

1 **Historical and projected changes in the Southern Hemisphere Sub-tropical Jet during**  
2 **winter from the CMIP5 models**

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## ABSTRACT

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We present projected changes in the speed and meridional location of the Subtropical Jet (STJ) during winter using output of the Coupled Model Intercomparison Project Phase 5 (CMIP5) models. We use the ERA-Interim reanalysis dataset to evaluate the historical simulations of the STJ by 18 of the CMIP5 models for the period 1979-2012. Based on the climatology of the STJ from ERA-Interim, we selected the area of study as 70°E - 290°E and 20°S - 40°S, which is over the Indian and Southern Pacific Oceans, and 300 hPa to 100 hPa to reduce altitude-related bias. An assessment of the ability of the CMIP5 models in simulating ENSO effects on the jet stream were carried out using standardized zonal wind anomalies at 300 hPa to 100 hPa. Results show that 47% of the CMIP5 models used in this study were able to simulate ENSO impacts realistically. In addition, it is more difficult for the models to reproduce the observed intensity of ENSO impacts than the patterns. The historical simulations of the CMIP5 models show a wide range of trends in meridional movement and jet strength, with a multi-model mean of  $0.04^{\circ}\text{decade}^{-1}$  equatorward and  $0.42 \text{ ms}^{-1}\text{decade}^{-1}$  respectively. In contrast to the ERA-Interim analysis, 94% of the CMIP5 models show a strengthening of the jet in the historical runs. Variability of the jet strength is significantly (5%) linked to the sea surface temperature changes over the eastern tropical Pacific. The CMIP5 model projections with Representative Concentration Pathways (RCPs) 4.5 and 8.5 were used for analysis of changes of the STJ for the period 2011-2099. Based on the RCP 4.5 (RCP 8.5) scenario the multi-model mean trend of the 18 CMIP5 models project a statistically significant (5% level) increase in jet strength by the end of the century of  $0.29 \text{ ms}^{-1}\text{decade}^{-1}$  ( $0.60 \text{ ms}^{-1}\text{decade}^{-1}$ ). Also, the mean meridional location of the jet is projected to shift poleward by  $0.006^{\circ}\text{decade}^{-1}$  ( $0.042^{\circ} \text{decade}^{-1}$ ) in 2099 during winter, with the only significant (5%) trend being with RCP 8.5.

### 1.0 Introduction

The Subtropical Jet Stream (STJ) has an important role in the climate of the Southern Hemisphere (SH), influencing the storm tracks, surface cyclogenesis, precipitation, and oceanic conditions. Jet streams are important because their position signifies the existence of strong baroclinicity. They play a major role in the formation and development of mid-latitudes cyclones (Holton, 2004) with the jet entrance and exit regions have been linked dynamically to surface cyclogenesis and anticyclogenesis respectively. Jet streams also affect air transport because of the clear-air turbulence associated with the jet cores (Bluestein, 1993). In addition, the high wind speeds associated with jet cores can transport pollutants over large distances in short time periods, and the strong lateral and vertical wind shears enable strong

74 dispersion of localised pollutants (Koch et al, 2006). A recent study by Rudeva and  
75 Simmonds (2015) also investigated the variability and trends in the frontal activity as a key  
76 component for understanding climate variability. Therefore changes in jet stream location,  
77 intensity, or altitude can have important consequences for the SH climate. The structure of  
78 the upper tropospheric jets shows large differences between the two hemispheres, largely as a  
79 result of the different land-sea distributions. In the Northern Hemisphere (NH), the Polar  
80 Front Jet (PFJ) is a year-round feature from the southeast USA, across the Atlantic Ocean and  
81 into Europe, with the jet being stronger during the winter season. The STJ extends from  
82 North Africa across Asia, before linking with the strong PFJ south of Japan and extending  
83 across the Pacific (Bals-Elsholz et al, 2001; Archer and Caldeira, 2008). In contrast, the  
84 limited high orography and extensive ocean areas of the SH result in a more zonally  
85 symmetric structure to the jet, but with a greater seasonal variability because of the larger  
86 Equator to Pole temperature difference. The strongest wind speeds are associated with the  
87 STJ in winter ((June, July and August (JJA)) (Lee and Kim, 2003; Nakamura et al, 2004;  
88 Koch et al, 2006; Archer and Caldeira, 2008; Pena-Ortiz et al, 2013) when it rings most of the  
89 hemisphere, but with the highest speeds being across Australia and the western south Pacific  
90 Ocean. The PFJ is strongest over the autumn to spring seasons, with the highest speeds across  
91 the Atlantic and Indian Oceans. Many studies have shown that the SH jets exhibit a  
92 concentric structure, with a persistent branch around Antarctica and a seasonally varying  
93 branch at about 30°S (Chen et al, 1996). A feature of the SH jet structure is the ‘split jet’  
94 across New Zealand (Bals-Elsholz et al, 2001) between the STJ near 30°S and the PFJ near  
95 50°S. The equatorward branch of the jet is the STJ, which is located between 25°S and 30°S  
96 from the central South Indian Ocean across Australia to the east-central South Pacific Ocean  
97 (Bals-Elsholz et al, 2001). The poleward branch of the time-mean split jet is the PFJ. As  
98 noted earlier, the STJ is not a permanent continuous structure; rather it is fragmented and  
99 meandering with notable wind speed and elevation variations. Therefore, defining the jet  
100 stream boundaries presents some difficulties.

101 A number of recent studies (Lu et al, 2007, Kang and Lu, 2012, Liu et al, 2012, Min and Son  
102 2013) have indicated substantial interest in the expansion of the Hadley Circulation (HC)  
103 associated with a poleward movement of the jet streams. Figure 1 shows the approximate  
104 boundary between the HC and STJ for July 1997 between 240-260°E. The area and month  
105 were selected in order to show HC and STJ core clearly. The rectangular box indicates the  
106 area where the STJ location coincide with the poleward edge HC boundary. Figure 1 provides  
107 a visualization of how the expansion/contraction of the HC influences the location of the STJ.

108 Recent observational (Seidel et al, 2008; Davis and Rosenlof, 2012) and modeling studies  
109 (Lu et al, 2007, 2009; Son et al, 2009, 2010; McLandress et al, 2011; Polvani et al, 2011a;  
110 Ming and Ramaswamy, 2011) show that the tropical belt has been expanding polewards in  
111 both hemispheres due to increases in the concentration of greenhouse gases. Thus, further  
112 investigation is needed to better understand the HC change in the past and future climate as  
113 concluded by Min and Son (2013).

114 The strength of the STJ is strongly affected by the state of the sea surface temperatures (SST)  
115 across the tropical ocean in response to the changes in phase of the El Niño Southern  
116 Oscillation (ENSO) (Sampe et al, 2010). The ENSO influence is noticeable more in the  
117 Pacific sector than the other parts of the SH (Turner, 2004; Gallego et al, 2005). The effect of  
118 the ENSO cycle on the jet stream is noticeable in the meridional location of the STJ and in  
119 particular on its strength. During El Niño phase the strength of the STJ over the Pacific area  
120 is 25-50% greater than that during the La Niña phase (Gallego et al, 2005). In contrast, the  
121 STJ speed over the Atlantic and Indian Oceans shows a decrease of 10–20% during the El  
122 Niño phase (Gallego et al, 2005). Furthermore, the location of the STJ over the Pacific area is  
123 found to be displaced northward compared to the mean location. It should be noted that  
124 Gallego et al (2005) used an objective algorithm for detecting and tracking the jet based on  
125 the geostrophic streamline of maximum average velocity. Therefore, there can be some  
126 differences between their finding and this study, since we use a different approach to locate  
127 the STJ.

128 In this paper we examine how the models of the Coupled Model Intercomparison Project  
129 Phase 5 (CMIP5) exercise represent the STJ for the recent past and consider changes in the  
130 jet location and strength over the 21<sup>st</sup> Century under conditions of increasing greenhouse gas  
131 concentrations and recovery of the ozone hole. The aims are to quantify the trends in the  
132 strength and meridional location of the SH STJ since 1979, to assess the ability of the CMIP5  
133 models to reproduce the recent variability of the STJ, and to determine the role of ENSO on  
134 the variability of the jet. We also examine projections of changes in the STJ over the 21<sup>st</sup>  
135 Century from the models. In Section 2, we describe the data used and the methodology. In  
136 Section 3, we present the climatology of the STJ, examine the role of broad scale phenomena  
137 on the variability of the jet stream and present an analysis of the trends in the jet strength and  
138 position based on ERA-Interim analyses as well as the CMIP5 models. The section also  
139 provides STJ variability under Representative Concentration Pathways (RCP) 4.5 and 8.5  
140 projections. Finally, a discussion is presented in Section 4, with Section 5 consisting the  
141 results and conclusions.

## 142 **2.0 Data and Methodology**

143 Here we have used output from 18 of the CMIP5 models. This number of models were used  
144 in order to make the study computationally affordable and also the models were selected to  
145 ensure that all the required parameters are available for both future scenarios. We used  
146 ‘historical’ (all forcing) output for simulations covering the period 1979-2012. We used this  
147 period so that the model output could be compared with that from ERA-Interim. For the  
148 projections over the 21<sup>st</sup> Century (2011 - 2099) we used runs based on the RCPs 4.5 and 8.5.  
149 RCP 4.5 is a scenario of an increase in global mean radiative forcing relative to the year 1750  
150 of  $4.5 \text{ Wm}^{-2}$ , with carbon emission peaking in 2040 and stabilizing by 2100. RCP 4.5 is an  
151 intermediate energy use scenario, while RCP 8.5 is a high energy-intensive scenario, which is  
152 the result of high population growth and lower rate of technology development (van Vuuren  
153 et al, 2011).

154 In assessing the performance of the CMIP5 models in simulating the location and speed of  
155 the STJ, we compared the model output with the ERA-Interim reanalysis fields ((Dee et al,  
156 2011), which are regarded as the most realistic of the various reanalysis datasets (Bromwich  
157 et al, 2011; Bracegirdle and Marshall, 2012; Simmons et al, 2014). We used data for the  
158 period 1979 – 2012 because the quality of the fields is questionable at high southern latitudes  
159 prior to 1979 due to the lack of satellite sounder data for use in the data assimilation process.  
160 To assess the impacts of changes in SST on the jet strength, Hadley Centre Sea Ice and Sea  
161 Surface Temperature data (HadISST) (Rayner et al, 2003) has been used.

162 In order to select the area of study, we developed a climatology of the STJ from ERA-Interim  
163 based on the zonal wind at 300 hPa-100 hPa. The monthly and seasonal mean zonal wind  
164 component, and the annual cycle of wind speed as well as the location of the jet stream were  
165 analysed to determine the best area to use. Here we examine the year-to-year features of the  
166 STJ during winter in order to distinguish it from the PFJ. Analysis of the variations in the  
167 strength of the STJ and its meridional position shows that the spatial location of the jet core is  
168 always confined to the area  $20^{\circ}\text{S}$  to  $40^{\circ}\text{S}$ ,  $70^{\circ}\text{E}$  -  $290^{\circ}\text{E}$  during winter and the study area  
169 selected shows a clearly defined jet stream. This is to separate the core of the STJ from the  
170 PFJ and to avoid the Atlantic sector where the STJ merges with the PFJ. In addition, several  
171 earlier studies (Rind et al, 2001; Liu et al, 2002; Yuan 2004) showed that the ENSO-related  
172 changes in the strength of the STJ are mainly located in this area. Furthermore,  
173 teleconnections, are commonly strongest in winter when the mean meridional temperature  
174 gradient is large (Strong and Davis, 2008). Based on this we have selected the area defined

175 above as our study area (Figure 2), which covers parts of the Indian Ocean and the Southern  
176 Pacific Ocean.

177 We used three-dimensional analysis similar to that adapted by Pena-Ortiz et al. (2013) to  
178 quantify the strength and position of the STJ. Usually, analysis of jet streams is carried out on  
179 a selected pressure level i.e. 200 hPa (Athanasiadis et al, 2010). However, in our study,  
180 depending on the location and season, the jet core of STJ is not always found at 200 hPa.  
181 Thus, a three-dimensional analysis helps to reduce the bias related to altitude position (Strong  
182 and Davis 2008, Manney et al, 2011). In this method, in order to identify the jet core, the  
183 monthly zonal winds are analysed to locate the zonal wind maximum in the vertical between  
184 300 and 100 hPa and latitude from 20°S to 40°S at each longitudinal slice between 70°E -  
185 290°E. The wind maximum that exceeds 30 ms<sup>-1</sup> is used to identify the jet core. The latitude  
186 of this wind maximum is taken as the meridional location of the jet stream and the magnitude  
187 of wind maximum as the jet strength. The resultant data were then visually checked to filter  
188 any wind maxima that were not continuous in strength and latitudinal position for that  
189 particular month. To obtain the mean strength and location for the particular month, the jet  
190 core values and the corresponding latitudes from all the longitudinal slices were averaged.  
191 The procedure is repeated for JJA for the historical period 1979-2012 and during the future  
192 projection period 2011-2099 for all the selected CMIP5 models. When taking the multi-  
193 model mean of 18 models selected for the study, we use the unweighted mean which gives  
194 equal weight to all the models. This is based on the assumption that individual model biases  
195 will be partially canceled and the multi-model average prediction will be more likely to be  
196 correct than a prediction from a single model (Knutti et al, 2010). Wenzel et al. (2016)  
197 attempted to investigate whether the unweighted multi-model mean of CMIP5 models can be  
198 improved by applying a process-oriented multiple diagnostic ensemble regression in  
199 analyzing austral jet position. They found that the weighted multi-model mean does not  
200 substantially differ from the equal weighted mean in simulating the long term jet position;  
201 however, it merely reduces the uncertainty in the ensemble mean projection.

### 202 **3.0 Climatology of the STJ**

#### 203 **3.1 Annual Cycle**

204 Based on the long-term monthly average of zonal wind (1979 to 2012) it can be seen clearly  
205 that (Figures 3(a) and (b)) the strength as well as the location vary strongly over the seasons.  
206 The STJ is strongest during the winter months (JJA) and weakest in summer (December,  
207 January and February (DJF)), with a large interannual variability in strength. During winter  
208 and summer the average zonal wind speeds of STJ are 49.43 ms<sup>-1</sup> and 25.87 ms<sup>-1</sup> respectively

209 with interannual standard deviations of  $3.56 \text{ ms}^{-1}$  and  $4.68 \text{ ms}^{-1}$  respectively. The STJ during  
210 summer displays a maximum poleward location at  $31^\circ\text{S}$  and shifts equatorwards during  
211 autumn and spring. During winter the meridional location of STJ is more stable at around  
212  $30^\circ\text{S}$ .

### 213 **3.2 Seasonal cycle**

214 The seasonal mean zonal wind speeds from ERA Interim between 300-100 hPa are illustrated  
215 in Figure 4. The STJ is strongest (core speed  $>45 \text{ ms}^{-1}$ ) and most prominent during JJA  
216 (Figure 4 (a)) and it merges with the PFJ forming a concentric ring structure around Antarctic.  
217 The core of zonal wind maximum of the STJ is located at  $30^\circ\text{S}$  between the longitudes  $70^\circ\text{E}$   
218 to  $240^\circ\text{E}$ . During spring (SON) the pattern remains similar to that in JJA with a lower  
219 maximum zonal wind speed of  $40 \text{ ms}^{-1}$  and a location one degree equatorwards within the  
220 same longitudinal band as JJA. The STJ is, however, not well defined during summer. During  
221 SON, the STJ and PFJ are distinguishable and the location of the STJ is similar to its winter  
222 position. Therefore, it is clear that, in all seasons, the STJ is confined to the area  $70^\circ\text{E}$  to  
223  $240^\circ\text{E}$  and latitude from  $20^\circ\text{S}$  to  $40^\circ\text{S}$ .

### 224 **4.0 The impact of ENSO on the speed and location of the STJ**

225 ENSO is the dominant factor of global climate variability on inter-annual to decadal time  
226 scales. It originates in the tropical eastern Pacific region and modulates (Zhang et al, 1997;  
227 Trenberth, 1997) the latitudinal position and strength of the STJ (Chen et al, 1996; Gallego et  
228 al, 2005). The influence of ENSO on the SH upper-level winds, especially on the variability  
229 of the wind strengths has been recognized for some time (Chen et al, 1996; Sinclair, 1996).  
230 An accurate ENSO simulation in the climate models poses a difficult task since it involves  
231 complex interactions of various oceanic and atmospheric processes. Nevertheless, the ability  
232 of climate models to simulate ENSO has improved over the recent few years (Leloup et al,  
233 2008; Bellenger et al, 2013, Watterson, 2015). As noted by Bellenger et al (2013), even  
234 though there is no significant improvement in the CMIP5 models performance in simulating  
235 ENSO when compared to the CMIP3, certain features and processes of ENSO life cycle, such  
236 as the location of surface temperature anomalies and seasonal phase locking, have been  
237 improved slightly. It should be noted that ENSO is a natural mode of climate variability and  
238 that while the ‘historical’ runs of the CMIP5 models will simulate tropical Pacific climate  
239 variability on ENSO timescales, individual El Niño and La Niña events will not occur at the  
240 same times as those in the ‘real’ world. This is discussed further in the following sections.

241 A previous study (Leloup et al, 2008) has shown that there is a large variation in the spatial  
242 pattern and magnitude of SST in the equatorial Pacific during ENSO as simulated by the

243 CMIP3 models when compared to the observations. The CMIP3 models do not  
244 systematically simulate their maximum ENSO amplitude in the same area as observed  
245 (Guilyardi, 2006; Achuta Rao and Sperber, 2006; Leloup et al, 2008) and the spatial patterns  
246 extend too far into the western Pacific. In order to identify El Niño and La Niña years in the  
247 CMIP5 models and ERA-Interim reanalysis, we use SST anomalies along the equatorial  
248 Pacific as defined by Leloup et al (2008). SST is averaged over the region 5°N-5°S, 150°E-  
249 280°E each month from January 1979 to December 2012. Monthly SST anomalies for each  
250 model were calculated and SST anomalies were then smoothed using a 3 month running  
251 mean. With this approach, El Niño (La Niña) years are defined as years with at least six  
252 consecutive months with SST anomaly greater (lower) than half a standard deviation of the  
253 SST anomalies (Leloup et al, 2008) for each model. All the El Niño years and La Niña years  
254 within 1979 to 2012 were used to study the impact of ENSO on the jet stream. Average  
255 winter anomalies of 300-100 hPa zonal wind speed of all El Niño and La Niña years are then  
256 computed separately and compared with zonal wind speeds anomaly from ERA-Interim  
257 reanalysis to assess how the CMIP5 models simulated the impacts of ENSO on the jet stream.  
258 Figures 5 (a) and 5 (c) show the average zonal wind speed and Figure 5 (b) and 5 (d), the  
259 associated standardised anomalies (anomalies of zonal wind divided by standard deviation of  
260 zonal wind at each grid point) in JJA for the period 1979-2012 for El Niño years (nine events)  
261 and La Niña years (seven events) respectively. These were derived from HadISST data based  
262 on the method by Leloup et al (2008). Standardised zonal wind anomalies during El Niño  
263 years show a strong positive zonal wind standardised anomaly of 0.80 over the southern  
264 Pacific Ocean between Australia and South America, (Figure 5(b)) while a negative zonal  
265 wind speed anomaly centred at 25°S is noted over the Atlantic Ocean and south of Africa.  
266 During El Niño events, there is strengthening of the STJ and weakening of the PFJ. It is  
267 evident from the standardised anomalies (Figure 5(b) and 5(d)) of average zonal wind that  
268 during El Niño and La Niña events, the STJ and PFJ show an oscillation in the strength over  
269 the Pacific Ocean. The STJ is stronger with a maximum standardised wind anomaly of 0.8  
270 and shifts eastward in the Pacific Ocean during the El Niño phase. It is weaker during La  
271 Niña events with a negative standardised anomaly of 0.8. Bals-Elsholz et al. (2001) suggest  
272 that a baroclinic zone across Australia develops during the austral winter as a result of the  
273 cooling of the continent in contrast to the western Pacific warm pool during El Niño. Hence,  
274 this modulates the strength and position of the STJ (Seager et al, 2003).  
275 Standardised zonal wind anomalies from the 18 CMIP5 models (see Table 1 for the number  
276 of El Niño and La Niña years) are shown in Figure 6 and Figure 7. Four models CCSM4,



277 NorESM1-M, MPI-ESM-LR, and HadCM3 (Figure 6 (a)-(d)) and CCSM4, NorESM1-M,  
278 CanESM2, and HadCM3 (Figure 7 (a)-(d)) were able to capture the features of the El Niño  
279 and La Niña impacts on the STJ close to the observed patterns both in terms of the locations  
280 as well as the changes in the strength. Other models, namely CNRM-CM5, CSIRO-MK 3.6.0,  
281 CanESM2, and GFDL-CM3 show slight deviations from the observed El Niño pattern. These  
282 models (Figure 6 (e)-(h)) have an El Niño impact pattern similar to ERA-Interim reanalysis  
283 with slight differences in terms of magnitude and pattern. Among them, CanESM2 was able  
284 to capture the observed El Niño and La Niña patterns well. During La Niña years, the models  
285 MPI-ESM-LR, GFDL-CM3, IPSL-CM5A-MR, and IPSL-CM5A-LR (Figure 7 (e)-(h)) were  
286 able to reproduce the spatial pattern of average zonal wind anomaly with slight variations.  
287 Apart from the above, INMCM4, IPSL-CM5A-LR, BCC-CSM1-1, HadGEM2-CC and  
288 MIROC5, 33% of the 18 models and four models CNRM-CM5, HadGEM2-CC, INMCM4,  
289 MIROC5 fail to reproduce the observed pattern of El Niño and La Niña impacts on the jet  
290 stream respectively. As noted by Bellenger et al. (2013), the CNRM-CM5 and CCSM4  
291 models are some of the CMIP5 models that have best ENSO characteristics and these models  
292 are more reliable to study ENSO dynamics and its sensitivity to external forcing.

293 Anomaly correlation coefficient (ACC) of zonal wind for all the El Niño and La Niña events  
294 from the CMIP5 models with ERA Interim reanalysis are calculated in order to quantify the  
295 ability of CMIP5 model to simulate ENSO impacts on STJ (Figure 8 and Figure 9). The area  
296 shaded red indicates the values from each individual model are positively correlated with the  
297 ERA Interim. The opposite is true for blue shaded area.

298 In order to better characterise the representation of the magnitude of the impact of ENSO on  
299 the jet stream in CMIP5 models and ERA-Interim in the study area, the ACC average in the  
300 study area are calculated (Figures 10 (a) and (b)). The whiskers in the figures indicate the  
301 95% confidence interval. The models CanESM2, CSIRO-MK3-6-0, NorESM1-M, GFDL-  
302 CM3, HadCM3 and HadGEM2-ES reveal a moderate correlation (0.40-0.60) of El Niño  
303 impact on the jet stream between CMIP5 models and ERA-Interim in the study area. For La  
304 Niña impacts 55% of the CMIP5 models show a higher ACC (0.45-0.75). In general,  
305 moderate to higher ACC averages from CanESM2, CSIRO-MK3-6-0, NorESM1-M, GFDL-  
306 CM3, HadCM3 indicate that they display relatively good El Niño and La Niña characteristics  
307 in terms of magnitude and location. A recent paper by Molteni et al (2015) provides a critical  
308 insight into the understanding of modeling extratropical teleconnection with the Indo-Pacific  
309 region. They stated that AGCM coupled model reproduces the broad features of tropical and  
310 extra-tropical teleconnections with a good degree of fidelity. However, the traditional method

311 of linearly relating circulation anomalies to SST anomalies is only appropriate for signals  
312 originated in the central and east Pacific and it fails to identify the response to anomalous  
313 heating over the west Pacific and most of the Indian Ocean. They concluded that accurate  
314 simulation of inter-decadal variability of SST is crucial in reproducing the teleconnection  
315 relationship. Also, particular care must be taken in interpreting the results of the AGCM  
316 simulation that are based on the SST because of the absence of feedback between convection  
317 and SST over the warm pool region.

## 318 **5.0 The representation of the STJ in the historical runs of the CMIP5 models**

319 Figure 11 (a) shows the trend in the jet strength in ERA-Interim and all the CMIP5 models  
320 used in this study. The dotted vertical line and the dotted-dash lines in the figure represent the  
321 trend in the jet strength in ERA-Interim and position of zero respectively. The blue coloured  
322 data points show models that have statistically significant trends in the strength at the 5%  
323 significant level using two-tailed student test with reduced degree of freedom (Bretherton et  
324 al, 1999). ERA-Interim and HadGEM2-CC show a negative trend in the jet strength, but the  
325 trends are not significant. Five models, GFDL-CM3, HadGEM2-ES, CanESM2, GISS-E2-R  
326 and CSIRO-MK3.6.0 show a significant positive trend in the jet strength. The trend in the jet  
327 strength based on ERA-Interim is  $-0.176 \text{ ms}^{-1} \text{ decade}^{-1}$ , whereas the multi-model mean of all  
328 18 CMIP5 shows a strengthening of  $0.421 \text{ ms}^{-1} \text{ decade}^{-1}$  (Figure 11(b)). Figure 11(b) shows  
329 that the ERA-Interim displays a strong interannual variability in the STJ strength compared to  
330 the multi-model mean. Compared to ERA-Interim, the 18 models have large differences in  
331 their interannual variability. The models CCSM4, CNRM-CM5, CSIRO-MK3-6-0, GFDL-  
332 CM3, GISS-E2-R, HadCM3 and HadGEM2-CC shows standard deviations ( $1.88 \text{ ms}^{-1}$  to  
333  $2.42 \text{ ms}^{-1}$ ) comparable to ERA-Interim ( $2.38 \text{ ms}^{-1}$ ) where as HadGEM2-ES, INMCM4, IPSL-  
334 CM5A-LR, IPSL-CM5A-MR, MICROC-5, MICROC-ESM, MICROC-ESM-CHEM, MPI-  
335 ESM-LR and NorESM1-M show lower standard deviation in the STJ strength compared to  
336 ERA-Interim.

337 Figures 12 (a) and (b) show the spatial trend in the 300-100 hPa zonal wind speed from 1979-  
338 2012 as represented in ERA-Interim and the multi-model average respectively in the study  
339 area. Examination of the trend in SST over the tropical Pacific from HadISST (Figure 13 (a))  
340 shows a slight negative trend. This is in agreement with the recent La Niña-like trend  
341 revealed in the tropical SST (Zhang et al, 2011) and even in the SST pattern in the sub-  
342 surface ocean from different reanalysis data sets (Ishii et al, 2006; Carton and Giese, 2008).  
343 On the other hand, the SST trend in the multi-model mean demonstrates (Figure 13 (b)) an  
344 El Niño like pattern in the tropical SSTs is consistent with the strengthening of the jet. In

345 order to establish the link between the trend in the strength of the STJ and tropical SSTs we  
346 relate the trend in the winter strength of the STJ with the trend in SSTs in the Niño 3.4 region  
347 from 1979 to 2012. Figure 14 shows the variation of tropical SSTs in the Niño 3.4 region and  
348 the strength of the STJ and indicates that there is a significant (5%) correlation of 0.66.  
349 The mean meridional location of the STJ in the ERA reanalysis is at 29.7°S (Figure 15 (a)). The  
350 ERA data indicates that there has been a poleward shift in the location of the STJ (Figure 15 (b)),  
351 while some of the CMIP5 models show an equatorward shift of the STJ. Figure 16 shows the  
352 time series of the jet position from ERA-Interim and the multi-model mean. The CMIP5 multi-  
353 model mean obviously does not have the three equatorward migrations corresponding to the three  
354 strong El Niño events in 1982/83, 1986/87, and 1997/98 since the models will have their El Niño  
355 events at different times. During the El Niño events, contraction of the Hadley cell leads to the  
356 equatorward shift of the STJ (Lu et al 2008). Time series of jet locations from individual models  
357 (not shown here) show that the 50% of models used for the study fail to reproduce these  
358 equatorial shift during strong El Niño events in the individual models defined on the basis of  
359 model SST (refer section 4.0). The multi-model mean shows a poleward shift of the STJ at a rate  
360 of  $0.036^{\circ}\text{decade}^{-1}$  whereas  $0.100^{\circ}\text{decade}^{-1}$  is observed in ERA-Interim during the period 1979-  
361 2012 (Figure 16). Several recent studies (Polvani et al, 2011 b, Lee and Feldstein, 2013) associate  
362 the recent poleward shift of the STJ with a cooling of the lower stratospheric polar cap caused by  
363 stratospheric ozone depletion. They suggest that high latitude cooling due to ozone depletion  
364 increases the meridional temperature gradient between the polar region and the extratropics,  
365 leading to the poleward shift of the westerly winds. The poleward jet shift shows large seasonal  
366 variations, with a comparatively large shift during the summer and autumn seasons and  
367 insignificant shifts during winter and spring (Lee and Feldstein, 2013). It can also be related to  
368 the trend in the Southern Annular Mode (SAM) index during different seasons. A recent  
369 paper by Simmonds (2015) shows that there is a significant positive trend in SAM index  
370 during the Southern Hemisphere summer and autumn and no significant trends are detected  
371 in either JJA or spring (SON) during the period 1979-2013.

## 372 **6.0 Future trends in the strength and location of the STJ and the relationship with** 373 **SSTs**

374 Examination of the CMIP5 model zonal winds over the period 2011 to 2099 shows that the  
375 speed of the STJ is predicted to strengthen significantly under both RCP 4.5 (82 % of the  
376 models) and RCP 8.5 (94 %) scenarios (Figure 17 (a) and 17 (b)). The multi-model average  
377 suggests a significant increase in the jet strength of  $0.292 \text{ ms}^{-1} \text{ decade}^{-1}$  for RCP 4.5 and  
378  $0.604 \text{ ms}^{-1} \text{ decade}^{-1}$  for RCP 8.5. The trend in the jet strength from the multi-model mean

379 from RCP 4.5 is approximately double that from the RCP 8.5 (Figure 18). Also, the speed of  
380 the STJ after 2050 shows a large divergence between the two scenarios RCP 4.5 and RCP 8.5.  
381 The large difference in the strengthening of STJ in RCP 4.5 and RCP 8.5 is due to the fact  
382 that the RCP 4.5 scenario shows little change during the period of stratospheric ozone  
383 recovery (2050), whereas there is a significant change in the speed of the STJ in RCP 8.5  
384 scenario due to the exponential increase in greenhouse gas concentrations. Gerber and Son  
385 (2013) also suggest that differences in ozone-related polar stratospheric temperatures would  
386 be able to explain the divergence of future jet trends better than that compared to the  
387 temperature differences due to global warming in the CMIP5 models.  
388 Figures 19 (a) and (b) show the trend in the meridional locations of the STJ for RCPs 4.5 and  
389 8.5. All the models show a poleward shift under these two scenarios. However, in most of the  
390 models with RCP 4.5, the changes are small and insignificant, while in RCP 8.5, 47% of the  
391 models shows a significant (at 5% confidence level) poleward shift. The ensemble mean shift  
392 of the jet latitude is shown in Figure 20. RCP 4.5 results in little change in the mean position  
393 of the jet and the shift by the end of the century is  $0.006^{\circ}$  decade<sup>-1</sup> and  $0.042^{\circ}$  decade<sup>-1</sup>  
394 towards the pole in RCP 4.5 and RCP 8.5 respectively.

### 395 **6.1 Inter-model variability in the future projections of the STJ linked to SSTs in the** 396 **individual models**

397 As would be expected, there is a strong correlation with most of CMIP5 models between  
398 projected changes in the strength of the STJ and changes in the SSTs in the Niño 3.4 region.  
399 Figure 21 shows the correlation between the multi-model mean projected jet strength (2011-  
400 2099) with the projected multi-model mean of SST. For both scenarios there is a strong  
401 correlation between SST and jet strength, in particular near the equatorial Pacific.

402 Figure 17 (a) shows that there are large differences in the projected magnitude of strength of  
403 the STJ from the CMIP5 models with the 4.5 scenario. To investigate the causes of the large  
404 spread in the projected jet strength, the possible role of SSTs in the CMIP5 models was  
405 assessed. For the RCP 4.5 scenario, IPSL-CM5A-MR and INM-CM4 show the lowest and  
406 CSIRO-MK3.6.0 and MIROC-ESM-CHEM the highest significant trend in the jet strength  
407 (Figure 17 (a)). Figure 22 (a),(b),(c) and (d) show the projection of SST trend from the  
408 models IPSL-CM5A-MR and INM-CM4 and CSIRO-MK3.6.0 and MIROC-ESM-CHEM.  
409 Comparing the SST trends, it is evident that the models with low trend in the strength have  
410 the lowest SST trend in the Niño 3.4 region, which suggests that the inter-model variability in  
411 the magnitude of the jet strength is linked to the SSTs predicted by the individual models.

## 412 **7.0 Discussion and Conclusions**

413 In this study historical simulations and future projections of the STJ using 18 CMIP5 models  
414 were evaluated. Based on the climatology, the area of study was defined as 20°S to 40°S,  
415 70°E to 290°E which covers part of the Indian Ocean and Southern Pacific Ocean and the  
416 levels selected were from 300 hPa to 100 hPa. Standardised zonal wind speed wind  
417 anomalies at 200 hPa were used to investigate the impacts of ENSO on the strength of the  
418 STJ. A study of ENSO effects on the jet stream was carried out to assess the impacts of the  
419 cycle on the jet stream and to assess the ability of the CMIP5 models in simulating ENSO.  
420 We have shown that 47% of the CMIP5 models used in this study were able to simulate  
421 ENSO impacts realistically. Furthermore, it is more difficult for the models to reproduce the  
422 observed intensity of ENSO impacts than the pattern. It is also clear that there are differences  
423 in the responses of the models in simulating the impacts of El Niño and La Niña on jet  
424 streams.

425 The ERA-Interim reanalysis shows long term mean wind strength of the STJ of 40 ms<sup>-1</sup> with  
426 the jet position close to 29.7°S. With regard to the historical trend in the strength of the STJ,  
427 ERA interim shows a trend of -0.18 ms<sup>-1</sup> decade<sup>-1</sup> whereas the multi-model mean of all 18  
428 CMIP5 shows a strengthening of 0.42 ms<sup>-1</sup> decade<sup>-1</sup>. To investigate the causes of the  
429 differences in trends in the models and observation, the possible role of SST in the CMIP5  
430 models was assessed. The analysis showed that there is a significant correlation (correlation  
431 coefficient 0.66) between the tropical SSTs across the Niño 3.4 region and the trend in the  
432 strength of the STJ. The trend in the meridional location of the STJ based on ERA-Interim  
433 shows that the STJ has negligible latitudinal shift during the austral winter. This is due to the  
434 fact that the SST in the Niño 3.4 shows a slight negative trend during the period of study  
435 contributing to an insignificant shift. As shown by Thompson and Solomon (2002) and  
436 Polvani et al (2011 b), the poleward jet shift is largely caused by the changes in the  
437 stratospheric ozone concentration and the contribution due to the increase in the greenhouse  
438 gases is comparatively smaller. Though the ozone depletion occurs in October to November  
439 the tropospheric response is strongest during summer.

440 The projected changes in the strength and meridional location documented in this study show  
441 a wide range of responses among the different models. The RCP 4.5 (RCP 8.5) projection  
442 suggests an increase in the jet strength speed of up to 2.5 ms<sup>-1</sup> (5.5 ms<sup>-1</sup>) by the end of the  
443 century for 64.7% (82.2%) of the models. The jet latitude under RCP 4.5 (RCP 8.5) is  
444 projected to move poleward by 0.06° (0.4°) with 11.8% (52.9%) of the models showing  
445 significant poleward shift. There are large differences in the projected magnitude of the trend  
446 in the STJ strength in individual CMIP5 models. We have shown that the inter-model

447 variability in the projection of the strength of STJ is well correlated with biases in the  
448 equatorial SSTs in the individual CMIP5 models.

449 As mentioned in the earlier part of this paper, several recent studies show that changes in STJ  
450 position are related to changes in the precipitation patterns, Antarctic sea ice extent etc.  
451 Recent positive trend in SAM also signifies the polewards shift in the surface westerlies  
452 related to global warming. Pezza et al (2007) noted a possible link between Pacific decadal  
453 oscillation (PDO) and extratropical circulation over the Southern Ocean. Their study shows  
454 that more intense (and fewer) cyclones and anticyclones are observed during the positive  
455 PDO. In addition, Pezza et al (2008, 2012) explored the association between SH cyclones and  
456 anticyclones and the ENSO, SAM, Antarctic sea ice extent (SIE), and rainfall in southern  
457 Australia. The results indicate that there is a contraction of sea ice accompanied by the  
458 southward shift of high latitude cyclone, resulting in decreasing rainfall trend in southern  
459 Australia. This suggests that the complex interactions among the key climate features can be  
460 thought of as an interconnected SAM/SIE mechanism. Hence, realistic predictions of trends  
461 in the position of STJ and understanding the mechanisms behind such trends are very  
462 important.

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## FIGURE CAPTIONS

654 Figure 1 A meridional cross section over 240 – 260° E showing Hadley cell represented by  
655 the streamlines (in red), the mean zonal wind speed (black contours, ms<sup>-1</sup>) and  
656 temperature (shaded, °C) for July 1997. The box shows the poleward edge of the  
657 Hadley Circulation which coincides with the location of STJ.

658 Figure 2 Map of Southern Hemisphere with the box showing the area of the study.

659 Figure 3 Monthly mean of (a) zonal wind strength of the STJ and (b) meridional location of  
660 the STJ from ERA-Interim (1979 – 2012). The whiskers indicate one standard  
661 deviation and the circles indicate the range of the values.

662 Figure 4 Seasonal average of zonal wind speed (ms<sup>-1</sup>) for 1979 - 2012 with a contour interval  
663 of 5 ms<sup>-1</sup> at 300hPa-100hPa.

664 Figure 5 Mean winter zonal wind speed during El Niño and La Niña years (a) and (c) and the  
665 standardised anomalies (b) and (d) at 300hPa-100hPa from ERA Interim.

666 Figure 6 Standardised anomalies of zonal wind speed for all the El Niño years from 1979 to  
667 2012 from CMIP5 models at 300-100 hPa.

668 Figure 7 Standardised anomalies of zonal wind speed for all the La Niña years from 1979 to  
669 2012 from CMIP5 models at 300-100 hPa.

670 Figure 8 Anomaly correlation coefficient of zonal wind for all the El Niño events during JJA  
671 from 1979 to 2012 from CMIP5 models with ERA Interim at 300-100 hPa.

672 Figure 9 Anomaly correlation coefficient of zonal wind for all the La Niña events during JJA  
673 from 1979 to 2012 from CMIP5 models with ERA Interim at 300-100 hPa.

674 Figure 10 Anomaly correlation coefficient of zonal wind between the CMIP5 models and  
675 ERA-Interim a) during El Niño events and b) during La Niña events from the area  
676 of study at 300-100 hPa. The whiskers in the graph show 95% confidence interval  
677 for the respective models.

678 Figure 11 (a) Mean winter jet strength trend in the historical simulation (1979 - 2012), sorted  
679 by magnitude. The dash vertical line and the dot-dash lines in the figure represent  
680 the trend in the jet strength in ERA-Interim and position of zero respectively. The

681 blue coloured data points show models that have statistically significant trends in  
682 the strength at the 5% significant level using two-tailed student test.

683 Figure 11 (b) Multi-model mean of the STJ strength from the CMIP5 models and ERA-  
684 Interim.

685 Figure 12 (a) The trend in the winter zonal wind speed at 300-100 hPa for 1979 – 2012 from  
686 ERA-Interim. (The box shows the study area).

687 Figure 12 (b) The trend in the winter zonal wind speed at 300-100 hPa for 1979 – 2012 from  
688 the multi-model mean. (The box shows the study area).

689 Figure 13 (a) Winter SST trend for 1979-2012 from HadISST.

690 Figure 13 (b) The multi-model mean winter SST trend for 1979-2012.

691 Figure 14 The correlation between the mean winter SST from HadISST for the Niño 3.4 area  
692 and the mean winter STJ strength from ERA-Interim.

693 Figure 15 (a) Mean meridional location of the STJ and (b) Trend in the meridional location of  
694 STJ in the historical CMIP5 simulations and ERA in the study area. The dash  
695 vertical line and the dot-dash lines in the figure represent ERA-Interim and  
696 position of zero respectively.

697 Figure 15 (b) The ERA-Interim and CMIP5 multi-model mean trends in the meridional  
698 location of the STJ.

699 Figure 16 The ERA-Interim and CMIP5 multi-model mean trends in the meridional location  
700 of the STJ.

701 Figure 17 The trends in the strength of the STJ for (a) RCP 4.5 and RCP 8.5 (b) projections  
702 for 2011-2099 sorted by magnitude. The blue coloured data points show models  
703 that have statistically significant trends in the strength at the 5% significant level  
704 using two-tailed student test.

705 Figure 18 The multi-model mean of the winter STJ strength over 2011-2099 for RCP 4.5 and  
706 RCP 8.5.

707 Figure 19 Trends in the meridional location of STJ for (a) RCP 4.5 and (b) RCP 8.5 sorted by  
708 magnitude. The dot-dash line in the figure represents the position of zero. The blue

709 coloured data points show models that have statistically significant trends in the  
710 meridional location at the 5% significant level using two-tailed student test.

711 Figure 20 The multi-model mean winter meridional location of the STJ 2011-2099 for RCP  
712 4.5 and RCP 8.5.

713 Figure 21 Spatial correlations between the multi-model mean projected trend in jet strength  
714 (2011-2099) and the multi-model mean projected SST trend from (a) RCP 4.5 and  
715 (b) RCP 8.5 scenarios.

716 Figure 22 Projected SST trends from RCP 4.5 (a) IPSL-CM5A-LR (b) INMCM4 (d) CISRO-  
717 Mk3.6.0 (d) MIROC-ESM-CHEM for 2011-2099.

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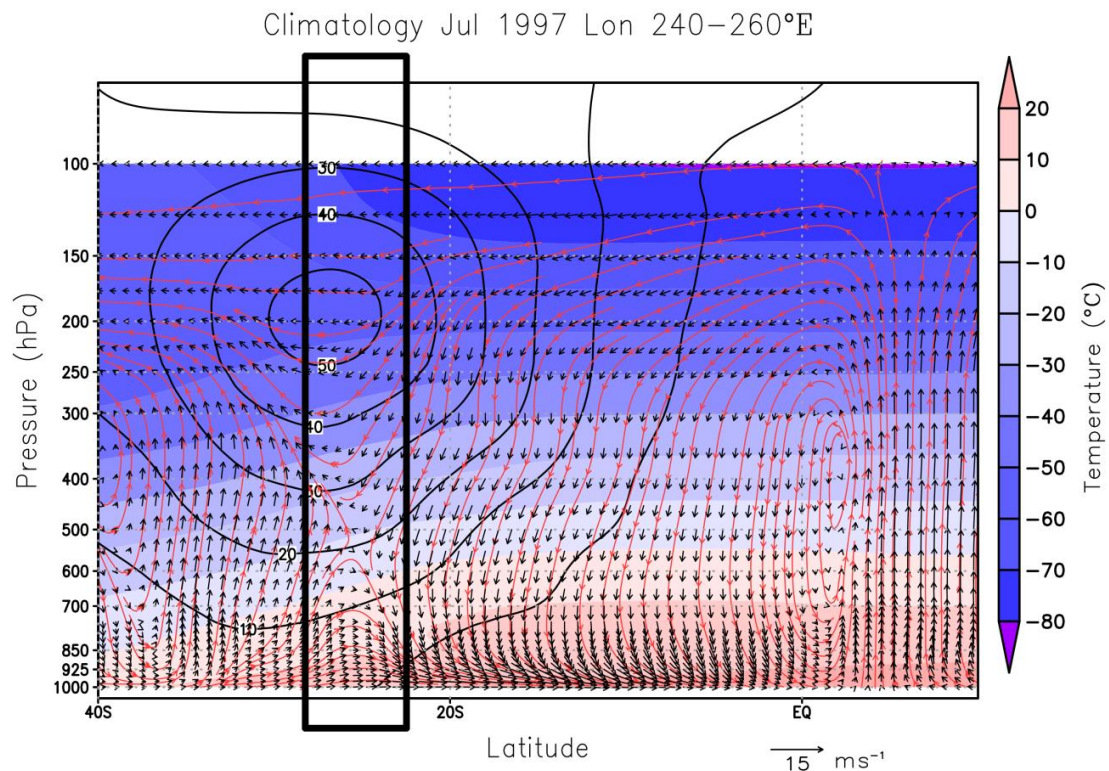


Figure 1 Hadley Circulation (black arrows,  $\text{ms}^{-1}$ ) averaged between  $240^{\circ}\text{E}$  and  $260^{\circ}\text{E}$  with streamlines (red in colour), the mean zonal wind speed (black contours,  $\text{ms}^{-1}$ ) and temperature (shaded,  $^{\circ}\text{C}$ ) for July 1997. The box shows the poleward edge of Hadley Circulation which coincides with the location of STJ.

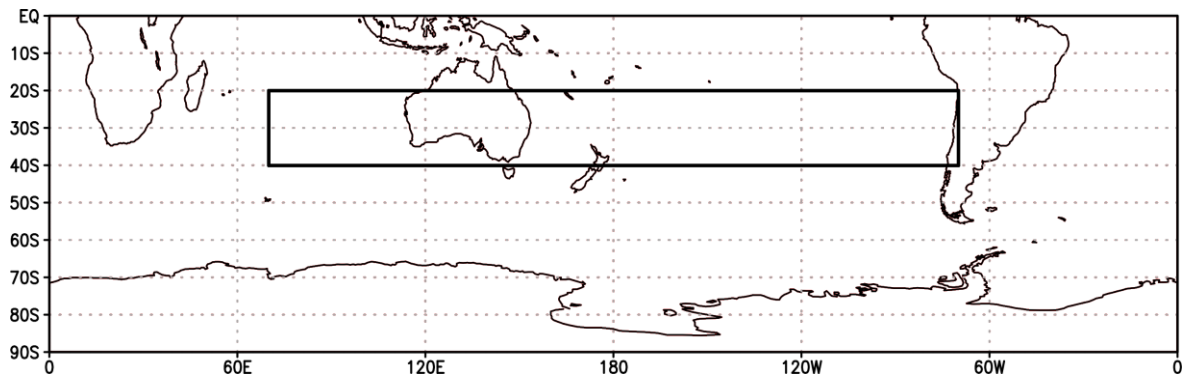


Figure 2 Map of Southern Hemisphere with the box showing the area of the study.



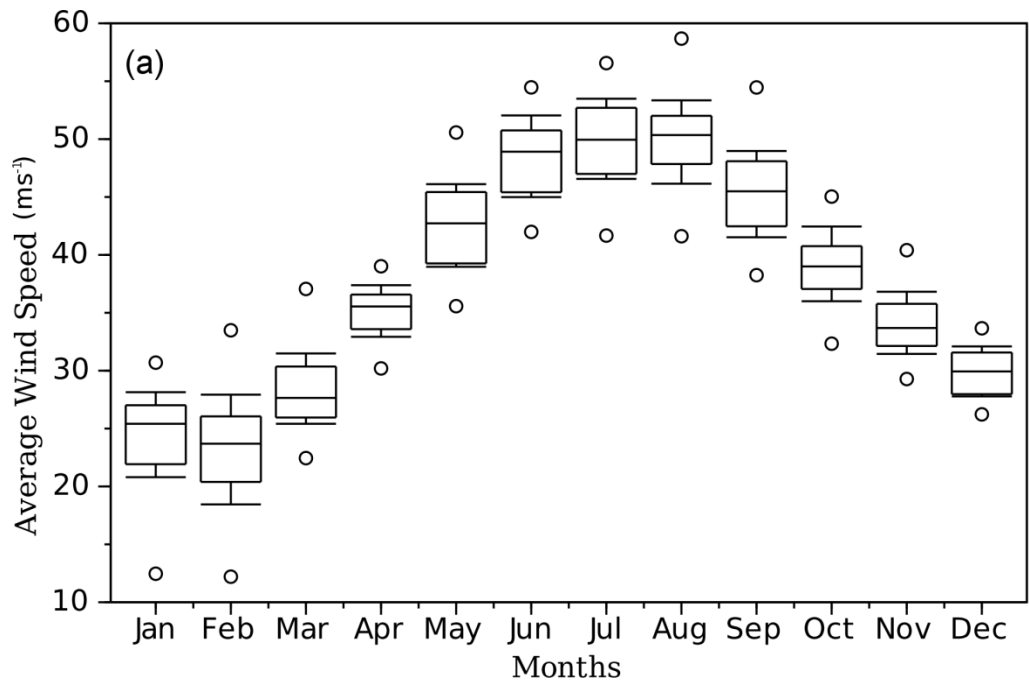


Figure 3 (a)

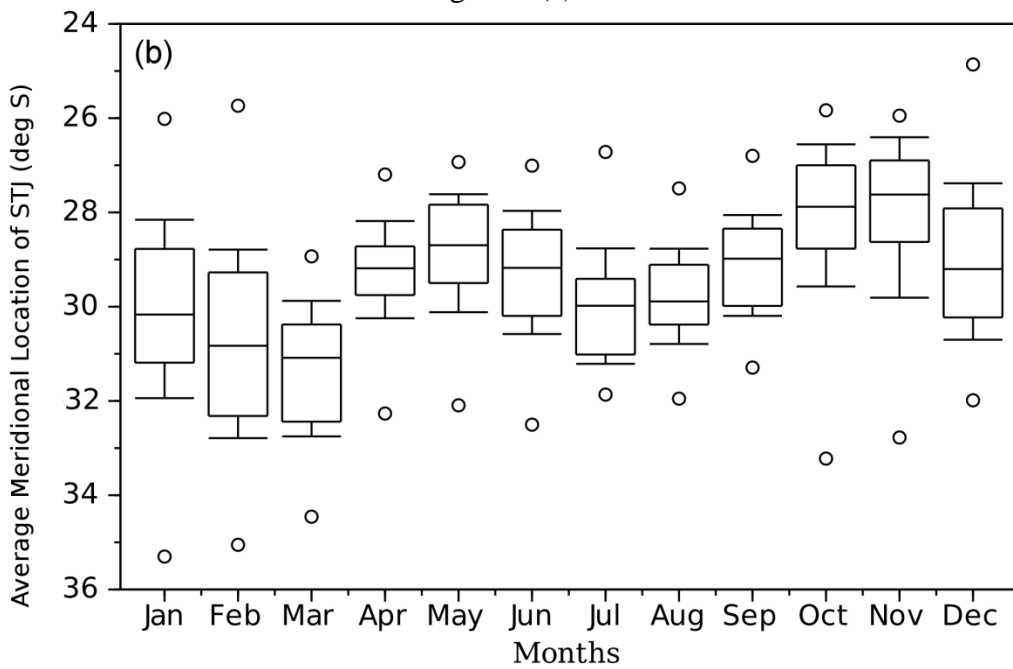


Figure 3 (b)

Figure 3 Monthly mean of (a) zonal wind strength of the STJ and (b) meridional location of the STJ from ERA-Interim (1979 – 2012). The whiskers indicate one standard deviation and the circles indicate the range of the values.

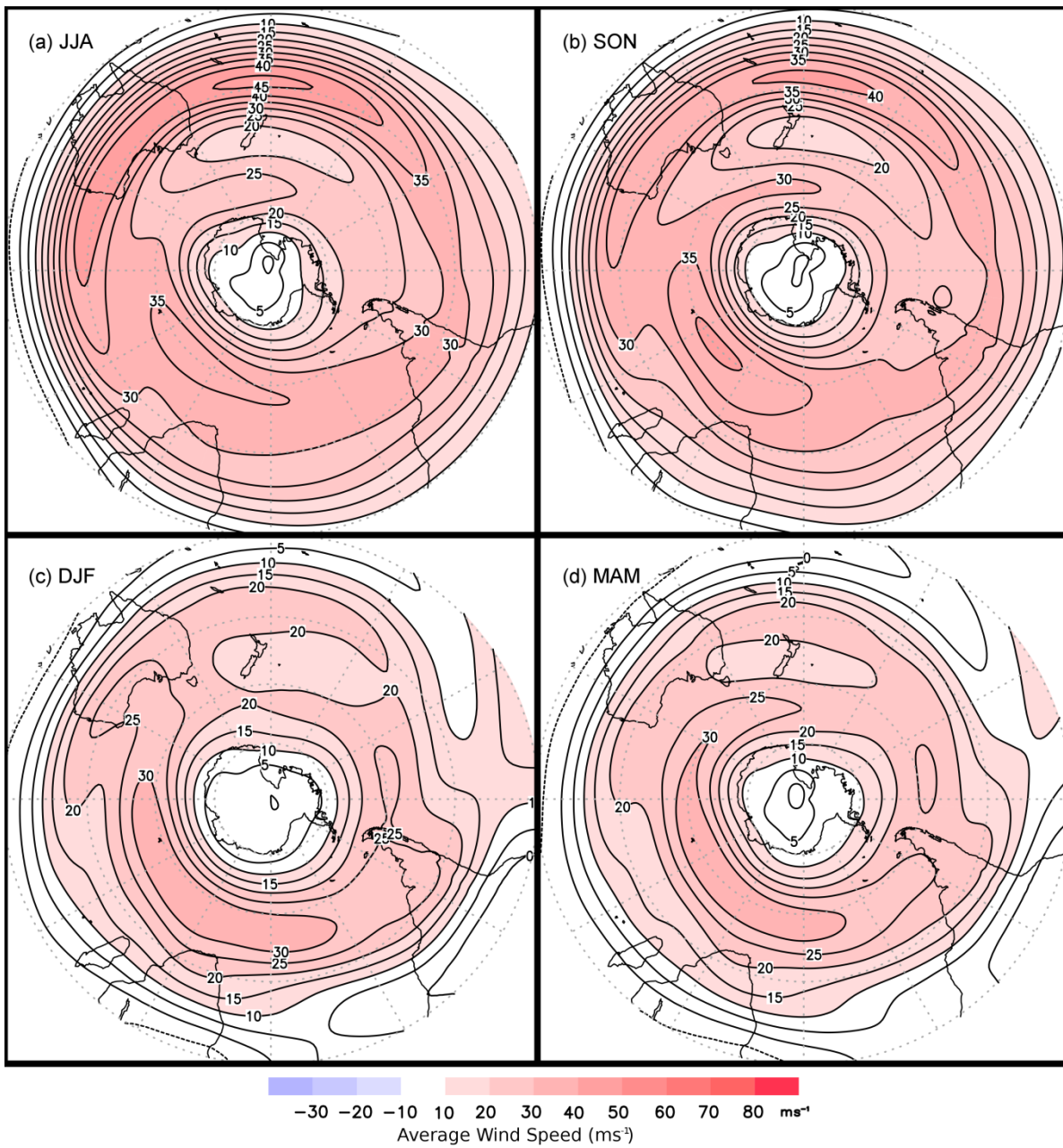


Figure 4 Seasonal average of zonal wind speed ( $\text{ms}^{-1}$ ) for 1979 - 2012 with a contour interval of 5  $\text{ms}^{-1}$  at 300hPa-100hPa.

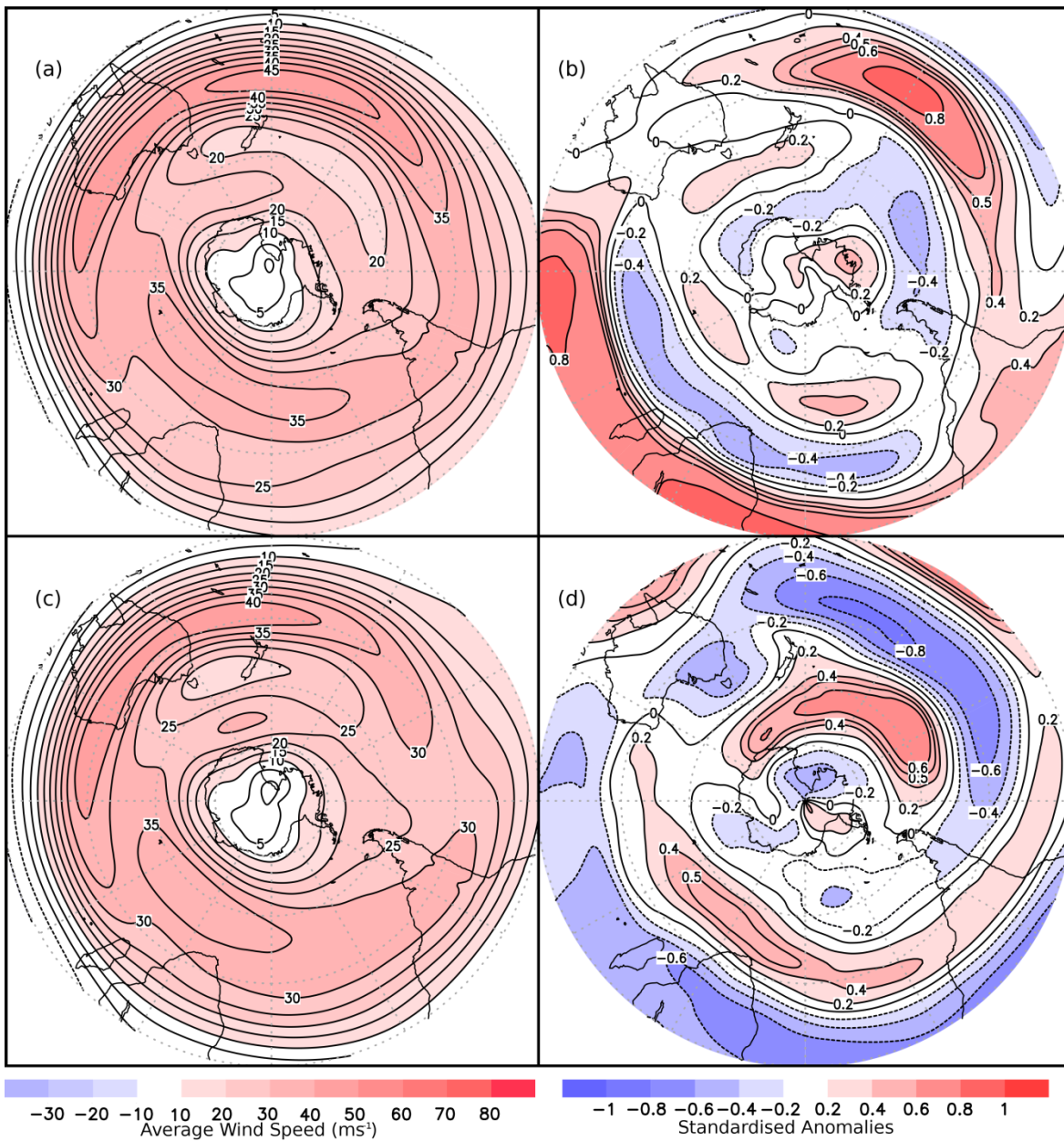


Figure 5 Mean winter zonal wind speed during El Niño and La Niña years (a) and (c) and the standardised anomalies (b) and (d) at 300hPa-100hPa.

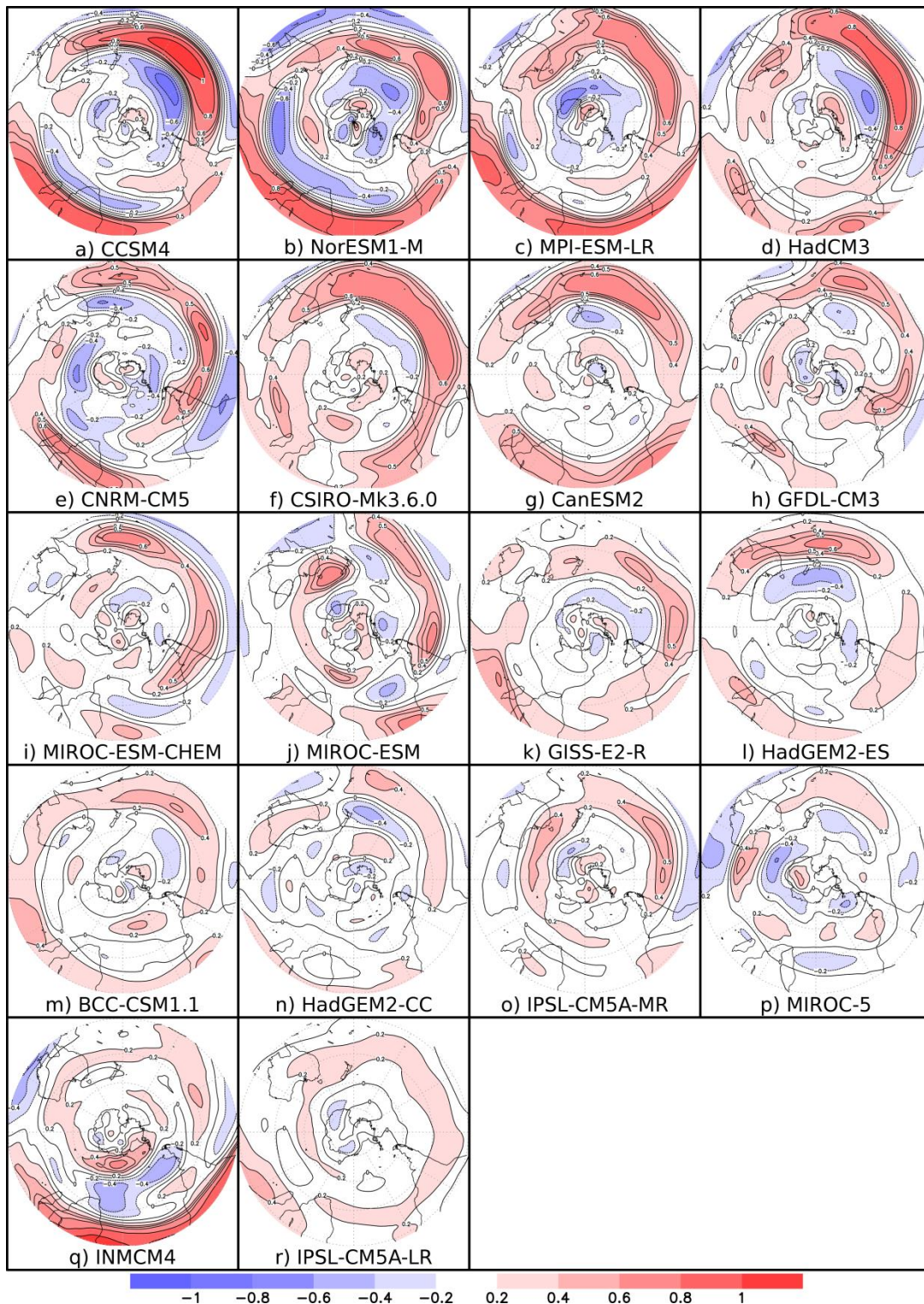


Figure 6 Standardised anomalies of zonal wind speed for all the El Niño years from 1979 to 2012 from CMIP5 models at 300-100 hPa.

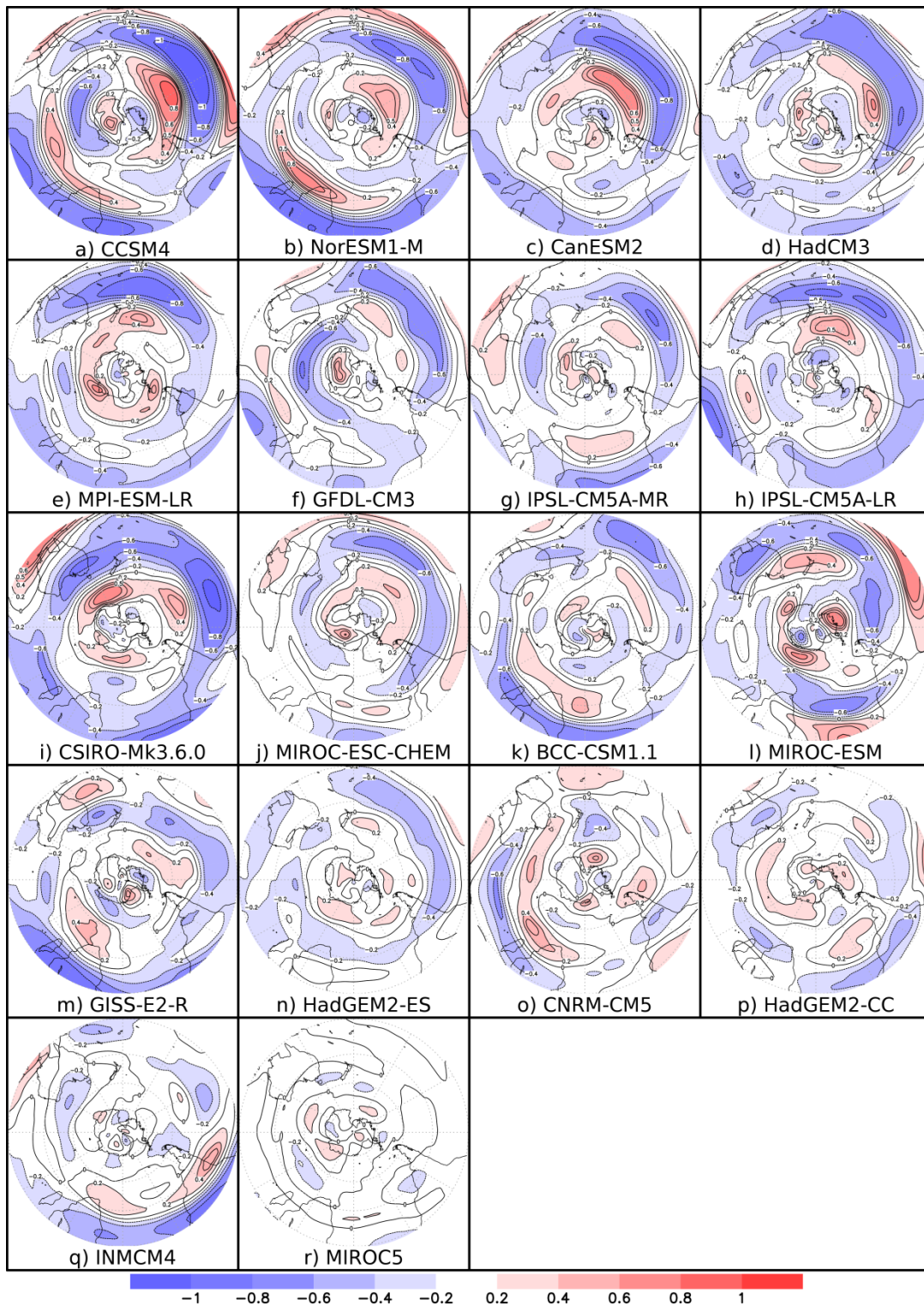


Figure 7 Standardised anomalies of zonal wind speed for all the La Niña years from 1979 to 2012 from CMIP5 models at 300-100 hPa.

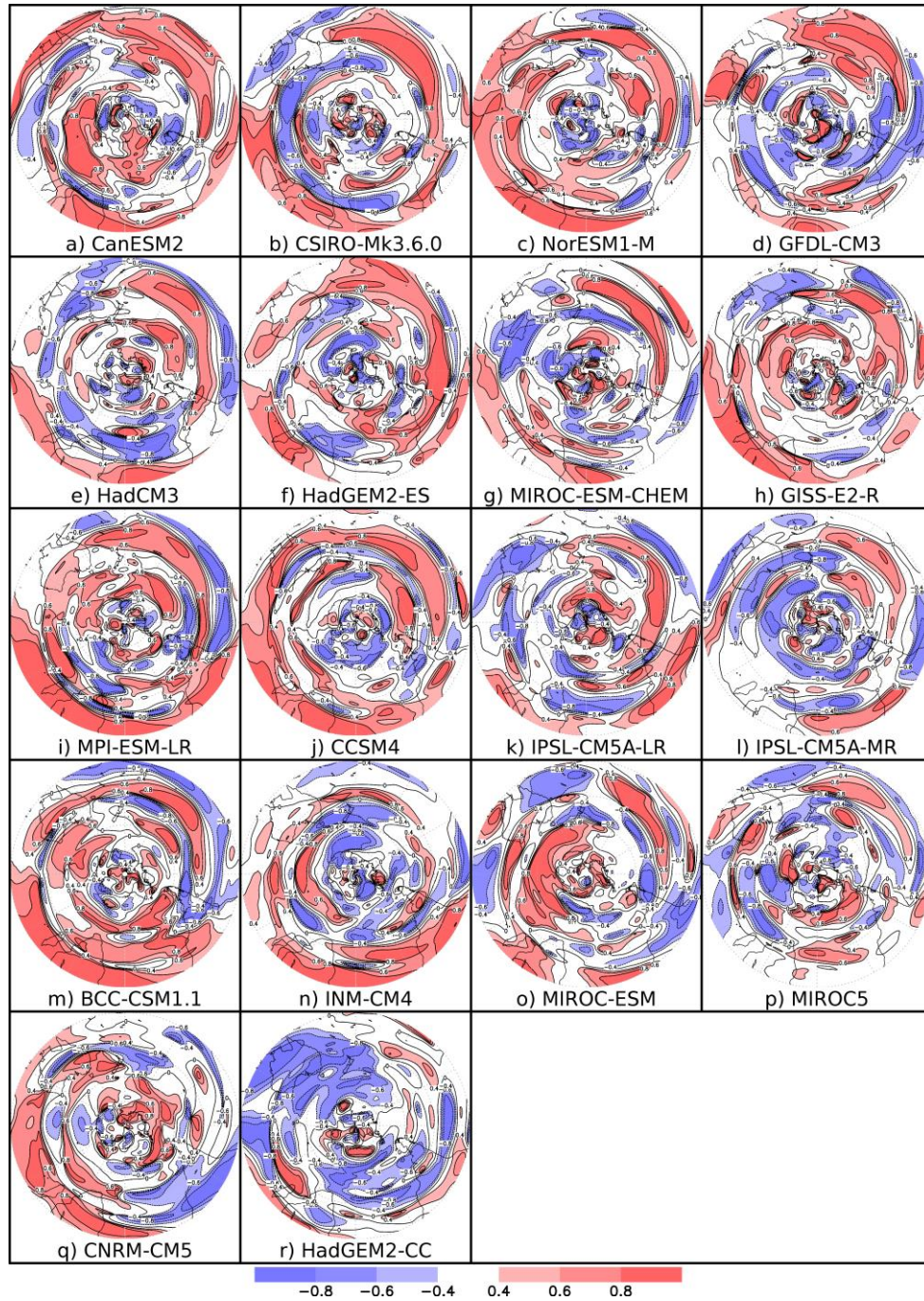


Figure 8 Anomaly correlation coefficient of zonal wind for all the El Niño events during JJA from 1979 to 2012 from CMIP5 models with ERA Interim at 300-100 hPa.

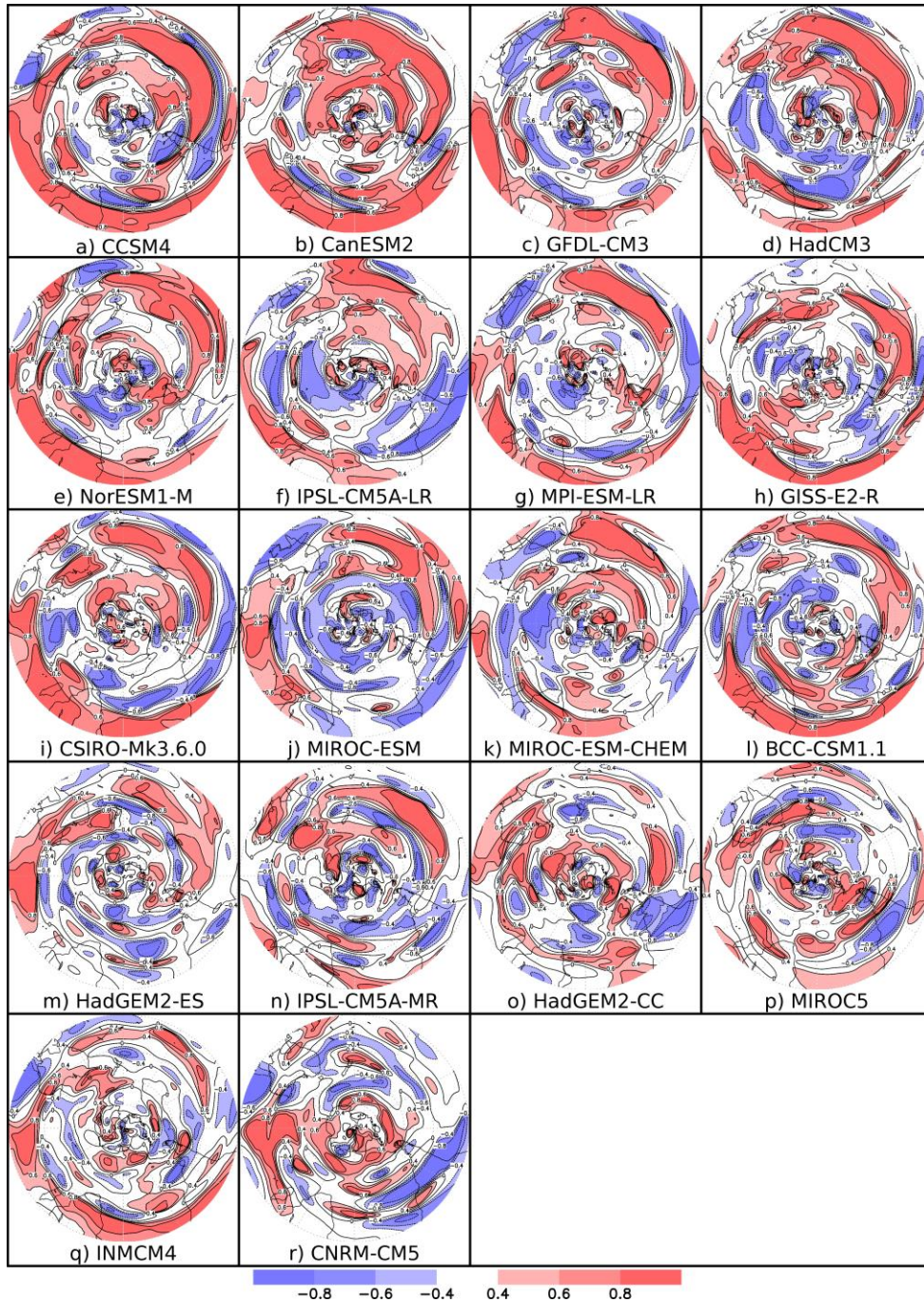


Figure 9 Anomaly correlation coefficient of zonal wind for all the La Niña events during JJA from 1979 to 2012 from CMIP5 models with ERA Interim at 300-100 hPa.

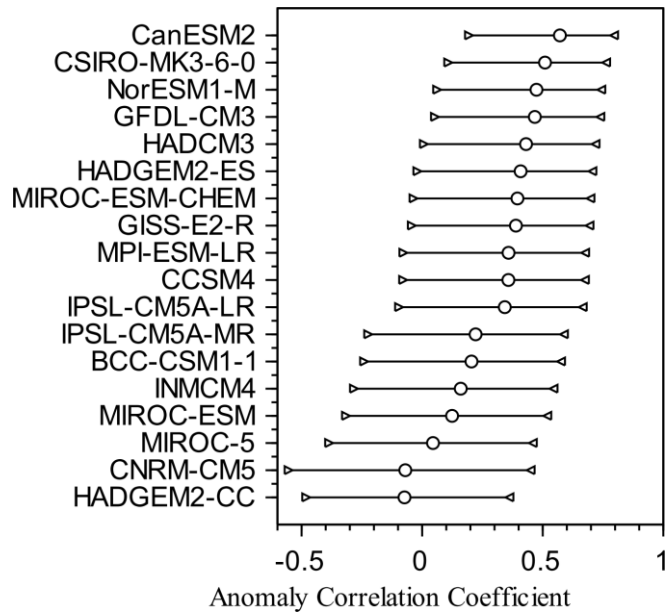


Figure 10 (a)

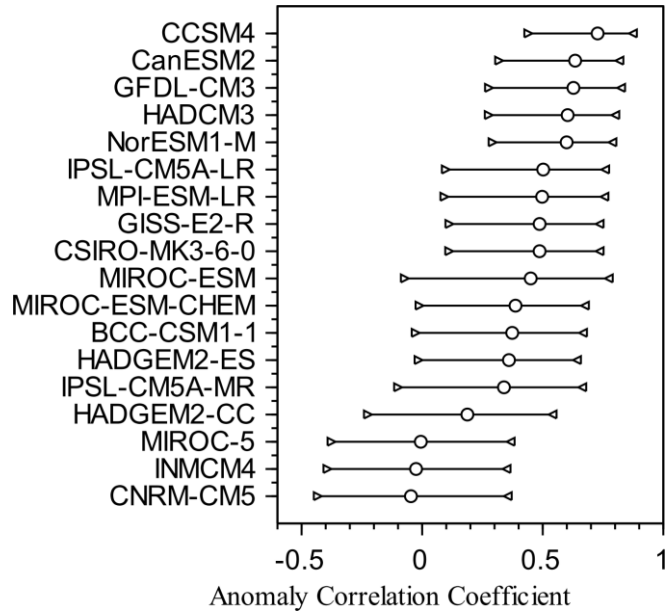


Figure 10 (b)

Figure 10 Anomaly correlation coefficient of zonal wind between the CMIP5 models and ERA-Interim a) during El Niño events and b) during La Niña events from the area of study at 300-100 hPa. The whiskers in the graph show 95% confidence interval for the respective models.



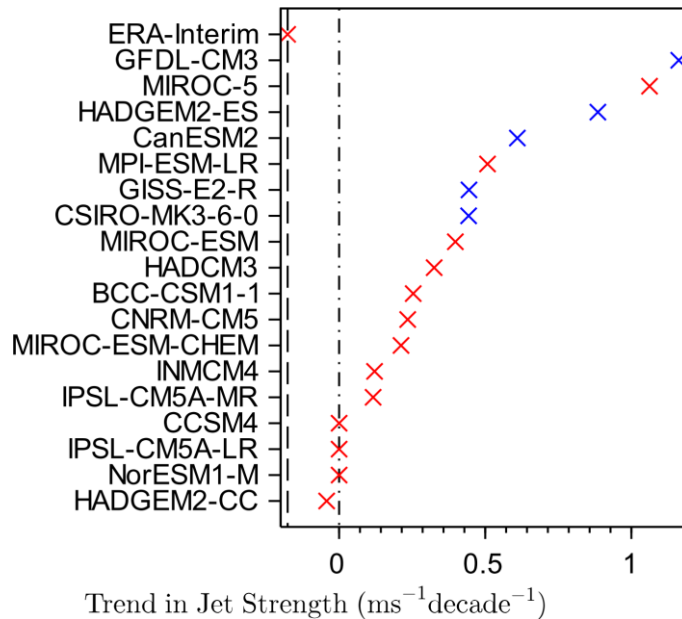


Figure 11 (a) Mean winter jet strength trend in the historical simulation (1979 - 2012), sorted by magnitude. The dash vertical line and the dot-dash lines in the figure represent the trend in the jet strength in ERA-Interim and position of zero respectively. The blue coloured data points show models that have statistically significant trends in the strength at the 5% significant level using two-tailed student test.

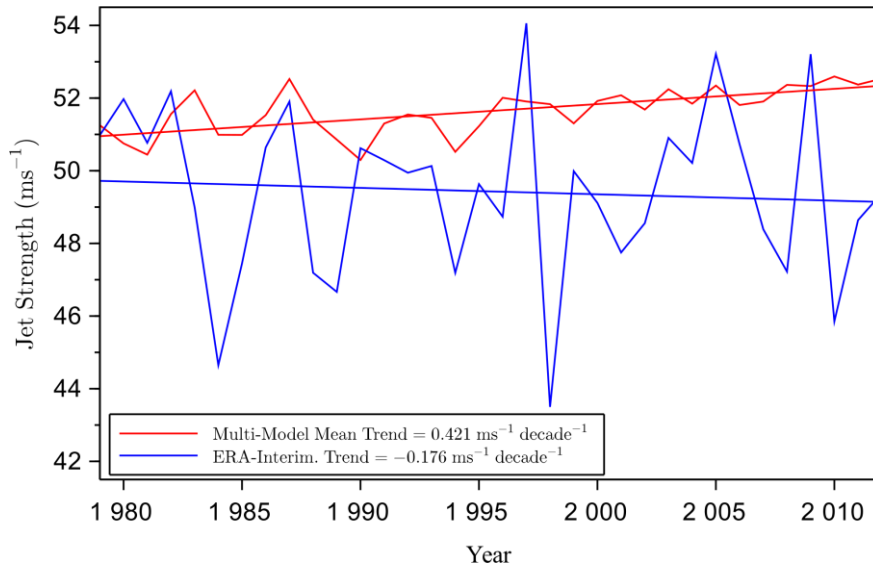


Figure 11 (b) Multi-model mean of the STJ strength from the CMIP5 models and ERA-Interim.

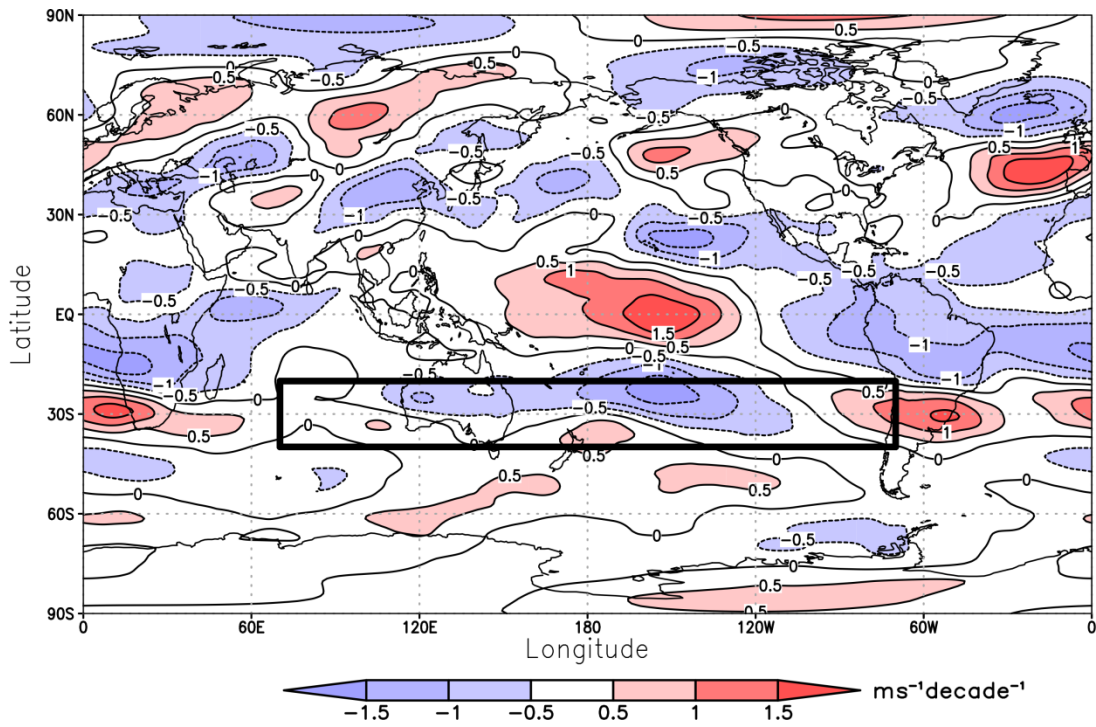


Figure 12 (a) The trend in the winter zonal wind speed at 300-100 hPa for 1979 – 2012 from ERA-Interim. (The box shows the study area).

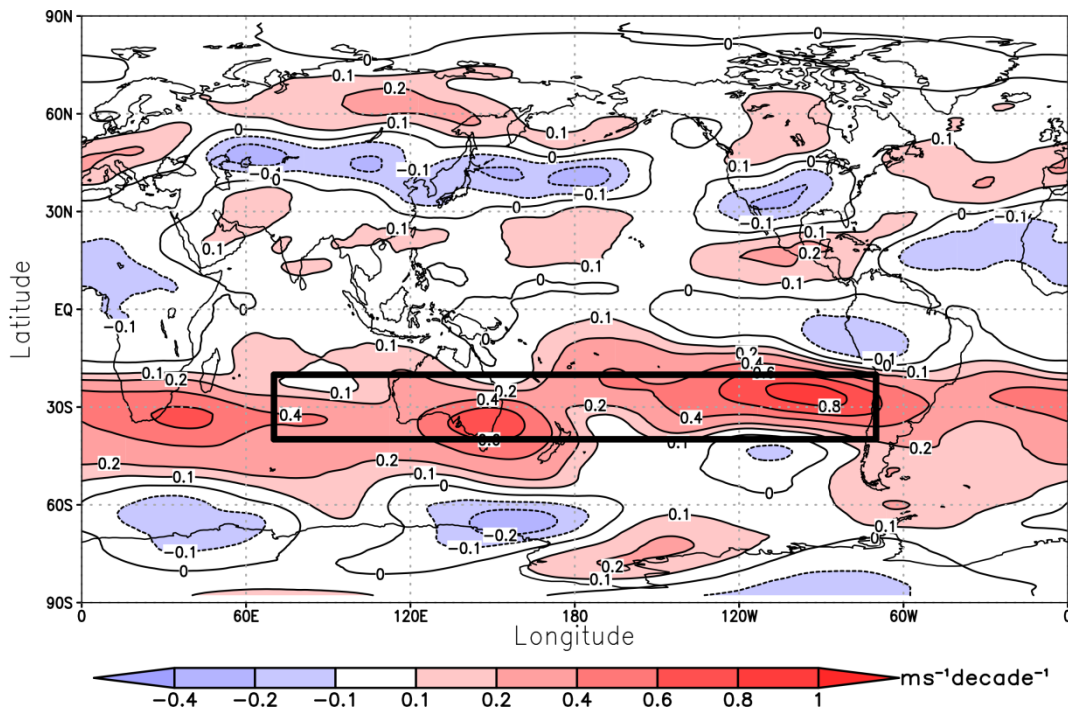


Figure 12 (b) The trend in the winter zonal wind speed at 300-100 hPa for 1979 – 2012 from the multi-model mean. (The box shows the study area).

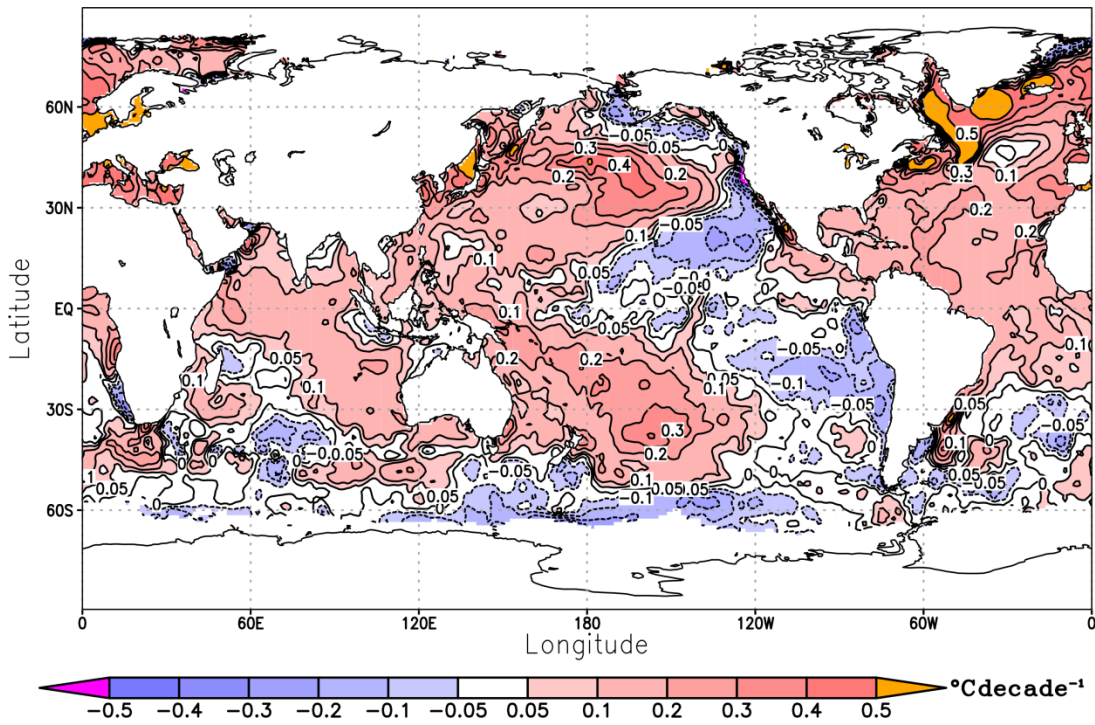


Figure 13 (a) Winter SST trend for 1979-2012 from HadISST.

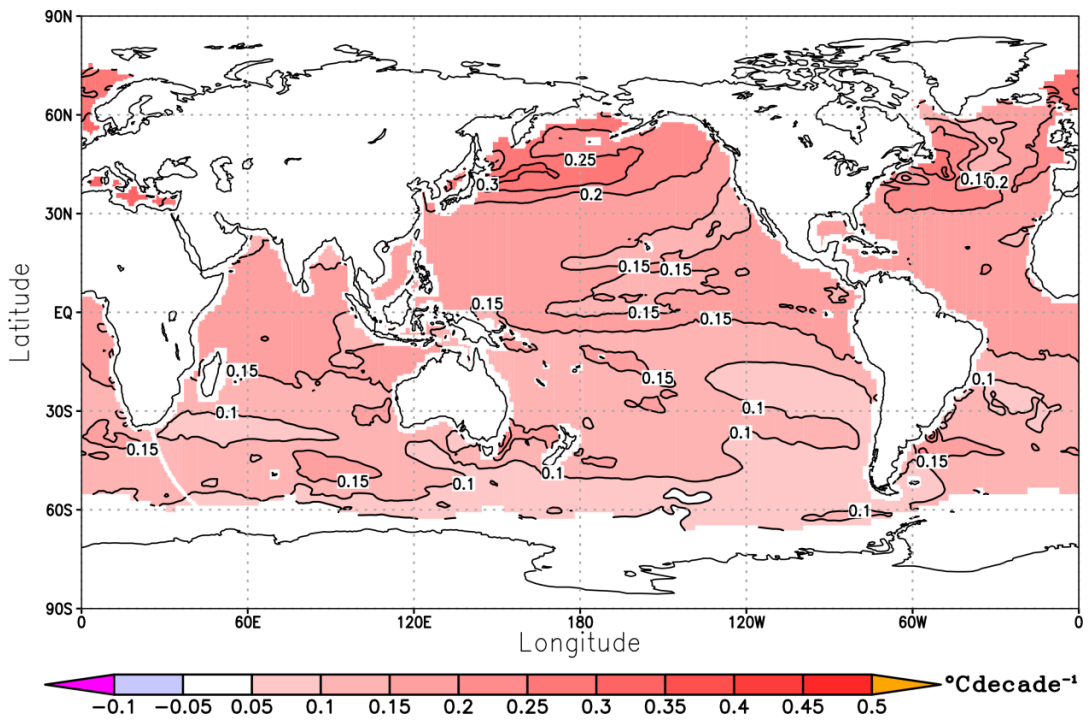


Figure 13 (b) The multi-model mean winter SST trend for 1979-2012.

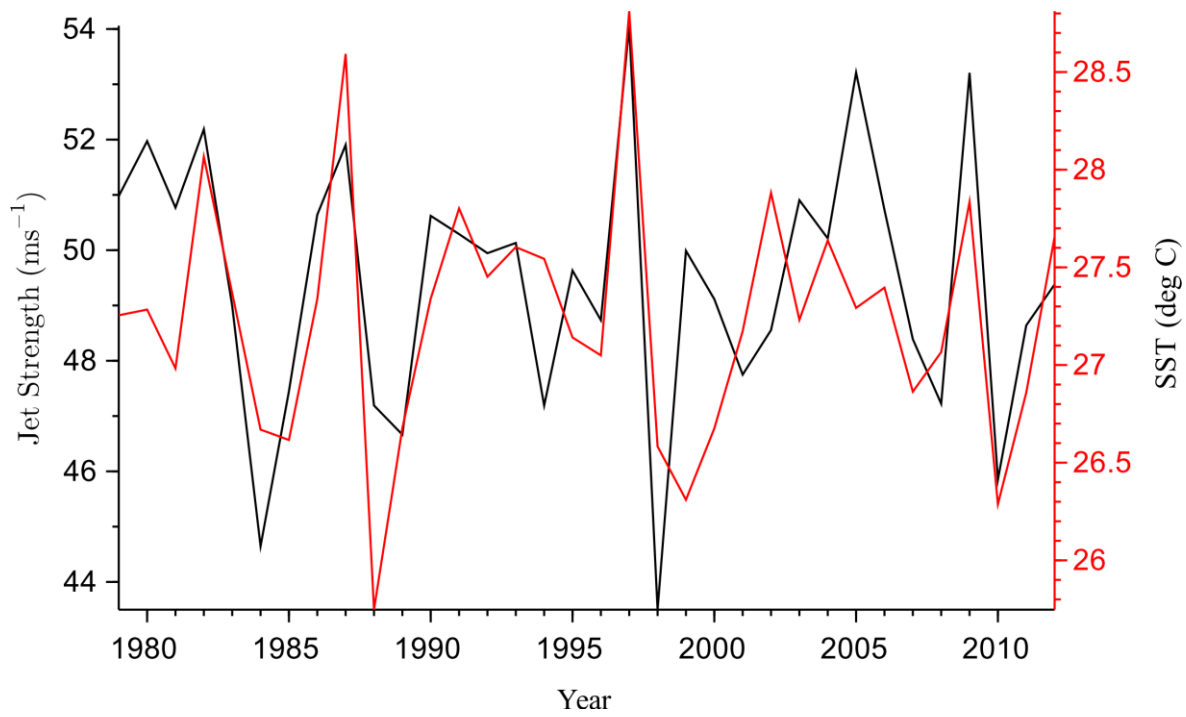


Figure 14 The correlation between the mean winter SST from HadISST for the Niño 3.4 area and the mean winter STJ strength from ERA-Interim.

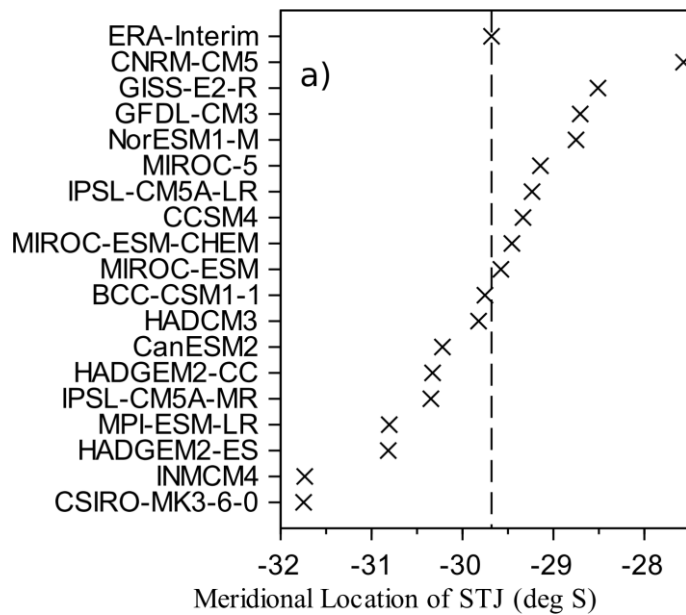


Figure 15 (a)

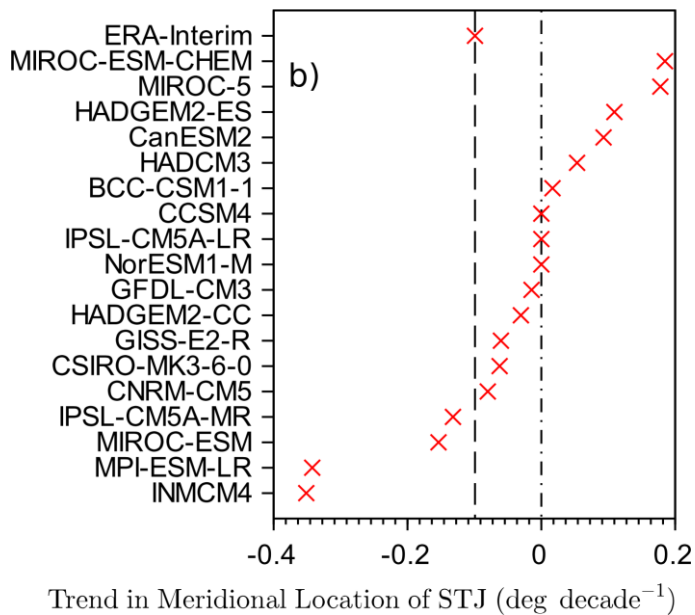


Figure 15 (b)

Figure 15 (a) Mean meridional location of the STJ and (b) Trend in the meridional location of STJ in the historical CMIP5 simulations and ERA in the study area. The dash vertical line and the dot-dash lines in the figure represent ERA-Interim and position of zero respectively.

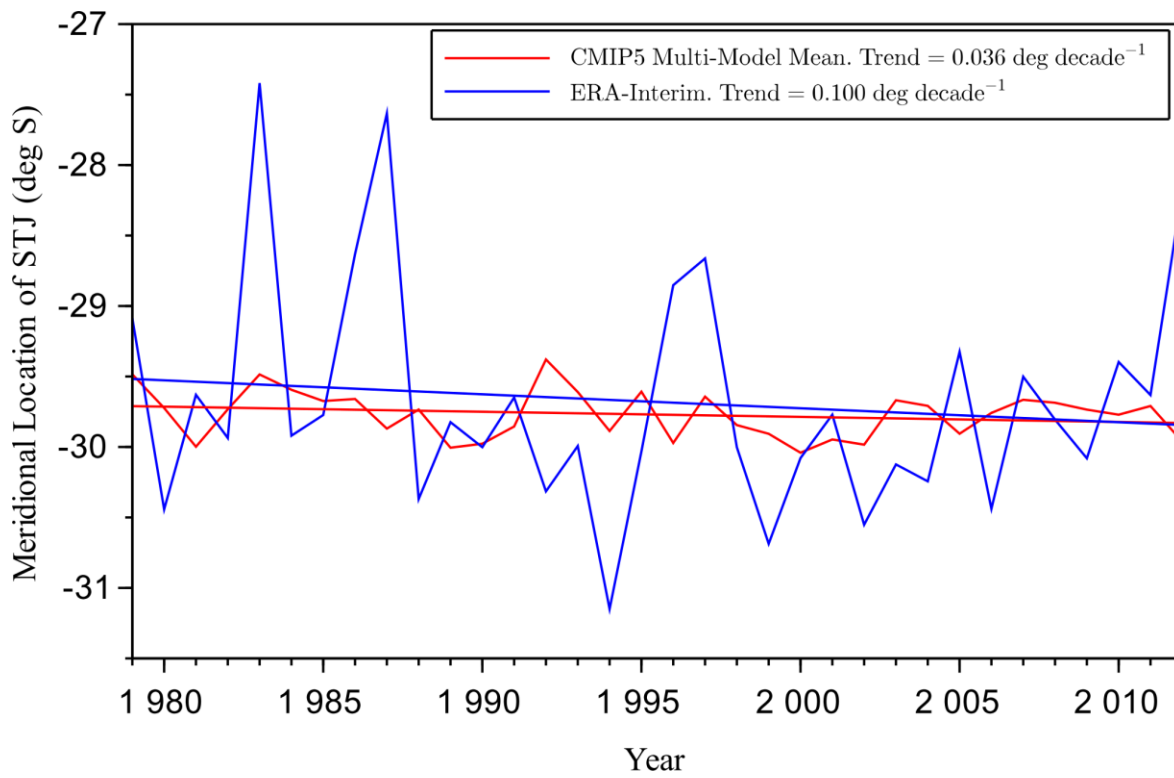


Figure 16 The ERA-Interim and CMIP5 multi-model mean trends in the meridional location of the STJ.

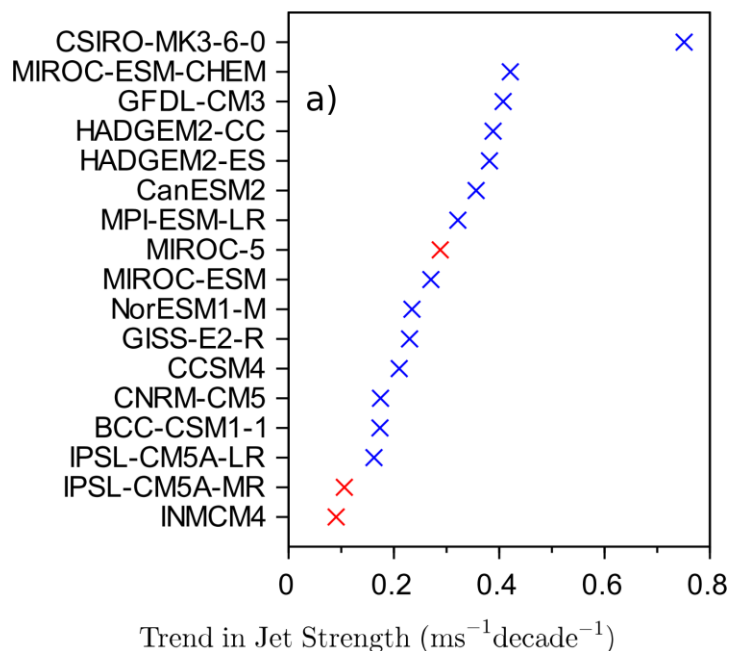


Figure 17 (a)

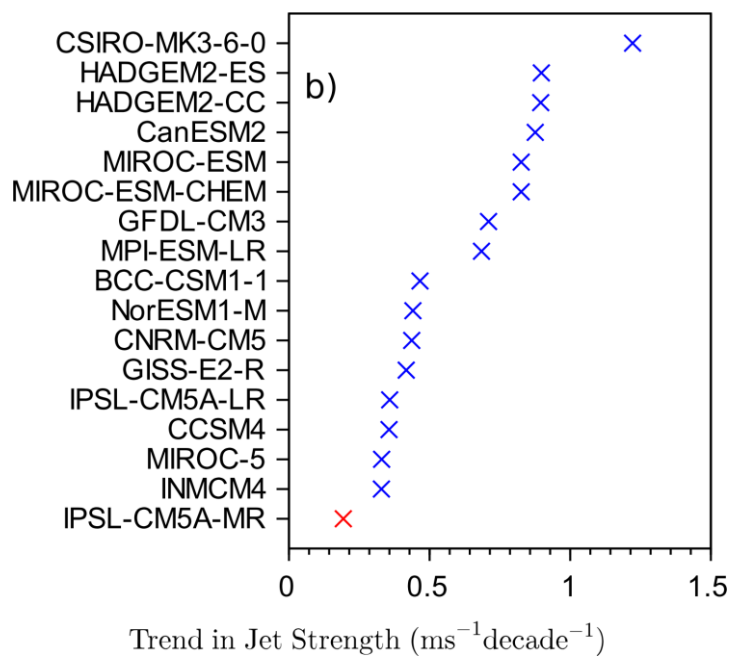


Figure 17 (b)

Figure 17 The trends in the strength of the STJ for (a) RCP 4.5 and RCP 8.5 (b) projections for 2011-2099 sorted by magnitude. The blue coloured data points show models that have statistically significant trends in the strength at the 5% significant level using two-tailed student test.

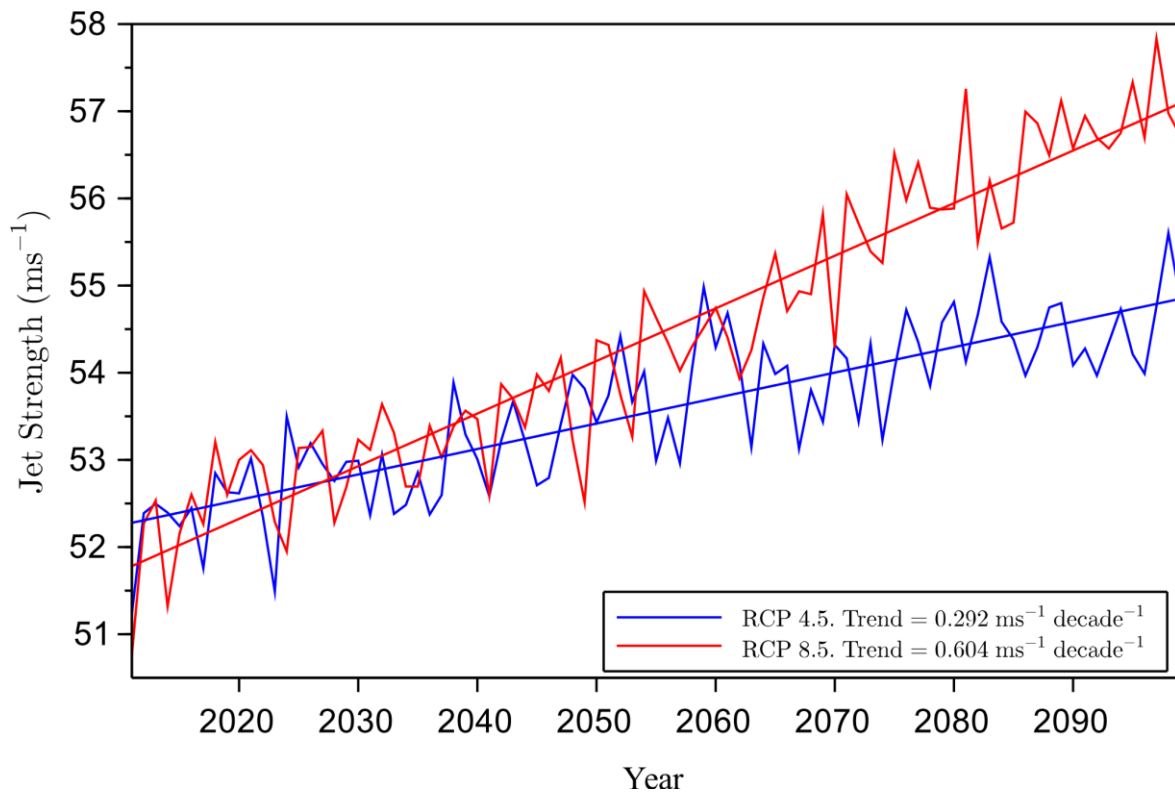


Figure 18 The multi-model mean of the winter STJ strength over 2011-2099 for RCP 4.5 and RCP 8.5.



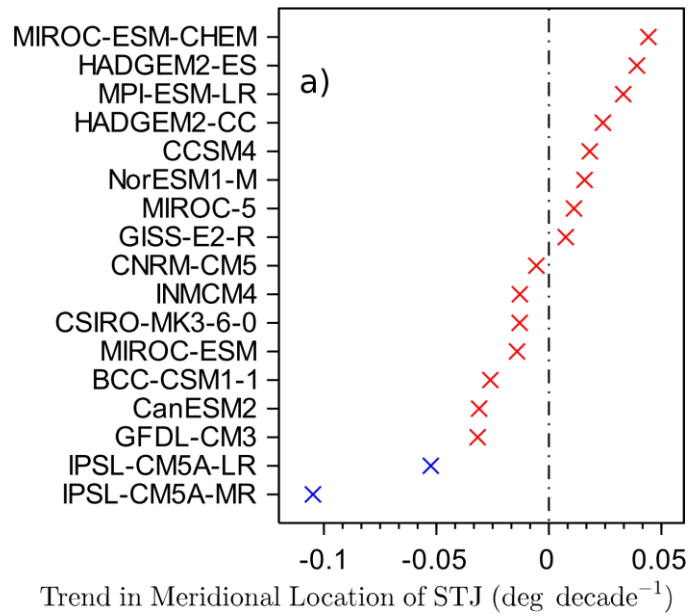


Figure 19 (a)

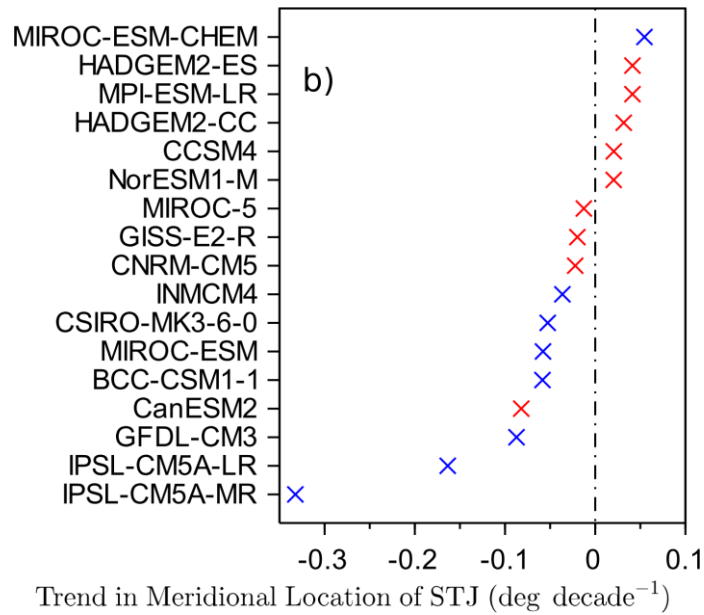


Figure 19 (b)

Figure 19 Trends in the meridional location of STJ for (a) RCP 4.5 and (b) RCP 8.5 sorted by magnitude. The dot-dash line in the figure represents the position of zero. The blue coloured data points show models that have statistically significant trends in the meridional location at the 5% significant level using two-tailed student test.

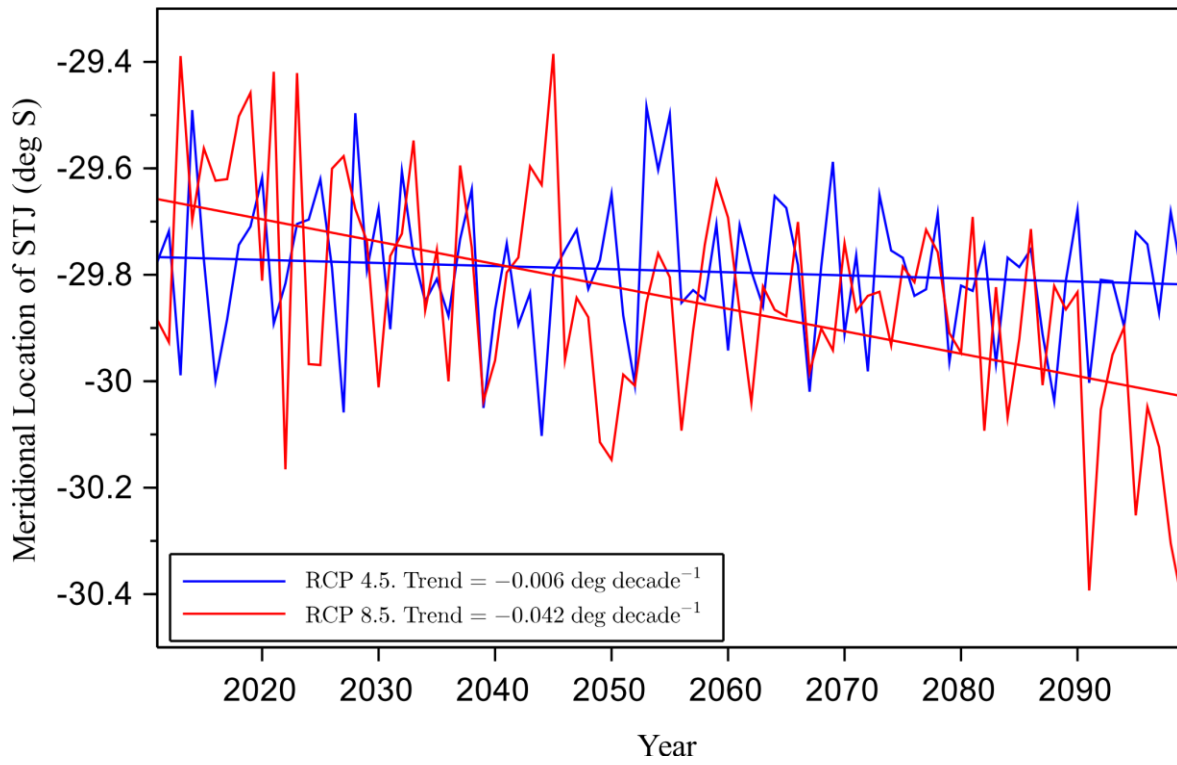


Figure 20 The multi-model mean winter meridional location of the STJ 2011-2099 for RCP 4.5 and RCP 8.5.

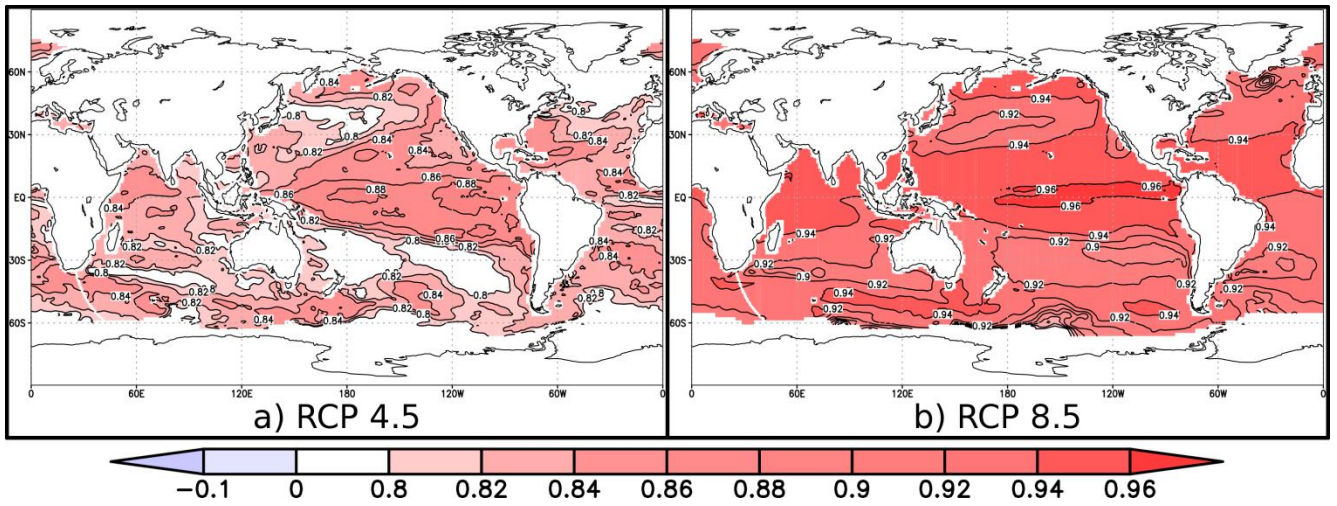


Figure 21 Spatial correlations between the multi-model mean projected trend in jet strength (2011-2099) and the multi-model mean projected SST trend from (a) RCP 4.5 and (b) RCP 8.5 scenarios.

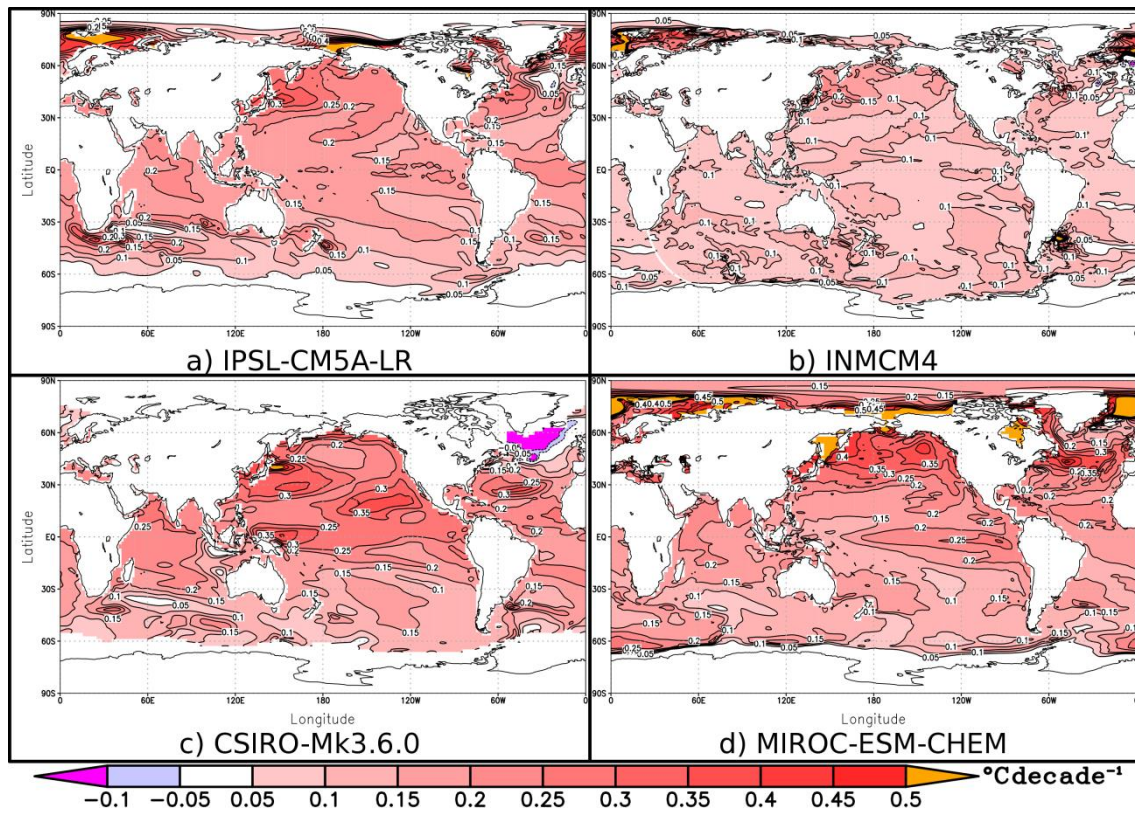


Figure 22 Projected SST trends from RCP 4.5 (a) IPSL-CM5A-LR (b) INMCM4 (c) CSIRO-Mk3.6.0 (d) MIROC-ESM-CHEM for 2011-2099.

Table 1 CMIP5 models used in this study, indicating the country of origin and the resolution.

Model	Country	Resolution (longitude × latitude)	Number of El Niño years/La Niña years
BCC-CSM1.1	China	2.8125° × 2.767°	11/8
CanESM2	Canada	2.8125° × 2.767°	11/6
CCSM4	USA	1.25° × 0.9424°	8/7
CNRM-CM5	France	1.40625° × 1.40625°	5/8
CSIRO-Mk3.6.0	Australia	2.875° × 1.849°	11/8
GFDL-CM3	USA	2.0° × 2.5°	11/7
GISS-E2-R	USA	2.5° × 2.0°	13/8
HadCM3	UK	3.75° × 2.5°	11/8
HadGEM2-CC	UK	1.875° × 1.25°	9/8
HadGEM2-ES	UK	1.875° × 1.25°	9/9
INM-CM4	Russia	2.0° × 1.5°	8/10
IPSL-CM5A-LR	France	3.75° × 3.7895°	11/7
IPSL-CM5A-MR	France	2.5° × 1.2676°	11/7
MIROC5	Japan	1.40625° × 1.389°	8/13
MIROC-ESM	Japan	2.8125° × 2.767°	7/5
MIROC-ESM-CHEM	Japan	2.8125° × 2.767°	8/8
MPI-ESM-LR	Germany	1.875° × 2.767°	9/7
NorESM1-M	Norway	2.5° × 1.89745°	8/9