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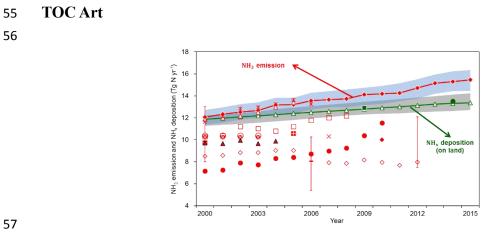
Ammonia emissions may be substantially underestimated in China 1 2 Xiuming Zhang<sup>†,‡</sup>, Yiyun Wu<sup>‡</sup>, Xuejun Liu<sup>§</sup>, Stefan Reis<sup>#,</sup>, Jiaxin Jin<sup>¶</sup>, Ulrike 3 Dragosits<sup>#</sup>, Martin Van Damme<sup> $\Delta$ </sup>, Lieven Clarisse<sup> $\Delta$ </sup>, Simon Whitburn<sup> $\Delta$ </sup>, Pierre-François 4 Coheur<sup> $\Delta$ </sup>. Baoiing Gu<sup>†, $\Phi$ ,<sup> $\perp$ ,\*</sup></sup> 5 6 7 <sup>†</sup>Department of Land Management, Zhejiang University, Hangzhou 310058, People's Republic of China; 8 <sup>‡</sup>Policy Simulation Laboratory, Zhejiang University, Hangzhou 310058, People's 9 Republic of China; 10 <sup>§</sup>College of Resources and Environmental Sciences, China Agricultural University, 11 Beijing 100193, People's Republic of China; 12 <sup>#</sup>NERC Centre for Ecology & Hydrology, Bush Estate, Penicuik, Midlothian, EH260QB, 13 United Kingdom; 14 <sup>T</sup>University of Exeter Medical School, Knowledge Spa, Truro, TR1 3HD, United 15 Kingdom; 16 17 <sup>¶</sup>International institute for earth system science, Nanjing University, Nanjing 210046, People's Republic of China; 18 <sup>Δ</sup>Université libre de Bruxelles (ULB), Atmospheric Spectroscopy, Service de Chimie 19 Quantique et Photophysique CP 160/09, Avenue F.D. Roosevelt 50, 1050 Bruxelles, 20 Belgium 21 <sup>Ф</sup>School of Agriculture and Food, The University of Melbourne, Victoria 3010, Australia 22 <sup>L</sup>Laboratory of Rural-Urban Construction Land Economical and Intensive Use, Ministry 23 of Land and Resources, Beijing 100812, China 24 25 26 27 \*Corresponding Author: 28 Department of Land Management, Zhejiang University, Zijingang Campus, 866 29 Yuhangtang Road, Hangzhou 310058, PR China. Tel & Fax: +86 571 8898 2615. E-30 31 mail: <u>bjgu@zju.edu.cn</u> 32

### 34 Abstract

China is a global hotspot of atmospheric ammonia (NH<sub>3</sub>) emissions and, as a consequence, 35 very high nitrogen (N) deposition levels are documented. However, previous estimates of 36 total NH<sub>3</sub> emissions in China were much lower than inference from observed deposition 37 values would suggest, highlighting the need for further investigation. Here, we 38 reevaluated NH<sub>3</sub> emissions based on a mass balance approach, validated by N deposition 39 monitoring and satellite observations, for China for the period of 2000 to 2015. Total NH<sub>3</sub> 40 emissions in China increased from  $12.1 \pm 0.8$  Tg N yr<sup>-1</sup> in 2000 to  $15.6 \pm 0.9$  Tg N yr<sup>-1</sup> in 41 2015 at an annual rate of 1.9%, which is approximately 40% higher than existing studies 42 suggested. This difference is mainly due to more emission sources now having been 43 included and NH<sub>3</sub> emission rates from mineral fertilizer application and livestock having 44 been underestimated previously. Our estimated NH<sub>3</sub> emission levels are consistent with 45 the measured deposition of  $NH_x$  (including  $NH_4^+$  and  $NH_3$ ) on land (11-14 Tg N yr<sup>-1</sup>) and 46 the substantial increases in NH<sub>3</sub> concentrations observed by satellite measurements over 47 China. These findings substantially improve our understanding on NH<sub>3</sub> emissions, 48 implying that future air pollution control strategies have to consider the potentials of 49 reducing NH<sub>3</sub> emission in China. 50 51

52 Keywords: fertilizer; nitrogen deposition; mass balance; satellite measurements;

- 53 agriculture; temperature
- 54



#### 59 INTRODUCTION

Nitrogen (N) plays an important role in all living systems and their environment.<sup>1,2</sup> 60 Reactive N (N<sub>r</sub>) released to the environment is dispersed by atmospheric and hydrologic 61 transport processes and can accumulate in air, soils, vegetation, and groundwater.<sup>1</sup> 62 Almost all emitted N<sub>r</sub> in the forms of nitrogen oxides (NO<sub>x</sub>, defined as the sum of all 63 species that contained oxidized nitrogen) and ammonia (NH<sub>3</sub>) is transferred back to the 64 Earth's surface within hours to days of its release.<sup>2</sup> NH<sub>3</sub> emitted to the atmosphere is 65 either deposited directly or transformed into an ammonium aerosol (e.g., ammonium 66 nitrates and ammonium sulfate) often transported over long distances.<sup>3</sup> Two types of NH<sub>x</sub> 67  $(NH_3 (gas) + NH_4^+ (aerosol))$  deposition usually occur: dry deposition of NH<sub>3</sub> close to the 68 emission sources, <sup>4,5</sup> and wet deposition of NH<sub>4</sub><sup>+</sup> that can occur at far distances downwind 69 from the sources. <sup>6</sup> N biogeochemical cycles follow the principle of mass balance, and 70 the N<sub>r</sub> input to and output from the atmosphere system are normally balanced.<sup>7</sup> Therefore. 71 NH<sub>x</sub> deposition fluxes are closely related to NH<sub>3</sub> emissions. Galloway et al.<sup>8</sup> and Fowler 72 et al.<sup>2</sup> elaborated the process of global N cycling and estimated that global NH<sub>3</sub> emissions 73 and NH<sub>x</sub> deposition are in balance (Table 1). Global NH<sub>x</sub> deposition depends strongly on 74 75 total NH<sub>3</sub> emissions, with the spatial distribution of emissions and atmospheric transport pathways affecting the downwind deposition fluxes to the oceans.<sup>9</sup> 76

Mainland China has long coastlines (total length about 18 000 km), bordering the 77 Northwestern Pacific Ocean, which is primarily located downwind of emission sources 78 on the Asian continent.<sup>10</sup> As a consequence, long-range transport will lead to a share of 79 ammonium aerosols being deposited outside of China's land area, because prevailing 80 wind directions and river catchment flows of China result in pollutants being carried from 81 China to the North Pacific Ocean.<sup>11-13</sup> Figure S1 illustrates the sources and fates of NH<sub>3</sub> 82 in China. It is noticeable that the Tibetan Plateau blocks most pollutant transfer into China 83 from other countries in the west such as India though some evidence has emerged of 84 transport of air pollutants across the Himalayas.<sup>14, 15</sup> China receives little NH<sub>x</sub> from other 85 countries through atmospheric circulation, but transports Nr to surrounding marine 86 ecosystems, and is overall a net exporter of  $NH_x$  deposition.<sup>14</sup> Therefore, the flux of  $NH_x$ 87 deposition on land could be used to constrain the spatial and temporal variations of NH<sub>3</sub> 88 emission in China.<sup>16</sup> 89

Over the past two decades. China has witnessed a substantial increase in Nr pollution 90 and has been a global "hotspot" for both NH<sub>3</sub> emissions and N deposition due to rapid 91 increases in industrialization, urbanization and intensified agricultural production.<sup>7, 17, 18</sup> 92 Rapid increases in atmospheric NH<sub>3</sub> concentrations and the subsequent N depositions 93 have various effects on ecosystems, such as soil acidification, water eutrophication, 94 biodiversity loss and air pollution.<sup>19, 20</sup> Although many studies on emission inventories in 95 general and NH<sub>3</sub> emissions in particular have been conducted in China, large uncertainties 96 and contradictory results were found in terms of spatial and temporal variations of NH<sub>3</sub> 97

emissions (e.g., Dong et al.<sup>21</sup>; Huang et al.<sup>22</sup>; Kang et al.<sup>23</sup>). Total estimates of NH<sub>3</sub>
emissions available for China range from 7-8 Tg N yr<sup>-1 23, 24</sup> to around 11-12 Tg N yr<sup>-1</sup>.<sup>25, 26</sup>
Some studies<sup>24, 26</sup> recorded an increasing trend, while other studies<sup>23</sup> argued a
downward trend of total NH<sub>3</sub> emission in China for the recent decade. Previous studies
rarely used other data apart from ground-based concentration measurements for emission
verification, such as N deposition monitoring or satellite observation to calibrate
estimates and validate NH<sub>3</sub> inventories.

Recent studies have addressed the important role of NH<sub>3</sub> in the formation of fine 105 particles (PM<sub>2.5</sub>) in China,<sup>27, 28</sup> putting more of an emphasis on the need to refine and 106 better quantify NH<sub>3</sub> emissions and their contribution to air pollution, including for urban 107 areas, in China. Thus, in this paper we aimed to (i) advance our understanding by 108 designing a systematic framework for the analysis of NH<sub>3</sub> sources, emissions, and 109 environmental fates in China; (ii) review and revise the NH<sub>3</sub> emission inventory from 110 2000 to 2015 in China with a mass balance approach; and (iii) evaluate the uncertainties 111 attributed to NH<sub>3</sub> emissions by validation of NH<sub>x</sub> deposition and satellite measurements. 112

113

### 114 METHODS

Datasets. This study covers the entire land area of mainland China; Taiwan, Hong Kong, 115 and Macao were excluded owing to data limitations. Data used in this study can be 116 117 divided into two categories: (i) summary information for China such as population, GDP, land use, fertilizer use, crop/livestock production, and energy consumption in different 118 sectors, all taken from the national data center<sup>29</sup> and FAO statistics<sup>30</sup>; (ii) parameters and 119 coefficients used for the calculation of NH3-N fluxes, both obtained from synthesis of 120 peer-reviewed literature and field measurements. We established multiple datasets for the 121 calculation of NH<sub>x</sub> emissions from 2000 to 2015 in China on a provincial scale. Note that 122 all the units of NH<sub>x</sub> fluxes have been converted to Tg N yr<sup>-1</sup>. Details about the selection 123 criteria applied to and parameters and coefficients can be found in SI methods. 124

Model Description. We used the Coupled Human And Natural Systems (CHANS) model 125 to quantify NH<sub>3</sub> fluxes within China. The CHANS model incorporates and integrates all 126 Nr fluxes and their interactions that can be identified, together with the linkages among 127 subsystems (Figure S2). The basic principle of the CHANS model is mass balance for the 128 whole system and each subsystem. A detailed description of CHANS can be found in Gu 129 et al.<sup>31</sup> In this study, we focus on the atmosphere subsystem (AT) which receives NH<sub>3</sub> 130 input from 13 subsystems and deposits NH<sub>x</sub> to land subsystems. In addition, it can also 131 transfer NH<sub>x</sub> to or receive NH<sub>x</sub> from other countries/oceans through atmospheric 132 circulation. The input of NH<sub>3</sub> is either larger or equal to the output of NH<sub>x</sub>. A summary 133 of the main source categories comprised in the NH<sub>3</sub> emission inventory is listed in Table 134 S1. 135

136 Meteorological conditions strongly influence the rate of  $NH_3$  emissions.<sup>32, 33</sup> We

quantitatively estimate the impacts of climate change on NH<sub>3</sub> emission based on the 137 climate-dependent paradigm developed by Sutton et al.<sup>32</sup> This climate-dependent 138 paradigm is generally universal among regions, and can be used in China. In principle, 139 according to solubility and dissociation thermodynamics, NH<sub>3</sub> volatilization potential 140 nearly doubles for an increase of temperature by 5°C, equivalent to a Q<sub>10</sub> (the relative 141 increase over a range of 10°C) of 1–4.<sup>32</sup> Note that in this study, we only consider the 142 temperature-dependence effect on agricultural sources given that to date only few studies 143 have emerged in literature which thoroughly quantify the effect of temperature on non-144 agricultural sectors.<sup>34</sup> Base on Sutton et al.,<sup>32</sup> an average Q<sub>10</sub> of 2 was used for NH<sub>3</sub> EFs 145 from fertilizer application across China; an average Q<sub>10</sub> of 1.25 was used for NH<sub>3</sub> EFs 146 from pigs, sows, poultry, rabbits, sheep and goats while an average Q<sub>10</sub> of 2.5 for cattle, 147 horses, donkeys, mules. Prior to the calibration of temperature-dependence effects, we 148 have summarized the average NH<sub>3</sub> EFs using correction coefficients for various factors. 149 Details on the approach can be found in SI text. 150

The annual average temperature for fifteen years (2000-2015) at 9.7°C across China 151 has been selected as a reference temperature and warmer or colder annual averages as a 152 proxy for calibration of the NH<sub>3</sub> emission. The calculation principles are as follow: 153

154 
$$AT_{IN} = \sum_{i=1}^{13} E_{Item,i} + ATIN_{Import}$$
(1)

155 
$$E_{Item,i,j} = \sum_{p} EF_{i,j,p} \times f(T_{j,p}) \times AL_{i,j,p}$$
(2)

158

$$f(T_{j,p}) = \frac{(Q_{10,j,p}-1)}{10} \times (T_j - T_0) + 1$$
(3)

157 
$$AT_{OUT} = ATOUT_{Dep} + ATOUT_{Export}$$
(4)  
158 
$$AT_{IN} \ge AT_{OUT}$$
(5)

where  $AT_{IN}$  and  $AT_{OUT}$  are the total NH<sub>x</sub> input to and output from atmosphere 159 subsystem;  $E_{Item,i,j}$  is the NH<sub>x</sub> emission from other 13 subsystems to atmosphere; i, j160 and p represent the subsystem, the year and the source type, respectively;  $EF_{i,j,p}$  is the 161 corresponding emission factor (EF);  $f(T_{i,p})$  represents a function of climate effect on 162 NH<sub>3</sub> emission;  $T_i$  is the annual average temperature (°C),  $T_0$  represents the fifteen 163 years (2000-2015) average temperature (9.7°C);  $Q_{10,j,p}$  stands for a temperature effect 164 on the NH<sub>3</sub> volatilization potential;  $AL_{i,j,p}$  is the activity data;  $ATOUT_{Dep}$  is the NH<sub>x</sub> 165 deposition on land, including both dry and wet deposition. ATOUT<sub>Export</sub> is the NH<sub>x</sub> 166 transferred to surrounding areas (mainly to ocean) through atmospheric circulation that 167 advects NH<sub>x</sub> away from China. 168

Uncertainty analysis. Monte Carlo simulation was used to quantify the variability of the 169 NH<sub>3</sub> fluxes. In Monte Carlo simulations, random numbers are selected from the normal 170 or uniform distribution of input variables and a variation range is attributed to the 171 emission inventory. In order to thoroughly test the accuracy of the simulation results, 172 10,000 Monte Carlo simulations were executed to estimate the range of NH<sub>3</sub> emission 173

uncertainties for different sources. Meanwhile, the mass balance approach used in the
CHANS model constrains the uncertainty ranges and helps to refine the overall
uncertainty.<sup>7</sup> Details about the uncertainty assessment can be found in the SI text and
tables.

178

### 179 **RESULTS**

180 Total NH<sub>3</sub> emission in 2015. The total NH<sub>3</sub> emission into the atmosphere was estimated 181 at 15.6±0.9 Tg N in 2015 for China. Agricultural sources were the largest contributors (13.6±0.8 Tg N), accounting for 88% of total NH<sub>3</sub> emissions, with 5.8±0.3 Tg N and 182 6.6±0.5 Tg N stemming from cropland and livestock, respectively. The majority of the 183 NH<sub>3</sub> emissions from cropland originated from the application of mineral fertilizers 184 185 (5.4±0.2 Tg N), and the rest from irrigation, agricultural soils, N-fixing crops, and composting of crop residues (Figure S3). An estimated 3.8±0.2 Tg N was emitted from 186 livestock housing and manure storage. In addition, 2.8±0.3 Tg N was emitted from 187 manure application to cropland, which in some studies has been attributed to cropland 188 emissions.35,36 189

190 The spatial distribution of NH<sub>3</sub> emissions on a provincial scale in 2015 is shown in Figure 1a, revealing a strong spatial variability and association with the distribution of 191 arable land. High NH<sub>3</sub> emission densities above 50 kg N ha<sup>-1</sup> were concentrated across 192 the North China Plain, where intensive agriculture for both crop production and animal 193 husbandry are located. Sichuan Basin, Middle South China and Northeastern China also 194 show high NH<sub>3</sub> emission densities. In contrast, low NH<sub>3</sub> emission densities of less than 195 10 kg N ha<sup>-1</sup> were primarily found across western China, e.g. Tibet, Qinghai, Inner 196 Mongolia and Gansu, which are characterized by dry regions with low agricultural 197 production and little N fertilizer use.<sup>29</sup> 198

Temporal trends of NH<sub>3</sub> emissions. Total NH<sub>3</sub> emissions increased from 12.1±0.8 Tg N 199 in 2000 to about  $15.6 \pm 0.9$  Tg N in 2015 with an annual rate of 1.9% (statistically 200 significant). ~85% of the inter-annual variations could be well explained by the changes 201 of human activity levels (Figure S4), and the remaining 15% were attributed to air 202 temperature changes during this period (Figure S5). Agriculture is the main emission 203 source, accounting for ~87% of the total NH<sub>3</sub> emission in China, with on average, 43.1% 204 (41.6-44.1%) contributed by livestock manure and 36.4% (35.0-38.3%) by fertilizer 205 application. Non-agricultural sources account for only about 13% of total national NH<sub>3</sub> 206 emissions, including humans responsible for 6.6% (5.1-8.8%), other sources (fuel 207 combustion, waste disposal, traffic sources, chemical industry, urban green land) 208 contributed to less than 2% (Figure S4). 209

Uncertainty assessment. The range of NH<sub>3</sub> emissions with a 95% confidence interval
for 2000, 2005, 2010, 2015 was estimated at 11.4-12.7, 12.3-13.8, 13.3-14.9, and 14.516.6 Tg N yr<sup>-1</sup>, respectively (Figure 2). Uncertainty contribution and variation ranges of

different emission source sectors are presented in Table S14. Livestock manure and fertilizer application were identified as the key sources, with contributions to overall uncertainty of 43.1% and 36.4%, respectively.

216

### 217 DISCUSSION

Validation by NH<sub>x</sub> deposition. In contrast to the bottom-up estimates of NH<sub>3</sub> emission in current inventories, NH<sub>x</sub> deposition can be comparatively well constrained based on data from field monitoring. Based on our NH<sub>3</sub> emission estimations, we could derive the range of NH<sub>x</sub> deposition in mainland China (Dep\_derived = NH<sub>3</sub> emission – exported + imported, where exported NH<sub>3</sub> flux accounts for ~20% of NH<sub>3</sub> emission<sup>14</sup>, and imported N flux from outside contributed by foreign anthropogenic emissions is ~1 Tg N yr<sup>-110</sup>). The derived NH<sub>x</sub> deposition was calculated at 12.6 Tg N in 2010 (Figure 2).

To be directly comparable with the observation data of NH<sub>x</sub> deposition, Figure 2 225 integrated the NH<sub>x</sub> deposition on land for China (data extracted from Liu et al.<sup>17</sup> and Xu 226 et al.<sup>6</sup>). Liu et al.<sup>17</sup> and Xu et al.<sup>6</sup> conducted a comprehensive evaluation of N deposition 227 dynamics across China (Figure S6) based on a Nationwide Nitrogen Deposition 228 Monitoring Network (NNDMN). Result indicated a NH<sub>x</sub> deposition around 11-14 Tg N 229 yr<sup>-1</sup> over land areas in China during 2000-2015, with a 12.9 Tg N in 2010. A range of 230 additional studies based on N deposition monitoring data also support the estimated range 231 232 of NH<sub>x</sub> deposition over China (Table 2). Therefore, the derived NH<sub>x</sub> deposition from our estimate of NH<sub>3</sub> emission agreed well with the observed NH<sub>x</sub> deposition over land areas 233 234 in China.

It is noticeable from Figure 2 that the difference between NH<sub>3</sub> emissions and 235 terrestrial NH<sub>x</sub> deposition increased in recent years. We assume that this can be attributed 236 to the increased long-distance transport of NH<sub>x</sub> deposition to the ocean. Elevated NH<sub>x</sub> 237 deposition rates found in the North and Northwestern Pacific Oceans<sup>11, 13</sup> demonstrates 238 that the formation of ammonium sulphate and -nitrate extended the lifetime of NH<sub>3</sub> in the 239 atmosphere, promoting its long-range transport to ocean.<sup>10</sup> This is in line with the 240 observation that, despite the most recent reductions of NO<sub>x</sub> and SO<sub>2</sub> emissions <sup>37</sup> in China, 241 242 the molar amount of (2SO<sub>2</sub>+NO<sub>x</sub>) still substantially exceeded that of NH<sub>3</sub> at least until 2015 (Figure 3), suggesting that NH<sub>3</sub> emissions presented the limiting factor to the 243 formation of ammonium aerosols. Thus, the increase of NH<sub>3</sub> emission would increase the 244 formation of ammonium aerosols and the long-range transport to ocean during 2000-2015 245 in China. It should be noted that while Figure 3 presents a mass balance limit to the 246 relative components of each species, other factors, i.e. temperature, relative humidity, and 247 aerosol composition could affect the limitations of aerosol formation,<sup>27</sup> and a full 248 atmospheric chemistry transport model assessment would be needed to further assess 249 limiting reagents. 250

251 Validation by satellite observations. Ground-based measurements of ambient NH<sub>3</sub> are

sparse and not always representative for a larger area. Satellites provide an alternative and 252 are ideal to measure NH<sub>3</sub> spatial and temporal variability on global scale.<sup>38, 39</sup> Figure 1 253 presents the spatial distributions of NH<sub>3</sub> emission and the NH<sub>3</sub> vertical column densities 254 (VCDs) measured with the Infrared Atmospheric Sounding Interferometer (IASI) satellite 255 for 2015 over China.<sup>40</sup> The spatial variability of our estimates of the NH<sub>3</sub> emission 256 densities agree well with the IASI-NH<sub>3</sub> VCDs distribution, with the largest NH<sub>3</sub> emission 257 258 density found in the North China Plain, Sichuan Basin and Northeastern China. Relatively high NH<sub>3</sub> VCDs could also be observed in Xinjiang by satellite IASI instrument, which 259 is not captured by our emission map. There is little emission of SO<sub>2</sub> and NO<sub>x</sub> from 260 industrial sources in Xinjiang compared to that in Eastern China.<sup>41</sup> Therefore, a reduced 261 conversion rate to aerosol is expected. This, and the dry climate is probably responsible 262 263 for a longer lifetime of NH<sub>3</sub> in the atmosphere in Xinjiang compared to other regions in China. This will lead, in those regions to a larger buildup of NH<sub>3</sub>, and hence larger 264 observed columns and a larger qualitative discrepancy with the emission inventory. 265

Recently, Warner et al.<sup>33</sup> showed an increasing trend of NH<sub>3</sub> VCDs in China from 266 2003 to 2015 with an increment of  $0.076 \pm 0.020$  ppbv (parts-per-billion by volume) per 267 vear (2.3% vr<sup>-1</sup>) using measurements of the Atmospheric InfraRed Souder (AIRS) satellite. 268 This increasing trend of NH<sub>3</sub> VCDs in China agreed well with our results that showed an 269 annual increase rate of 2.0% during the same period. Generally, the increased NH<sub>3</sub> 270 emissions contributed to the variation in NH<sub>3</sub> VCDs. It suggested that the decreasing 271 trends or trends with turning points of NH<sub>3</sub> emission from 2000 to 2015 found in previous 272 studies are not accurate (Figure 2). For instance, a recent study by Kang et al.<sup>23</sup> estimated 273 that total NH<sub>3</sub> emissions in China showed a downward trend from 8.5 Tg N in 2000 to 274 8.0 Tg N in 2012, contradictory to the increasing trend found in satellite measurements. 275 However, note that satellite concentrations should not be directly compared with 276 emissions, as NH<sub>3</sub> columns are in addition to sources, determined by transport and sinks<sup>40,</sup> 277 278 <sup>41</sup>. Hence, we suggest such data is primarily used for qualitative comparisons such as temporal trend analysis. 279

**Comparison with other studies.** Figure 2 indicates that our results estimated NH<sub>3</sub> 280 281 emissions to be about 40% higher than previous studies suggested, majority of which estimated a lower amount of NH<sub>3</sub> emission than inference from observed NH<sub>x</sub> deposition 282 would yield. Table 3 shows a quantitative comparison with previous studies from five 283 main sources, and the differences are mainly arising from estimates of emissions from 284 285 fertilizer use and livestock production. In addition, we included additional emission sources compared to other studies, such as grassland, aquaculture, traffic sources, urban 286 vegetation, humans and pets, even though the latter contributed a relatively small 287 proportion of total emissions (SI text). 288

The total amount of mineral fertilizer applied and emission factors (EFs) for NH<sub>3</sub> volatilization are two key factors that directly affect NH<sub>3</sub> emissions from N fertilizer

application. Compared to other studies, the difference regarding estimated activity data 291 (i.e. amount of fertilizer uses) is less than 5%. However, the final corrected EFs (16.2-292 18.4%, average 17.0%) used in this study were about 16.6% higher than those used in 293 other studies (Figure S10, average 14.6%). The majority of previous inventories compiled 294 for China used constant European-based emission factors (EFs) (e.g., Dong et al.<sup>21</sup>: Kang 295 et al.<sup>23</sup>), and did not adjust for specific agricultural practices, environmental conditions 296 and climatological factors. Some studies<sup>23, 42</sup> adopted much lower EFs (Figure S10, 297 average 12.8%) because they believed that an anticipated shift of dominant fertilizer types 298 from ABC to urea would substantially reduce the NH<sub>3</sub> emission.<sup>23, 42</sup> In fact, the actual 299 EFs are substantially influenced by the method of fertilizer application used. ABC is 300 normally applied as base fertilizer with deep placement that results in a lower EF, while 301 urea is widely used for top-dressing and surface application<sup>43, 44</sup>. The percentage of 302 topdressing for urea is higher than that for ABC (Table S5) and as such is likely to 303 substantially increase NH<sub>3</sub> emissions in China.<sup>44</sup> Therefore, the gradual shift of fertilizer 304 type from ABC to urea would not significantly change the overall NH<sub>3</sub> EF for fertilizer 305 application in China. 306

A recent meta-analysis <sup>45</sup> on the topic of NH<sub>3</sub> volatilization from global fertilizer use indicates that the global average percentage of N lost as NH<sub>3</sub> was 17.6%. The NH<sub>3</sub> volatilization rate for China is expected to be higher than this value, given its higher application rate and lower N use efficiency considering the fact that most farming is done on smallholder farms, which rely mainly on family labor and are traditionally slow to adapt technical improvements such as 4R fertilization management.<sup>46</sup>

Table 3 indicates that the total NH<sub>3</sub> emissions from livestock calculated in this study 313 were 1.4 Tg N yr<sup>-1</sup> higher than other studies on average, such as REAS2.1,<sup>26</sup> Xu et al.<sup>35</sup> 314 and EDGARv4.3.1.24 The EFs for livestock used in this study are compared with other 315 studies in Figure S6. In fact, due to the absence of closed systems to produce liquid 316 manure, the air-dry process used to produce manure for field application in China results 317 in high emissions of NH<sub>3</sub> from livestock production.<sup>47</sup> In addition, the backyard and 318 small-scale livestock farms still dominate animal production in China, which is difficult 319 320 to supervise the NH<sub>3</sub> emission from livestock production and further introduce advanced technologies to reduce the NH<sub>3</sub> emission due to high costs.<sup>47</sup> Therefore, even assuming 321 the same excretion rate for livestock, the resulting NH<sub>3</sub> emission rate is expected to be 322 higher in China because manure management systems are not as advanced as developed 323 countries. 324

Uncertainty and Limitation. To estimate the uncertainty range on a national scale is challenging given the large spatial variability of EFs of NH<sub>3</sub>. A simple additive approach for all uncertainties from each region and source would undoubtedly exaggerate the overall uncertainty. The NH<sub>3</sub> emissions in this study were calculated based on a full lifecycle analysis, which is a framework to quantify and track the trajectory of all nitrogen fluxes in the CHANS.<sup>7,31</sup> Thus, the uncertainty range inherent to the CHANS model could be well constrained by the mass balance calculation in all the 13 subsystems, combining with Monte Carlo simulations.

However, we have identified several limitations of this study, especially for the major 333 emission sources of N fertilizer and livestock. The actual EFs for fertilizer application are 334 substantially influenced by many parameters, including meteorological conditions, soil 335 properties, fertilizer application methods, application rate, fertilizer type and so on.<sup>48</sup> 336 However, generating a matching dataset of human activities data (e.g., N fertilizer 337 338 application rate) with the same degree of detail and resolution on national scale is outside the scope of this study. At the same time, the influence of these factors on NH<sub>3</sub> emission 339 at a large scale has not yet been well studied and quantified, to our knowledge. Therefore, 340 it is difficult to integrate all the factors into the CHANS and comprehensively quantify 341 the effects of those factors. Future work to build comprehensive datasets including both 342 spatial and temporal variations of the key influencing factors would help to refine the 343 344 results.

In addition, we assume there is no significant inter-annual change in the percentage of intensive rearing systems, manure management practice which is typically affected by many factors, including the housing structure, manure storage system, spreading technique, and so on<sup>49</sup>. However, manure management practices in China have been subject to great changes over the time period of 2000-2015,<sup>29</sup> hence additional uncertainties may be introduced due to using constant parameters for livestock manure during the study period<sup>23</sup>.

Some uncertainties may still exist in the observed NH<sub>x</sub> deposition used in this study 352 due to the relatively limited number of sampling sites. In addition, NH<sub>3</sub> deposition may 353 be overestimated at rural sites with relatively high canopy compensation points as a result 354 of fertilized croplands or vegetation<sup>6</sup>. Furthermore, there may also be large uncertainties 355 arising from comparison with satellite data, because observed differences between 356 ammonia emission and NH<sub>3</sub> VCDs remain unclear to some extent, for instance, in 357 358 locations such as Xinjiang. These uncertainties may affect the validation of NH<sub>3</sub> emissions in this paper. 359

Outlook. Refining the NH<sub>3</sub> emission inventory datasets with high spatiotemporal 360 resolution is crucial for the assessment of future policy implications with regard to 361 mitigation options. This paper highlights that the overall amount of NH<sub>3</sub> emissions may 362 be substantially underestimated in China (Figure 2). However, we still lack a substantial 363 amount of information on spatial resolution at regional to local scales, as well as future 364 changes, which are decision making. Further work is still required to increase the 365 reliability and accuracy of NH<sub>3</sub> emission inventory datasets, underpinned by high-366 resolution observations, process-based experiments and model-data assimilation to fully 367 quantify more realistic NH<sub>3</sub> emissions. This can enhance our understanding on the 368 variation of NH<sub>3</sub> emission and its driving forces. 369

Furthermore,  $NH_3$  plays a crucial role in the formation of secondary inorganic aerosols (SIAs) that are the predominant components of fine particles in China.<sup>20</sup> However, until now no control strategies have yet been implemented for NH<sub>3</sub> emissions in China. Effective strategies for the reduction of NH<sub>3</sub> emissions in China are thus urgently needed given a 40% higher NH<sub>3</sub> emission than previous thoughts. In support of such strategies, a comprehensive and accurate NH<sub>3</sub> emission inventory is also vital to future air pollution prevention and control in China, which can be integrated into air quality model and serve as a baseline toward tracking emission trends, developing mitigation strategies, and assessing progress.

379

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- 389
- 390 Note

## 391 The authors declare no competing financial interest.

392

## **393 Supporting Information Available**

- 394 The Supporting Information includes is available free of charge on the ACS
- 395 Publications website.
- Text S1-4, Table S1-16 and Figure S1-9 (PDF).
- 397

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	World			China
-	1860 <sup>a</sup>	early-1990s <sup>a</sup>	2010 <sup>b</sup>	2010 <sup>c</sup>
Total terrestrial N input	135	263	278	61.3
Fertilizer production	0	100	120	37.1
NBNF	120	107	58	7.1
CBNF	15	31.5	60	4.6
NO <sub>x</sub> -FF	0.3	24.5	40	6.6
NH <sub>3</sub> emission	20.5	58.2	69	14.0
Terrestrial	14.9	52.6	60	14.0
Marine	5.6	5.6	9	-
NHx deposition	18.8	56.7	64	13.8
Terrestrial	10.8	38.7	40	13.1 <sup>d</sup>
Marine	8	18	24	0.7 <sup>e</sup>

# 546 Table 1 N input, NH<sub>3</sub> emission and deposition in the world and China

547 Unit: Tg N yr<sup>-1</sup>; Fertilizer production, Haber–Bosch N fixation for fertilizer use; NBNF,

natural biological N fixation; CBNF, cultivated biological N fixation; NO<sub>x</sub>-FF, NO<sub>x</sub>

549 emission via fossil fuel combustion.

550 a, adapted from Galloway et al.<sup>8</sup>

b, adapted from Fowler et al.<sup>2</sup>

552 c, adapted from Gu et al.<sup>7</sup>

d, adapted from Liu et al.<sup>17</sup>

e, adapted from Luo et al.<sup>10</sup>

Studies	Base year	Depositio	n density (kg	Total deposition	
		Dry	Wet	Total	$(Tg N yr^{-1})$
Jia et al. <sup>50, 51</sup>	2000-2009	-	-	13.9	12.9
Liu et al. <sup>17</sup>	2000-2010	-	-	14.3	13.3
Xu et al. <sup>6</sup>	2010-2014	-	-	14.5	13.5
Liu et al. <sup>18</sup>	2003-2014	-	6.8	-	6.3*
Jia et al. <sup>51</sup>	2005-2014	6.1	-	-	5.7*
Zhu et al. <sup>52</sup>	2013	-	7.3	-	6.8*

## 556 **Table 2 NH**<sub>x</sub> deposition on land area of China.

\* Only wet or dry NH<sub>x</sub> deposition.

Studies	Year	Total	Fertilizer	Livestock	Humans	Burning	Others
REAS	2000	10.3 // +1.8	4.2 // -0.2	4.2 // +0.9	1.2 // -0.1	0.5 // -0.3	0.2 // +1.4
Wang et al.	2005	13.4 // -0.2	4.2 // +0.1	5.8 // <b>-0</b> .1	0.2 // +0.8	-	3.3 // -1.2
Huang et al.	2006	8.1 // +5.1	2.6 // +2.1	4.4 // +1.3	0.2 // +0.7	0.1 // +0.2	0.8 // +0.8
Dong et al.	2006	13.2 // +0.1	7.2 // -2.5	5.4 // +0.3	0.5 // +0.4	-	0.1 // +1.8
Paulot et al.	2007	8.4 // +5.1	3.0 // +1.9	4.8 // +0.9	-	-	0.6 // +2.3
Xu et al.	2008	-	2.7 // +2.0	3.1 // +2.8	0.6 // +0.3	-	-
EDGAR	2010	11.5 // +2.3	4.9 // <b>-0</b> .1	4.2 // +1.9	-	-	0.7 // +2.4
Xu et al.	2010	-	3.7 // +1.2	4.2 // +2.0	0.4 // +0.4	-	-
Fu et al.	2011	-	2.5 // +2.3	-	-	-	-
Kang et al.	2012	8.0 // +6.7	2.3 // +2.7	4.1 // +2.4	0.1 // +0.7	0.3 // -0.1	1.1 // +1.1

559 Table 3 Comparison of NH<sub>3</sub> emissions with other studies

Note that before the "//" is the previous studies, after the "//" is the difference of our  $\frac{1}{1000}$ 

result with previous studies, +xx or -yy in red/green colors in each column represents higher or lower value in our study than previous research; "-" means unavailable data;

all the units of  $NH_3$  emission have been converted to the Tg N yr<sup>-1</sup>.

564 REAS <sup>26</sup>

- 565 Wang et al. $^{25}$
- 566 Huang et al.<sup>22</sup>
- 567 Dong et al. $^{21}$
- 568 Paulot et al.<sup>16</sup>
- 569 Xu et al.<sup>35</sup>
- 570 EDGAR <sup>24</sup>
- 571 Xu et al.<sup>36</sup>
- 572 Fu et al.<sup>44</sup>
- 573 Kang et al.<sup>23</sup>
- 574

## 575 Figure Legend

Figure 1 Validation by satellite observations (IASI) on spatial patterns. (a) The
spatial patterns of NH<sub>3</sub> emission density in mainland China in 2015; (b) Mean IASINH<sub>3</sub> VCDs (10<sup>16</sup> molec cm<sup>-2</sup>) distribution for 2015 over China. Data of NH<sub>3</sub> VCDs are

- derived from an improved version of the IASI dataset presented in Whitburn et al. $^{40}$  and
- 580 Van Damme et al.<sup>53</sup>
- 581

## 582 Figure 2 Comparison of NH<sub>3</sub> emissions with other published results and NH<sub>x</sub>

deposition in mainland China during 2000-2015. Studies addressing NH<sub>3</sub> emissions
are colored with red symbols and NH<sub>x</sub> deposition colored with green symbols

(references presented in this figure are listed in the SI TextS4). The red dotted line

- represents the  $NH_3$  emission estimated in this study, and the green dotted line represents
- the  $NH_x$  deposition synthesized by this study. The blue and grey shaded area indicates
- the 95% confidence interval of  $NH_3$  emission evaluated by our study and  $NH_x$
- deposition on land calculated using data provided by Liu et al.<sup>17</sup> and Xu et al.<sup>6</sup>,
- respectively. Error bars of the symbols represent the uncertainties of their estimates,
- those symbols without error bars mean uncertainties unavailable or yet been discussed.
- 592

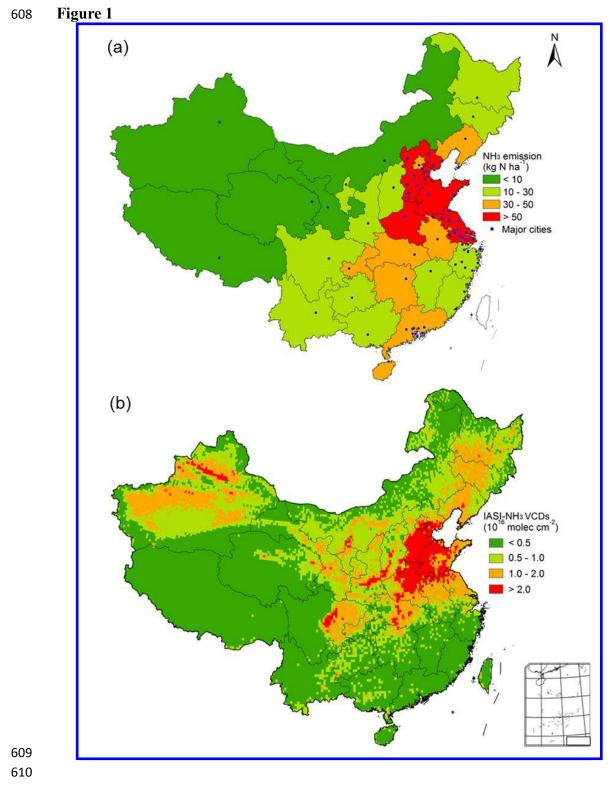
# **Figure 3 Comparison with SO<sub>2</sub>&NO<sub>x</sub> emission on temporal trends.** The two solid

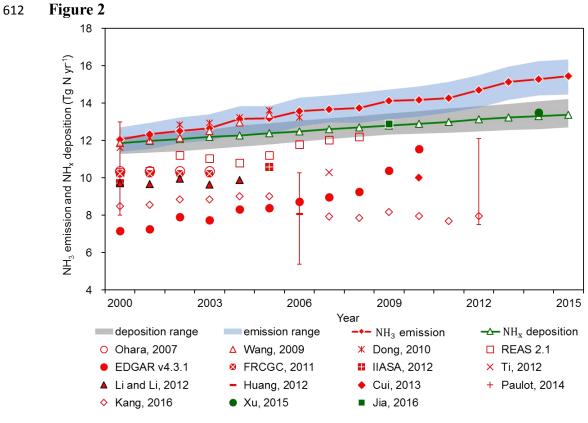
- lines show the molar ratios of  $(2SO_2+NO_x)/NH_3$  and  $2SO_2/NH_3$  in 2000-2015 in China.
- The dash line represents the molar ratio = 1. A ratio >1 represents NH<sub>3</sub> limitation to
- form the ammonium aerosol. While a ratio <1 represents NH<sub>3</sub> is available in abundance
- to form the ammonium aerosol contributing to Secondary Inorganic Aerosol formation
- 598 (SIA), a substantial contributor to  $PM_{2.5}$  concentrations. Data of SO<sub>2</sub>, NO<sub>x</sub> and NH<sub>3</sub>
- emission is based on MEPC,  $^{54}$  Liu et al.  $^{37}$  and our study, respectively.
- 600

# 601 Figure 4 Validation by satellite observations (AIRS) on temporal trends. The AIRS-

 $NH_3$  VCDs at 918 hPa from 2003 to 2015 are showed in pink dashed curves, with linear

- fits in solid lines. Data for AIRS-NH<sub>3</sub> VCDs was extracted from Warner et al.<sup>33</sup> and Van
- Damme et al.<sup>53</sup> The green dotted line represents the  $NH_3$  emission estimated in this study.
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615 Figure 3

