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1	Which persistent organic pollutants in the rivers of the Bohai Region of China
2	represent the greatest risk to the local ecosystem?
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17 Abstract

Freshwater aquatic organisms can be exposed to hundreds of persistent organic 18 19 pollutants (POPs) discharged by natural and anthropogenic activities. Given our limited 20 resources it is necessary to identify, from the existing evidence, which is the greatest 21 threat so that control measures can be targeted wisely. The focus of this study was to 22 rank POPs according to the relative risk they represent for aquatic organisms in rivers 23 in the Bohai Region, China. A list of 14 POPs was compiled based on the available data 24 on their presence in these rivers and ecotoxicological data. Those that were widely 25 detected were benzo[a]pyrene, p,p'-DDE, p,p'-DDT, endrin, fluoranthene, heptachlor, 26 hexabromocyclododecane, hexachlorobenzene, α -hexachlorocyclohexane, γ-27 hexachlorocyclohexane, naphthalene, perfluorooctanoic acid, perfluorooctane 28 sulfonate and phenanthrene. Effect concentrations were compiled for Chinese relevant 29 and standard test species and compared with river aqueous concentrations. Only bed-30 sediment concentrations were available so water levels were calculated based on the 31 known local sediment organic carbon concentration and the Koc. The POPs were ranked 32 on the ratio between the median river and median effect concentrations. Of the POPs 33 studied, fluoranthene was ranked as the highest threat, followed by phenanthrene, 34 naphthalene and p,p'-DDE. The risk from p,p'-DDE may be magnified due to being 35 highly bioaccumulative. However, the greatest overlap between river concentrations 36 and effect levels was for lindane. Overall, fish was the most sensitive species group to 37 the risks from POPs. Hotspots with the highest concentrations and hence risk were

- 38 mainly associated with watercourses draining in Tianjin, the biggest city in the Bohai
- 39 Region.
- 40

41 Key words:

42 Ecological risk; POPs; Fluoranthene; Risk ranking; Bohai Region

44 **1. Introduction**

Persistent organic pollutants (POPs) are of concern globally due to their 45 46 persistence, long-range transportation, bioaccumulation and toxicity to wildlife. Perhaps the best example of the potentially devastating impact of POPs was that of 47 48 DDT and the associated DDE on birds of prey (Ratcliffe, 1967). Consequently, many 49 POPs are now subject to a great deal of monitoring to assess the exposure and risks 50 from such chemicals (Wong et al., 2005; Doney, 2010; Letcher et al., 2010; Covaci et 51 al., 2011; Elliott and Elliott, 2013). Many POPs which are extremely persistent, such as PCBs and lindane, have been banned or restricted by international conventions, so 52 53 some decrease in environmental exposure is starting to occur (Lohmann et al., 2007). 54 However, human society still needs stable organic molecules with properties such as 55 fire resistance (eg HBCDs), non-reactivity (eg PFOS) and plasticising properties (short 56 chain chlorinated paraffins), so the environment will continue to be exposed to such chemicals, but just how much of a risk do they represent? 57

58 The Bohai coastal region, located to the east of Beijing is one of the China's most 59 important manufacturing areas. It includes the provinces of Shandong, Tianjin, Hebei 60 and Liaoning which have benefitted from rapid industrialisation since the late 1970's. 61 Apart from its industrial base, the region has a combined population of 231 million people (National Bureau of Statistics, 2014). There are more than 40 rivers flowing into 62 63 the Bohai Sea, a semi-enclosed sea, and they convey many chemical pollutants to the 64 Bohai Sea (Wang et al., 2014; Wang et al., 2015b). With the Chinese rush for growth 65 there have been concerns about a resultant chemical pollution of the environment, such as pesticides (Zhang et al., 2009), polycyclic aromatic hydrocarbons (Wang et al., 2015a), polychlorinated biphenyls (Zhao et al., 2005), perfluoroalkyl and polyfluoroalkyl substances (Wang et al., 2014) and hexabromocyclododecanes (Zhang et al., 2016) in the Bohai region. China is now taking steps to ban many of the most persistent organic pollutants (POPs) as indicated by the Stockholm Convention. Whilst some pollutants may no longer be discharged and could be considered a legacy of the past, others may still be generated, for example from combustion processes.

73 There is now an increasing appreciation for the need to better protect the natural 74 environment in China, such as the Water Pollution Control Action Plan in 2015 and the 75 Soil Pollution Control Action Plan in 2016 issued by the State Council. However, with so many kinds of chemical contaminants being discovered and monitored, it is 76 77 important to find some ways for identifying which represent the greatest risk. This is a problem for the whole world and not just China. In Europe, as part of the Water 78 79 Framework Directive chemicals were identified as being of special concern (priority 80 and hazardous substances) on the basis of several properties including persistence and 81 different toxic properties. However, a recent approach has been proposed which argues 82 that only two factors are critical, toxicity and exposure, and that relative risk can be 83 assessed from the proximity of the median exposure and toxicity concentrations 84 (Donnachie et al., 2014; Donnachie et al., 2015). In this study the environmental 85 concentrations of POPs which have been well monitored in the freshwater Bohai coastal 86 region were compared with the available information on toxicity concentrations. The 87 objective was to identify which currently well studied POPs should be considered of88 greatest threat to wildlife in the region?

89 **2. Method**

90 2.1. Approach to risk ranking

91 The risk ranking approach, which compares levels of chemicals in the 92 environments and effect concentrations in ecotoxicological tests, has been applied in the UK for metals and pharmaceuticals (Donnachie et al., 2014; Donnachie et al., 2015). 93 94 To obtain measured environmental data for the Bohai region, literature from both 95 English and Chinese sources were reviewed. For toxicity information, the US EPA 96 ECOTOX Database, as well as a wider literature review was used. With environmental 97 data and effect data collected, the final risk ranking compared the proximity of the 98 medians of both datasets. In this study the ecotox dataset typically comprised 8 to 90 99 entries (see SI). So the median was considered a robust (or fair) comparator of relative 100 risk between chemicals. It is important to note that this approach is different from 101 traditional risk assessment, where something like the 5% percentile toxicity 102 concentration, or lowest observable ecotoxicity concentration (LOEC) or predicted no 103 effect concentration (PNEC) is used as a comparator. These methods often put great 104 weight on only a few data points and the danger is that some of these studies may be 105 weak and unrepeatable (Harris et al., 2014). It should be acknowledged that where the 106 median ecotox value is quite similar between chemicals, the ranking should not be seen 107 as absolute, and that the output is a relative ranking rather than an absolute risk 108 probability.

109 2.2. Chemicals selected for this study

110 The selection of chemicals was determined both by their presence in the rivers of Bohai 111 region (the availability and quality of measured data) and by the degree of concern 112 expressed in the literature over their toxicity, persistence or potential to accumulate. 113 The persistent organic pollutants considered in this research included industrial 114 chemicals, pesticides and by-products of human activity. Fourteen chemicals were 115 selected from more than 20 groups of chemicals on the basis monitoring data 116 availability. The criteria used included having recent monitored data (2010-2015), 117 abundant freshwater sampling sites and a sufficient geographic spread across the Bohai 118 Region (Tab. 1 and Fig. 1). Lakes and reservoirs were not considered due to lack of 119 sufficient measurements.

- 120
- 121

Table 1	 Chemica 	ls assessed in	this study
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	Chemical name	Usage	Production
			status
1	α-Hexachlorocyclohexane (α-HCH)	Insecticide by-product	Banned
2	γ-hexachlorocyclohexane (γ-HCH)	Insecticide	Banned
3	Endrin	Insecticide	Banned
4	Heptachlor	Insecticide	Banned

5	<i>p</i> , <i>p</i> '-Dichlorodiphenyltrichloroethane (<i>p</i> , <i>p</i> '-DDT)	Insecticide	Restricted
6	<i>p</i> , <i>p</i> '-Dichlorodiphenyldichloroethylene (<i>p</i> , <i>p</i> '-DDE)	Degradation product of <i>p</i> , <i>p</i> '-D	DT
7	Hexachlorobenzene (HCB)	Industrial use chemical	Banned
8	Hexabromocyclododecane (HBCD)	Flame Retardant	Still produced
9	Perfluorooctanoic acid (PFOA)	Insulators for electric wires, planar etching of fused silica, fire fighting foam, and outdoor clothing	Restricted
10	Perfluorooctane sulfonate (PFOS)	Electric and electronic parts, fire fighting foam, photo imaging, hydraulic fluids and textiles	Restricted
11	Benzo[a]pyrene (B[a]P)	Unintentional production chem	nical
12	Fluoranthene (Flu)	Unintentional production chem	nical
13	Phenanthrene (Phe)	Unintentional production chem	nical
14	Naphthalene (Nap)	Unintentional production chem	nical

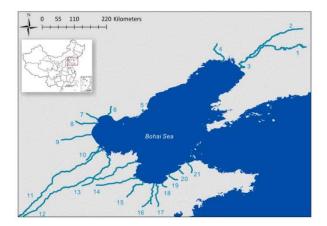




Figure 1. River segments with POPs concentrations reported in Bohai Region. 1.
Taizi River; 2. Hunhe River; 3. Liaohe River; 4. Daling River; 5. Luanhe River; 6.
Duohe River; 7. Yongding New River; 8. Dagu Drainage River; 9. Ziyaxihe River;
10. Zhangwei New River; 11. Majiahe River; 12. Tuhaihe River; 13. Yellow River;
14. Xiaoqinghe River; 15. Mihe River; 16. Weihe River; 17. Jiaolaihe River; 18.
Shahe River; 19. Wanghe River; 20. Jiehe River; 21. Huangshuihe River.

131 **2.3. Estimation of POPs concentration in water**

132 Whilst it may not be entirely appropriate for POPs, most available ecotoxicity 133 information for these chemicals is based on exposure through the water column. 134 However, most POPs, being moderately to highly hydrophobic, partition strongly to 135 river sediment. Due to the virtual absence of water column measurements or sediment-136 based toxicity data, predictions for the aqueous concentrations had to be made from 137 Bohai region river sediment values. Measured concentrations in the Bohai Region were searched from the literature in the Web of Science TM database for English publications 138 139 and CNKI database for Chinese publications. The partition theory can be used to 140 estimate water concentrations from measured sediment concentration. Koc, the organic

141 carbon-water partition coefficient is defined as

145 So the chemical concentration in water can be expressed as

147	In this study, prediction of water concentration was conducted for all chemicals
148	except PFOS and PFOA, which had sufficient water measurements. The partition
149	theory assumes equilibrium status on the surface of sediment. So the estimated water
150	concentration was close to the pore water concentration, which was likely to be several
151	times higher than the surface water concentration. The data collected in the previous
152	studies (Zhou et al., 2006; Tan et al., 2009) was used to test the deviation between the
153	predicted values and measured values. Compared with the pore water concentrations,
154	the relative deviations were 0.53 for α -HCH, 0.56 for γ -HCH, 0.48 for p,p'-DDE, 0.02
155	for p,p'-DDT, 0.02 for heptachlor and 4.1 for endrin. In comparison with the surface
156	concentrations, the relative deviations were 7.7 for α -HCH, 8.0 for γ -HCH, 4.4 for p,p'-
157	DDE, -0.1 for p,p'-DDT, 1.2 for heptachlor and 3.9 for endrin. Thus, predicted
158	concentrations may over-estimate water column levels, however, it could be considered
159	better to err on the precautionary side.

160 2.4. Effect data collection and selection

161 Literature giving effect data for the selected POPs was largely obtained from the 162 US EPA ECOTOX database, and when the dataset was not sufficient more literature was obtained using the Web of ScienceTM database and searched for via a series of key 163 164 words (Donnachie et al., 2014; Donnachie et al., 2015). Ecotoxicity data for Chinese 165 local freshwater species and standard test species were selected for each chemical. A 166 range of effect measurements were present in the literature including LOEC, EC50, 167 LC50, acute and chronic toxicity and all of these were collected. The effect data of 168 LC50 and EC50, was preferred for each species in each study. The widest range of 169 species and end-points were considered, to ensure that as representative a picture of 170 species and possible effects as possible was obtained. Where several studies reported 171 effect concentrations using the same end-point for one species then in this case only the 172 lowest effect concentration for a single species was used. Thus, the final ecotoxicity 173 dataset allocated a single value for a single species for a particular end-point. 174 Alternative approaches might have been to use the median of the ecotoxicity dataset 175 points for a single species, or simply used all the data, regardless of whether several of 176 the points are for the same species/end-point. When a comparison was made to look at 177 the impact on the overall median ecotoxicity value for a chemical it was found that 178 these choices made very little difference. The value of only plotting one data point per 179 end-point and species is it reveals clearly to the viewer the number of different species 180 available for analysis and does not give undue weight to commonly studied species.

181 2.5. Risk analysis

Once the datasets for ecotoxicology and river measurements were considered sufficient, the information included in them could be plotted and the medians noted. The difference between these medians can be described as a risk ratio, which can be used to rank concern; the larger the value, the greater the concern (Equation 4).

187 Where *mW* is the median river water concentration ($\mu g/L$) and *mT* is the median 188 effect concentration ($\mu g/L$).

189 3. Results and discussion

190 3.1. Risk ranking: which chemicals posed the greatest threat to wildlife?

191 The approach used was able to rank the 14 chemicals considered on the basis of risk (Fig. 2 and Fig. 3). The risk ratios ranged from 1×10^{-3} to 1×10^{-7} , so this method 192 193 suggests most wildlife would not be suffering unacceptable direct toxic effects via 194 water exposure in rivers in the Bohai Region. Based on the median risk ratio, the PAHs 195 group tended to be the POPs of greatest concern for the Bohai Region, with Flu, Phe, Nap and B[a]P ranking 1st, 2nd, 3rd and 5th. These were followed in terms of risk by 196 traditional pesticides including p,p'-DDE and γ -HCH ranking 4th and 6th. The novel 197 POPs including PFOA, HBCD and PFOS were further down ranking 7th, 9th and 12th. 198 199 The other selected POPs including endrin, α-HCH, heptachlor and HCB had the lowest relative risk. 200

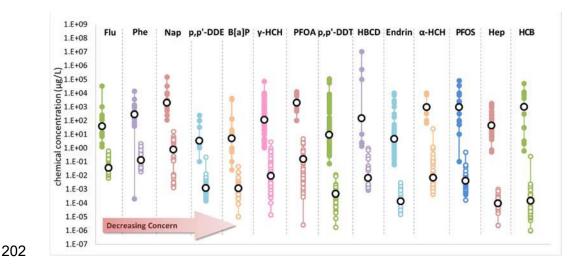


Figure 2. Risk ranking of 14 chemicals in rivers in Bohai Region. For each chemical
both the: effect concentrations data (solid filled circles) and: water concentrations
predicted from sediment measurements in Bohai Region (unfilled circles) are shown
side by side. The large black circles are the median points.



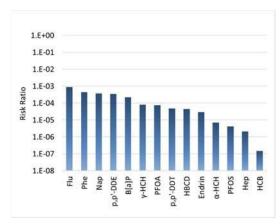
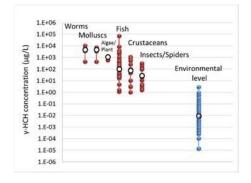


Figure 3. Risk ratio ranking of 14 chemicals based on comparison of the median

210 ecotoxicity and median river values

212	It is noted that for some of these chemicals there is an overlap, with some
213	estimated/measured water values exceeding some of the levels where effects have been
214	reported (Fig. 2). These include phenanthrene, DDE, benzo[a]pyrene, lindane, HBCD
215	and PFOS. Lindane (γ -HCH) had the largest overlap according to the number of species
216	involved. The insects/spiders were the most sensitive category, as well as crustaceans
217	and fish (Fig. 4). Thus, from the available ecotoxicity information the possibility exists
218	for lethal effects on insects such as mosquito (Culex sitiens) (Oh et al., 2013). Some
219	crustaceans, such as ostracod (Cypris subglobosa), might receive adverse effects such
220	as immobility (Cheng et al., 2011). Fish, such as walking catfish (Clarias batrachus)
221	and pool barb (Puntius sophore) may also experience lethal effects.



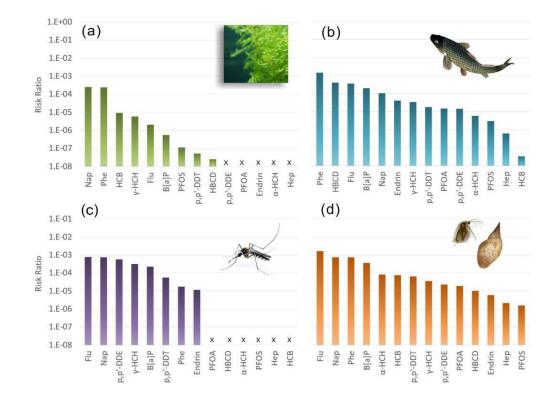
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Figure 4. Effect concentrations of different species groups (red) and environmental
level (blue) of γ-HCH in rivers in Bohai Region.

226

The effects data can be disaggregated into algae, fish, insects/spiders andinvertebrates/molluscs/crustaceans to examine their different sensitivities to these POPs.

- 229 Generally, fish were the most sensitive group of species to this group of POPs.
- 230 Insects/spiders and molluscs/crustaceans/invertebrates were less sensitive to POPs with



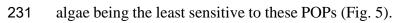


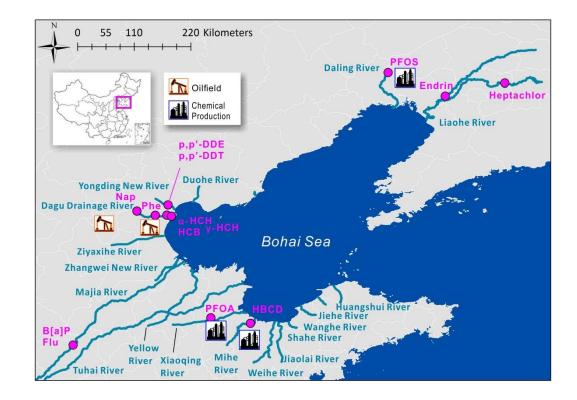
Figure 5. Risk ranking of chemicals for different groups of species. (a) algae, (b) fish,

(c) insects/spiders, (d) molluscs/crustaceans/invertebrates. X means not enoughecotoxic data available for this chemical.

236

237 **3.2.** Hot-spots: in which areas of the Bohai Region might POPs have the

238 greatest impacts?



239

Figure 6. Locations where the maximum concentrations were recorded.

242 The Dagu Drainage River featured as a hot-spot where 5 chemicals were found at 243 their highest concentrations (Fig. 6). It is 68 km long and is the primary drainage canal 244 for Tianjin City, which is one of the four municipalities directly under the National Central Government and is an important industrial centre, with a population of 11 245 246 million. The Dagu Drainage River, receives 0.8 million m³/day effluent from municipal 247 wastewater treatment plants and industrial and agricultural wastewaters along its way 248 to Bohai Sea (Li et al., 2011). In order to improve water quality, local government 249 dredged the contaminated sediment in this waterbody in 2008 and 2009, but clearly the POPs have not been eliminated. The Yongding New River, had the highest 250 251 concentrations of p,p'-DDE and p,p'-DDT, is a similar artificial river located in

Tianjin, which receives the river flows from Haihe River Basin and municipal andindustrial wastewater along its way to Bohai Bay as well.

254 3.3. Bioconcentration Factor (BCF) ranking of POPs

Whether a chemical is bioaccumulative has been a traditional concern of chemicals in risk assessment. A judgement on whether a chemical could be considered bioaccumulative has been linked to the bioconcentration factor, which is the partitioning of a chemical between the water phase and an aquatic organism. According to the European standard, a BCF value above 2000 is considered to be bioaccumulative and 5000 is considered very bioaccumulative (EC, 2006).

The median BCF value of each POP was examined, using data from the US EPA Ecotox Database and additional literature (Fig. 7). Of the top ranked POPs in this study (Fig. 2 and 3) only p,p'-DDE would be considered bioaccumulative. This could be an argument for raising our concern over this chemical within the top five ranked POPs in the rivers of the Bohai region.

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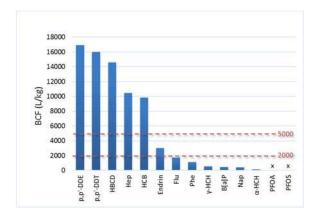


Figure 7. Ranking of POPs based on median value of Bioconcentration Factor (BCF).
A BCF value above 2000 is considered to be bioaccumulative and 5000 is considered
very bioaccumulative.

271

272 Local source of the PAHs

273 PAHs can be introduced into the environment from both natural and anthropogenic 274 processes including biomass burning, fossil fuel combustion, transportation emissions, 275 and petroleum industries (Yunker et al., 2002). As for the individual research in the 276 Bohai Region, the sources of PAHs in the environment were usually attributed by their 277 characteristic isomer ratios, such as InP/(InP+BghiP), Flu/(Flu+Pyr), An/(An+Phe) and 278 BaA/(BaA+Chr) (InP for indeno[1,2,3-cd]pyrene, BghiP for benzo[ghi]perylene, Flu 279 for fluoranthene, Pyr for pyrene, An for anthracene, Phe for phenanthrene, BaA for 280 benz[a]anthracene and Chr for chrysene). The ratios, as well as their sources 281 represented, varied in locations in these investigations, and even varied in sampling 282 sites in individual campaign. For the rivers involved, biomass and coal combustion was 283 the main source of PAHs in the rivers in the north of the Bohai Region such as the Dagu 284 Drainage River (He et al., 2011), Yongdingxinhe River (Wang et al., 2015a) and Daliao 285 River (Zheng et al., 2016). But in the south of the Bohai Region, the main source of 286 PAHs was petroleum and its combustion in the watersheds such as the Yellow River 287 (Wang et al., 2015a) and the Tuhai-Majia River (Liu et al., 2012). Previous studies on PAHs in the Bohai Rim indicated that their origin was a mix of combustion and 288

289 petroleum production (Zhang et al., 2009; Jiao, 2012; Jiao et al., 2013). Rapid 290 industrialization and urbanization in the Bohai Region increased the fossil fuel 291 consumption due to power generation, heating supply, industrial and commercial 292 activities and residents. In 2014, energy consumption in these four provinces was 932-293 million-ton standard coal equivalent including coal, petroleum and natural gas (Hebei 294 Government, 2015; Liaoning Statistical Bureau, 2015; Shandong Statistical Bureau, 295 2015; Tianjin Statistical Bureau, 2015), which amounted to 22% of the total energy consumption of China. In addition to biomass and coal combustion, the petroleum 296 297 industry may also be a direct source of PAHs from oilfield operations such as in Shengli 298 Oilfield, Jinzhou Oilfield and other oilfield drilling platforms in the Bohai Region.

299 Local source of pesticides

300 The compound p,p'-DDE is a degradation product of DDT, a pesticide which had 301 been widely used globally. DDTs had been produced in China since the 1950s, and 302 despite the the official ban in 1983 the use of DDTs in agriculture had not been 303 stopped until 2000 due to the use of pesticide dicofol with high impurity of DDTs 304 compounds (Tao et al., 2007; Liu et al., 2008). The ratios such as (DDE+DDD)/DDTs, 305 o,p'-DDT/p,p'-DDT were usually used to distinguish the sources of DDTs. These ratios 306 indicated that the DDTs in the rivers were the legacy from historical production and use 307 (Li et al., 2013; Gao et al., 2015), especially the use of the technical DDT before 1987 308 and the use of dicofol after 1987 in the Daling River (Wang et al., 2013)

309 Two types of HCHs had been used in China as pesticides, technical HCHs and 310 lindane. Technical HCHs (18% of γ -HCH) was used from the 1950s to 1983, while 311 lindane (99.9% of γ -HCH) in the 1990s. The ratio α -HCH/ γ -HCH indicated the 312 historical use of both technical HCHs and lindane in the Haihe River and the Daling 313 River (Li et al., 2013; Wang et al., 2013).

314 Local sources of flame retardants and per-fluorinated compounds

315 HBCDs are used as flame retardant in extruded/expanded polystyrene insulation 316 boards, textile, and electric/electronic products. Due to the limited effect data for the 317 individual isomers for α -, β - and γ -HBCD, they were considered as a whole technical mixture. PFOS and PFOA, known as PFASs are widely used in polymer, surfactants, 318 319 lubricants for their surface activity and heat/acid resistance. The biggest HBCD and 320 PFASs manufacturers in China are located in the Bohai Rim and support the whole 321 industrial chain in this region. Spatial analysis of PFAAs levels in the samples taken 322 from the rivers and producers indicated that the fluoropolymer industries along the 323 Xiaoqing River and the Daling River were the major sources of PFOA and PFOS in the 324 rivers in the Bohai Region (Wang et al., 2014; Meng et al., 2015; Zhu et al., 2015). The 325 spatial analysis and isomer ratio of γ -HBCD/ α -HBCD indicated that the manufacturing 326 was the major source of HBCD in the environment (Li et al., 2012; Zhang et al., 2016). 327 Extremely high levels of HBCD and PFASs in the world had been found in the 328 environment in the Bohai Region due to their high production and use.

Of the other chemicals examined, endrin, heptachlor and HCB presented much
lower risks. Endrin has not been produced in China and the production of heptachlor
and HCB and their application in agriculture were banned in 1983.

332

333 **3.4.** Uncertainties and limitations of the study

334 This study can only be as strong as the existing monitoring and ecotoxicity data 335 allows it to be. It may be that some other POPs, so far not measured, may have a much higher risk ranking to the compounds studied here. Similarly, a wider, more systematic 336 337 monitoring programme may reveal higher concentrations for some chemicals in the 338 Bohai Region than reported so far. The ecotoxicity database is driven by water exposure 339 studies, yet most POPs measurements are not from the water column but from the river 340 bed-sediments. It is necessary to predict the water concentration and the method used 341 is most likely to several times overestimate, rather than underestimate, this 342 concentration.

Finally, for some POPs the ecotoxicity database is still not as wide or complete as would be desirable. For traditional POPs such as γ -HCH and p,p'-DDE, abundant effects data could be found in EPA ECOTOX database. But for novel POPs such as HBCD and PFOS/PFOA, very limited ecotoxicity data was available, especially for individual HBCD isomers.

348 Conclusions

349 From this group of POPs, the PAHs congeners posed the greatest risk for aquatic 350 wildlife in rivers around the Bohai Sea, followed by p,p'-DDE and γ -HCH. However, 351 there was still more than 3-orders of magnitude distance between the median ecotox 352 and median environmental concentrations suggesting the risks to wildlife through water 353 exposure were not large, although the potential for these chemicals to bioconcentrate 354 must be acknowledged. It was observed that there were locations where some water 355 concentrations, for example for lindane, exceeded effect levels for some of the aquatic wildlife. The greatest impacts of POPs on wildlife would be expected in the Dagu 356 357 Drainage River in Tianjin. The results suggest that regarding threats from POPs to the 358 environment in the Bohai Region the greatest efforts should be in reducing fossil fuel 359 combustion (to lower PAHs). The highly bioaccumulative metabolite of DDT, $p_{,}p'_{-}$ 360 DDE, was also flagged up as high risk but has now been controlled by the Government, 361 so its environmental concentrations are expected to reduce in future. It was somewhat 362 encouraging that some of the emerging POPs such as PFOS, PFOA and HBCD were 363 not posing the highest risks.

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