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**THE ADVANTAGE OF THE EXTREMES: TREE SEEDLINGS AT INTERMEDIATE  
ABUNDANCE IN A TROPICAL FOREST HAVE THE HIGHEST RICHNESS OF ABOVE-  
GROUND ENEMIES AND SUFFER THE MOST DAMAGE**

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**Running headline:** Tropical tree abundance and enemy richness

26

27 **SUMMARY**

28 1. Tropical forest tree diversity has been hypothesized to be maintained via the attraction of density  
29 responsive and species-specific enemies. Tests of this hypothesis usually assume a linear  
30 relationship between enemy pressure (amount of damage and enemy richness) and seedling or  
31 tree density. However, enemy pressure is likely to change non-linearly with local seedling  
32 abundance and community scale tree abundance if enemies are characterized by non-linear  
33 functional responses.

34 2. We examine the abiotic and biotic factors associated with richness of above-ground enemies and  
35 foliar damage found in tree seedlings in a tropical forest in Puerto Rico. Rather than identify  
36 specific enemies targeting these seedlings, we used damage morphotypes, a paleo-ecological  
37 method, to derive a proxy for enemy species richness.

38 3. We found that the relationships between local and (conspecific seedling density) and community  
39 scale (conspecific basal area of adult trees) abundance and both richness of above-ground  
40 enemies and foliar damage were hump-shaped. Seedlings of tree species existing at intermediate  
41 levels of abundance, at both local and community scales, suffered more damage and experienced  
42 pressure from a greater diversity of enemies than those existing at high or low densities.

43 4. We hypothesized that greater damage at intermediate abundance level could arise from a rich  
44 mixture of generalist and specialist enemies targeting seedlings of intermediate abundance tree  
45 species. Consistent with this hypothesis, we found that generalist enemies were more diverse on  
46 species at rare or intermediate abundance relative to common tree species. However, specialist  
47 enemies showed no significant trend across tree species abundance at either the local or  
48 community scales.

49 5. *Synthesis:* Our results suggest that interspecific variation in tree species abundance leads to  
50 differences in the magnitude and type of damage tropical tree seedlings suffer. This variation

51 leads to a non-linear, hump-shaped relationship between species abundance and enemy damage,  
52 highlighting fruitful directions for further development of species coexistence theory.

53

54 Key-words: Community compensatory trend, enemy richness, foliar damage, hump-shaped relationship,  
55 Janzen-Connell effects, plant–herbivore interactions, specialization, species coexistence.

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74 **INTRODUCTION**

75 Negative density feedbacks are key components of most species coexistence theories: as a species  
76 becomes more abundant, its performance declines, which in turn reduces its abundance (Lotka 1925;  
77 Volterra 1926; MacArthur and Levins 1964; Chesson 2000). In highly diverse tropical forests, negative  
78 density and distance-dependent factors (also known as Janzen-Connell (JC) effects; Janzen 1970; Connell  
79 1971) are the most frequently studied mechanisms that could explain the persistence of rare tree species  
80 (reviewed in Wright 2002; Comita *et al.* 2014). JC effects operate through the attraction of species-  
81 specific enemies such as seed predators, herbivores, or pathogens to areas with high density of  
82 conspecific seedlings and near conspecific adult trees (Schweizer *et al.* 2013). This reduces conspecific  
83 survivorship near the adult tree, leaving ecological space for heterospecifics to recruit. At the community  
84 level, this mechanism can promote diversity if common tree species suffer from higher mortality than rare  
85 tree species, a pattern known as the community compensatory trend (Connell *et al.* 1984).

86       Empirical evaluations of JC effects in forests have generally focused on the predictions that  
87 seedling survival should linearly increase with lower local abundance of parent trees and conspecific  
88 seedlings (e.g., Augspurger 1983; Clark and Clark 1984; Carson *et al.* 2008; Comita *et al.* 2014).  
89 Experimental manipulative studies have combined insecticide, fungicide, and exclosure treatments to  
90 directly evaluate the role of enemies as agents of negative-density dependent mortality (e.g. Bell *et al.*  
91 2006; Bagchi *et al.* 2010a; 2010b; Gallery *et al.* 2010; Lewis 2010; Konno *et al.* 2011; Mordecai 2011;  
92 Liu *et al.* 2012a; 2012b; Gripenberg *et al.* 2014; Fricke *et al.* 2014). Despite the recognized importance of  
93 enemies in maintaining high tree diversity (Terborgh 20012), it remains unclear how conspecific and  
94 heterospecific seedling densities alter enemy pressure, a combination of both enemy richness and amount  
95 of damage. Enemy richness is an important measure of enemy pressure because high enemy richness  
96 translates into more diverse types of damage. The costs involved in resisting different types of damage  
97 might be greater than for one type of damage, thereby increasing the carbon costs and mortality risk  
98 associated with hosting a high richness of enemies. Enemy richness and foliar damage might be greater

99 near conspecific adult trees that have had time to accumulate enemies, and at high seedling conspecific  
100 density if more enemies are attracted by the presence and abundance of target tree species (Janzen 1970;  
101 Connell 1971; Huntly 2001; Ricciardi and Ward 2006; Strauss *et al.* 2006; Dawson *et al.* 2009; Gossner  
102 *et al.* 2009; Hill and Kotamen 2009; 2010). At the local and community scales, enemy richness is  
103 expected to increase linearly with conspecific tree density (Moran *et al.* 1994; Bachelot and Kobe 2013)  
104 and foliar damage (Ness *et al.* 2011; Schuldt *et al.* 2012; Cárdenas *et al.* 2014). Yet, invertebrates and  
105 possibly other types of enemies are likely to respond non-linearly to conspecific density. In other words,  
106 enemies are unlikely to have a type I functional response (Holling 1965). Rather, it is thought that many  
107 enemies have type III or IV functional responses, which respectively predict saturation and decrease of  
108 enemy response at high seedling densities (Holling 1965, Tener 1965). In natural conditions, quantifying  
109 intra and interspecific variation in the pressure from enemies (Garibaldi *et al.* 2011a; 2011b; Hill and  
110 Kotanen 2011; Ness *et al.* 2011; Bachelot and Kobe 2013; Cárdenas *et al.* 2014) can help us understand  
111 non-linear relationships between enemy richness, amount of foliar damage, and tree species abundance.

112         Some ecological and evolutionary processes may result in a non-linear relationship between  
113 conspecific density and enemy richness and amount of foliar damage (Ness *et al.* 2011). For example,  
114 from an ecological perspective, rare tree species might escape enemies due to low detectability and also  
115 might experience interspecific herd protection (Wills and Green 1995; Peter 2003; Lan *et al.* 2012),  
116 resulting in a low richness of enemies (Chew and Courtney 1991; Castagneyrol *et al.* 2014). In contrast,  
117 high apparency of common tree species means that enemies can easily find these tree species (Root 1973;  
118 Feeny 1976; Castagneyrol *et al.* 2013), and this could lead to high richness of enemies and greater foliar  
119 damage, but on the other hand, enemy satiation could result in a non-linear relationship between  
120 abundance and enemy richness and foliar damage (Silvertown 1980; Otway *et al.* 2005). Intraspecific  
121 herd protection resulting from intraspecific variation in resistance or attractiveness to enemies, whereby  
122 conspecific neighbors at high density act as a shield against enemies for other conspecific individuals, can  
123 also decrease the richness of enemies targeting common tree species and foliar damage (Barbosa *et al.*  
124 2009). Finally, the predators of tree enemies may experience a positive-density dependent response due to

125 the high density of enemies at high conspecific seedling density (this process is referred to as “predator  
126 attraction”, Bernays and Graham 1988; Denno *et al.* 2002; Visser *et al.* 2011), ultimately leading to a low  
127 richness of enemies. Ecological escape, satiation, intra- and interspecific herd protection, and predator  
128 attraction might result in a hump-shaped relationship between tree species abundance and enemy richness  
129 and foliar damage (Fig. 1A).

130 From an evolutionary perspective, intraspecific variation in enemy specialization and host  
131 defenses might also lead to a hump-shaped relationship between tree species abundance and enemy  
132 richness and foliar damage at the local and community scales (Fig. 1B). Patterns and causes of  
133 specialization remain an active field of research (Rueffler *et al.* 2006; Singer 2008; Barrett and Heil 2012;  
134 Forister *et al.* 2012), and hypotheses for specialization are currently grouped into four classes: 1) the  
135 physiological efficiency hypothesis predicts that specialization arises as an adaptation of the enemies to  
136 the nutritional and secondary compounds of the tree host (Dethier 1954); 2) the optimal foraging  
137 hypothesis claims that specialization takes place to maximize enemy adult fitness (Scheirs and de Bruyn  
138 2002); 3) the neural-constraints hypothesis expects specialization to occur because enemies recognition of  
139 target species and host-tree acceptance abilities are limited (Bernays and Wcislo 1994); 4) the enemy-  
140 free space hypothesis advocates that enemies specialize on a tree host to escape from or defend  
141 themselves against their own predators (Jeffries and Lawton 1984). Together, these theories predict that  
142 specialist enemies are more likely to target common tree species, rather than rare tree species (Jaenike  
143 1990) because high host abundance reduces the costs and risks associated with specialization (Feeny  
144 1976; Fox and Morrow 1981; Coley and Barone 1996; Silvertown and Dodd 1996; Bustamante *et al.*  
145 2006; Agrawal 2007; Schuldt *et al.* 2012). Research on interaction networks has demonstrated that rare  
146 tree or plant species are typically involved in fewer interactions with enemies than common hosts and that  
147 these interactions tend to be generalists (Vázquez *et al.* 2005; Montoya *et al.* 2006; Bascompte and  
148 Jordano 2007). For these evolutionary reasons we might expect that common tree species should host a  
149 higher richness of specialist enemies while rare tree species should be targeted by generalists enemies  
150 (Fig. 1B). As a result species at intermediate abundance at local and community scales might have a high

151 richness and a mixture of both generalist and specialist enemies (Kunin 1999; Ives *et al.* 2004), resulting  
152 in a hump-shaped relationship between enemy richness and foliar damage and tree species abundance  
153 (Fig. 1B).

154 Besides host abundance at the local and community scales, a number of abiotic and biotic factors  
155 might determine whether or not an enemy targets a plant host (Agrios 2005). Abiotic factors such as soil  
156 moisture, and light conditions are likely to affect the enemy communities directly (Hairston *et al.* 1960;  
157 Augspurger and Kelly 1984; Price *et al.* 2011) and indirectly via effects on seedling performance (Aerts  
158 and Chapin 2000; Nystrand and Granstrom 2000; Whitfeld *et al.* 2012). For example, the amount of  
159 damage by fungal pathogens responsible for damping-off disease decreases in gaps where the irradiance  
160 is high (Augspurger and Kelly 1984). Furthermore, light availability is likely to affect the potential of  
161 individual trees to invest in defenses due to tradeoffs with light requirements (Coley 1993; Shure and  
162 Wilson 1993; Kitajima and Poorter 2010). Host-tree characteristics such as tree size and functional traits  
163 might also affect the enemy communities. Species exhibit ontogenetic variation in leaf characteristics  
164 with potential effects on herbivory rates (Boege and Marquis 2005; Kitajima and Poorter 2010; Boege *et*  
165 *al.* 2011). Seedling size might therefore be an important predictor of the enemy communities by capturing  
166 the impact of an ontogenetic shift in defense traits (Herms and Mattson 1992; Barton and Koricheva  
167 2010; Castagneyrol *et al.* 2013). Finally, seedling shade tolerance may be correlated with a high level of  
168 plant defenses (Coley and Barone 1996). Therefore, one might expect shade tolerant species to host lower  
169 richness of enemies and lower amount of damage than shade intolerant species (but see Bachelot and  
170 Kobe 2013).

171 In this study, we investigated the relationship between the richness of above-ground enemies  
172 hosted by individual tree seedling and foliar damage, and species abundance at the local and community  
173 scales in a tropical forest of Puerto Rico. Specifically we asked three questions:

174 (1) At the level of individual tree seedlings, which abiotic and biotic factors explain variation in  
175 the richness of above-ground enemies hosted by the seedling and variation in foliar damage? We  
176 hypothesized that the richness of above-ground enemies hosted by individual seedlings would peak at

177 intermediate conspecific seedling density (Fig. 1A) to create a hump-shaped relationship. Similarly, we  
178 expected foliar damage to peak at intermediate conspecific seedling density if enemies exhibit a type IV  
179 functional response. We also expected that both richness of above-ground enemies and foliar damage  
180 should increase with seedling size, conspecific adult crowding, soil moisture, and irradiance, but decrease  
181 with shade tolerance (Table 1).

182 (2) At the community level, what is the relationships between tree species abundance and  
183 richness of above-ground enemies hosted by tree species and, and between foliar damage and tree species  
184 abundance? We hypothesized that tree species existing at intermediate abundance in the community  
185 would host, on average, a higher richness of above-ground enemies and suffer greater damage than rare or  
186 common tree species (Table 1) because the aforementioned ecological and evolutionary processes (Fig.  
187 1).

188 (3) Which type of enemies target seedlings at different local and community abundance? We  
189 hypothesized that the richness of generalist enemies such as grazing and skeletonizing insects and  
190 epiphyllous fungi will be greater on seedlings of rare tree species and at low conspecific seedling density,  
191 when compared to seedlings of common tree species and at high conspecific seedling density (Fig. 1B).  
192 In contrast, we expected the richness of specialist enemies such as pathogens, gall makers, and leaf  
193 miners to be greater on seedlings of common tree species and at high conspecific seedling density, when  
194 compared to seedlings of rare tree species and at low conspecific seedling density (Fig. 1B). The rationale  
195 behind this hypothesis is that endophages (enemies that penetrate in the host) tend to be more specialized  
196 than ectophages (enemies that remain outside the host) (Gaston *et al.* 1992) and high host abundance has  
197 often been shown to promote enemy specialization (Jaenike 1990; Barrett and Heil 2012; Forister *et al.*  
198 2012; Wardhaugh 2014).

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203 **MATERIALS AND METHODS**

204 *Study Site*

205 The study took place in the 16-ha Luquillo Forest Dynamics Plot (LFDP; 1820'N, 6549'W) in northeast  
206 Puerto Rico with elevation ranging from 333 to 428 m above sea level (Thompson *et al.* 2002;  
207 Zimmerman *et al.* 2010). Since the establishment of the plot in 1990, all free-standing woody stems > 1  
208 cm dbh (diameter at 1.3 m) have been mapped, identified to species, and measured approximately every 5  
209 years (Thompson *et al.* 2002; Uriarte *et al.* 2009). Mean annual rainfall is 3,500 mm (Thompson *et al.*  
210 2004), which classifies the forest as tropical montane (Walsh 1996).

211 In 1998, 213 x 2 m<sup>2</sup> seedling plots were established throughout the plot (Uriarte *et al.* 2005;  
212 Comita *et al.* 2009). These plots were positioned every 20 m along six north-south running transects  
213 spaced 60m apart to systematically cover the 16-ha plot with an additional 21 seedling plots between each  
214 pair of transects 2 and 3, 3 and 4, and 5 and 6. Seedlings in these plots were mapped, identified to species,  
215 and measured in 2000, 2002, and 2004, and the annually after 2007. An additional 360 x 1 m<sup>2</sup> seedling  
216 plots were established in 2007 and have also been censused annually since 2007. The 1 m<sup>2</sup> seedling plots  
217 were clustered in sets of three around each of 120 seed collection baskets (i.e., 120 sets of 3 seedling  
218 plots). The criteria to include seedlings in the censuses differ between the two sets of seedling plots (1 m<sup>2</sup>  
219 and 2 m<sup>2</sup> plots), so we restricted our analyses here for both sets of plots to seedlings that were at least  
220 10cm tall in the 2012 census.

221

222 *Seedling Leaf Above-ground Enemy Community*

223 Between May and July 2012 (following the 2012 seedling census), we collected data on above-ground  
224 enemies on seedlings in one plot of the three 1 m<sup>2</sup> seedling plots around each of the 120 seed collection  
225 baskets, and in 117 of the 213 x 2 m<sup>2</sup> seedling plots. To make data comparable across plots, the 2 m<sup>2</sup>  
226 seedling plots were divided in half, and we collected data from only 1 m<sup>2</sup>. We excluded liana seedlings,  
227 and we only sampled 10 individuals per plot of the most abundant species, the palm *Prestoea acuminata*  
228 *var montana*, due to its extremely high abundance. In total, we obtained data for 237 seedling plots and

229 1,986 individual seedlings representing 48 tree species. To quantify the richness of enemies, we used a  
230 digital camera (Nikon D3100) with a microlense (18-55 mm VR lens) to photograph the total number of  
231 leaves of every seedling, up to a maximum of five leaves. From these photos, we visually identified leaf  
232 damage morphotypes, using the following criteria: (i) position of the damage (e.g. edge or middle of leaf,  
233 proximity to principal vein), (ii) shape of the damage (rounded, linear cut, irregular), (iii) size of the  
234 damage (< 1 mm, < 1 cm, > 1 cm), (iv) color (especially relevant to disease and pathogens), and (v) other  
235 defining characteristics (cut through veins, penetration through leaf or superficial grazing) (Bachelot and  
236 Kobe 2013). We used richness of damage morphotypes as a proxy for above-ground enemy richness  
237 because studies have shown that these two metrics are strongly correlated (Carvalho *et al.* 2014). We also  
238 organized damage morphotypes into six feeding categories, which represent increasing levels of host  
239 specialization: epiphyllous fungi, grazing insects, skeletonizing insects, pathogens, leaf miners, and gall  
240 makers. For each seedling, we were able to quantify the richness of enemies in each category. Finally, we  
241 estimated the amount of foliar damage for each seedling in order to assess its relationship to the richness  
242 of above-ground enemies, using percentage of damaged leaf (from 0 to 100 binned by 5). Damage on  
243 each seedling was evaluated and reported as a categorical variable representing the percentage of  
244 damaged leaf.

245

#### 246 *Biotic Factors*

247 Using the 2012 annual seedling census data (January-May) and the 2011 adult tree census (June 2011-  
248 March 2012), we extracted data on seedling height and calculated the density of conspecific seedlings  
249 present in each seedling plot (214 x 1 m<sup>2</sup>). Conspecific seedling density represents the local tree species  
250 abundance. From the tree census data, for each seedling  $i$ , we calculated the distance-weighted sum of  
251 conspecific adult tree basal areas within a 20m radius ( $NCI_i$ ) around the seedling plots as follows:

$$252 \quad NCI_i = \sum_{j=1}^n \left( \frac{dbh_j}{distance_{ij}} \right)^2 \quad [\text{Eqn. 1}]$$

253 where  $dbh_j$  is the diameter of a conspecific tree  $j$  and  $Distance_{ij}$  corresponds to the distance of that  
254 conspecific tree  $j$  to seedling  $i$ . For each of the 48 seedling species, we also extracted the sum of total  
255 basal tree area at the community level as a measure of tree species abundance in the entire 16ha plot ( $BA$ ).

256

#### 257 *Shade tolerance*

258 We evaluated species-specific shade tolerance using average sapling ( $\leq 10$  cm DBH) survival  
259 (Augsburger 1984). Specifically, we used sapling survival from the LFDP 1995-2000 tree census (Table  
260 S1). Sapling mortality over this period was high following pulses of recruitment after hurricane Hugo and  
261 subsequent canopy closure (Uriarte *et al.* 2009).

262

#### 263 *Abiotic Factors*

264 Canopy closure, a proxy for shade, was assessed for each of the seedling plots using the mean of three  
265 densiometer readings taken above each seeding plot. To estimate potential soil drainage at each plot  
266 (inversely correlated with soil moisture), we assessed water flow potential using an elevation map of the  
267 LFDP (5 x 5 m) and the hydrology toolset of ArcGIS (ESRI 2011).

268

#### 269 *Statistical Analysis*

270 To investigate the effect of abiotic and biotic factors on above-ground enemy richness, we used a  
271 generalized linear model in a hierarchical Bayesian framework. Since leaf area is likely to influence the  
272 amount of damage and the richness of above-ground damage morphotypes (Garibaldi *et al.* 2011a; 2011b),  
273 we standardized the observed richness of leaf damage morphotypes ( $Richness_{observed}$ ) by dividing this  
274 metric by the number of leaves sampled ( $N_{leaves}$ ) for each individual seedling  $i$  multiplied by the seedling  
275 species-specific leaf area ( $LA$ ) as follows:

$$276 \quad Richness_{standardized} = \frac{Richness_{observed}}{N_{leaves} * LA} \quad [Eqn. 2]$$

277 This allows us to compare the richness of damage morphotypes per cm<sup>2</sup> of leaf area across individual  
 278 seedlings and species. The richness of above-ground enemies and the total amount of foliar damage are  
 279 highly correlated (Fig. 2). Therefore, we used only the richness of above-ground enemies in our study.  
 280 Finally, we also calculated the richness of damage morphotypes per cm<sup>2</sup> of damaged leaf and ran the  
 281 analyses described below using this response variable and obtained similar results as those found when  
 282 using the richness of damage morphotypes per cm<sup>2</sup> of leaf.

283 Our response variables, standardized richness of above-ground damage morphotypes and  
 284 standardized amount of foliar damage were similarly modeled as a function of abiotic characteristics of the  
 285 plot, namely shade ( $Shade_p$ ) and water flow ( $Flow_p$ ), and conspecific density ( $Consp_i$ ), adult neighborhood  
 286 crowding ( $NCI_i$ ), heterospecific density ( $Het_i$ ), and focal seedling height ( $Size_i$ ). We also included the  
 287 quadratic term of the conspecific seedling density to allow for non-linear effects. The richness model takes  
 288 the form:

$$\begin{aligned}
 &289 \\
 &290 \text{Richness}_{ijp} \sim dnorm(\Psi_{ijp}, \pi_{richness}^2) \\
 &291 \Psi_{ijp} = \beta_1 * Size_i + \beta_2 * Flow_p + \beta_3 * Shade_p + \beta_4 * Consp_i + \beta_5 * Consp_i^2 + \beta_6 * NCI_i + \beta_7 * NCI_i^2 + \beta_8 * Het_i + \beta_9 * Het_i^2 + \mu_j + \gamma_p \quad [\text{Eqn. 3}]
 \end{aligned}$$

293  
 294 where  $Richness_{ijp}$  and  $\Psi_{ijp}$  represent the observed and predicted standardized richness of above-ground  
 295 enemies hosted by seedling  $i$  from species  $j$  in plot  $p$ .  $\pi_{richness}$  is the standard deviation of richness of above-  
 296 ground enemy species and  $\mu_j$  and  $\gamma_p$  represent species and plot effects respectively. The species effect  $\mu_j$   
 297 represents the average richness of above-ground enemy species hosted by a tree seedling species. It was  
 298 modeled in a second level regression as a function of abundance of the tree species at the community scale  
 299 (calculated as the total sum of basal tree area at the community level,  $BA_j$ ), its quadratic form ( $BA_j^2$ ) to  
 300 account for potential non-linear effects, and shade tolerance ( $Tolerance_j$ ) to account for variation in life  
 301 history strategies across tree species which could influence seedling survival. For species  $j$ , the intercept is  
 302 modeled as:

303 
$$\mu_j \sim \text{dnorm}(a_0 + a_1 BA_j + a_2 BA_j^2 + a_3 \text{Tolerance}_j, \epsilon) \quad [\text{Eqn. 4}]$$

304 where  $a_0$  represents the mean richness of above-ground enemies hosted across tree species,  $a_1$  and  $a_2$  are  
305 the linear and quadratic effects of tree species abundance calculated at the community scale ( $BA$ ),  $a_3$  is the  
306 effect of shade tolerance, and  $\epsilon$  is the standard deviation associated with the second level of the model.  
307 More specifically, parameters  $a_1$  and  $a_2$  represent variation in enemy richness or amount of foliar damage  
308 due to community abundance-dependent enemies, whereas  $a_0$  represents enemy richness or amount of  
309 foliar damage due abundance-independent enemies. This second hierarchical level allows us to incorporate  
310 the idea of a community compensatory trend and assess whether or not intermediate abundance tree  
311 species host a greater richness of enemies than both rare and common tree species. The damage model has  
312 the same structure as the richness model.

313 To answer the first question about the hump-shaped relationship between tree species  
314 commonness at the local scale and enemy richness, and about the effects of abiotic and biotic factors on  
315 the richness of enemies, we examined the posterior distribution of all the  $\beta_{1-6}$ . To address the second  
316 question about the effect of species commonness at the community scale on the richness of enemies, we  
317 focused our attention on the posterior distribution of  $a_1$  and  $a_2$ . Specifically, we asked whether the credible  
318 intervals of these parameters ( $a_{1-3}$  and  $\beta_{1-6}$ ) did not overlap zero, indicating significant effects. The model  
319 was fitted using JAGS (Plummer 2005) statistical software. Convergence was assessed using R-hat  
320 (Brooks and Gelman 1997). The significance of the parameters was evaluated using the 95% credible  
321 intervals. Model goodness of fit was evaluated with predictive checks (Gelman *et al.* 2013). The spatial  
322 structure of the residuals was assessed visually by fitting a semi-variogram and statistically by using a  
323 Mantel test between the residuals and the locations with 9999 permutations.

324 To answer the third question, we compared the richness of enemies belonging to each of the six  
325 enemy types (epiphyllous fungi, grazing insects, skeletonizing insects, pathogens, leaf miners, and gall  
326 makers) at low, intermediate, and high conspecific seedling density, using t-tests. We also compared the  
327 richness of enemies in each category at low, intermediate, and high conspecific tree abundance (as the

328 total sum of basal tree area) using t-tests. Low, intermediate, and high abundances (at the local and  
329 community scales) were defined as abundances below the 15%, between 42.5% and 67.5%, and above the  
330 85% quantiles. In order to correct for varying sampling size across the abundance categories, we  
331 bootstrapped the richness of enemies 500 times using the lowest sample size across the three categories.  
332 We then corrected for multiple comparisons using the false discovery rate (Benjamini and Hochberg  
333 1995).

334 All covariates except shade from densiometer measurements of canopy cover were first log-  
335 transformed to correct for skewness and then z-transformed prior to analyses. We checked for collinearity  
336 among covariates using Pearson correlation tests and we found that slight correlations between seedling  
337 conspecific density and total sum of basal tree area ( $r = 0.36$ ), and seedling height and sum total of tree  
338 basal area ( $r=0.32$ ). To assess whether these correlations might be problematic, we measured collinearity  
339 between posterior chains of the parameters associated with seedling height, sum total of tree basal area,  
340 and conspecific seedling density, using Pearson correlation tests. All analyses were performed in R 3.1.1.  
341 (R Core Team 2013) using JAGS (Plummer 2005).

342

## 343 **RESULTS**

344 Overall, we quantified enemy richness for 1886 seedlings representing 48 species. Individual seedlings  
345 exhibited great variation in the richness of above-ground enemies and in the amount of leaf damage per  
346  $\text{cm}^2$  of leaf area among and within species (Fig. S1, Table S1 in Supporting Information). On average,  
347 seedlings hosted  $0.09 \pm 0.09\text{SD}$  enemies. $\text{cm}^{-2}$  (range 0-1.22 enemies. $\text{cm}^{-2}$ ) of leaf area, and the amount of  
348 damage ranged from 0-9.2% damaged. $\text{cm}^{-2}$ . Various types of enemies were identified: Pathogens and  
349 grazing insects were the most common enemies across tree species (Fig. S1). Leaf miners and gall makers  
350 were rare and appeared on a few host species (Fig. S1). The distribution of the richness of above-ground  
351 enemies per  $\text{cm}^2$  exhibited a right-skewed shape typical of parasite/host interactions (Vázquez and Poulin  
352 2005), suggesting that most seedlings host a small number of enemy species (Fig. S1).

353

354 *1) At the level of individual tree seedlings, which abiotic and biotic factors explain variation in the*  
355 *richness of above-ground enemies hosted by the seedling and foliar damage?*

356 The model captured 45% of the observed variation in above-ground enemy richness at the individual  
357 seedling level (Table S2, Fig. 3, and Fig. S2, Bayesian  $P$  value of the mean = 0.50). No spurious  
358 correlations were found between posterior chains suggesting that the slight correlations between  
359 covariates were not a problem. Spatial analyses of the residuals revealed no spatial structure, suggesting  
360 our model captured most of the spatial structure in the above-ground enemy community (Mantel test,  $P =$   
361 0.74).

362 Consistent with our prediction, the relationship between conspecific seedling density and enemy  
363 richness of above-ground enemies was hump-shaped (Table S2, parameters  $\beta_4$  and  $\beta_5$  in eqn. 3, Fig. 3,  
364 Fig. 4). The richness of above-ground enemies peaked at intermediate seedling conspecific abundance at  
365 the local scale. Furthermore, the richness of enemies also increased with greater heterospecific density  
366 (Table S2, parameters  $\beta_8$  and  $\beta_9$  in eqn. 3, Fig. 3, Fig. 4).

367 Consistent with our hypotheses, the richness of above-ground enemies significantly increased with  
368 seedling size ( $\beta_1$  in eqn. 3), and decreased with soil drainage ( $\beta_2$  in eqn. 3) although the latter effect was  
369 only marginally significant (Table S2, Fig. 3). Surprisingly, adult tree neighborhood crowding ( $\beta_6$  and  $\beta_7$   
370 in eqn. 3) and shade ( $\beta_3$  in eqn. 3) had no effect on the richness of above-ground enemies hosted by  
371 individual seedlings (i.e., credible interval overlapped 0, Table S2).

372 The relation between foliar damage and local host abundance exhibited similar patterns as the  
373 richness of above-ground enemies (Table S2). Locally, seedlings at intermediate seedling conspecific  
374 abundance experienced the highest amount of foliar damage. Unlike the richness of above-ground  
375 enemies, foliar damage significantly increased in the shade (parameter  $\beta_3$  in eqn. 3, Table S2, Fig. 3) but  
376 was not correlated with soil drainage (parameter  $\beta_2$  in eqn. 3, Table S2, Fig. 3) or heterospecific seedling  
377 density (parameters  $\beta_8$  and  $\beta_9$  in eqn. 3, Table S2, Fig. 3).

378

379 2) At the community level, what are the relationships between the richness of above-ground enemies  
380 hosted by tree species and tree species abundance, and between foliar damage and tree species  
381 abundance?

382 The average richness of above-ground enemies did not change linearly with the commonness of tree  
383 species (i.e., parameter  $a_1$  in eqn. 4 overlapped 0), calculated as the sum of conspecific adult tree basal  
384 area throughout the whole LFDP (Table S2). Yet, there was a significant negative quadratic effect of tree  
385 commonness on the richness of above-ground enemies hosted by seedlings (parameter  $a_2$  in eqn. 4, Fig. 3  
386 and Fig. 4, Table S2). Thus, richness of above-ground enemies peaked at intermediate tree abundance at  
387 the community scale, which is consistent with our findings at the local scale. Contrary to our expectation,  
388 the average richness of above-ground enemies was not significantly altered by the shade tolerance of tree  
389 species (parameter  $a_3$  in eqn. 4, Fig. 3, Table S2).

390 Average foliar damage followed similar patterns as average above-ground enemies richness at the  
391 community scale. Specifically, foliar damage did not linearly change with tree species commonness.  
392 Instead, it peaked at intermediate tree species abundance (parameter  $a_2$  in eqn. 4, Fig. 3 and Fig. 4, Table  
393 S2). Contrary to our expectation, shade tolerance did not alter the average amount of damage experienced  
394 by seedlings (parameter  $a_3$  in eqn. 4, Fig. 3, Table S2).

395

396 3) Which type of enemies target seedlings at different local and community abundance?

397 Among the six categories of enemies, grazing and skeletonizing insects and epiphyllous fungi, which are  
398 expected to exhibit low levels of host specialization, show significant variation across abundance classes.  
399 Specifically, the richness of grazing and skeletonizing insects and epiphyllous fungi was greater at low  
400 and intermediate tree abundance and conspecific seedling density (Fig. 5, Table S3). This is consistent  
401 with the ecological expectation of enemy satiation, intraspecific herd protection, and enemy predator  
402 attraction occurring at high seedling abundance (Fig. 1A), and with the evolutionary expectation that rare  
403 and intermediate abundance tree species should host a higher richness of generalist enemies relative to  
404 common tree species (Fig. 1B).

405 At the local scale, the richness of generalist enemies peaks at intermediate conspecific density  
406 consistent with escape from enemies at low density. Contrary to our expectation (Fig. 1B), there was no  
407 significant change in the richness of specialist enemies hosted by seedling across local conspecific  
408 seedling densities (Fig. 5, Table S3). At the community scale, consistent with our expectation, generalist  
409 enemy richness dropped at high tree species abundance, sometimes showing a peak at intermediate  
410 abundance (for the skeletonizing insects, Fig. 5, Table S3). Among specialist enemies, only pathogens  
411 exhibited a significant change in richness with abundance of tree species (Fig. 5, Table S3). Specifically,  
412 pathogen richness dropped at high tree species abundance similarly to the pattern observed in generalist  
413 enemies.

414

## 415 **DISCUSSION**

416 In this study, we investigated the ecological factors associated with the richness of above-ground enemies  
417 and foliar damage, which exhibit great variations in the LFDP within and across tree species (Fig. S1).  
418 Specifically, we tested the hypothesis that seedlings at intermediate conspecific seedling density and from  
419 tree species that exist at intermediate level of abundance at the community host a high richness of above-  
420 ground enemies and experience high foliar damage. Our results contribute to ecological understanding of  
421 the factors that control rarity and abundance of tree species, and the interactions between enemies and tree  
422 species in tropical forest. We also highlight fruitful directions for further development of species  
423 coexistence theory.

424

425 *At the level of individual tree seedlings, which abiotic and biotic factors explain variation in the richness*  
426 *of above-ground enemies hosted by the seedling and foliar damage?*

427 Previous studies (Strong *et al.* 1984; Moran *et al.* 1994; Bachelot and Kobe 2013) suggested that the  
428 richness of enemies should increase with conspecific seedling density. Our results are partially consistent  
429 with these theories as we found that the relationship between species abundance and richness of above-  
430 ground enemies hosted by an individual seedling exhibited a hump-shaped pattern with richness, peaking

431 at intermediate densities of conspecific seedlings. For example, *Schefflera morototoni* had low local  
432 abundance (0.19 seedlings.m<sup>-2</sup>) and hosts on average 0.07 above-ground enemy species per cm<sup>2</sup> of leaf.  
433 *Inga laurina*, which has high local abundance (4.62 seedlings.m<sup>-2</sup>), hosts only 0.01 above-ground enemy  
434 species per cm<sup>2</sup> of leaf. In contrast to these species that represent low and high local seedling abundances  
435 respectively, *Casearia arborea* has an intermediate local abundance of 1.18 seedlings.m<sup>-2</sup> and hosts  
436 richness of 0.34 above-ground enemies per cm<sup>2</sup> of leaf. This hump-shaped relationship between enemy  
437 richness and seedling conspecific density is also consistent with a previous study that aimed at uncovering  
438 the shape of negative density dependent mortality (Bagchi *et al.* 2010b). Bagchi *et al.* (2010b) found  
439 lowest survival at intermediate initial seedling density, which is in line with our ecological prediction,  
440 which suggests that seedlings at high conspecific density might experience intraspecific herd protection  
441 (Peters 2005; Barbosa *et al.* 2009), satiate enemies (Silvertown 1980; Otway *et al.* 2005), and attract  
442 predators of enemies (Denno *et al.* 2002; Visser *et al.* 2011), whereas seedlings at low conspecific density  
443 manage to escape these enemies (Chew and Courtney 1991; Castagneyrol *et al.* 2014) and experience  
444 interspecific herd protection (Wills and Green 1995). Studies on damage to seedlings of the most  
445 common tree in a New Guinea forest (*Parashorea malaanonan*) have also demonstrated that damage  
446 significantly decreased at high conspecific density (e.g. Bagchi *et al.* 2010a), consistent with our finding  
447 that seedlings at intermediate conspecific density experience more damage than seedlings at high  
448 conspecific density. For example, *Schefflera morototoni* had a low local abundance of 0.19 seedlings.m<sup>-2</sup>  
449 and suffers on average 0.14 % of damage per cm<sup>2</sup> of leaf. *Inga laurina* has a high local abundance of 4.62  
450 seedlings.m<sup>-2</sup> and suffers only 0.24 % of damage per cm<sup>2</sup> of leaf. In contrast to these species that represent  
451 low and high local seedling abundances respectively, *Casearia arborea* has an intermediate local  
452 abundance of 1.18 seedlings.m<sup>-2</sup> and suffers on average 0.58 % of damage per cm<sup>2</sup> of leaf. Additionally,  
453 species occurring at high seedling densities may be better defended because past or concurrent favorable  
454 environmental conditions lead to greater availability of plant resources for allocation to defense. One can  
455 therefore argue that in high-density conspecific patches, seedlings have enough resources to defend

456 themselves against pathogens, which results in a low richness of enemies successfully attacking them and  
457 therefore low damage (Coley *et al.* 1985; Coley1983a; Coley 1983b; Coley and Barone 1996).

458         Finally, we found a correlation between heterospecific seedling density and richness of above-  
459 ground enemies, but not for foliar damage. As density of heterospecific seedlings increases, more enemies  
460 might be attracted by different hosts, which would in turn increase the richness of enemies hosted by  
461 individual seedlings. This result is contrary to the herd protection hypothesis, which predicts a decrease in  
462 enemies when surrounded by many heterospecific seedlings (Barbosa *et al.* 2009). However, it is  
463 consistent with the attraction of shared specialist enemies and of generalist enemies. Interestingly,  
464 heterospecific seedling density was not significantly correlated with the amount of damage. This pattern  
465 could arise if the enemies, which are attracted by heterospecific seedlings, only target the focal seedling  
466 by accident.

467  
468         Abiotic factors also influenced the richness of above-ground enemies and the amount of foliar  
469 damage. Although we detected a positive association between light availability and above-ground enemy  
470 richness, the effect was not significant. The lack of a significant relationship between light and enemy  
471 richness is not totally surprising since these effects are known to be complex and specific to individual  
472 enemy-tree interactions. For example, Augspurger *et al.* (1984) found a negative effect of light on the  
473 success of pathogenic fungi responsible for damping off in Panama, whereas Alvarez-Loayza *et al.*  
474 (2008) found that light activates the pathogeny of an endosymbiotic fungus in Peru. Therefore, the lack of  
475 a clear significant effect of light on the richness of above-ground enemies hosted by seedlings might arise  
476 from enemy species-specific response to light. However, we found that foliar damage significantly  
477 increased in shaded plots, consistent with previous studies (Eichhorn *et al.* 2010; Münzbergová and  
478 Skuhrovec 2013). Our index of potential soil moisture (soil drainage) was positively correlated with the  
479 richness of above-ground enemies although the effect was only marginally significant (90% credible  
480 intervals did not overlap with 0). Specifically, seedlings in plots with high soil drainage (low soil

481 moisture) had lower richness of above-ground enemies. This trend was consistent with our expectation  
482 and results from other studies (e.g. Münzbergová and Skuhrovec 2013; Spear *et al.* 2014), but  
483 inconsistent with other work that found decreasing attack by enemies with increasing soil moisture (e.g.  
484 Stona and Bacon 1994; Nystrand and Ganström 2000). It is important to note that 2012 was a wet year,  
485 which might have resulted in lack of variation in moisture across seedling plots, masking a potential  
486 relationship between soil moisture and enemy richness. However, we detected no significant correlation  
487 between the amount of foliar damage and soil moisture. Overall, the effects of light and soil moisture on  
488 above-ground enemy community richness and on foliar damage remain unclear and are likely to be  
489 context-dependent.

490         Seedling characteristics were also important predictors of the richness of above-ground enemies  
491 and foliar damage. In particular, we found that the richness of above-ground enemies and the amount of  
492 foliar damage increased with seedling size. The most parsimonious explanation for this pattern is that  
493 larger seedlings were likely older and exposed to pathogens and herbivores for a greater length of time.  
494 Seedling size can also be correlated with above-ground enemy community richness and foliar damage  
495 because changes in nutritional status and defense traits occur along ontogeny (Boege and Marquis 2005).  
496 The nutritional quality of tree leaves has been shown to initially increase with seedling size, before  
497 decreasing once seedlings start allocating more resources to defense rather than growth (Herms and  
498 Mattson 1992; Coley *et al.* 1985; Coley 1987; Boege and Marquis 2005).

499         Finally, contrary to our hypothesis that the richness of the above-ground enemies and foliar  
500 damage would increase with conspecific adult crowding, we did not find a significant effect at the local  
501 scale. The absence of an adult neighborhood effect might indicate that adult trees and seedlings have  
502 different communities of above-ground enemies, which may be due to differences in tree functional traits  
503 through ontogeny (Boege and Marquis 2005; Kitajima *et al.* 2013). The lack of an adult neighborhood  
504 effect was consistent with other recent studies that found no effect of distance from conspecific adult trees  
505 or adult neighborhood density on the amount of herbivory in other tropical forests (Bachelot and Kobe  
506 2013; Cárdenas *et al.* 2014; but see Schweizer *et al.* 2013).

507  
508 *At the community level, what are the relationships between the richness of above-ground enemies hosted*  
509 *by tree species and tree species abundance, and between foliar damage and tree species abundance?*  
510 A recent study from a primary forest in Costa Rica showed that seedlings from common tree species  
511 hosted a high richness of enemy species (Bachelot and Kobe 2013). Our results in Luquillo do not support  
512 the Costa Rican study as we found that the abundance of tree species at the plot scale was not linearly  
513 related to the richness of above-ground enemies hosted by tree species or to foliar damage, but followed  
514 hump-shaped patterns. In particular, we found that tree species of intermediate abundance hosted a greater  
515 richness of above-ground enemy richness and suffered high levels of foliar damage, consistent with our  
516 hypothesized ecological and evolutionary processes. The parallel hump-shaped patterns at both the local  
517 and plot scale suggest that similar processes may be at play at these two scales. For example, *Matayba*  
518 *dominguensis* is a tree species of intermediate abundance within the LFDP, yet it hosts the highest  
519 richness of above-ground enemies per cm<sup>2</sup> of leaf (0.61) and experiences a high amount of damage (1.26).  
520 In contrast, *Casearia decandra*, a rare tree species, and *Prestoea acuminata*, the dominant palm species,  
521 host a low load of above-ground enemy species per cm<sup>2</sup> of leaf (both species 0.05) and they both suffer  
522 low amount of foliar damage (0.20 and 0.14 respectively).

523         The community compensatory trend predicts that common tree species should experience greater  
524 mortality due to enemies than rare tree species because common tree species are more clumped and at  
525 higher conspecific density (Connell *et al.* 1984). Many studies have attempted to test this idea by  
526 comparing mortality of seedlings belonging to rare and common tree species (Welden *et al.* 1991; He *et*  
527 *al.* 1997; Webb and Peart 1999; Queenborough *et al.* 2007; Chen *et al.* 2010; Metz *et al.* 2010). In  
528 Borneo, pathogens were hypothesized to be at the origin of the community compensatory trend detected  
529 (Webb and Peart 1999). In Malaysia, mortality was shown to increase with tree species abundance,  
530 consistent with a community compensatory trend (He *et al.* 1997). In Ecuador, however, both a  
531 community compensatory trend (Queenborough *et al.* 2007) and no community compensatory trend were  
532 detected (Metz *et al.* 2010). Similarly, in Panama, no community compensatory trend was detected

533 (Welden *et al.* 1991). Together these studies demonstrate a high degree of variability across different  
534 tropical forests, which might be partly explained by methodology (Zhu *et al.* 2015), or by variation in  
535 climate (Swinfield *et al.* 2012; Comita *et al.* 2014; Spear *et al.* 2014; Bachelot and Kobe *in press*).  
536 Another possibility for inconsistencies across studies is that the community trend is not linear and with  
537 further analysis of these other studies a hump-shaped relationship might become apparent. Although in  
538 this paper we have not considered seedling mortality, our results suggest that species at intermediate  
539 abundance host a high richness of enemies and experience high levels of foliar damage, which could  
540 result in higher mortality at intermediate abundance relative to low or high tree species abundance.  
541 \_\_\_\_\_ Surprisingly, shade tolerance had no significant effect on the average amount of foliar damage  
542 experienced by seedlings or on the average richness of above-ground enemies hosted by seedlings. Shade  
543 tolerance has been associated with higher levels of defense and resistance to enemies (Coley and Barone  
544 1996). Therefore, we expected shade tolerant species to host more enemy species and to suffer greater  
545 amount of damage than shade intolerant species. However, shade tolerance has also been associated with  
546 longer leaf lifespan suggesting that leaves might be exposed to enemies for longer periods of time than  
547 leaves of shade intolerant species (Coley 1988), resulting in higher amount of damage and richness of  
548 enemies. Together these potentially opposite effects of shade tolerance might explain the lack of  
549 significant effects detected in our study.

550

551 *Which type of enemies target seedlings at different local and community abundance?*

552 The result that seedlings at intermediate conspecific seedling density and from tree species that exist at  
553 intermediate abundance levels in the community host a high richness of enemies and suffer greater foliar  
554 damage might be in part explained by differential attraction of generalist and specialist enemies. We  
555 predicted that rare species attract a few generalist enemies, common species attract a few specialist  
556 enemies, and intermediate abundance species might host a rich mixture of generalist and specialist  
557 enemies, resulting in high foliar damage. To assess this hypothesis, we distinguished six enemy  
558 categories, which are thought to exhibit various level of host specialization. Generally, endophages (leaf

559 miners, gall makers, and pathogens) show the tightest host specificity, whereas ectophages (grazing and  
560 skeletonizing insects and epiphyllous fungi) are more likely generalists (Jaenike 1990; Gaston *et al.* 1992;  
561 Ward and Spalding 1993; Novotny and Basset 2005; Novotny *et al.* 2010; Forister *et al.* 2015). We  
562 expected that the richness of generalist enemies would be lower at high tree species and seedling  
563 abundances due to satiation (Otway *et al.* 2005), high levels of physiological and chemical defenses  
564 (Feeny 1996), intraspecific herd protection (Barbosa *et al.* 2009), and predator attraction (Denno *et al.*  
565 2002; Visser *et al.* 2011). Consistent with our expectation, rare and intermediate tree species hosted a  
566 greater number of grazing and skeletonizing insects and epiphyllous fungi, which were all expected to  
567 exhibit low levels of host specificity (Novotny and Basset 2005; Novotny *et al.* 2010). This result is also  
568 consistent with network theory, which predicts that rare tree species should interact with generalist  
569 enemies rather than specialist enemies because host relative abundance predicts the number and type of  
570 interspecific interactions (Vazquez *et al.* 2005).

571         Contrary to our expectation that the richness of specialist enemies such as pathogens should  
572 increase with tree species and seedling abundances, we found that overall all seedlings hosted the same  
573 richness of specialist enemies. This suggests that generalist enemies might be at the origin of the hump-  
574 shape patterns observed between the richness of enemies and species abundance, and between the amount  
575 of foliar damage and species abundance. The overall similar richness of specialist enemies in rare and  
576 common tree species was however surprising given the anticipated higher resource and evolutionary costs  
577 required to specialize on rare hosts (Jaenike 1990; Barrett and Heil 2012; Forister *et al.* 2012; Wardhaugh  
578 2014). Enemies might have evolved specialized attributes to enable them to detect and overcome the  
579 defenses developed by rare hosts, as it is the case in some Lepidoptera species (Courtney and Courtney  
580 1982), particularly in highly diverse ecosystems that exhibit high levels of enemy specialization (Novotny  
581 *et al.* 2004; Forister *et al.* 2015 but see Morris *et al.* 2014). One potential hypothesis of enemy  
582 specialization on rare plants is that such strategy would allow enemies to escape their predators (Enemy-  
583 free space hypothesis, Jeffries and Lawton 1984). The ecological and evolutionary causes of host  
584 specialization are a very active field of theoretical and empirical research and this remains an open

585 question (Bolnick *et al.* 2003; Rueffler *et al.* 2006; Gilbert and Webb 2007; Singer 2008; Barrett and Heil  
586 2012; Forister *et al.* 2012; Morris *et al.* 2014; Forister *et al.* 2015).

587 Both ecological and evolutionary processes could lead to a hump-shaped relationship between  
588 enemy richness or foliar damage and tree abundance at the local and community scales. Future studies  
589 could tackle the task of understanding the ecological processes that underlie the hump-shaped  
590 relationships between tree abundance and enemy richness or foliar damage while accounting for  
591 evolutionary processes. Such studies could for example involve field experiments to characterize the  
592 above- and below-ground enemy communities targeting seedlings grown at various conspecific and  
593 heterospecific densities. Combining these experiments with knowledge about the phylogeny of the host  
594 plants and enemies could provide a way to disentangle herd protection from evolutionary processes.  
595 Similarly, combining tri-trophic studies with a good understanding of enemy/host phylogenies could shed  
596 light on the effects of predator attraction and evolutionary processes on the richness of enemy  
597 communities.

598

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607

## 608 **DATA ACCESSIBILITY**

609 Long-term data are available on the Luquillo LTER data website (<http://luq.lternet.edu/data/datacatalog>).  
610

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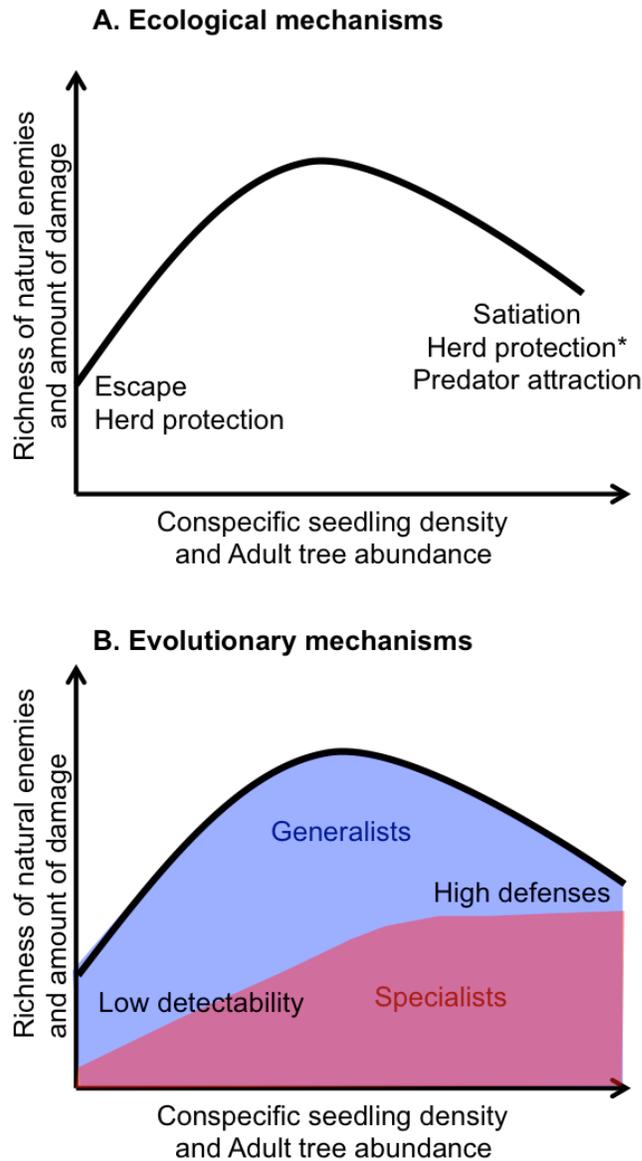
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1 **Table 1.** Hypothesized effects of abiotic and biotic variables on the richness of enemies hosted by individual seedlings.

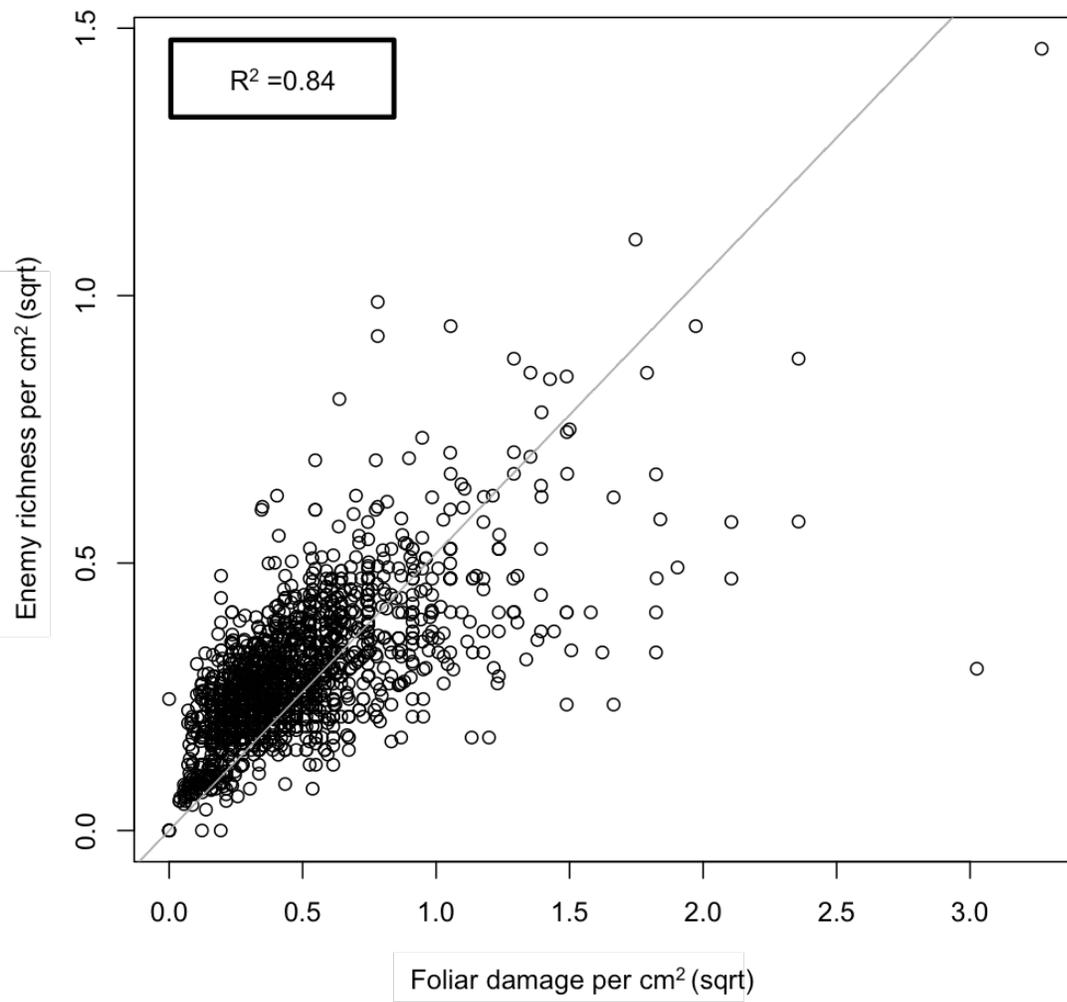
Question	Covariate	Effect	Hypothesized Mechanism	Reference
At the individual level, which abiotic and biotic factors explain variation in the richness of enemies hosted by a tree seedling and in the amount of damage?	Size	+	Increase feeding efficiency of enemies	Garibaldi <i>et al.</i> 2011a; 2011b
	Conspecific adult crowding	+	Adult trees are source of enemies	Janzen 1970; Connell 1971
	Conspecific density	+/-	High density attracts enemies, but ecological and evolutionary processes might result in a hump-shaped relationship (Fig. 1)	Janzen 1970; Connell 1971; Lewis <i>et al.</i> 2010; Ness <i>et al.</i> 2011
	Heterospecific density	+/-	High density attracts generalist enemies but might deter specialists (herd protection, Fig. 1)	Janzen 1970; Connell 1971; Barbosa <i>et al.</i> 2009
	Water flow	+	Via tree quality and enemy physiology	Price <i>et al.</i> 2011
	Light	-	Via tree quality and enemy physiology	Kitajima and Poorter 2010
At the community level, what are the relationships between the richness of above-ground enemies hosted by tree species and tree species abundance, and between foliar damage and tree species abundance?	Sum of basal tree area (conspecific)	+/-	Enemies tend to target common tree species, but ecological and evolutionary processes might result in a hump-shaped relationship (Fig. 1)	Connell <i>et al.</i> 1984 Bachelot and Kobe 2013
	Shade tolerance	-	Shade tolerant species are hypothesized to have higher levels of defense than shade intolerant species	Coley and Barone 1996

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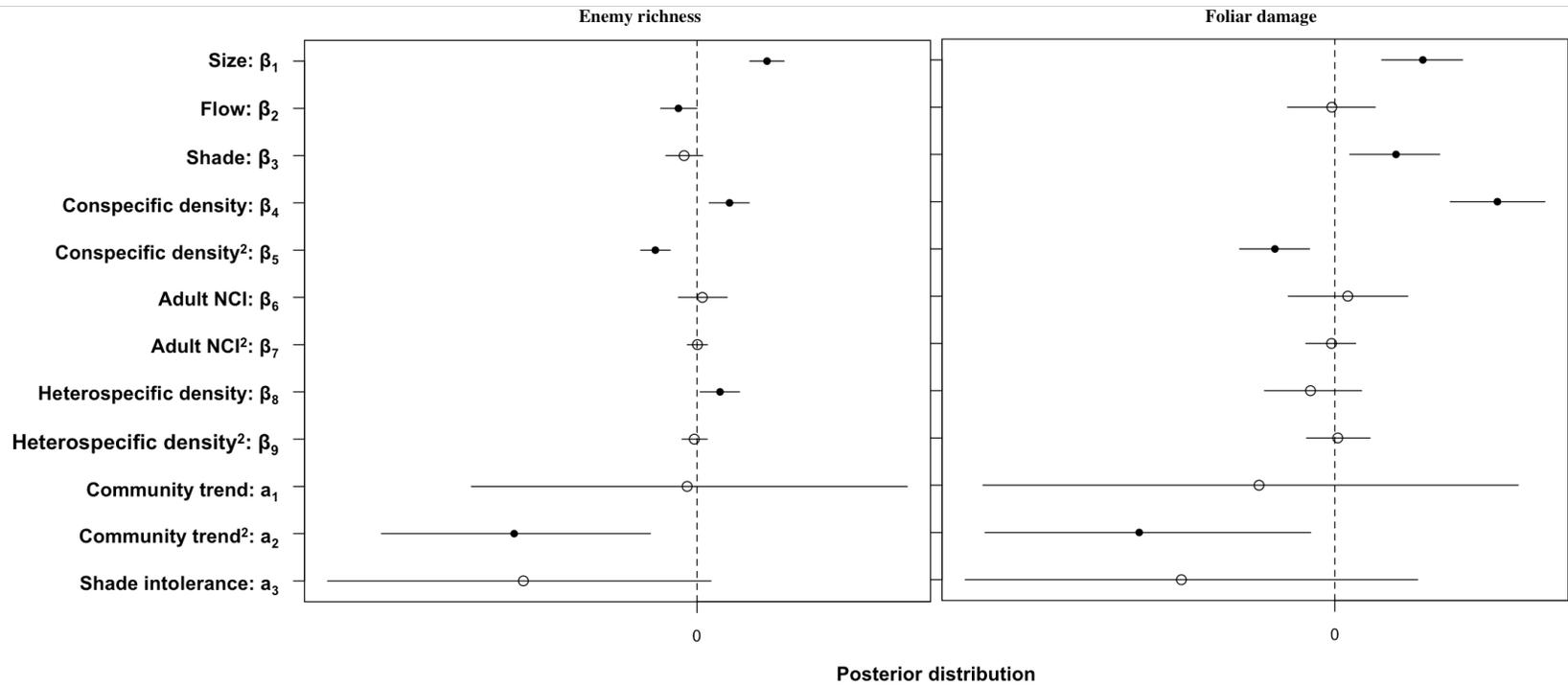
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 916 **Figure 1:** Diagram representing the proposed hump-shaped relationship between enemy richness &  
 917 species abundance due to (A) ecological processes and (B) evolutionary processes. From an ecology  
 918 perspective, seedlings at low conspecific abundance might escape enemies and experience interspecific  
 919 herd protection. In contrast, at high abundance, enemies might satiate or be deterred by their density-  
 920 responsive predators and seedlings might experience intraspecific herd protection (denoted with an asterisk  
 921 against enemies), leading to lower enemy richness. These ecological processes would lead to a high  
 922 richness of enemies at intermediate abundance. From an evolutionary perspective, rare tree species  
 923 only be targeted by generalist enemies (blue) whereas common tree species might be targeted by  
 924 specialist enemies (red). These patterns might result from trade-offs between the costs of searching for  
 925 common or rare tree species versus the fitness benefits gained via specialization. These evolutionary  
 926 processes would also result in a hump-shaped pattern between host abundance and enemy richness  
 927 because host species at intermediate abundance host both generalist and specialist enemies



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929 **Figure 2:** Relationship between foliar damage and richness of above-ground enemies. Regression was

930 significant at  $p < 0.001$ .

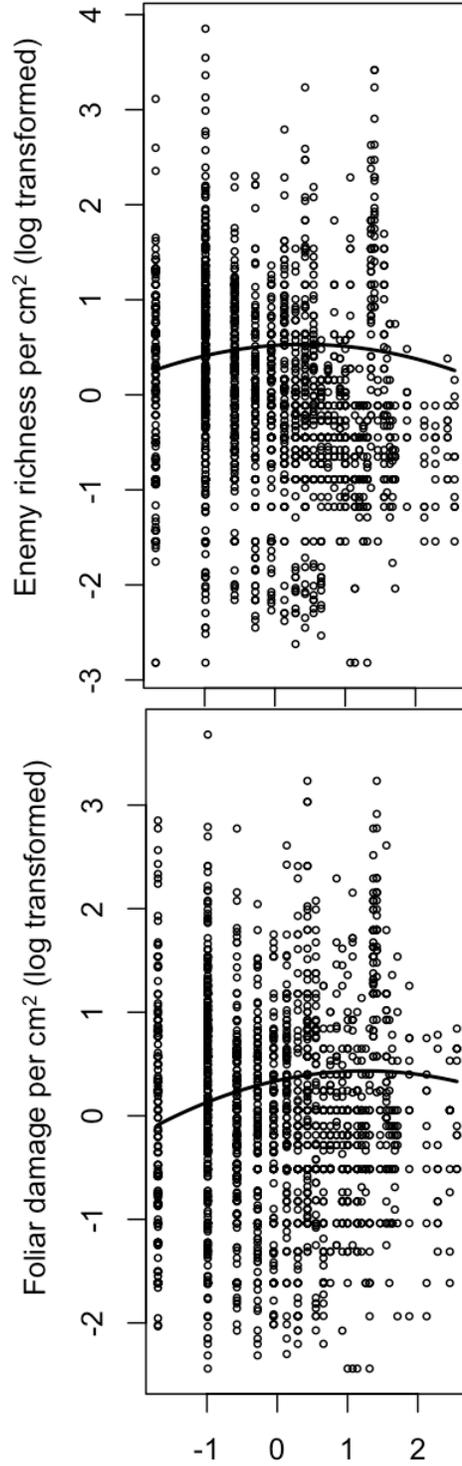
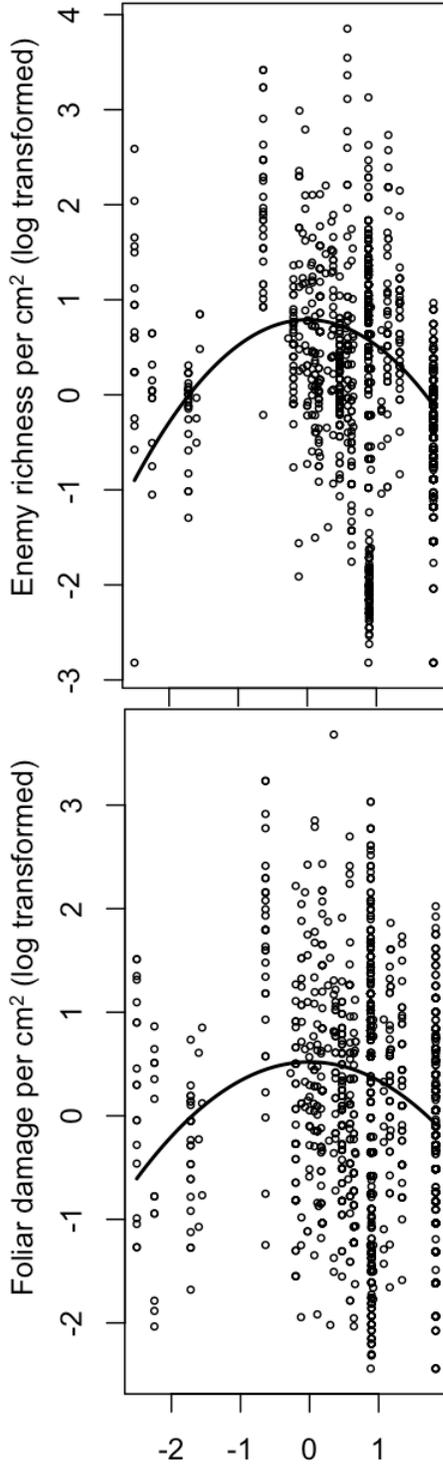


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3 **Figure 3:** Posterior distributions (median and credible intervals) of each parameter of the enemy richness and foliar damage models. Filled

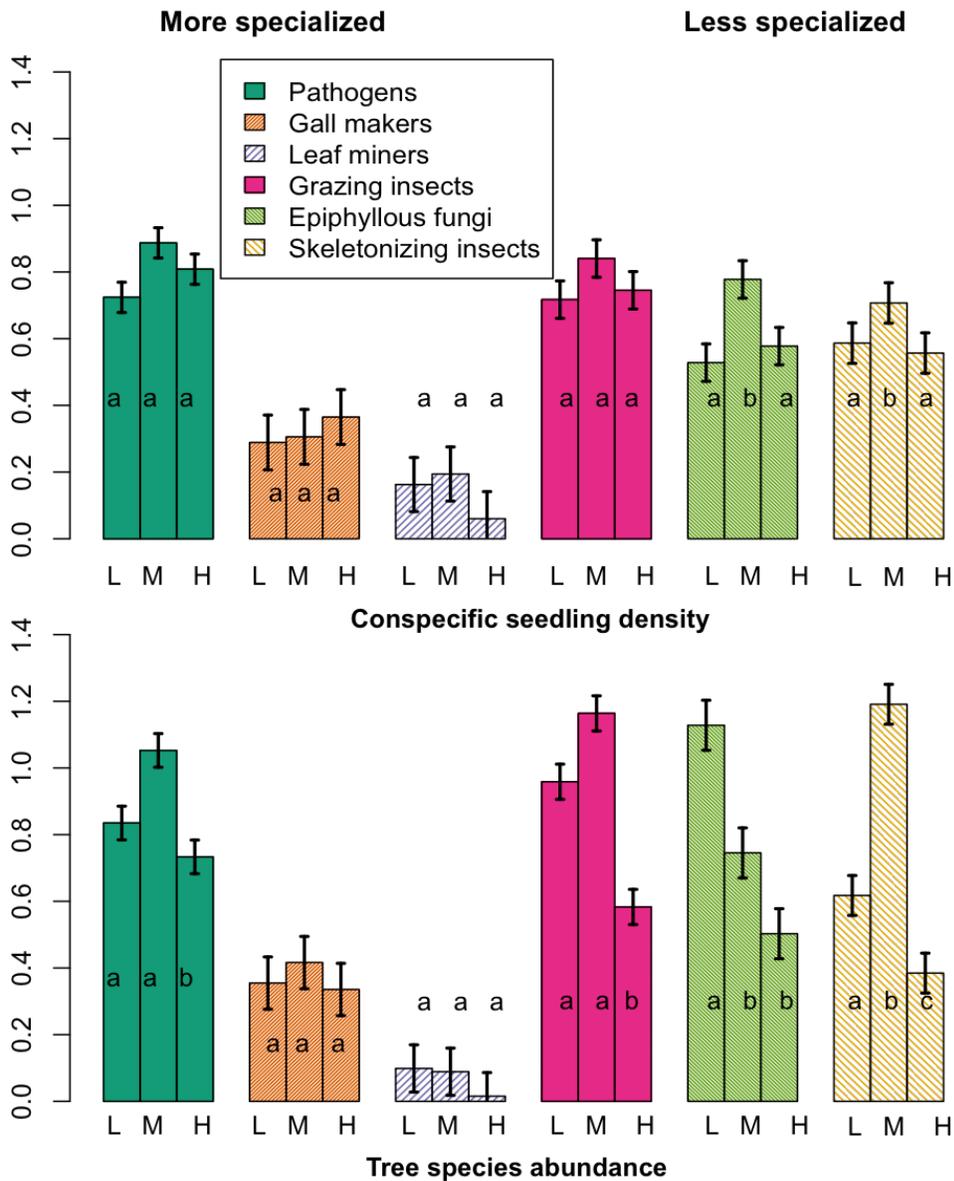
4 symbols mean that the posterior distribution was significantly different from zero.



1 Tree species abundance (log transformed) Conspecific seedling density (log transformed)

2 **Figure 4:** Richness of enemies (per cm<sup>2</sup>) as a function of seedling conspecific density and tree abundance

3 (sum of conspecific basal tree area in the whole plot).



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**Figure 5:** Enemy richness across low (L), medium (M) and high (H) abundance of conspecific seedling density and tree abundance (sum of conspecific basal tree area in the whole plot). These abundance classes were determined using the 15<sup>th</sup>, 42.5<sup>th</sup>, 57.5<sup>th</sup>, and the 85<sup>th</sup> quantiles of the abundance distributions. Low correspond to species with abundances below the 15<sup>th</sup> quantile, medium comprises species falling between the 42.5<sup>rd</sup> and the 57.5<sup>th</sup> quantiles, and high species above the 85<sup>th</sup> quantile. Letters indicate statistically significant differences between abundance groups within each enemy type category.

948 **Supporting Information**

949 **Table S1.** List of the species used in the study with the sample size (N), the mean and standard deviation  
 950 of the above-ground enemy richness (number of enemies per cm<sup>2</sup>), and foliar damage (% of damage per  
 951 cm<sup>2</sup>), the sum of basal tree area (cm), and shade tolerance of the species.

Species	N	Enemy richness mean	Enemy richness sd	Foliar damage mean	Foliar damage sd	BA	Shade tolerance
<i>Alchornea latifolia</i>	2	0.105	0.016	0.117	0.071	29.202	0.941
<i>Andira inermis</i>	1	0.049	0	0.106	0	7.743	0.952
<i>Calophyllum calaba</i>	3	0.054	0.011	0.144	0.13	0.002	0.91
<i>Casearia arborea</i>	11	0.343	0.117	0.583	0.348	2269.489	0.926
<i>Casearia decandra</i>	1	0.05	0	0.201	0	0	1
<i>Casearia sylvestris</i>	4	0.081	0.028	0.195	0.154	220.227	0.954
<i>Cassipourea guianensis</i>	1	0.107	0	0.699	0.791	1.196	0.955
<i>Chionanthus domingensis</i>	7	0.264	0.158	0.818	0	1.831	0.976
<i>Cordia borinquensis</i>	1	0.082	0	0.006	0	28.799	0.882
<i>Cordia sulcata</i>	1	0.018	0	0.248	0	6.733	0.938
<i>Croton poecilanthus</i>	1	0.159	0	0.23	0	2.07	0.98
<i>Dacryodes excelsa</i>	43	0.128	0.058	0.254	0.236	1433.597	0.997
<i>Dendropanax arboreus</i>	1	0.076	0	0.163	0	4.147	0.971
<i>Dolichandra unguis</i>	16	0.162	0.115	1.401	3.141	0	0.948
<i>Drypetes glauca</i>	8	0.157	0.076	1.434	1.388	13.938	0.857
<i>Eugenia domingensis</i>	32	0.35	0.223	0.708	0.464	0.161	0.955
<i>Eugenia stahlia</i>	3	0.253	0.098	0.347	0	20.095	0.993
<i>Faramea occidentalis</i>	1	0.194	0	0.018	0.014	11.744	0.98
<i>Guarea glabra</i>	2	0.012	0.004	0.564	0.605	3.645	0.798
<i>Guarea guidonia</i>	362	0.146	0.073	0.23	0.226	129.648	0.972
<i>Homalium racemosum</i>	4	0.139	0.063	0.024	0.033	33.088	0.96
<i>Inga laurina</i>	89	0.008	0.003	0.242	0.193	291.582	0.918
<i>Inga vera</i>	10	0.108	0.052	0.168	0.101	2.218	0.964
<i>Ixora ferrea</i>	16	0.079	0.029	0.327	0.282	8.962	0
<i>Manilkara bidentata</i>	33	0.113	0.051	0.243	0.177	1093.293	0.986
<i>Matayba domingensis</i>	10	0.609	0.323	1.246	0.959	22.904	0.866
<i>Miconia racemosa</i>	3	0.12	0.019	0.2	0.167	0.004	0.453
<i>Myrcia deflexa</i>	2	0.094	0.044	0.218	0.132	8.918	0.92
<i>Myrcia leptoclada</i>	12	0.251	0.123	0.604	0.43	4.309	0.989
<i>Myrcia splendens</i>	1	0.651	0	0.407	0	3.839	0.94
<i>Ocotea leucoxylon</i>	96	0.076	0.026	0.182	0.165	57.564	0.949
<i>Ocotea sintensis</i>	39	0.103	0.035	0.209	0.298	0.763	0.899
<i>Ormosia krugii</i>	5	0.205	0.091	1.926	1.457	2.798	0.89
<i>Piper glabrescens</i>	24	0.06	0.018	0.113	0.073	0.001	0.916
<i>Piper hispidum</i>	1	0	0	0.037	0	0	0.804

<i>Prestoea montana</i>	836	0.047	0.021	0.142	0.157	37591.37	0.992
<i>Pseudolmedia spuria</i>	2	0.11	0.019	0.172	0.146	4.475	0.992
<i>Psychotria berteriana</i>	18	0.058	0.017	0.111	0.079	17.367	0.852
<i>Psychotria brachiata</i>	7	0.066	0.019	0.137	0.043	13.888	0.959
<i>Psychotria deflexa</i>	13	0.069	0.025	0.139	0.131	0	0.958
<i>Roystonea borinquena</i>	39	0.149	0.062	0.479	0.554	1.662	0.91
<i>Sapium laurocerasus</i>	1	0.047	0	0.047	0	2.565	0.923
<i>Schefflera morototoni</i>	16	0.068	0.033	0.136	0.147	300.091	0.902
<i>Sloanea berteriana</i>	8	0.065	0.029	0.141	0.133	1468.845	0.983
<i>Syzygium jambos</i>	4	0.169	0.068	0.584	0.726	2.964	0.986
<i>Tabebuia heterophylla</i>	42	0.051	0.048	0.095	0.1	59.406	0.94
<i>Tetragastris balsamifera</i>	31	0.093	0.034	0.17	0.18	72.236	0.988
<i>Trichilia pallida</i>	21	0.14	0.068	0.277	0.154	35.728	0.966

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968 **Table S2.** Results of the Bayesian models described in equation 3 and 4 (median follows by the credible  
 969 intervals in parentheses). Bold font indicates the effect was significant.

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Variable	Symbol	Posterior of the enemy richness model	Posterior of the foliar damage model
Size	$\beta_1$	<b>0.11</b> (0.08:0.13)	<b>0.08</b> (0.04:0.12)
Flow	$\beta_2$	<b>-0.03</b> (-0.05:0)	0 (-0.04:0.04)
Shade	$\beta_3$	-0.02 (-0.05:0.01)	<b>0.06</b> (0.01:0.1)
Conspecific	$\beta_4$	<b>0.05</b> (0.02:0.08)	<b>0.15</b> (0.11:0.19)
Conspecific <sup>2</sup>	$\beta_5$	<b>-0.06</b> (-0.08:-0.04)	<b>-0.06</b> (-0.09:-0.02)
NCI	$\beta_6$	0.01 (-0.03:0.05)	0.01 (-0.04:0.07)
NCI <sup>2</sup>	$\beta_7$	0 (-0.01:0.02)	0 (-0.03:0.02)
Heterospecific	$\beta_8$	<b>0.03</b> (0:0.06)	-0.02 (-0.06:0.02)
Heterospecific <sup>2</sup>	$\beta_9$	0 (-0.02:0.02)	0 (-0.03:0.03)
Richness average	$a_0$	<b>0.79</b> (0.46:1.11)	<b>0.52</b> (0.27:0.78)
BA	$a_1$	-0.01 (-0.34:0.32)	-0.07 (-0.32:0.17)
BA <sup>2</sup>	$a_2$	<b>-0.27</b> (-0.47:-0.07)	<b>-0.18</b> (-0.32:-0.02)
Shade intolerance	$a_3$	-0.26 (-0.55:0.02)	-0.14 (-0.34:0.08)
Species deviation	$\varepsilon$	<b>0.98</b> (0.81:1.2)	<b>0.73</b> (0.71:0.75)
Plot deviation	$\gamma_p$	<b>0.14</b> (0.11:0.17)	<b>0.67</b> (0.54:0.83)
Richness deviation	$\pi_{richness}$	<b>0.53</b> (0.51:0.54)	<b>0.24</b> (0.2:0.29)

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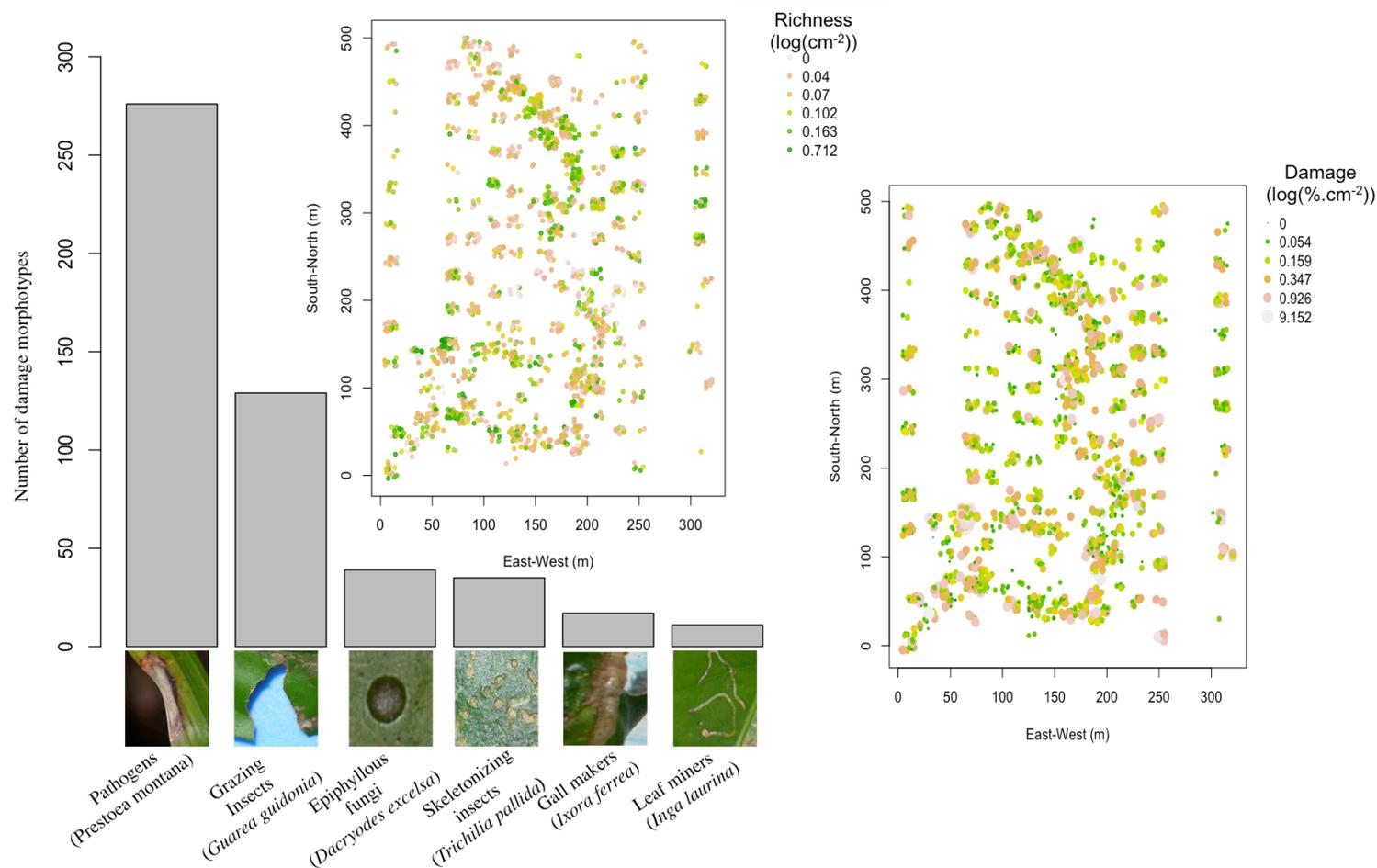
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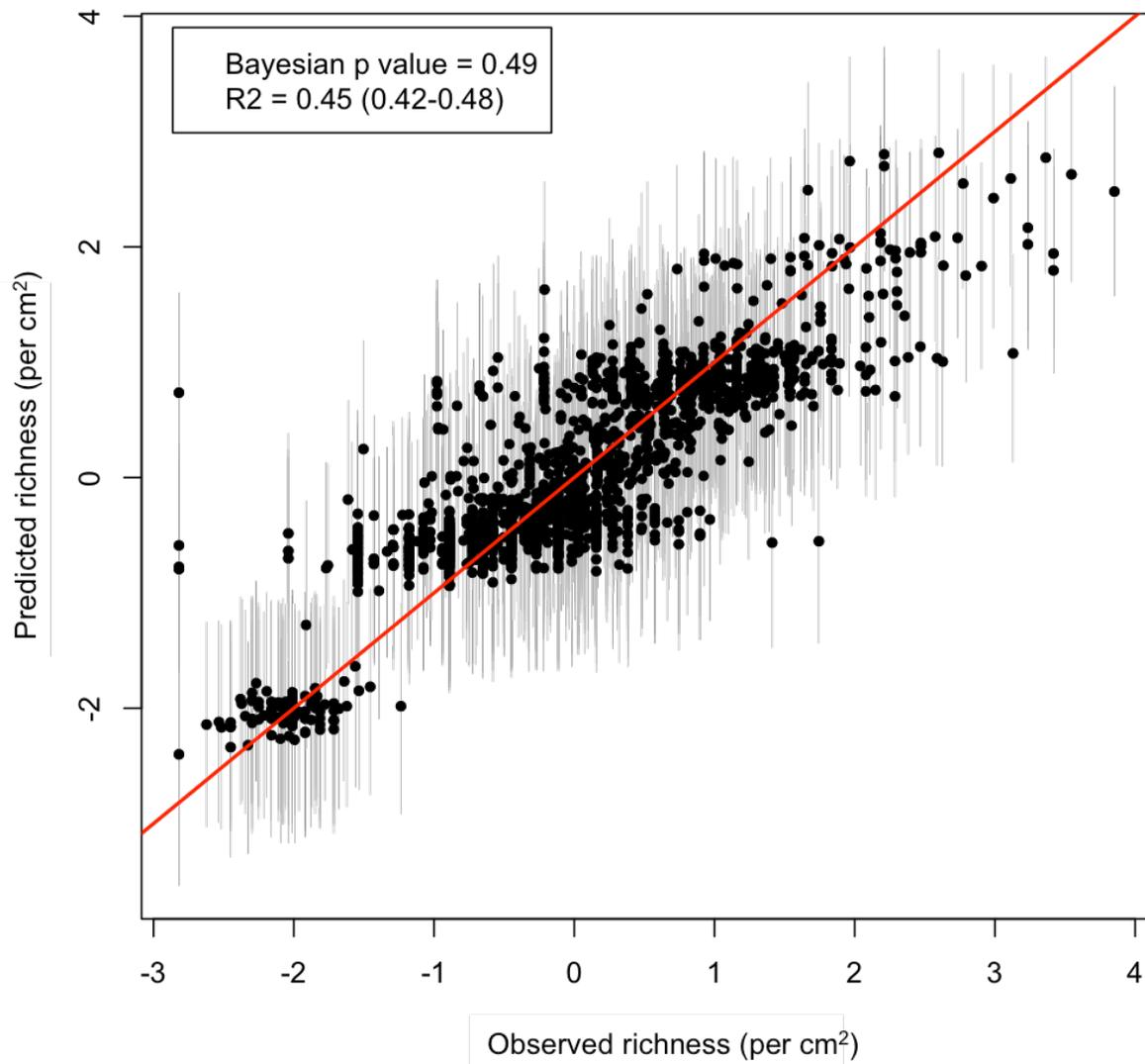
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2 **Figure S1**, Spatial map of the richness (per cm<sup>2</sup>) of enemy found on individual seedlings and of the amount of leaf damage (% per cm<sup>2</sup>) found on  
 3 each seedling. The histogram depicts the richness of above-ground enemies organized into 5 categories, pathogens, grazing insects, epiphyllous  
 4 fungi, skeletonizing insects, leaf miners, and gall makers. Names of the tree species used as examples of damage are indicated in parentheses.



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3 **Figure S2**, Predicted richness (per cm<sup>2</sup>) versus the observed richness of enemies. The red line represent  
4 the 1,1 line, and the grey lines extend to the credible intervals of each prediction.

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