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1 **Ecological imperatives for aquatic carbon dioxide concentrating mechanisms**

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19 Running title: Ecological imperatives for aquatic CCMs

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21 Highlight: The interactions between cellular processes and environmental conditions that
22 determine whether or not there is an ecological advantage to operating a CCM in aquatic
23 environments are explored. (27 words)

24

25 **Abstract**

26 In aquatic environments, the concentration of inorganic carbon is spatially and temporally
27 variable and CO₂ can be substantially over-saturated or depleted. Depletion of CO₂ plus low
28 rates of diffusion cause inorganic carbon to be more limiting in aquatic than terrestrial
29 environments and the frequency of species with a CCM, and their contribution to productivity is
30 correspondingly greater. Aquatic photoautotrophs may have biochemical or biophysical CCMs
31 and exploit CO₂ from the sediment or the atmosphere. Though partly constrained by phylogeny,
32 CCM activity is related to environmental conditions. CCMs are absent or down-regulated when
33 their increased energy costs, lower CO₂ affinity or altered mineral requirements outweigh their
34 benefits. Aquatic CCMs are most widespread in environments with low CO₂, high HCO₃⁻, high pH
35 and high light. Freshwater species are generally less effective at inorganic carbon removal than
36 marine species but have a greater range of ability to remove carbon, matching the
37 environmental variability in carbon availability. The diversity of CCMs in seagrasses and marine
38 phytoplankton and detailed mechanistic studies on larger aquatic photoautotrophs are
39 understudied. Strengthening the links between ecology and CCMs will increase our
40 understanding of the mechanisms underlying ecological success and will place mechanistic
41 studies in a clearer ecological context.

42

43 **Keywords:** Aquatic CCM, CO₂, inorganic carbon, macroalgae, macrophytes, photosynthesis,
44 phytoplankton, seagrasses

45

46 **Introduction**

47 Photosynthesis on land contributes ~50% to the total global net primary productivity of about
48 105 Pg C y⁻¹ (Field *et al.*, 1998) and is performed by approximately 300,000 species of terrestrial
49 photoautotrophs (Kreft and Jetz, 2007), almost exclusively within the Plantae. About 275,000
50 (92%) of the terrestrial species perform C₃ carbon-fixation and contribute about 77% of
51 terrestrial productivity (Still *et al.*, 2003). C₃ photosynthesis involves passive diffusion of CO₂
52 down a concentration gradient that is produced by carbon fixation by the primary carboxylating
53 enzyme, ribulose-1,5-bisphosphate carboxylase-oxygenase (Rubisco). In theory, this is all that is
54 required to fix carbon, but Rubisco's low rates of catalysis, low affinity for CO₂ and ability to fix
55 oxygen can lead to photorespiration, and limit rates of net photosynthesis (Laing *et al.*, 1974;
56 Raven and Johnston, 1991; Giordano *et al.*, 2005).

57 While C₃ plants rely on 'pull down' of CO₂ by Rubisco fixation, some terrestrial plants
58 have a biochemical CO₂ concentrating mechanism (CCM) that 'pushes in' CO₂ towards Rubisco,
59 increasing the concentration of CO₂ around the active site and minimising photorespiration.
60 This mechanism involves pre-fixation of carbon by the carboxylating enzyme
61 phosphoenolpyruvate carboxylase (PEPC), that is unaffected by oxygen and produces a four-
62 carbon compound that is decarboxylated to generate CO₂ around Rubisco. Plants with a CCM
63 based on C₄ carboxylation contribute the remaining 23% of terrestrial productivity. Two related
64 types of C₄-based CCMs are found in terrestrial plants. In C₄ photosynthesis, there is an intra-
65 cellular or inter-cellular spatial separation of C₄ carboxylation and decarboxylation
66 (Voznesenskaya *et al.*, 2001; Sage, 2002), while in crassulacean acid metabolism (CAM), there is
67 a temporal separation of daytime C₄ de-carboxylation and night-time C₄ carboxylation. C₄
68 photosynthesis evolved independently at least 66 times and is present in about 8,100 species
69 (about 2.7%) of terrestrial plants (Sage, 2016), while CAM also evolved in multiple lineages, and
70 is found in 16,800 species (about 6% of terrestrial plants; (Silvera *et al.*, 2010)). These
71 additional pathways have costs but can also confer ecological benefits. On land, plants with a
72 CCM have an ecological advantage in sub-tropical, tropical and arid or saline environments
73 where reduced stomatal conductance decreases water loss but also reduces the internal CO₂
74 concentration (Osborne and Freckleton, 2009; Sage, 2016).

75 Aquatic ecosystems contribute the remaining ~50% of global net primary productivity,
76 which, unlike terrestrial productivity, is carried out by a large phylogenetic diversity of
77 organisms. Although marine angiosperms, seagrasses, (72 species; (Les and Tippery, 2013)) and
78 submerged freshwater angiosperms, macrophytes, (about 6000 species; (Cook, 1990)), can be
79 very important local 'ecosystem engineers' they cover a low area of the globe and consequently
80 these Plantae contribute relatively little to global productivity (van der Heijden and Kamenos,

81 2015). Instead, most aquatic primary production is performed by planktonic cyanobacteria and
82 eukaryotic microalgae in the ocean with a small contribution from eukaryotic macroalgae
83 (about 1 Pg C y⁻¹, 2% of ocean productivity (Field *et al.*, 1998) and about 0.17 Pg C y⁻¹
84 contribution to carbon-sequestration (Krause-Jensen and Duarte, 2016)). The global number of
85 photoautotrophic algal species (including cyanobacteria) is poorly constrained but might be in
86 the region of 150,000 (Guiry, 2012; Mann and Vanormelingen, 2013). In contrast to terrestrial
87 primary producers, CCMs are widespread in aquatic primary producers, make a major
88 contribution to aquatic primary productivity and include the biochemical C₄ and CAM CCMs,
89 found in their terrestrial counterparts, and also biophysical CCMs based on active uptake of
90 HCO₃⁻ or CO₂ or both that are largely absent in land plants.

91 The aim of this review is to set the ecological context for aquatic CCMs. To do this, we
92 outline the availability of inorganic carbon in water, summarise the strategies that aquatic
93 photoautotrophs use to maximise carbon uptake, quantify the effectiveness (used herein to
94 refer to the ability to exploit the in carbon reserves) in CCMs in different types of
95 photoautotroph, examine the costs and benefits of operating a CCM and describe the ecological
96 conditions where a CCM appears to increase fitness and creates an ecological advantage.

97

98 **Availability of inorganic carbon in water**

99 *Concentration of CO₂ and O₂ at air-equilibrium*

100 The solubility of CO₂ and O₂ are described by Henry's law and are independent of variables such
101 as pH. At 15°C and standard pressure, the concentration of CO₂ in fresh water in equilibrium
102 with an atmosphere containing 400 ppm CO₂, is ~18 mmol m⁻³ (Fig. 1A). In sea water with a
103 salinity of 34, and the same temperature, the concentration will be ~20 % lower at ~15 mmol
104 m⁻³. Under these conditions, the concentration of oxygen will be 314 mmol m⁻³ in fresh water
105 and ~19% lower in seawater at 256 mmol m⁻³. In both fresh water and sea water, the solubility
106 of CO₂ declines with temperature by about 2.3% °C⁻¹ between 5 and 25 °C, while the solubility of
107 O₂ declines by about 1.7% °C⁻¹ over the same temperature range. Consequently, at equilibrium
108 with the atmosphere, the molar ratio of CO₂ to O₂ declines from about 0.064 at 5°C to 0.053 at
109 25°C in both environments.

110 *Rate of diffusion*

111 A major difference between photosynthesis in water and air is the rate of gas diffusion which for
112 CO₂ in water at 25°C is 2 10⁻⁹ m² s⁻¹, about 10,000 lower than in air (Raven, 1970; Zeebe, 2011).
113 Since materials have to diffuse through the boundary layer that surrounds all cells, thalli or
114 leaves in a fluid (Vogel, 1994), the external transport resistance to carbon fixation can be high

115 (Black *et al.*, 1981) particularly for larger objects in areas of low water velocity. Rates of O₂
116 diffusion in water are similarly lower than in air (Verberk *et al.*, 2011) and as a result rates of
117 loss of O₂ out of a photosynthesising cell or tissue, will also be restricted by transport limitation,
118 increasing internal O₂ concentrations and further promoting the tendency for photorespiration
119 (Mass *et al.*, 2010). As a consequence, half-saturation concentrations for CO₂ for photosynthesis
120 in water are frequently substantially above air-equilibrium, especially in the larger
121 photoautotrophs. For example, half-saturation constants for CO₂ in freshwater macrophytes
122 without a CCM, are about seven-times greater than air-equilibrium (Maberly and Madsen, 1998)
123 while C₃ photosynthesis in air is only stimulated by about 30% at elevated CO₂ levels (Lloyd and
124 Farquhar, 1996; Ainsworth and Long, 2005).

125 *Forms of inorganic carbon in water*

126 Unlike air, four forms of inorganic carbon exist in water. When CO₂ dissolves, a small proportion
127 (<0.2%; (Stumm and Morgan, 2012)) reacts with water producing carbonic acid (H₂CO₃), which
128 together with dissolved CO₂ comprises free CO₂. Carbonic acid can dissociate forming
129 bicarbonate (HCO₃⁻), and CO₂ can also react with water, or OH⁻ at high pH, to form HCO₃⁻
130 directly. Bicarbonate can dissociate further forming carbonate (CO₃²⁻). Free CO₂, HCO₃⁻ and CO₃²⁻
131 in aggregate comprise the concentration of total dissolved inorganic carbon (C_T). The equilibria
132 between the different forms of inorganic carbon is controlled by temperature, ionic strength
133 and particularly pH. At 15°C in fresh water with the average global river ion concentration
134 (ionic strength 1.1 mol m⁻³; (Meybeck, 2003)), the first and second dissociation constants (pK₁'
135 and pK₂' representing the pH where the concentrations of CO₂ and HCO₃⁻ and HCO₃⁻ and CO₃²⁻
136 are equal) are 6.41 and 10.38 respectively. In sea water at the same temperature they are 5.95
137 and 9.11; which is 0.46 and 1.27 pH units lower than fresh water for pK₁' and pK₂' respectively
138 (Fig. 1B). As a consequence, CO₃²⁻ ions are a larger proportion of C_T at a given pH in sea water
139 compared to fresh water.

140 Although under many circumstances, the different forms of inorganic carbon can be
141 considered to be in equilibrium, the kinetics of hydration and dehydration between CO₂ and
142 HCO₃⁻ are relatively slow and can be exceeded by high rates of CO₂ or HCO₃⁻ exchange per unit
143 volume. This can cause the concentration of CO₂ or HCO₃⁻ to be pulled out of equilibrium from
144 the concentration set by the physico-chemistry. The enzyme carbonic anhydrase acts to
145 maintain chemical equilibrium between CO₂ and HCO₃⁻ and is widely involved in different CCMs
146 (Raven, 1995).

147 *Variation in inorganic carbon availability*

148 Alkalinity is the sum of bases formed from weak acids, roughly equivalent to acid neutralising
149 capacity and usually mainly represented by HCO_3^- . Variable geology leads to a variable alkalinity
150 in fresh waters (Meybeck, 2003). It can exceed $1000 \text{ equiv m}^{-3}$ in soda lakes where evaporation,
151 rather than outflow, is the major process of water loss (Talling, 1985). It can also be very low or
152 negative (net acidity) in acid sites affected by acid deposition (Battarbee, 1990), at peaty sites
153 with a high concentration of organic acids, or at sites in the vicinity of volcanic activity or acid
154 mine drainage where sulphide oxidation generates protons (Evangelou and Zhang, 1995;
155 Pedrozo *et al.*, 2001). Based on the GLORICH database of river chemistry, (Hartmann *et al.*,
156 2014) and site average values for 5303 streams or rivers with a Strahler order of 3 or greater,
157 alkalinity as a proxy for the concentration of bicarbonate, had a median concentration of $1,559$
158 mequiv m^{-3} (Table 1). In contrast, the contemporary ocean has a comparatively constant
159 alkalinity of about $2,300 \text{ mequiv m}^{-3}$.

160 At air-equilibrium, pH can vary from less than 2 in highly acid sites, to around 5 to 8 in
161 lakes with low to moderate alkalinity, to over 10 in lakes with very high alkalinity (Fig. 1C)
162 (Talling, 1985). At 15°C , the air-equilibrium pH of sea water is about 8.1 (equilibrium with an
163 atmosphere of 400 ppm) and the concentration of CO_2 represents about 0.7% of the dissolved
164 inorganic carbon, while in fresh water, at a slightly high equilibrium concentration, it can vary
165 between 100% of the inorganic carbon in acid sites, to less than 0.2% at sites with a high
166 alkalinity (i.e. $> 10 \text{ equiv m}^{-3}$)

167 Overlying the variation in pH at equilibrium with the atmosphere, biological production
168 of CO_2 by respiration or removal of CO_2 or HCO_3^- by photosynthesis, can alter C_T and pH and
169 consequently the concentration and proportions of the different forms of inorganic carbon.
170 Accordingly, the concentration of CO_2 and the other forms of inorganic carbon can be under- or
171 over-saturated compared to air-equilibrium (Fig. 2). Globally, annual average concentrations of
172 CO_2 are oversaturated in most lakes and rivers (Cole *et al.*, 1994; Raymond *et al.*, 2013). This
173 results from microbial activity and photodegradation of organic carbon produced on land
174 (Sobek *et al.*, 2007) within the water body and also from lateral transfer of CO_2 -rich water
175 produced by microbial breakdown of terrestrial organic carbon within the catchment (Maberly
176 *et al.*, 2013). Analyses of large-scale global datasets have shown median values for CO_2
177 concentration of $1598 \mu\text{atm}$ in streams and rivers and $736 \mu\text{atm}$ in lakes and reservoirs (Table
178 1) (Raymond *et al.*, 2013). At an assumed mean temperature of 15°C this is roughly equivalent
179 to median CO_2 concentrations of 73 mmol m^{-3} in streams and rivers and 34 mmol m^{-3} in lakes
180 and reservoirs. Concentrations of CO_2 are higher in tropical regions than in temperate or boreal
181 regions (Lauerwald *et al.*, 2015). Given the values of alkalinity for rivers from the GLORICH
182 database noted above and in Table 1, median values of CO_2 are about 20-times lower than

183 median values of HCO_3^- in rivers and, assuming similar alkalinity in lakes and rivers, about 46-
184 times lower than the median concentration of HCO_3^- in lakes. Particularly high concentrations of
185 CO_2 can also be generated in heterotrophic environments, especially those with restricted
186 access to the atmosphere. For example, respiration can produce CO_2 concentrations over 2,000
187 mmol m^{-3} at depth in a lake (Casper *et al.*, 2000), between 300 and 5,000 mmol m^{-3} in aquatic
188 sediments (Madsen *et al.*, 2002), over 400 mmol m^{-3} in groundwaters (Demars and Tremolieres,
189 2009; Stets *et al.*, 2009; Maberly *et al.*, 2015) and for Swedish and Finnish lakes under ice,
190 median values of 160 to 340 mmol m^{-3} and maximum values of 580 to 870 mmol m^{-3} (Denfeld *et*
191 *al.*, 2016).

192 Although lakes, and especially rivers, tend to be over-saturated with CO_2 at an annual
193 scale, during periods of high photosynthetic demand, the concentration of CO_2 can become
194 depleted below air-equilibrium in many aquatic systems. Even lakes which are oversaturated
195 with CO_2 on an annual scale can experience substantial depletion in CO_2 during productive
196 periods ((Maberly, 1996) Fig. 2) and the same can be true in rivers (Jarvie *et al.*, 2017). The least
197 variable aquatic systems in terms of CO_2 are those of low productivity such as the open ocean
198 where pH is fairly constant at about 8 to 8.1 (Hofmann *et al.*, 2011) and the CO_2 concentration is
199 always close to air equilibrium. However, at decadal scales as atmospheric CO_2 has increased,
200 the air-equilibrium concentrations of CO_2 has also increased and ocean pH has decreased
201 (Doney *et al.*, 2009). In the coastal ocean, with higher productivity and in dense beds of
202 macroalgae with high demand, pH can become elevated and the CO_2 concentration depleted
203 below air-equilibrium (Delille *et al.*, 2000; Middelboe and Hansen, 2007; Hofmann *et al.*, 2011;
204 Cornwall *et al.*, 2013; Krause-Jensen *et al.*, 2016). There can also be substantial daily and semi-
205 diurnal changes in CO_2 concentration over 24 hours and extreme depletion in sites with
206 extremely high biomass such as rockpools (Maberly, 1992). In productive lakes, large summer
207 populations of phytoplankton, especially cyanobacteria (e.g. (Talling, 1976; Maberly, 1996;
208 Ibelings and Maberly, 1998)), or dense macrophyte beds (Van *et al.*, 1976) can reduce photic-
209 zone concentrations of CO_2 close to zero for extended periods in the summer when demand
210 outstrips supply ((Maberly, 1996); Fig. 2). Under these circumstances, a CCM is essential for
211 continued photosynthesis, especially since high concentrations of oxygen (up to 4-fold air
212 equilibrium, (Van *et al.*, 1976)), normally co-occur with carbon-depletion. Furthermore, under
213 extreme carbon-depletion, even HCO_3^- , the uptake of which is a widespread aquatic CCM, can
214 start to become depleted (Fig. 2C).

215 An example calculation illustrates the potential mismatch between rates of transport of
216 CO_2 across the air-water interface and the rates of biological transformation of inorganic into
217 organic carbon. Using the data and calculations for 1993 in a productive UK lake, Esthwaite

218 Water, (Maberly, 1996) and assuming a subsurface boundary layer thickness of 300 μm , an
219 atmospheric CO_2 partial pressure of 360 ppm and allowing for chemical enhancement, the
220 maximum rate of CO_2 influx across the air-water interface is $0.8 \mu\text{mol m}^{-2} \text{s}^{-1}$ and the average
221 summer values are about $0.2 \mu\text{mol m}^{-2} \text{s}^{-1}$. If one assumes a surface mixed layer thickness of 5 m,
222 a chlorophyll *a* concentration of 40 mg m^{-3} (the summer mean for 1993 in Esthwaite Water) and
223 an average rate of photosynthesis of $100 \mu\text{mol mg}^{-1} \text{Chl}a \text{ h}^{-1}$, the demand for carbon per unit
224 surface area in the upper mixed layer will be about $5.6 \mu\text{mol m}^{-2} \text{s}^{-1}$, which is nearly 30-times the
225 average rate of CO_2 -influx and about 7-times the maximum rate of CO_2 -influx. In these types of
226 systems as a consequence, the concentration of CO_2 can routinely vary 10-fold in 24 hours,
227 driven by the light-dark cycle.

228

229 **Biochemical, biophysical and morphological responses to CO_2 availability**

230 Aquatic photoautotrophs respond to variable and often limiting supply of CO_2 and HCO_3^- in ways
231 that involve Rubisco characteristics, biochemical pathways, physiological processes and
232 morphological and anatomical changes.

233 *Relationship between Rubisco kinetics and presence of a CCM*

234 The form of Rubisco and its kinetic properties differ among photoautotrophs and can be seen, in
235 part, as an adaptation to CO_2 availability. Many forms of Rubisco exist as a consequence of the
236 diverse evolutionary origin of oxygenic photoautotrophs (see Table 1 and Fig. 3 in (Tabita *et al.*,
237 2008). The Forms IA (α -cyanobacteria), IB (β -cyanobacteria, glaucophytes, green algae,
238 euglenophytes (Zahonova *et al.*, 2016) chlorarachniophytes and embryophytes) and ID (red
239 algae, cryptophytes, haptophytes and heterokonts) are hexadecamers made up of eight large
240 and eight small subunits, while the Form II of dinophyceae (and anoxygenic photosynthetic
241 bacteria) (Morse *et al.*, 1995) are either dimers or multimers of the large subunit, although
242 dinophytes with tertiary endosymbiosis may also contain chloroplasts with Form IB and ID
243 (Tamura *et al.*, 2005; Minge *et al.*, 2010). The ID isoform, present in the ecologically important
244 coccolithophores and diatoms within the heterokonts, differs substantially in amino acid
245 sequence from the well-studied IB isoform (Clement *et al.*, 2017b).

246 The structural types of Rubisco do not match their kinetic properties however. The
247 average Rubisco specificity factor, τ , defined as $V_c K_o / V_o K_c$ (where V_c and V_o are the maximal
248 velocities of carboxylation and oxygenation, respectively, and K_c and K_o the Michaelis constants
249 for CO_2 and O_2), represents the propensity to catalyze the carboxylation *versus* the oxygenation
250 reactions that lead to photorespiration. Although C_3 and C_4 land plants, diatoms and

251 coccolithophores have different forms of Rubisco, the specificity factor is similar at about 80 to
252 90 while those from cyanobacteria and dinoflagellates are lower and those from red algae twice
253 as high (Tortell, 2000; Young *et al.*, 2016). Conversely, although diatoms and red algae have
254 Form ID Rubisco, their specificity factors are very different (Tortell, 2000). Within C₃ land
255 plants, the Rubisco specificity factor is higher in species in environments where water supply is
256 limited which is consistent with lower internal concentration of CO₂ in relation to stomatal
257 closure (Galmes *et al.*, 2005). The K_c for Rubisco is also variable with average values of about 31
258 mmol m⁻³ in green algae (3 species), 16 and 13 mmol m⁻³ in bryophytes and ferns (two species
259 each), about 10 mmol m⁻³ in C₃ land plants (23 species) and red algae (five species) and 47
260 mmol m⁻³ in diatoms (ten species) ((Young *et al.*, 2016) their SI and Table 1). Thus these values
261 bracket typical air-equilibrium CO₂ concentrations (at 25°C about 11 and 14 mmol m⁻³ in sea
262 and fresh water respectively, Fig. 1), reinforcing the benefit of a CCM for species that have a
263 Rubisco with a poor affinity for CO₂. In cyanobacteria, for which a CCM has been shown in all
264 studied photoautotrophic species, Rubisco has an even lower affinity for CO₂ which is often >
265 200 mmol m⁻³ (Moroney and Somanchi, 1999) and as high as 750 mmol m⁻³ in low light adapted
266 strains of *Prochlorococcus marinus* (Scott *et al.*, 2007), concentrations that are rarely found in
267 photic aquatic environments. Therefore, the kinetic properties of Rubisco appear to be related
268 to CCM activity. For example, many red macroalgae such as *Lemanea* and *Batrachospermum* in
269 fresh water and *Lomentaria* and *Delesseria* in marine systems with an assumed high specificity
270 factor, lack a CCM (Raven and Beardall, 1981; Maberly, 1990). Tortell showed in an analysis of
271 seven phytoplankters from different phylogenetic groups that there was an inverse relationship
272 between τ and the extent of a CCM (Tortell, 2000). Thus carbon uptake can either be supported
273 by Rubisco with a high specificity for CO₂ or Rubisco with a lower affinity compensated for by a
274 CCM that is more effective in terms of concentrating CO₂.

275 *Responses to CO₂ availability not involving a CCM*

276 Not all photoautotrophs have a CCM (Raven *et al.*, 2005) and not all aquatic systems have low
277 concentrations of CO₂, at least as an annual mean as outlined above (Table 1). Thus, plants with
278 an 'avoidance strategy' (*sensu* Klavsen *et al.* (2011)), may simply grow in environments where
279 CO₂ is sufficient for their photosynthetic needs and therefore do not require a CCM. In the
280 'exploitation strategy', morphological and anatomical adaptations allow sources of CO₂ that are
281 more reliable than the bulk water to be exploited. Concentrations of CO₂ within the sediment
282 are high as photosynthesis is absent and organic matter is mineralised generating CO₂. Many
283 freshwater macrophytes have extensive lacunae that can be continuous from root to shoot
284 (Sculthorpe, 1967), providing a transport route for CO₂ from the sediment to the leaves. The
285 possibility of this path as a carbon source was suggested in the older literature but first shown

286 experimentally for the short isoetid *Lobelia dortmanna* (Wium-Andersen, 1971). This
287 exploitation strategy is present in most isoetids (Winkel and Borum, 2009) but diffusion
288 resistance within the lacunae limits its ability to supply CO₂ to species of short stature and
289 consequently in taller species such as *Myriophyllum spicatum*, root uptake contributes little to
290 total carbon uptake (Loczy *et al.*, 1983). In the case of *Isoetes australis*, achlorophyllous leaf
291 bases within the sediment also act as sources of CO₂-entry to the internal lacunae (Pedersen *et*
292 *al.*, 2011). Borum *et al.* (Borum *et al.*, 2015) showed that oxygen concentrations in the buried
293 base of the shoot of the seagrass *Zostera polychlamys* were 29% higher when the CO₂
294 concentration around the shoot was saturating compared to 9 mmol m⁻³. This implies some
295 diffusion of gases between root and shoot but the extent to which this supplies CO₂ to leaves is
296 likely to be low given the diffusion distance; more work is warranted on this. It has also been
297 suggested that early terrestrial lycopsids may also have benefitted from tapping into elevated
298 CO₂ within the sediment (Green, 2010).

299 Freshwater macrophytes form part of a cline from dry land with complete reliance on
300 photosynthesis in air, to submergence with complete reliance on photosynthesis in water. This
301 gradation also reflects the evolutionary invasion of terrestrial embryophytes into water (Du and
302 Wang, 2014). The more constant supply of CO₂ in the atmosphere is an opportunity for
303 submerged plants to maximise carbon uptake. In the freshwater macrophyte *Callitriche*
304 *cophocarpa* which lacks a CCM, growth rates were stimulated three-fold when floating leaves
305 had access to atmospheric CO₂ and submerged leaves were at air-equilibrium (Madsen and
306 Breinholt, 1995). The benefit of atmospheric CO₂ to amphibious freshwater plants has been
307 widely demonstrated and promotes flowering in *Nuphar lutea* and *Callitriche hamulata* (= *C.*
308 *intermedia* (Grainger, 1947), increases soluble carbohydrate reserves in *Hippuris vulgaris*
309 (Janauer and Englmaier, 1986) and stimulates photosynthesis in *Stratiotes aloides* (Prins and
310 Deguia, 1986).

311 *The nature of aquatic CCMs*

312 Many types and mechanisms of CCM exist in aquatic photoautotrophs. Several rely on
313 production of particular localized environments by special structures such as the carboxysome,
314 pyrenoid, charosome or transfer cells, of which latter, more than one type is found in seagrasses
315 (Larkum *et al* THIS ISSUE). Some aquatic species possess biochemical CCMs analogous to those
316 in terrestrial plants. The best known is that of the freshwater angiosperm *Hydrilla verticillata*
317 that was studied by George Bowes and his group for over four decades (Bowes *et al.*, 2002;
318 Bowes, 2011). This species is a member of the monocotyledon family Hydrocharitaceae, lacks
319 Kranz anatomy, but possesses a facultative C₄ metabolism that is induced when CO₂ is limiting
320 (Holaday and Bowes, 1980). Spatial separation between carboxylation and decarboxylation is

321 achieved by PEPC being located in the cytoplasm while decarboxylation by NADP malic enzyme
322 (NADP-ME) occurs with Rubisco in the chloroplast (Reiskind *et al.*, 1989; Magnin *et al.*, 1997;
323 Reiskind *et al.*, 1997). Although also lacking Kranz anatomy, similar C₄ metabolism has been
324 found in other species of the Hydrocharitaceae including *Egeria densa* (Casati *et al.*, 2000) and
325 *Ottelia alismoides* (Zhang *et al.*, 2014). Unlike the two other species, *O. alismoides* appears to use
326 NAD-ME rather than NADP-ME as the decarboxylating enzyme (Zhang *et al.*, 2014), (Shao *et al.*,
327 2017). There is less detailed evidence for C₄ metabolism in marine angiosperms (seagrasses). It
328 is possible that *Cymodocea nodosa*, *Halophila stipulacea* and *Thalassia testudinum* possess C₄
329 metabolism (Beer *et al.*, 1980; Koch *et al.*, 2013) but further investigations are required. Within
330 the marine macroalgae, there is good evidence for an ancient form of C₄ metabolism in the
331 coenocytic, chlorophyte alga *Udotea flabellum* that is based on phosphoenolpyruvate
332 carboxykinase (PEPCK) which acts as a carboxylase in the cytosol and a decarboxylase in the
333 chloroplast (Reiskind *et al.*, 1988; Reiskind and Bowes, 1991). There are hints of possible C₄
334 metabolism in the brown alga *Dictyota guineënsis* and the red alga *Palisada perforata*
335 (= *Laurencia papillosa*) based on activities of PEPC and PEPCK compared to Rubisco (Holbrook
336 *et al.*, 1988; Koch *et al.*, 2013); see also (Raven and Giordano, in press). The marine diatom
337 *Thalassiosira weissflogii* has been proposed to possess single-cell C₄ metabolism (Reinfelder *et al.*,
338 2000; Reinfelder, 2011), although the evidence for this is not very conclusive (Clement *et al.*,
339 2017a) (Clement *et al.*, 2017b). Although not fully resolved, recent studies, on *T. pseudonana*
340 (Tanaka *et al.*, 2014; Clement *et al.*, 2016; Clement *et al.*, 2017b) and *P. tricornutum* (Haimovich-
341 Dayan *et al.*, 2013; Yang *et al.*, 2016) (Clement *et al.*, 2017a) using different approaches, suggest
342 these species do not possess C₄ metabolism.

343 Aquatic CAM was first shown in the freshwater lycophyte *Isoetes howellii* (Keeley, 1981;
344 Keeley, 2014) and has been recorded in all species of *Isoetes* that have been studied (Keeley,
345 1998). It is also found in other freshwater angiosperms including *Littorella uniflora* (Madsen,
346 1987b; Robe and Griffiths, 2000), *Crassula helmsii* (Newman and Raven, 1995), *Deinostema*
347 *violaceum* (Yin *et al.*, in press) and *O. alismoides* (Zhang *et al.*, 2014), (Shao *et al.*, 2017). The
348 presence of CAM in marine macroalgae is unclear (Koch *et al.*, 2013). Low amplitude diel
349 changes in acidity have been reported in *Ascophyllum nodosum* (Johnston and Raven, 1987) and
350 some other furoid algae (Keeley, 1998) but more research is required to determine whether or
351 not this constitutes CAM activity.

352 The remainder of aquatic CCMs are based on biophysical active transport of CO₂, HCO₃⁻
353 or both (Raven and Beardall, 2016). The most widespread CCM in aquatic plants, is based on
354 access to HCO₃⁻ and is likely to depend on more than one mechanism. One, found notably in
355 leaves of the monocotyledon genera *Potamogeton*, *Elodea*, *Egeria* and *Hydrilla* involves polar

356 leaves. Protons are excreted at the abaxial surface, converting bicarbonate to CO₂ some of which
357 will diffuse into the leaf, while at the adaxial surface net hydroxide excretion leads to the
358 deposition of marl (Stemann Nielsen, 1947; Prins *et al.*, 1980; Prins *et al.*, 1982; Prins and
359 Elzenga, 1989). A similar process occurs in charophytes where acid and alkaline bands are
360 present along the axis of giant cells (Lucas and Smith, 1973).

361 Some freshwater angiosperms that can use HCO₃⁻, such as the dicotyledon genera
362 *Myriophyllum* or *Ranunculus*, lack spatial patterns of acid and alkaline zones and instead H⁺-
363 HCO₃⁻ co-transport has been proposed (Prins and Elzenga, 1989). In marine macroalgae, also
364 without evidence of acid or alkaline zones, there is a range of different proposed mechanisms
365 (Raven and Hurd, 2012). These include bicarbonate transporters in *Ectocarpus siliculosus*
366 (Gravot *et al.*, 2010), anion exchange proteins in *Macrocystis pyrifera* (Fernandez *et al.*, 2014)
367 and proton extrusion acting with external carbonic anhydrase (but not involving acid and
368 alkaline zones) in *Saccharina latissima* (= *Laminaria saccharina*) (Axelsson *et al.*, 2000). The
369 green alga *Ulva lactuca* possesses two mechanisms to use HCO₃⁻, one based on carbonic
370 anhydrase and external acidification of the boundary layer at seawater pH, again without
371 discernible acid and alkaline zones, and one based on an anion transporter that is up-regulated
372 after incubation at high pH (Axelsson *et al.*, 1995).

373 CCMs in cyanobacteria are among the best studied of any group of photosynthetic
374 organisms. Active transporters of CO₂ and HCO₃⁻ produce a large internal pool of HCO₃⁻ that is
375 converted to CO₂ around Rubisco within specialized structures that restrict leakage,
376 carboxysomes (Price *et al.*, 2008; Price, 2011). *Chlamydomonas reinhardtii* has the best-studied
377 eukaryotic CCM that involves HCO₃⁻ transporters located at the plasmalemma and the outer
378 stromal membrane that generate high concentrations of HCO₃⁻ in the chloroplast stroma (Wang
379 *et al.*, 2011). There are at least twelve genes present encoding carbonic anhydrases in different
380 locations (Moroney *et al.*, 2011). The alpha CA in the acid thylakoid lumen (CA3) is believed to
381 increase the rate of conversion of HCO₃⁻ to CO₂ which can then diffuse out to the surrounding
382 pyrenoid which is the location of Rubisco. A beta carbonic anhydrase (CA6) in the stroma has
383 been suggested to trap CO₂ that has diffused out of the lumen and bypassed the pyrenoid by
384 speeding its conversion back to HCO₃⁻ (Moroney *et al.*, 2011).

385 In diatoms, several biophysical CCM mechanisms are involved including bicarbonate
386 active transporters (Matsuda *et al.*, 2011; Nakajima *et al.*, 2013) and carbonic anhydrase
387 enzymes (Harada *et al.*, 2005; Trimborn *et al.*, 2009; Crawford *et al.*, 2011; Hopkinson *et al.*,
388 2011; Hopkinson *et al.*, 2013; Clement *et al.*, 2016); (Clement *et al.*, 2017a). The nature of the
389 CCM has also been studied in other marine phytoplankton including chlorophytes and other
390 chromalveolates from the dinoflagellates, haptophytes (including coccolithophores) and

391 eustigmatophytes (Huertas *et al.*, 2000; Colman *et al.*, 2002; Rost *et al.*, 2003; Dason *et al.*, 2004;
392 Hoins *et al.*, 2016; Kottmeier *et al.*, 2016). These and other studies (Clement *et al.*, 2017a)
393 (Riebesell *et al.*, 1993; Clark and Flynn, 2000) show that there is a large diversity in ability of
394 marine phytoplankton to utilize the carbon reserves.

395

396 **Regulation of CCMs by environmental conditions**

397 It has been known for a long time that algae are phenotypically plastic and their photosynthetic
398 characteristics can be altered by environmental conditions e.g. (Briggs and Whittingham, 1952).
399 In microalgae where this regulation has been best studied and for which a substantial literature
400 exists, a CCM is rapidly up-regulated at low CO₂ and down-regulated at high CO₂ (Giordano *et al.*,
401 2005). Similar regulation of HCO₃⁻ use occurs in freshwater macrophytes (Sand-Jensen and
402 Gordon, 1986; Madsen *et al.*, 1996; Adamec, 2009). In *Myriophyllum alterniflorum* there are
403 phenotypic differences between populations from low and high alkalinity sites which largely
404 disappear after incubation in standard conditions (Maberly and Madsen, 2002a). C₄ metabolism
405 in freshwater macrophytes is up-regulated when inorganic carbon is limiting in *H. verticillata*
406 and *E. densa* (Casati *et al.*, 2000; Bowes, 2011) but appears to be constitutive in *O. alismoides*
407 (Zhang *et al.*, 2014). In contrast, C₄ metabolism is present in leaves of *Eleocharis vivipara* when
408 in air but absent when in water (Ueno, 1998; Murphy *et al.*, 2007). CAM activity in freshwater
409 macrophytes is up-regulated at low CO₂ and high light (Madsen, 1987a; Robe and Griffiths,
410 1990; Baattrup-Pedersen and Madsen, 1999; Klavsen and Maberly, 2010) (Shao *et al.*, 2017) and
411 can also be down-regulated when leaves are exposed to the more constant supply of CO₂ in air
412 (Aulio, 1986; Robe and Griffiths, 2000; Yang and Liu, 2015). In the halotolerant *Dunaliella salina*,
413 high salinity (2.22 kmol m⁻³ NaCl) promoted a CCM, presumably because high salinity reduces
414 the solubility of CO₂ (Booth and Beardall, 1991).

415 Low light can lead to a reduction in CCM activity in eukaryotic and prokaryotic
416 microalgae (Beardall, 1991; Beardall and Giordano, 2002; Raven and Beardall, 2014). There is
417 evidence of regulation of CCMs in microalgae by variation in the concentration and form of
418 nitrogen and the concentration of phosphorus (Beardall and Giordano, 2002; Raven and
419 Beardall, 2014). However, for both elements, both increases and decreases in inferred activity of
420 a CCM in response to limitation have been recorded (Raven and Beardall, 2014).

421

422 **Costs & Benefits of CCMs**

423 The fact that CCMs occur in some but not all species, are frequently down-regulated when
424 inorganic carbon is not limiting (Giordano *et al.*, 2005) and can be lost in microalgae evolving
425 over 1000 generations at high CO₂ (Collins *et al.*, 2006) indicates that their operation incurs
426 costs as well as conferring benefits.

427 *Energy costs*

428 By definition, a CCM involves an energy cost because it generates a concentration of CO₂ at the
429 active site of Rubisco above that which could be achieved by passive processes. However, Sage
430 and Khoshravesh (Sage and Khoshravesh, 2016) point out that locating photorespiratory and
431 respiratory release of CO₂ close to Rubisco may act as a passive CCM that can mitigate, rather
432 than overcome, the consequences of Rubisco's properties. An active CCM will incur energy costs
433 for investing in catalytic machinery and running the CCM (Raven and Lucas, 1985). This has
434 ecological relevance because light is often, although not always, a limiting resource for aquatic
435 photoautotrophs because of the relatively high rates of attenuation in aquatic environments
436 (Maberly, 2014). A detailed calculation of the theoretical minimum energy (photon) running
437 costs has been undertaken by (Raven *et al.*, 2014) as mol photons absorbed per mol carbon
438 fixed. They estimated that when a CCM is absent, the minimum photon cost will be between 9.92
439 and 9.96. Assuming that no leakage of CO₂ is occurring out of the site where it is accumulated,
440 the minimum photon cost when CCM is active varies between 9.25 and 10 depending on the
441 precise CCM. Assuming a leakage rate equivalent to the rate of photosynthesis, the photon cost
442 increases to between 9.5 and 11 mol photons absorbed per mol carbon fixed (Raven and
443 Beardall, 2016). The additional costs of producing the CCM machinery are more uncertain and
444 complicated by trade-offs with other resources such as nitrogen and phosphorus.

445 A CCM is present in psychrophilic marine diatom communities from the Western
446 Antarctic Peninsula, including species such as *Fragilariopsis cylindrus*. However, it operates with
447 a relatively low energy cost (Kranz *et al.*, 2015) because at 0°C the Michaelis constant for
448 Rubisco (K_c) is only 15 mmol CO₂ m⁻³ while the air equilibrium the CO₂ concentration is 25
449 mmol m⁻³ (Young *et al.*, 2015). Even though the ambient CO₂ concentration had been reduced to
450 about 6 mmol m⁻³ in a bloom, the diatoms were nearly saturated with inorganic carbon by the
451 CCM at a low energy cost (Kranz *et al.*, 2015; Young *et al.*, 2015).

452 *Affinity costs*

453 A less recognised cost of operating at least some types of CCM relates to the affinity for CO₂. In a
454 review of the kinetics of CO₂ uptake from a range of freshwater macrophytes, it was shown that
455 the $K_{1/2}$ for CO₂ for species able to use HCO₃⁻ as well as CO₂ was about 210 mmol m⁻³ but only 108
456 mmol m⁻³ for species restricted to CO₂ (Maberly and Madsen, 1998). The slope of CO₂-uptake

457 per CO₂ concentration was similarly greater in species restricted to CO₂. Using experiments in
458 different gas mixtures, including helium, it was shown that species with the ability to use HCO₃⁻
459 have a higher internal resistance to CO₂ uptake than species restricted to CO₂ (Madsen and
460 Maberly, 2003). This also occurs in other types of photoautotrophs; the permeability of
461 plasmalemma from *Chlamydomonas reinhardtii* grown at high CO₂ is about 1.7-times greater
462 than those grown at low CO₂, consistent with these differences (Raven and Beardall, 2016). A
463 high permeability is obviously advantageous in a species restricted to CO₂ but if a species is
464 concentrating CO₂ internally with a CCM, a high permeability runs the risk of futile cycling. The
465 different affinities of macrophytes with and without an ability to use HCO₃⁻ has a clear potential
466 ecological significance in shallow water where light energy is high.

467 *Other costs*

468 There are other largely unquantified costs, or at least trade-offs, involved in the operation of a
469 CCM. These involve a different element requirement when a CCM is present or absent (Raven
470 and Johnston, 1991). For example, the enzyme carbonic anhydrase is ubiquitously up-regulated
471 along with a CCM which will incur some attendant cost including those for the uptake of
472 elements such as Zn, Co or Cd that can be present at low concentration, especially in the open
473 ocean (Lane and Morel, 2000). In marine phytoplankton, N and P quotas also vary with CO₂
474 concentration (Reinfelder, 2012) but the extent to which these incur a cost or benefit is poorly
475 constrained.

476 *Benefits*

477 The benefits of operating a CCM have been alluded to and include reducing rates of
478 photorespiration, increasing rates of photosynthesis when CO₂ is limiting and extending the
479 amount of carbon that is accessible to photosynthesis during carbon depletion. An example of
480 these benefits is shown in Fig. 3 where rates of carbon uptake for three species of the
481 freshwater macrophyte *Myriophyllum* which vary in the presence and effectiveness of their CCM
482 are compared. *M. verticillatum* lacks a CCM, being reliant on CO₂ alone, and had a CO₂
483 compensation point of 3.2 mmol m⁻³ allowing it to remove only 4% of the available inorganic
484 carbon. *M. alterniflorum* and *M. spicatum* were able to use CO₂ and HCO₃⁻ and while *M.*
485 *alterniflorum* could remove 51% of the available inorganic carbon, *M. spicatum* was even more
486 effective and removed 80% of the available carbon. Rates at air-equilibrium, ~15 mmol m⁻³, as a
487 percentage of those at 200 mmol m⁻³, which was not quite saturating, were 3%, 20% and 34% in
488 *M. verticillatum*, *M. alterniflorum* and *M. spicatum* respectively (Fig. 3B), showing that the CCM
489 based on HCO₃⁻ use increased rates of photosynthesis at air-equilibrium in addition to
490 increasing the pool of available inorganic carbon. For two of these species of *Myriophyllum*,
491 these photosynthesis differences have recently been shown to translate to benefit growth at low

492 CO₂: *M. spicatum* growth was much less affected by CO₂ depletion than *M. verticillatum* (Dulger
493 and Hussner, 2017).

494

495 **Frequency and extent of CCMs in different types of photoautotroph**

496 In addition to phenotypic plasticity in the activity of CCMs within a given species, there are
497 genotypic differences in the effectiveness of CCMs to exploit the inorganic carbon reserves
498 among different species which together generate a gradient in ability to deplete inorganic
499 carbon in freshwater macrophytes and phytoplankton (Talling, 1976; Allen and Spence, 1981).
500 Fig. 4 presents the compiled published and unpublished results for different types of aquatic
501 photoautotrophs on the extent to which inorganic carbon can be removed from water based on
502 pH-drift experiments. Percent carbon availability is calculated from the calculated or measured
503 concentration of C_T at the end of a drift compared to the alkalinity of the medium. There is a
504 wide range of ability to exploit the inorganic carbon reserves within each group, with species
505 without a CCM only being able to remove a small fraction of the available carbon compared to
506 species with an effective CCM. The seagrasses and marine phytoplankton had the highest
507 median ability to remove inorganic carbon and the freshwater macrophytes clearly had the
508 lowest (Fig. 4). However, freshwater macrophytes, freshwater phytoplankton and marine
509 macroalgae had the largest range in ability to remove inorganic carbon, while the seagrasses
510 (although with a limited number of species tested) and marine phytoplankton had the lowest
511 range. Thus, broadly comparing marine and freshwater photoautotrophs, the marine
512 photoautotrophs had a 1.7-fold greater median ability to extract inorganic carbon while the
513 freshwater photoautotrophs had a 1.4-fold greater range in ability to extract inorganic carbon.

514 The lower median capability of freshwater macrophytes to exploit the reserves of
515 inorganic carbon is consistent with their alternative strategies for acquiring inorganic carbon
516 described above. Thus, only 50 species of the 110 tested (45%) are able to use HCO₃⁻ (Fig. 5A).
517 Four species perform C₄ photosynthesis and 9 species perform CAM based on diel acidity
518 changes. All the species with C₄ also use HCO₃⁻ while only two species, *Ottelia alismoides* (Zhang
519 *et al.*, 2014) and possibly *Scirpus subterminalis* (Beer and Wetzel, 1981) and *Vallisneria spiralis*
520 (Yin *et al.*, in press) combine both CAM and HCO₃⁻ use. *O. alismoides*, appears, uniquely, to
521 combine three different types of CCM, HCO₃⁻ - use, C₄ and CAM (Shao *et al.*, 2017). Fifty two
522 species have no apparent CCM. Of these, 69 % have access to CO₂ from the atmosphere,
523 sediment or grow in environments where CO₂ is likely to be high locally (Fig. 5B). In contrast,
524 only about 24% of species with an ability to use HCO₃⁻ have alternative strategies, and these
525 largely comprise C₄ metabolism and access to atmospheric CO₂.

526 Most groups of eukaryotic algae and aquatic plants contain species with and without
527 CCMs (Raven *et al.*, 2005). Nevertheless, phylogenetic differences underlie some of the variation
528 in ability to remove inorganic carbon. Of particular note for their apparently uniform absence of
529 a CCM is the group of heterokont algae, the Chrysophyceae *sensu lato* (= Synurophyceae and
530 Chrysophyceae). No species studied from this group has been shown to be able to use HCO_3^- and
531 there is no evidence for the operation of a CCM (Saxby-Rouen *et al.*, 1998; Maberly *et al.*, 2009;
532 Bhatti and Colman, 2011). There are no clearly attested freshwater bryophytes or lycophytes
533 with a CCM (Spence and Maberly, 1985) apart from in one experiment with *Fontinalis*
534 *antipyretica* (Penuelas, 1985), and a possible CCM in an aquatic hornwort (Bain and Proctor,
535 1980), although terrestrial species from this group do have a CCM (Villarreal and Renner, 2012).
536 Within the freshwater macrophyte genus *Callitriche*, most species lack a CCM but two of the
537 three species within the subgenus *Pseudocallitriche* (Philbrick and Les, 2000), *C.*
538 *hermaphroditica* (Maberly and Madsen, 2002b) and *C. truncata* (Whitney & Maberly
539 unpublished) can also use HCO_3^- ; the third species, has not yet been tested. Within the
540 prokaryotes, all tested species of cyanobacteria appear to possess a CCM which compensates for
541 the low affinity of their Rubisco enzyme. This is also the case for a culture of *Merismopedia*
542 *glauca*, collected from the New Forest, England (Maberly, unpublished), which can grow in
543 eutrophic lakes e.g. (Yamamoto and Shiah, 2012) but the genus contains species such as *M.*
544 *tenuissima* that are often dominant in acid sites and absent when pH is increased by liming
545 (Anderson *et al.*, 1997). Work is required on *M. tenuissima* to determine if this acid-tolerant
546 cyanobacterium possesses a CCM.

547

548 **Environmental conditions and CCM activity: towards establishing inorganic carbon as an** 549 **ecological factor**

550 In some circumstances, inorganic carbon can limit the primary productivity of marine
551 phytoplankton (Riebesell *et al.*, 1993; Hein and Sand-Jensen, 1997; Clark and Flynn, 2000),
552 freshwater phytoplankton (Ibelings and Maberly, 1998; Jansson *et al.*, 2012), marine
553 macroalgae (Holbrook *et al.*, 1988), seagrasses (Borum *et al.*, 2015) and freshwater
554 macrophytes (Madsen and Maberly, 1991). Given this and the environmental variability in
555 inorganic carbon concentrations and other resources and the wide variability in abilities of
556 aquatic photoautotrophs to acquire inorganic carbon, it is likely that inorganic carbon is one of
557 the environment factors that controls the ecological distribution of aquatic photoautotrophs.
558 Some of the differences between marine and freshwater photoautotrophs in Fig. 4 can be
559 accounted for, in part, by the availability of inorganic carbon in the respective environments.
560 The marine environment has a nearly uniform concentration of HCO_3^- and a lower variability in

561 CO₂ concentrations and CO₂ sources than freshwaters, increasing the benefit of using HCO₃⁻ but
562 not placing a premium on a highly effective CCM. In contrast, the freshwater environment has
563 highly variable concentrations of both HCO₃⁻ and CO₂ and CO₂ from the sediment or atmosphere
564 can be accessed, so some species would not benefit from using HCO₃⁻ however, a highly effective
565 CCM is needed to continue to photosynthesise during episodes of extreme carbon depletion.
566 Below, three examples of the interaction between CCMs and ecology are outlined.

567 *CCMs and distribution of freshwater macrophytes*

568 The high CO₂ concentrations that exist in some freshwater environments allow plants that grow
569 there to survive without a CCM. For example, the freshwater moss *F. antipyretica* lacks a CCM
570 but survives in a lake, Esthwaite Water UK, where surface concentrations of CO₂ in summer are
571 extremely low (Fig. 2). This is possible because it grows close to the sediment surface where CO₂
572 concentrations were on average about 120 mmol m⁻³ and reached a maximum of 270 mmol m⁻³
573 and were close to saturating for *F. antipyretica* (Maberly, 1985a, b). In another example,
574 *Fontinalis antipyretica* and the submerged form of *Berula erecta*, both of which are restricted to
575 CO₂, grew immediately downstream of the source of the River La Sorgue, in southern France, fed
576 by groundwater where the CO₂ concentration was in excess of 400 mmol m⁻³ (Maberly *et al.*,
577 2015). Species of low stature may benefit from the locally high concentrations of CO₂ above the
578 sediment surface and these may account for at least 15% of the over 100 species tested (Fig. 5)
579 but this is almost certainly an underestimate of the importance of this strategy since seedlings
580 or small shoots will also benefit from this carbon sources as will species growing in otherwise
581 heterotrophic areas with generally elevated CO₂ concentrations. Carnivorous plants such as
582 *Aldrovanda vesiculosa* and species of *Utricularia* that typically grow in dystrophic sites with low
583 nutrient concentrations, low oxygen concentrations but high CO₂ concentrations also lack a CCM
584 (Adamec, 1997a, b, 2009). However, one population of *U. australis* from a site with low CO₂
585 showed some evidence for HCO₃⁻ use (Adamec, 2009) so more work is needed on the extent of
586 genotypic or phenotypic plasticity in this species. Rivers tend to have higher concentrations of
587 CO₂ than lakes because they are closer to the source of CO₂ produced by decomposition
588 processes within the catchment (Sand-Jensen and Frost-Christensen, 1998). Comparing species
589 of freshwater macrophyte found in both rivers and lakes using the pH-drift technique, those
590 from rivers had a slightly lower median ability to extract inorganic carbon than those in lakes
591 (Baattrup-Pedersen *et al.*, 2013).

592 The link between water chemistry and freshwater macrophyte distribution has been
593 known for many decades (e.g. (Iversen, 1929; Hutchinson, 1970)), and Hutchinson (1970) made
594 this link explicitly for species of *Myriophyllum*. These patterns, particularly since pH and
595 alkalinity are often the key variables linked to distribution, have been associated with

596 macrophyte carbon-physiology e.g. (Spence, 1967) and . An example can be seen for the survey
597 of macrophytes in 82 Danish lakes, reported by (Vestergaard and Sand-Jensen, 2000). The lakes
598 were categorised into five groups based on their macrophyte communities and the
599 concentrations of HCO_3^- and CO_2 in the five lake groups were measured. Here, the macrophytes
600 within each of these groups were assigned to whether or not they could use HCO_3^- and the
601 extent to which they could deplete inorganic carbon based on pH-drift experiments using the
602 information compiled in **Supplementary Table 1**. The average difference in the ability of the
603 macrophytes in each group to exploit the inorganic carbon reserves was significantly related to
604 the carbonate chemistry: the percent carbon available increased with HCO_3^- concentration and
605 pH and decreased with CO_2 (Fig. 6). Very similar results were obtained based on the proportion
606 of species able to use HCO_3^- instead of ability to extract inorganic carbon (data not shown).
607 While numerous environmental conditions control the distribution of macrophytes, the results
608 in Fig. 6 show that high concentrations of HCO_3^- and low concentrations of CO_2 favour species
609 with an ability to use HCO_3^- . These two factors are linked since HCO_3^- concentration (alkalinity)
610 along with lake depth can be used to predict background phosphorus concentration in lakes
611 (Vighi and Chiaudani, 1985) and this nutrient often limits lake productivity, and hence controls
612 summer depletion of CO_2 .

613 *CCMs and distribution of freshwater phytoplankton*

614 Within freshwater phytoplankton, species from acid sites where concentrations of HCO_3^- are
615 minimal or absent have a lower ability to remove inorganic carbon than species from neutral or
616 alkaline sites. The ellipsoidal form of the trebouxiophyte *Watanabea* sp., which in culture at pH
617 2 was the predominant form at low CO_2 concentrations (Diaz and Maberly, 2009), appeared to
618 operate a CCM but in the absence of HCO_3^- at this pH, this must have been based on active
619 uptake of CO_2 . The spheroidal form of this species and other species tested from the highly acid
620 Lake Caviahue and its inflows in Argentina appeared to largely lack CCMs. Similarly, the
621 acidophile *Chlamydomonas acidophila* and the acidotolerant *C. pitschmannii*, with optima for
622 growth of pH 3.6 and pH 5.3 respectively, mainly relied on CO_2 as a source of inorganic carbon
623 (Lachmann *et al.*, 2016). In contrast, the neutrophiles *C. reinhardtii* and *Scenedesmus vacuolatus*
624 with optima for growth of pH 6.3 and 8.1 respectively, were effective HCO_3^- users. A similar link
625 between pH preference and the presence or effectiveness of a CCM has also been shown for
626 desmids. Species such as *Staurastrum chaetoceras* and *S. planktonicum* found in alkaline lakes
627 were highly effective at removing inorganic carbon, while species such as *S. brachiatum*
628 restricted to acidic water had a much less effective CCM (Spijkerman *et al.*, 2005).

629 To illustrate the spatial and temporal variation in carbon removal ability in freshwater
630 phytoplankton, samples were collected in spring and summer from four lakes in Cumbria which

631 differed in their alkalinity, productivity and extent of carbon depletion (Fig. 7). Net
632 phytoplankton from each lake were assessed for their ability to remove inorganic carbon using
633 pH-drift experiments. There were large differences among seasons and lakes in the ability of the
634 phytoplankton to remove inorganic carbon, but adjusting the concentration of HCO_3^- to a
635 standard value had no significant effect (ANOVA, $P = 0.000$ for lake and season but $P = 0.089$ for
636 bicarbonate treatment). In the least productive lake, Derwentwater, where surface
637 concentrations of CO_2 never fell below air-equilibrium, minimum CO_2 concentrations at the end
638 of the drift were greater than 1 mmol m^{-3} in spring and summer suggesting that the
639 phytoplankton population as a whole did not have a CCM. In contrast, in the most productive
640 lake, Esthwaite Water, where summer lake CO_2 concentrations fell to around 100-times below
641 air-equilibrium ($\sim 0.17 \text{ mmol m}^{-3}$), the final CO_2 in drifts were substantially below the nominal 1
642 mmol m^{-3} threshold in spring and summer. The difference between the lake phytoplankton in
643 their ability to remove inorganic carbon was closely related to species composition. In the lakes
644 where low CO_2 concentrations favoured cyanobacteria (Shapiro, 1997) the phytoplankton
645 population had a much greater ability to remove carbon than in those dominated by diatoms
646 and chrysophytes (Fig. 7E,F). Thus, the ability of the phytoplankton population to remove
647 inorganic carbon decreased with increasing % biovolume of diatoms and chrysophytes and
648 conversely increased with increasing % biovolume of cyanobacteria. This is consistent with
649 seasonal difference seen in Esthwaite Water with spring diatoms having a lower ability to
650 remove inorganic carbon than the summer cyanobacteria (Talling, 1976). These results suggest
651 that inorganic carbon removal from productive lakes shifts the phytoplankton population
652 towards species with effective CCMs, although the dynamic nature of these lakes where wind
653 mixing can entrain pH from depth (Maberly, 1996) means that short-lived windows of higher
654 CO_2 may occur that could be exploited by rapidly-growing species without a CCM.

655 There is also evidence for intraspecific carbon competition within the widespread
656 cyanobacterium, *Microcystis* that can dominate during high-pH episodes in nutrient enriched
657 lakes (Talling, 1976). A study of 20 different strains of *Microcystis aeruginosa* showed variation
658 in the presence of genes coding for different components of the CCM (Sandrini *et al.*, 2014).
659 Thus while all 20 strains possessed genes for the HCO_3^- uptake system *BCT1*, eleven strains
660 lacked the HCO_3^- transporter gene *BicA* and another strain lacked the HCO_3^- transporter gene
661 *SbtA*. Measurements in a lake, and in laboratory experiments, showed that there was a trade-off
662 between the activity of these transporters and inorganic carbon availability. When inorganic
663 carbon availability was high, populations were dominated by genotypes with the *bicA* gene
664 which had a low affinity for HCO_3^- but a high rate of flux, while at low inorganic carbon
665 availability populations were dominated by genotypes with *SbtA* which has a much higher

666 affinity for HCO_3^- but a lower rate of flux (Sandrini *et al.*, 2016). Thus, the effectiveness of
667 cyanobacterial CCMs, the high phenotypic variability of a given genotype and physiological
668 differences among genotypes make cyanobacteria powerful competitors for inorganic carbon
669 with other types of phytoplankton.

670 *Growth in low light environments*

671 Unlike the other marine photosynthetic organisms, marine macroalgae had a large range of
672 ability to exploit the reserves of inorganic carbon. In agreement with expectations related to the
673 additional energy costs of operating a CCM, there are indications that marine macroalgae
674 growing in subtidal or shaded environments lack or have a low CCM activity. Thus in a survey of
675 35 marine macroalgae, species from the sublittoral, especially understory species that might be
676 further shaded by larger kelps, had a significantly lower ability to remove inorganic carbon than
677 intertidal or rockpool species and largely lacked a CCM (Maberly, 1990). Many of these shaded
678 subtidal species were Rhodophyta and in a study restricted to this group, Murru and Sandgren
679 (2004) found a similar pattern and a larger synthesis of data for all types of marine macroalgae
680 reinforced this (Stepien, 2015; Stepien *et al.*, 2016). Thus the cost of operating a CCM in a low-
681 light environment appears to outweigh the benefits which are arguably low because light,
682 rather than inorganic carbon, may be limiting and concentrations of CO_2 unlikely to be depleted
683 below air equilibrium.

684 Low light usually restricts the growth of freshwater macrophytes at depth (Spence,
685 1967). A compilation of depth limits and minimum light requirements for different groups of
686 freshwater macrophytes showed that bryophytes were often found at the depth limit and had
687 the lowest light requirement of about 2.2% of surface light (Middelboe and Markager, 1997)
688 while depth limits for charophytes, elodeid and isoetid macrophytes were at higher light levels.
689 Since virtually all freshwater bryophytes lack a CCM, the saved energy costs may be one of the
690 characteristics permitting growth at low light.

691

692 **Conclusions**

693 Dissolved inorganic carbon is potentially more limiting for aquatic photoautotrophs than CO_2 in
694 air is for terrestrial plants because of low rates of CO_2 diffusion in water and, particularly in
695 fresh waters, strong seasonal depletion of inorganic carbon. CCMs are consequently more
696 important in aquatic compared to terrestrial systems both in terms of species numbers and
697 contribution to productivity. There is a large range of CCM mechanisms and carbon-extraction
698 capabilities in aquatic photoautotrophs, particularly in fresh waters where the range of
699 concentrations of CO_2 and HCO_3^- is large. Marine photoautotrophs are more similar to one

700 another in terms of ability to remove carbon and generally better able to extract carbon,
701 probably because the benefit of using HCO_3^- is greater given its high concentration and because
702 alternative reserves of CO_2 in the sediment or atmosphere are largely unavailable, unlike for
703 freshwater macrophytes. The variation in inorganic concentration and variability in CCM
704 capability results in inorganic carbon being an ecological factor that controls ecological
705 distribution. At low light, because of the energy cost associated with operating a CCM, and the
706 lower benefits of increasing rates of photosynthesis, photoautotrophs from these environments
707 often lack or have a low capacity to operate a CCM. Future work should focus on exploring the
708 diversity of CCMs in the understudied seagrasses and the numerous phylogenetic groups of
709 marine phytoplankton and exploit the biochemical and molecular tools and approaches that
710 have been developed for microalgae to generate a mechanistic understanding of CCM
711 mechanisms in larger aquatic photoautotrophs. Strengthening the links between ecology and
712 CCMs will increase our understanding of the mechanisms underlying ecological success, aquatic
713 productivity and species distribution and will place mechanistic studies in a clearer ecological
714 context.

715

716 **Supplementary data**

717 Supplementary methods associated with figures 1 to 7; Supplementary Table 1. Ability of
718 different species of aquatic photoautotroph to remove inorganic carbon (C_T) during pH-drift
719 experiments.

720

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Table 1. Annual average concentrations of CO₂ from a global dataset of lakes and rivers. CO₂ data derive from (Raymond *et al.*, 2013) Supplementary Information and the alkalinity data derive from the GLORICH database (Hartmann *et al.*, 2014).

Environment	25 percentile	50 percentile	75 percentile
Rivers CO ₂ (µatm)	964	1598	2311
Lakes CO ₂ (µatm)	340	736	915
Rivers CO ₂ (mmolm ⁻³)*	44	73	105
Lakes CO ₂ (mmolm ⁻³)*	16	34	42
Rivers alkalinity (mequiv m ⁻³)	540	1559	3056

*Calculated from µatm for an assumed temperature of 15°C.

Figure legends

Fig. 1. Inorganic carbon chemistry in aquatic systems. A, solubility of CO₂ (solid lines) and O₂ (dashed lines) in fresh water (orange) and sea water (blue) as a function of temperature. B, effect of ionic strength on pK₁' (solid lines) and pK₂' (dashed lines) at 5°C (blue), 15°C (grey) and 25°C (orange), seawater values shown by circles. C, pH at equilibrium with water at different alkalinities at 280 ppm (blue) 400 ppm (grey) and 560 ppm (orange); seawater values shown as circles. The black line shows pK₁' for fresh water. D, Bjerrum plot of CO₂ (orange), HCO₃⁻ (grey) and CO₃²⁻ (blue) for seawater (solid line) and freshwater with alkalinities representing the 25th (dotted line) and 75th percentile (dashed line) in Table 1. More detail is provided in Supplementary methods.

Fig. 2. Seasonal changes in carbonate chemistry in a productive lake, Esthwaite Water UK, during 1993. A, pH; B, concentration of CO₂; C, concentration of HCO₃⁻; and D, concentration of CO₃²⁻. Hourly values are shown (blue line) in comparison to values calculated for equilibrium with an atmosphere containing 360 ppm CO₂ (orange line). The insets show the ranked values; note [CO₂] is on a log scale, with the mean air-equilibrium concentration shown by a circle. More detail is provided in Supplementary methods.

Fig. 3. Comparison of rate of carbon uptake vs concentration of total inorganic carbon during a pH-drift experiment for three species of *Myriophyllum*. Rates are expressed as a function of concentration of: A, C_T and B, CO₂. Plants were collected from different sites but grown under standard conditions before the experiments. Drift conditions: alkalinity 1 equiv m⁻³, temperature 20°C, light 500 μmol m⁻² s⁻¹ photosynthetically available radiation (Maberly unpublished). The vertical line shows the air-equilibrium (400 μatm) conditions. More detail is provided in Supplementary methods.

Fig. 4. Gradation in ability to remove inorganic carbon based on pH-drift experiments for different groups of aquatic photoautotrophs. These include in order of decreasing median ability: seagrasses (10 species); marine phytoplankton (13 species); filamentous freshwater algae (34 species); marine macroalgae (142 species); freshwater phytoplankton (37 species), freshwater macrophytes (102 species). The vertical line show the median ability for each group. More detail is provided in Supplementary methods and the data are available in Supplementary Table 1.

Fig. 5. Number of species of freshwater macrophyte with different strategies for obtaining inorganic carbon in photosynthesis. A, species, categorised following (Klavnsen *et al.*, 2011); B, Percentage of CO₂ only or HCO₃⁻ species with alternative strategies. More detail is provided in Supplementary methods.

Fig. 6. Relationship between the ability of submerged macrophytes to remove inorganic carbon and their presence in groups of Danish lakes of different water chemistry. Lake groups characterised in terms of A, alkalinity, B, CO₂ concentration and C, pH. Error bars show one standard deviation; the group means were fitted to a log or linear equation the parameters of which are shown. Lake data derived from (Vestergaard and Sand-Jensen, 2000). More detail is provided in Supplementary methods.

Fig. 7. Seasonal and phylogenetic variation in ability of freshwater phytoplankton to remove inorganic carbon. A, seasonal variation in CO₂ concentration (log scale) for Derwentwater (blue, Ullswater (orange), Windermere South Basin (grey) and Esthwaite Water (Green), the blue shading shows times when the experiments were performed; B, as for panel A, but HCO₃⁻ concentration; C, % carbon removal for the four lake in spring at ambient (grey) or a standard (blue) concentration of HCO₃⁻ of about 0.55 mmol m⁻³, error bars show one standard deviation; D, as for panel C but for summer; E, % carbon available as a function of % contribution of diatoms plus chrysophytes to total biovolume in spring (blue) or summer (orange) with polynomial fit for combined seasonal data; F, as for panel E, but % contribution of cyanobacteria. More detail is provided in Supplementary methods











