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**‘GROWTH RINGS’ IN CRUSTOSE LICHENS: COMPARISON WITH  
DIRECTLY MEASURED GROWTH RATES AND IMPLICATIONS FOR  
LICHENOMETRY**

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23 **Abstract**

24

25 Some species of crustose lichens, such as *Ochrolechia parella* (L.) Massal., exhibit  
26 concentric marginal rings, which may represent an alternative technique of measuring  
27 growth rates and potentially, a new lichenometric dating method. To examine this  
28 hypothesis, the agreement and correlation between ring widths and directly measured  
29 annual radial growth rates (RaGR, mm a<sup>-1</sup>) were studied in 24 thalli of *O. parella* in  
30 north Wales, UK, using digital photography and image analysis. Variation in ring  
31 width was observed at different locations around a thallus, between thalli, and from  
32 year to year. The best agreement and correlation between ring width and lichen  
33 growth rates was between mean width of the outer two rings (measured in 2011) and  
34 mean RaGR (in 2009/10). The *O. parella* data suggest that mean width of the  
35 youngest two growth rings, averaged over a sample of thalli, is a predictor of recent  
36 growth rates and therefore could be used in lichenometry. Potential applications  
37 include: as a convenient method of comparing lichen growth rates on surfaces in  
38 different environmental settings; and as an alternative method of constructing lichen  
39 growth-rate curves, without having to revisit the same lichen thalli over many years.  
40 However, care is needed when using growth rings to estimate growth rates as: growth  
41 ring widths may not be stable; ring widths exhibit spatial and temporal variation; rings  
42 may not represent 1-year's growth in all thalli; and adjacent rings may not always  
43 represent successive year's growth.

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45 Key Words: *Ochrolechia parella* (L.) Massal., Marginal growth ring, Radial growth  
46 rate (RaGR), Annual variation, Lichenometry

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51 **1. Introduction**

52

53 Lichenometry has been used as a dating technique by earth scientists for more than 50  
54 years. However, its usefulness and validity have been subjected to intense scrutiny.  
55 Recent studies have shown both the potential power and questionability of  
56 lichenometry as a surface-exposure dating technique. For example, diligent lichen-  
57 population studies – involving measurement and statistical analysis of several  
58 thousand thalli growing on coeval surfaces – have greatly increased our understanding  
59 of crustose lichen growth history, mortality, and longevity (Loso *et al.*, 2014) and  
60 expanded the opportunities for surface dating applications. In stark contrast, others  
61 have taken a highly critical view of the technique, either by highlighting the apparent  
62 inaccuracy, imprecision, and unreliability of the ages derived (e.g. Jomelli *et al.*,  
63 2007); or, more recently, by strongly questioning the validity of the technique at a  
64 fundamental level (Osborn *et al.*, 2015).

65

66 Nevertheless, there are many good reasons why the size of lichens (and some  
67 bryophytes) growing on stone surfaces can shed useful, sometimes unique,  
68 information on the exposure age (and history) of a surface. In fact, the very reasons  
69 why Knut Faegri, Roland Beschel, James Benedict, and many other early pioneers of  
70 the lichenometric technique found it so useful in the 1930s, 1950s and 1960s – and  
71 why so many still do today (e.g. McEwen & Matthews, 2013; Bull, 2014; Foulds *et*  
72 *al.*, 2014). The fact that the monotonous slow growth of lichens can be measured  
73 (directly over time) or inferred (from surfaces of known age), allows the use of certain  
74 lichens as a form of biological chronometer – an environmental surrogate for the  
75 passage of time. In this article we explore a little studied but potentially valuable  
76 branch of lichenometry, viz. the use of marginal growth rings to estimate lichen  
77 growth rates and lichen age.

78

79 Some crustose lichens, including species of *Ochrolechia*, *Rhizocarpon*, and *Fuscidea*  
80 have concentric ‘rings’ at the margin of the thallus (Fig 1). Within a ring, alternating  
81 light and dark bands are often evident. The biological origin of the bands has not been  
82 established but the lighter bands appear to represent relatively rapid growth in  
83 summer while the narrow darker bands more suppressed or truncated growth in winter

84 (Hale, 1973; Hooker, 1980; Armstrong and Bradwell, 2010). The more growth is  
85 suppressed in winter, the more evident the dark band appears to be and the clearer the  
86 growth rings. This observation implies that rings may be more prominent in species  
87 growing in more seasonal or more stressful climatic conditions. If each complete  
88 light/dark couplet or 'ring' represented a single year's growth, growth patterns could  
89 be traced back a number of years in some thalli. Lichen growth rings could therefore  
90 provide a potentially new *in situ* lichenometric method of determining the growth rate  
91 and, hence, approximating the age of lichen thalli (e.g. Armstrong, 1983; 2005a;  
92 2005b, 2014; McCarthy, 2003). In short, if intra-thallus growth rings could be used to  
93 infer lichen growth rates – akin to tree rings ('dendrochronology') – they may serve as  
94 an independent measure of lichen age, provide constraint on site-specific and  
95 between-site growth rates, and possibly help to restore trust in the lichenometric  
96 technique more generally.

97

98 In a preliminary study, the widths of successive marginal rings in 25 thalli of  
99 *Ochrolechia parella* (L.) Massal. (syn. *O. pallescens* auct. brit. p.p.), growing at a  
100 maritime site in north Wales, UK, were measured (Armstrong and Bradwell, 2010).  
101 Between 3 and 7 rings were frequently present at the margin, with ring width  
102 generally varying from 1 – 2 mm, consistent with yearly variation in radial growth  
103 rate (RaGR) reported in studies by direct measurement (Phillips, 1969; Armstrong,  
104 2005a; 2006). In addition, the same preliminary study explored the potential for using  
105 marginal growth rings to estimate the age of a crustose lichen thallus growing on a  
106 bedrock surface exposed by glacier recession between AD 1945 and 1955 in SE  
107 Iceland (Armstrong and Bradwell, 2010). . A minimum exposure age estimate of AD  
108 1959 ±5, consistent with the known surface age, was obtained by measurement of its  
109 marginal rings and a simple 'growth rate' extrapolation.

110

111 However, in a study of lichen growth rates on the South Orkney Islands in the  
112 maritime Antarctic, Hooker (1980) emphasized caution in the use of lichen rings to  
113 estimate growth rates. Hence, in *Buellia russa* (Hue.) Darb., rings were present only  
114 in the non-lichenised hypothallus but each concentric ring did represent one year's  
115 growth. By contrast, in *Buellia coniops* (Wahlenb.) Th. Fr. and *Caloplaca*  
116 *cirrochrooides* (Vainio) Zahbr., 'pseudoannual rings' were present in which each new

117 ring that developed appeared to represent two or more growing seasons. The rings in  
118 *Caloplaca* were also not as distinct as those of other species and no new marginal  
119 rings appeared to form during two subsequent growing seasons (Hooker, 1980).  
120 Hence, further research is clearly needed before marginal zonation or rings can be  
121 used as a reliable measure of lichen growth rate and longevity.

122

123 For intra-thallus rings to be of optimal use in lichenometry, they would need to be  
124 distinct; stable from year to year; easily measurable; consistently represent one year's  
125 growth; and show close agreement and correlation with directly measured RaGR.  
126 Hence, to examine the feasibility of using marginal zonation rings as an estimate of  
127 lichen growth, the degree of agreement and correlation between growth estimated  
128 from rings and by direct measurement of RaGR was studied in a sample of 24 thalli of  
129 *O. parella* growing in a maritime environment in north Wales, UK. The other  
130 principle objectives of the study were to determine: (1) whether the rings were  
131 detectable and easily measurable, (2) whether rings were stable from year to year, (3)  
132 whether successive growth rings represented a consecutive series of 1-year growth  
133 increments, and (4) the degree of agreement and correlation between rings and  
134 directly measured RaGR.

135

## 136 **2. Materials and Methods**

137

### 138 *2.1 Site*

139

140 The study site was a series of south-facing maritime rock surfaces located in the Dyfi  
141 estuary at 'Picnic island,' Aberdyfi, north Wales, UK (Grid Ref. SN 6196) in an area  
142 of Ordovician slate rock described previously (Armstrong, 1974). These surfaces  
143 possess a rich lichen flora characteristic of maritime siliceous rock in the west of the  
144 UK (James *et al.*, 1977), have a high proportion of crustose species (Armstrong,  
145 1974), and include a large population of *O. parella* with marginal rings (Armstrong,  
146 1974; Armstrong and Bradwell, 2010). *O. parella* is a relatively common lichen and is  
147 member of several different communities in north Wales, including those on north-  
148 and south-facing rock surfaces, and rocks with steep or shallow surface slopes. It is a  
149 potentially useful species for lichenometric dating studies.

150

## 151 2.2 Measurement of rings

152

153 The concentric marginal rings of 24 randomly-selected thalli of *O. parella*, with  
154 largest diameters 18 – 118 mm were studied. Each thallus was photographed in its  
155 entirety using a Canon IXUS 70 digital camera (7.1 Megapixels, Focal length 5.8 –  
156 17.4 mm, Closest focusing distance 30 mm), which incorporates a x12 zoom lens,  
157 providing a particularly clear image of the rings (Fig 2a). A scale measure marked in  
158 mm was placed adjacent to each thallus. Width of each distinct growth ring was  
159 measured using 'Image J' software developed by the National Institute of Health,  
160 Bethesda, USA (Syed *et al.*, 2000; Girish and Vijayalakshmi, 2004) and available as a  
161 free download. The width of each easily identifiable marginal ring was measured at  
162 five randomly selected points around each thallus, using the outer edge of each dark  
163 band as a baseline, and then averaged. Rings were measured in three successive years,  
164 viz. at the beginning of January 2009, 2010, and 2011. Rings were numbered from the  
165 edge towards the centre of the thalli and also identified according to year of  
166 measurement, i.e., ring 10.3 would identify the third ring from the margin measured in  
167 2010.

168

## 169 2.3 Measurement of growth

170

171 To measure RaGR of each thallus, the advance of the thallus margin was measured in  
172 relation to fixed points marked on the rock located at 1 mm intervals from the thallus  
173 edge (Hale, 1970; Armstrong 1973; 1975; 2013). Between eight and ten randomly  
174 chosen locations were measured around each thallus. Growth increments were  
175 measured with 'Image J' software using the method described previously (Armstrong,  
176 2013, 2014). Hence, each lichen image was magnified to clearly reveal the fixed  
177 markers and the scale measure. The image was then calibrated using the scale  
178 measure and the distance from the margin to the fixed marker measured. Subsequent  
179 measurements of these distances were made from photographs taken on 1, January  
180 2009, 2010, and 2011 enabling estimates of RaGR ( $\text{mm a}^{-1}$ ), averaged over all thallus  
181 locations, to be made for each thallus in 2009 and 2010.

182

## 183 2.4 Data analysis

184

185 Comparisons of mean RaGR and ring width were performed using 't' tests.  
186 Correlations between the width of concentric rings and directly measured RaGR were  
187 studied using Pearson's correlation coefficient ('r') and regression methods  
188 (Armstrong and Hilton, 2011). For this analysis, thalli exhibiting either zero growth  
189 during the period under study or in which rings were indistinct or lost were excluded.  
190 Correlation is not the same as 'agreement', i.e., two quantities may be highly  
191 correlated but not agree in the quantity that they estimate. Hence, the extent of the  
192 'agreement' between the two measures of growth in individual thalli was assessed  
193 using the Bland and Altman graphical method (Bland and Altman, 1986; 1996). This  
194 method measures by how much the results obtained using two methods differ and  
195 how far apart the two estimates of growth should be before there is significant  
196 'disagreement'. The essential feature of a Bland/Altman plot is that the two estimates  
197 of growth, from marginal rings and direct measurement, are subtracted for each  
198 thallus and these differences are plotted against the mean of the two measurements.  
199 The 'mean difference' averaged over thalli, is known as the degree of 'bias' and is the  
200 central 'bias line' on a Bland/Altman plot. Either side of the bias line are plotted the  
201 95% confidence intervals (CI) in which 95% of the differences in growth as estimated  
202 by the two methods for the sample of thalli would be expected to fall.

203

## 204 3. Results

205

206 Fig 2a shows three concentric rings of *O. parella* measured in 2009 which are  
207 relatively distinct over at least part of the thallus. Fig 2b shows rings in more detail  
208 revealing the characteristic narrow dark and wider light bands. In addition, further  
209 more subtle, banding is evident especially within the first ring. Fig 2c and 2d show the  
210 same rings observed in 2009 and 2011, suggesting that the first two rings had largely  
211 disappeared over this period as a result of marginal erosion.

212

213 The number and mean widths of the concentric rings in each of the three years in all  
214 thalli are summarized in Table 1. Thalli exhibited between one and six rings in 2009

215 (mean = 3.7, SD = 1.37), between one and seven rings in 2010 (mean = 3.7, SD =  
216 1.59), and between two and seven rings in 2011 (mean 3.8, SD = 1.21). Comparisons  
217 between successive years suggested that some rings clearly visible in 2009 had  
218 become indistinct or had disappeared by 2011 in 6/24 and 3/24 thalli respectively. In  
219 some thalli, marginal erosion resulted in the loss of rings followed by the  
220 development of a new ring at the newly exposed thallus edge. Mean width of all rings  
221 was in the range 0.48 – 0.77 mm (Standard deviation = 0.41 – 0.68), mean width in  
222 2011 being less than in 2009/2010. The frequency distribution of ring number did not  
223 exhibit significant skew or kurtosis in any year but the distribution of ring widths was  
224 markedly asymmetric with a significant degree of skew in each of the three years  
225 studied. A complete new ring with light and dark bands was observed to develop in  
226 one or both years in eight thalli, while in the remainder, a partial ring or a complete  
227 ring plus part of a new ring were formed.

228

229 Spatial and temporal variations in marginal ring width around an individual thallus  
230 are shown in Fig 3. Several sources of variation in width are evident: (1) within a  
231 single ring at different locations around the thallus, as indicated by the large standard  
232 deviations, (2) between successive rings within a thallus, e.g., between rings 9.2 and  
233 9.3 and (c) between the same ring measured in successive years, e.g., between rings  
234 9.2 and 10.3, suggesting rings may continue to increase in width after the year of  
235 formation.

236

237 A summary of thalli RaGR measurements is shown in Table 2. Mean RaGR was 0.44  
238 mm a<sup>-1</sup> (range 0 -1.08, Standard deviation = 0.32) in 2009 and 0.34 mm a<sup>-1</sup> (range 0 –  
239 1.16, SD = 0.31) in 2010. There was no significant differences in RaGR measured in  
240 the two years (Paired 't' = 0.81, P > 0.05).

241

242 The correlation between the number and width of rings and thallus size is shown in  
243 Table 3. There were no significant correlations between the number of rings present  
244 and thallus diameter in any of the three years studied (r = 0.15 – 0.34, P > 0.05).  
245 However, there was a significant positive correlation between ring width and thallus  
246 diameter for the average of all rings (r = 0.50, P < 0.01) and for the average of the first  
247 two rings (r = 0.57, P < 0.01) suggesting increasing growth rates with size. Directly



248 measured RaGR also increased with thallus diameter but exhibited a weaker  
249 relationship with size than the growth rings ( $r = 0.43$ ,  $P < 0.05$ ).

250

251 Comparison and correlation between growth means derived from marginal rings and  
252 direct measurement are shown in Table 4. There was no significant difference in  
253 RaGR and ring widths when comparing RaGR in 2009 and width of the first ring in  
254 2010 (10.1) ( $t = -0.01$ ,  $P > 0.05$ ), RaGR in 2010 and width of ring 11.1 ( $t = 0.24$ ,  $P >$   
255  $0.05$ ), between RaGR in 2009 and mean width of rings 11.1 and 11.2 ( $t = 0.15$ ,  $P >$   
256  $0.05$ ), and between mean RaGR in 2009/10 and mean width of rings 11.1 and 11.2 ( $t$   
257  $= -0.53$ ,  $P > 0.05$ ) suggesting good agreement between these estimates. However,  
258 there was a significant difference between mean RaGR in 2009/10 and mean width of  
259 all rings present ( $t = 3.01$ ,  $P < 0.01$ ). There was no significant correlation between  
260 mean RaGR in 2009/10, and the average width of all rings present ( $r = 0.39$ ,  $P > 0.05$ )  
261 and there were no significant correlations between RaGR in either 2009 ( $r = 0.39$ ,  $P >$   
262  $0.05$ ) and 2010 ( $r = 0.24$ ,  $P > 0.05$ ) and width of the most recent ring. However, the  
263 best combination of agreement and correlation was between mean RaGR in 2009/10  
264 and the mean width of rings 11.1 and 11.2 ( $r = 0.60$ ,  $P < 0.05$ ). Fig 4 shows the linear  
265 correlation between mean RaGR in 2009/10 and the mean width of rings 11.1 and  
266 11.2 revealing, despite the significant correlation, a considerable degree of scatter  
267 about the line.

268

269 A Bland and Altman plot of the same data shown in Fig 5 indicates the degree of  
270 agreement/disagreement between the measures of ring width and growth. The bias  
271 line is located at 0.02 indicating that averaged over all 15 thalli included in this  
272 analysis, width of the most recent rings and actual growth measurements are  
273 estimating essentially the same quantity. However, the degree of error for individual  
274 thalli is large, the 95% confidence intervals being  $\pm 0.48$  mm. Eight out of 15 thalli  
275 were located fairly close to the bias line (within 0.2 mm) suggesting good agreement  
276 between the two methods. In addition, there were a further seven thalli in which  
277 agreement was weaker; in three of these thalli ring widths overestimated growth  
278 compared with RaGR and in four thalli ring widths underestimated growth. Hence,  
279 averaged over thalli, the two methods show close agreement, but agreement is poor  
280 for an individual thallus.

281

#### 282 **4. Discussion**

283

284 Lichens are a potentially valuable dating tool for geoscientists and archaeologists.  
285 However, the validity of lichenometry as a geochronological technique has been the  
286 subject of intense criticism on a number of grounds (e.g. Jochimsen, 1973; McCarthy,  
287 1999; Osborn *et al.*, 2015). Although the most recent critical review focuses on  
288 existing techniques (use of the largest, or several largest, lichens; calibration curves;  
289 and non-reproducibility of lichenometric ages) the arguments presented by Osborn *et*  
290 *al.* (2015) may serve to undermine trust in lichenometric dating more generally. The  
291 following discussion explores a potential new branch of the lichenometric technique  
292 using marginal growth rings as an independent measure of lichen growth rate and  
293 potentially lichen age; and also explores the possible usefulness of lichen growth rings  
294 in lichenometric dating.

295

296 Marginal zonation or concentric ‘growth’ rings have been reported in many crustose  
297 lichen species from several genera (e.g. Hale, 1973; Hooker, 1980; Benedict, 1990)  
298 but as yet have not been used in lichenometry to estimate the age of lichen thalli or  
299 derive the exposure age of rock surfaces. If marginal ‘growth’ rings were found to be  
300 demonstrably annual – and therefore be deemed a reliable measure of radial growth  
301 from year to year – certain lichen species could provide a potentially valuable,  
302 previously unexploited, lichenometric dating tool. We have explored the fundamental  
303 premise of this potentially new lichenometric method by examining the relationship  
304 between marginal ‘growth’ ring widths and directly measured growth rates over a  
305 period of years.

306

307 Data are presented on the widths of marginal rings within a sample of the common  
308 crustose lichen thalli (*O. parella*) growing at a maritime site in north Wales which are  
309 then compared with directly measured growth rates. In this population, a high  
310 proportion of thalli exhibited at least two distinct growth rings while a smaller  
311 number of thalli exhibited four or more rings. These data agree with those of  
312 Armstrong and Bradwell (2010) suggesting that averaging marginal rings is a possible  
313 alternative method of studying the growth of crustose lichens.

314

315 The number of rings present in thalli appears to be independent of thallus size  
316 probably because rings are only clearly evident at the margin of the thalli. However,  
317 there was a (statistically?) significant increase in ring width with thallus size which  
318 suggests increased growth rates in larger thalli. Various growth models have been  
319 proposed for the shape of the growth curve of crustose lichens. Hence, Proctor (1977)  
320 studied the growth curve of the placodioid species *Buellia (Diploicia) canescens*  
321 (Dicks.) DNot. It was assumed that RaGR was proportional to an area of thallus in an  
322 annulus of constant width within the growing margin and that the shape of the growth  
323 curve was essentially asymptotic. By contrast, a number of studies (Armstrong, 1983;  
324 Haworth, et al., 1986; Bradwell and Armstrong, 2007) have suggested that in  
325 *Rhizocarpon geographicum* (L.) DC., the growth curve is not asymptotic, but  
326 approximates to a second-order (parabolic) curve: RaGR increasing in smaller thalli  
327 to a maximum and then declining in larger thalli. However, Trenbith and Matthews  
328 (2010) have proposed several models for the growth curve of *R. geographicum*  
329 including models in which growth increases with size, as in *O. parella*, remains  
330 relatively constant or is parabolic with a declining phase. The present preliminary data  
331 provide no evidence for a declining phase of growth in *O. parella*, instead growth  
332 seems to be slow in individuals 20-40 mm in diameter and then increases rapidly in  
333 individuals greater than about 40 mm in diameter. The relationship between ring  
334 widths and thallus diameter suggests that rings measured over a sample of thalli of  
335 different size could be used to rapidly construct an age-size curve for certain crustose  
336 lichens and therefore constitute an alternative method of direct lichenometry –  
337 without the need to re-measure thalli over periods of many years (Trenbith and  
338 Matthews, 2010, Armstrong 2014)

339

340 Directly measured annual radial growth of lichen thalli over 2 years at the study site  
341 was found, in most cases, to equate to marginal ring widths over the same time period  
342 – showing that marginal rings in *Ochrolechia parella* are generally a good proxy for  
343 growth rate. In this crustose species, the best combination of agreement and  
344 correlation was achieved between the widths of the outer two rings measured in 2011  
345 and mean RaGR over the previous two years. Agreement and correlation was poor,  
346 however, when all visible rings were included probably because either growth in

347 earlier years was distinctly different from that measured in 2009 and 2010 or possibly  
348 because of subsequent changes in width of older rings. Poor agreement and  
349 correlation at the level of an individual thallus, could be attributable to errors in  
350 identifying and measuring rings, changes in ring morphology after they were formed,  
351 uncertainties in the measurement of RaGR, or intrinsic variation among thalli  
352 regarding the extent to which a single ring actually represents a single year's growth  
353 (Hooker, 1980). This problem, together with the observation that some thalli of *O.*  
354 *parella* exhibited zero growth or even marginal erosion over the period of the study,  
355 suggests a relatively large number of lichens, probably at least 20-30 thalli, should be  
356 used in studies using growth rings to estimate growth rates.

357

358 In addition to these findings, this study has highlighted several problems that should  
359 be taken into account when attempting to use marginal rings to estimate growth rates  
360 in any lichen population. First, there can be problems in identifying and measuring the  
361 rings. For instance, rings were clearly evident and measurable at the thallus margin  
362 but were increasingly obscured and difficult to measure behind the margin as a result  
363 of variable amounts of growth in thickness causing thalli to 'wrinkle', and then  
364 become further obscured by the formation of reproductive structures (apothecia) in the  
365 centre (Fig 2a). In addition, the dark band, which may represent winter growth and  
366 which was used as a baseline to measure each ring, was not always distinct enough to  
367 be clearly identified in all thalli. Additional sub-mm banding was often evident within  
368 a ring, which may represent intra-annual (seasonal) variations in growth (Rydzak,  
369 1961; Hale, 1970; Armstrong, 1993; Lawrey and Hale, 1971; Fisher and Proctor,  
370 1975; Moxham, 1981; Benedict, 1990), making 'annual' ring identification difficult  
371 in some thalli. It is also easier to identify and measure rings of larger than smaller  
372 thalli, the rings being narrower and more crowded together in smaller thalli.  
373 Identification of tree rings can also be complex in dendrochronology (Fritts, 1976)  
374 with optical magnification often used in such studies (Jomelli *et al.*, 2012). In the  
375 present study, the lichen rings were easy to identify on digital photographic images,  
376 and can be magnified on screen to the required extent, making them easy to measure  
377 using computer software (Armstrong and Bradwell, 2010; Armstrong, 2013; 2014).

378

379 Second, the width of a ring varied at different locations around thalli, which was also  
380 observed in the study by Armstrong and Bradwell (2010), and is consistent with  
381 peripheral growth variations observed in many studies (Armstrong and Smith, 1992;  
382 Armstrong and Bradwell, 2001; 2011). Consequently, in measuring ring width, a  
383 mean of several measurements, between 5 and 10, should be taken at random  
384 locations around each thallus.

385

386 Third, rings varied in measured width in successive years, some rings expanding  
387 while others appearing to slightly contract. These variations could result from further  
388 growth or contraction behind the margin attributable to wrinkling (Hale, 1970).  
389 Hence, growth does not appear to cease at the end of a growth year which therefore  
390 contrasts with dendrochronology in which tree rings exhibit ‘annual termination’  
391 (Fritts, 1976). This could be one explanation for the relatively poor correlation  
392 between RaGR and ring width in lichens.

393

394 Fourth, there is considerable variation in width of ‘analogous’ rings in different thalli.  
395 These results also suggest that local differences in microclimate over the rock surface,  
396 e.g., associated with aspect (Armstrong, 1975; 2002; 2005a), slope, or  
397 microtopographical variations, could influence ring width and should be investigated  
398 further (Armstrong, 2014).

399

400 Fifth, the margins of some thalli became eroded over the study period resulting in loss  
401 of rings. Marginal erosion has been observed in many crustose lichens including  
402 *Rhizocarpon geographicum* (L.) DC, in north Wales (Armstrong and Smith, 1987)  
403 and in the north Cascades, Washington state (Armstrong, 2005a) and may be caused  
404 by environmental stress and/or competition. Marginal erosion in lichens is usually  
405 followed by regrowth (Armstrong and Smith, 1987). Hence, in some thalli of *O.*  
406 *parella*, the margin was eroded back to an earlier ring and then a new ring was formed  
407 as growth resumed at the new location. These observations suggest that a series of  
408 successive rings may not always necessarily represent consecutive growth increments  
409 and caution is therefore required in identifying ‘analogous’ rings in different thalli.

410

411 A further problem in using marginal rings as a measure of growth is determining what  
412 each marginal ring actually represents. Early studies suggested that the lighter bands  
413 represented rapid summer growth and the narrow dark bands growth in winter (Hale,  
414 1973) and therefore, that each 'ring' represented a single year's growth. In eight thalli,  
415 one complete growth ring did appear to be formed in a single year. In the remaining  
416 (16) thalli, however, either an incomplete ring or a complete ring and part of a second  
417 ring were formed in a single year. The factors responsible for these variations are  
418 currently unknown and require investigation. Hence, these data agree with the study  
419 by Hooker (1980), who identified a more complex relationship between marginal  
420 rings and growth. Hence, we would recommend that in any proposed lichenometric  
421 study of lichen growth or growth rings, each new species will need to be calibrated  
422 against *in situ* directly measured growth rates using a sample of at least 20 – 30 thalli.

423

#### 424 **Implications for lichenometry**

425

426 Not all crustose lichens have growth rings but marginal rings have now been recorded  
427 in sufficient species from several genera to make them potentially useful in  
428 lichenometry (e.g. including *Rhizocarpon*, *Ochrolechia*, *Pertusaria*, *Fuscidea*,  
429 *Buellia*, and *Caloplaca* (Hale, 1973; Hooker, 1980; Armstrong and Bradwell, 2010))  
430 Where lichen growth rings are present and suitably calibrated, they may offer a  
431 number of potential applications for lichenometric dating work. Primarily, growth  
432 rings provide an alternative *in situ* method of estimating lichen growth rates and  
433 hence lichen age, which could prove particularly valuable in regions where it is not  
434 possible or not practical to calibrate lichen age-size curves or generate lichen  
435 demographic growth-rate data.

436

437 Where present, growth rings could be used to supplement indirect lichenometric  
438 dating studies by providing a measure of radial growth rate on independently dated  
439 surfaces and surfaces of unknown age. Crucially this opens the possibility of  
440 examining growth rate variation between sites and quantifying this variability (or  
441 uncertainty) when deriving lichenometric ages. Much debate still surrounds the micro-  
442 and macro-environmental effect of climate on lichen growth rates and the  
443 implications for lichenometric dating studies (e.g. Beschel, 1961; Jochimsen, 1973;

444 Innes, 1985; Bradwell & Armstrong, 2007; Osborn et al., 2015). For instance, glacial  
445 moraines situated in a precipitation-dominated environment cannot be accurately  
446 dated using a lichenometric curve calibrated in a precipitation-starved setting. Careful  
447 work by Matthews (2005) showed a growth rate differential of ~20% existed in lichen  
448 growth rates along a west-east gradient in southern Norway. Matthews (2005)  
449 recommends the use of regionally controlled dating curves when conducting  
450 lichenometric assessments across areas with differing climates or high levels of  
451 environmental heterogeneity. This recommendation is backed up by direct  
452 measurements of lichen growth rates, spanning more than a decade, along an extreme  
453 climatic gradient in Antarctica (Sancho et al., 2007). Unfortunately, calibrating  
454 regional dating (age-size) curves is often impractical due to the absence or scarcity of  
455 control surfaces at high-latitude high-altitude sites. This can lead to adaptation or  
456 adjustment of existing lichenometric dating curves (e.g. Erikstad & Sollid, 1986;  
457 Winkler, 2004; Principato, 2008), sometimes without justification. We suggest that  
458 annual growth rings could allow lichen growth to be assessed quantifiably and  
459 conveniently across climatic provinces and between study sites without the need to set  
460 up time-consuming lichen growth station experiments.

461

462 Owing to the slow growth of crustose lichens, directly measured growth rate data take  
463 several years or even decades to collect depending on the climatic setting (e.g.  
464 Benedict, 1990; McCarthy, 2002; Trenbirth & Matthews, 2010; Armstrong, 2014)  
465 Growth rings, where present, may offer a relatively rapid, cost effective and non-  
466 destructive, way to estimates lichen growth rates across a wide range of thallus sizes  
467 and across a wide range of environmental settings. With more research into their  
468 formation and evolution, growth rings may offer an alternative method of constructing  
469 lichen growth-rate curves and assembling demographic growth rate data, especially in  
470 remote or extreme environments, and thereby add to the growing literature on this  
471 topic. In due course, it is hoped the multi-faceted approaches to the study of lichen  
472 growth may help to deepen our understanding and reduce the uncertainties currently  
473 surrounding the biological and ecological basis of lichenometry.

474

475

476 **5. Conclusions**

477

478 Marginal, concentric, growth rings occur in numerous crustose lichen species. Our  
479 data show that the average widths of the outer two rings obtained from a reasonable  
480 sample of thalli provide a good estimate of the recent radial growth rate (in *O.*  
481 *parella*). However, our data also indicate that caution must be exercised; for example  
482 marginal rings cannot always simply be assumed to accurately represent the annual  
483 growth rate of any individual thallus. Neither can successive rings always be assumed  
484 to necessarily reflect consecutive yearly growth increments. In addition, the  
485 assumption that one complete ring is formed each year may not be true for all thalli.  
486 These caveats aside, comparisons of directly measured lichen growth from year to  
487 year with marginal ring widths over the same period, do suggest that most marginal  
488 growth rings, in *O. parella* at least, form annually and are a good proxy for radial  
489 growth rate at the time of ring formation. This relationship is encouraging for those  
490 wishing to ascertain the age of surfaces using crustose lichens, as marginal zonation is  
491 present in many different genera and could potentially provide a previously  
492 unexploited dating tool. We suggest that marginal growth rings could be of use in  
493 lichenometry as an alternative *in situ* method of estimating the recent growth rate, and  
494 potentially the age, of thalli growing on surfaces, and also as a rapid means of  
495 comparing lichen growth rate variations between sites.

496

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693 **Table 1.** The number (N), mean width (mm), range (mm), standard deviation (SD),  
 694 and degree of skew and kurtosis of marginal growth rings in a sample of thalli of the  
 695 crustose lichen *Ochrolechia parella* (L.) Massal. in three successive years (\*\* P <  
 696 0.01).

698	<u>Year</u>	<u>Variable</u>	<u>N</u>	<u>Mean</u>	<u>Range</u>	<u>SD</u>	<u>Skew</u>	<u>Kurtosis</u>
699								
700	2009	Number	24	3.7	1 - 6	1.37	0.11	-0.55
701							(0.47)	(0.92)
702		Width	232	0.77	0.08 - 2.93	0.68	1.03**	0.03
703							(0.16)	(0.32)
704	2010	Number	20	3.7	1 - 7	1.59	0.03	-0.28
705							(0.51)	(0.99)
706		Width	187	0.80	0.11 – 3.39	0.72	1.25**	0.58
707							(0.18)	(0.35)
708	2011	Number	13	3.8	2 - 7	1.21	0.01	-0.65
709							(0.62)	(1.19)
710		Width	128	0.48	0.08 – 2.06	0.41	1.86**	3.16
711							(0.21)	(0.42)
712								

713

714 **Table 2.** Direct measurement of radial growth rate (RaGR, mm a<sup>-1</sup>) of the crustose  
 715 lichen *Ochrolechia parella* (L.) Massal. in two successive years (2009 and 2010) at a  
 716 maritime site in north Wales, UK (N = Number of thalli measured, SD = Standard  
 717 deviation).

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719 <u>Year</u>	<u>N</u>	<u>Mean</u>	<u>Range</u>	<u>SD</u>
721 2009	24	0.44	0 – 1.08	0.32
723 2010	22	0.34	0 – 1.16	0.31

---

725 Comparisons between RaGR in 2009 and 2010: Paired 't' = 0.81 (P > 0.05)

726



727 **Table 3.** Correlations (Pearson's 'r') between number and width of growth rings,  
728 directly measured RaGR and thallus size.

729

730

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731	<u>Correlation</u>	<u>'r'</u>	<u>'P'</u>
732			
733	Number of rings/Thallus diameter 2009	0.15	P > 0.05
734	Number of rings/Thallus diameter 2010	-0.04	P > 0.05
735	Number of rings/Thallus diameter 2011	0.34	P > 0.05
736			
737	Mean width of all rings/Thallus diameter	0.50	P < 0.01
738	Mean of first two rings/Thallus diameter	0.57	P < 0.001
739			
740	Mean RaGR in 2009 and 2010/Thallus diameter	0.43	P < 0.05

---

741

742

743 **Table 4.** Comparison between means ('t' tests) and correlation (Pearson's 'r')  
 744 between directly measured annual radial growth rates (RaGR, mm a<sup>-1</sup>) of *Ochrolechia*  
 745 *parella* and peripheral growth rings (\* P < 0.05, \*\* P < 0.01, ns = not significant)

747 <u>Comparison/Correlation</u>	<u>'t'</u>	<u>'r'</u>
749 RaGR in 2009 with width of ring 10.1	-0.01 ns	0.39 ns
751 RaGR in 2010 with width of ring 11.1	0.24 ns	0.24 ns
753 Mean RaGR in 2009 and 2010 754 with mean of all visible growth 755 rings	3.01**	0.39 ns
757 RaGR in 2009 and mean of rings 758 11.1 and 11.2	0.15 ns	0.33 ns
760 Mean RaGR in 2009 and 2010 with 761 mean of rings 11.1 and 11.2	-0.53 ns	*0.60

763

764 **Fig 1.** Examples of clear marginal zonation or ‘growth rings’ in crustose lichens. (A)  
765 a large *Ochrolechia parella* (L.) Massal. thallus on a gravestone, Inchnadamph. NW  
766 Scotland showing several rings, (B) a thallus of *Fuscidea cyathoides* (Ach.) V.Wirth,  
767 Vęzda, growing on a quartzite boulder, shore of Loch Eriboll, NW Scotland with  
768 young apothecia, (C) a thallus, possibly a species of *Rhizocarpon* or *Fuscidea*  
769 growing near shore of Breidalon, SE Iceland, (D) a thallus of *Fuscidea cyathoides*  
770 (Ach.) V.Wirth, Vęzda, with pycnidia growing on Basalt boulder, near  
771 Svinafellsjökull, SE Iceland.  
772

773 **Fig 2.** Marginal rings in thalli of the crustose lichen *Ochrolechia parella* (L.) Massal.  
774 growing at a maritime site in north Wales: (A) overall view of a thallus showing three  
775 distinct rings over a part of the thallus; apothecia are also visible towards the centre of  
776 the thallus, (B) the rings in more detail revealing the characteristic dark and wider  
777 light bands with some additional banding evident within the first ring, (C) rings of a  
778 thallus in 2009, and (D) rings of the same thallus in 2010 after marginal erosion.  
779  
780

781 **Fig. 3.** Variation in ring width of a single thallus of *Ochrolechia parella* (L.) Massal.  
782 Rings were numbered from the edge towards the centre of the thalli and also  
783 identified according to year of measurement, e.g., ring 10.3 indicates the third ring  
784 from the margin measured in 2010. Bars indicate standard deviation (SD).  
785

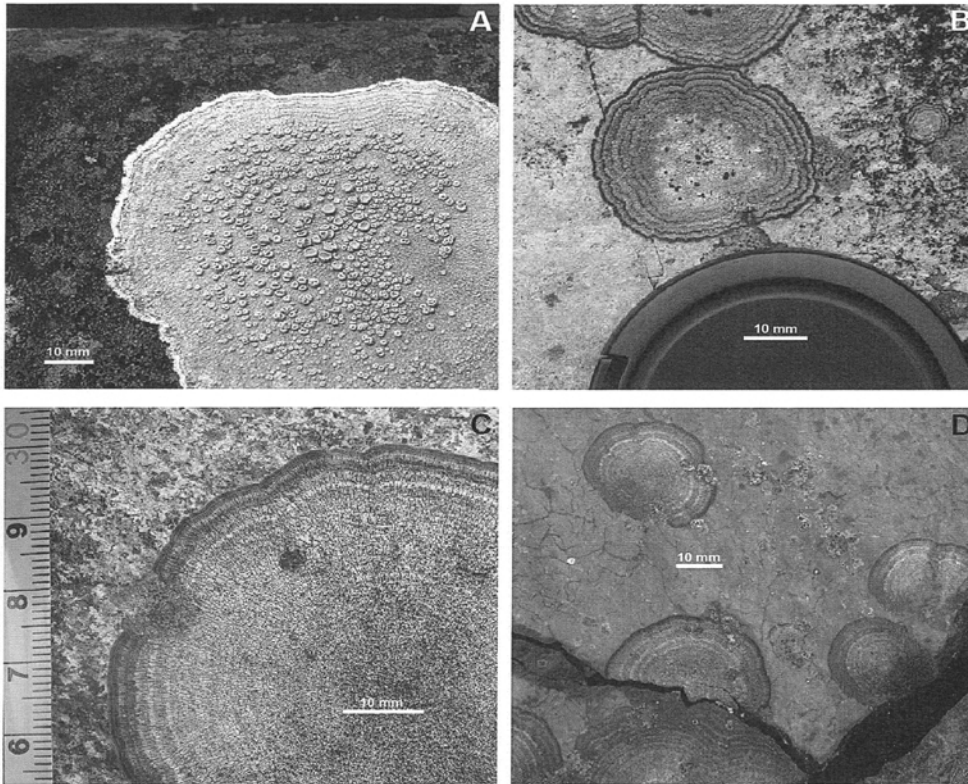
786 **Fig 4.** The relationship between mean width of the rings 11.1 and 11.2 and mean  
787 radial growth rate (RaGR) ( $\text{mm a}^{-1}$ ) in 2009/10 in the crustose lichen *Ochrolechia*  
788 *parella* (L.) Massal. growing at a maritime site in north Wales (Pearson's 'r' = 0.56, P  
789 < 0.05, Linear regression:  $Y = 0.165 + 0.6103X$  with 95% confidence intervals).  
790  
791

792 **Fig 5.** A Bland and Altman plot showing the degree of agreement/disagreement  
793 between mean radial growth rate (RaGR) ( $\text{mm a}^{-1}$ ) in the crustose lichen *Ochrolechia*  
794 *parella* (L.) Massal. measured over two years (2009/10) measured directly and the  
795 width of marginal rings 11.1 and 11.2 (Bias line (BL) = 0.02; SD = 0.25; CI = 95%  
796 confidence intervals  
797



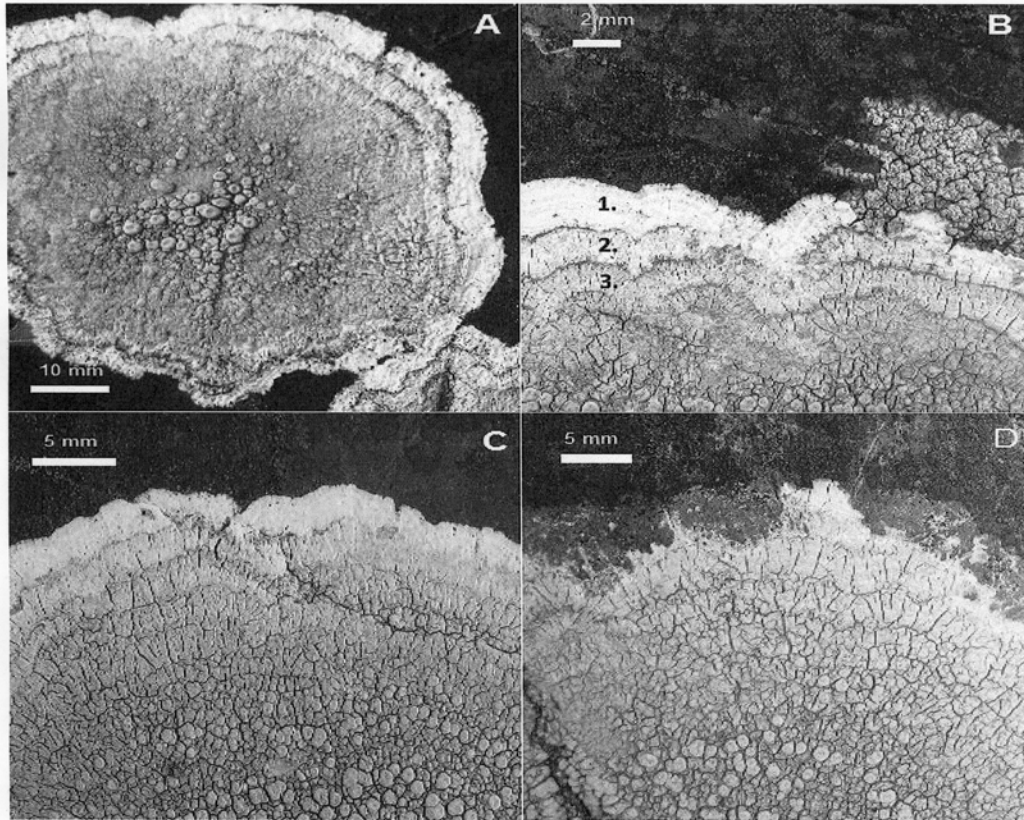


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6 young apothecia, (C) a thallus, possibly a species of *Rhizocarpon* or *Fuscidea*  
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8 (Ach.) V.Wirth, Věžda, with pycnidia growing on Basalt boulder, near  
9 Svinafellsjökull, SE Iceland.



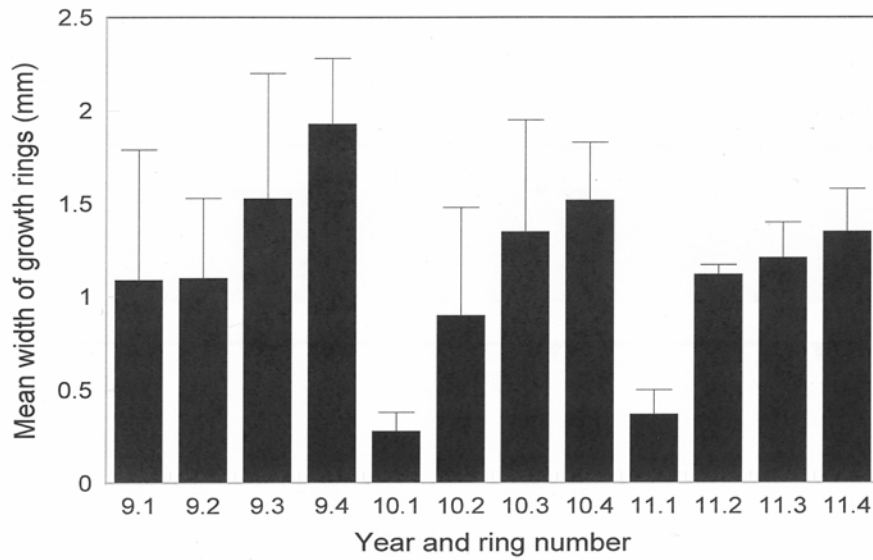
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15 light bands with some additional banding evident within the first ring, (C) rings of a  
16 thallus in 2009, and (D) rings of the same thallus in 2010 after marginal erosion.



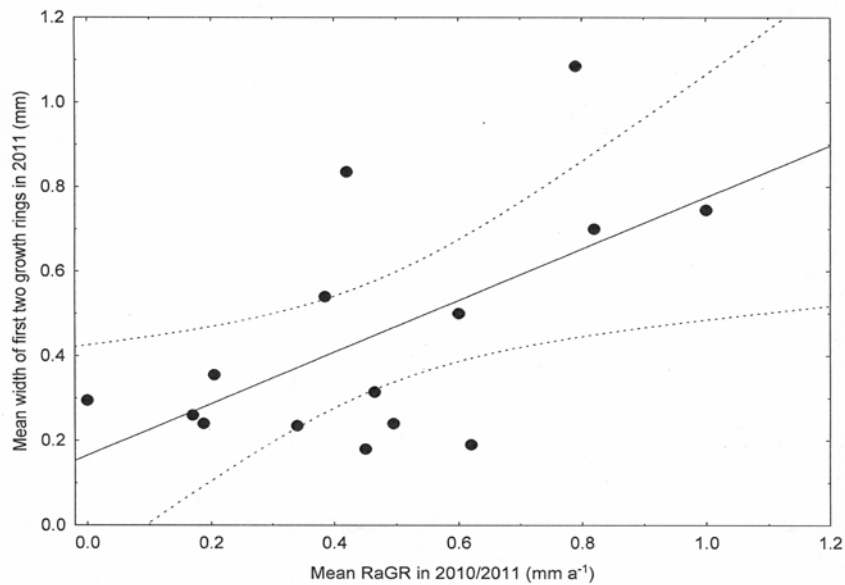
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20 Rings were numbered from the edge towards the centre of the thalli and also  
21 identified according to year of measurement, e.g., ring 10.3 indicates the third ring  
22 from the margin measured in 2010. Bars indicate standard deviation (SD).



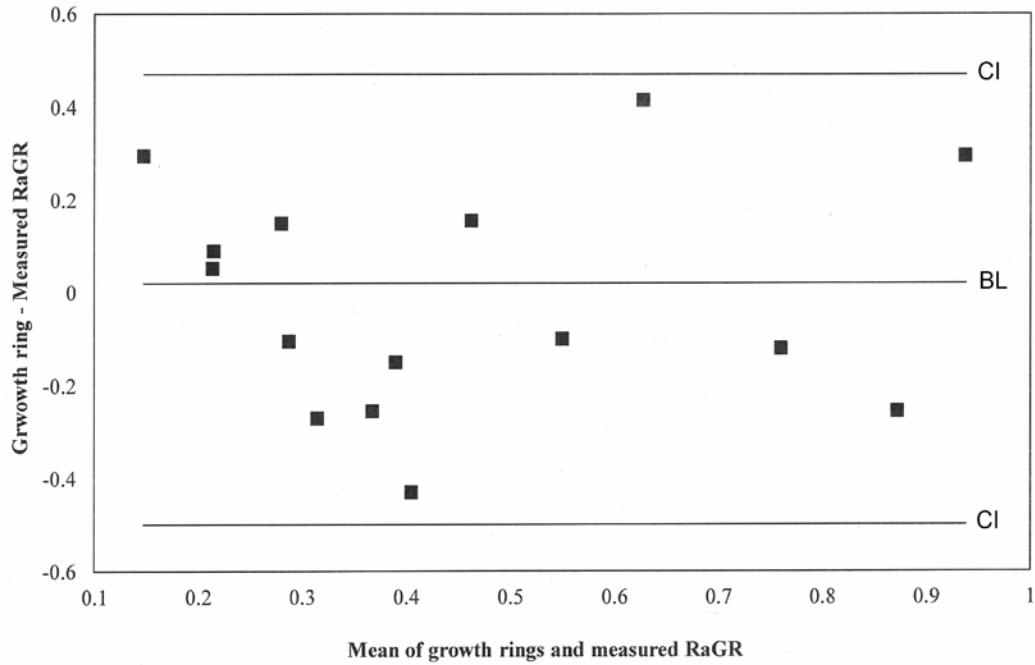
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29

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32 *parella* (L.) Massal.measured over two years (2009/10) measured directly and the  
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34 confidence intervals



35