## 1 A BIOGRAPHY AND OBITUARY OF ALFRED TRAVERSE (1925–2015)

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## 1. Introduction

- 4 Professor Alfred ('Al') Traverse passed away following a long illness at 90 years of
- 5 age on September 15<sup>th</sup> 2015 at Juniper Village, State College, Pennsylvania, USA.
- 6 With his death, the twin sciences of palaeobotany and palynology have lost one of
- 7 their most influential and productive of practitioners and teachers. He had a stellar
- 8 student career, was a coal petrologist, an industrial palynologist and held parallel
- 9 positions in the Episcopal (Anglican) church. However he is principally defined by
- 10 his 30-year tenure as a professor at The Pennsylvania State University (Penn State)
- from 1966 to his full retirement in 1996. Al was an incredibly diverse scientist; the
- topics of his numerous research papers are highly eclectic. He demonstrated a truly
- polymathic approach to palaeobotany and palynology. Most notably, he published two
- editions of the only single-author textbook ever published in English on pre-
- 15 Quaternary palynology. This short article seeks to celebrate and document Al's
- 16 fascinating, fulfilling, long and productive life, hence it is designated as both a
- biography and an obituary. The authors have drawn on their collective memories, Al's
- publications, online information and other obituaries such as Anonymous (2015) and
- 19 Rich & Strother (2015).

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## 2. Childhood and schooling (1925–1943)

- Alfred Traverse was born on the 7<sup>th</sup> of September (Labor Day) 1925 at St. James
- 23 Anglican rectory in Port Hill, Prince Edward Island, Canada. He was always known
- 24 as Al to everyone, and was the son of the Reverend A. Freeman Traverse, a priest in
- 25 the Episcopal church. His mother was Pearle Akerley Traverse, who was a dietician
- and schoolteacher. In 1926 the Traverse family moved to Lucknow in southern
- Ontario, and then to Allegan, Michigan, USA in 1928. Al never moved back to
- 28 Canada, and he became a naturalised United States citizen in 1934. He went to
- 29 primary and secondary public schools in St. Joseph, Michigan, graduating from high
- 30 school in 1943 as the valedictorian (highest-ranking student) of his class. Al was
- 31 clearly an outstanding student; he was editor of the school newspaper, president of the
- 32 local chapter of the National Honor Society and he won the Bausch and Lomb
- 33 Science Award.

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### 3. Al Traverse the university student (1943–1951)

Al's stellar school record was rewarded with a freshman scholarship at Harvard University, commencing in June 1943. He majored in biology, and graduated with a bachelor's degree magna cum laude (with great honour) in 1946. Al was elected to Phi Beta Kappa (the oldest academic society in the USA). His bachelor's honours thesis, directed by Professor Paul C. Mangelsdorf, concerned a problem in corn genetics. It was clear at the outset that Al exhibited a definite penchant for botany. Al was as active at university as he had been at school; he sat on several student council committees and was a member of the Speakers Club.

Upon graduation, Al won a Lady Julia Henry Fellowship to undertake scientific research in England. These awards are given by Harvard and Yale universities to unmarried US citizens in order to allow them to undertake one year of study at either Cambridge or Oxford universities in the UK. Al spent the academic year 1946–1947 working on palaeobotany under the legendary figures of Sir Harry Godwin and Hugh Hamshaw Thomas at the School of Botany of the University of Cambridge. He was affiliated to King's College Cambridge, and was awarded a Certificate in Botany in 1947.

Al returned to Harvard in August 1947 to become a graduate student, and held an Anna C. Ames scholarship. He continued his work on palaeobotany, and also began his work in palynology. Al was awarded a Master's degree by Harvard in 1948, and elected to Sigma Xi, at the age of 23. Somewhat inevitably, Al elected to undertake research towards a PhD. Additionally, he was a dormitory proctor, freshman advisor and teaching fellow at Harvard. His supervisor, Elso S. Barghoorn, advised Al to undertake research on the palynology of the Early Miocene Brandon Lignite of Brandon, Vermont. Al was Elso Barghoorn's second PhD student. Barghoorn's first graduate student, William ('Bill') Spackman, was researching the fossil wood from the Brandon Lignite at around the same time (Barghoorn & Spackman 1949; 1950). Later, during the 1970s, Bruce Tiffney worked on the fruits and seeds from the Brandon Lignite (e.g. Tiffney & Barghoorn 1976; Tiffney 1994).

The field locality in Vermont was relatively remote, and Al always contended that the only travel funds he ever received from Elso Barghoorn was a \$10 bus ticket to Vermont (Rich & Strother 2015). Fieldwork at the small field site was challenging; the immediate area was profoundly waterlogged, and an old gas-fired pump was needed to prevent the locality from flooding. Al was awarded his PhD by Harvard in

69 June 1951 at the age of 26 for a dissertation entitled *The pollen and spores of the* 70 Brandon Lignite: a coal in Vermont of lower Tertiary age. This thesis was the first 71 ever in North America on pre-Quaternary palynology. Al published a seminal paper 72 on his PhD research four years later (Traverse 1955). During June 1951, he married 73 Elizabeth Jane ('Betty') Insley, who was also trained in botany, graduating from 74 Wellesley College, Massachusetts, in June 1951. Betty and Al first met in the biology 75 laboratories at Harvard University in 1949. 76 77 4. Government service and the oil industry (1951–1962) 78 The year of 1951 was truly a landmark one for Al, who was hired as a coal 79 technologist by the United States Bureau of Mines (USBM) Lignite Research 80 Laboratory at Grand Forks, North Dakota. His mission was to work on the palynology 81 and petrography of the Paleocene lignites of that state. Al went on to be appointed 82 head of the Fuels Microscopy Laboratory. While at Grand Forks, Al was also 83 Assistant Research Professor of Geology at the University of North Dakota. Paul and 84 Martha, the eldest two of Al and Betty's children, were born in North Dakota. During 85 his sojourn at Grand Forks, Al travelled to Ann Arbor, Michigan, in the autumn of 86 1953, to meet Chester A. Arnold, who was one of the leading palaeobotanists of that 87 time. One of the authors (WGC) was working with Arnold on Pennsylvanian 88 megaspores at that time, and the three took a collecting trip to a very productive 89 Pennsylvanian quarry near Ann Arbor. That meeting started a friendship between Al 90 and WGC that lasted some sixty years. 91 In early 1956, the USBM transferred Al to their office at the Federal Center in 92 Denver, Colorado, to become the head of the coal microscopy laboratory. However 93 only several months after arriving in Denver, he accepted an offer from the Shell 94 Development Company to establish a palynology laboratory at their Bellaire Research 95 Facility in Houston, Texas, during September 1956. One of the several reasons for 96 this change in career path was that the USBM had indicated that they would like Al to 97 undertake sample collecting in underground mines. He was rather claustrophobic and 98 this clearly was a significant factor in Al joining Shell. The Shell job was Al's first primary position as a palynologist, at a time when stratigraphical palynology was 99 100 expanding almost exponentially in the US oil industry and elsewhere (Hoffmeister et 101 al. 1955; Woods 1955). This new job led to Al travelling to the international 102 headquarters of Shell in The Hague, The Netherlands, where he spent four months

103 undertaking corporate orientation and studying their in-house palynological 104 techniques. Upon his return, the Traverse family settled in Houston where Al worked 105 for Shell until 1962. His research at Shell was not biostratigraphical, but comprised 106 studying the sedimentation and transport of pollen and spores in seawater and modern 107 sediments off the Bahamas and in the Trinity River system, Texas. The Trinity River 108 was chosen by Al because, at that time, it was not dammed upstream so the waters 109 should represent all the hinterland flora. Al was given a free hand to conduct this 110 basic research as he saw fit because it was clearly deemed to be of strategic value to 111 the company. Another major project for Al was the compilation of a modern pollen 112 reference collection. The far-sighted approach of Shell was also adopted by the Carter Oil Company in Tulsa, Oklahoma, under William S. ('Bill') Hoffmeister at this time 113 114 (Riding & Lucas-Clark 2016). Later, Al was able to publish some of this research 115 (e.g. Traverse & Ginsburg 1966; 1967; Traverse 1990; 1992; 1994a). He successfully 116 applied this type of taphonomic work on pollen and spores to the study of pre-Quaternary kerogen macerals and palynomorphs, and this work culminated in 117 118 Traverse (1994b). John and Celia, the two youngest children of the Traverse family, 119 were born in Houston. 120 121 5. A man of the cloth for 30 years (1951–1981) 122 Much to the considerable surprise of contemporary palynologists, Al resigned from 123 full time work with Shell in 1962 and enrolled in the Episcopal Theological Seminary 124 of the Southwest in Austin, Texas. He had been brought up as a churchgoer, and his father was an Episcopal priest. However, he also continued with Shell as a consultant 125 126 between 1962 and 1965. Al graduated with a Master of Divinity degree in June 1965 127 as the top ranked student. He was soon ordained deacon in the Episcopal church, and 128 became an assistant clergyman at a local branch. Al combined his ecclesiastical duties

Professor of Geology at the University of Texas for the academic year 1965–66. It was at the University of Texas where Al first presented his introduction to palynology

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During Al's tenure at Penn State (section 6), he held positions as priest and vicar in several Episcopal churches in Pennsylvania. One of these was being assistant rector at St. Paul's Episcopal Church in Philipsburg until May 1975. He later moved

of a curate at St. Matthew's Episcopal Church, Austin, with being an Assistant

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to St. John's Episcopal Church in Huntingdon, from November 1975 to July 1980 where he worked as a part-time priest-in-charge.

While in Zürich in 1980–1981 (section 9), Al became an assistant priest at the *Christuskirche* (the Old Catholic Church), which had a close affiliation with the Anglican/Episcopal Church. Al's affiliation with the *Christuskirche* was his last formal connection with organised religion. Following a great deal of, no doubt, agonising self-deliberation upon his return to Penn State in 1981, Al realised that he was better categorised as a secular humanist. He felt that the Episcopal Church was too ecclesiastically liberal for his liking. For example Al deplored the fact that some bishops had been through multiple divorces; he was also against the ordination of women. Clearly his secular humanist stance made more sense to him of his present life, and he referred to himself being a 'religion of one'. Following this watershed, he remained very positive about his religious past and did not reject it. Al made no public disavowal of his long formal association with the church. Despite this major life decision, Al continued to occasionally serve in a religious role at minor local functions.

# 6. The defining professional appointment: The Pennsylvania State University (1966–1996)

In June 1966, the month after being ordained as a priest, Al returned to full-time palynology, accepting the position of Associate Professor of Geology and Biology at Penn State in State College, Pennsylvania at the age of 40. Interestingly Al's great friend Bill Chaloner was the first choice for this job, but he decided to pursue his primarily palaeobotanical interests in the UK instead. Al was promoted to full Professor of Geology and Botany (later Palynology) in May 1970, and held this position for the rest of his career. Al was among the first ever Professors of Palynology in the world. At Penn State, Al established a modern palynology processing laboratory and taught palynology to both undergraduate and graduate students. Al was an extremely talented teacher, and his *magnum opus* Paleopalynology (Traverse 1988a; 2007) is largely based on the content of his courses.

Al's most long-running and successful course was the famous Geosciences/Biology 423 module. This course in basic palynology was run at Penn State from 1966 until 1996. He also taught several other courses including evolution,

170	historical geology, advanced palynology, palaeobotany, philosophy and religion.
171	Incidentally, Al appeared for his lectures on evolution, philosophy and religion in full
172	clerical garb including the priest's collar! Geosciences/Biology 423 was always well-
173	enrolled, and it was this enduring popularity which ensured its remarkable longevity.
174	It was not simply the famous Traverse charisma which made Geosciences/Biology
175	423 so popular. This was not just another survey (textbook-based) course because Al
176	adopted an inquiry-led approach which was significantly ahead of its time. After a
177	suitable interval of classroom teaching and practicals, each student was provided with
178	an unknown (to them) rock or sediment sample. Their task was to process, analyse
179	and interpret the geological age to stage level. At the time at Penn State, no other
180	undergraduate course offered the opportunity to acquire hands-on experience of what
181	real practitioners do. Unsurprisingly, this innovative method of teaching enthused
182	many of Al's students, and some of these went on to become professional
183	palynologists of significant stature. When the Traverse children had grown up, Betty
184	became Al's volunteer research assistant and sample processor, as well as
185	demonstrating in the laboratory on the Geosciences/Biology 423 course.
186	Al supervised many graduate students at Penn State. His 12 PhD students
187	were: John W. Bebout (1977); Dale C. Beeson (1992); Lynn Brant (1980); Bruce
188	Cornet (1977); Duck Kuen Choi (1983); Robert E. Dunay (1972); Volkan Ş. Eidiger
189	(1986); Martin B. Farley (1987); Ronald J. Litwin (1986); Douglas J. Nichols (1970);
190	Eleanora I. Robbins (1982); and David J. Rue (1986). His eight Masters students
191	were: Said Al-Hajri (1991); Deborah Delfel (1979); Norma G. Johnson (1984);
192	Ronald J. Litwin (1983); Frederick K. May (1972); Andrew Schuyler (1987); Jamison
193	B. Warg (1972); and Harvey S. Zeiss (1976). He also, of course, advised many
194	individuals who were graduate students of other professors. Amongst these PhD
195	students were: Arthur D. Cohen (1968); Thomas D. Davies (1980); Sarah J. Fowell
196	(1994); Carmen Moy (1982); Fredrick J. Rich (1979) and Francis T. Ting (1967).
197	Furthermore, Al advised many future palynologists in their undergraduate days at
198	Penn State; these include Nan Crystal Arens, Paul K. Strother, Debra A. Willard and
199	Pierre A. Zippi. He could be rather formal with students, and would ask them to
200	address him as Dr or Professor Traverse, or perhaps Alfred (certainly not Al).

## 7. Publications

203 In all, Al published 120 scientific contributions between 1950 and 2015, and these are 204 listed chronologically in the online supplementary information. The diversity of his 205 science has already been alluded to. Al's research papers range from Lower 206 Palaeozoic acritarchs to modern pollen and spores, together with contributions on a 207 wide variety of topics such as archaeology, coal petrography, evolution, fungal 208 spores, nomenclature/taxonomy/systematics, palaeobotany, palaeoecology and 209 palynological techniques. Without doubt, his most important publication was his great 210 textbook, Paleopalynology, of which the second edition was published nine years ago 211 (Traverse 1988a; 2007). Al had diverse research interests, but he developed several 212 major research themes which he explored over many years. These include the 213 palynological study of red beds (e.g. Dunay & Traverse 1971; Litwin et al. 1991). 214 Reddened, oxidised strata are normally very low in organic content. But Al proved 215 that, with persistence, one can frequently find beds of non-oxidised sedimentary rock 216 in what appears to be unpromising brown-red coloured successions. Al undertook 217 analyses of the Franciscan Complex of California, a metamorphic unit, on the 218 recommendation of his erstwhile colleague from his Shell days, Ken J. Hsü. The 219 Franciscan Complex palynomorphs are very poorly-preserved so Al termed this work 220 'marginal palynology' (Traverse 1972). Other examples from the early part of his 221 career include the palynology and petrography of coals, and the taphonomy of modern 222 pollen grains in water bodies (e.g. Traverse 1954; Traverse & Ginsburg 1966; 1967). 223 Al maintained a keen interest in all aspects of the nomenclature, systematics and 224 taxonomy of pollen and spores throughout his life; his first publication on this topic 225 was Traverse (1956). Similarly, he was profoundly interested in all aspects of 226 techniques in palynology (e.g. Traverse 1965; Litwin & Traverse 1989). 227 Al was one of the authors of the well-known 'Catalog of Fossil Spores and 228 Pollen' (CFSP) which was initiated in 1957. The CFSP is a comprehensive systematic 229 compendium of the original descriptions and illustrations of pre-Quaternary pollen 230 and spore taxa. This major series was instigated in 1956 by Gerhard O.W. Kremp 231 (1913–1994), while he was at Penn State working with Bill Spackman on the 232 palynology of the coals of South Dakota. The first editors were Herbert Tate Ames, 233 Hilda Grebe, Gerhard Kremp and Bill Spackman (Traverse et al. 1970). Al joined this 234 team, was a member of the Editorial Board between 1957 and 1966 and served as 235 editor-in-chief in 1966–1967. He co-authored volumes 26 to 40 between 1967 and

236 1976 (see the supplementary online information). The series was discontinued in the 237 1980s; the final volume, number 44, was published in 1985 (Traverse 2007, p. 35). 238 Unlike many other palynologists Al was a relatively late convert to 239 biostratigraphy. His first biostratigraphical paper was Dunay & Traverse (1971), 240 which was published when Al was in his mid-40s. Very many other contributions on 241 palynostratigraphy followed, over a wide range of ages from Ordovician to Neogene 242 (e.g. Pazzaglia et al. 1997; Strother et al. 2015). Later he turned his attention to topics 243 such as archaeology, fungal spores, and global floral dynamics and evolution (e.g. 244 Traverse 1982; 1988b; Traverse & Ash 1994; Dunning et al. 1998). 245 Without any doubt, the pinnacle of Al's publication record is his textbook 246 Paleopalynology. This is the only single-author textbook in English on pre-247 Quaternary palynology, and it ran to two editions (Traverse 1988a; 2007). Tschudy & 248 Scott (1969) is a relatively old text, overwhelmingly focussed on terrestrially-derived 249 palynomorphs, comprising 18 chapters written by different specialists. Evitt (1985) is 250 entirely on dinoflagellate cysts, and Jansonius & McGregor (1996) is a three-volume 251 set with 32 chapters written by many different authors including Al (Traverse 1996). 252 Paleopalynology formed the core of Al's popular undergraduate course 253 Geosciences/Biology 423 that he ran at Penn State, and undoubtedly played a similar 254 role in the hands of many other teachers of pre-Quaternary palynology the world over. 255 Al said about *Paleopalynology* that "it offered most of the information necessary to 256 teach a good course in palynology, and as a handy, one-volume reference to 257 palynological subjects." Its utility is significantly enhanced by a comprehensive 258 bibliography, glossary and index. 259 Paleopalynology is a remarkable book; it is superbly-written, and phrased in 260 an extremely personable style such that it is almost like reading a novel. The first 261 edition, which was 600 pages, has 18 chapters which are very logically set out. Pre-262 Quaternary palynology was defined, and its limitations were discussed in the first two 263 chapters. The biology and morphology chapters (4 and 5 respectively) were all on 264 pollen and spores; marine palynomorphs were only discussed as appropriate in the 265 chapters on stratigraphical palynology (6–16). The stratigraphical chapters are 266 comprehensive, consummately-researched and well-illustrated with very many quite 267 small photomicrographs. It would be a very useful book to take if one was dispatched 268 on a remote biostratigraphy mission and had limited luggage space. One of the joys of 269 the book is that Al discussed and illustrated important figures in both historical and

270	contemporary paryhology. Giving photographs of eniment paryhologists such as
271	Charles Downie, Robert Potonié, and David Wall really brought the book to life
272	(Traverse 1988a, p. 13, 123, 244).
273	In the intervening two decades Al revised the book, and the second edition was
274	issued nineteen years later (Traverse 2007). It had the same basic structure, but was
275	significantly larger at 813 pages and the front cover proudly sports a fabulous
276	montage of palynomorphs compiled by Rodolfo Dino together with four notable
277	palynomorphs selected by Al. He did a superb job in updating this work and consulted
278	widely. All the present authors, and many others, helped Al; for example he illustrated
279	some of the Australian Jurassic dinoflagellate cysts formalised by JBR in 2001
280	(Traverse 2007, p, 382-383). More photographs of prominent palynologists were
281	included this time, including David J. Batten, William G. Chaloner, Alfred Eisenack,
282	William C. Elsik, Sir Harry Godwin, Jan Jansonius and Sofiya N. Naumova (Traverse
283	2007, p. 197, 218, 231, 331, 408, 475, 561). Betty Traverse significantly helped Al
284	with information technology and secretarial support for both issues of the book, and
285	each edition was reviewed by Hughes (1989) and Gajewski (2008) respectively. The
286	first edition of Paleopalynology was followed by another major text edited by Al,
287	entitled Sedimentation of organic particles (Traverse 1994b). This is a book
288	comprising 23 chapters, totalling 544 pages, arranged into four sections based on
289	geological age and authored by 35 experts on kerogen macerals ('palynodebris') and
290	palynomorph taphonomy, two of Al's major research interests. Many of the authors
291	presented papers on this topic at the International Palynological Congress in Brisbane,
292	Australia in 1988 at a symposium organised by Al. Some of the presentations in
293	Brisbane were published in volume 64 of Review of Palaeobotany and Palynology in
294	1990. However Traverse (1994b) was inspired by the Brisbane symposium, but is a
295	far broader treatment of the subject than what was presented in Australia. The
296	emphasis throughout is on the qualitative and quantitative aspects of the
297	sedimentation of all types of organic particles, and the text explores their relevance in
298	palaeoecology and sequence stratigraphy. Traverse (1994b) is one of the major works
299	on palynofacies, and the chapters describe studies on a wide variety of geological ages
300	and localities. Again Betty helped Al out greatly with all aspects of this book, which
301	was reviewed by Hooghiemstra (1995).

## 8. Al Traverse and committees

304	Al's contribution to palynology extended significantly beyond his research and
305	teaching. He was an active and enthusiastic member of several international and
306	national organisations associated with geology and palaeontology. In particular, he
307	was one of the 32 founder members of the American Association of Stratigraphic
308	Palynologists (AASP), now AASP – the Palynological Society in 1967. Al was also
309	one of the members of the inaugural board of directors, serving as the first Secretary-
310	Treasurer of AASP, between 1967 and 1970. He was subsequently President/Past-
311	President in 1970–1972, and was the archivist of the association from 1984 onwards.
312	The society honoured Al with their Medal for Excellence in Education in 2001, and
313	made him an Honorary Life Member in 2005 (Demchuk & Riding 2008). Al
314	published two contributions on the early history of AASP (Traverse & Sullivan 1983;
315	Traverse 2008). In 1967, when the founder members of AASP were deciding on a
316	name for the association, Al suggested 'The Society of North American Palynologists
317	(SNAP)'. Many years later in 2007, he proposed a change from AASP to The
318	Palynological Society, which was accepted by the membership as AASP - the
319	Palynological Society (McCarthy 2007; Demchuk & Riding 2008; Traverse 2008).
320	When the board of AASP were searching for a base for their Center of Excellence in
321	Palynology (CENEX) during the late 1980s, Al offered up Penn State. The eventual
322	decision on the location of CENEX was that it was to be established at Louisiana
323	State University (LSU) in Baton Rouge. Al was understandably very dismayed by this
324	and unfortunately the decision had a significantly adverse effect on Al's relationship
325	with the association for many years.
326	Al was also the first President of the International Commission for Palynology
327	(ICP) between 1977 and 1980; he was in this post for the 5 <sup>th</sup> International
328	Palynological Conference in Cambridge, UK during the summer of 1980. The ICP
329	became the International Federation of Palynological Societies (IFPS) in 1984
330	(Canright, 1984). Al also served IFPS as a Councillor, and was the archivist for many
331	years.
332	Al was a botanist just as much as he was a palynologist, and he exhibited the
333	classic botanical penchant for nomenclature and taxonomy. He was Secretary of the
334	International Association for Plant Taxonomy (IAPT) Committee for Fossil Plants
335	from 1969 to 1994, and continued to serve as a committee member. During 1950, Al
336	joined the Botanical Society of America. He became active in the Palaeobotanical
337	Section, serving twice as Chairman (1958 and 1960–1961) and Secretary-Treasurer

338 (1957–1960). Al was an accomplished editor, and served on the editorial board of 339 Palaeontographica Abteilung B from 1992 onwards. From 1950, he was also a Fellow 340 of the American Association for the Advancement of Science (AAAS) and the 341 Geological Society of America (GSA). Al was also a member of many other scientific 342 organisations such as the American Quaternary Association and the International 343 Organisation of Palaeobotany. 344 345 9. Scientific highlights in Al's career 346 One of the high points in Al's career was being invited to be an on-board scientist 347 (palynologist) on Leg 42B, a cruise of the Glomar Challenger in the Black Sea during 348 May and June 1975, with the then Deep Sea Drilling Project (now the International 349 Ocean Discovery Program). Later in his life, he always enjoyed conjuring up some of 350 the results of that expedition to support his argument in whatever controversy he was 351 engaged in. As a direct result of this position, Al was appointed as a Visiting Professor at the Geology Department of the Swiss Federal Institute of Technology 352 353 (ETH) in Zürich, Switzerland between 1980 and 1981 during a sabbatical. Betty 354 accompanied Al, and he presented a course on Cenozoic palynology while at ETH. 355 His sponsor in Zürich was Professor Ken J. Hsü, who had been the chief scientist on 356 the DSDP Black Sea cruise, and who had previously worked with Al at Shell. 357 Al was appointed Adjunct Professor of Geobiology by Juniata College, Huntingdon, Pennsylvania, between 1977 and 1982, where he presented occasional 358 359 lectures. Some years later, in April 1989, Al was an invited speaker at the International Union of Geological Sciences (IUGS) Symposium on Global Change 360 361 held at Interlaken in Switzerland (Ricklefs et al. 1990). Following this, he was frequently asked to present keynote talks, an example being an address to the 362 Asociación de Palinólogos de Lengua Española (APLE) at their 10<sup>th</sup> Symposium in 363 364 Valencia, Spain during September 1994. 365 In 1991–1992, Al and Betty returned to Europe, when Al took up a Fulbright 366 Professorship at the Senckenberg Research Institute and Natural History Museum in Frankfurt am Main, Germany at the invitation of the director, Willi Ziegler. Al 367 worked in the Palaeobotanical Section which was lead at the time by Friedemann 368 369 Schaarschmidt. At this time, he finalised editing Traverse (1994b). As a result of this 370 working visit, Al was elected a Corresponding Member of the Senckenbergische 371 Naturforschende Gesellschaft (Senckenberg Nature Research Society) in 1992. This is a scholarly society for the natural sciences founded by Johann Wolfgang von Goethe in 1817, and named for the pioneer German academic Johann Christian Senckenberg (1707–1772). Al joined a very select group as there are only around 30 members worldwide.

During his time in Frankfurt am Main, Al visited the Birbal Sahni Institute of Palaeobotany (BSIP) in Lucknow, Uttar Pradesh, India in November 1991. This visit coincided with the Birbal Sahni Centennial celebrations and he was awarded the Biennial International Medal for 1991–1992 by the Palaeobotanical Society of India for 'outstanding contributions to palynology.' Like most overseas visitors to the BSIP, Al worked for a short time at BSIP and presented several invited lectures to the staff there.

## 10. Nomenclature and taxonomy (WGC)

Al was always very open about changing his mind – a process he was driven to several times in his life-long involvement with fossil plant nomenclature and taxonomy. As a member of the IAPT Fossil Plant Committee for many years, Al always enjoyed debating the often extremely convoluted issues associated with fossil plant nomenclature – both verbally at congresses, and in a number of publications.

One of the several areas of nomenclatural controversy to which Al made a significant contribution was the use of modern generic names for Palaeogene/Neogene angiosperm pollen. This arose at an early stage in his career from his attributing several of the pollen types in the Brandon Lignite, to extant genera such as *Nyssa* (Traverse 1955). But as he wrote many years later: "For years I felt that, where the generic reference is absolutely clear, there is no reason at all to avoid the extant generic name. However, after decades of thinking about the matter, I have now changed my mind and now feel that pre-Pleistocene sporomorphs should be referred to morphotaxa (morphogenera, morphospecies) such as *Nyssapollenites*, not *Nyssa*, even though, for example, association with other organs makes it clear that *Nyssa* pollen in the Brandon Lignite described by me (Traverse, 1955) was produced by plants that probably were congeneric with the extant genus *Nyssa*" (Traverse 2007, p. 413). So although he withdrew from his original stance, he characteristically clearly communicated that he felt that the basis for it had been perfectly valid!

Another related debate that Al enjoyed involved the term 'morphotaxon'. That designation, applicable to fossil taxa in the Vienna Code, was taken out of the

International Code of Nomenclature for algae, fungi and plants (previously the International Code of Botanical Nomenclature, or ICBN), following the Melbourne International Botanical Congress of July 2011. Writing as a member of the Fossil Plant Committee, commenting on the proposal that led to its removal he wrote: "The elimination of morphotaxon ....seems to me questionable. At least, the subject needs more thinking about various ramifications. Let's take paleopalynology as an example. Aquilapollenites is a generic name for a kind of (mostly) Cretaceous angiosperm pollen grains. In no way could such a generic name (and there are several thousand of them) be applied to anything other than dispersed pollen grains. If they are found in the anthers of a megafossil flower, called say Stupidoflora, they would be the "pollen of Stupidoflora" with a note that the pollen, if found dispersed, would be Aquilapollenites. The latter is a morphotaxon name by definition of the ICBN and could not become the name of a flower or of a plant" (personal communication to WGC, 2010). But, despite Al's plea, the term morphotaxon has vanished from the present Code.

#### 11. Various anecdotes

Some of Al's contemporaries have suggested that he took life rather too seriously, and was lacking in a well-tuned sense of humour. The present authors never felt this, but rather that we were tuned to the same wavelength. Once while Al and WGC were driving to a Silurian palynological collecting site in Pennsylvania, Al needed some guidance on finding the location. He cheerfully reached for a road map in the back of the car in those happy, pre-satellite navigation days. He placed it across his lap below the steering wheel and began to peruse the map while driving, occasionally glancing up at the traffic. After some minutes of this, and several near misses, WGC snatched the map from Al's lap and said "I'll read the map, you drive!" Al took this in good humour and roared with laughter, explaining that he often did this. He went on to say that while "on open interstates with little traffic I also peel bananas and oranges while simultaneously studying language cards". Al added that Betty's reaction to his mapreading had been similar to WGC's, but she had never actually snatched the map away.

A more recent illustration of his cheery acceptance of the results of surviving into one's late eighties was his aside in the course of an email to WGC in 2012. It reads: "I am now 'four score and seven years' as in the Gettysburg address. That

made me think of the fact that from Lincoln's assassination in April 1865 to the birth of our son, Paul, was exactly 87 years – man that is a LONG time and I must be OLD." He went on to remark that "since 70 years ago I have been a skilled touch typist – no more. I hit 30% wrong keys. I am doing this with one finger".

Al cheerfully embraced new ideas and technology. One example of this is his delight in using the relatively new online encyclopaedias. JBR recalls being told that, in the severe Pennsylvanian winters, he and Betty would enthusiastically use pages torn from their complete and venerable set of the *Encyclopaedia Britannica* to light their log-burning stoves!

There is one final anecdote which Al never knew about. Early in the career of JBR, a colleague (Ronald Woollam) asked if Jim was going to the morning coffee break. JBR was deep in microscope work at that instant and replied that he would indeed attend, but only when he had "finished his current Alfred". Woollam questioned this, and was told that an Alfred is rhyming slang for a traverse (of the microscope slide). Non-UK-based readers should refer to Ayto (2002).

## 12. Retirement (1995–2015)

During 1995, Al formally retired from Penn State, becoming Professor Emeritus in perpetuity, although he presented his beloved Geosciences/Biology 423 course for the final time in 1996. After Al's retirement, he and Betty could enjoy their country estate close to Penn State to the full. This was appropriately named Alphabet Arboretum, because it was largely wooded land with a relatively high arboreal diversity. For example, Al was delighted to find that some mature, flowering American chestnut trees (*Castanea dentata* (Marsh) Borkh.) were growing on his land, despite the ravages of the introduced chestnut blight which was brought to North America early in the twentieth century (Rich & Strother 2015). Clearly, the name Alphabet Arboretum appealed to him because it combined his and Betty's names – a point Al always liked to make. Because Al was always at heart a botanist, and one who enjoyed rural life, he greatly enjoyed country activities such as felling timber and cutting logs for fuel.

Despite being retired, Al just could not keep away from science and he affirmed a tangible commitment to botany by becoming the Adjunct Curator of the Penn State Herbarium from 2007 until 2015. This had great historical significance for Penn State. The herbarium was initiated by its first President, the agricultural chemist Dr Evan

474 Pugh (1828–1864), who acquired much of the original botanical material in Germany, 475 from around Göttingen where he lived at the time and elsewhere 476 (https://www.libraries.psu.edu/psul/digital/pshistory/presidents/pugh.html). 477 Significantly, Evan Pugh believed that the herbarium was an important base for 478 research and teaching in what had been the 'Farmers High School' and renamed by 479 him, the 'Pennsylvania College of Agriculture'. When he retired, Al added the ~5000 480 specimens from his personal herbarium to the Penn State Herbarium. Al was 481 honoured to continue Evan Pugh's legacy, who correctly believed that a herbarium 482 was essential for an institution which researched and taught agriculture. During his 483 retirement years, Al rearranged and updated the herbarium, and incorporated much 484 more material. Consequently, the number of specimens rose from 95,000 to 107,000 485 under Al's tenure; a truly phenomenal retirement project! 486 487 13. Conclusions 488 Al Traverse was a hugely important figure in palaeobotany and palynology. He was a 489 consummate advisor/mentor, teacher and researcher, and he achieved some significant 490 'firsts'. Al wrote the first pre-Quaternary PhD dissertation in North America, and was 491 one of the first ever professors of palynology. During his early years he excelled at 492 school to postgraduate level. He decided upon his botanical/palaeobotanical vocation 493 very early, as an undergraduate in fact. Al spent 15 years variously in government 494 service, in the oil industry and as a priest before settling down at Penn State. Here he 495 taught the massively popular and far-sighted undergraduate course 496 Geosciences/Biology 423 for 30 years. Al single-handedly trained a prodigious 497 number of palynologists. He published widely on a very diverse range of topics, and 498 his highlights were two major textbooks and co-authorship of the 'Catalog of Fossil 499 Spores and Pollen' between 1967 and 1976. Al worked hard for a number of scientific 500 societies, notably AASP - the Palynological Society. Al loved to travel, and 501 undertook significant research visits to Germany, India and Switzerland. Typically he 502 would study the language of a country he was visiting or was about to visit. After 503 retiring from Penn State in 1996, Al became Adjunct Curator of the Penn State 504 Herbarium between 2007 and 2015. He was also a very cultured individual. He 505 creatively used a palaeobotanically-relevant extract from *The Lost World* by Michael 506 Crichton to introduce the second edition of *Paleopalynology* (Traverse 2007, p. vii).

There is no doubt that Al could be both charming and pleasant but he also had a	
somewhat steely side, particularly in the professional arena.	
Al Traverse is survived by his wife Betty, their four children, John Insley,	
Celia Elizabeth, Paul Whitney and Martha Jane, seven grandchildren, two step-	
grandchildren, one great-grandchild, and two step-great-grandchildren. Both his	
family, and the world of palaeobotany and palynology, will miss him greatly.	
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