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1 **Social and ecological drivers of success in agri-environment schemes: the**
2 **roles of farmers and environmental context**

3

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18

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25 **Summary**

26 1. Agri-environment schemes remain a controversial approach to reversing biodiversity
27 losses, partly because the drivers of variation in outcomes are poorly understood. In
28 particular, there is a lack of studies that consider both social and ecological factors.

29 2. We analysed variation across 48 farms in the quality and biodiversity outcomes of
30 agri-environmental habitats designed to provide pollen and nectar for bumblebees and
31 butterflies or winter seed for birds. We used interviews and ecological surveys to gather
32 data on farmer experience and understanding of agri-environment schemes, and local and
33 landscape environmental factors.

34 3. Multimodel inference indicated social factors had a strong impact on outcomes and
35 that farmer experiential learning was a key process. The quality of the created habitat was
36 affected positively by the farmer's previous experience in environmental management. The
37 farmer's confidence in their ability to carry out the required management was negatively
38 related to the provision of floral resources. Farmers with more wildlife-friendly motivations
39 tended to produce more floral resources, but fewer seed resources.

40 4. Bird, bumblebee and butterfly biodiversity responses were strongly affected by the
41 quantity of seed or floral resources. Shelter enhanced biodiversity directly, increased floral
42 resources and decreased seed yield. Seasonal weather patterns had large effects on both
43 measures. Surprisingly, larger species pools and amounts of semi-natural habitat in the
44 surrounding landscape had negative effects on biodiversity, which may indicate use by
45 fauna of alternative foraging resources.

46 5. *Synthesis and application.* This is the first study to show a direct role of farmer social
47 variables on the success of agri-environment schemes in supporting farmland biodiversity.
48 It suggests that farmers are not simply implementing agri-environment options, but are

49 learning and improving outcomes by doing so. Better engagement with farmers and working
50 with farmers who have a history of environmental management may therefore enhance
51 success. The importance of a number of environmental factors may explain why agri-
52 environment outcomes are variable, and suggests some – such as the weather – cannot be
53 controlled. Others, such as shelter, could be incorporated into agri-environment
54 prescriptions. The role of landscape factors remains complex and currently eludes simple
55 conclusions about large-scale targeting of schemes.

56

57 **Keywords:** birds; bumblebees; butterflies; experiential learning; farmer; farmland; habitat
58 quality; interdisciplinary; landscape; multimodel inference

59 **Introduction**

60 Agri-environment schemes offer farmers financial incentives to adopt wildlife-friendly
61 management practices, and are implemented in several parts of the world with the goal of
62 reversing biodiversity losses (Baylis *et al.* 2008; Lindenmayer *et al.* 2012). These schemes are
63 costly – the European Union budgeted €22.2bn for the period 2007–2013 (EU 2011) – and
64 controversial. Controversy arises because researchers have reported variable success of
65 agri-environment schemes in enhancing biodiversity (Kleijn *et al.* 2006; Batary *et al.* 2010b).
66 It is clear that well-designed and well-managed options can benefit target taxa. For
67 example, Pywell *et al.* (2012) found that options designed for birds, bees or plants had
68 increased richness and abundance of both rare and common species. Baker *et al.* (2012)
69 showed positive effects of options providing winter seed resources on granivorous bird
70 populations. The question therefore arises – what causes variation in the success of agri-
71 environment schemes?

72

73 Some options seem to work less well than others. Pywell *et al.* (2012) demonstrated that
74 general compared to more targeted management had little effect in enhancing birds, bees
75 and plants, while Baker *et al.* (2012) found that habitats providing breeding season
76 resources for birds were less effective than those supplying winter food. But even within
77 options there is great variation in biodiversity responses (Batary *et al.* 2010a; Scheper *et al.*
78 2013). There are several studies of the drivers of agri-environmental success (with success
79 defined variously), but individual projects have looked at only one or a few drivers. In this
80 paper we take a holistic approach by assessing a number of putative social and
81 environmental constraints on success; specifically farmer experience and understanding,

82 landscape and local environment, and the weather. In doing so, we consider success in
83 terms of both biodiversity outcomes and habitat quality.

84

85 Social scientists have long considered the role of the farmer in agri-environment schemes,
86 but their questions have tended to focus on why farmers do or do not participate in the
87 schemes (Wilson & Hart 2001; Wynne-Jones 2013) or how to change farmer behaviours in
88 relation to environmental management (Burton & Schwarz 2013; de Snoo *et al.* 2013). There
89 is a consensus that many farmers show limited engagement with the aims of agri-
90 environment schemes (Wilson & Hart 2001; Burton, Kuczera & Schwarz 2008), leading to
91 concern that this may jeopardize scheme success (de Snoo *et al.* 2013). There is, however,
92 little direct evidence to link farmer understanding of, and engagement with, agri-
93 environmental management with biodiversity outcomes on the farm (Lobley *et al.* 2013).
94 Indeed, despite calls for more interdisciplinary social and ecological research into rural land
95 use (Phillipson, Lowe & Bullock 2009) there is little such work in relation to agri-
96 environment schemes.

97

98 Much ecological work has focused on the roles of landscape and local environments in
99 determining biodiversity outcomes. Several studies have shown that the abundance and
100 diversity of target species in agri-environment habitats is greater: a) in landscapes with
101 higher target species richness or amount of (semi-)natural habitat; and/or b) where local
102 habitat quality (e.g. food plant diversity) is greater (Carvell *et al.* 2011; Concepcion *et al.*
103 2012; Shackelford *et al.* 2013). While weather conditions are rarely considered, it is likely
104 that weather during surveys will affect animal activity and the weather during the preceding
105 seasons will affect local population sizes (Pollard & Moss 1995).

106

107 While most studies focus on success in terms of biodiversity outcomes, the farmer can only
108 directly affect the quality of the created habitat. It is therefore useful to consider success in
109 these terms as well. In this paper we derive measures of habitat quality related to the
110 foraging resources made available to the target biota. As well as impacts of the farmer's
111 activities, such quality measures may be affected by local abiotic factors such as soil type,
112 shading and seasonal weather (Myers, Hokschi & Mason 2012).

113

114 Putting these social and ecological factors together, we hypothesize that the richness and
115 abundance of target taxa using agri-environment habitats are increased where: the
116 landscape contains more target species and semi-natural habitat, the quality of the created
117 habitat is higher and when weather conditions during the season and the survey period are
118 more optimal for these taxa. We expect local habitat quality to be important and
119 hypothesize that this is in turn affected by the farmer's experience in, and understanding of,
120 agri-environmental management, as well as local abiotic environmental factors. We
121 consider these hypotheses for agri-environment options developed to provide resources for
122 key declining taxa of the farmed environment: pollen and nectar for bees and butterflies;
123 and winter food for granivorous farmland birds.

124 **Materials and methods**

125 STUDY SITES AND AGRI-ENVIRONMENT OPTIONS

126 We assessed the success of two options available to arable farmers under the English Entry
127 Level agri-environment scheme (ELS), which involve sowing selected plant species in 6 m
128 wide strips at field edges. The Nectar Flower Mixture option NFM ('EF4' under ELS; Natural
129 England (2013)) uses a mixture of at least three nectar-rich plant species to support nectar-
130 feeding insects, specifically bumblebees and butterflies. The Wild Bird Seed Mixture WBM
131 ('EF2' under ELS) requires at least three small-seed bearing plant species to be sown, and is
132 designed to provide food for farmland birds, especially during winter and early spring (see
133 Appendix S1 in Supporting Information for more detail). We assessed NFM and WBM
134 because they had specific success criteria, in terms of the taxa targeted (Pywell *et al.* 2012).

135

136 We selected 48 arable or mixed farms that had NFM or WBM strips sown between autumn
137 2005 and autumn 2006. To represent a range of English farming landscapes, 24 farms were
138 in the east (Cambridgeshire and Lincolnshire), which is flat with large arable fields, and 24 in
139 the south-west (Wiltshire, Dorset, Devon & Somerset), which is more hilly, with smaller
140 fields and more mixed arable and grass farms. Half of the farms in each region had NFM
141 options and half WBM. All farms had a minimum of two fields with the relevant ELS option.
142 The farms were selected: a) first by Natural England – the statutory body that manages ELS
143 – examining their GENESIS database for farms meeting the required geographic, date and
144 ELS option criteria; and then b) by contacting farmers until sufficient had been found that
145 were willing to take part.

146

147 FARMER INTERVIEWS

148 Semi-structured interviews were conducted in 2007 with all farmers. The interviews were
149 designed to explore farmer attitudes towards, and history of, environmental management
150 and their perceptions and understanding of the management requirements for NFM or
151 WBM. Lobley *et al.* (2013) analysed these interviews, and we used them to calculate three
152 measures of farmer attitudes to, and engagement with, agri-environment schemes.
153 “Experience” describes, on a four point scale, the farmer’s history of environmental
154 management both formally as part of a scheme and informally: some had long-lasting and
155 frequent engagement (4); others less frequent engagement (3); while some had limited
156 experience, perhaps undertaking a single project (2); and some had no previous
157 engagement (1).

158

159 “Concerns” represents farmer statements about their perceptions as to how easy it would
160 be to meet the stipulations for creating and managing the habitat (e.g. establishing the
161 plants, limiting herbicide use, cutting requirements). Responses to each requirement were
162 scored 1 (very difficult) to 5 (easy), and a mean score across requirements was derived for
163 each farmer. Finally, “Motivation” categorized the farmers in terms of their stated
164 motivation for where they placed the strips on the farm, from more wildlife-focused to
165 more utilitarian. The three categories were: 1) the best for wildlife, 2) to fit in with farming
166 operations, or 3) simply to fulfill ELS requirements. Spearman rank correlations across the
167 48 farms indicated that these measures were independent of each other. We did not
168 consider the influence of farmer demographic variables (e.g. age or education) as these
169 have a complex relationship with environmental behaviours (Burton 2014).

170

171 ECOLOGICAL SURVEYS

172 Ecological surveys were carried out in 2007 and repeated in 2008. Three strips – or two if
173 there were no more – were surveyed on each farm and parallel measures were made in a
174 nearby ‘control’ cropped area at a field edge and of equivalent size, shape and aspect. A
175 shelter score (0–8) was calculated, which represented the number of directions in which the
176 strip was protected by hedges, etc (Dover 1996). We obtained data from national sources
177 further describing the physical environment of each strip: the Agricultural Land Classification
178 ALC, which grades land from 1–5 according to its agricultural quality; and the soil type,
179 which we classified into light, medium or heavy soils (see Appendix S2).

180

181 For NFM strips we counted the number of flower units (i.e. a single flower, a multi-flowered
182 stem or an umbel; Heard *et al.* (2007)) and identified these to species in five 1 m² quadrats
183 at 10 m intervals along two parallel 50 m transects during July and again in August (for later
184 emerging species). Bumblebees (as colour groups, e.g. Heard *et al.* (2007) – for brevity we
185 refer to these as species) and butterflies (to species) were surveyed along these transects by
186 recording those foraging within a 4 m band centred on the transect. Insect surveys were
187 carried out between 10:30 h and 17:00 h during dry weather at temperatures >16 °C, and
188 weather conditions – air temperature and wind speed (from 0=calm to 5=strong breeze) –
189 were recorded.

190

191 For WBM strips, we estimated the seed resource by gathering all seeds from each sown
192 species in three 1 m² quadrats at 10 m intervals along two parallel 50 m transects in
193 September. Samples were stored at -20 °C in the dark until processing, at which time the
194 seeds were separated from other plant material, dried at 80 °C for 24 hr and weighed. Bird
195 use of the whole strip was monitored in November, January and February, during weather

196 conducive to bird activity (e.g. avoiding rain or high winds). Timed bird counts were made
197 from a distance and then all birds were flushed (Hinsley *et al.* 2010).

198

199 LANDSCAPE AND SEASONAL WEATHER VARIABLES

200 To describe the landscape context of each farm, land cover was mapped in a 4 x 4 km
201 square centred on each farm using Google Earth and the CEH Land Cover Map 2007. We
202 used this single square size and a single landscape measure – the percentage cover of semi-
203 natural habitats (grassland, woods, heaths, etc) – to avoid type 1 errors and highly
204 correlated variables. This scale encompasses foraging distances of the target taxa (e.g.
205 Osborne *et al.* 2008), although the exact scale used was probably unimportant as
206 differences among farms in % semi-natural cover were very similar for 2 x 2 km and 4 x 4 km
207 squares (correlation coefficient = 0.81). Species pools were estimated from national
208 datasets of species lists mapped on a 10 x 10 km grid (Appendix S2). The grid square
209 overlapping the centre point of each farm was interrogated for species lists of: butterflies
210 for the period 2005–2009; granivorous birds during the winter for 2007–2011; and
211 bumblebees from 2000–2010.

212

213 Daily weather data through 2007 and 2008 were obtained from the British Atmospheric
214 Data Centre for the weather station closest to each farm. Daily maxima or minima were
215 averaged across specific seasons (winter = December–February, etc) according to
216 hypotheses about how weather would affect certain response variables (e.g. winter bird
217 numbers would be affected by winter minimum temperatures).

218

219 STATISTICAL ANALYSES

220 We analysed the success of NFM and WBM habitats in terms of: a) biodiversity responses
221 and b) habitat quality in terms of resources for the target taxa. For a), we considered the
222 number and species richness of butterflies, bumblebees and granivorous birds. Number was
223 the sum across the multiple surveys in a year, and species the total seen across the surveys.
224 For b), we considered the number and species richness of flowers (mean across the
225 quadrats and surveys) and seed weight (mean across quadrats). Determinants of success
226 were analysed using general linear mixed models in R (R_Core_Development_Team 2008)
227 using the 'glme' function of the lme4 package (Bates 2010). The nine response variables
228 were tested against subsets of continuous and categorical explanatory variables ('fixed
229 effects': Tables 1, 2), which were selected to reflect our hypotheses about the roles of
230 farmer and environmental factors. Note that because we included 'region' as a separate
231 factor, any effects of other variables do not reflect differences between the south-western
232 and eastern regions.

233

234 In addition to these fixed effects, year was treated as a repeated measure by nesting it as a
235 random effect within a subject factor describing the smallest sampling unit, i.e. the
236 individual strip. To account for additional random effects, replicate strips were nested
237 within farm, allowing analysis of factors at both the farm and the strip scale (Table 1). All
238 data were counts and were modelled using a Poisson error term with a log link function,
239 with the exception of seed weight, which was $\ln(n+1)$ transformed and modelled with
240 normal errors. When used as explanatory variables, seed weight and flower numbers were
241 $\ln(n+1)$ transformed. For the analysis of seed weight responses, four outlier values (>1000
242 mg) were removed to improve model fit and ALC was excluded as performance of the mixed
243 models showed it to be strongly collinear with other explanatory variables. Because birds

244 were surveyed over the whole strip we considered strip area in preliminary analyses, but
245 this was collinear with other factors and had low importance and so was excluded from the
246 full analyses.

247

248 We used multimodel inference, which allowed us to consider competing models and
249 moderately collinear variables (Burnham & Anderson 2002; Freckleton 2011). For each
250 response variable, models representing all possible combinations of the fixed effects
251 (excluding interactions), including a null model and a saturated global model, were created
252 and the *AIC* difference (Δ_i) was calculated as:

253
$$\Delta_i = AIC_i - AIC_{\min},$$

254 where AIC_{\min} is the lowest value of any model, and AIC_i is the model-specific value. Following
255 Burnham and Anderson (2002), models with $\Delta_i < 4$ were considered to form a set that best
256 explained variation. For this subset of R models, Akaike weights (w_i) were derived:

257
$$w_i = \frac{\exp\left[-\frac{1}{2}\Delta_i\right]}{\sum_{r=1}^R \exp\left[-\frac{1}{2}\Delta_r\right]},$$

258 where w_i represents the probability that model i would be the best fitting if the data were
259 collected again under identical conditions. The relative importance of individual variables
260 can be calculated as the w_i of all models within the $\Delta_i < 4$ subset sums to 1. The importance
261 of individual fixed effects was assessed by summing the w_i values of all models containing
262 that explanatory variable within the subset using the 'MuMIn' package (Bartoń 2013). As
263 many variables were modelled, we focused subsequently on the most frequently-included
264 variables with an importance ≥ 0.4 (all included variables are given in Tables 1, 2). Parameter
265 estimates were weighted by w_i and averaged across all models. Following Symonds and

266 Moussalli (2011) we calculated the marginal R^2 value for the global model to indicate
267 goodness of fit.

268

269 **Results**

270 The ELS strips were successful in that they had more target species and resources than the
271 paired control (crop) strips. Generalized linear mixed models using Poisson errors and pairing
272 ELS and control strips showed the former had higher bumblebee numbers (mean *per* strip,
273 *per* year 10.6 vs. 0.3; $F_{1,242} = 686$, $P < 0.001$) and species (2.0 vs. 0.1; $F_{1,242} = 91$, $P < 0.001$),
274 butterfly numbers (6.1 vs. 0.6; $F_{1,242} = 346$, $P < 0.001$) and species (2.2 vs. 0.5; $F_{1,242} = 75$,
275 $P < 0.001$), flower numbers (672 vs. 71; $F_{1,242} = 39676$, $P < 0.001$), granivorous bird numbers
276 (63 vs. 1.7; $F_{1,230} = 2946$, $P < 0.001$) and species (4.4 vs. 1.1; $F_{1,230} = 150$, $P < 0.001$), and seed
277 weight (124 vs. 0 g; $F_{1,230} = 2629$, $P < 0.001$).

278

279 BIODIVERSITY OUTCOMES

280 The agri-environment strips had a wide range of bumblebee numbers (*per* strip, *per* year; 0–
281 97) and species (0–6), butterfly numbers (0–50) and species (0–8), and granivorous bird
282 numbers (0–485) and species (0–13). The global models explained variation in each
283 response quite well ($R^2 = 0.28$ – 0.68), and to a similar extent to other large-scale agro-
284 ecology studies (Gabriel *et al.* 2010). The most important explanatory variables were those
285 describing the local environment (Table 1). Bumblebees, butterflies and birds were more
286 abundant and diverse in strips which had more abundant and diverse flowers or a greater
287 seed mass (Fig. 1), and in strips which were more sheltered. Weather conditions during the
288 survey had generally minor importance, which may be because the surveys were done
289 during a narrow set of benign conditions. Unsurprisingly, farmer social variables had little

290 direct importance for biodiversity measures although there were more bumblebee numbers
291 and species on farms with more experienced farmers, and more butterfly species where
292 farmers placed their strips in locations they considered best for wildlife.

293

294 Region had contrasting effects, with south-western farms having more bumblebee numbers
295 and species, fewer butterfly numbers and species, and similar bird numbers and species to
296 eastern farms. Landscape factors were often important, in that both the percentage of
297 semi-natural habitat and the size of the species pool had (surprisingly) negative
298 relationships with biodiversity. Bird numbers and species were enhanced under higher
299 winter minimum temperatures, and a similar pattern was seen for insect numbers in
300 relation to summer maximum temperatures.

301

302 HABITAT QUALITY OUTCOMES

303 There was large variation among strips in flower number (*per strip, per year*; 0–9329) and
304 species (0–17), or seed weight (0–597 mg). No model explained variation in flower species
305 richness in the NFM strips well ($R^2 \leq 0.06$), and no variable had high importance (Table 2).
306 Models for flower number and seed weight performed better. According to these, more
307 experienced farmers produced strips with more resources (Fig. 2). Higher flower numbers
308 were also found on strips created by farmers who placed them on the basis of wildlife-
309 focused than utilitarian motives, but the opposite pattern was shown for seed weight.
310 Interestingly, farmers who had envisioned greater problems with establishing and
311 maintaining these habitats produced strips with a greater seed yield. Of the environmental
312 factors, region had little importance and the local conditions were important only in
313 determining flower numbers, which were greater on sites of poorer agricultural quality and

314 which were more sheltered. Flower numbers and seed weight were boosted by higher
315 maximum temperatures in the season preceding maturation of flowers (spring) or seeds
316 (summer). In addition, flower numbers were negatively affected by higher temperatures in
317 the summer.

318

319 **Discussion**

320 As we hypothesized, the biodiversity outcomes of the agri-environment schemes were
321 influenced by a range of factors, including landscape variables, the quality of the local
322 habitat, seasonal weather and conditions during the surveys. Habitat quality itself – i.e.
323 floral or seed resources – responded to the farmers’ experience and understanding of agri-
324 environmental management as well as local environment and seasonal weather. Below we
325 consider the factors in detail, but this study has highlighted the importance of multiple
326 drivers in explaining variation in the success of agri-environment schemes. This builds on
327 previous work, which has shown that a suite of factors are required for agri-environment
328 success, including relevant prescriptions, adequate management and proximity to source
329 populations (Whittingham 2011; Pywell *et al.* 2012). We have for the first time
330 demonstrated the direct roles of social alongside these ecological factors. This
331 interdisciplinary insight suggests actions to improve the success of agri-environment
332 schemes need to consider farmers’ motivations, landscape factors and the local
333 environment.

334

335 FARMER EXPERIENCE AND UNDERSTANDING

336 While social scientists have researched farmers’ attitudes and motivations towards agri-
337 environmental management (de Snoo *et al.* 2013), little is known about whether and how

338 these social drivers affect biodiversity outcomes. The social and natural sciences have
339 different research traditions, and while there are a number of studies which have used
340 interdisciplinary approaches (Phillipson, Lowe & Bullock 2009; Austin, Raffaelli & White
341 2013) there is still little work linking social and ecological data in quantitative analyses.
342 Interviews provide complex qualitative data, and those with our farmers revealed a range of
343 previous engagement with agri-environmental management, a variety of opinions about the
344 ease with which farmers felt they would be able to implement the required management,
345 and different motivations for taking part (Lobley *et al.* 2013). The social scientists in the
346 project team translated these qualitative responses into quantitative scores, which allowed
347 us to combine social with ecological data in linear mixed models.

348

349 This approach proved to be powerful in linking biodiversity outcomes to farmer motivations.
350 In the agri-environment options investigated, farmers are asked to establish specific seed
351 mixes in field margins, which supply food resources to the target taxa. Farmers with greater
352 agri-environmental experience produced strips with more of these resources. Experience
353 was scored relative to the length of time and frequency with which farmers stated they had
354 been involved in environmental management. Agri-environment schemes such as that in
355 England, which simply pay farmers to follow specific prescriptions, have been criticized as
356 not actively engaging farmers or allowing them to develop skills in environmental
357 management (Burton, Kuczera & Schwarz 2008; de Snoo *et al.* 2013). In our case, it seems
358 that farmers had developed such skills through their involvement in agri-environmental
359 management.

360

361 The unexpected findings that more experienced farmers had more bumblebees and more
362 wildlife-focused farmers had more butterfly species on their strips independent of their
363 effects on habitat quality raises the tantalizing prospect that more continuous agri-
364 environmental management had allowed populations to increase. While this interpretation
365 is speculative, it reflects the scheme's aim to facilitate population recovery of target species
366 (Baker *et al.* 2012).

367

368 The fact that farmers with more concerns about the ease of management produced greater
369 quantities of seed suggests that if farmers are learning experientially (Riley 2008) then this is
370 more successful if they are aware of their own knowledge gaps. That is, those who thought
371 it would be easy had a misplaced confidence. The conflicting effects of farmer motivation
372 for strip placement on the quality of the two strip types may reflect the relative levels of
373 knowledge about these habitats. NFM was quite novel for many farmers and so those more
374 motivated by wildlife benefits may have managed these strips more carefully. Farmers are
375 more familiar with the requirements for WBM as many sow game cover, which is similar.
376 While the differences were small, utilitarian farmers achieved better WBM results.

377

378 The three social variables were not correlated and so these relationships reveal different
379 aspects of the agri-environmental role of farmers. We did not link these social variables to
380 specific actions carried out by the farmer. This was because: a) we did not want to burden
381 farmers with recording their actions or to influence their behaviours by doing so; and b) we
382 were more interested in the farmers' experience and motivations than the well-studied
383 issue of how management affects outcomes. However, it is clear that we are only beginning
384 to understand the role of farmers in achieving agri-environmental success.

385

386 LOCAL AND LARGE-SCALE ENVIRONMENTAL FACTORS

387 The agri-environmental prescriptions were supported by the importance of the abundance
388 and richness of flowers in attracting bumblebees and butterflies (Carvell *et al.* 2011) and of
389 seed resources in attracting granivorous birds (Hinsley *et al.* 2010). Shelter benefits animals
390 by providing warmth and protection (Pywell *et al.* 2004). Our findings of a positive effect of
391 shelter on flower numbers, but a negative effect on seed weight are more novel, and may
392 reflect a balance of competition (e.g. shading) and facilitation (e.g. warming). More flowers
393 under conditions of low agricultural quality (i.e. low ALC) may reflect lower cover of
394 competitive grasses, etc (Pywell *et al.* 2005).

395

396 Several studies have found that bee and bird abundance and richness are higher within agri-
397 environmental options in landscapes with more semi-natural habitat (Concepcion *et al.*
398 2012; Shackelford *et al.* 2013). There is less information on the role of the species richness
399 in the landscape, although Pywell *et al.* (2012) found this had a positive effect for bees but
400 none for birds. By contrast, our study suggested negative effects of the proportion of semi-
401 natural habitat and/or the size of the species pool on all but one of the biodiversity
402 measures. Some studies have shown that agri-environmental options can have smaller
403 effects on biodiversity in more diverse landscapes, presumably because these offer
404 alternative foraging resources (Batary *et al.* 2010a; Carvell *et al.* 2011). In our case it may be
405 that smaller species pools and areas of semi-natural habitat indicate fewer alternative
406 resources and so the agri-environment strips act as 'honey pots' in attracting more birds or
407 insects. Whatever the mechanism, landscape effects on agri-environmental outcomes are
408 not straightforward.

409

410 Seasonal weather effects on abundance of the target biota and floral and seed resources are
411 not surprising and reflect fundamental biological optima (Anguilletta 2009). However, it is
412 important to note the importance of weather patterns for spatio-temporal variation in
413 success, and that these may cause apparent failures which are beyond anyone's control.

414

415 IMPLICATIONS FOR IMPROVING AGRI-ENVIRONMENT SUCCESS

416 Agri-environmental research needs to move on from the question that has predominated
417 for some time – 'do they work?' – to ask instead – 'what are the causes of variation in
418 success?'. While some factors that affect outcomes have been studied – such as landscape
419 context – this paper has shown that a holistic understanding of drivers is necessary. In
420 particular, we have demonstrated the role of the farmer. In implementing agri-environment
421 management, the farmer is not simply carrying out prescribed tasks, but is making decisions
422 which impact on success. The importance of experience suggests that farmers gain
423 experiential understanding of agri-environment management. This indicates scheme success
424 might be improved by ensuring farmers stay engaged and build up experience. Indeed,
425 Jarratt (2012) found that as farmers become more engaged in environmental-friendly
426 farming there is a willingness to take on more complex conservation activities. This leads to
427 the question whether actively training farmers in agri-environment management might
428 expedite such learning (Lobley *et al.* 2013). Indeed a review of the English scheme (Defra
429 2008) recommended that farmers should get increased advice, although it remains to be
430 seen whether this will be implemented.

431

432 The farmer has a role in choosing which agri-environment options to use, their placement
433 on the farm and their establishment and management. Our study covered the latter two
434 processes and these determined the quality of habitat produced and, ultimately, how many
435 birds or insects used these strips. The fact that the amount of shelter affected both the
436 quality and biodiversity outcomes suggests that farmers might be advised to consider this
437 factor when deciding where to place strips. Similarly, pollen and nectar flower strips might
438 be best placed on poorer quality land. Understanding of the role of the weather has a
439 different implication, in that it can help farmers and others understand why agri-
440 environment options may perform badly sometimes, much as crops do. Landscape factors
441 have a complex role and the lack of general patterns (Batary *et al.* 2010a; Concepcion *et al.*
442 2012) suggests that any large-scale targeting of agri-environment schemes should be done
443 with caution.

444

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451

452 **Data accessibility**

453 - Social and environmental data: NERC-Environmental Information Data Centre
454 doi:10.5285/d774f98f-030d-45bb-8042-7729573a13b2 (McCracken *et al.* 2015)

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575

576 **Supporting Information**

577 Supporting information is supplied with the online version of this article.

578 **Appendix S1.** Description of Nectar Flower Mix and Wild Bird Mix options.

579 **Appendix S2.** Additional data sources.

580 Table 1. The importance of social and ecological drivers of biodiversity outcomes across 24 farms with agri-environment options targeted at
 581 pollen and nectar feeding insects and 24 farms with options targeted at seed-eating birds. Importance was derived using Akaike weights (w_i)
 582 following averaging of linear mixed models, and the parameter estimates (Param. est.) are weighted by w_i and averaged across models.
 583 Categorical variables are marked * and the parameter estimates are given. The most important variables – with importance ≥ 0.4 – are
 584 highlighted

Level	Local (strip) environment			Landscape		Farmer social			Seasonal weather	Weather during survey		Region* E;SW
Variable	Flower #	Flower species	Shelter	% Semi-natural habitat	Species pool	Experience	Concerns	Motivation* 1;2;3	Summer max. temperature	Temperature	Wind	
Response = Bumblebee numbers. Marginal $R^2 = 0.68$. Models where $\Delta_{AIC} < 4 = 31$ of 4096												
Importance	1	1	0.55	1	0.63	1	0.18	0.13	0.32	1	0.40	0.83
Param. est.	0.46	0.11	0.11	-0.06	-0.07	0.28	0.13	0.06;0.32;0.46	0.21	0.17	-0.07	0.06;0.49
Response = Bumblebee species richness. Marginal $R^2 = 0.48$. Models where $\Delta_{AIC} < 4 = 144$ of 4096												
Importance	1	0.57	0.95	0.31	0.53	0.63	0.12	0.05	0	0	0.15	0.74
Param. est.	0.25	0.04	0.1	-0.01	-0.03	0.13	-0.02	0.38;0.41;0.15	-	-	-0.04	0.38;0.56
Response = Butterfly numbers. Marginal $R^2 = 0.28$. Models where $\Delta_{AIC} < 4 = 99$ of 4096												
Importance	0.46	1	0.73	0.17	0.48	0.12	0.12	0.12	0.85	0.09	0.1	0.62
Param. est.	0.05	0.07	0.14	-0.02	-0.04	-0.06	0.09	2.12;0.91;0.17	0.43	0.01	-0.02	2.14;0.55
Response = Butterfly species richness. Marginal $R^2 = 0.29$. Models where $\Delta_{AIC} < 4 = 72$ of 4096												
Importance	0.71	0.15	0.83	0.27	0.18	0.38	0.08	0.87	0.12	0.08	0.08	1
Param. est.	0.07	-0.02	0.09	-0.01	-0.02	-0.12	-0.01	1.44;0.53;0.69	-0.09	0.01	0.1	1.43;0.13
Variable	Seed weight	Shelter	% Semi-nat. habitat	Species pool	Experience	Concerns	Motivation* 1;2;3	Winter min. temperature	N/A		Region* E;SW	
Response = Granivorous bird numbers. Marginal $R^2 = 0.36$. Models where $\Delta_{AIC} < 4 = 19$ of 512												
Importance	1	1	0.17	0.45	0.17	0.38	0.05	1				0.18
Param. est.	0.16	0.27	0.01	-0.14	-0.09	-0.23	8.8;12.5;14.5	0.46				8.8;7.5
Response = Granivorous bird species richness. Marginal $R^2 = 0.36$. Models where $\Delta_{AIC} < 4 = 41$ of 512												
Importance	1	0.38	0.69	0.57	0.37	0.14	0.12	1				0.29
Param. est.	0.1	0.04	-0.02	-0.06	-0.08	-0.04	0.92;1.03;1.18	0.21				0.92;0.79

585 Table 2. The importance of social and ecological drivers of habitat quality across 24 farms with agri-environment options targeted at pollen and
 586 nectar feeding insects (quality = flower numbers and species richness) and 24 with options targeted at seed-eating birds (quality = weight of
 587 seed). Importance was derived using Akaike weights (w_i) following averaging of linear mixed models, and the parameter estimates (Param.
 588 est.) are weighted by w_i and averaged across models. Categorical variables are marked with * and the parameter estimates are given. The
 589 most important variables – with importance ≥ 0.4 – are highlighted. ALC = Agricultural Land Classification

Level	Local (strip) environment			Farmer social			Seasonal weather			Region* E;SW
Variable	ALC	Soil* Light;Med;Heavy	Shelter	Experience	Concerns	Motivation* 1;2;3	Spring max. temperature	Summer max. temperature		
Response = Flower numbers. Marginal $R^2 = 0.42$. Models where $\Delta_{AIC} < 4 = 14$ of 512										
Importance	1	0.24	1	0.97	0.23	0.43	1	1		0.34
Param. est.	-0.72	963;720;1478	1.45	0.46	0.27	1477;720;166	4.5	-0.31		1477;741
Response = Flower species richness. Marginal $R^2 = 0.06$. Models where $\Delta_{AIC} < 4 = 49$ of 512										
Importance	0.35	0.04	0.22	0.36	0.21	0.01	0.21	0.21		0.17
Param. est.	0.07	4.96;4.07;4.64	-0.05	0.06	0.05	4.64;5.12;4.53	0.07	0.06		4.64;4.81
Response = Seed weight. Marginal $R^2 = 0.21$. Models where $\Delta_{AIC} < 4 = 55$ of 512										
Importance	-	0.01	0.43	0.7	0.74	0.64	0.15	0.71	0.31	0.19
Param. est.	-	167;166;191	-11.5	36.4	-35.1	191;200;299	-6.45	46	-35	191;184

590

591 **Figure legends**

592

593 Fig. 1. Examples of relationships between the major habitat quality drivers and biodiversity
594 outcomes (see all drivers in Table 1). Circles show raw data, solid lines the fitted relationship
595 (from linear mixed models, so accounting for other drivers) and dotted lines ± 1 standard
596 error. a) Numbers of bumblebees, and b) Butterfly species richness as affected by the
597 number of flowers. c) Numbers of seed-eating birds as affected by the weight of seeds. The
598 unfilled circles in c) show large abundance values, which are, in order from left to right: 422,
599 485, 362, 223, 314 and 224.

600

601 Fig. 2. Examples of relationships between the length and intensity of the farmer's previous
602 experience of environmental management (from 1 none to 4 high) and habitat quality
603 measures in agri-environment strips (see all drivers in Table 2). Circles show raw data, solid
604 lines the fitted relationship (from linear mixed models, so accounting for other drivers) and
605 dotted lines ± 1 standard error of this fit. a) Number of flowers in a nectar flower strip. b)
606 Weight of seeds in a wild bird seed strip. The unfilled circles show large values, which are: in
607 a) 9329 and 5218; and in b) 597 mg.

Fig. 1

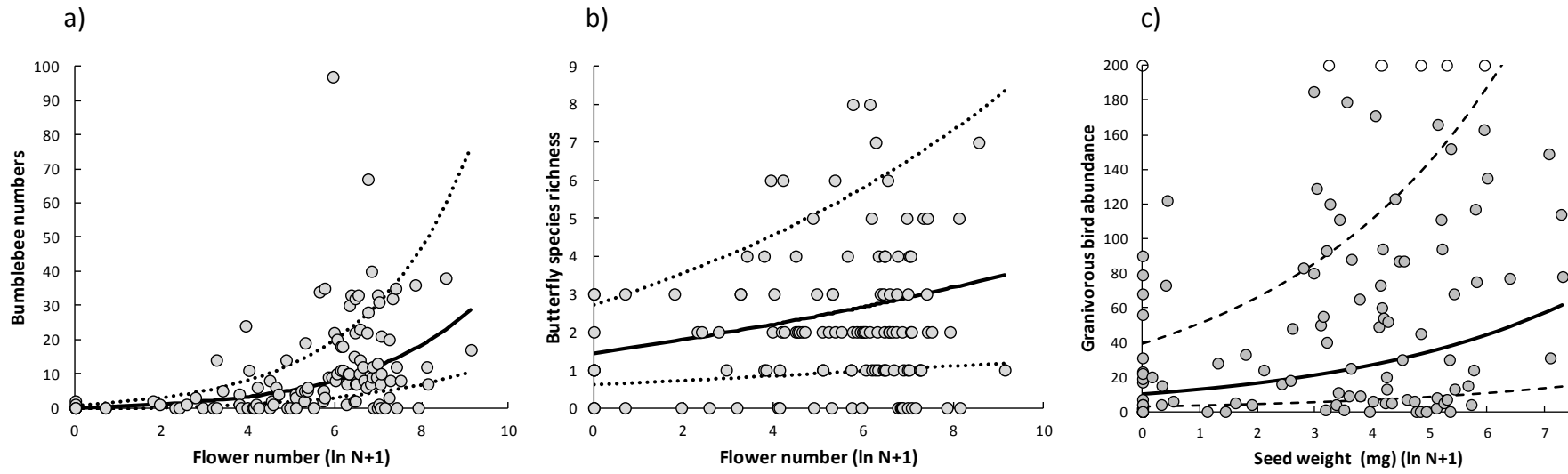


Fig. 2

