

1 **Impacts of Extreme Flooding on Riverbank Filtration Water Quality**

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31 **Abstract**

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34 12 Riverbank filtration schemes form a significant component of public water treatment processes on a
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37 13 global level. Understanding the resilience and water quality recovery of these systems following
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40 14 severe flooding is critical for effective water resources management under potential future climate
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42 15 change. This paper assesses the impact of floodplain inundation on the water quality of a shallow
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44 16 aquifer riverbank filtration system and how water quality recovers following an extreme (1 in 17
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47 17 year, duration > 70 days, 7 day inundation) flood event. During the inundation event, riverbank
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49 18 filtrate water quality is dominated by rapid direct recharge and floodwater infiltration (high fraction
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51 19 of surface water, dissolved organic carbon (DOC) > 140% baseline values, > 1 log increase in micro-
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54 20 organic contaminants, microbial detects and turbidity, low specific electrical conductivity (SEC) <
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56 21 90% baseline, high dissolved oxygen (DO) > 400% baseline). A rapid recovery is observed in water
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59 22 quality with most floodwater impacts only observed for 2 - 3 weeks after the flooding event and a

23 return to normal groundwater conditions within 6 weeks (lower fraction of surface water, higher
24 SEC, lower DOC, organic and microbial detects, DO). Recovery rates are constrained by the
25 hydrogeological site setting, the abstraction regime and the water quality trends at site boundary
26 conditions. In this case, increased abstraction rates and a high transmissivity aquifer facilitate rapid
27 water quality recoveries, with longer term trends controlled by background river and groundwater
28 qualities. Temporary reductions in abstraction rates appear to slow water quality recoveries.
29 Flexible operating regimes such as the one implemented at this study site are likely to be required if
30 shallow aquifer riverbank filtration systems are to be resilient to future inundation events.
31 Development of a conceptual understanding of hydrochemical boundaries and site hydrogeology
32 through monitoring is required to assess the suitability of a prospective riverbank filtration site.

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34 **Keywords**

35 Riverbank filtration, flooding, hydrochemistry, water supply management

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38 **1. Introduction**

39 Riverbank filtration (RBF) is a primary water treatment methodology where river water infiltrates
40 through an alluvial aquifer to collector wells. Water derived from collector wells is generally cleaner
41 than that extracted from the river directly (Eckert and Irmischer, 2006) and can reduce further
42 treatment costs. RBF systems are commonplace for public water supply in many countries. In
43 Europe, riverbank filtration systems have been in place since 1870 (Schubert, 2002). Infiltrating river
44 water provides 50% of the public water supply of Slovakia, 45% in Hungary and 16% in Germany
45 (Hiscock and Grischek, 2002). In the United States, riverbank filtration systems have been used for

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more than 50 years (Ray et al., 2002a). Figure 1 (a) shows the spatial distribution of riverbank filtration sites in England. Using environmental regulator abstraction licence data (Environment Agency, 2014) in conjunction with alluvial aquifer and river mapping, we estimate that shallow groundwater systems with a component of riverbank filtration supply approximately 900 Ml/day. This corresponds to approximately 10% of total annual licenced groundwater supply. Grooters (2006) showed that riverbank filtration reduced costs of reverse-osmosis treatment of surface waters by 10 – 20%.

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RBF systems exploit the natural physical, biological and chemical processes which occur between the river and the collector well to reduce contaminant loadings (Hiscock and Grischek, 2002). Changes in water quality occurring from the river through the hyporheic zone to the collector well have been well characterised. Along this pathway it is considered that there are two distinct biogeochemical zones with different attenuation processes occurring. A biologically active colmation (clogging) layer is present below the river bed where intensive degradation and sorption can occur. The flow path to the collector well has less capacity for sorption and degradation but reduced contaminant concentrations through mixing and dilution is common. Numerous studies have shown riverbank filtration to be effective in removal and/or degradation of microorganisms, turbidity, pesticides, dissolved and total organic carbon and organic micropollutants (Weiss et al. (2005); Dash et al. (2010); Verstraeten et al. (2002), Grünheid et al. (2005), Maeng et al. (2010), Hoppe-Jones et al. (2010), Hiscock and Grischek (2002) and references therein).

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RBF systems are considered to be vulnerable to climate change (Sprenger et al., 2011). Increased frequency and severity of extreme floods and droughts under climate change has the potential to affect both riverbank filtrate water quality and quantity. Using a hypothetical flooding scenario,

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70 Sprenger et al. (2011) suggest that diffuse pollution and runoff is likely to increase riverine
71 contaminant loadings, but high discharges may dilute concentrations. Decreased travel time through
72 alluvial systems is likely to result in less degradation of contaminants. Ray et al. (2002b) investigated
73 the impact of very high flood flows on riverbank filtration sites using a combination of modelling and
74 monitoring work. They concluded that combinations of pumping rate, riverbed hydraulic
75 conductivity, contaminant properties and river stage are significant in controlling transport of
76 contaminants to collector wells. Levy et al. (2011) investigated the impact of storm events on
77 riverbed hydraulic conductivity and determined that storms have little impact on the overall
78 filtration capacity. Mutiti and Levy (2010) showed that riverbed hydraulic conductivity is likely to
79 increase during storm events due to the removal of fine sediment on the riverbed, but that the
80 changes are small and do not pose a water quality risk. Wett et al. (2002) used riverbank monitoring
81 and dynamic modelling to determine the hydraulic impact of flood induced infiltration on a
82 riverbank filtration well. It was determined that during a period of high water levels, seepage to the
83 collector well increased. After the event, seepage rates decreased due to increased groundwater
84 recharge from both rainfall and stream infiltration and decreased river stage. In subsequent weeks
85 well operation had depleted this storage and the seepage rate returned to steady state.

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87 Understanding the resilience of existing riverbank filtration systems to climate change is critical to
88 maintain security of public water supply in the future. Public water supply assets form part of
89 society's critical infrastructure (Water Services Regulation Authority (Ofwat) (2010); United States
90 Environmental Protection Agency (2010)). As such, a working knowledge of the behaviour and
91 performance of these assets during extreme events is of great importance to water managers,
92 decision makers and the wider public (Simpson, 2014). Sharma and Amy (2009) and TECHNEAU
93 (2009) identified that riverbank filtration systems are underutilised in developing countries and
94 could be an effective sustainable water treatment technology in the future. An understanding of

1 95 the potential impacts of climate change on prospective future RBF sites in these settings is critical for
2 96 cost-effective investments in water infrastructure assets. Whilst numerous studies have detailed the
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4 97 impacts of storm events and high river flows on RBF systems, little work has been undertaken to
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7 98 understand the impact of full floodplain inundation of RBF systems from extreme flood events
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9 99 (Farnsworth and Hering, 2011). The objective of this paper is to characterise the water quality
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11 100 impacts of inundation of riverbank filtration systems by extreme flooding and the controls on
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14 101 recovery in water quality following such an event.
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22 104 **2. Materials and Methods**

23 24 105 **2.1. Study Site**

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27 106 The site is located by the River Thames in West London, England (Figure 1). The site was chosen on
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30 107 the basis of the following criteria: (1) easy and rapid access to the wells during and after a flooding
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32 108 event, (2) regular observations of floodwater levels and water quality during a flooding event
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35 109 (Addison, pers. comm.) and (3) continuous abstraction data during the flooding event. River flows
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37 110 are predominantly derived from groundwater discharge (baseflow index = 0.66, (National River Flow
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39 111 Archive (2014))) from the carbonate Chalk and Limestone aquifers located upstream. The principal
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42 112 aquifer at the RBF site is the Shepperton Gravels which have high transmissivity and storage ($T \approx$
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44 113 $1400 \text{ m}^2/\text{day}$, $S \approx 0.2$ (dimensionless) (Naylor (1974), Vivendi Water Partnership (2002)). Borehole
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47 114 logs indicate the gravels have an average thickness of 5 m on the site. The gravels are overlain by
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49 115 approximately 1 m of well drained calcareous topsoil with a low organic carbon content (Cranfield
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51 116 University, 2015). Patchy clayey sands of relatively low permeability are also present. This physical
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54 117 and chemical soil composition indicates that any changes in the hydrochemistry of floodwater
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56 118 occurring during infiltration are likely to be small. The gravels are underlain by low permeability
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119 London Clay. Recharge to the Shepperton Gravels is derived from both conventional rainfall-
120 recharge mechanisms and riverbank infiltration induced by groundwater abstraction.

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122 The site consists of a horizontal collector well system which is perpendicular to the river Thames.
123 Three pump shafts are connected to a horizontal adit. Abstraction from the collector wells
124 depresses groundwater levels and induces flow from River Thames and the gravel aquifer, as shown
125 from estimated groundwater flowpaths (Vivendi Water Partnership, 2002) in Figure 1 (c). The
126 nature of the pump shaft system results in a baseline water quality which varies along the adit. At
127 Well 3, closest to the river, a river water signature is present which is affected by hyporheic zone
128 processes. At Well 1, furthest from the river, a more groundwater dominant water quality is
129 present. The site is licensed to abstract up to 40.91 Ml/d from the gravel collector wells. The
130 collector well pumps are variable speed drive and have been protected to a flood design criteria of a
131 1 in 100 year flood event with 20% freeboard to account for climate change. There is an associated
132 river abstraction and treatment works and all water undergoes extensive treatment.

133 Under normal operational conditions, groundwater is pumped directly into a membrane filtration
134 plant then blended with partially treated surface water, before passing through a granular activated
135 carbon (GAC) plant and subsequent disinfection and into supply. In times of inundation, the raw
136 groundwater can be directed to a small reservoir, where it then follows the full surface water
137 treatment process, avoiding the membrane filtration process and resulting in no impact on treated
138 water supply.

139 **2.2. Flooding Event and Monitoring Network**

140 The flooding event used to determine the impacts of inundation on riverbank filtrate water quality
141 occurred during January to February 2014. Winter rainfall for Southern England was 20% greater
142 than the previous maximum in 1914/15 and the highest winter runoff total was recorded in the

143 Thames since records began in 1883 (CEH, 2014). Actual flows in the Lower Thames at Kingston
144 were the highest since 1974 at 524 m³/s. Flows have exceeded this rate 8 times over the record
145 since 1883, which corresponds to an approximate return period of 1 in 17 years. Whilst this return
146 period is not particularly high, the flooding was exceptional in duration (Huntingford et al., 2014).
147 Flows at Kingston continuously exceeded 250 m³/s for 76 days, over twice the previous longest
148 period of 30 days in 1947 (Huntingford et al., 2014). Substantial surface inundation along the
149 Thames was observed from Datchet, Berkshire to Shepperton, West London and was widely
150 reported in the international media. At the study site, inundation was estimated to occur for 7 days
151 based on daily site walkover visits by the site hydrological engineer (Addison, pers. comm.) and 15-
152 minute river level data.

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154 In order to determine the impacts of inundation on water quality, a groundwater and surface water
155 monitoring network was set up (Figure 1). Table 1 details the available points. Daily rainfall data
156 from Shepperton Lock, 3.3 km south east of the study site was used (Met Office, 2014). Daily river
157 flows were recorded by the Environment Agency 5 km upstream of the site at Staines. Existing
158 telemetry was used to record changes in abstraction rate, turbidity and groundwater level every 15
159 minutes through the inundation event at the collector wells. River level and water quality
160 determinants (turbidity, dissolved organic carbon, specific electrical conductivity) were also
161 recorded at the same frequency. Pumped spot water quality samples were taken at 8 intervals after
162 the inundation at the collector wells and from the river. Samples were taken initially at a weekly
163 interval for 5 weeks and then decreased to fortnightly and subsequently monthly with the last
164 sample taken in June 2014. This allowed for the majority of the recovery in water levels and quality
165 to be monitored. Historic water quality data from 2012 onwards was used for comparison with the
166 event data.

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168 2.3. Water quality sampling and analysis

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3 169 Samples were taken from sample taps for each of the 3 wells and directly from the river. Additional
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5 170 sampling was also undertaken throughout the monitoring period at a combined sample point. This
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8 171 sample point is located immediately prior to the membrane filtration plant and is used to assess the
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10 172 water quality of the mixture of 3 wells before treatment. This point is an integrated flow-weighted
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12 173 sample of wells 1, 2 and 3. Prior to sampling, water samples were passed through a flow cell until
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15 174 hydrochemical parameters (temperature, dissolved oxygen, specific electrical conductivity)
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17 175 stabilised. Samples for dissolved organic carbon, fluorescence and absorbance analysis were filtered
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19 176 using 0.45 µm silver filters into acid washed glass vials. Analysis was undertaken using the methods
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22 177 detailed by Lapworth et al. (2009). Samples for inorganic analysis were filtered using 0.45 µm
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24 178 cellulose nitrate filters into Nalgene bottles. Chlorofluorocarbon (CFC) samples were collected and
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27 179 analysed using the methods reported in Goody et al. (2006). Samples for emerging organic
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29 180 contaminants were collected unfiltered into 1 litre glass bottles. Emerging organic contaminant
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31 181 analysis was undertaken by the UK Environment Agency National Laboratory Service with a multi-
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34 182 residue gas chromatography-mass spectrometry (GC-MS) method screening for over 1000 organic
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36 183 compounds as detailed by Sorensen et al. (2015). This method gives detection limits of 0.01 to 0.1
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38 184 µg/L for 90% of compounds and a reporting limit of 0.01 µg/L for 75% of compounds. Microbial
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41 185 samples were collected unfiltered and analysed using a pour-plate method. All samples were kept in
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43 186 darkness at 4 °C prior to analysis. All fluorescence data was corrected for inner filter effects using the
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46 187 corrected absorbance data (Lakowicz, 1983). The data were reported in standard Raman units,
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48 188 which normalises the intensity by the area under the Raman peak between emission wavelengths
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50 189 380-410 for the excitation wavelength of 348 nm. Post processing of fluorescence data was carried
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53 190 out using an R script described by Lapworth and Kinniburgh (2009) within the statistical package R.
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193 **2.4. Estimation of collector well water sources**

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3 194 The relative significance of different sources of water to the collector well system through the flood
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5 195 event was quantified using both hydrochemical and physical approaches. Binary mixing models
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8 196 were used to derive estimates of the fraction of surface water (F_{sw}) for the gravel wells. The river
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10 197 concentration data was used as one end-member and baseline concentrations (as estimated in June
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12 198 2014) at Well 1 were used to represent the groundwater end-member.

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16 199 Estimates of F_{sw} were compared against a simple spreadsheet model developed to estimate the
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18 200 proportion of total abstraction derived from inundation water, conventional riverbank filtration and
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21 201 conventional recharge/gravel storage depletion on a daily timestep. Flow to the gravels from the
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23 202 river by conventional riverbank filtration (Q_{RBF} , m³/day) is estimated using a Darcy flux based on the
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25 203 observed head gradient ($h_r - h_{aq}/x$, unitless) between the river and gravel observation boreholes, a
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28 204 cross sectional area of flow (A_{RB} , m²) and an estimate for riverbed permeability (K_{RB} , m/day):

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$$(1) Q_{RBF} = K_{RB} \cdot A_{RB} \cdot \frac{h_r - h_{aq}}{x}$$

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34 206 Riverbed permeability estimates were derived from previous groundwater model calibration for the
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37 207 site by Vivendi Water Partnership (2002) and from local grain size analysis by Naylor (1974). The
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39 208 head gradient was estimated based on daily observed groundwater and river levels at the study site.
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42 209 Flow to the gravels by inundation (Q_{IND} , m³/day) is estimated using a simple water balance approach
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44 210 considering the timing and amount of inundation at the site:

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$$(2) Q_{IND} = \frac{dh_i}{dt} \cdot A_{IND} \cdot f_{IND}$$

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51 212 Where dh_i/dt (m/day) is the change in inundation water level through time, A_{IND} (m²) is the
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53 213 estimated area of inundation contributing to flow to the wells and f_{IND} is a calibration factor which
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56 214 allows for inundation water to be lost by other means such as evaporation and flow back to the
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58 215 river. Table 2 details the values used Equations 1 and 2. The change in inundation water level is

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216 derived from a linear decrease in water level based on daily site observations which indicated that
217 the maximum water depth on site was 0.6 m and this took 7 days to recede (Addison, pers. comm.).
218 A_{RB} and A_{IND} were estimated based on previous groundwater model collector well capture zones
219 (Vivendi Water Partnership, 2002) and the estimated area of inundation (0.2 km^2 , Addison (pers.
220 comm.)).

221 Under normal conditions, river levels at the study site are heavily controlled by the environmental
222 regulator through upstream level management structures to allow navigation. Consequently,
223 normal variations in river flow do not result in significant differences in river water level, water
224 depth and channel cross-sectional area (Hinks, 2013). Consequently, for the purposes of calculating
225 the flow to the gravels from conventional riverbank filtration under normal conditions (i.e. not from
226 a flood), it was assumed that the cross sectional area of the river was constant through time. Direct
227 quantitative measurements of floodwater flows back to the river and evaporation during an extreme
228 flood event is highly challenging and dangerous. Consequently, f_{IND} was initially estimated with a
229 heuristic approach using expert hydrogeological judgement based on the site hydrogeology and daily
230 site observations that suggest that half of the inundated water evaporated or flowed back to the
231 river (Addison, pers. comm.). There is likely to be considerable uncertainty in the parameterisation
232 of f_{IND} and consequently for the purposes of spreadsheet modelling a range of 0.3 – 0.7 was used.
233 Increasing the value of f_{IND} results in more of the abstracted water being drawn from floodwater
234 relative to bank filtration and gravel storage. It should be noted that for modelling purposes, the
235 approach adopted to estimate Q_{IND} assumes that water that is infiltrating immediately contributes to
236 groundwater flow to the gravel well. In reality it is likely there is some delay between any vertical
237 infiltration through the clayey sands and topsoil to the saturated zone and to the abstraction from
238 the gravel wells and consequently the additional water contribution from inundation is likely to be
239 dispersed through time. The impact of this model limitation is discussed in section 3.2.5. The total
240 flow to the gravel wells, Q_t (m^3/day), can be estimated as:

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$$(3) Q_t = Q_{RBF} + Q_{IND} + Q_{GWR}$$

242 Where Q_{GWR} (m^3/day) is the additional flow to the gravel wells which is from conventional recharge
243 and groundwater storage. As Q_t was known a priori from recorded abstraction data, Q_{GWR} was back-
244 calculated during the modelling process.

245 **3. Results and Discussion**

246 **3.1. Hydrological Context, Impacts of Flooding and Recovery**

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248 Figure 1 (c) shows the best approximation of the spatial extent of inundation of the site based on the
249 site walkover visits (Addison, pers. comm.) which has been estimated as 0.2 km^2 . Figure 2 shows the
250 context of the flooding event in relation to the previous year's hydrology and hydrochemistry. The
251 2012 – 2014 period was hydrologically exceptional (Marsh et al., 2013). The 2010-12 drought ended
252 with a transition to flood. Following increases in river flows during winter 2012/13 and a return to
253 long term average conditions through much of 2013, flows began to increase rapidly to above long
254 term average values in December 2013.

255 Figure 3 presents the hydrometric data collected before, during and after the flooding event.
256 Substantial rainfall of up to 30 mm per day occurred between December 2013 and February 2014.
257 This resulted in large amounts of runoff in the Thames catchment resulting in increases in river flows
258 up to 320 % of long term average (LTA) values in February 2014. Following this peak, river flows
259 decreased back to long term average values by April 2014. Large rises were also observed in river
260 stage and groundwater levels in the gravels. As shown in Figure 3 (c), both pumping groundwater
261 levels in the collector system and abstraction-impacted observation borehole levels remained below
262 the river level throughout the period, even during the inundation event. This results in a continuous
263 head gradient and corresponding flux of water from the river to the gravel well system both laterally
264 through a RBF mechanism and vertically during the inundation event.

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265 Observation borehole data indicate that during the peaks in river flows in January and February
266 2014, groundwater levels at the site were below the ground surface, therefore the gravel aquifer
267 and the inundation ponded water were hydraulically disconnected. Consequently infiltration of this
268 water into the groundwater system occurred through gravity drainage and independent of
269 groundwater abstraction. However, during the inundation ponded water did not directly enter the
270 collector wells via the pump shafts. During the flooding event, total abstraction from the gravel
271 wells was increased from a base load of approximately 20 MI/day to a peak of 40 MI/day. This
272 increase in abstraction was primarily the result of the combined operation of all 3 wells at
273 approximately 13 MI/day each. After the event, abstraction at Well 2 was intermittently reduced.

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276 **3.2. Hydrochemical Impacts of Flooding and Recovery**

277 **3.2.1. Hydrochemical Context**

278 Figure 2 shows the hydrochemical context of the flood event. Dissolved Organic Carbon (DOC) and
279 Specific Electrical Conductivity (SEC) data for the combined sample point indicate the hydrochemical
280 impact of this extreme event. DOC increased to approximately 3.5 mg/l on 19th February 2014, in
281 comparison to long term average (LTA) values of 2.64 mg/l. The 2014 flood event corresponds to an
282 increase of 132% relative to long term average values. SEC decreased to approximately 517 μ S/cm
283 on 19th February 2014, in comparison to long term average values of 646 μ S/cm. The 2014 flood
284 event corresponds to a decrease of 80% of long term average values. These trends are associated
285 with a greater fraction of high DOC and low SEC concentration surface runoff in both the Thames
286 and riverbank filtrate, relative to more mineralised groundwater inputs. This dilution of
287 groundwater inputs by surface runoff and resulting high river flows, corroborates with the scenarios
288 developed by Sprenger et al. (2011). After the flood event, DOC and SEC data from the combined
289 sample point recover to 102% and 96% of long term average values respectively. Data from the

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290 individual wells also stabilise following the flood event. This suggests that the sampling effectively
291 captured the majority of the recovery in water quality back to more normal conditions. It should be
292 noted whilst concentrations stabilise after the flooding event, there is still some uncertainty in the
293 recovery back to baseline conditions by the final sampling campaign in June 2014 for other
294 parameters where pre-event concentrations are not known. Baseline data for the three wells differ
295 from the combined sample point data, which is a result of different sampling and analytical
296 methodologies for these data sets. River flows also returned to long term average values.

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298 **3.2.2. Rapid response determinands – turbidity and microbial detects**

299 Figure 4 shows turbidity data taken from 15-minute telemetry for the River intake and the wells and
300 microbiological spot samples from the combined sample point for the 3 wells. River turbidity shows
301 a moderate correlation with river flow ($R^2 = 0.50$ for daily data for period 1st January 2014 – 1st June
302 2014, see supplementary information Figure S1) as runoff events contribute particulate loadings to
303 flows. The impact of inundation events on the gravel wells can be observed in the turbidity data. In
304 January 2014, high turbidity (>50 nephelometric turbidity units (NTU)) is observed in the river.
305 However, site inundation did not occur and turbidity in the gravel wells remained relatively low (<0.5
306 NTU). In contrast, during February, rapid spikes in turbidity (up to 1.5 NTU) occur in the gravel wells,
307 which is an order of magnitude lower than river values (50 NTU). This rapid response indicates that
308 there is a fast pathway for floodwater to reach the gravel wells, which is likely to be through vertical
309 infiltration through the soils into the gravel aquifer. However, the substantial reduction in turbidity
310 observed in comparison to river water, suggests that there is still significant attenuation occurring in
311 the shallow topsoil and clayey sands. The increase in groundwater abstraction rates during the
312 inundation event is likely to have increased the speed of recovery in water quality by pumping out
313 any floodwater that has infiltrated under gravity and diluting it with gravel groundwater. Increases
314 in microbial detects are also observed, with peaks of up to 4 colony-forming units (cfu)/100ml for

315 *E.coli* in the gravel wells. These values are up to 3 orders of magnitude smaller than the values for
316 the river. It is suggested the observed increases are the result of a combination of vertical
317 infiltration and conventional riverbank filtration, although this is uncertain due to data paucity.

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320 **3.2.3. DOC, Organic Contaminants and Dissolved Oxygen**

321 Figure 5 shows DOC, total micro-organic detects, Specific Ultraviolet Absorbance (SUVA), and
322 dissolved oxygen (DO) for the gravel wells and the river through the inundation event. Dissolved
323 oxygen in the River Thames shows an increasing trend from 2.1 to 8.2 mg/l following the flooding
324 event. This reflects a reduction in riverine DOC loading from 15 mg/l to 5 mg/l and consequently a
325 reduction in microbial consumption of DO. Immediately after the inundation, DO concentrations in
326 the RBF system wells were high at an average of 4.1 mg/L. The average baseline DO concentration in
327 June 2014 was 0.93 mg/L. DO concentrations immediately after flooding correspond to 440% of
328 baseline concentrations. This is likely to be the result of a combination of direct floodwater
329 infiltration, rapid-rainfall recharge and flushing of the unsaturated zone as groundwater levels rise.
330 Decreases in dissolved oxygen in the RBF system wells reflect a reducing influence of these
331 processes at the site through time. Decreases occur relatively rapidly during the first few weeks
332 following the flood event, with average well DO concentrations falling to 2.6 mg/L (280% of baseline
333 concentration) and 1.8 mg/L (190% of baseline concentration) after 1 and 2 weeks respectively.
334 These decreases are likely to be controlled by both the rate of lateral groundwater flow within the
335 gravels and the increased abstraction rate. By abstracting at a higher rate, any floodwater and rapid
336 rainfall-recharge that has infiltrated into the groundwater system can be pumped out and diluted
337 with gravel groundwater and riverbank filtrated water. Increases in DO of 0.3 – 0.5 mg/l can be
338 observed in wells 1 and 2 during the 4th sample round which coincides with a reduction in
339 abstraction at well 2. It is postulated that this reduction in abstraction resulted in relatively less low-

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340 DO concentration groundwater being drawn into the collector well from the gravels in comparison
341 to the high-DO concentration water derived from recharge. Overall, Well 1 has the lowest DO for
342 most of the recovery which is likely to be a reflection of background gravel groundwater quality.
343 Well 3 shows the largest decrease in DO (from 4.2 to 0.4 mg/l) which is likely to reflect the transition
344 from rainfall-recharge, floodwater infiltration and unsaturated zone flushing to drawing water from
345 a less oxic hyporheic zone near the river through a conventional RBF mechanism.

346
347 DOC data for the gravel wells show mean concentrations decreasing from 3.1 mg/L immediately
348 after inundation to 2.5 mg/L 5 weeks later. Baseline concentrations in June 2014 are estimated to
349 be an average of 2.23 mg/L. These changes correspond to a decrease from 140 to \approx 110% of baseline
350 values over the first 5 weeks. Decreases are also observed in the river as flows return to normal
351 average conditions. Changes in DOC in the gravels are likely to be the result of two factors: (1)
352 decrease in DOC in the river which bounds the system, (2) floodwater infiltration during the
353 inundation period (7 days). The highest DOC values are observed at Well 1 which is likely to reflect
354 localised sources of organic carbon such as nearby landfills and Golf Courses. The ratio of indices of
355 Tryptophan-like and Fulvic-like fluorescence of organic matter have been shown to be a useful tracer
356 of sources of organic carbon in groundwater and surface water systems (Lapworth et al. (2008);
357 Baker (2001)). Tryptophan:Fulvic ratio data at the study site suggest there is a different source of
358 DOC at Well 1 and Well 2 than in the river during baseline conditions (1Figure S2). The large
359 decreases in DOC at Well 3 (3.0 to 2.0 mg/l) are likely to reflect the transition from floodwater
360 infiltration to water that has been subject to DOC degradation in the hyporheic zone through the
361 normal RBF process. The Specific Ultraviolet Absorbance (SUVA) of organic carbon provides an
362 indication of the aromaticity of the organic carbon (Weishaar et al., 2003) which can result in
363 formation of disinfection byproducts (DBPs) (Singer, 1999). SUVA data indicate that during the first
364 few weeks after the inundation event, the aromaticity of DOC in the river is high (SUVA = 3.5 L/mg-

365 M). This is likely to have a significant impact on formation of disinfection byproducts (DBPs) if the
366 water was to be chlorinated without DOC removal. SUVA values for Well 1 – 3 in the first 2 sample
367 rounds are relatively low at 2.42 – 2.79 L/mg-M.

368

369 Riverine emerging micro-organic detects increased from 5-7 detects to 15-17 detects following the
370 inundation event. This increase in detections of up to 300% reflects reduced dilution as river flows
371 decrease. The emerging organic contaminants detected are from a broad range of classes;
372 pesticides, herbicides, personal care products and plasticisers. The insect repellent N,N-Diethyl-m-
373 toluamide (DEET) and the herbicide propyzamide were detected 8 and 6 times, respectively, in the
374 gravel wells at concentrations up to 0.02 µg/l. The anticonvulsant drug carbamazepine was detected
375 7 times in the wells at concentrations up to 0.04 µg/l, both DEET and carbamazepine been shown to
376 be found frequently persist in groundwater (Lapworth et al., 2012). In the river, DEET was detected
377 in every sample at concentrations up to 0.12 µg/l and Caffeine and Tetraacetylenediamine
378 (TAED) were also regularly observed (6 and 7 detections and maximum concentrations of 0.18 and
379 0.17 µg/l respectively). These compounds have also been reported in groundwater in a number of
380 studies and again reflect their persistence and use as tracers of surface water- groundwater mixing
381 (Sorensen et al. (2015); Stuart et al. (2014); Engelhardt et al. (2011); Buerge et al. (2003)). In
382 general, detects in the gravel wells decrease through time, reflecting a decrease in influence of flood
383 water infiltration. Towards the end of the monitoring when baseline conditions had resumed, total
384 organic detects in the river are over 3 times greater than those observed in the gravel wells. This
385 implies that under conventional operating regimes and river levels at long term average (LTA) values,
386 the colmation layer in the hyporheic zone and the flow path through the aquifer to the gravel wells
387 are able to attenuate some of these types of compounds. This is likely to be the result of a number
388 of processes such as mixing and sorption in the aquifer and sorption and biological degradation in
389 the colmation layer (Stuart et al. (2014); Lewandowski et al. (2011)).

390

391 **3.2.4. SEC, Nitrate,CFC-11 and CFC-12**

392 Figure 6 shows specific electrical conductivity (SEC), nitrate and trichlorofluoromethane (CFC-11)
393 concentrations for the gravel wells and the river. A general increase in SEC is observed through time
394 in the river from 400 to 600 $\mu\text{S}/\text{cm}$. This reflects a return to a more baseflow-dominated flow
395 regime with higher fractions of mineralised groundwater inputs from the Chalk and Limestone
396 aquifers relative to runoff. These increases are also observed in the wells, with an average increase
397 from 620 to 660 $\mu\text{S}/\text{cm}$ over the first 3 sample rounds relative to an average baseline SEC of 686
398 $\mu\text{S}/\text{cm}$. This increase from 90 to 96% of the baseline SEC reflects two processes: (1) increased
399 mineralisation of the riverbank filtrate due to a higher baseflow component in the river, (2)
400 increased abstraction of gravel groundwater and riverbank filtrate relative to any low mineralisation
401 floodwater infiltrate. Well 1 and 2 show consistently higher mineralisation (baseline SEC = 700
402 $\mu\text{S}/\text{cm}$) relative to Well 3 (baseline SEC = 650 $\mu\text{S}/\text{cm}$), which reflects both the impact of gravel
403 groundwater on the wells further away from the river and mixing with bank-filtrated river water at
404 Well 3.

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406 Impacts of the abstraction regime in the gravel wells can also be observed. During the 4th and 5th
407 sampling round, as abstraction at Well 2 was reduced, a decrease in SEC of 50 $\mu\text{S}/\text{cm}$ can be
408 observed at this well (Fig. 6b). It is likely that during this period, Well 2 is no longer drawing
409 mineralised groundwater from the aquifer, but is just pumping residual water associated with the
410 recharge and floodwater infiltration from within the collector well system, resulting in a decrease in
411 SEC. During the 6th to 8th sampling rounds, SEC appears to increase again without any increase in
412 abstraction. It is likely that by this time, the RBF system has returned to a hydrochemical quasi-
413 steady state with limited residual influence of direct floodwater infiltration.

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415 Nitrate trends reflect the influence of the river on the RBF system, with higher concentrations in the
416 river and at Well 3 than at Wells 2 and 1 (Fig. 6c). Nitrate in the river and at Well 3 increases to
417 stable concentrations of 25 mg/l and 20 mg/l, respectively, in approximately 6 weeks. This is
418 associated with an increased proportion of nitrate-rich baseflow within the Thames from upstream
419 discharge from chalk and limestone aquifers. Despite the decrease in DO through time observed at
420 Well 3, no substantial decreases in nitrate are observed associated with denitrification. It is likely
421 this is the result of two factors: (1) the low concentration of organic carbon substrate as evidenced
422 by the low DOC values (≈ 2.2 mg/l), (2) a limited microbial community for denitrification as result of
423 the flooding. Well 2 and Well 1 generally show stable trends between 5 and 10 mg/l which reflect
424 low background nitrate concentrations in the gravel groundwater.

425
426 CFC-11 and dichlorodifluoromethane (CFC-12) concentration data show broadly similar temporal
427 and spatial trends which indicates that preferential CFC degradation is unlikely to be occurring
428 (Figure S3, $R_2 = 0.64$). All CFC data give modern fraction values > 1 . This “over-modern” data
429 cannot be used as groundwater dating tool, however they can be used as tracers to understand
430 mixing processes. Concentrations of CFC-11 (Figure 6d) show the extent of river water influence on
431 the RBF system. Riverine CFC-11 concentrations fall rapidly initially which is likely to reflect a
432 transition from river flows controlled by flood runoff to one dominated by relatively unpolluted
433 groundwater from the chalk and limestones. There is likely to be a lag between recharge of flood
434 water to these upstream aquifers and subsequent discharge of this polluted water to the river. It is
435 plausible this lag is the cause of the second observed increase in CFC-11 concentrations, with
436 discharge of shallow polluted groundwater in the chalk and limestones to the river. As this polluted
437 groundwater discharges out of these aquifers, CFC-11 concentrations fall again. This trend observed
438 in the river is clearly visible in Well 3 but is attenuated in Wells 1 and 2.

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3 440 The use of CFC data to derive estimates of groundwater ages is well established and over-modern
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5 441 CFC concentration data have been used for groundwater tracing (Darling et al., 2012; Darling et al.,
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7 442 2010). However, there has been limited application of this data to surface waters. This novel
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10 443 application of CFC-11 concentration data to estimate sources of water to the river has potential to
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12 444 be a useful tool for future water resource management.
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18 446 **3.2.5. Estimation of collector well water sources through flooding**

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21 447 Figure 7 (a) shows estimates of the breakdown of total abstraction Q_t from riverbank filtration Q_{RBF} ,
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23 448 inundation Q_{IND} and conventional recharge and gravel storage Q_{GWR} . The model indicates that the
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25 449 proportion of riverbank filtrate to the collector well system is approximately 40 to 70% of the total
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27 450 abstraction. The relative increase and subsequent decrease in the contribution of riverbank filtrate
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29 451 is primarily controlled by the change in the hydraulic gradient between the gravel wells and the
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31 452 river. It can be observed that during the inundation period, modelling suggests that between 15 and
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33 453 44% of the total abstraction can be derived from the infiltrating flood water for $f_{IND} = 0.3 - 0.7$.
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35 454 Increasing f_{IND} by 0.1 increases the relative contribution of floodwater to total abstraction by 5.2 –
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37 455 6.2%. As discussed in section 2.4, it is highly likely that this input of water is temporally dispersed
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39 456 rather than instantaneously entering the collector well system due to lag in infiltration through any
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41 457 clayey sands. Consequently, this percentage contribution is likely to be lower in reality but may
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43 458 persist for longer. As there is an unsaturated zone present above the water table at the site (Figure
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45 459 2), flood water infiltrated under gravity drainage. As the collector well system and the flood waters
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47 460 are hydraulically disconnected, increasing abstraction during and after the inundation period will
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49 461 draw more gravel groundwater into the wells and dilute any surface infiltration. The flexible
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51 462 operating regime at the site resulted in increased abstraction during the inundation event. This is
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2 463 likely to have mitigated the hydrochemical impact of the inundation to some degree through
3 464 increasing dilution by gravel groundwater.
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5 465 Figure 7 (b) shows estimates of the average fraction of surface water for the collector wells as
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7 466 derived by nitrate and CFC-11 data. Data for these determinands for Well 1 and the river reflected
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10 467 distinct end-members for the collector well system. Chloride data was not used as Well 1 and the
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12 468 river did not suitably reflect end-members of the system. A poor correlation with sodium data was
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15 469 observed ($R^2 = 0.06$). This implies that multiple sources of chloride and sodium were present which
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17 470 limits the use of simple binary mixing models. The fraction of surface water at Well 3 ($F_{sw} = 0.5 -$
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19 471 0.75) corroborates well with estimates of riverbank filtrate contributions to flow derived from
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22 472 modelling previously discussed. The fraction of surface water at Well 2 or 1 ($F_{sw} = 0 - 0.3$) is
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24 473 significantly lower reflecting a greater contribution of gravel groundwater. At Well 1 and 2
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27 474 decreases in F_{sw} are observed from $0.2 - 0.3$ during the first two sampling rounds to around $0 - 0.1$
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29 475 during the last two samples. These decreases are relatively small and are likely to reflect the limited
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32 476 residual influence of any floodwater infiltration and direct recharge. The relatively stable mixing
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34 477 ratios in the final two sampling rounds are likely to represent the proportions of water in the
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36 478 collector well system derived from RBF and gravel groundwater under normal conditions. Further
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39 479 research comparing the two methods used here with other hydrological and mixing models would
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41 480 also be beneficial, but is considered to be out of scope of the current study.
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47 482 **3.3. Conceptual model of flood recovery**

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53 484 Figure 8 gives a conceptual model of the impact and recovery from flooding observed at the site.
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55 485 The impact of the inundation event on the gravel groundwater wells can be characterised by the
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58 486 following: (1) high DOC, turbidity, DO, micro-organic and microbial contaminants associated with
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2 487 floodwater infiltration, recharge and unsaturated zone flushing, (2) Low SEC due to reduced
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4 488 groundwater component, (3) Increased fraction of surface water (F_{sw}). The recovery from flooding
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6 489 is characterised by transition to a regime dominated by two end-members, a landside groundwater
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8 490 component at Well 1 and riverbank-filtrated component at Well 3 with: (1) Increased SEC (2)
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10 491 Decreased DOC, DO, turbidity, micro-organic pollutant detects, (3) Rapid decreases in microbial
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12 492 detects and turbidity, (4) Lower F_{sw} . The speed of the recovery is constrained by the site's
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14 493 hydrogeological setting, the abstraction regime and the background water quality trends at site
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16 494 boundary conditions. The relatively low permeability of the clayey sands overlying the gravel aquifer
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18 495 is likely to attenuate direct floodwater inundation to some extent. The high transmissivity
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20 496 Shepperton gravels allow any recharge and floodwater infiltration that does occur to move rapidly
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22 497 through the groundwater system. Additionally, the increased abstraction rates assist in diluting any
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24 498 floodwater that has infiltrated into the groundwater system. This is likely to have affected the
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26 499 recovery in terms of turbidity and microbiology. Whilst these processes may enhance the rate of
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28 500 recovery of the other determinands, the background trends observed in the river will be a significant
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30 501 control. Most floodwater impacts are observed within the first 2 – 3 weeks, with a return to
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32 502 baseline conditions within 6 weeks. Reductions in abstraction rates following the inundation,
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34 503 appears to slow recovery temporarily, as evidenced by the DO and SEC data.
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46 505 This conceptual model is the first published assessment of the hydrological and hydrochemical
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48 506 impacts of extreme flooding at an RBF site and the subsequent recovery. Overall, the conceptual
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50 507 model is likely to be generic and broadly applicable to other sites. However, it is important to note
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52 508 that all RBF sites and associated catchments will have different site configurations, hydrological and
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54 509 hydrogeological properties. Moreover, all flood events will be different, with variations in
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56 510 antecedent conditions, rainfall intensities and distributions. Consequently, the hydrochemical
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58 511 impact and recovery from flooding will always vary to some degree for different flood events and
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512 different RBF sites. Further research building on this conceptual model through development of
513 relationships between different flood events, RBF site configurations and the subsequent
514 hydrochemical impact and recovery would be beneficial for management of RBF sites.

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516 **3.4. Implications for management and operation of other RBF Systems**

517 This study has shown the importance of operational flexibility for RBF sites with limited aquifer
518 thickness (<10 m) in mitigating the impacts of extreme floodplain inundation water quality,
519 particularly with regard to turbidity and microbiology. By continuing to operate the site and
520 increasing abstraction rates after flooding, rapid reductions in contaminant loadings have been
521 achieved through increased dilution of surface infiltrate with gravel groundwater. This was possible
522 at this location due to the configuration of the site infrastructure. If extreme flooding was to occur at
523 a site without the operational resilience and flexibility of this study site, it is plausible that
524 contaminant loadings associated with floodwater infiltration would be observed for longer periods
525 of time. This has the potential to induce significant additional costs associated with: (1) treatment of
526 the water from the wells and (2) increased abstraction elsewhere for blending if treatment options
527 were not sufficient. These results have important implications for RBF system management in view
528 of more frequent extreme events under climate change (Prudhomme et al. (2003); Fowler et al.
529 (2005); Simpson (2014)). It is recommended that water managers adopt flexible operating regimes
530 such as the one implemented at this study site, to increase resilience of shallow aquifer RBF systems
531 under potentially more extreme climate scenarios. Such measures would include: (1) Regulatory
532 flexibility to allow increases in pumping, (2) Variable speed drive pumps, (3) Flood-proofed
533 infrastructure, (4) Sufficient treatment, network and storage capacity to handle increased volumes
534 of water, (5) Suitable treatment processes to cope with different water qualities.

535 The study has also important implications for decision-makers considering the development of
536 future RBF systems, particularly in developing countries. The role of the river water quality in the

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537 longer term recovery in the gravel wells for some parameters (DOC, SEC), highlights the importance
538 of suitable monitoring and characterisation of hydrochemical boundaries to RBF systems. Whilst
539 abstraction rates have affected the recovery from flooding, the high transmissivity of the
540 Shepperton gravels has also facilitated a rapid recovery by allowing rapid transfer of infiltrating
541 floodwater through the groundwater system to the abstraction wells. High transmissivities are also
542 beneficial under drought conditions where collector well yields may be constrained by borehole
543 water levels under pumping conditions. In these situations, higher transmissivities and consequently
544 smaller drawdowns may provide significant additional water when borehole yields are constrained
545 by low groundwater levels. However, under periods of normal operation, a more moderate
546 transmissivity aquifer material may be more beneficial as increased travel times between the river
547 and the wells allow for more contaminant attenuation. This highlights a difficult decision for water
548 managers to consider and one which is the subject of recent research (UKWIR, 2014); whether to
549 plan for the mean or the extreme? Under extreme conditions siting a RBF system in a high
550 transmissivity formation may be most beneficial, but under average conditions a moderate
551 transmissivity formation may be most effective for contaminant removal. This decision will
552 ultimately be site-specific depending on the purpose of the site and will form part of a wider
553 optimisation exercise considering technical, economic, regulatory and land use factors (Grischek et
554 al., 2003).

556 **4. Conclusions**

557 This study has characterised the hydrochemical impact and recovery from extreme floodplain
558 inundation at a RBF site of limited aquifer thickness. The controls on the recovery from the flooding
559 have been explored and suggestions have been made regarding future design and operation of RBF
560 systems in these settings. It is concluded that:

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- 561 • RBF inundation in shallow aquifer settings is characterised by high turbidity, organic
562 contaminant, microbial detects, DO and DOC, and low SEC. A rapid recovery is observed in
563 turbidity and microbial detects and recoveries in other determinands take approximately 6
564 weeks.
 - 565 • Recovery rates are constrained by a number of parameters. Rapid recoveries in turbidity
566 and microbial detects are controlled by increased abstraction diluting floodwater that has
567 infiltrated into the groundwater system. The high permeability of the gravels allows for
568 rapid recharge and saturated transport of contaminants to the wells. Whilst increased
569 abstraction is likely to have some impact, the long term changes in the hydrochemical
570 boundaries to the system such as the river, are likely to be significant in controlling the
571 water quality trends at the gravel wells.
 - 572 • Whilst this conceptual model is broadly generic, different flood events and RBF site
573 configurations will result in different hydrochemical impacts. Further research exploring
574 these controls on flooding impacts will improve RBF site management.
 - 575 • In order to mitigate against the hydrochemical impacts of floodplain inundation, it is
576 recommended that RBF sites in shallow aquifer settings are operated flexibly with the
577 capacity to vary abstraction when needed.
 - 578 • For future prospective RBF sites, this study highlights the importance of developing a good
579 conceptual understanding of hydrochemical boundaries and site hydrogeology. Such an
580 understanding can only be developed through monitoring of the site under both baseline
581 and flood conditions. Whether a site is hydrogeologically suitable will depend on the
582 purpose of the site and will be part of a wider optimisation task.

587 **Acknowledgements**

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3 588 The authors would like to thank staff at Affinity Water for facilitating this work and providing data, D.
4
5 589 Allen and P. Williams (BGS) for assistance in hydrochemical sampling and analysis. This work was
6
7
8 590 funded by BGS (NERC) National Capability Programme. The BGS authors publish with permission of
9
10 591 the Executive Director, British Geological Survey (NERC).
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9 725 NERC. Contains Ordnance Survey data © Crown Copyright and database rights 2014. Licence number
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15 727 Figure 2: (a) Observed and LTA river flows and abstraction, (b) long term dissolved organic carbon
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29 753 Figure S1: Relationship between river flows and turbidity at the study site

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52 761 **List of Tables**

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55 762 Table 1: Monitoring data collected during the flooding event

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59 763 Table 2: Parameters used to estimate sources of water to the collector wells

Table 1[Click here to download Table: Table 1.xlsx](#)

Monitoring Location	Sample Data	Telemetry Data
River Intake	Total and dissolved organic carbon, specific electrical conductivity, E. Coli, Coliforms, Enterococcus, dissolved oxygen, total emerging organic detects, fluorescence/absorbance properties, major ions and inorganics, CFCs	River level, turbidity, dissolved organic carbon, specific electrical conductivity
Well 1	} Specific electrical conductivity, dissolved organic carbon, dissolved oxygen, total emerging organic detects, fluorescence/absorbance properties, major ions and inorganics, CFCs	Abstraction Rate, Turbidity
Well 2		
Well 3		
Well 1, 2 and 3 Combined	Total organic carbon, specific electrical conductivity, <i>E. Coli</i> , Coliforms, Enterococcus	
Shepparton		Rainfall
Staines		River Flows and Levels

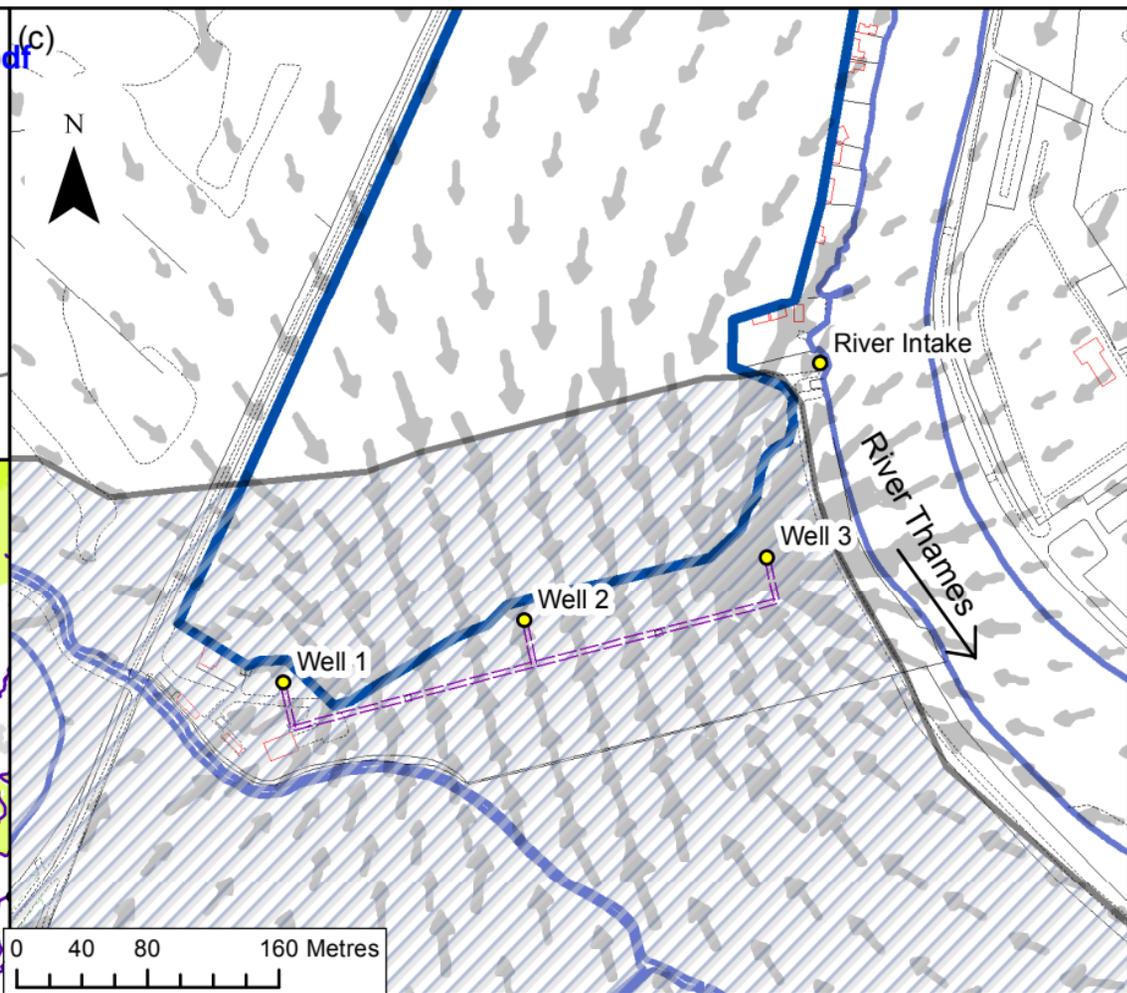
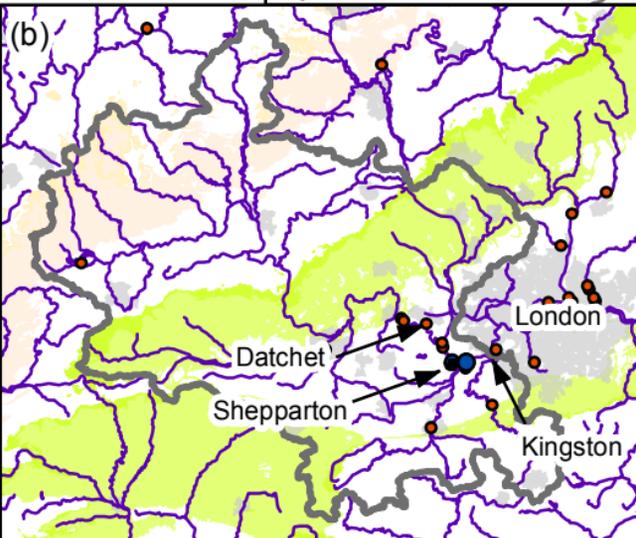
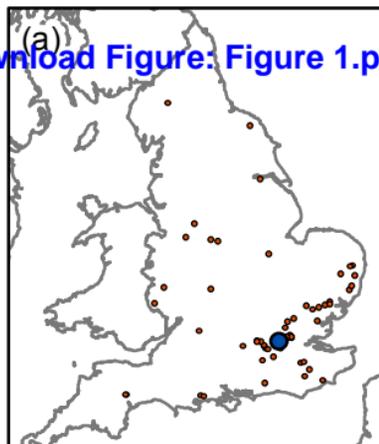
Table 2[Click here to download Table: Table 2.xlsx](#)

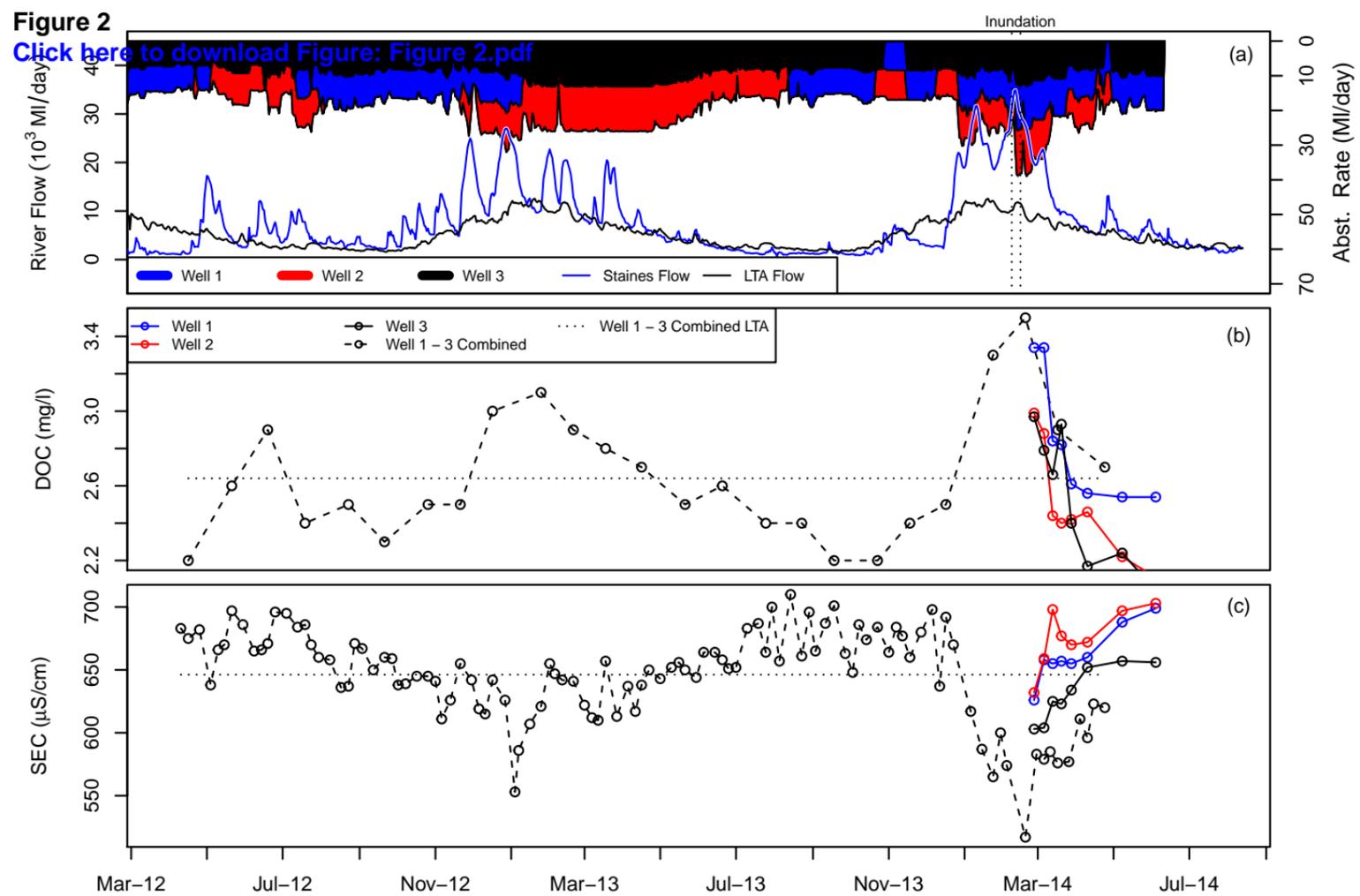
Parameter	Value	Units	Reasoning
K_{RB}	30	m/d	Previous estimates of riverbed permeability used in groundwater modelling (VWP, 2002; Naylor 1974)
A_{RB}	27500	m ²	Length of collector well capture zone along the river (500 m, from VWP, 2002) and the width of the river (55 m)
$h_r - h_{aq}/x$	Varies daily based on observed water levels. Range 0.014 - 0.044	-	Observed groundwater and river levels at the study site
A_{IND}	2	km ²	Observation of inundation extent and collector well capture zone (VWP, 2002)
f_{IND}	0.3 - 0.7		Observations indicating up to half of inundation water may be lost to evaporation and back-flow to the river
dh_i/dt	0.09	m/day	Observations indicating maximum inundation of 0.6 m and 7 days for water levels to recede

Figure 1

[Click here to download Figure: Figure 1.pdf](#)

- Study Site
- CRF Sites
- Thames Catchment
- Rivers
- Urban Areas
- Grey Chalk
- White Chalk
- Great Oolite
- Inferior Oolite
- Sampling Points
- Water Treatment Works Site
- Flowpaths
- Collector Well System
- Extent of Inundation





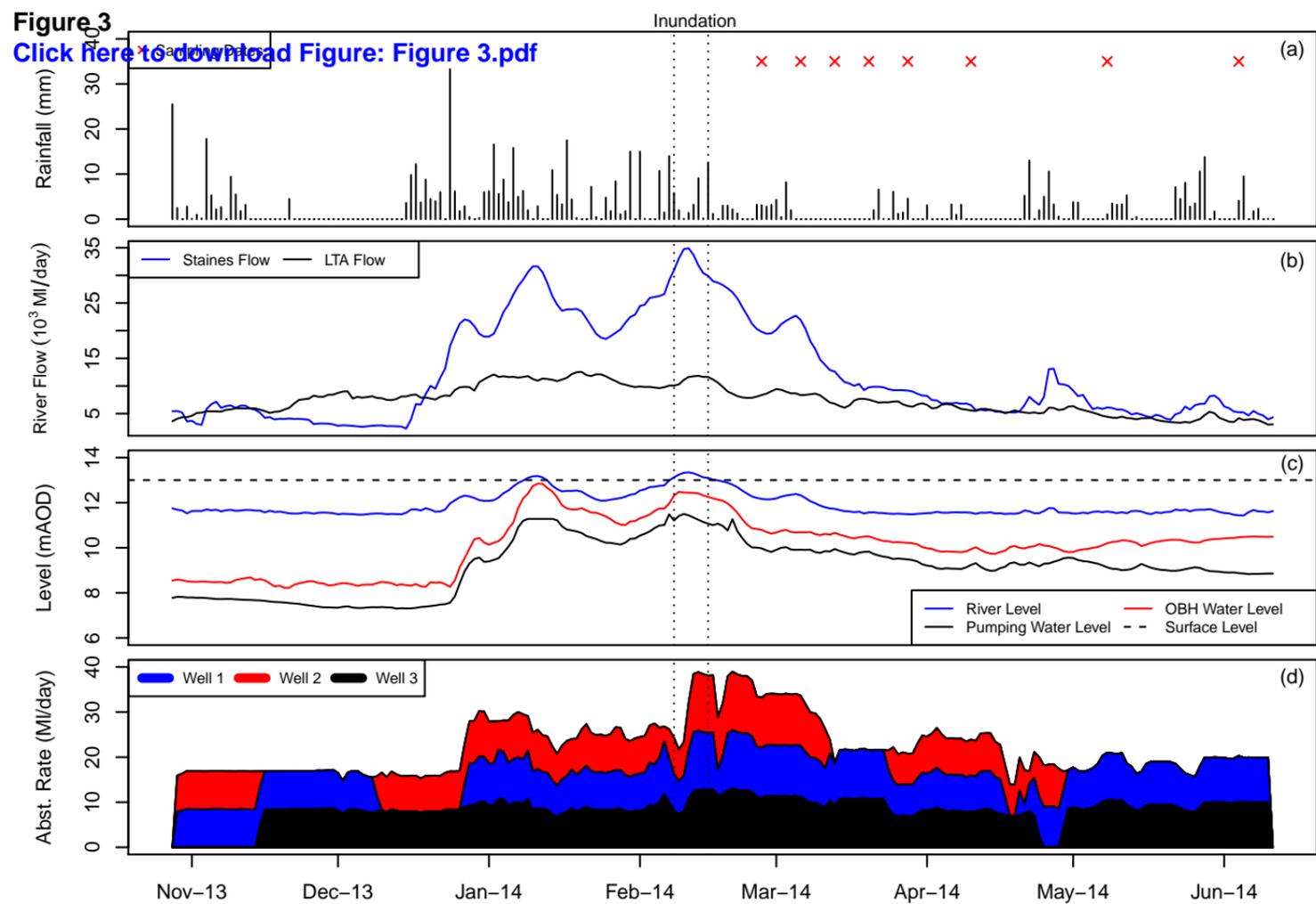
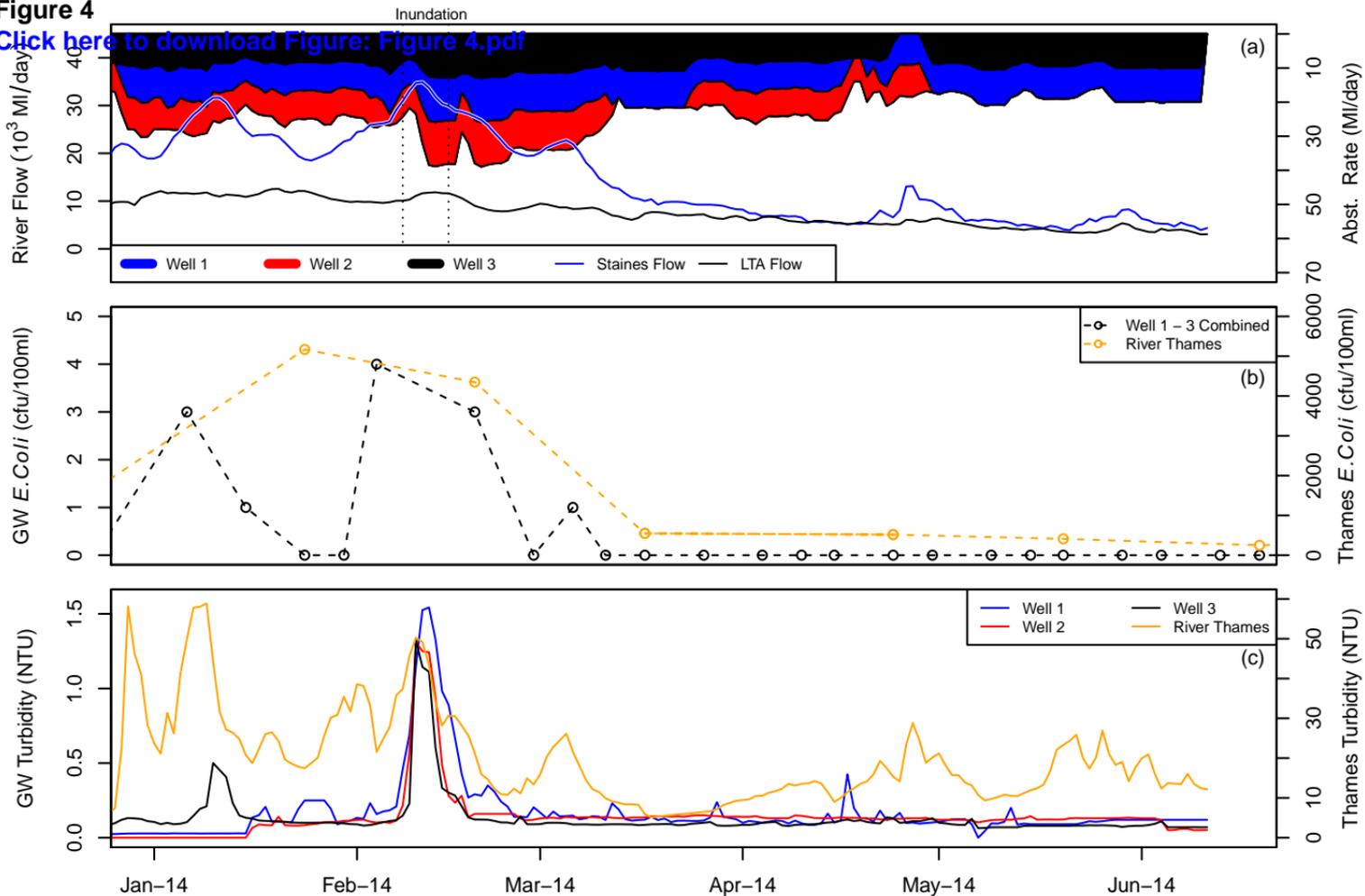
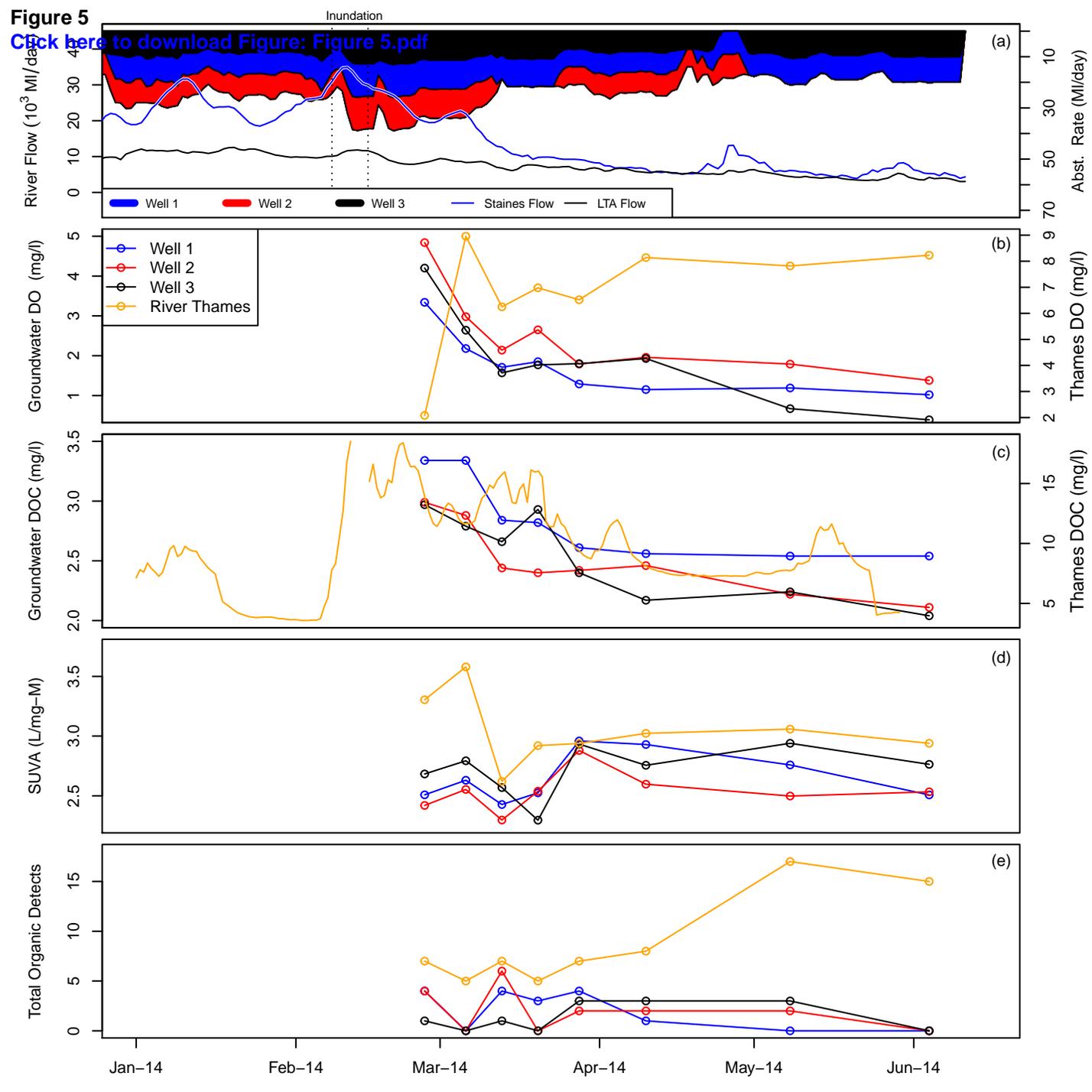


Figure 4[Click here to download Figure: Figure 4.pdf](#)



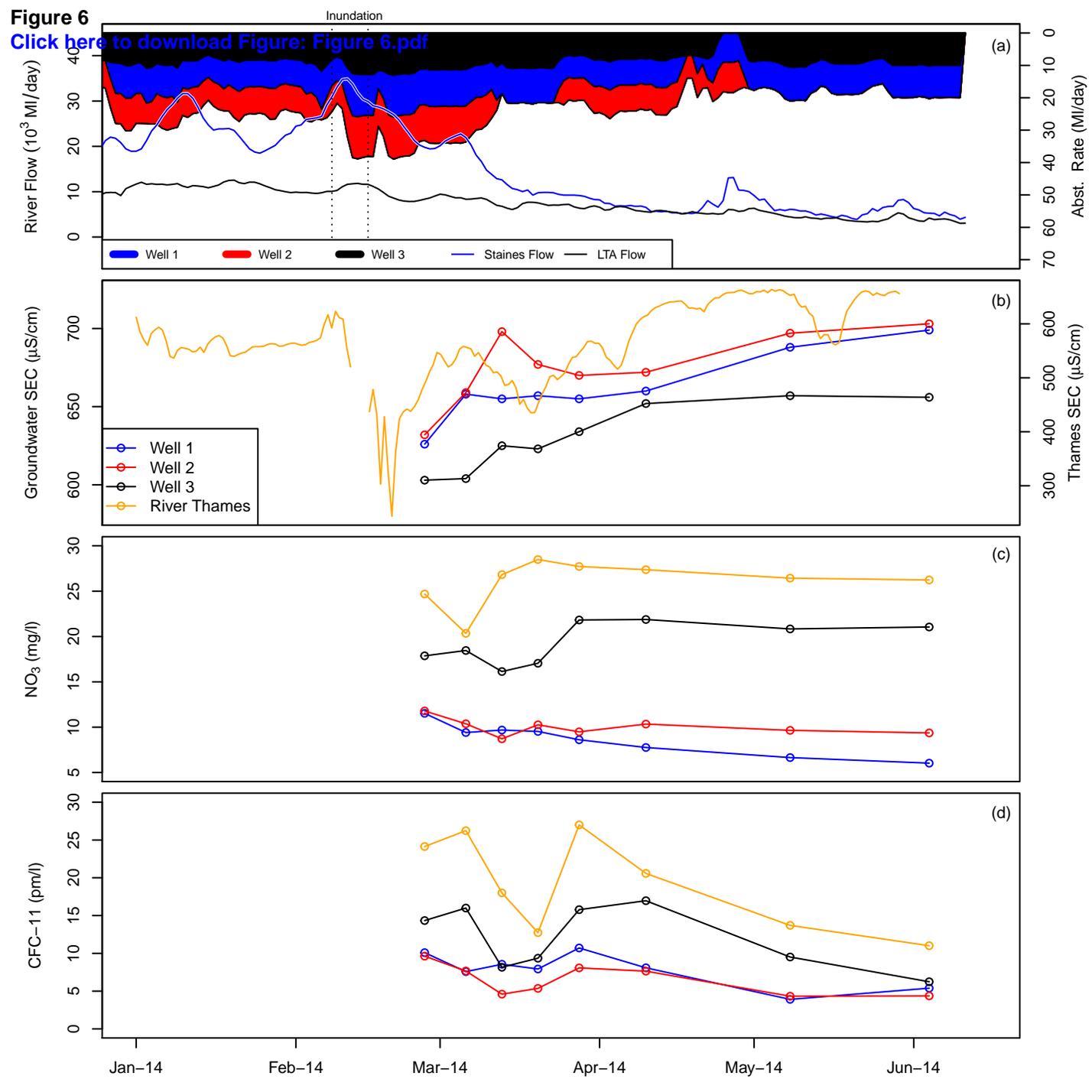


Figure 7

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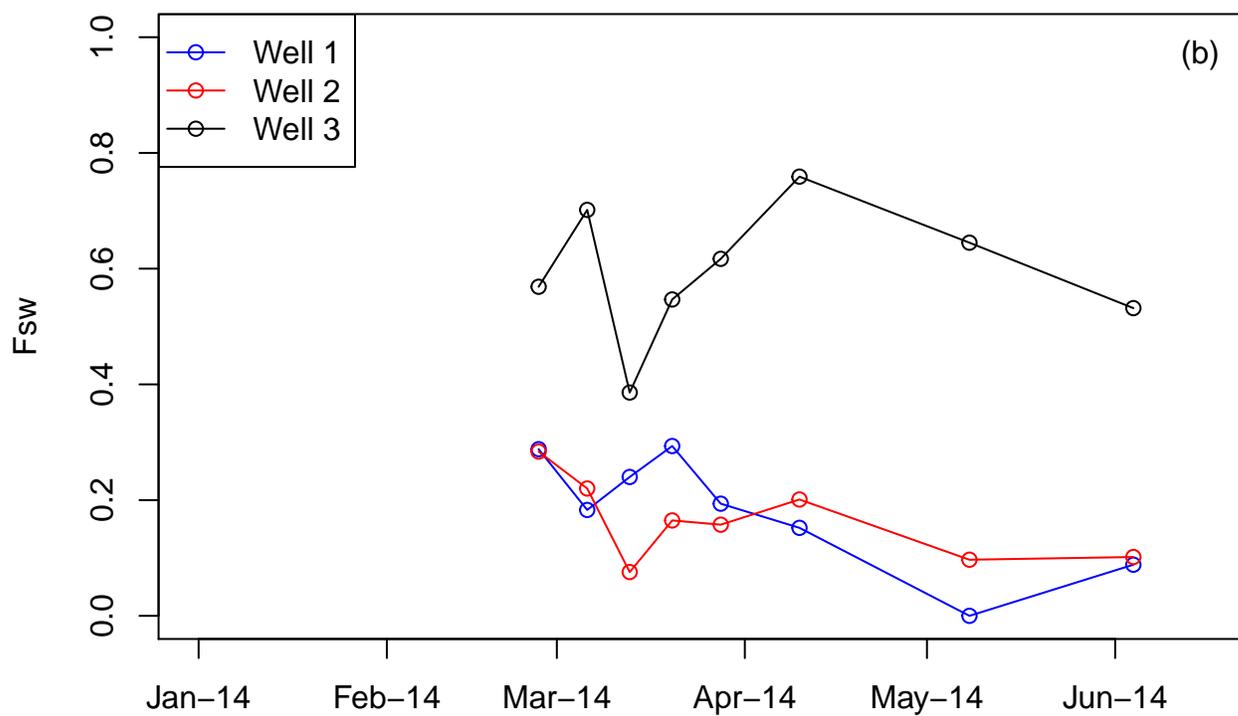
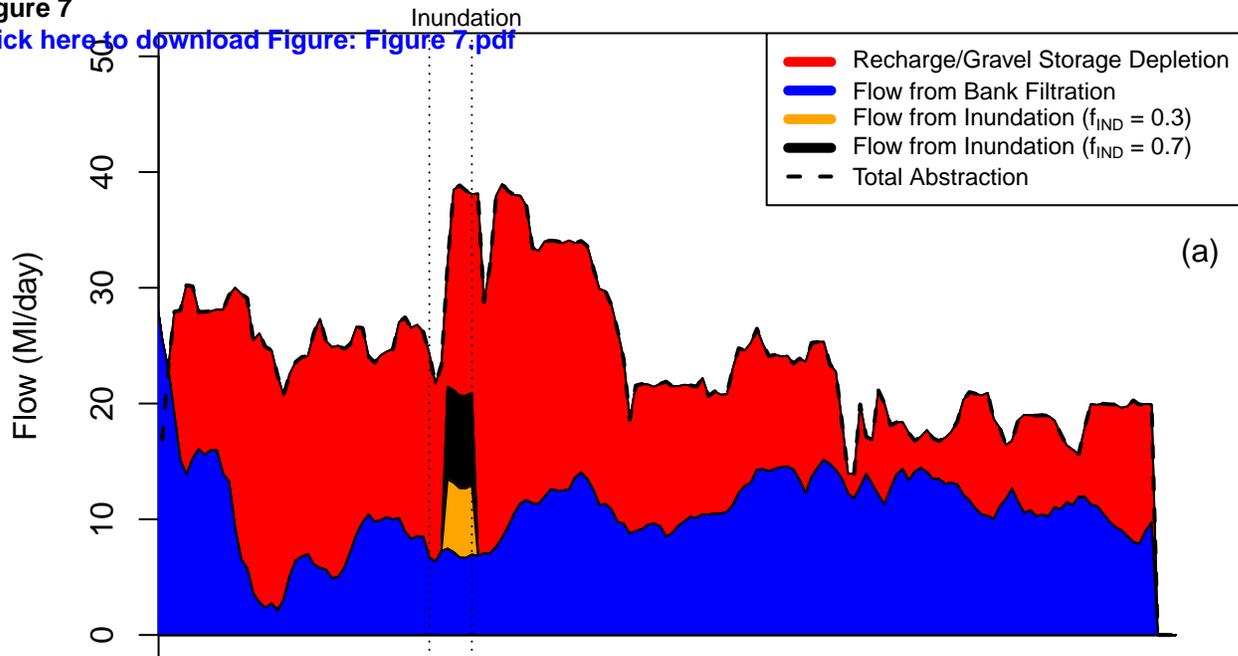
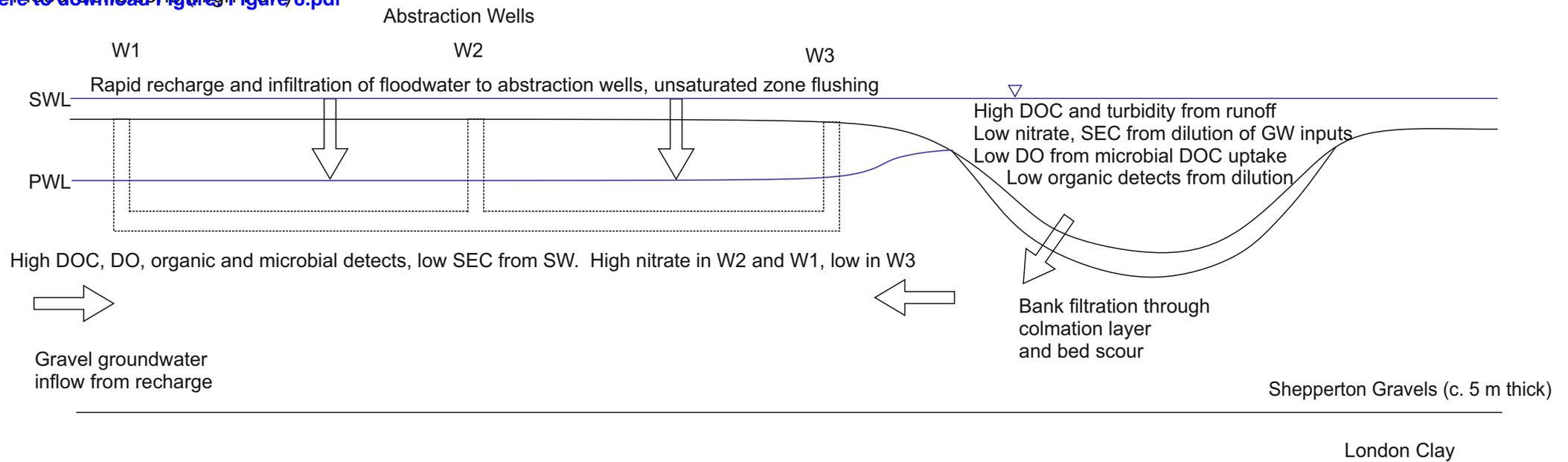
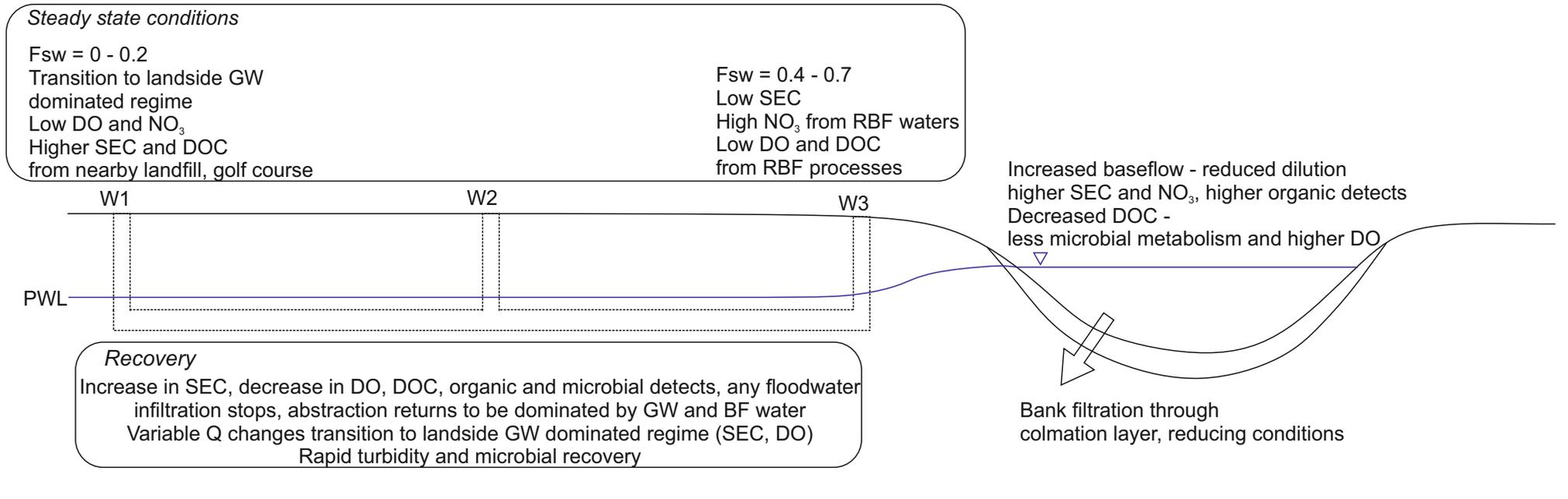


Figure 8
[Click here to download Figure 8.pdf](#)



Recovery to Normal Conditions (c. 6 weeks, low Fsw)



SWL: Surface Water Level, PWL: Pumping Groundwater Level