was  
435 observed after hierarchical clustering. This indicates that transcriptomic responses were similar  
436 between ions and pristine MNPs, despite ZnO-MNPs being more toxic to *H. azteca* (Poynton,  
437 Lazorchak et al. 2013). The authors suggested that the higher toxicity of the particles might be due  
438 to enhanced uptake of  $Zn^{2+}$  from the MNP treatment (Poynton, Lazorchak et al. 2013). In our  
439 previous study on the toxicogenomic effects of both transformed and pristine Ag-MNPs in *C.*  
440 *elegans*, the replicates also grouped within their treatments. However, more commonality in  
441 response was observed between  $Ag^+$  and pristine Ag-MNPs than between  $Ag^+$  and sulfidized sAg-  
442 MNPs or between pristine Ag-MNPs and sAg-MNPs (Starnes D. 2016).

#### 443 *qRT-PCR confirmation*

444 The mRNA levels for four genes (*numr-1*, *pgp-5*, *pgp-6* and *scrm-8*) selected from both  
445 the shared and unique pools were confirmed independently with qRT-PCR (Fig. S9). The roles of  
446 *numr-1* is described in the text above, when discussing the shared genes among all treatments. The  
447 involvement of *pgp-5* in the shared ABC transporter pathway is discussed below. The *pgp-6*,  
448 similarly to *pgp-5*, is related to P-glycoproteins in the ATP-binding cassette transporters. These  
449 gene is predicated to be important for the exporting of exogenous toxins and was up-regulated by  
450 all Zn treatments (Zhao, Sheps et al. 2004, Kurz, Shapira et al. 2007). The fourth gene, scramblase  
451 (*scrm-8*), which was up-regulated in all ZnO-MNP but not  $ZnSO_4$  treatments, is responsible for

452 maintaining phospholipid asymmetry and signaling events in apoptosis in *C. elegans*. It is  
453 homologous to phospholipid scramblase 1 (*plscr-1*), which is highly conserved in mammals  
454 (Wang, Wang et al. 2007).

#### 455 *Shared and Unique Biological Pathways*

456 Using pathway analysis in Partek, DAVID and Pathview we identified pathways that were  
457 significant for at least one treatment. Only three pathways (Aminoacyl-tRNA biosynthesis,  
458 Lysosome and ABC transporters) were shared by multiple treatments. No pathways were common  
459 to all treatments (Table 1). Interestingly, the Aminoacyl-tRNA biosynthesis pathway was shared  
460 by all MNP treatments but not ZnSO<sub>4</sub>. The number of genes that were up-regulated in this  
461 pathways vary from 49 to 54 (the list of the genes is provided in a SI Table S). While the main  
462 function of the aminoacyl-tRNAs is in protein synthesis, their role has been also demonstrated in  
463 gene expression, cell wall formation, labeling of proteins for degradation, and antibiotic  
464 biogenesis(Raina and Ibbra 2014). The increase in the cleaved tRNA fragments has been also  
465 shown to occur in *C. elegans* during aging (Kato, Chen et al. 2011). The ABC transporter pathway  
466 was shared by both pristine ZnO- and phosphatized pZnO-MNPs, while the lysosome pathway  
467 was shared among the ZnSO<sub>4</sub> and both transformed pZnO- and sZnO-MNP treatments. The ABC  
468 transporter pathway has been shown to be associated with metal detoxification(Martinez-Finley  
469 and Aschner 2011). Among the up-regulated genes of this pathway were *haf-7* and *pgp-5*. The  
470 expression of *pgp-5* has been confirmed by qRT-PCR (Fig. S9). The *pgp-5* is related to P-  
471 glycoproteins in the ATP-binding cassette transporters and its role has been shown to play a role  
472 in response to bacterial stimulus and heavy metals (*pgp-5*) (Zhao, Sheps et al. 2004, Kurz, Shapira  
473 et al. 2007).

474 It is interesting that the lysosome pathway was identified during pathway analysis for both  
475 transformed ZnO-MNPs and ZnSO<sub>4</sub>. As the lysosome pathway has been implicated previously in  
476 our toxicogenomic study of *C. elegans* exposed to Ag-MNPs, it is reasonable to suggest that the  
477 acidic environment in these vesicles could be involved in the dissolution of MNPs after cellular  
478 uptake by endocytosis (Starnes D. 2016). In another study with Au-MPs, significantly up-  
479 regulated genes were calpains, which are associated with calpain-cathepsin mechanism leading  
480 to lysosome rupture (Tsyusko, Unrine et al. 2012). However, lysosomes also serve other functions,  
481 such as digestion of cellular debris and autophagy. A possible explanation is that apoptosis or  
482 other cellular damage caused by the ZnSO<sub>4</sub> and ZnO-MNP treatments resulted in an increased  
483 demand for digestion of cellular components. The two transformed ZnO-MNP treatments were  
484 found to share only a single pathway (lysosome), and pristine ZnO-MNPs and phosphatized pZnO-  
485 MNPs only share a single pathway (ABC transporters). This suggests that there is some  
486 commonality in the response to pristine and transformed ZnO-MNPs by *C. elegans*.

487 Among unique pathways affected by the exposures there were 13 related to the pZnO-MNP  
488 treatment. The FoxO signaling pathway, which has been associated with oxidative stress, was one  
489 of this pathways. Previous study demonstrated that ZnO-MNPs are capable of generating reactive  
490 oxygen species in sufficient quantities to cause toxicity in *C. elegans* (Yin, Cheng et al. 2011).  
491 However, in our study pZnO-MNPs caused down-regulation of the genes in this pathway,  
492 including the ones encoding antioxidant enzymes, superoxide dismutase (*sod-3*) and catalase (*ctl-*  
493 *3*) genes. This suggests that the observed response of the genes from this pathway to pZnO-MNP  
494 exposure is not associated with oxidative stress. Other unique pathways induced by pZnO-MNPs  
495 included pathways associated with amino acid synthesis and metabolism (*cel00270*, *cel00410*,  
496 *cel00380*, *cel00280*), which are linked to both longevity and general stress responses in *C. elegans*

497 (Pietsch, Saul et al. 2012). Metabolic pathways (*cel00500*, *cel00640*) have been thoroughly  
498 examined in relationship to the control of lifespan and aging of the nematodes (Finkel and  
499 Holbrook 2000, Murphy, McCarroll et al. 2003, Hertweck, Göbel et al. 2004). Combined with a  
500 limited number of pathways shared with the other treatments, it is difficult to attribute all of the  
501 observed effects of pZnO-MNPs to toxicity due to Zn ions. The larger size of pZnO-MNPs  
502 compared to pristine and sZnO-MNPs could cause mechanical damage of the *C. elegans* intestinal  
503 wall and can explain why the pathways linked to digestion and metabolism are affected by this  
504 treatment. Differences in levels of proteins and oxidative damage of proteins associated with  
505 digestion and metabolism were also observed in our previous study of CeO<sub>2</sub>-MNP toxicity in *C.*  
506 *elegans* and were suggestive of damage to intestinal epithelia (Arndt, Oostveen et al. 2017). The  
507 additional unique pathways identified in the pZnO-MNP treatment as well as the lack of shared  
508 pathways between pristine ZnO- and sZnO-MNPs supports the hypothesis that transformed ZnO-  
509 MNPs have some distinct effects that are independent of their pristine starting materials.

#### 510 *Shared and Unique Gene Ontologies*

511 Gene ontologies (GO) reveal a total of 89 Biological Processes (BP), 28 Molecular  
512 Function (MF), and 10 Cellular Compartment (CC) terms (Tables S4-S6). Each identified term  
513 had to meet a *p*-value cut off ( $p < 0.05$ ) and have at least 3 genes associated with that GO term.  
514 Complete tables with GO ids, genes in category, *p*-values, and fold enrichment can be found in the  
515 SI (Tables S4 - S6). In BP terms, the majority of the overlap occurred with terms relating to  
516 defense, response, and stress. MF terms came from cuticle related terms. In CC, the only  
517 overlapping term was for collagen trimmer. These overlapping terms represent 13% of the returned  
518 terms, with 87% of the terms being specific to MNP treatments. There were a total of eight BP  
519 terms shared among all treatments. These included defense response, immune response, innate

520 immune response, response to bacterium, response to external stimulus, response to stimulus,  
521 response to inorganic substance, and response to stress. Shared BPs indicate that both ions and all  
522 MNP treatments caused stress in *C. elegans*. Interestingly, several of the responses (responses to  
523 bacterium, innate immunity) are similar to ones observed when *C. elegans* are exposed to  
524 pathogenic bacteria. The indication of the response to metal ions was also found among such BPs  
525 as “response to cadmium ion” shared among ions, pristine ZnO- and phosphatized pZnO-MNPs,  
526 and “response to metal ion” shared among ions and both transformed MNPs. MFs shared by all  
527 treatments included two GOs, “structural constituent of cuticle” and “structural molecule activity”,  
528 both of which include genes related to cuticle function. Similarly, activation of many genes  
529 associated with cuticle structure was previously observed in response to sulfidized Ag-MNPs but  
530 not ions (Starnes D. 2016). The only CC GO term shared by all treatments in this study was  
531 “collagen trimer”, which is also associated with *C. elegans* cuticle. Trimerization domains of  
532 collagens are necessary for proper collagen folding into triple helix and mutations in these domains  
533 in collagens are associated with connective tissue diseases in humans (Boudko, Engel et al. 2012).  
534 There were thirteen BP, three MF, and one CC that were shared between ZnSO<sub>4</sub> and at least one  
535 other MNP treatment. Only four GO terms (2 BP, 2 MF) were shared by ZnO-MNPs and at least  
536 one of the transformed ZnO-MNPs. The transformed ZnO-MNPs shared of total 15 BP terms  
537 between them, including several terms related to defense response and innate immunity as well as  
538 response to metal ions.

539 Exposure to the transformed pZnO-MNPs resulted in the highest number of unique GOs  
540 (18 out of 45 in BP, 6 out of 16 MF, and 4 out of 6 CC) compared to exposure to other treatments.  
541 Among these GOs, most were BPs related to reproduction, e.g., spermatogenesis, meiotic cell  
542 cycle, and germ-line sex determination. Exposure to sZnO-MNPs resulted in seven unique BP and

543 two unique MF terms. Exposure to sZnO-MNPs returned two BP terms related to sulfur  
544 metabolism, while the MF terms were related to carbohydrate binding and hydrolase activity, and  
545 no CC terms. The number of unique GOs observed for the transformed MNPs suggests that *C.*  
546 *elegans* responded differentially to pristine and transformed ZnO-MNPs. One of the CC terms for  
547 pZnO-MNPs was related to P granules, which are germ granules with the role in totipotency to  
548 prevent germ cell transformation into somatic cells (Updike, Knutson et al. 2014). The two core  
549 genes of the P granules, *pgl-1* and *glh-1*, along with the other two genes (*pgl-2* and *glh-3*)  
550 associated with this GO were significantly down-regulated in response to the pZnO-MNPs.  
551 Depletion of the core components of the P-granules has been shown to induce expression of  
552 neuronal and muscle markers in the germ cells and adversely affect reproduction in *C. elegans*  
553 (Updike, Knutson et al. 2014). The ten unique pathways induced in response to phosphatized  
554 pZnO-MNPs as well as the high number of unique GO Terms in both transformed treatments  
555 suggest that the responses to pZnO and sZnO-MNPs are distinct from those to the pristine ZnO-  
556 MNPs and cannot be explained fully by the release of dissolved Zn.

### 557 *Conclusions*

558 The near identical responses in mortality and reproduction endpoints of the nematodes  
559 exposed to pristine ZnO-MNPs and ZnSO<sub>4</sub> indicate that a significant part of the toxicity of  
560 pristine ZnO-MNPs is likely due to dissolution. The nearly a ten-fold decrease in the toxicity for  
561 the same endpoints observed after pristine ZnO-MNPs were transformed in the presence of either  
562 phosphate or sulfide, is consistent with reductions in toxicity observed for transformed sulfidized  
563 Ag-MNPs. Both pZnO-MNPs and sZnO-MNPs represent major transformation products  
564 expected to be present in biosolids that are applied to agricultural fields, indicating that the  
565 wastewater treatment process will decrease the initial toxicity of ZnO-MNPs in this exposure

566 scenario. Microarray data and pathway analysis showed that there are distinct responses to MNP  
567 exposures and ZnSO<sub>4</sub> exposure. However, all MNP treatments induced responses of the three  
568 genes associated with metal ion toxicity and 50% of the genes responding to ZnSO<sub>4</sub> were shared  
569 among all forms of ZnO-MNP treatments. This suggests that release of Zn<sup>2+</sup>, either in exposure  
570 media or in vivo, played a role in toxicity of not only pristine but all forms of Zn-MNPs.  
571 Comparisons of transcriptomic responses between pristine and transformed Zn-MNP treatments  
572 reveal higher similarity in responses between ZnO-MNPs and sZnO-MNPs than ZnO-MNPs  
573 with pZnO-MNPs. The 13 unique biological pathways identified in pZnO-MNP treatment  
574 indicate distinct toxicity mechanism for this transformed ZnO-MNPs. Taken together our results  
575 from toxicity and the biological pathway and GO analyses indicate that the role of the dissolved  
576 Zn<sup>2+</sup> in the *C. elegans* toxicity was much greater after exposure to the pristine rather than  
577 transformed ZnO-MNPs.

## 578 **Acknowledgements**

579 We acknowledge the assistance of D. Wall, D. Arndt, S. Shrestha, A. Wamucho, and Y.  
580 Thompson. *Caenorhabditis elegans* strains were provided by the Caenorhabditis Genetics Center,  
581 which is funded by the NIH Office of Research Infrastructure Programs (P40 OD010440). Funding  
582 for this research was provided by the United States Environmental Protection Agency (U.S. EPA)  
583 Science to Achieve Results Grant RD 834574. JU and OT are supported by the U.S. EPA and  
584 National Science Foundation (NSF) through cooperative agreement EF-0830093, Center for  
585 Environmental Implications of Nanotechnology (CEINT). SL was partially funded by the Tracy  
586 Farmer Institute for Sustainability and the Environment. Portions of this work were performed at  
587 Beamline X26A, National Synchrotron Light Source (NSLS), Brookhaven National Laboratory. X26A is  
588 supported by the Department of Energy (DOE) - Geosciences (DE-FG02-92ER14244 to The University of

589 Chicago - CARS). Use of the NSLS was supported by DOE under Contract No. DE-AC02-98CH10886.  
590 Any opinions, findings, conclusions, or recommendations expressed in this material are those of  
591 the author(s) and do not necessarily reflect the views of the EPA or NSF. This work has not been  
592 subjected to EPA or NSF review, and no official endorsement should be inferred.

593 **Table 1.** Pathways identified by Partek, DAVID and Pathview using lists of significantly differentially expressed genes after  
 594 *Caenorhabditis elegans* were exposed for 48 hours to ZnSO<sub>4</sub>, pristine Zinc Oxide manufactured nanoparticles (ZnO-MNPs),  
 595 phosphatized (pZnO-MNPs), or sulfidized (sZnO-MNPs) in synthetic soil pore water. The fold enrichment and p-values are estimated  
 596 from Partek. The number in parenthesis in “Genes in Pathway” column are additional genes that according to DAVID or Pathview  
 597 analysis are also included in the pathways. The upregulated and down-regulated genes in pathways are shown in red and blue font,  
 598 respectively.

KEGG ID	Pathway Name	Genes in Pathway	Fold Enrichment	P-Value	Treatment
cel02010	ABC transporters <sup>P,D</sup> ( <i>haf-7</i> , <i>pgp-5</i> , <i>pgp-1</i> )	2 (3)	6.231 (9.9)	0.002 (0.003)	ZnO
cel00970	*Aminoacyl-tRNA biosynthesis <sup>D,PW</sup>	49 (50)	2.6	1.1E-16 1.5E-25	ZnO
cel04142	Lysosome ( <i>cpr-3</i> , <i>W07B8.4</i> )	2	3.574	0.028	ZnSO4
cel00270	Cysteine and Methionine metabolism <sup>P,D</sup> ( <i>cysl-2</i> , <i>sams-5</i> , <i>metr-1</i> , <i>spds-1</i> , <i>got-2.1</i> , <i>cth-1</i> )	4 (6)	5.739 (4.4)	0.003 (0.0046)	pZnO
cel02010	ABC transporters <sup>P,D,PW</sup> ( <i>haf-7</i> , <i>pgp-1</i> , <i>pgp-5</i> , <i>pgp-11</i> , <i>pgp-4</i> )	3 (5)	5.233 (8.6)	0.005 (0.00018) (0.00041)	pZnO
cel00410	beta-Alanine metabolism <sup>P,D</sup> ( <i>F09F7.4</i> , <i>ech-6</i> , <i>spds-1</i> )	3	4.664 (4.3)	0.009 (0.03)	pZnO
cel00500	Starch and sucrose metabolism <sup>P</sup> ( <i>aagr-1</i> , <i>ugt-46</i> )	3	4.347	0.013	pZnO
cel04142	Lysosome <sup>P,D</sup> ( <i>asah-1</i> , <i>cpr-3</i> , <i>nuc-1</i> , <i>haf-7</i> , <i>asm-3</i> , <i>gba-4</i> )	5 (6)	4.344 (2.7)	0.013 (0.02)	pZnO
cel00380	Tryptophan metabolism <sup>P</sup> ( <i>ctl-3</i> , <i>ech-9</i> , <i>ech-6</i> )	3	4.203	0.015	pZnO
cel01200	Carbon metabolism <sup>P,D</sup> ( <i>F09F7.4</i> , <i>acs-19</i> , <i>cysl-2</i> , <i>ctl-3</i> , <i>ech-6</i> , <i>F26H9.5</i> , <i>sdha-2</i> )	5 (7)	3.850 (2.9)	0.021 (0.0051)	pZnO
cel00640	Propanoate metabolism <sup>P,D</sup> ( <i>F09F7.4</i> , <i>acs-19</i> , <i>ech-6</i> )	3	3.818 (3.7)	0.022 (0.044)	pZnO

<b>cel04068</b>	FoxO signaling pathway <sup>P</sup> ( <i>ctl-3, plk-2, plk-3, sod-3</i> )	4	3.731	0.024	pZnO
<b>cel01230</b>	Biosynthesis of amino acids <sup>P, D</sup> ( <i>cysl-2, sams-5, metr-1, F26H9.5, got-2.1, cth-1, gln-5, gln-6</i> )	4 (8)	3.503 (2.9)	0.030 (0.02)	pZnO
<b>cel00280</b>	Valine, leucine an isoleucine degradation <sup>P</sup> ( <i>F09F7.4, ech-9, ech-6</i> )	3	3.489	0.031	pZnO
<b>cel00970</b>	*Aminoacyl-tRNA biosynthesis <sup>D, PW</sup>	53	1.5	0.00064 (2.75E-12)	pZnO
<b>cel04320</b>	Dorso-ventral axis formation <sup>D, PW</sup> ( <i>cpb-1, cpb-3, fog-1, prg-2</i> )	4	7.3	0.0017 (0.0031)	pZnO
<b>cel00250</b>	Alanine, aspartate and glutamate metabolism <sup>D</sup>	5	4.6	0.004	pZnO
<b>cel00910</b>	Nitrogen metabolism <sup>D</sup> ( <i>bca-1, gln-5, gln-6</i> )	3	6.9	0.0083	pZnO
<b>cel00220</b>	Arginine biosynthesis <sup>D</sup> ( <i>got-2.1, gln-5, gln-6</i> )	3	5.9	0.013	pZnO
<b>cel04142</b>	Lysosome <sup>P</sup> ( <i>cpr-3, asm-3</i> )	2	3.574	0.028	sZnO
	*Aminoacyl-tRNA biosynthesis <sup>D, PW</sup>	47	2.6	8.8E-16 1.45E-23	sZnO

599

\*The list of genes upregulated in Aminoacyl t-RNA biosynthesis pathways is provided in SI (Table S7)

600 **Figure Legends**

601 **Figure 1.** Mortality of L3 *Caenorhabditis elegans* without feeding after 24 hours of exposure to  
602 ZnSO<sub>4</sub>, pristine (ZnO-MNPs), phosphatized (pZnO-MNPs), or sulfidized (sZnO-MNPs) zinc  
603 oxide manufactured nanoparticles in synthetic soil pore water. Data are presented as mean  
604 percent mortality with error bars indicating standard error of the mean. An asterisk (\*) indicates  
605 significantly different than control at  $\alpha = 0.05$  based on Dunnett's test. The control treatment is  
606 represented by a concentration of 0.

607 **Figure 2.** Mean total number of offspring per adult nematode *Caenorhabditis elegans* after 48  
608 hours exposure to ZnSO<sub>4</sub>, pristine (ZnO-MNPs), phosphatized (pZnO-MNPs), or sulfidized  
609 (sZnO-MNPs) zinc oxide manufactured nanoparticles in synthetic soil pore water in the presence  
610 of bacterial food (*Escherichia coli* strain OP50). An asterisk (\*) indicates significantly different  
611 than control at  $\alpha = 0.05$  based on Dunnett's test.

612 **Figure 3.** The percent dissolution of ZnSO<sub>4</sub> (ion control treatment), pristine, phosphatized  
613 (pZnO-MNPs), and sulfidized (sZnO-MNPs) zinc oxide manufactured nanoparticles in synthetic  
614 soil pore water (SSPW) adjusted to pH 8.2 over 24 h. (A) 0.75 mg kg<sup>-1</sup>; (B) 7.5 mg kg<sup>-1</sup>. Data  
615 are means  $\pm$  S.D.,  $n = 3$  replicates. The Zn concentrations in control were below the instrument  
616 detection limits and are not shown.

617 **Figure 4.** Venn diagram of the significantly up/down regulated genes with a p-value  $\leq 0.05$  and  
618 fold change of  $\pm 2.0$ . *Caenorhabditis elegans* were exposed for 48 hours to ZnSO<sub>4</sub>, pristine  
619 (ZnO-MNPs), phosphatized (pZnO-MNPs) and sulfidized (sZnO-MNPs) zinc oxide  
620 manufactured nanoparticles in synthetic soil pore water.

621 **Figure 5.** Hierarchical clustering histogram of differentially expressed genes from  
622 *Caenorhabditis elegans* exposed for 48 hours to ZnSO<sub>4</sub>, pristine (ZnO-MNPs), phosphatized  
623 (pZnO-MNPs), and sulfidized (sZnO-MNPs) zinc oxide manufactured nanoparticles in synthetic  
624 soil pore water.

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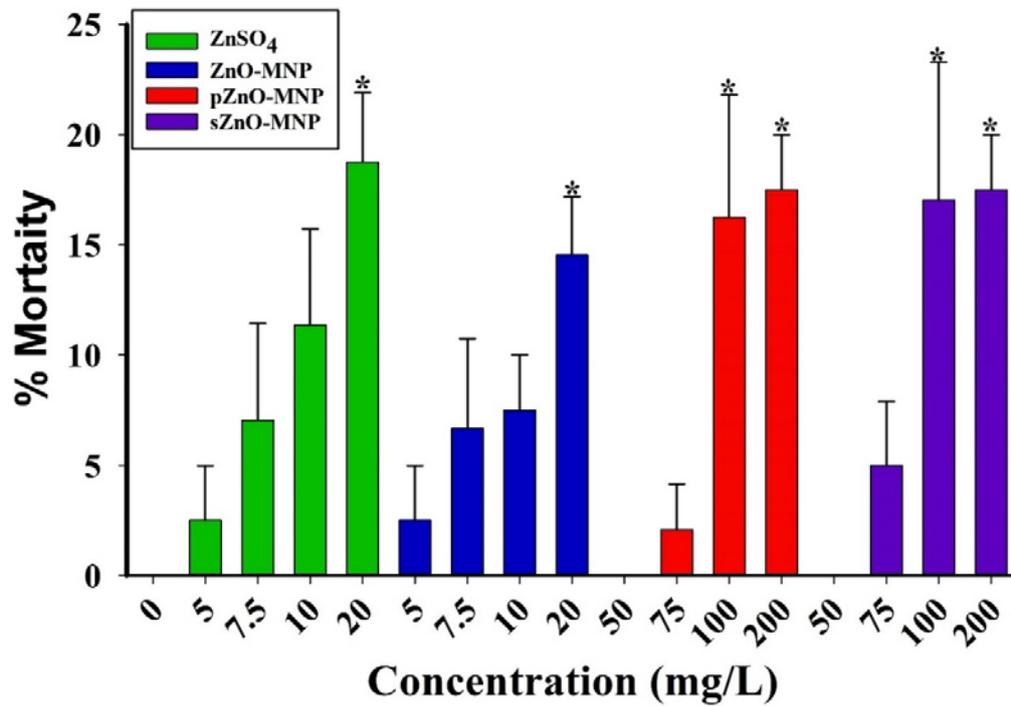


Figure 1. Mortality of L3 *Caenorhabditis elegans* without feeding, after 24 hours of exposure to ZnSO<sub>4</sub>, pristine (ZnO-MNPs), phosphatized (pZnO-MNPs), or sulfidized (sZnO-MNPs) zinc oxide manufactured nanoparticles in synthetic soil pore water. Data are presented as mean percent mortality with error bars indicating standard error of the mean. An asterisk (\*) indicates significantly different than control at  $\alpha = 0.05$  based on Student's t-test.

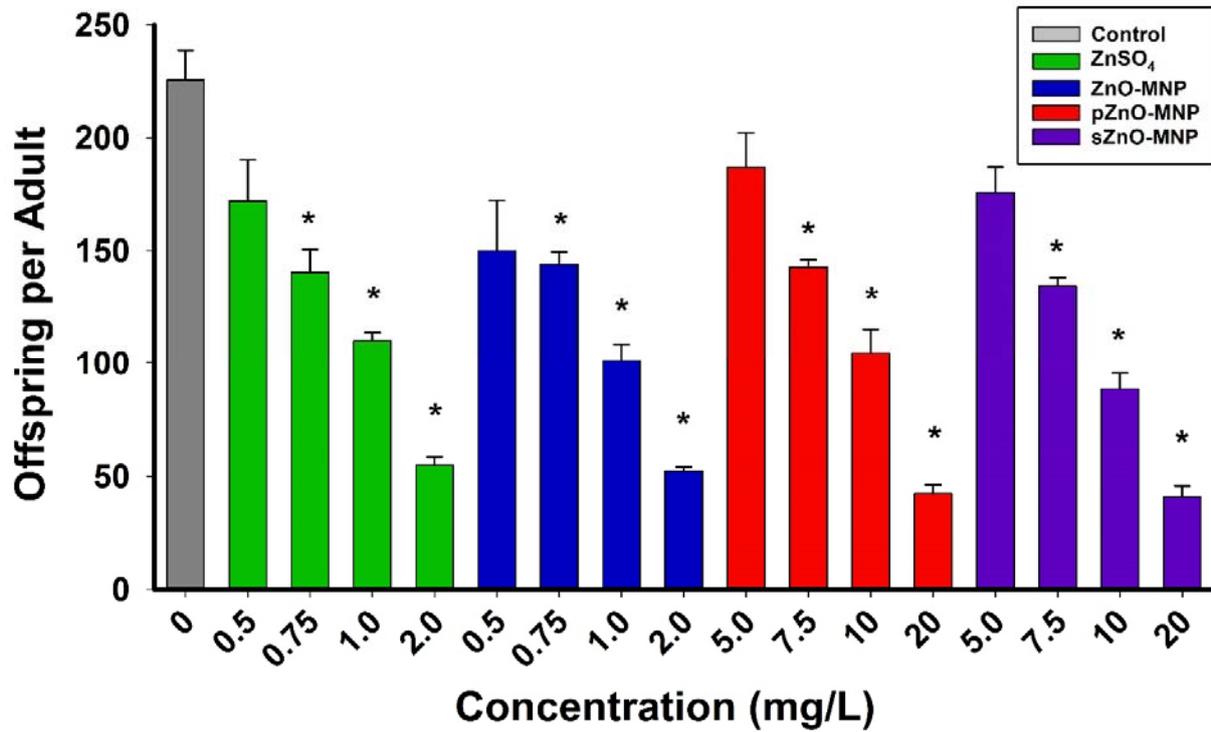


Figure 2. Mean total number of offspring per adult nematode *Caenorhabditis elegans* after 48 hours exposure to ZnSO<sub>4</sub>, pristine (ZnO-MNPs), phosphatized (pZnO-MNPs), or sulfidized (sZnO-MNPs) zinc oxide manufactured nanoparticles in synthetic soil pore water in the presence of bacterial food (*Escherichia coli* strain OP50). An asterisk (\*) indicates significantly different than control at  $\alpha = 0.05$  based on Student's t-test.

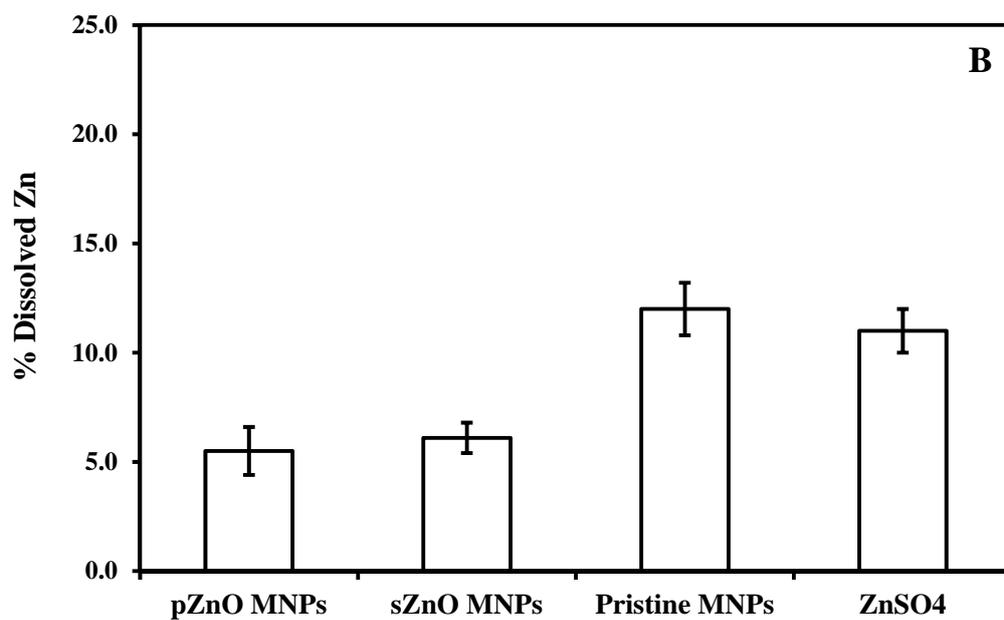
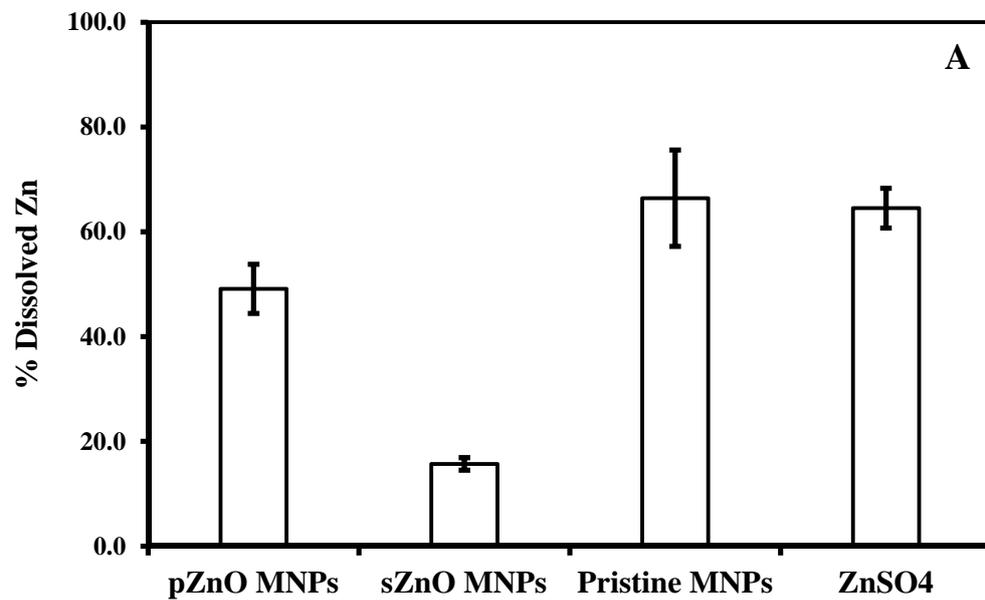


Figure 3. The percent dissolution of ZnSO<sub>4</sub> (ion control treatment), pristine, phosphatized (pZnO-MNPs), and sulfidized (sZnO-MNPs) zinc oxide manufactured nanoparticles in synthetic soil pore water adjusted to pH 8.2 over 24 h. (A) 0.75 mg L<sup>-1</sup>; (B) 7.5 mg L<sup>-1</sup>. Data are means ± S.D., *n* = 3 replicates. The Zn concentrations in control were below the instrument detection limits and are not shown.

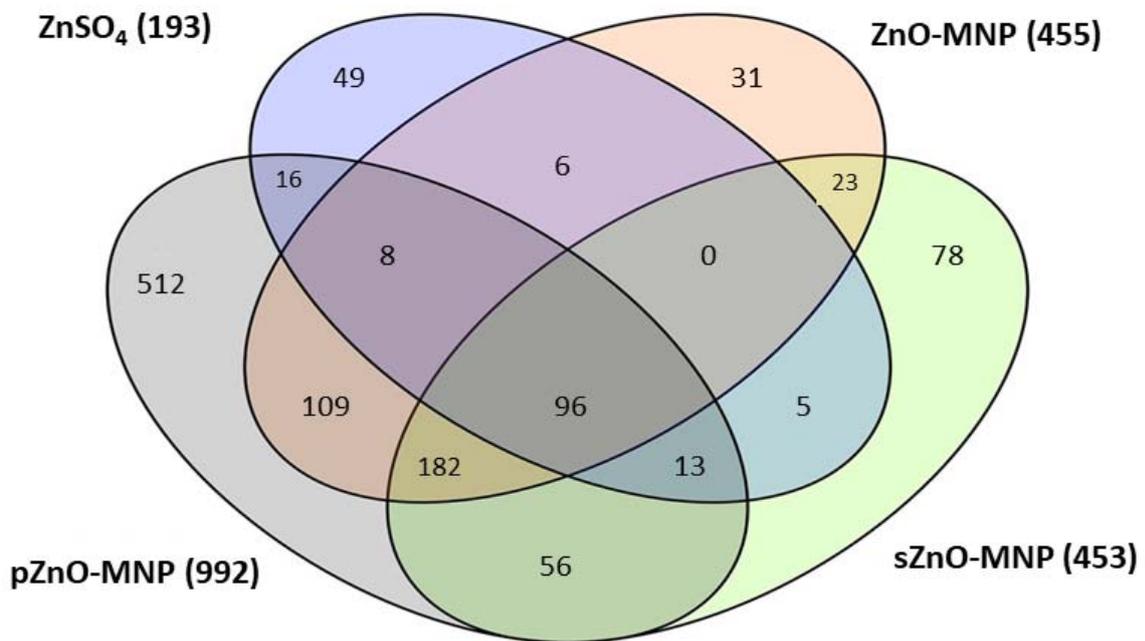


Figure 4. Venn diagram of the significantly up/down regulated genes ( $p \leq 0.05$  and fold change  $\pm 2$ ) of *Caenorhabditis elegans* were exposed for 48 hours to ZnSO<sub>4</sub>, pristine (ZnO-MNPs), phosphatized (pZnO-MNPs) and sulfidized (sZnO-MNPs) zinc oxide manufactured nanoparticles in synthetic soil pore water.

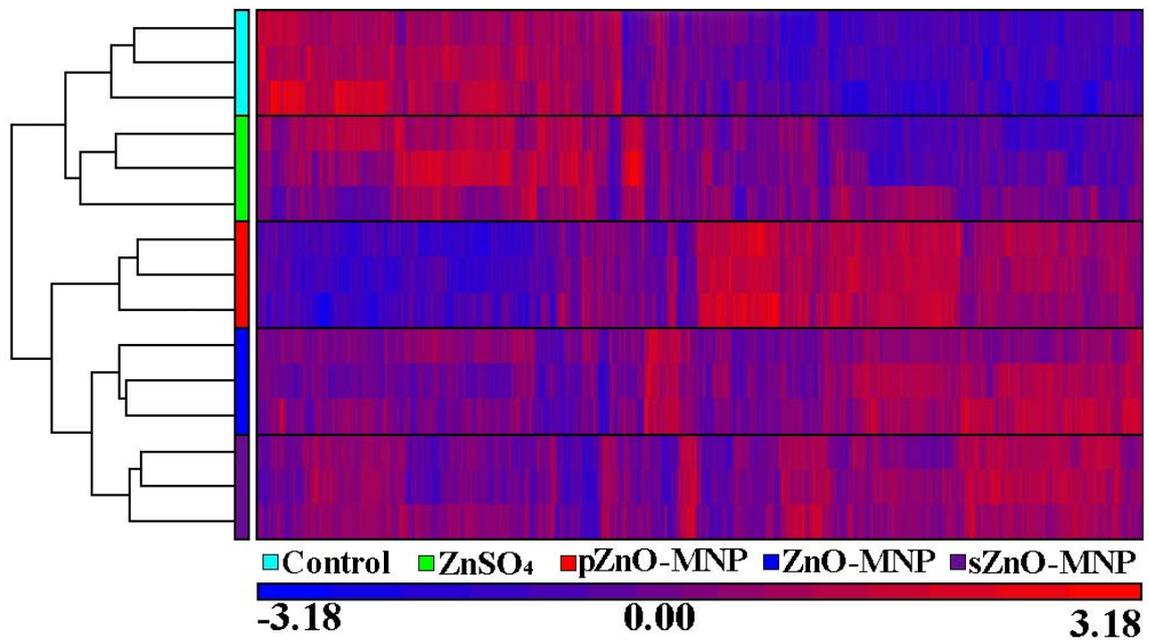


Figure 5. Hierarchical clustering histogram of differentially expressed genes from *Caenorhabditis elegans* exposed for 48 hours to ZnSO<sub>4</sub>, pristine (ZnO-MNPs), phosphatized (pZnO-MNPs), and sulfidized (sZnO-MNPs) zinc oxide manufactured nanoparticles in synthetic soil pore water.