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3 **Testing the utility of structure from motion photogrammetry reconstructions**
4 **using small unmanned aerial vehicles and ground photography to estimate the**
5 **extent of upland soil erosion**
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12 **Short title:** Testing small unmanned aerial vehicles and ground photography for
13 erosion monitoring
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18 Miriam Glendell* (1,2), Gareth McShane (3), Luke Farrow (1), Mike R. James (3),
19 John Quinton (3), Karen Anderson (4), Martin Evans (5), Pia Benaud (1), Barry
20 Rawlins (6), David Morgan (6), Lee Jones (6), Matthew Kirkham (6), Leon DeBell
21 (5), Timothy A. Quine (1), Murray Lark (6), Jane Rickson (7), Richard E. Brazier (1)
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28
29 (1) University of Exeter, Geography - College of Life and Environmental Sciences,
30 Exeter EX4 4RJ (2) The James Hutton Institute, Craigiebuckler, Aberdeen AB15
31 8QH (3) Lancaster Environment Centre, Lancaster University, Bailrigg, Lancaster
32 LA1 4YQ (4) Environment and Sustainability Institute, University of Exeter, Penryn
33 Campus, Penryn, Cornwall TR10 9FE (5) Arthur Lewis Building-1.029, School of
34 Environment, Education and Development, The University of Manchester,
35 Manchester M13 9PL (6) British Geological Survey, Environmental Science Centre,
36 Nicker Hill, Keyworth, Nottingham NG12 5GG (7) Environmental Science and
37 Technology Department, Applied Sciences, Cranfield University, Cranfield MK43
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*corresponding author: The James Hutton Institute, Craigiebuckler, Aberdeen AB15
8QH, Scotland, UK, Miriam.Glendell@hutton.ac.uk, tel: +44(0)1224 395 320, fax:
+44 (0)844 928 5429

For Peer Review

Abstract

Quantifying the extent of soil erosion at a fine spatial resolution can be time consuming and costly; however, proximal remote sensing approaches to collect topographic data present an emerging alternative for quantifying soil volumes lost via erosion. Herein we compare terrestrial laser scanning (TLS), and both aerial (UAV) and ground-based (GP) SfM derived topography. We compare the cost-effectiveness and accuracy of both SfM techniques to TLS for erosion gully surveying in upland landscapes, treating TLS as a benchmark. Further, we quantify volumetric soil loss estimates from upland gullies using digital surface models derived by each technique and subtracted from an interpolated pre-erosion surface. Soil loss estimates from UAV and GP SfM reconstructions were comparable to those from TLS, whereby the slopes of the relationship between all three techniques were not significantly different from 1:1 line. Only for the TLS to GP comparison the intercept was significantly different from zero, showing that GP is more capable of measuring the volumes of very small erosion features. In terms of cost-effectiveness in data collection and processing time, both UAV and GP were comparable with the TLS on a per-site basis (13.4 and 8.2 person-hours versus 13.4 for TLS); however GP was less suitable for surveying larger areas (127 person-hours per ha⁻¹ versus 4.5 for UAV and 3.9 for TLS). Annual repeat surveys using GP were capable of detecting mean vertical erosion change on peaty soils. These first published estimates of whole gully erosion rates (0.077 m a⁻¹) suggest that combined erosion rates on gully floors and walls are around three times the value of previous estimates, which largely characterise wind and rainsplash erosion of gully walls.

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Keywords: soil erosion monitoring, SfM photogrammetry, upland gully erosion, lightweight drones, terrestrial laser scanning

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Introduction

Upland landscapes provide important multiple ecosystem services, including drinking water provision, flood regulation, carbon sequestration, natural and cultural heritage and recreation (Grand-Clement et al., 2013). Most of these functions are affected by soil health, which may be impaired by accelerated soil erosion rates (Evans and Lindsay, 2010b; McHugh, 2007; Warburton et al., 2003). Soil erosion has been defined as “the accelerated loss of soil as a result of anthropogenic activity in excess of accepted rates of natural soil formation” (Gregory et al., 2015), currently estimated at ca. $1 \text{ t ha}^{-1} \text{ a}^{-1}$ (Verheijen et al., 2012), although reliable national estimates of soil formation and soil erosion rates are rarely available (Brazier et al., 2016). Therefore, quantifying the rates of soil erosion and understanding the significance of erosion impacts on upland ecosystem services, as well as the effectiveness of any remediation measures, requires an ability to quantify the volume and spatial extent of erosion features accurately (Evans and Lindsay, 2010a).

In the last decade, advances in remote sensing technology have greatly facilitated the mapping of erosion processes and quantification of their magnitude. Airborne and terrestrial Light Detection And Ranging (LiDAR) sensors have become the mainstay for production of detailed topographic surface models for a variety of geoscience applications, including the study of landslides (Jaboyedoff, M.; Oppikofer, T.; Abellan, A.; Derron, M.-H.; Loye, A.; Metzger, R.; Pedrazzini et al., 2012), channel networks (Passalacqua et al., 2010; Sofia et al., 2011), river morphology and morphodynamics (Legleiter, 2012; Williams et al., 2014, 2015), active tectonics (Hilley and Arrowsmith, 2008), volcanoes (Kereszturi et al., 2012)

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3 and agricultural landscapes (Cazorzi et al., 2013; Passalacqua et al., 2015; Sofia et
4 al., 2014; Tarolli, 2014). However, Airborne Laser Scanning (ALS) and Terrestrial
5 Laser Scanning (TLS) surveys remain costly, particularly where time-series data are
6 required, while having additional limitations in terms of range and line of sight.
7 Consequently, there is a need to develop alternative methodologies that can provide
8 high-resolution topographic data cost-effectively and at user-defined time-steps
9 (Hugenholtz et al., 2015). Structure from Motion (SfM) photogrammetry is emerging
10 as a powerful tool in the geosciences, offering the capability to derive high-resolution
11 digital elevation models (DEMs) from overlapping, convergent digital images (Bemis
12 et al., 2014; Carrera-Hernández et al., 2016; Carrivick et al., 2016; James and
13 Robson, 2012; Javernick et al., 2014; Lucieer et al., 2014; Nouwakpo et al., 2016;
14 Reitman, N.G., 2015; Smith et al., 2014; Smith et al., 2015; Snapir et al., 2014;
15 Stumpf et al., 2015; Tonkin et al., 2014; Westoby et al., 2012). In upland
16 landscapes, where soil erosion mapping is hindered by remoteness and terrain
17 complexity, SfM topographic reconstruction may be a more portable and affordable
18 approach than TLS and ALS.
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41 Surface reconstruction based on ground photography (GP) has been shown to be a
42 suitable tool for topographic studies at scales between 10 and 100 m extents (James
43 and Robson, 2012; Smith et al., 2014), whilst TLS has been applied up to 3500 m
44 ranges. As the latter depends on the capability of the TLS instrument and the
45 complexity of the landscape being studied (James et al., 2009), mobile platforms
46 (scan as you go or move-stop-scan) may further help to increase TLS survey ranges
47 and reduce survey time (James and Quinton, 2014). Meanwhile, unmanned aerial
48 vehicles (UAVs), allow combining the strengths of both techniques, with increasingly
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3 available, low-cost, agile, lightweight UAV platforms, self-service data capture at
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5 user-defined time steps and affordable SfM software. As SfM topography becomes
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7 more popular in geoscience studies (for example: Cunliffe et al., 2016; Hugenholtz et
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9 al., 2013; Ouédraogo et al., 2014; Smith and Vericat, 2015; Tonkin et al., 2014;
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11 Turner et al., 2015; Woodget et al., 2015), a quantitative understanding of the
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13 accuracy, cost-effectiveness, and limitations of this technique grows increasingly
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15 important (Hugenholtz et al., 2015); especially for applications that demand high-
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17 resolution data products.
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22 While a variety of papers have compared the accuracy of high resolution topographic
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24 models generated with UAVs against traditional total station surveys (Smith and
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26 Vericat, 2015; Tonkin et al., 2014; Woodget et al., 2015), real-time kinematic DGPS
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28 surveys (Hugenholtz et al., 2013; Turner et al., 2015; Woodget et al., 2015), with
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30 TLS (Johnson et al., 2014; Ouédraogo et al., 2014; Smith and Vericat, 2015) and
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32 ALS (Johnson et al., 2014), the authors are not aware of any work that has
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34 compared the spatial and volumetric accuracy of UAV derived DEMs with those
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36 derived from GP, using TLS derived DEM as a reference, in a single application.
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40 Therefore this research aims to:

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- 43 a) assess the accuracy of SfM techniques as practical tools to measure upland
44 erosion,
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 - 46 b) understand quantitatively how well the technology could be used to evaluate
47 annual erosion rates across a range of upland erosion types and
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 - 49 c) evaluate the cost-effectiveness of the three techniques in upland landscapes.
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56 **Material and methods**

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Study sites

Ten upland sites across the UK with a propensity for soil erosion were selected for survey, with a target survey area of 16 ha (Fig.1, Table 1). These sites were distributed across England and Wales and included different types of erosion features and different soil types. In 2014, 24 gully features were surveyed at eight sites. In 2015, 11 gullies were re-surveyed at five locations and a further four gullies were surveyed at two additional locations. Gully dimensions ranged between 104 and 1238 m² (Appendix 1). Figure 2 shows an example study site and data fragmentation workflow.

Field survey

UAV imaging surveys

Aerial images were collected using a lightweight UAV – a 3D Robotics IRIS+ quadcopter fitted with a Canon Powershot A2500 or Canon SX260 HS camera attached via a directional gimbal pointing at nadir. The UAV was equipped with a Pixhawk flight controller and flight plans were programmed using Mission Planner (v1.3.32) software so that the images overlapped approximately 65% endlap and 55% sidelap, ground speed set to 2.5 m s⁻¹ in year one and 2 m s⁻¹ in year two. Although smaller than the ideal overlap recommended by Photoscan (80% endlap and 60% sidelap), the image overlap was maximised by using the smallest achievable photo interval, given camera constraints and target survey area extent.

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3 The cameras were triggered using the “Canon Hack Development Kit” CHDK KAP
4 UAV control script (http://chdk.wikia.com/wiki/KAP_UAV_Exposure_Control_Script)
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6 to control the exposure, shutter speed and aperture for high image quality. During
7
8 the first year of field work in 2014, the Canon Powershot A2500 16 MP camera (28
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10 mm lens) was used, with an automatic triggering every 3 seconds. In 2015, the
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12 Canon SX260 HS 12 MP (25 mm lens) was used at a number of sites (Table 2), as it
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14 provided a greater range of available ISO, shutter speed settings and a shorter
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16 image capture frequency of 2.5 s. In all flights the camera focal length was set to
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18 infinity whilst the exposure settings varied between 100 and 1600 ISO, shutter speed
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20 between 1/1600 and 1/500 second and aperture between F2.8 and F8. Settings
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22 were chosen so as to maximise light sensitivity (ISO) and minimise exposure time
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24 and aperture in order to ensure greatest image sharpness and depth of focus.
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26 Achieved ground resolution was between 0.6 and 1.1 cm (Table 2).
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34 Table 3 and Appendix 1 provide full details of all ground based validation surveys.
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36 Up to 30 ground control point (GCP) targets were deployed in a grid over the target
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38 survey area, with coordinates measured by high accuracy real-time kinematic (RTK)
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40 DGPS instruments. We used either a Leica GS08+ base/rover system or a Trimble
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42 R4 GNSS surveying system for surveying purposes and both had an estimated 3D
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44 observation accuracy of 2 cm. The RTK-GPS observations were obtained using a
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46 local base and were post processed using the UK Ordnance Survey Static Network.
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48 In 2014, black and white crossed targets (~10 cm across) were used as GCPs. In
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50 2015, these were replaced with larger iron-cross GCP targets with black and white
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52 segments and 30 cm diameter. At some of the sites, the collection of UAV data was
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54 impeded by bad weather (wind speeds in excess of 30 km hr⁻¹), therefore some sites
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3 were revisited and one additional site was added in the second year of field
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5 campaign.
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8 9 Ground-based photographic surveys

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14 Ground-based photographs were taken at oblique angles around each erosion
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16 feature using a Canon 600D SLR 18 mega pixel digital camera with a 28 mm lens
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18 (focal length set to infinity). Camera settings varied based upon light conditions, with
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20 exposure between 100 and 400 ISO, aperture between F4.5 and F8 and shutter
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22 speed between 1/100 and 1/250 second. Between 20 and 40 GCPs (numbered
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24 black markers ~ 6 x 8 cm with a circular white target) were placed around and within
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26 each feature (Table 3), with co-ordinates measured by RTK DGPS instruments as in
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28 the UAV survey.
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32 33 34 TLS surveys

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38 The Riegl VZ 1000 terrestrial laser scanner was used to provide an independent
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40 reference benchmark measurement. This instrument is capable of measuring
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42 targets located up to 1400 m in range and is co-mounted with a high resolution 12.1
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44 MP digital camera to enable coloured point-clouds. The scanner acquires ca.
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46 122,000 points per second with a typical point cloud has ca. 80 million points per
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48 scan. Scans were carried out using an angular resolution of 0.025° vertically and
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50 horizontally and a range maximum of 450 m; resulting in a point spacing of ~4 mm at
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52 100 m. Typically two scans were taken for each gully feature, except in more
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54 complex morphology, where three or more scans were taken, the most complex
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3 gullies requiring up to ten scans to reduce shadows. Scan positions were
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5 georeferenced using a Leica GS14 differential GNSS connected to the Leica
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7 SmartNet network. These corrections were obtained in real-time via a GPRS
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9 Internet radio connection, allowing positional accuracies less than 20 mm in all
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11 cases. A reflective target was mounted on a 1 m pole within the sight of all scans (if
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13 this was not possible a second target was used) to identify georeferenced back-sight
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15 positions during post-processing.
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22 Data processing

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27 Between 150 and 600 images were used to build each high resolution 3D UAV SfM
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29 model and between 127 and 987 to build each GP SfM high resolution model using
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31 Agisoft Photoscan software v 1.1.5, covering between 2.3 and 18 ha in area for UAV
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33 and between 0.01 and 0.1 ha for GP SfM. Models were georeferenced using GCPs.
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35 As the smaller GCP targets used in 2014 proved difficult to identify in the captured
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37 UAV images, only between 3 and 5 GCPs were registered for model georeferencing.
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39 The larger circular iron-cross targets used in 2015 were more easily identified in the
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41 captured images and therefore between 6 and 28 GCPs were used for UAV model
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43 georeferencing. Between 8 and 36 GCPs were used in GP SfM model
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45 reconstructions. The registration error derived from GCPs within Agisoft Photoscan
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47 ranged between 0.004 m and 0.132 m for the UAV technique and 0.01 m and 0.29 m
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49 for GP (Table 3). The extremely low error of 0.004 m in the 2014 UAV survey at
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51 Hangingstone Hill may be due to the low number of GCPs included in model
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53 reconstruction.
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3 Riegl RiScanPro and MapTek I-Site Studio were used to post-process the TLS data.
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5 The point clouds were initially aligned and geo-referenced in RiScanPro, using the
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7 GNSS measurements taken at the same time and location of each scan position and
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9 any artefacts and false-points were removed. The scans were then coloured, using
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11 the RGB data from the digital camera images, and cropped to the area of interest.
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13 The scans were exported to I-Site Studio as individual las files where they were run
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15 through a series of filtering tools to remove isolated points, vegetation and
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17 topographic anomalies, and finally merged into single feature scans. At two heavily
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19 vegetated sites, ground surface was derived by using open-source LAS-thin tool
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21 <http://www.cs.unc.edu/~isenburg/lastools/> to filter-off vegetation at a 10x10 cm
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23 resolution.
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30 Point clouds from all three techniques were co-registered in open-source
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32 CloudCompare software (<http://www.danielgm.net/cc/>) using the iterative closest
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34 point approach (Besl and McKay, 1992; Chen and Medioni, 1991). The mean raw
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36 point cloud density was $2.3 \times 10^5 \text{ m}^{-2}$ for GP, $1.3 \times 10^3 \text{ m}^{-2}$ for UAV and $4.8 \times 10^3 \text{ m}^{-2}$
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38 for TLS. GP and TLS point clouds were sub-sampled to 2 cm resolution to reduce
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40 cloud size, using the mean value within each square domain. This 2 cm resolution
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42 was chosen as a reasonable compromise between dataset size (and hence
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44 practicalities in processing) and resolution, when dealing with erosion features with
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46 characteristic dimensions of metres to several 10's of metres. Point clouds were
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48 manually cropped in CloudCompare to isolate gully features, with the top of the gully
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50 wall identified visually and only extreme outlying points, further than 0.5 m above and
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52 below the gully surface, removed. A DEM was created in Surfer v. 12 software,
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54 interpolated over the 2 cm grid. Gully edges were identified visually at each site and
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3 a single pre-erosion surface was derived from the TLS reference data by linear
4 interpolation using Surfer v. 12. Pre-erosion surface models were then passed
5 through a low-pass filter to reduce roughness due to elevation variation on gully
6 edges.
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11 12 13 Data analysis

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18 Volumetric soil loss estimates were quantified from the difference between the DEM
19 and the modelled pre-erosion surface. The same pre-erosion surface was applied to
20 all three techniques for consistency.
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27 Volumetric estimates from the three techniques were compared directly and using
28 linear regression. Appendix 1 summarises the volumetric data used in these
29 comparisons. Volume measurement error was quantified using Eq. 1 as in Castillo
30 et al. (2012):
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$$38 \quad E_v = \frac{(V_p - V_o)}{V_o} \times 100 \quad \text{Eq. 1}$$

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43 Where E_v is the relative volume measurement error (%), V_p the observed volume of
44 eroded soil in the gully (m^3) for each SfM technique, and V_o the observed volume of
45 eroded soil for the reference TLS method (m^3).
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52 Repeat GP SfM DEM's were produced for 13 erosion features at four sites visited in
53 both 2014 and 2015 (Table 4). The two DEMs were differenced using the DEMs of
54 Difference (DOD) approach (Martínez-Casasnovas, 2003), which uses simple
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3 subtraction of multi-temporal DEMs, to derive annual erosion rates. Volume survey
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5 uncertainty (V_e) was calculated as the product of the standardised DGPS
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7 measurement error ($E = 0.03$ m) and the cropped gully area (A):
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$$V_e = E * A \quad \text{Eq. 2}$$

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16 This method was chosen in preference to more sophisticated error propagation
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18 techniques (Brasington et al., 2003; Lane et al., 2003) as it was deemed most
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20 suitable for a project aimed at testing of practical technique application at a national
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22 scale.
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27 Cost-effectiveness of the three techniques was compared by recording the amount
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29 of time spent in the field on an initial walk over survey, site marking (including placing
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31 of GCPs), field surveying and data post-processing (including data cleaning,
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33 georeferencing, DEM elaboration). Computer CPU time for data post-processing
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35 was also recorded (Table 5).
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40 **Results and discussion**

41 Accuracy of techniques

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49 We compared the datasets directly and using linear regression. The linear
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51 regression revealed that volumetric soil loss estimates derived from the three
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53 techniques were closely related ($R^2 = 0.99$, $p < 0.001$). For the comparison between
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55 GP and TLS, the intercept was significantly different from 0 (6.55, $p < 0.05$) and slope
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3 equalled 0.99 ($p < 0.001$) (Fig 3a). For the comparison between UAV and TLS
4 measurements, the intercept was near-zero (10.01, n.s.) and slope equalled 1.01
5 ($p < 0.001$) (Fig. 3b). For the comparison between GP and UAV, the intercept was
6 near zero (-1.65, n.s.) and slope equalled 1.03 ($p < 0.001$) (Fig 3c). The mean ratio
7 between UAV and TLS gully volume estimates was 0.89 ($n=19$, $SD=0.18$) and
8 between GP and TLS it was 0.97 ($n=39$, $SD=0.09$) (Fig. 4). These ratios suggest
9 that while for GP, the ratio is consistent across the survey range, the UAV seems to
10 underestimate the volumes of smaller features, most likely due to the reduced line of
11 sight in respect of very small features (Fig. 4).
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25 Despite approximately five-fold difference in mean observation distances between
26 UAV (28 m) and GP (5 m) SfM, these techniques produced comparable vertical
27 errors (UAV 0.05 - 0.35 m vs GP 0.03 - 0.32 (Table 3). While, at the higher end,
28 these errors exceeded the RMSE range of 0.01 - 0.1 m found by Smith et al. (2015)
29 who reviewed published point to raster, raster to raster and point to point
30 comparisons of SfM surveys made over similar observation distances, they were
31 comparable with decimetre-level vertical accuracies for UAV derived DEMs of 0.29
32 m (Hugenholtz et al., 2013), 0.14 m (Ouédraogo et al., 2014) and 0.52 m in upland
33 vegetated areas and 0.20 m in less densely vegetated areas (Tonkin et al., 2014)
34 and comparable with ALS accuracy of 0.19-0.23 m (Hodgson and Bresnahan, 2004),
35 0.29 m (Hugenholtz et al., 2013), 0.08 m (Toth et al., 2007). For GP-derived DEMs,
36 the vertical accuracies found in this study (0.03-0.32 m) were on the whole less
37 accurate than those previously reported in the literature (0.004-0.008 m (Eltner et
38 al., 2014), 0.009-0.025 m (Gómez-Gutiérrez et al., 2014), 0.155 m (Frankl et al.,
39 2015)).
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5 GP showed a smaller mean relative error in volume estimation than UAV (mean -
6 3.15 vs -11.18 %, SD 9.15 vs 17.78). This is similar to the -3.1 % volume estimation
7 error previously reported for GP SfM (Castillo et al., 2012). Conversely, the UAV
8 technique showed greatest volumetric errors for the smallest gullies, with a
9 maximum volume under-estimation of -49 % (Figure 4).
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18 Evaluating annual erosion rates

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23 Figures 2b and 5 show an example coloured point cloud and DOD for one of the 11
24 erosion features with repeated surveys (Table 4). At this heavily eroded site, the
25 vegetation changes dominate the DOD and thus obscure the erosional evidence
26 when the full area is taken into account. Therefore, the difference maps were
27 cropped to include only the bare ground within the eroding gullies and elevation
28 differences of ± 0.03 m, regarded as the effective accuracy of the survey methods,
29 were plotted as zero. Table 4 shows the results from all repeat surveys.
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41 Figure 6 shows that erosion was detected at most sites, with the exception of two
42 features at Southern Scar. The Southern Scar sites were distinct in that they were
43 largely mineral-floored gullies and, in contrast to peat-floored gullies at the other
44 sites, are expected to erode more slowly. The average recorded vertical erosion rate
45 among all sites was 0.033 m a^{-1} . Separating the mineral-floored Southern Scar sites
46 from the peat-floored sites gave average vertical erosion rates of 0.077 m a^{-1} for the
47 peat-floored gully systems. The perceived mean aggradation of 0.011 m a^{-1} for the
48 mineral-floored system at Southern Scar was within the ± 0.03 m estimated accuracy
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3 of the technique and therefore not distinguishable from zero. As the annual
4 differences in vertical measurements were close to the resolution of the techniques,
5 longer than annual resurvey might be preferable for monitoring of upland soil
6 erosion, particularly on mineral soils.
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14 Previous estimates of erosion rates on bare peat surfaces were largely derived from
15 erosion pin data on gully walls. Evans and Warburton (2008) tabulated mean
16 erosion rates reported from bare peat surfaces across the globe of $0.024 \pm 0.008 \text{ m}$
17 a^{-1} . The average erosion rates recorded in this study at peat-floored systems were
18 around three times higher, most likely due to gully floor areas being subject to
19 erosion by running water as well as rainsplash and wind erosion processes
20 dominating on gully walls. As the values recorded herein included some areas of
21 gully wall with lower erosion rates, the mean erosion rates reported here represent
22 minimum estimated vertical erosion on gully floors.
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36 The annual erosion rates recorded in this study represent the first systematic
37 measurements of erosion rates in peat-floored gullies incorporating the impact of
38 flowing water on gully floors. These high annual erosion rates have implications for
39 particulate carbon loss from extensive peat-floored gully systems, typically present in
40 areas of relatively recent onset of erosion, and imply that carbon fluxes from eroding
41 peatlands may be higher in the early stages of erosion.
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51 The ability to detect change from repeat SfM surveys is limited by the rate of
52 observed erosion and the achievable resolution of the survey technique. In this
53 study, some of the largest observed rates of change were observed at the edges of
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3 gully features. While these may represent localised mass failures of gully walls, in
4
5 some cases patterns of apparent erosion and deposition observed on both sides of a
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7 gully suggest that the change is likely due to geo-referencing errors. Therefore,
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9 wherever possible, permanent ground control should be used for repeat SfM surveys
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11 to minimise measurement error and GCP deployment should be carefully considered
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13 within the initial survey design in order to deliver the overall precision, accuracy and
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15 spatial resolution required of the final DEM. GCP deployment can be guided by
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17 conventional aerial survey design (e.g. Abdullah et al., 2013) for UAV surveys, but
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19 requires more site-specific considerations for ground-based image collection.
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21 Nevertheless, in both cases, the achieved performance of the network and of
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23 individual GCPs can be assessed in detail through Monte Carlo approaches (James
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25 et al., 2017). Finally, other potential errors may relate to potential shrinkage and
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27 swelling of peat surfaces. In gully systems these effects can be significant where
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29 associated with the formation of needle ice (Evans and Warburton, 2007).
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31 Therefore measurement campaigns should be planned to avoid periods of frost, as
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33 was the case in this study. Moisture related changes on relatively dry gully edges
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35 are regarded as minor, relative to the scale of the observed recession, but may
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37 contribute to measurement noise in short-term measurements.
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45 This study included widely spaced, but limited number of sites across England and
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47 Wales. These first measurements of 'whole gully' erosion rates in peat areas are a
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49 useful addition to our empirical knowledge of these systems and indicate the new
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51 scientific insights that could be derived from a wider national soil erosion survey. For
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53 visible erosion features, such as rills or gullies, such an approach, undertaken every
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55 year could provide an excellent basis for monitoring of annual soil erosion rates.
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3 However, increasing the survey interval to every three or five years would maximise
4 the potential for change detection in areas with relatively low erosion rates, minimise
5 relative error associated with vegetation cover and surface heave and increase the
6 cost-effectiveness of re-survey.
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11 12 13 14 15 Areal versus volumetric change 16

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19 The SfM modelling approach described above produces high resolution estimates of
20 vertical erosion, as well as volumetric erosion estimates, which can be interpreted as
21 true material fluxes from the landscape. As such they have particular value in
22 assessing the impact of erosion on biogeochemical cycling and off-site impacts.
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24 While upland erosion rates are strongly controlled by the presence or absence of
25 vegetation, volumetric erosion estimates are able to demonstrate change in rates of
26 bare ground erosion.
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37 The SfM approach developed and trialled herein provides high resolution data for
38 relatively small features (< 0.1 ha). In order to understand the true extent of upland
39 soil erosion, it is necessary to upscale these measurements to larger areas.
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41 However, areal estimates of percentage bare ground cannot substitute volumetric
42 measurement in erosion monitoring as hydroclimatic trends under climate change
43 scenarios may affect erosion rates from existing areas of bare ground without
44 necessarily leading to an expansion of the un-vegetated area. An effective
45 monitoring scheme for upland soil erosion should therefore combine both areal and
46 volumetric measurement of erosion rates, using UAV SfM for this upscaling.
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Cost-effectiveness

In terms of field data capture, on a per-site basis the cost, in person-hours, of GP was less than TLS and less than UAV but on an areal basis TLS was cheaper than UAV and than GP. UAV and TLS processing times were comparable and significantly less than GP particularly for large areas (see table 5). This was due to the small photo footprint and the greater photo density derived from GP. Both SfM techniques were much less costly than TLS; UAV representing only 1.5% and GP representing only 0.8 % of the TLS software and equipment costs.

Several practical lessons were learnt from this pilot study. Firstly, it is important to allow sufficient time for training personnel in the use of these techniques. We estimate that about three months of full-time effort are required before the SfM-based photographic techniques can be deployed in the field with confidence, in a variety of weather conditions. This includes training in taking high quality photographs both from UAV and GP, an appreciation of the number of photos required for reconstruction of accurate models, an ability to operate the UAV and DGPS with confidence in unpredictable weather conditions, troubleshoot technical problems and deploy suitable GCP markers that can be clearly identified in the resulting images. Secondly, the remoteness of locations and prevailing weather conditions in upland areas present a challenge so field survey at our study sites was largely restricted to the summer months when visibility and wind conditions were optimal. Here, the ground-based photographic techniques have an advantage over the TLS, which weighs ~18 kg. Although the SFM as the equipment was more

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3 lightweight and portable, it was still necessary to carry DGPS equipment, which
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5 weighs ~15 kg.
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8 9 **Conclusions**

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14 This research compared three remote sensing techniques - terrestrial laser scanning
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16 and 3D surface reconstructions from ground based and aerial photography - for
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18 estimating volumetric soil loss due to soil erosion in upland landscapes. There was
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20 a close correlation between the two photographic techniques, both of which
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22 performed well when compared to TLS as a benchmark. The UAV cost-
23
24 effectiveness compared favourably with the other two techniques on a per-hectare
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26 basis, for areas > 0.2 ha, and appears to be most suitable for monitoring of extensive
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28 visible soil erosion features, although high wind speeds and mist may be limiting its
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30 deployment in adverse weather conditions. Ground-based photography was most
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32 cost-effective for plot-scale surveying of smaller areas with intricate erosion features,
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34 in a range of terrains and weather conditions; however it was not cost-effective for
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36 deployment over large survey areas. Ground-based photography was the cheapest
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38 in terms of equipment costs, while UAV-based photography was more efficient in
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40 terms of data post-processing time. Although combining 3D models derived from
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42 both UAV and ground-based photography was beyond the scope of this study,
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44 further research should explore the cost effectiveness of this combined approach
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46 and whether it would yield significant improvements in the accuracy of volumetric
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48 estimates for intricate soil erosion features. The photographic techniques were
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50 capable of detecting change from annual repeat surveys on peaty soils in these
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52 dynamic landscapes and thus elucidate the rates and processes of upland gully
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3 erosion. The data from the study suggest that gully erosion rates from bare peat
4 surfaces exceed previous estimates because of the ability for aerially extensive
5 measurements to integrate localised erosion by running water as well as more
6 extensive rainsplash and wind erosion.
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11 12 13 14 **Acknowledgements**

15
16 This research was funded by and carried out under the UK Department for
17 Environment, Food and Rural Affairs project SP1311 'Piloting a cost-effective
18 framework for monitoring soil erosion in England and Wales'. We would like to thank
19 two anonymous referees for their informative comments that helped to improve this
20 manuscript.
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Table 1. Location and soil characteristics of the 10 study locations. *Based on Cranfield University (2015) The Soils Guide. Available: www.landis.org.uk. Cranfield University, UK. Accessed 30/10/2015

Site name	Latitude	Longitude	World Reference Base soil classification*	Erosion feature (Bower, 1961)	Survey extent km ²
Forest of Bowland	53°57'08.74"N	002°38'09.36"W	Stagnosol and histosol	Type 1 gullies	0.04
Howgill Fells	54°25'14.55"N	002°30'16.40"W	Umbrisol, podzol and stagnosol	Shallow landslides	0.03
Waun Fach	51°57'45.97"N	003°08'35.49"W	Histosol	Plateaux erosion	0.03
Southern Scar	51°59'57.72"N	003°04'48.21"W	Histosol	Eroded to mineral ground	0.02
Upper North Grain	53°26'31.43"N	001°50'07.00"W	Podzol and histosol	Type 1 and 2 gullies	0.04
Hangingstone Hill	50°39'19.45"N	003°57'28.35"W	Histosol	Revegetated Type 1 gullies and peat hags	0.18
Moorhouse	54°41'03.32"N	002°22'16.32"W	Histosol	Re-vegetated Type 1 gullies	0.07
Migneint 1	52°58'05.25"N	003°50'24.13"W	Histosol	Eroded but recovering	0.05

				pool and hummock system	
Migneint 2	52°59'24.57"N	003°48'35.56"W	Histosol	Type 1 gully	0.04
Nateby Moor	54°26'14.09"N	002°17'38.14"W	Histosol	Type 1 and 2 gullies, peat hags	0.02

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Table 2 Camera settings and acquisition characteristics for UAV SfM surveys.

Site and feature	Survey year	Camera	ISO	F-stop	Exposure time (s)	Fly height (m)	Focal length (mm)	Fly speed (m s ⁻¹)	Photo interval (s)	Pixel size (mm)
Forest of Bowland	2015	Canon SX260 HS	1250 - 1600	5.6 - 8	1/1250 – 1/1600	28	4	2.0	2.5	8
Waun Fach	2015	Canon PowerShot A2500	400	2.8	1/2000	25	5	2.0	2.5	6
Southern Scar	2015	Canon PowerShot A2500	400	2.8	1/2000	23	5	2.0	2.5	5
Upper North Grain	2014	Canon PowerShot A2500	100	2.8	1/1250	26	5	2.5	3	6
Hangingstone Hill	2014	Canon PowerShot A2500	100 - 200	2.8	1/1250 – 1/1600	40	5	2.5	3	10
Moorhouse	2014	Canon PowerShot A2500	100	2.8	1/1250	27	5	2.5	3	6
Migneint 1	2015	Canon PowerShot A2500	400	2.8	1/2000	23	5	2.0	2.5	6
Migneint 2	2015	Canon PowerShot SX260 HS	800	7.1	1/2000	23	4	2.0	2.5	7

Site and feature	Survey year	Camera	ISO	F-stop	Exposure time (s)	Fly height (m)	Focal length (mm)	Fly speed (m s ⁻¹)	Photo interval (s)	Pixel size (mm)
Howgill Fells	2015	Canon SX260 HS	400	8	1/1600	44	4	2.0	2.5	11
Nateby Moor	2015	Canon SX260 HS	1250	8	1/1600	24	4	2.0	2.5	7

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Table 3 RMSE of the DEMs of difference between GP-based SfM and TLS and between UAV-based SfM and TLS at the scale of gully features.

	Survey year	Photo density (No. of photos m ⁻²)		No. of GCPs included in model reconstruction		RMSE (m) based on GCPs within Agisoft Photoscan		Approx. mean observation distance (m)		DoD RMSE (m)	
		GP	UAV	GP	UAV	GP	UAV	GP	UAV	GP/TLS	UAV/TLS
Forest of Bowland	2014	1.39		25		0.291		4		0.166	0.129
	2015	1.41	0.015	36	21	0.035	0.024	4	28	0.148	0.125
Howgill Fells	2014	0.71	n/a	11	n/a	0.232	n/a	10	n/a	0.321	n/a
Waun Fach											
Feature B	2014	1.39	n/a	18	n/a	0.055	n/a	5	n/a	0.114	n/a
Feature C	2014	0.92	n/a	18	n/a	0.043	n/a	4	n/a	0.085	n/a
	2015	0.24	0.015	20	22	0.017	0.013	8	25	0.080	0.075
Feature D	2014	2.82	n/a	20	n/a	0.061	n/a	3	n/a	0.033	n/a
	2015	1.12	0.015	23	22	0.023	0.013	4	25	0.064	0.064
Southern Scar											
Feature E	2014	1.39	n/a	12	n/a	0.081	n/a	4	n/a	0.147	n/a

	Survey year	Photo density (No. of photos m ⁻²)		No. of GCPs included in model reconstruction		RMSE (m) based on GCPs within Agisoft Photoscan		Approx. mean observation distance (m)		DoD RMSE (m)	
		GP	UAV	GP	UAV	GP	UAV	GP	UAV	GP/TLS	UAV/TLS
	2015	0.43	0.002	21	6	0.024	0.012	5	23	0.097	0.098
Feature F	2014	2.05	n/a	19	n/a	0.060	n/a	5	n/a	0.064	n/a
	2015	0.95	n/a	18	n/a	0.017	n/a	5	n/a	0.052	n/a
Feature G	2014	0.61	n/a	19	n/a	0.052	n/a	5	n/a	0.104	n/a
	2015	0.30	0.002	30	6	0.021	0.021	6	23	0.056	0.050
Upper North Grain											
Feature A	2014	1.39	0.009	22	4	0.034	0.045	6	26	0.177	0.351
Feature B	2014	1.85	n/a	18	n/a	0.017	n/a	6	n/a	0.142	n/a
Feature C	2014	2.78	n/a	15	n/a	0.017	n/a	5	n/a	0.152	n/a
Feature D	2014	0.54	n/a	18	n/a	0.034	n/a	6	n/a	0.069	n/a
Hangingstone Hill											
Feature A	2014	1.39	0.004	16	3	0.023	0.004	5	40	0.097	0.131
Feature B	2014	1.77	0.004	12	3	0.083	0.004	5	40	0.124	0.117
Feature C	2014	1.92	0.004	28	3	0.018	0.004	7	40	0.144	0.167

	Survey year	Photo density (No. of photos m ⁻²)		No. of GCPs included in model reconstruction		RMSE (m) based on GCPs within Agisoft Photoscan		Approx. mean observation distance (m)		DoD RMSE (m)	
		GP	UAV	GP	UAV	GP	UAV	GP	UAV	GP/TLS	UAV/TLS
Moorhouse											
Feature A	2014	1.39	0.007	8	5	0.037	0.132	8	27	0.190	0.103
Feature B	2014	1.12	0.007	31	5	0.202	0.132	7	27	0.106	0.085
Feature C	2014	1.17	0.007	20	5	0.025	0.132	8	27	0.088	0.096
Migneint 1											
Feature A	2014	1.39	n/a	22	n/a	0.029	n/a	7	n/a	0.116	n/a
	2015	1.19	0.015	19	6	0.018	0.012	5	23	0.115	0.127
Feature B	2014	4.15	n/a	16	n/a	0.018	n/a	4	n/a	0.105	n/a
	2015	2.95	n/a	21	n/a	0.016	n/a	4	n/a	0.270	n/a
Feature C	2014	1.39	n/a	18	n/a	0.014	n/a	5	n/a	0.107	n/a
	2015	2.66	0.016	31	6	0.016	0.012	4	23	0.078	0.142
Migneint 2											
Feature A	2014	1.39	n/a	24	n/a	0.014	n/a	11	n/a	0.085	n/a
	2015	0.38	0.016	18	15	0.020	0.016	5	23	0.110	0.110

	Survey year	Photo density (No. of photos m ⁻²)		No. of GCPs included in model reconstruction		RMSE (m) based on GCPs within Agisoft Photoscan		Approx. mean observation distance (m)		DoD RMSE (m)	
		GP	UAV	GP	UAV	GP	UAV	GP	UAV	GP/TLS	UAV/TLS
Feature B	2014	0.69	n/a	11	n/a	0.024	n/a	5	n/a	0.127	n/a
	2015	0.35	0.016	21	15	0.018	0.016	6	23	0.232	0.231
Feature C	2014	1.83	n/a	18	n/a	0.024	n/a	4	n/a	0.079	n/a
	2015	0.85	0.016	27	15	0.021	0.016	6	23	0.107	0.157
Howgill Fell											
Feature A	2015	0.87	0.013	12	28	0.016	0.034	7	44	0.268	0.319
Feature B	2015	0.93	0.013	13	28	0.055	0.034	7	44	0.114	0.083
Nateby Moor											
Feature A	2015	0.82	0.019	19	16	0.011	0.025	5	24	0.063	0.071
Feature B	2015	0.93	0.019	16	16	0.018	0.025	6	24	0.059	0.087

Table 4 Gully floor volume estimates and erosion rate estimates for each site.

Site name	Gully Floor SFM Volume (m ³)		Area (m ²)	Volumetric change (m ³)	Mean vertical erosion (m)
	GP2014 +/- error	GP 2015 +/- error			
Forest of Bowland	67.03 +/- 3.18	76.64 +/- 3.18	106	9.61	0.091
Waun Fach B	60.01 +/- 8.13	76.02 +/- 8.13	271	16.01	0.059
Southern Scar E	54.08 +/- 7.14	46.6 +/- 7.14	238	-7.48	-0.031
Southern Scar F	94.2 +/- 6.09	93.75 +/- 6.09	203	-0.45	-0.002
Southern Scar G	98.72 +/- 22.29	98.25 +/- 22.29	743	-0.47	0.000
Migneint 1 A	31.24 +/- 2.73	33.54 +/- 2.73	91	2.30	0.025
Migneint 1 B	14.05 +/- 0.9	17.28 +/- 0.9	30	3.23	0.108
Migneint 1 C	15.16 +/- 0.93	15.79 +/- 0.93	31	0.63	0.020
Migneint 2 A	178.66 +/- 7.74	186.03 +/- 7.74	258	7.37	0.286
Migneint 2 B	40.25 +/- 3.96	48.02 +/- 3.96	132	7.77	0.059
Migneint 2 C	58.14 +/- 3.12	59.23 +/- 3.12	104	1.09	0.010
Mean				3.60	0.033

Table 5 Comparison of the cost-effectiveness of the three deployed techniques per site and per hectare area surveyed. The mean time estimates for the 10 sites include two operators for each technique to allow for fieldwork safety considerations.

	UAV (hours)		TLS (hours)		GP (hours)	
	per site	per ha surveyed	per site	per ha surveyed	per site	per ha surveyed
Field data capture (initial walk over survey, site marking, field surveying)	10.4	3.5	6.5	1.9	5.0	77.4
Post-processing CPU time	2.6	0.9	13.3	3.9	39.4	606.0
Post-processing person time (data cleaning, point cloud registration, DEM elaboration)	3.0	1.0	6.9	2.0	3.2	49.6
Mean person time per technique	13.4	4.5	13.4	3.9	8.2	127.0
Approx. cost of equipment (incl. hardware and software)	£1,500		£100,000		£750	

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3 Fig. 1 Location of the 10 upland study sites in England and Wales.
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7 Fig. 2 Schematic showing a) a point cloud derived from a UAV SfM survey at Forest
8 of Bowland, with a GP SfM gully model superimposed b) The GP SfM point cloud
9 model in detail with c) an example cross-section.
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16 Fig. 3 Relationships between gully volume estimates made by a) GP and TLS b)
17 UAV and TLS c) GP and UAV. Lines represent the line of best fit using linear
18 regression.
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25 Fig. 4 Ratio between gully volume estimates derived from a) GP and TLS and b)
26 UAV and TLS techniques. The lines represent perfect fit with a ratio = 1
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32 Fig. 5 DEM of difference 2015-2014 cropped to include only bare ground within
33 eroding gullies, for gully floor at Forest of Bowland shown in Fig 2. Positive values
34 represent erosion. It is apparent that significant erosion is recorded at this site
35 (mean vertical erosion 0.091 m a^{-1}).
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44 Fig. 6 Mean vertical change in gully floor erosion at four survey sites. Error bars
45 show the 3 cm accuracy of the DGPS device.
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Appendix 1 Derived erosion estimates for all features surveyed at the 10 study sites.

Site and feature	Description	Survey year	Estimated volume (m ³)			Relative error in volume estimation (%)		Volume difference ratio		Gully area (m ²)
			GP	TLS	UAV	GP	UAV	GP/TLS	UAV/TLS	
Forest of Bowland	Narrow peat gully	2014	138.4	123.3	n/a	12.25	n/a	1.12	n/a	360
		2015	151.6	158.9	155.8	-4.59	-1.95	0.95	0.98	
Howgill Fells	Shallow sheep scar, upland grassland	2014	256.7	248.6	n/a	3.26	n/a	1.03	n/a	247
Waun Fach B	Shallow gully, upland grassland	2014	136.7	143.3	n/a	-4.61	n/a	0.95	n/a	535
Waun Fach C	Shallow broken ground/footpath, UG	2014	277.1	262.2	n/a	5.68	n/a	1.06	n/a	1073
		2015	92	84.9	110.7	8.36	30.39	1.08	1.30	
Waun Fach D	Shallow broken ground, UG	2014	13.1	13.2	n/a	-0.76	n/a	0.99	n/a	219
Southern Scar E	Shallow, fire	2014	67.7	68.2	n/a	-0.73	n/a	0.99	n/a	442

Site and feature	Description	Survey year	Estimated volume (m ³)			Relative error in volume estimation (%)		Volume difference ratio		Gully area (m ²)
			GP	TLS	UAV	GP	UAV	GP/TLS	UAV/TLS	
	damaged peat gully	2015	58.2	71	45.9	-18.03	-35.35	0.82	0.65	
Southern Scar F	Shallow, fire damaged peat gully	2014	51.8	52.1	n/a	-0.58	n/a	0.99	n/a	303
		2015	53.3	48.8	n/a	9.22	n/a	1.09	n/a	
Southern Scar G	Wide, fire damaged peat gully	2014	209	219.6	n/a	-4.83	n/a	0.95	n/a	1238
		2015	192.8	211.2	213	-8.71	0.85	0.91	1.01	
Upper North Grain A	Narrow, deep steep-sided peat gully	2014	289.3	287.6	308.9	0.59	7.41	1.01	1.07	435
Upper North Grain B	Narrow, deep steep-sided peat gully	2014	293.4	286	n/a	2.59	n/a	1.03	n/a	461
Upper North	Narrow, deep	2014	64.2	71.7	n/a	-10.46	n/a	0.90	n/a	178

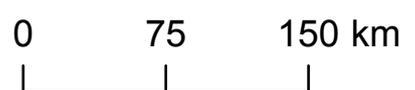
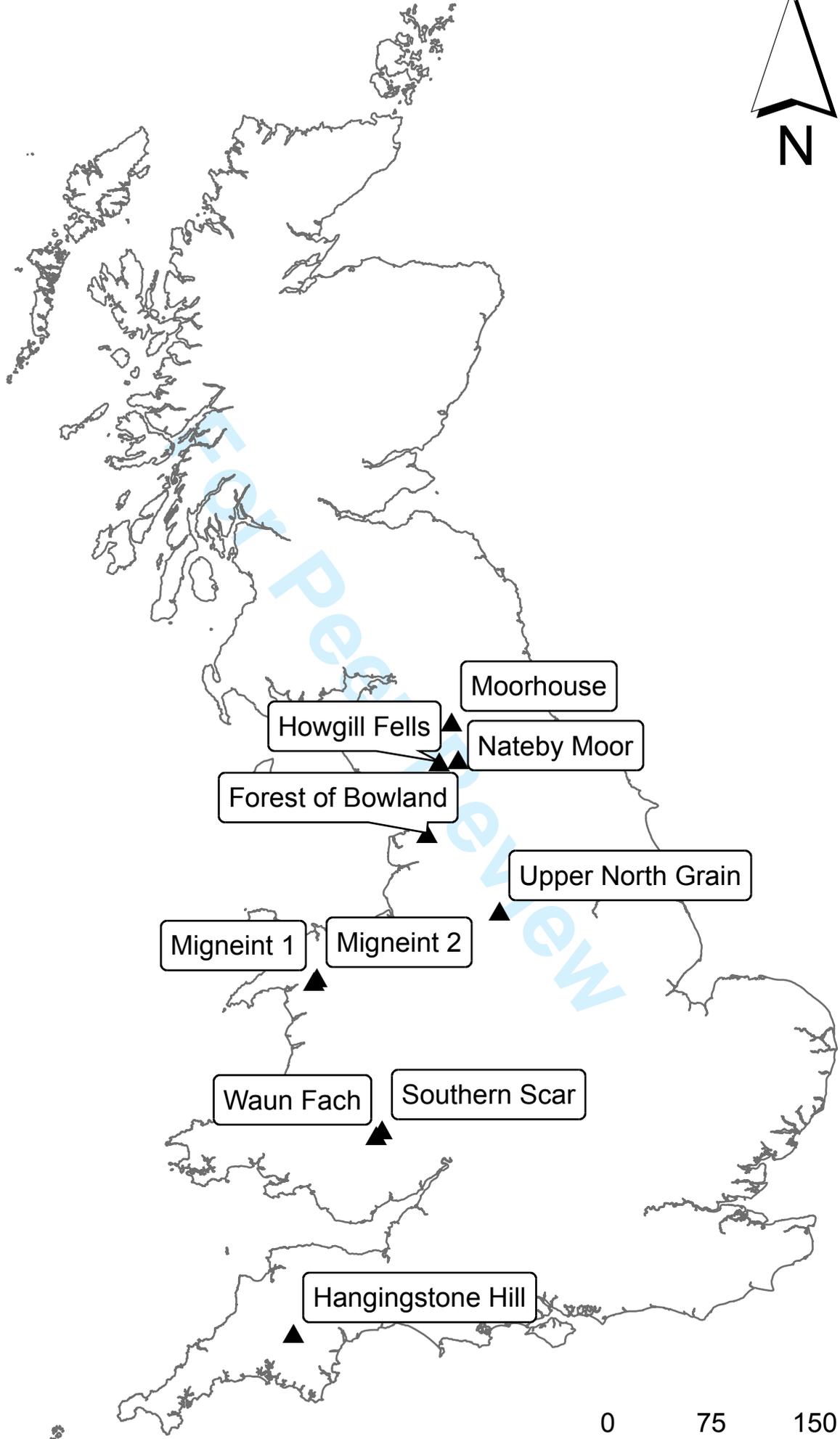
Site and feature	Description	Survey year	Estimated volume (m ³)			Relative error in volume estimation (%)		Volume difference ratio		Gully area (m ²)
			GP	TLS	UAV	GP	UAV	GP/TLS	UAV/TLS	
Grain C	steep-sided peat gully									
Upper North Grain D	Shallow broken-ground, peat	2014	108.1	110.2	n/a	-1.91	n/a	0.98	n/a	666
Hangingstone Hill A	Wide, peat-hag bog	2014	63.2	66.5	54.3	-4.96	-18.35	0.95	0.82	214
Hangingstone Hill B	Wide vegetated gully channel	2014	91.3	102	80.7	-10.49	-20.88	0.90	0.79	336
Hangingstone Hill C	Wide vegetated gully channel	2014	88.4	99.7	83.8	-11.33	-15.95	0.89	0.84	283
Moorhouse A	Wide vegetated gully channel	2014	134.4	134.8	132.2	-0.30	-1.93	1.00	0.98	195
Moorhouse B	Wide vegetated gully channel	2014	120.3	135.4	n/a	-11.15	n/a	0.89	n/a	486
Moorhouse C	Wide, deep	2014	164.9	154.0	151.0	7.08	-1.95	1.07	0.98	362

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Site and feature	Description	Survey year	Estimated volume (m ³)			Relative error in volume estimation (%)		Volume difference ratio		Gully area (m ²)
			GP	TLS	UAV	GP	UAV	GP/TLS	UAV/TLS	
	vegetated gully channel									
Migneint 1 A	Narrow, peat-hag bog	2014	47.9	48.4	n/a	-1.03	n/a	0.99	n/a	205
		2015	42.3	58.6	40.3	-27.82	-31.23	0.72	0.69	
Migneint 1 B	Narrow peat gully	2014	23	24.7	n/a	-6.88	n/a	0.93	n/a	104
		2015	33.6	29.0	n/a	15.86	n/a	1.16	n/a	
Migneint 1 C	Narrow peat gully	2014	28.6	30.2	n/a	-5.30	n/a	0.95	n/a	137
		2015	28.2	30.5	15.5	-7.54	-49.18	0.92	0.51	
Migneint 2 A	Wide, deep vegetated gully channel	2014	414	435.6	n/a	-4.96	n/a	0.95	n/a	619
		2015	401.9	412.9	394.8	-2.66	-4.38	0.97	0.96	
Migneint 2 B	Wide, deep vegetated gully channel	2014	201	226.5	n/a	-11.26	n/a	0.89	n/a	714
		2015	178.9	244.4	179.5	-26.80	-26.55	0.73	0.73	
Migneint 2 C	Wide, deep	2014	115.7	105.5	n/a	9.67	n/a	1.10	n/a	308

Site and feature	Description	Survey year	Estimated volume (m ³)			Relative error in volume estimation (%)		Volume difference ratio		Gully area (m ²)
			GP	TLS	UAV	GP	UAV	GP/TLS	UAV/TLS	
	vegetated gully channel	2015	111.4	110.2	86.5	1.09	-21.51	1.01	0.78	
Howgill A	Deep scar, upland grassland	2015	1195.4	1171.4	1143.5	2.05	-2.38	1.02	0.98	525
Howgill B	Deep scar, upland grassland	2015	337.6	350.3	353.0	-3.63	0.77	0.06	1.01	313
Nateby Moor A	Narrow peat gully	2015	74.2	78.9	71.9	-5.96	-8.87	0.94	0.91	232
Nateby Moor B	Narrow peat gully	2015	84.8	87.6	77.6	-3.20	-11.42	0.97	0.89	165

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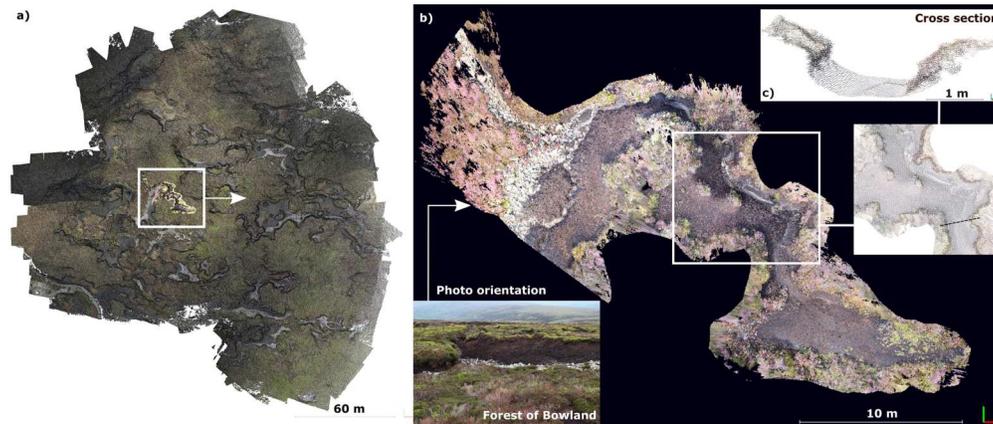


Fig. 2 Schematic showing a) a point cloud derived from a UAV SfM survey at Forest of Bowland, with a GP SfM gully model superimposed b) The GP SfM point cloud model in detail with c) an example cross-section.

164x69mm (300 x 300 DPI)

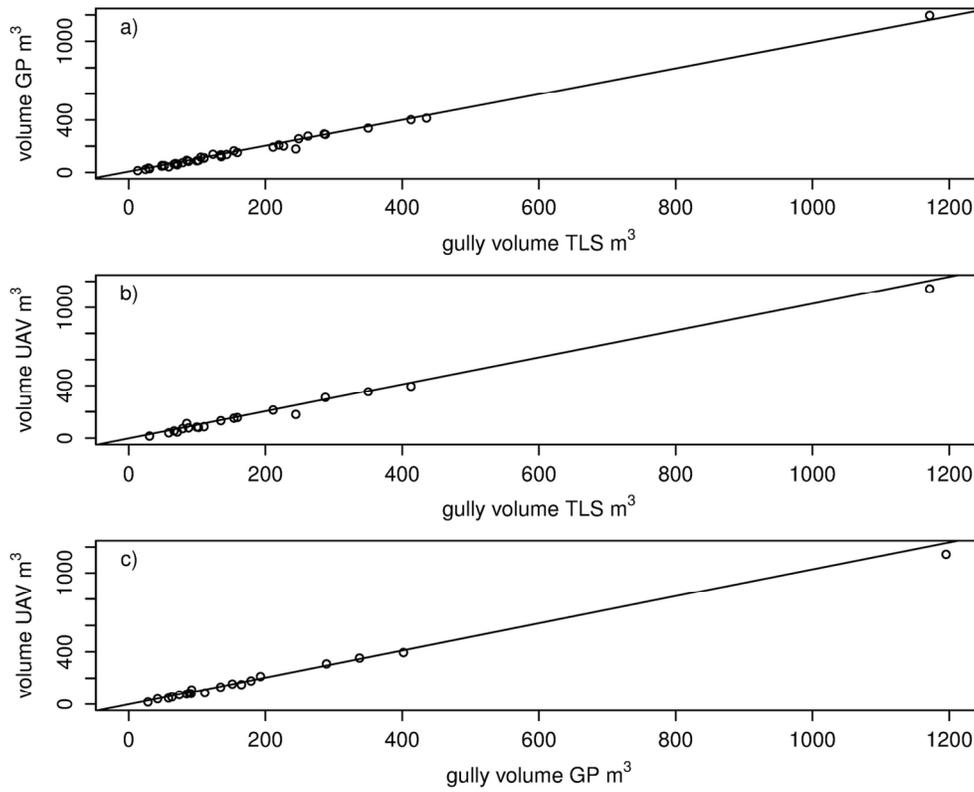


Fig. 3 Relationships between gully volume estimates made by a) GP and TLS b) UAV and TLS c) GP and UAV. Lines represent the line of best fit using linear regression.

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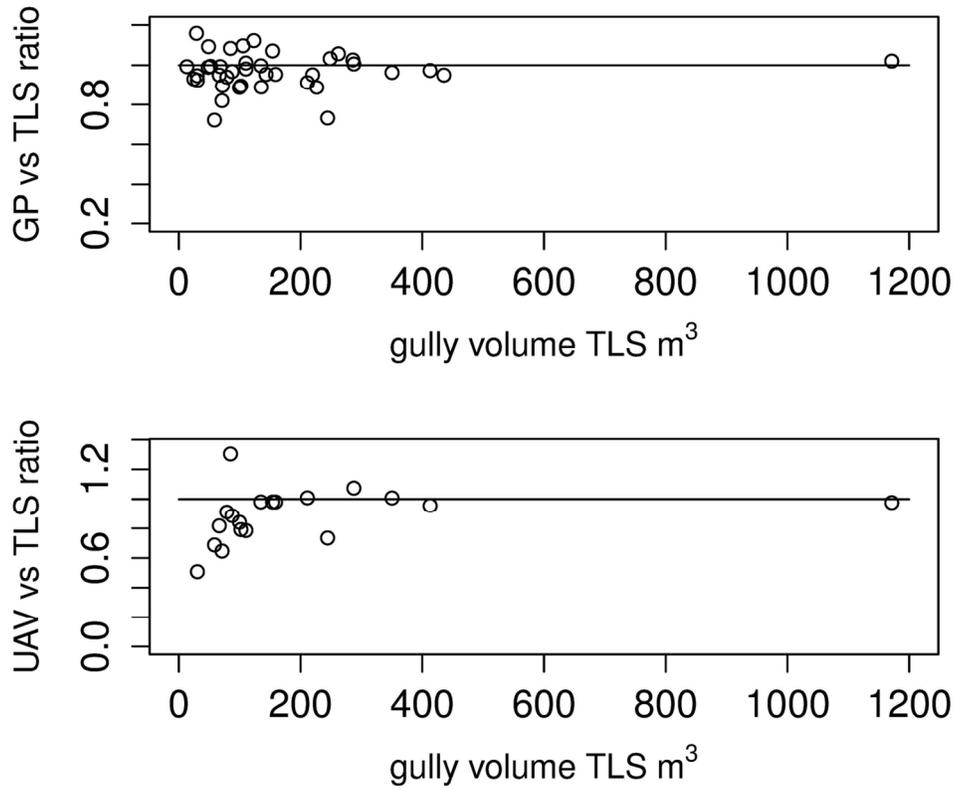


Fig. 4 Ratio between gully volume estimates derived from a) GP and TLS and b) UAV and TLS techniques. The lines represent perfect fit with a ratio = 1

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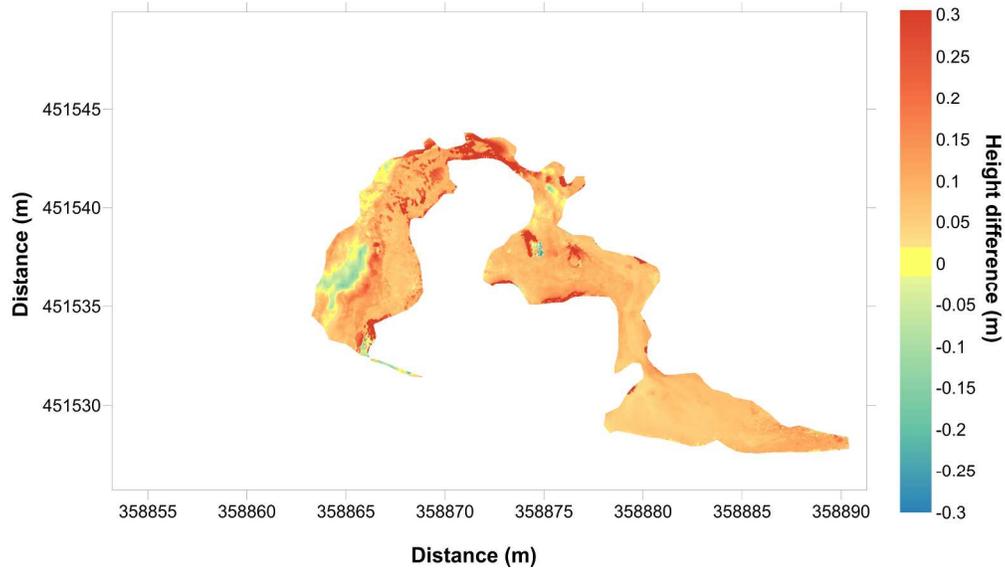


Fig. 5 DEM of difference 2015-2014 cropped to include only bare ground within eroding gullies, for gully floor at Forest of Bowland shown in Fig 2. Positive values represent erosion. It is apparent that significant erosion is recorded at this site (mean vertical erosion 0.091 m a-1).

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For Peer

