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1 **Uncertainties and implications of applying aggregated**
2 **data for spatial modelling of atmospheric ammonia**
3 **emissions**

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17

18 ***Abstract***

19 Ammonia emissions vary greatly at a local scale, and effects (eutrophication, acidification) occur
20 primarily close to sources. Therefore it is important that spatially distributed emission estimates are
21 located as accurately as possible. The main source of ammonia emissions is agriculture, and therefore
22 agricultural survey statistics are the most important input data to an ammonia emission inventory
23 alongside per activity estimates of emission potential. In the UK, agricultural statistics are collected at
24 farm level, but are aggregated to parish level, NUTS-3 level or regular grid resolution for distribution
25 to users. In this study, the Modifiable Areal Unit Problem (MAUP), associated with such
26 amalgamation, is investigated in the context of assessing the spatial distribution of ammonia sources
27 for emission inventories.

28 England was used as a test area to study the effects of the MAUP. Agricultural survey data at farm
29 level (point data) were obtained under license and amalgamated to different areal units or zones:

30 regular 1-km, 5-km, 10-km grids and parish level, before they were imported into the emission model.
31 The results of using the survey data at different levels of amalgamation were assessed to estimate the
32 effects of the MAUP on the spatial inventory.

33 The analysis showed that the size and shape of aggregation zones applied to the farm-level
34 agricultural statistics strongly affect the location of the emissions estimated by the model. If the zones
35 are too small, this may result in false emission “hot spots”, i.e., artificially high emission values that
36 are in reality not confined to the zone to which they are allocated. Conversely, if the zones are too
37 large, detail may be lost and emissions smoothed out, which may give a false impression of the spatial
38 patterns and magnitude of emissions in those zones. The results of the study indicate that the MAUP
39 has a significant effect on the location and local magnitude of emissions in spatial inventories where
40 amalgamated, zonal data are used.

41 **Capsule:** The aggregation level, i.e. the size and shape of the aggregation zones of point data, has a
42 significant effect on the location and local magnitude of emissions in spatial inventories where
43 aggregated point data are used.

44 **Keywords:** Modifiable Areal Unit Problem, zone design, aggregated data, ammonia,
45 agricultural survey data, spatial inventories.

46 **1. Introduction**

47 It is commonly accepted that the main sources of uncertainty in spatial pollution emission
48 inventories are in the way models represent reality, and the input data to such models.
49 Sources of uncertainty in non-spatial emission inventories may be in the activity statistics
50 (representing the polluting activity) or the emission potentials (the emission estimated per
51 unit of polluting activity, often referred to as “emission factors”). For many emission
52 inventories, uncertainties in emission potentials and activity data have been estimated by
53 identifying upper and lower limits of certainty (Beusen *et al.*, 2008; Kühlwein and Friedrich,
54 2000; Misselbrook *et al.*, 2000; Sutton *et al.*, 1995, 2013; Winiwarter and Rypdal, 2001;

55 Zheng *et al.*, 2012). When emissions are spatially distributed, a further dimension of
56 uncertainty is added, due to the introduction of the spatial dimension to emissions. While
57 uncertainties of the magnitude of emissions have generally been fairly well investigated,
58 uncertainties due to spatial issues tend to have been overlooked in the past, with only a few
59 studies having investigated spatial uncertainties to some extent (Dragosits *et al.*, 2002;
60 Leopold *et al.*, 2012; Lindley *et al.*, 2000; Winiwarter *et al.*, 2003; Xu *et al.*, 2016).

61 Ammonia emissions vary greatly at a local scale and some effects (eutrophication,
62 acidification) occur primarily close to sources. Thus it is important to minimize uncertainties
63 in the spatial location of the estimated ammonia emissions, due to the high spatial variability
64 in atmospheric concentrations and dry deposition of NH₃ (Cellier *et al.*, 2011; Dragosits *et al.*,
65 2002 and 2006; Hallsworth *et al.*, 2010; Sutton *et al.*, 1998). Errors and uncertainties in the
66 emission map will inevitably have implications on the result of models that use spatial
67 inventories as their main input data, e.g., atmospheric transport and deposition models and
68 assessments of critical loads and critical level exceedance.

69 **1.1. MAUP and Agricultural Survey Data**

70 The main source of ammonia emissions is agriculture, and agricultural survey statistics are
71 the most important input data to a spatial ammonia emission inventory. In the UK,
72 agricultural statistics are collected at a very fine resolution (farm/agricultural holding level),
73 but aggregated to a much coarser resolution e.g. 5-km grid cells, NUTS-3 level (nomenclature
74 of territorial units for statistics (EU, 2003), i.e. counties, unitary authorities, council areas or
75 districts), civil parishes or parish groups, for distribution to users, to ensure individual
76 holdings cannot be identified.

77 Such anonymity is a legal requirement for these data, which are collected on the basis that
78 data providers will be in no way prejudiced by reporting data. In the past, these spatial
79 resolutions have generally been accepted to provide a reasonable balance between spatial

80 uncertainty and resolution in models (Asman *et al.*, 1998). However, further to the
81 importance of 'hot-spots' for ammonia (e.g. Loubet *et al.*, 2009), and that national
82 assessments at the 5 km grid level underestimate the occurrence of critical loads
83 exceedances due to ammonia in agricultural landscapes (Dragosits *et al.*, 2002), there has
84 been increasing concern about limits in the spatial resolution applied in ammonia emission
85 inventories. Geels *et al.* (2012) for instance, showed that an increase of resolution improves
86 model results for air pollution transport models. There is, however, little knowledge of the
87 actual effect of the zonal aggregation on the result. When agricultural survey data are
88 aggregated from farm-level (point data) to a coarser resolution (area data), the data are
89 generalised and variability between farms within each zone is lost. In addition, this loss of
90 information is not necessarily consistent from one zone to the other (Openshaw and Rao,
91 1995). Aggregated data give different results depending on the scale, size, shape and
92 location of the aggregation zones (Dark and Bram, 2007; Openshaw, 1984). This problem is
93 referred to as the Modifiable Areal Unit Problem (MAUP).

94 Although some spatial emission inventories discuss the problem of the MAUP, e.g. Maes *et al.*
95 *et al.* (2009), few studies on the effects of the MAUP with regard to emission inventories can
96 be found in literature (e.g., Dai and Rocke, 2000; Lindley *et al.*, 2000). The present study
97 therefore appears to be one of the first research efforts demonstrating effects of the MAUP
98 in the context of spatial emission inventories.

99 Aggregating the agricultural holding data into zones ensures that information on individual
100 holdings in the survey results will not be identifiable, as required by agencies collecting the
101 data. Geddes *et al.* (2003) suggest that geographical variation in the physical characteristics
102 of the farms and the parishes is the most significant problem in spatial modelling of these
103 types of data. Point data (such as farm holdings) can be difficult to analyse, but when the
104 data have been aggregated into zones, spatial analysis of the data becomes possible. Other

105 advantages of aggregating the data are that geographical patterns are created, and the
106 volume of the data is reduced (Openshaw and Alvadines, 1999). The main disadvantage is
107 that information and spatial detail is lost in the aggregation process.

108 The term 'modifiable' refers to the fact that the spatial units (the zones) can be changed,
109 and a different distribution would be generated if a different zoning system was used.

110 Aggregation of the data can be achieved in many different ways, both in terms of scale and
111 zone characteristics (Openshaw, 1977). The MAUP is hence mainly associated with two
112 effects (Openshaw, 1984; Openshaw and Taylor, 1979):

113 ▪ *The scale effect* – the same data may give different results for zones of different
114 sizes.

115 ▪ *The zonation effect* - results may vary even with the same scale, depending on the
116 location of the zonal boundaries and how the units are aggregated.

117 **1.2 Modelling ammonia emissions**

118 The general methodology to model ammonia emissions is to multiply an emission potential
119 with spatially distributed activity data, such as the agricultural survey statistics. In this study
120 ammonia emissions were modelled at a 1-km grid resolution with the UK AENEID model
121 (Dragosits *et al.*, 1998, Hellsten *et al.*, 2007, 2008). The agricultural survey data for England
122 are normally available at parish level or 5 km x 5 km grid resolution, to avoid identification of
123 individual farms. To calculate a gridded ammonia emissions inventory from irregularly
124 shaped and sized polygons in the UK, landcover data are used as a proxy, to spatially locate
125 emissions within each zone, i.e., by using 'intelligent area weighted interpolation' (Sadahiro,
126 2000). Introducing a geographical property such as land cover within the parish zones is a
127 means to reduce the spatial representation error within each zone, because ammonia
128 emissions from different agricultural sources tend to occur on specific land cover types. Land

129 cover correlates well with most agricultural data (except non-land-based enterprises such as
130 large intensive pig and poultry farms).

131 While it is technically easy to aggregate small units into larger units (up-scaling), down-
132 scaling is not possible without additional information (Montello, 2001) or introducing
133 additional uncertainty through expert judgement. When the agricultural survey data for
134 each zone (parish or 5-km grid cell) are re-distributed at a 1-km grid resolution, a spatial
135 representation error is introduced. The magnitude of the error depends on the type of
136 emission (point or area source etc.) as well as the zone size and the location of zonal
137 boundaries (Longley and Batty, 1996).

138

139 **2. Methodology**

140 In this study, disclosive farm holding data for England were obtained and analysed in relation
141 to the MAUP. This analysis raised issues of data confidentiality, as more could be seen in the
142 disclosive outcomes than is possible to visualize when complying with the requirements of
143 data confidentiality. Further details of the handling of confidentiality of the agricultural
144 datasets in the emission calculations are provided in Hallsworth *et al.* (2010). In addition, all
145 figures representing actual holding data in the current study have been modified, and
146 include up to 10 % additional random data points, thereby ensuring that the output is non-
147 disclosive.

148 The MAUP and its effects are thus investigated by aggregation of holding data (point data)
149 for England using different zonal systems (Figure 1). Four different zoning systems are tested
150 here. Three gridded systems (1-km, 5-km and 10-km level) were chosen because a regular
151 square pattern facilitates further use and analysis of the data. The fourth zoning system uses
152 irregular polygons, in this case civil parishes, a common aggregation format available to

153 users. Each point (holding) was assigned to the zone in which it is located, for all four zoning
154 systems. The associated agricultural survey data for all holdings in each zone (e.g., livestock
155 populations, crop areas) were aggregated, and each emission source category was re-
156 distributed within each zone at a 1 km x 1 km grid, according to the AENEID methodology
157 (Dragosits *et al.*, 1998, Hellsten *et al.*, 2008). The spatial model allocates emissions from
158 each survey item onto relevant land cover types within the aggregation zone. For instance,
159 housing and storage emissions are assumed to occur on improved pasture (cattle and
160 sheep), suburban areas (poultry) and arable and suburban areas (pigs). Grazing emissions
161 occur on different types of grassland and spreading emissions on arable land and grassland.
162 Ammonia emissions from crops and grassland were distributed onto the landcover types
163 arable land and improved grassland, respectively. If relevant land cover types are not
164 present in an aggregation zone, the model reassigns the survey data to the next most likely
165 land cover type. This is to ensure that no survey items are lost in the modelling process, due
166 to discrepancies between the survey data and the land cover map.

167 Figure 1

168 Ammonia emission maps for each zone system were calculated at a 1 km x 1 km grid by
169 applying emission potentials to the distributed source activity output from the AENEID
170 model. The same original activity data and emission potentials were applied for all four
171 scenarios, the only difference being the type of zoning system applied. It follows that
172 although all four scenarios are based on different zonal systems, the magnitude of the total
173 emission will be the same, and any difference in the spatial location of the emissions will be
174 indicative of the effect of the MAUP.

175 The potential areal extent of ammonia emissions from a single holding allocated to different
176 zoning systems is demonstrated in Figure 2. The areal extent and the spatial location of the
177 emission depend on the size of the zones (the *scale effect*), as well as the location of the

178 zones (the zonal effect). The scale effect can be seen in Figure 2 when comparing the areal
179 extent of the three grids (1-km, 5-km & 10-km). Although the areal extent of the sample
180 parish and the 5-km grid cell containing the sample farm in Figure 2 are almost of the same
181 size, the location of the farm emission is still different because of the location of the zones,
182 demonstrating the *zonation effect*.

183 Figure2

184 The gridded emission maps for the four zonation systems were analysed and compared by i)
185 visual interpretation, ii) identifying extreme emission values, and iii) frequency distributions.
186 Furthermore, overestimation of agricultural land within each grid cell due to discrepancies
187 between the survey data and the land cover map was also analysed.

188 The emission estimates for each zonal system were further evaluated with independent
189 measurements, by comparing modelled NH₃ concentration fields for ammonia, with
190 monitored NH₃ air concentrations from the UK National Ammonia Monitoring Network,
191 NAMN (Sutton *et al.*, 2001a; Tang *et al.*, 2018; Tang and Sutton, 2004). The atmospheric
192 transport model FRAME (Fine Resolution AMmonia Exchange, version 9.15) incorporates the
193 main atmospheric processes (emission, diffusion, chemistry and deposition) to calculate NH₃
194 concentration fields in the UK (Dore *et al.*, 2007, 2012; Matejko *et al.*, 2009; Vieno, 2006;).
195 FRAME has been found to give a good comparison with measurements of NH₃
196 concentrations when compared with the performance of other atmospheric chemistry
197 transport models (Dore *et al.*, 2015).

198 The FRAME model uses maps of ammonia emissions from AENEID as an input, with a
199 livestock sector dependent emission height. Vertical concentration profiles are calculated by
200 simulation of diffusion through 33 layers of varying depth. Loss processes for ammonia
201 represented in the model include chemical conversion to ammonium aerosol, washout by
202 precipitation and dry deposition to surface vegetation.

203 In the NAMN (<http://www.pollutantdeposition.ceh.ac.uk/content/ammonia-network>),
204 monthly sampling is carried out at 85 sites using an active diffusion denuder method, the
205 DEnuder for Long-Term Atmospheric sampling system, DELTA (Sutton *et al.*, 2001) and
206 passive diffusion samplers (Tang *et al.*, 2001), with the latter normalized to the former to
207 take account of the effect of the quasi-laminar sublayer of air at the inlet of the passive
208 samplers and other differences in the passive sampler performance. For example Tang *et al.*
209 (2018) have shown that different membrane characteristics at the inlet of passive samplers
210 affect their sampling rate.

211

212 **3. Results and discussion**

213 **3.1 Visual interpretation**

214 Emission maps derived from different aggregation zones at a 1 km x 1 km grid resolution for
215 an example area (400 km²) are shown in Figure 3. For the 1-km grid zones, the emissions are
216 very scattered and the emission map is characterised by high emission peaks, as well as
217 many grid squares of zero emission. Even though the overall total emission is the same for
218 all four zonal systems, the 1-km zone map gives the impression of lower total ammonia
219 emissions than the other maps, due to the emissions being confined to zones where the
220 holdings are located as points, rather than a realistic distribution of agricultural activities.
221 This is an important issue with agricultural survey data that, although data are collected at
222 holding level (point source), the actual livestock and the agricultural land in reality represent
223 an area source. From observation, most farms, especially larger holdings, or holdings in
224 upland areas, are unlikely to have all their emissions located in the 1 km² grid cell where the
225 farm is registered.

226 Both the 5-km level and the 10-km zone emission maps show a much smoother emission
227 pattern than the 1-km zone map. Many studies of the MAUP have shown that larger
228 aggregation zones tend to have a “smoothing effect” on the result, while smaller zones
229 augment differences. Jelinski and Wu (1996) showed that information on spatial
230 heterogeneity is lost or distorted in the aggregation process, and that the loss of detail
231 increases as the zone size increases. Larger aggregation zones, however, have the advantage
232 of giving more statistically stable distributions, with less extreme and unrealistic emission
233 values. Jelinski and Wu (1996) also showed that, although the size of the zones may remain
234 the same, the variance changes even when the orientation of the zones is changed (the
235 zoning problem).

236 In Figure 3, the underlying aggregation zones were visible even for the 10-km zone system.
237 In this map a combination of 1 km and 10 km features can be seen as the 1 km resolution
238 redistribution of the AENEID model related to land cover is imposed on the 10 km resolution
239 distribution of agricultural statistics used. The emission map based on a combination of 1
240 km land cover redistribution and the parish distribution of agricultural statistics did not give
241 rise to any visible artificial borders, which may give the impression that the underlying
242 distribution zones are undetectable. Although the parish borders are in many cases as
243 artificial constructs as the square zones, they are not as easily detectable by eye as square
244 patterns, due to their irregular sizes and shapes. When superimposing the parish boundaries
245 onto the parish zone map, some of the parishes could be identified in the underlying
246 emission map. In summary, this effect varies with location and size of the aggregation zones.
247 For instance, pig and poultry emissions are often located in small parishes in lowland areas,
248 while cattle and sheep emissions tend to be located in larger parishes in upland areas, and
249 hence the underlying aggregation zones are more difficult to detect.

250 Figure 3

251 One way to reduce the uncertainties in the spatial distribution even further, could be to deal
252 with large point sources such as pig and poultry production facilities in a particular manner.
253 For instance, these facilities can be identified from satellite images or from the IPPC-
254 database (if access is improved). In the disaggregation process, remote sensing data and
255 particular distribution processes could be applied to narrow down the uncertainties as to
256 where the bulk of the emissions originates. For instance, Hellsten (2006) has suggested an
257 iterative process based on manure saturation rates to distribute pig and poultry manure,
258 independent of the agricultural land within the aggregation zone.

259 **3.2 Extreme emission values**

260 In Table 1, extreme emission values for each of the four zone systems were identified. As
261 expected, the 1-km zone system has the most extreme values. This is followed by the parish
262 zones, the 5-km zones, and finally the 10-km zones. Table 1 clearly shows that emission
263 values are less extreme the larger the aggregation zones (the smoothing effect).

264 Table1

265 Rather extreme values were common in the ammonia emission map based on the parish
266 distribution, with the parish zones varying in size between 1 km² and 258 km² across
267 England. Extreme values tend to occur in small parishes (2 % of all parishes are
268 approximately 1 km²), but high emission values may also be present in large parishes, if the
269 relevant land cover associated with an emission source type in that parish is limited to just a
270 few grid cells.

271 The emission map based on 1-km aggregation zones clearly showed the problems of hot
272 spots as well as grid squares lacking any ammonia emissions. Similarly, parishes of a small
273 size are therefore at considerable risk of major uncertainties. When analysing grid squares
274 with extreme emission values, the square containing the maximum livestock emission

275 belonged to a 3 km² parish, and the square containing the maximum fertilizer emission
276 belonged to a 2 km² parish. It may be concluded that small parishes are at larger risk of over-
277 and underestimation of emissions. It is recommended that the smallest parishes should be
278 aggregated with neighbouring parishes, in order to minimize the risk of these types of errors.
279 It is however difficult to identify a threshold where parishes should be aggregated, as this
280 depends on the area per holding, which in turn varies regionally (see Table 2). A comparison
281 with agricultural land within each parish indicates that 8 km², may be a suitable threshold for
282 minimum usable parish size (see Section 3.4 and Figure 5).

283 An alternative approach to minimize the error is to aggregate the final emission map to a
284 coarser resolution. This is the method typically applied to UK ammonia emission maps,
285 where the final map is normally aggregated to a 5-km grid resolution, both to reduce some
286 of the uncertainties due to the MAUP, and to preserve confidentiality of individual holdings
287 (Dragosits *et al.*, 1998, Hellsten *et al.*, 2008).

288 Table 2

289 **3.3 Frequency distributions**

290 Figure 4 shows the frequency distribution for each aggregation level, i.e. number of grid cells
291 (1 x 1 km) belonging to each emission class (1 kg NH₃-N ha⁻¹ yr⁻¹). The frequency
292 distributions are quite similar for the 5-km, 10-km and parish aggregation. The emission
293 distribution based on the 1-km aggregation level is associated with a higher number of grid
294 cells with low emission values (< 4 kg NH₃-N ha⁻¹ yr⁻¹) compared with the other aggregation
295 levels. Also the number of grid cells with high emission values are more frequent in the 1 km
296 level aggregation. 37 grid cells have an emission value which is higher than 1000 kg NH₃-N
297 ha⁻¹ yr⁻¹ compared with 4-8 grid cells for the other distributions. This clearly shows that the
298 magnitude and number of extreme values are reduced with larger aggregation zones (the
299 smoothing effect). The variation between the frequency distributions is a result of both the

300 scaling and the zonation effect. The scaling effect mainly impacts the magnitude of extreme
301 values, while variations in the spatial distribution of extreme values are explained by the
302 zonation effect.

303 Figure 4

304 **3.4 Overestimated grid cells regarding agricultural land**

305 Discrepancies between the survey data and the land cover map were also assessed. Table 3
306 shows the percentage of grid cells (1 km x 1 km) in England where the agricultural area
307 exceeds 100 % and 110 % of the area of the aggregation zone at each aggregation level, i.e.
308 the agricultural land area (crops and grass) of all agricultural holdings in a zone exceed the
309 extent of some of the grid cells (1 x 1 km), after the redistribution within the aggregation
310 zone (based on landuse data). Only 2.5 % of the grid squares exceed the areal extent of the
311 aggregation zone at the 10-km level, with 4.1 % at the 5-km level and as many as 34.5 % at
312 the 1-km level. In the parish distribution, 14.6 % of the squares were overestimated, and
313 consequently others (not identifiable, but presumably nearby), were underestimated. From
314 these values it is clear that the smoothing effect of larger aggregation zones reduces the
315 number of overestimated squares.

316 Table 3

317 Figure 5a shows a graph of the ratio of agricultural area within each parish plotted against
318 the size of the parish. The plot shows huge over estimations of agricultural land within the
319 smaller parishes. The graph could be used to select a threshold for minimum usable parish
320 size. Figure 5b indicates that parishes of 7 km² or smaller may be associated with large
321 uncertainties due to the overestimation of agricultural land (300 % or more). Hence, 8 km²
322 parishes may be used as a threshold minimum ideal parish size for ammonia emission
323 modelling.

324 Figure 5

325 **3.6 Comparison with measurements**

326 The modelled annual average concentration field for ammonia (1 x 1 km) generated with the
327 FRAME model is shown in Figure 6. Ammonia emissions are based on the 1-km aggregation
328 level for England, and the parish aggregation level for the rest of the UK. Correlation plots
329 comparing annually averaged ammonia concentration measurements from the NAMN for
330 2002 with modelled ammonia concentrations are shown in Figure 7 (all 91 sites) and Figure 8
331 (only nature reserve and semi-natural grassland sites, 43 sites). There is more scatter using
332 all sites due to the high local scale variability of NH₃ concentrations in a mixed agricultural
333 landscape. For the nature reserve and semi-natural grassland sites (Figure 8) there is better
334 correlation (higher R²) but a higher NMB (Normalised Mean Bias) due to small nature
335 reserves being located in a model grid square with significant NH₃ emissions. There does not
336 appear to be much difference in the R² between simulations 1-4 for the nature reserve and
337 semi-natural grassland sites, but there is a lower NMB for the simulation plot with 1 km
338 resolution emissions due to less spatial overlap from surrounding agricultural emissions.

339 Figure 6

340 Figure 7

341 Figure 8

342 **3.7 Evaluating the results**

343 Many of the methods applied in this study to evaluate the effect of the Modifiable Areal Unit
344 Problem have clearly shown the smoothing effect that occurs with increased sizes of
345 aggregation zones. This smoothing effect has the advantage of making the result more
346 statistically stable, but a key disadvantage is that spatial details are lost.

347 Cockings and Martin (2005) suggest that purpose specific automatically designed
348 aggregations may be more appropriate than pre-existing zoning systems. It is not
349 straightforward to conclude what zone size is preferable for the data in this study, if indeed
350 there is a single most suitable value, or whether this may vary regionally. There are probably
351 different ideal zone sizes/shapes vs. farm size ratios across the country, depending on the
352 nature of farming activities and the landscape/geography. The answer is in the relative size
353 of the parishes and the farms across the landscape, the range of farm sizes in a local area, as
354 well as how compact the area of each farm is i.e., whether all the fields are in a neat cluster,
355 or to what extent they are interspersed with neighbouring farmers' fields.

356 The parish data contain a large range of zonal sizes, with small parishes containing
357 (sometimes) too much spatial detail, while larger parishes are more statistically stable. Some
358 of the extreme and unrealistic values resulting for many very small parishes can be remedied
359 by aggregating the smallest parishes (e.g. 7 km² or smaller) with a neighbouring parish.
360 Extreme values as a result of a limited amount of land cover types suitable for specific
361 emission sources within a parish are more difficult to pinpoint and remedy.

362 The choice of zonal system is limited by data availability, and for some parts of the UK,
363 parish-based agricultural statistics are the only option available. Furthermore, there is a
364 danger in using a zonal system that is different from the parish zones, as some of the
365 holdings are placed randomly within the associated parish by the government statisticians,
366 in the absence of detailed geo-referenced location data. In the parish zone approach, these
367 farms are at least allocated to the correct parish.

368 **3.8 Effects of the MAUP on spatial ammonia emission inventories**

369 This study has shown that the MAUP can have a significant effect on the location of
370 emissions in spatial inventories where aggregated, zonal data are used. If the aggregation
371 zones are small, there is a high risk of the MAUP artificially intensifying emissions from area

372 sources such as agriculture, resulting in unrealistic emission peaks or “hot spots”. These
373 peaks are inevitably propagated when the spatial inventories are used as input to
374 atmospheric dispersion and deposition models, thereby overestimating the concentrations
375 or deposition of ammonia in some areas, and underestimating in other areas. These errors
376 may consequently highlight areas that exceed the critical level for ammonia or critical load
377 for nitrogen or acidity, or conversely underestimate effects on sensitive vegetation
378 elsewhere. In principle corrections for this error could be made, but would require
379 assumptions as to the necessary land requirements for different activities over which the
380 particular farms are really using (Hellsten, 2006).

381

382 If the aggregation zones on the other hand are too large, true emission peaks are likely to be
383 smoothed out, hence underestimating areas exceeding the critical levels or loads. Spatial
384 accuracy and reducing spatial uncertainties are therefore important tasks to be included in
385 emission inventory compilation. It is recognised that it is impossible to eliminate totally the
386 effects of the MAUP, but raising the awareness of these types of issues, especially among
387 people working with zonal data as inputs to spatial emission inventories, may improve
388 understanding and interpretation of the results. Flowerdew (2011) concluded that the
389 problem of the MAUP is not very serious most of the time, but for certain occasions it can
390 make a great difference. The difficulty lies in predicting these occasions.

391 When dealing with aggregated point data, the Modifiable Areal Unit Problem will always be
392 present, unless the spatial distribution is totally homogenous, which is unlikely for most
393 distributions. It is certainly not the case when dealing with parish-aggregated agricultural
394 survey data, as these are based on historic and often artificial administrative borders.

395 We suggest the following methods to mitigate the effects of the Modifiable Areal Unit
396 Problem in the context of spatial ammonia emission inventories:

- 397
- Aggregating small parishes ($\leq 7 \text{ km}^2$) with neighbouring parishes.
- 398
- Incorporating additional data (e.g., land cover data) to distribute the survey items as
- 399
- emission sources within each zone.
- 400
- Dealing with large point sources such as pig and poultry production facilities in a
- 401
- particular manner, e.g.
- 402
- By using additional data (remote sensing data or data from the IPPC-
- 403
- database) to identify these facilities.
- 404
- By applying assumptions as to the necessary land requirements for pig and
- 405
- poultry manure. For instance, an iterative process could be applied, where
- 406
- pig and poultry manure in aggregation zones with insufficient land suitable
- 407
- for manure spreading is transported further away from the farm, as
- 408
- suggested by Hellsten (2006).
- 409
- No matter which approach that is used for the redistribution of agricultural statistics within
- 410
- the landscape, we are convinced that having agricultural statistics made available at a fine
- 411
- resolution would improve both the possibility to analyse the data, and to better understand
- 412
- the problems and effects of the Modifiable Areal Unit Problem on spatial ammonia emission
- 413
- inventories.

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419

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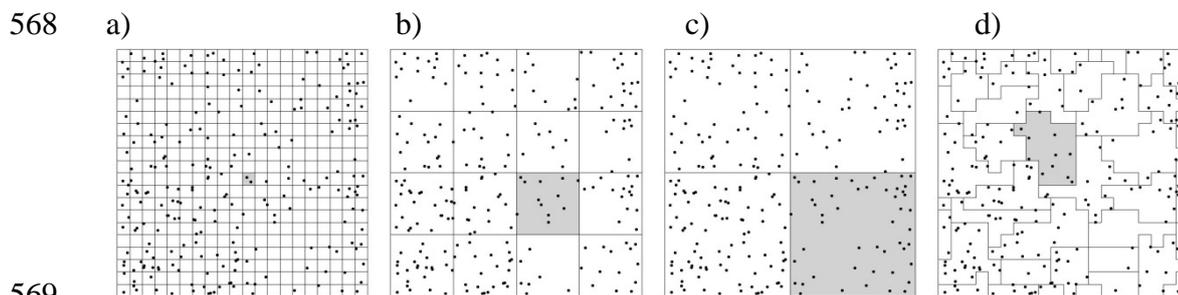
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567 **Figures & Figure Captions**



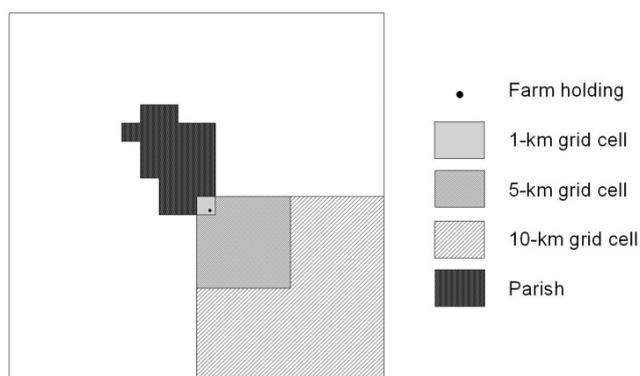
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570 Figure 1. Holding data were aggregated using four different zonal systems: a) 1-km grid, b) 5-

571 km grid, c) 10-km grid, and d) parish zones. The highlighted zones in each figure show the

572 potential area to which emission may be assigned at each zonation level for a farm located

573 in the shaded 1-km grid cell of figure a (also see Figure 2). Area: 400 km².

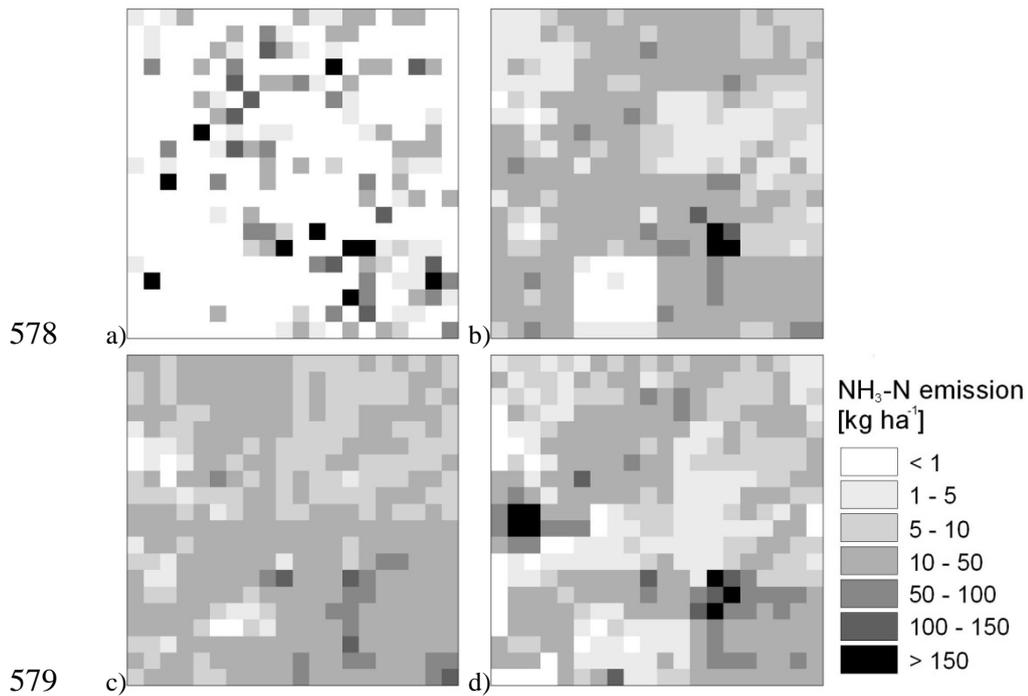


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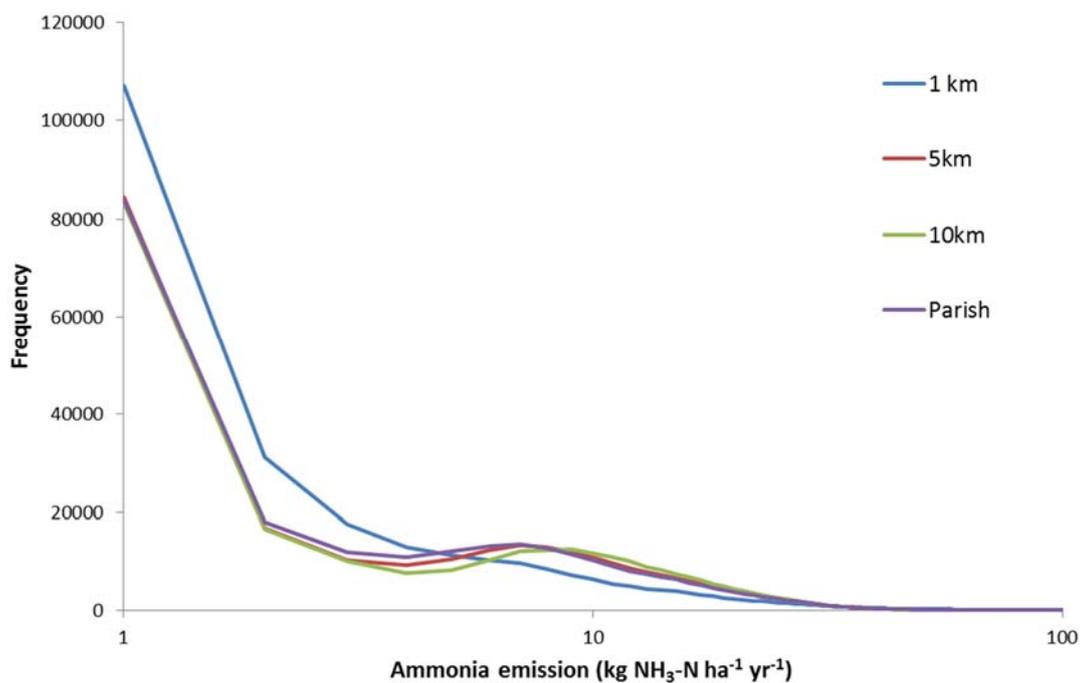
575 Figure 2. Potential area for re-distribution of emissions from a single farm at different

576 aggregation zones (10-km grid, 5-km grid, 1-km grid and parish zones). Both the scale and

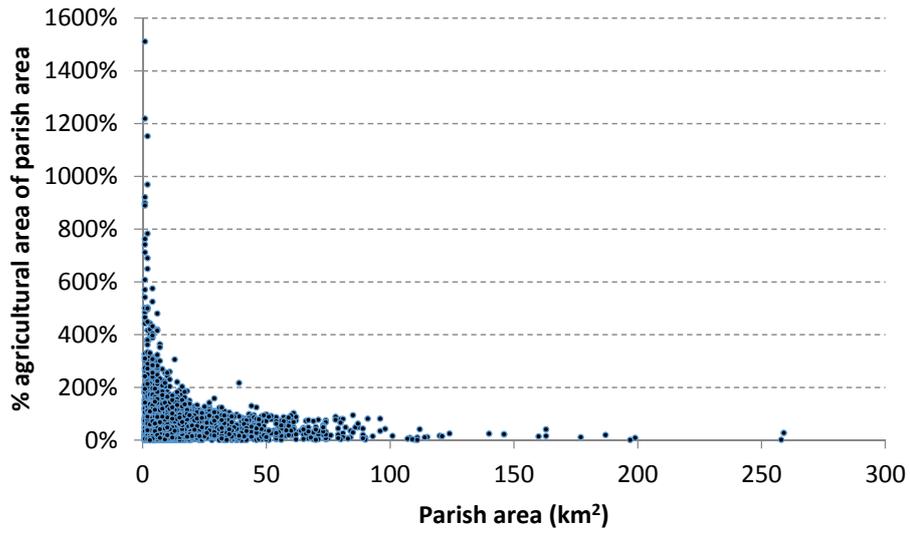
577 the zonation effect are demonstrated. Area: 400 km².



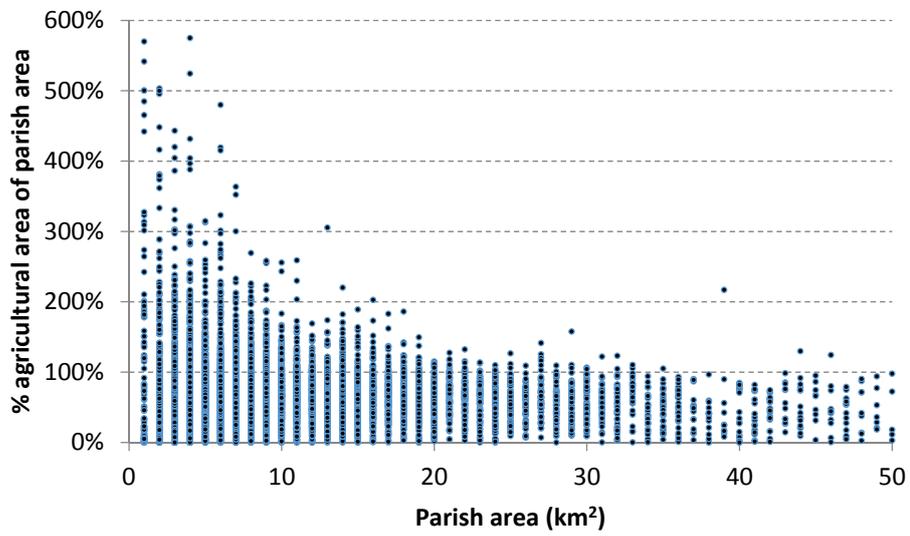
580 Figure 3. Examples of emission results, based on real data (livestock emissions) at a local
 581 scale (area 400 km²) from a part of England using different aggregation zones: a) 1-km zone,
 582 b) 5-km zone, c) 10-km zone and d) parish zone.



583 Figure 4. Frequency distribution of the ammonia emissions for the different aggregation
 584 levels. The frequency represents number of grid cells (1 x 1 km) within each emission class (1
 585 kg NH₃-N ha⁻¹ yr⁻¹).
 586 kg NH₃-N ha⁻¹ yr⁻¹).

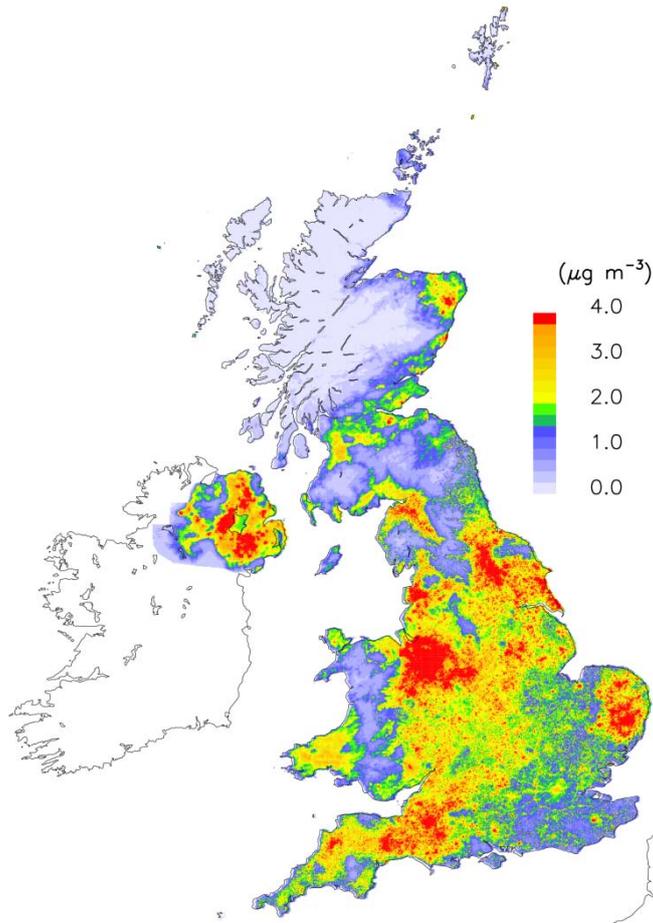


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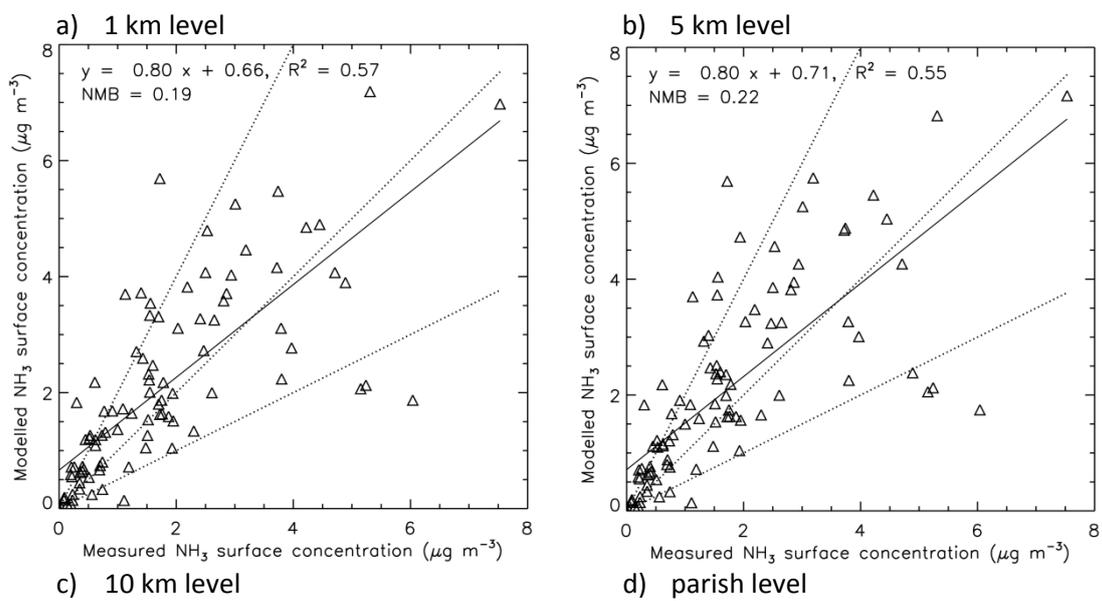
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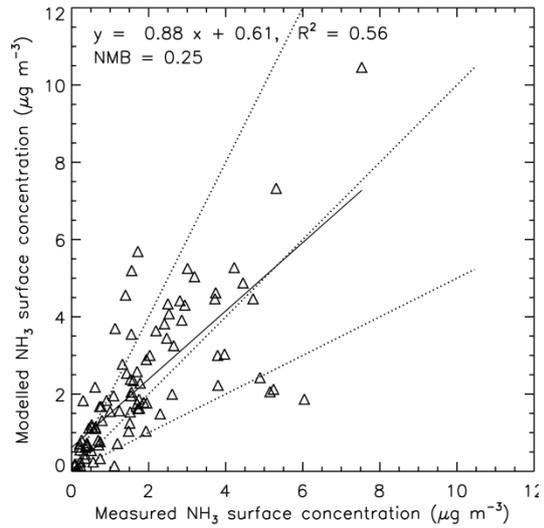
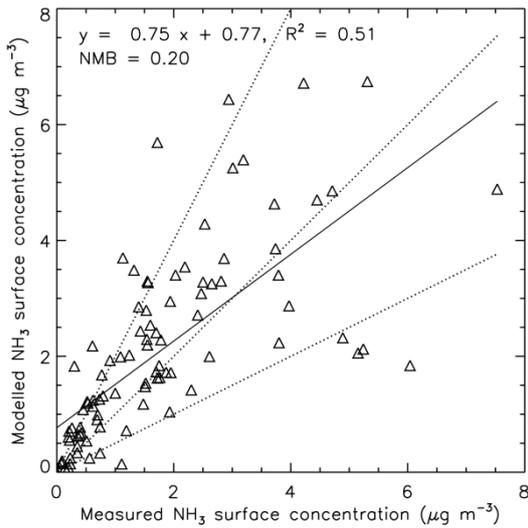
589 Figure 5. The ratio of agricultural area within each parish plotted against the size of
 590 the parish.



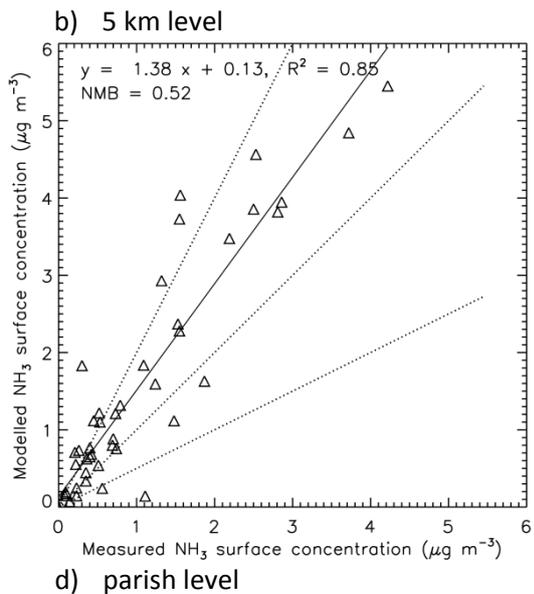
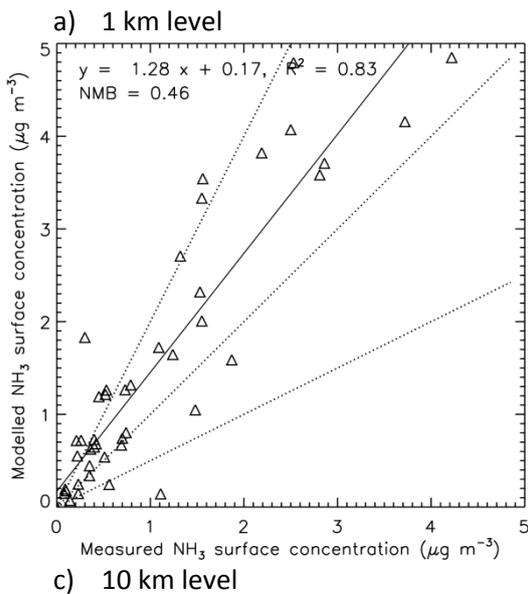
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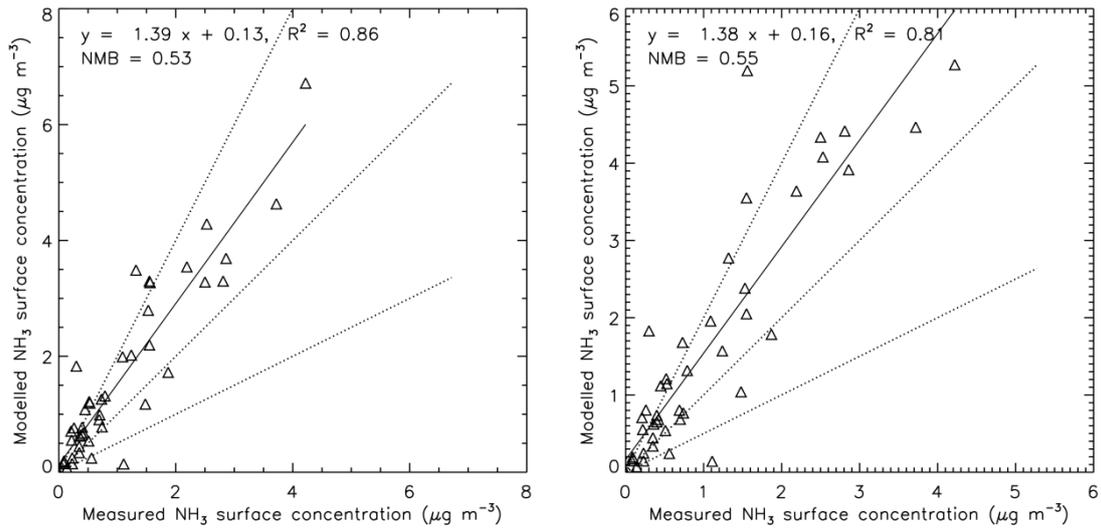
592 Figure 6. Modelled concentration field for ammonia (1 km x 1 km) with the FRAME model,
 593 based on ammonia emission estimates from the 1-km aggregation level in England. The
 594 parish distribution was used for the rest of the UK.





595 Figure 7. Correlation plots, comparing all annually averaged ammonia measurement results
 596 for 2002 (91 sites) with modelled ammonia concentrations based on zone level a) 1 km, b) 5
 597 km, c) 10 km and d) parish level.





598 Figure 8. Correlation plots, comparing only nature reserve and semi-natural grassland sites
 599 (43 sites, annually averaged ammonia measurement results for 2002) with modelled
 600 ammonia concentrations based on zone level a) 1 km, b) 5 km, c) 10 km and d) parish level.

601

602

603 **Tables**

604 Table 1. Maximum NH₃-N emission (tonnes/year) per 1-km grid square in the UK-wide emission maps
 605 (See extended Figure 5) derived using different zone systems.

Emission (t NH₃ year⁻¹)	Aggr.zone: 1-km grid	Aggr.zone: 5-km grid	Aggr.zone: 10-km grid	Aggr.zone: Parish
Livestock	917.4	69.4	34.8	552.5
Fertilizers	14.3	1.2	0.68	5.8

606

607 Table 2. Statistics of the English parish dataset, showing various parish size groups, and number of
 608 parishes belonging to each size group, for all English parishes (average size 11.8 km²) on the left, and
 609 details for the smallest size group on the right .

610

Parish size (km²)	Number of parishes	Parish size	No of parishes
1-5	2,940	1 km²	269
6-25	7,338	2 km²	349
26-100	818	3 km²	555
> 100	25	4 km²	846
Total	11,121	5 km²	921

611

612 Table 3. Percentage of grid squares where the area of agricultural land is overestimated by
 613 aggregating holdings to zone systems, i.e., grid cells where the sum of agricultural area from all
 614 holdings allocated to the zone is larger than the area of the grid cell itself (crops & grass > 100 and
 615 110 ha).

Zone level	> 100 %	> 110 %
1-km grid	34.5 %	31.3 %
5-km grid	4.1 %	2.3 %
10-km grid	2.5 %	1.0 %
Parish	14.6 %	9.7 %

616