

1 **A new apparatus for determining the shrinkage limit of clay soils**

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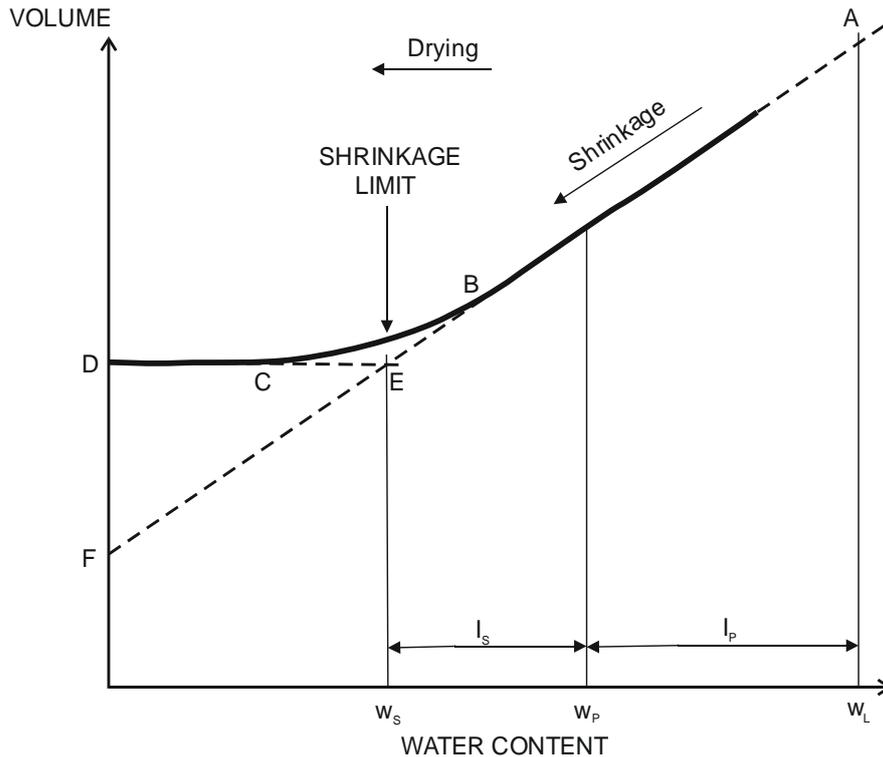
6 7 **ABSTRACT**

8 A new apparatus for the determination of shrinkage limit is described. Two versions
9 have been produced: a manually operated prototype 'version1' followed by an
10 automated version named SHRINKiT. Test results using the former for British and
11 overseas clay soils are described and comparisons made with the BS preferred
12 method. A further set of test results is described for SHRINKiT. However, it was not
13 possible to compare these with the BS1377 method due to the introduction of a ban on
14 the use of mercury in the British Geological Survey's geotechnical laboratories. The
15 new method is set in the context of the huge cost of shrink/swell related subsidence
16 damage in Britain and the relative disuse of both BS1377 methods for shrinkage limit
17 due to reasons of safety. The shrinkage behaviour of different soils types and sample
18 states is discussed, in addition to the advantages and disadvantages of the new
19 method.

20 21 **INTRODUCTION**

22 Clay soils constitute a familiar hazard to engineering construction and house building
23 in terms of their ability to shrink and swell; that is, to change volume with a change in
24 effective stress, usually caused by alteration of water content produced by seasonal
25 climatic variations (Anon, 1993). The study described in this paper has examined
26 some of the geotechnical aspects of shrinkage, and in particular has developed a new
27 test apparatus for the important, but neglected, Atterberg limit: the *shrinkage limit*. A
28 range of clay soils has been tested using both version 1 and SHRINKiT in order to
29 prove the concept. The other two Atterberg limits have been included so that
30 correlations, both familiar and new, can be examined.

31
32 Annual insurance costs for subsidence attributed to swell/shrink in Britain are of the
33 order of £300-600m (Jones, 2004). As climate trends appear to be resulting in greater
34 seasonal water contrasts for much of the country (Hulme *et al.*, 2002), the current
35 trend for increasing claims can be expected to continue. There has also been debate
36 about the precise role of trees and impermeable surfacing in the clay shrink/swell
37 phenomenon (Skempton, 1954; Cheney, 1986; Randrup *et al.*, 2001; Mathheck *et al.*,
38 2003; Jones *et al.*, 2006).



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Figure 1 Schematic plot of water content vs. volume showing Atterberg Limits

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Key: w_s =Shrinkage limit, w_p =Plastic limit, w_L =Liquid limit, I_s =Shrinkage index, I_p =Plasticity index

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The shrinkage limit was one of seven state limits conceived in 1911 by Albert

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Atterberg and termed “Krympning gräns” in Swedish and “Die Schwindungsgrenze”

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in German (Atterberg, 1911a, 1911b; Casagrande, 1948; Skempton, 1985; Sridharan

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& Prakash, 1998b; Haigh *et al.*, 2013). The shrinkage limit (w_s) is conceptually the

48

boundary between ‘solid’ and ‘semi solid’ consistency, and is defined as the water

49

content below which no further volume reduction takes place on drying (Fig. 1).

50

Referring to Fig. 1 the steady shrinkage from A to B is where volume reduction

51

matches water loss, and is described as the ‘basic’ stage by Boivin *et al.* (2006b) or

52

‘normal’ stage by Sridharan & Prakash (1998b). The gradient of the line AB is the

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initial degree of saturation and, if volume change is expressed as a percentage of dry

54

volume, equals the shrinkage ratio, R_s . The shrinkage stage from B to C (alternatively

55

E to C) is described as ‘residual’ with point E defining the shrinkage limit

56

(BS1377:1990). Point D is the oven-dried state (105°C) and between C and D there is

57

no volume reduction. However, in practice there may be small volume decreases here.

58

Point B is usually referred to as the air-entry point (Haigh *et al.*, 2013) and represents

59

the water content at which water loss outstrips volume reduction and saturation starts

60

to reduce dramatically. The projection of the line AB to F represents the volume of

61

solids (Reeves *et al.*, 2006). The shrinkage limit is therefore the water content value at

62

the intersection of construction lines DE and AE, which also coincides with the point

63

of maximum bulk density. The specimen’s initial water content determines the start

64

point of the test curve. In the case of remoulded specimens and soil mixtures

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(Sridharan & Prakash, 2000) this is usually midway between liquid and plastic limits.

66

At higher water contents the specimen is liable to slump. In the case of natural

67

‘undisturbed’ specimens the initial water content is often closer to the plastic limit.

68

For most British clay soils and mudrocks the values of shrinkage limit lie in the range

69 12 to 25 % whilst for some tropical and bentonitic clay soils values lie between 30
70 and 50 % (Hobbs *et al.* 2012). Whilst much use is made worldwide of inferred
71 swelling and shrinkage behaviour obtained *indirectly* from standard soil ‘index’ test
72 data such as plasticity, density, and water content, few data derived from *direct*
73 shrink/swell measurement are available, at least in British geotechnical databases.
74 This is partly because the familiar ‘index’ tests are more explicit and accepted
75 worldwide and partly because direct shrinkage tests are difficult to perform,
76 particularly with *undisturbed* weak, fissured, or sensitive soils. Soil structure, fabric,
77 and water content contribute to test difficulties and tend to make correlations between
78 field shrinkage and liquid and plastic limit data (remoulded state) questionable.

79
80 At present, the two British Standard methods for measuring shrinkage limit directly
81 employ Archimedes principle applied to a mercury bath (BS 1377, BSI, 1990). The
82 ‘definitive’ method employs a special mercury cell with built-in micrometer
83 originally developed by the Transport Research Laboratory, TRL (Road Research
84 Laboratory, 1952; Ackroyd, 1969). At BGS this test used to be carried out in a fume
85 cupboard, with a mercury recovery kit to hand. The ‘subsidiary’ method, based on
86 American Society for Testing & Materials (ASTM) and American Association of
87 State Highway & Transportation Officials (AASHTO) methods (D427-04 and T92-
88 97, respectively) (ASTM, 2007) also uses mercury immersion and the same graphical
89 construction as the ‘definitive’ method to obtain the shrinkage limit and has been used
90 worldwide.

91
92 Both British Standard methods BS 1377:1990 (BSI, 1990) are compromised because
93 mercury presents a significant health hazard as liquid and vapour, and is banned in
94 many soils laboratories. Consequently, alternative methods have been sought.
95 Travelling microscopes have been used for measuring 1-D swelling of soil in the
96 laboratory (for example, Parcevaux, 1980) and may also have been used to measure
97 shrinkage on an ad-hoc basis elsewhere. In the early stages of the project a laboratory
98 apparatus was built which incorporated a travelling microscope, a laser range-finder,
99 and a digital balance, in order to measure 3-D shrinkage and hence determine
100 shrinkage limit and other parameters, without the use of hazardous substances or
101 contact with the test specimen during air drying. This prototype apparatus, referred to
102 as ‘version 1’, was manually operated and was used to compare results obtained with
103 the BS1377 (TRL) BS 1377:1990 (BSI:1990) apparatus (Hobbs *et al.*, 2010).

104



105

106 **Figure 2 British Geological Survey's automated shrinkage limit test apparatus, SHRINKiT**

107
108 Subsequently, a fully automated apparatus referred to as 'SHRINKiT' (Fig. 2), was
109 designed, constructed and used to carry out a shrinkage limit test programme on a
110 variety of British soil types (Hobbs *et al.*, 2010; Hobbs *et al.*, 2012). It was not
111 possible to make direct comparisons between this method and the BS1377 methods as
112 use of the latter had by this time been banned in BGS's geotechnical laboratories.

113
114 **CLAY SHRINKAGE RESEARCH IN THE LABORATORY**

115 Considerable research in the fields of soil physics, agriculture, sports surfacing and
116 more recently unsaturated soil mechanics, has been carried out on the subject of soil
117 shrinkage. Soil physics has, in the past, favoured the use of flexible resin coating of
118 natural soil aggregates or 'clods' to measure shrinkage in the laboratory, e.g. the
119 'paraffin' method (Parker *et al.*, 1977; Reeve *et al.*, 1980). However, a 'core' method
120 (Berndt & Coughlan, 1976) and a 'balloon' method (Tariq & Durnford, 1993) have
121 also been widely used. A frame-mounted transducer (LVDT) method was also
122 described by Boivin (2007) and Williams & Sibley (1992). More recently, laser
123 scanners have been used to measure the volume of clod-type soil specimens either to
124 determine the shrinkage curve (Sander & Gerke, 2007) or simply bulk density (Rossi
125 *et al.*, 2008). Sridharan & Prakash (2009) have also reconsidered the wax method for
126 shrinkage limit determination.

127
128 Much attention has been focused on models to predict and match so-called 'soil
129 shrinkage characteristic curves' (SSCC or SSC) (Bronswijk, 1990; Groenevelt &
130 Grant, 2001; Cornelis *et al.*, 2006), 'soil shrinkage curves' (ShC) (Boivin *et al.*,
131 2006b), 'volumetric shrinkage curves' (VSC) (Mbonimpa *et al.* (2005) and the
132 'reference shrinkage curves' (Chertkov, 2007a) and in particular on its quantification
133 and use in determining soil structure (Braudeau *et al.*, 1999; Crescimanno &
134 Provenzano, 1999) and soil compaction (Boivin *et al.*, 2006a). The SSCC and ShC
135 have been attempts to model families of sigmoidal shrinkage curves by sub-dividing
136 the curves into seven recognisable zones separated by transition points. These zones
137 are described as either linear or curvilinear. The 'reference shrinkage curve'
138 (Chertkov, 2007a) is a theoretical curve derived from eight parameters, designed to
139 remove the contribution from crack volume, and seeks to de-couple real soil
140 shrinkage behaviour from that of a pure clay and hence distinguish the contribution of
141 cracking. In geotechnical terminology this could be analogous to 'undisturbed' and
142 'remoulded' states, but where the remoulded sample had been ground to clay size. As
143 part of this concept Chertkov (2007a,b) described the 'critical clay content', defined
144 as the ratio of clay solids to the total volume of solids.

145
146 The soil water retention curve (WRC) (Gould *et al.*, 2011), for example as produced
147 from a suction (extractor plate) test or tensiometer test (Ridley & Burland, 1993), is
148 mathematically similar to the soil shrinkage curve but, in the case of clay-rich soils,
149 may itself include an element of shrinkage (Mbonimpa *et al.*, 2005). Attempts to fit
150 the soil water retention curve (WRC) and ShC to the same equations were made by
151 Boivin *et al.* (2006b). In practice it should be possible for an experimental suction test
152 curve to be mapped to a corresponding shrinkage curve from the same sample. Thus a
153 3D critical state plot of shrinkage could be constructed, at least for a remoulded
154 sample, showing water content vs. volume vs. stress; the stress being negative.

156 The development of a new apparatus was also reported. This was designed to test
157 several small specimens mounted in a carousel device and using separate laser range
158 finders to determine diameter and height (Braudeau et al., 1999). This apparatus was
159 developed independently at around the same time as SHRINKiT and is similar in
160 principle. However, it uses much smaller specimens and, though a quicker test, is
161 probably unsuitable for undisturbed specimens. Shrinkage test methodologies and
162 models, in the field of soil science, were compared in Cornelis *et al.* (2006). They
163 concluded that the ‘balloon’ method was superior to the ‘core’ and ‘paraffin’
164 methods, and of the curve modelling methods, the SSCC of Groenevelt & Grant
165 (Groenevelt & Grant, 2001) was the simplest and most elegant.

166

167 Shrinkage research on particular soil types is less common than that dealing with
168 theoretical aspects, or utilising soil pastes rather than undisturbed specimens. For this
169 reason it is unlikely that soil physics or agronomic methods or analyses, such as those
170 described above, would find favour with geotechnical practitioners. A possible
171 exception to this might be the balloon method (Tariq & Durnford, 1993). However,
172 most geotechnical testing is based around cylindrical or discoid specimens of
173 undisturbed, remoulded or compacted material, such as might be obtained by drilling,
174 rather than irregular ‘clods’. The following deals with a proposed geotechnical
175 approach to shrinkage measurement which follows logically from the BS methods
176 BS1377:1990 (BSI, 1990), but which provides additional data of use in characterising
177 the engineering behaviour of a clay soil.

178

179 THE SHRINKiT APPARATUS

180 The apparatus (Fig. 2) described in Hobbs et al. (2010) has five active components:

- 181 a) A laser rangefinder (to measure diameter and height).
- 182 b) A digital balance (to measure weight).
- 183 c) Motorised rotating platform.
- 184 d) Motorised elevation gantry.
- 185 e) Motorised gripper to allow rotation.

186

187 The apparatus is designed to take a 100 x 100 mm cylindrical test specimen. However,
188 the range of sizes that can be accommodated is 50 to 110 mm (diameter) and 50 to
189 140 mm (height), dependent on net shrinkage during the test. The test typically takes
190 between 3 and 5 days, depending on soil type, specimen state and environmental
191 conditions, during which the specimen is scanned twice hourly for the first 24 hours
192 and hourly thereafter. At the conclusion of air-drying, the specimen is removed from
193 the apparatus, oven dried at 105°C and returned to the apparatus for its final scan.
194 Thus, the specimen is only handled twice during the test. Volume measurements are
195 calibrated against metal cylinders of varying size and shape with known volume.

196

197 The TRL apparatus recommended by BS1377:1990 (BSI, 1990) is difficult to use
198 particularly with fissured, voided, silty, weak, sensitive or highly plastic clays in an
199 undisturbed state. Over-consolidated, tropical and loessic soils usually fall into this
200 category. Fewer problems are experienced when testing remoulded or normally
201 consolidated undisturbed soils. However, cracks which develop during the test tend to
202 be entered by tiny globules of mercury, a proportion of which remain within the
203 specimen during drying, particularly where surfaces are rough or silty. This results in
204 combined volumetric and weighing errors of up to 5% and allows mercury vapour

205 into the atmosphere. Larger globules are dislodged by tapping the specimen on
206 removal from the cell whereas tiny globules are not. Additionally, fragments of soil
207 may detach from the specimen and fall into the mercury. This introduces further
208 volumetric and weighing errors. The BS1377:1990 (BSI, 1990) subsidiary method
209 (equivalent to the ASTM method) uses a small disc of remoulded soil, is even less
210 well suited to undisturbed soil specimens and is even less safe, as the mercury is open
211 to atmosphere and prone to spillage. Existing test methods using mercury should be
212 carried out in a fume cupboard. To the authors' knowledge this is often not the case in
213 some countries. In addition, the disposal of mercury contaminated specimens requires
214 special procedures.

215

216 Neither BS method requires the volumetric strain (net shrinkage) of the specimen to
217 be recorded, though a plot of volume per 100g of dry soil, U vs. water content is
218 specified. The volumetric strain is dependent on initial degree of saturation. The test
219 specimen has to be capable of being handled and of self support without slumping in
220 the early stages of the test. In practice the upper limit of initial water content lies
221 between the liquid and plastic limits, while the lower limit must be sufficiently above
222 the shrinkage limit to clearly define the straight portion of the plot (line AB in Fig. 1).

223

224 The SHRINKiT measures the overall volume change of the test specimen by measuring
225 its height and diameter at up to 3,600 points around its periphery. This is effectively a
226 scan of the specimen where the calculation of volume is based on a 'stack of discs'
227 model; the weight of the specimen being determined for each scan. A plot of water
228 content versus volume may thus be produced, as for the BS1377:1990 (BSI, 1990)
229 tests (Fig. 1), and the shrinkage limit determined using the same graphical
230 construction (Head, 1992).

231

232 The SHRINKiT test method has the following advantages over mercury immersion
233 methods BS1377:1990 (BSI, 1990):

- 234 • Hazardous materials and handling facilities are eliminated.
- 235 • The test specimen is handled only at the start and end of the test.
- 236 • Larger test specimens may be used (the TRL BS1377:1990 (BSI, 1990) method
237 cannot test specimens much larger than 38 x 76 mm).
- 238 • Many more measurements can be obtained to define the shrinkage curve.
- 239 • Research capability can be added, for example decoupling the vertical and
240 horizontal components of shrinkage, or the use of wetting/drying cycles in an
241 environmental chamber.

242 The new test method has the following disadvantages:

- 243 • The current apparatus is expensive compared with BS1377:1990 (BSI, 1990)
244 apparatus, but a cheaper version could be developed.
- 245 • Volume is derived rather than measured directly (by immersion).
- 246 • Only one specimen at a time may be tested using the current apparatus.

247

248 SHRINKAGE LIMIT TEST RESULTS

249 Thirty-six specimens, from several in-house BGS projects (Hobbs *et al.*, 2000; Jones
250 *et al.*, 2006; Hobbs *et al.*, 2012), and from University of Leeds student theses (Kadir,
251 1997; Marchese, 1998), have been tested using version 1 or SHRINKiT. These have
252 included British clay formations, glacial deposits, tropical clay soils and bentonite.
253 Many of these samples were not capable of being tested using the TRL method and

254 hence no comparative data are available. A full set of results for all shrinkage limits,
 255 and their associated index tests, are shown in Tables 1 & 2. Where available, the
 256 comparative tests show good correlation and there is every indication that the direct
 257 (immersion) method of volume measurement and the SHRINKiT method are
 258 comparable for all the soil types tested.

259

260

Table 1 Results of shrinkage limit (version 1) tests

Formation (state)	Location	w _o	w _L	I _p	w _s v.1	w _s BS1377	ΔV _{tot} v.1	ΔV _{tot} BS1377
		(%)	(%)	(%)	(%)	(%)	(%)	(%)
Gault (U)	Selborne	21.9	64.0	34.0	15.5	14.9	10.0	10.6
Gault (U)	Leighton Buzzard	41.6	88.4	44.8		24.0	15.4	
Gault (U)	Leighton Buzzard		94.0	54.0	12.3	11.4		
Mercia Mst. (U)	Gringley	16.7	37.0	14.0	14.4		4.4	
Mercia Mst. (U)	Gringley	17.7	36.7	14.3	12.6	12.6	6.7	
Mercia Mst. (C)	Gringley		40.0	19.0	9.5	9.5		
London Clay (U)	Newbury	25.8	59.0	30.0	16.6	17.7	9.1	12.8
Glacio-lacustr. (U)	Afon-Teifi	28.0	57.0	27.0	20.6	22.3	11.5	15.6
Lambeth (U)	Whitecliff	22	49.0	23.0	12.3	9.9	13.3	12.4
Lambeth (U)	Newbury	15.9	42.0	22.0	8.1		14.9	
Till (U)	Filey	13.9	30.2	14.3	9.7	8.8	7.4	
Bentonite (R)	Wyoming	146.4	332.0	294.0	38.0		58.0	
Latosol (U)	Java	57.9	114.0	46.0	31.5		22.4	
Latosol (C)	Java	66.0	114.0	46.0	27.7		34.4	
Andosol (U)	Java	87.1	83.0	27.0	13.0		7.8	
Andosol (C)	Java	89.5	83.0	27.0	49.0		29.7	

261

Key:

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U Undisturbed

263

R Remoulded

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C Compacted

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266

Table 2 Results of shrinkage limit (SHRINKiT) tests

Formation (state)	Location	w _L	I _p	w _s	R _s	w ₀	S _n	ΔV _{tot}	I _s	LI	Ψ
		(%)	(%)	(%)	(g/mm ³)	(%)	(%)	(%)	(%)		
Head (R)	East Leake (Notts.)	48.0	24.0	9.3	1.82	39.0	92.0	32.0	14.7	0.6	2.02
Till (U)	Reepham (Norfolk)	24.0	12.0	9.9	2.30	13.6	98.9	7.7	2.1	0.13	1.76
Till (U)	Spurn Point (Yorks.)	41.0	23.0	10.5	1.90	19.3	90.6	7.6	7.5	0.06	1.17
Till (U)	Aldbrough (Yorks.)	30.0	15.0	9.4	2.13	12.3	80.0	6.0	5.6	-0.18	0.52
Till (U)	Aldbrough (Yorks.)	37.0	20.0	10.6	2.09	16.6	93.4	10.7	6.4	-0.02	0.94
Till (U)	Aldbrough (Yorks.)	32.0	13.0	16.2	1.86	23.2	93.7	10.8	2.8	0.32	2.5
Till (R)	Aldbrough (Yorks.)	46.3	21.5	15.0	1.87	28.8	89.7	19.6	9.7	0.19	1.42
London (R)	Bulmer (Essex)	48.0	26.2	19.1	1.63	35.9	93.4	19.7	2.7	0.54	6.22
London (U)	Newbury (Wilts.)	65.0	39.0	13.8	1.61	26.1	75.4	12.5	12.2	0.00	1.01
London (R)	Colchester (Essex)	90.4	63.0	16.8	1.68	60.5	93.8	41.0	10.6	0.53	4.12
Reading (U)	Newbury (Wilts.)	54.0	32.0	6.2	1.85	21.1	92.0	8.5	17.8	-0.03	0.94
Gault (U)	Niton (I.O.W.) P71	67.0	39.0	8.1	1.68	25.1	88.5	8.0	19.9	-0.02	0.95
Gault (U)	Niton (I.O.W.) P71	68.0	41.0	10.7	1.58	28.9	84.3	10.8	16.3	0.05	1.12
Gault (U)	Niton (I.O.W.) P83	61.0	37.0	10.9	1.80	23.9	94.6	10.7	13.1	0.00	0.99
Gault (U)	Niton (I.O.W.) P83	69.0	47.0	8.5	1.80	23.3	90.7	11.0	13.5	0.03	1.10
Mercia (U)	Cropwell Bish. (Nott)	40.0	15.4	11.1	2.07	15.3	93.8	7.0	13.5	-0.6	0.31
Oxford (U)	Milton Keynes	53.0	25.9	16.9	1.77	30.5	101	16.3	10.2	0.13	1.33
Oxford (U)	Milton Keynes	61.0	31.0	17.6	1.71	35.3	98.0	20.3	12.4	0.17	1.43
Whitby (R)	Finedon (Northants)	61.0	35.0	20.0	1.78	51.4	90.5	39.1	6.0	0.73	5.23
Westbury (C)	East Leake (Notts.)	55.0	21.0	11.3	1.49	20.9	56.5	6.5	22.7	-0.62	0.42

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Key:

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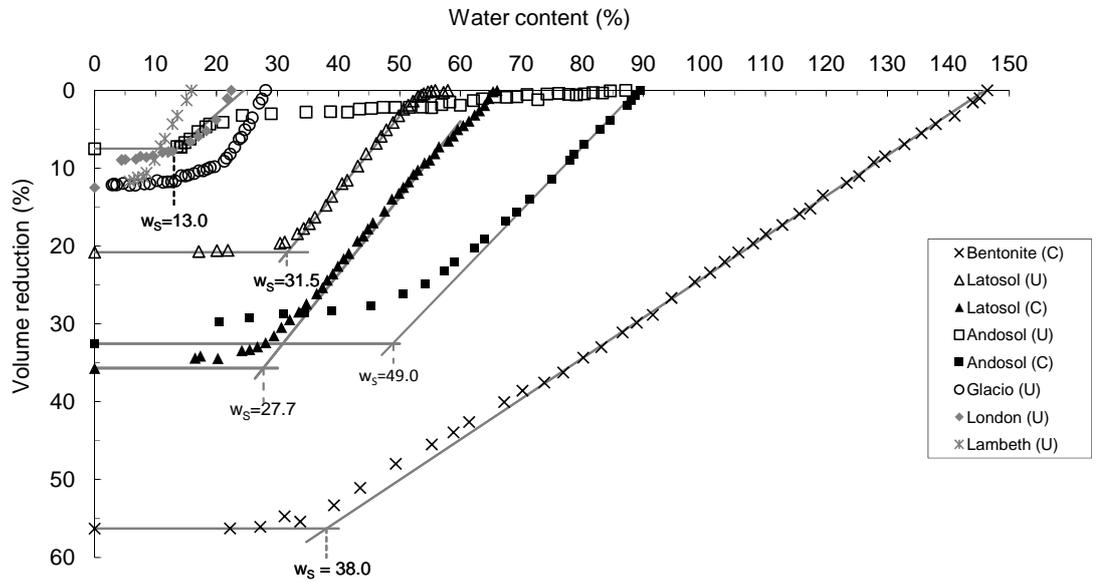
U Undisturbed

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R Remoulded

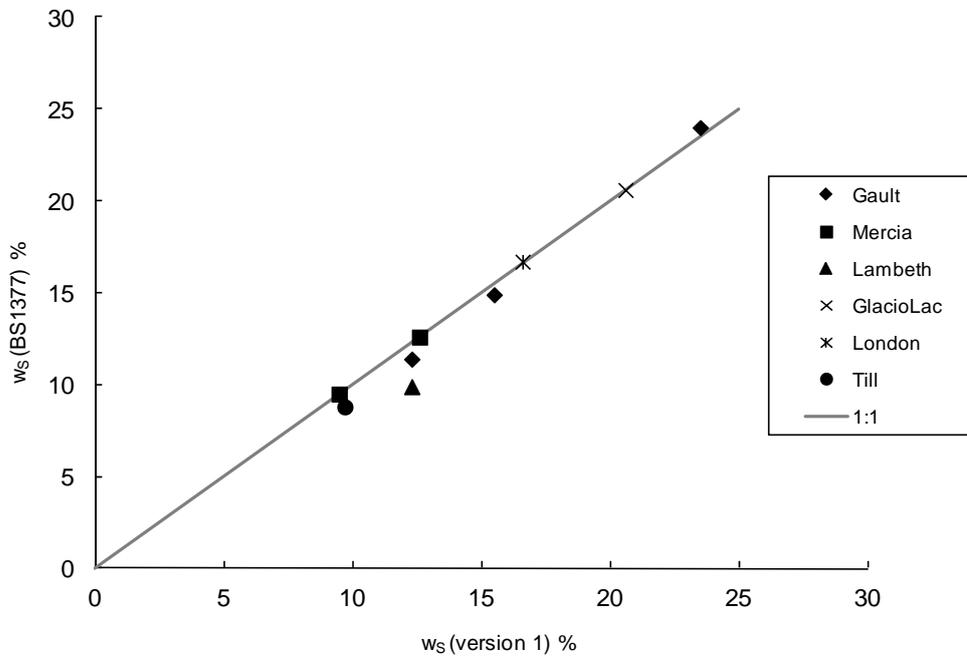
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C Compacted



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Figure 3 Plot of water content vs. volume reduction for version 1 tests on remoulded Wyoming bentonite and two tropical red clays from W. Java, Indonesia (undisturbed and compacted) showing shrinkage limit construction, plus three British clays for comparison. Refer to Table 1.

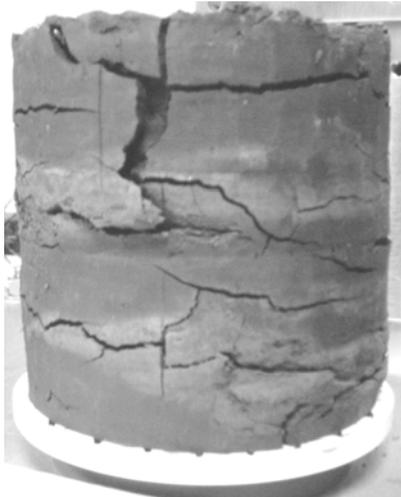


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Figure 4 Comparison between version 1 and BS1377 shrinkage limit results

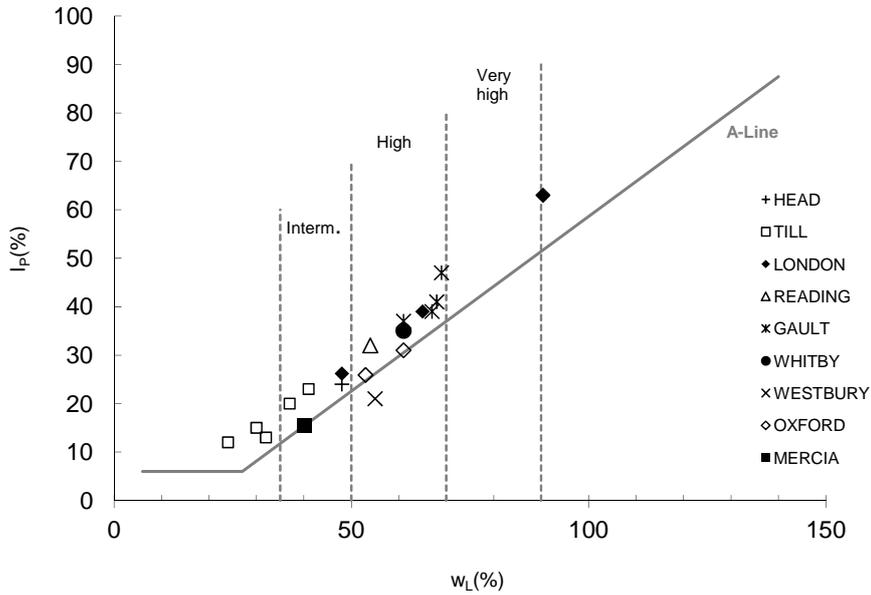
The data shown in Figs. 3 and 4 are taken from Table 1.

283 Results to date have given shrinkage limits ranging from 9 to 49%. Volumetric strains
284 of between 4 and 58% have been measured. Samples with extremely high plasticity,
285 for example Gault Formation and Wyoming bentonite, have tended to crack severely
286 during the test. This has affected the shape of the shrinkage curve and may have
287 affected the result. It has also highlighted the issue of whether the volume of fissures
288 should be included in the 'volume' or whether the external surface alone should be
289 taken irrespective. With extremely high plasticity samples the unusual situation
290 occurs whereby volume reduction due to shrinkage is accompanied by volume
291 increase due to development and opening of cracks, the net change being reasonably
292 well measured by the test in most cases. In practice, such samples (Fig. 5) would be
293 deemed untestable within the principles of the BS (or other) immersion tests.
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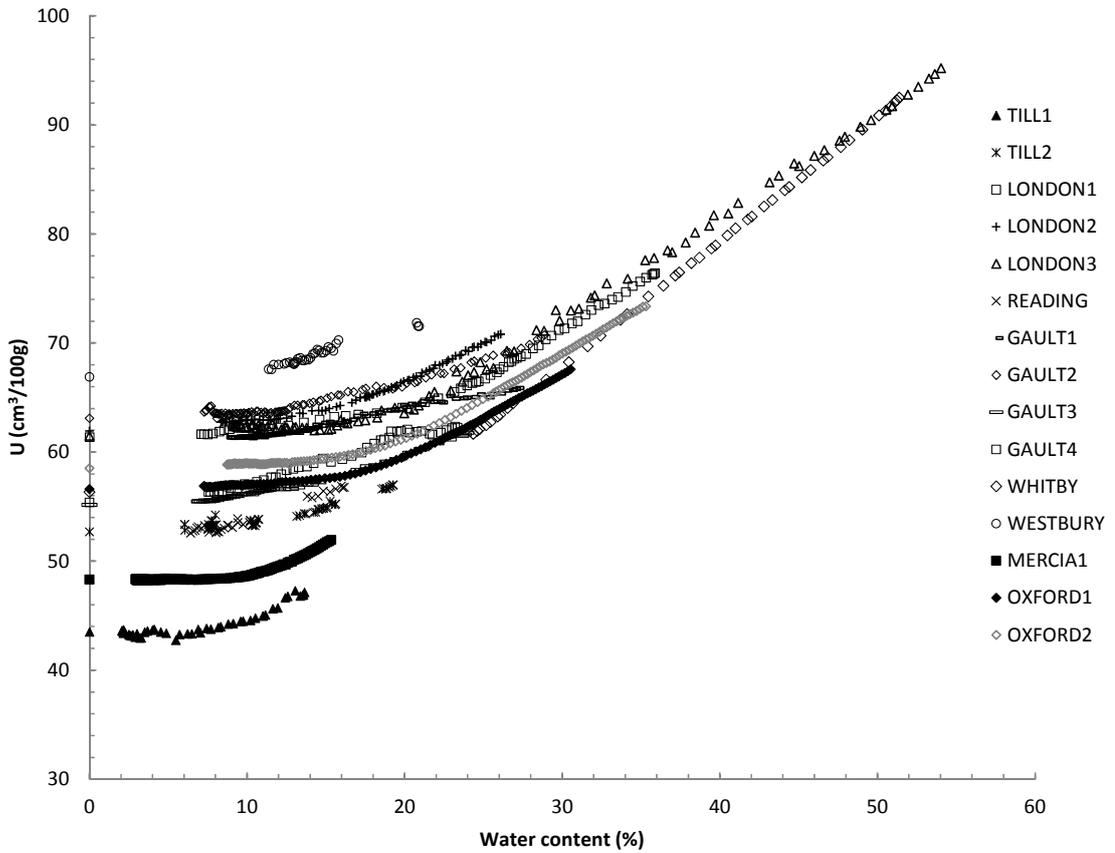
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296 **Figure 5 Example of heavily fractured SHRINKiT (undisturbed) test specimen, post-test**
297

298 The Casagrande plasticity chart for selected shrinkage samples is shown in Fig. 6.
299 The results of the SHRINKiT tests are shown in Fig. 7. These reveal the characteristic
300 'hockey stick' shape of the mid and lower parts of the soil-water characteristic curve
301 (Fredlund & Xing, 1994). The tendency is for the early (high water content) parts of
302 the curves to be coincident whilst the later (low water content) parts diverge at or near
303 the air entry point.



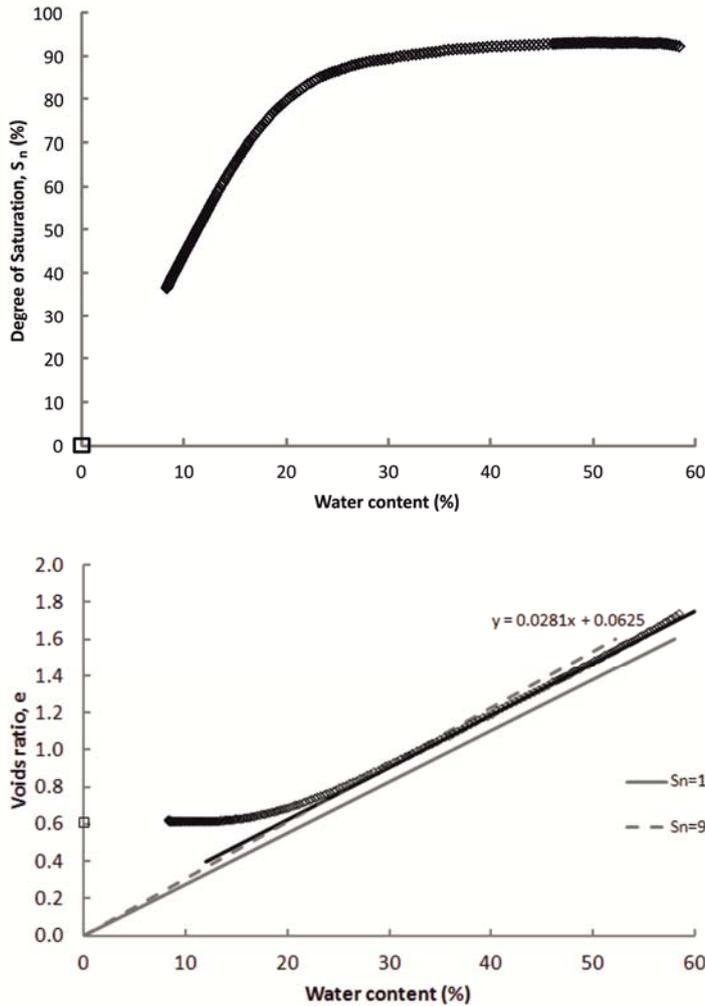
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Figure 6 Casagrande plasticity plot for SHRINKIT test samples



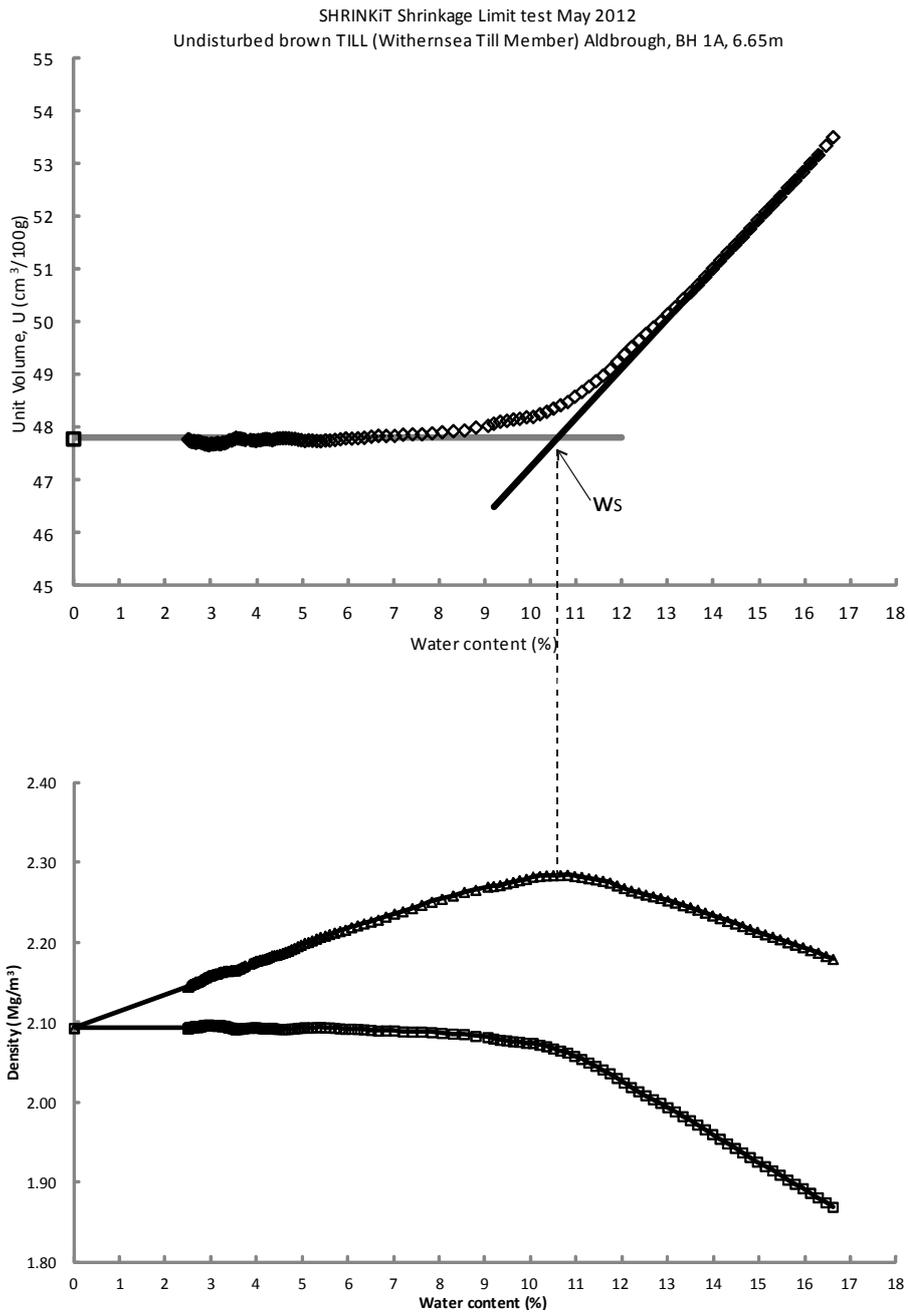
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Figure 7 Plot of Water content vs. Volume per 100g dry soil, U for selected British soils (SHRINKIT shrinkage limit test)



311 **Figure 8** Changes in saturation and voids ratio during SHRINKiT shrinkage limit test (Remoulded
 312 London Clay Formation, Colchester; $w_L = 90.4\%$, $w_P = 27.4\%$, $w_S = 19.9\%$)
 313
 314

315 An example of the changes in saturation taking place during the shrinkage test is
 316 shown in Fig. 8. The straight black line is the best-fit to the straight portion of the
 317 experimental plot. The grey lines are for the condition of 100% and 90% degree of
 318 saturation (for $G_s = 2.76$). The air entry point according to Braudeau *et al.*, (1999) is
 319 the minimum water content at which the soil remains saturated under atmospheric
 320 conditions. This should therefore be where the experimental shrinkage curve starts to
 321 depart from the straight line and the degree of saturation starts to reduce rapidly (Ho
 322 & Fredlund, 1989; Fredlund & Rahardjo, 1993). This would lie somewhere between
 323 35 and 40% water content, placing it well above the plastic limit which does not
 324 match the interpretation of Sridharan & Prakash (1998a) whereby the air entry point
 325 lies just above the shrinkage limit. The most likely interpretation is that the air entry
 326 point is the point below which *significant* loss of saturation takes place. This would
 327 place it closer to the shrinkage limit as indicated by Sridharan & Prakash (1998a) and
 328 probably on the point of maximum slope change in the saturation plot; in a similar
 329 manner to the analysis of an e-logP plot in the consolidation test.



332

333

334

Figure 9 Comparative plots of unit volume and bulk & dry density vs. water content for a SHRINKiT test on till (undisturbed).

335

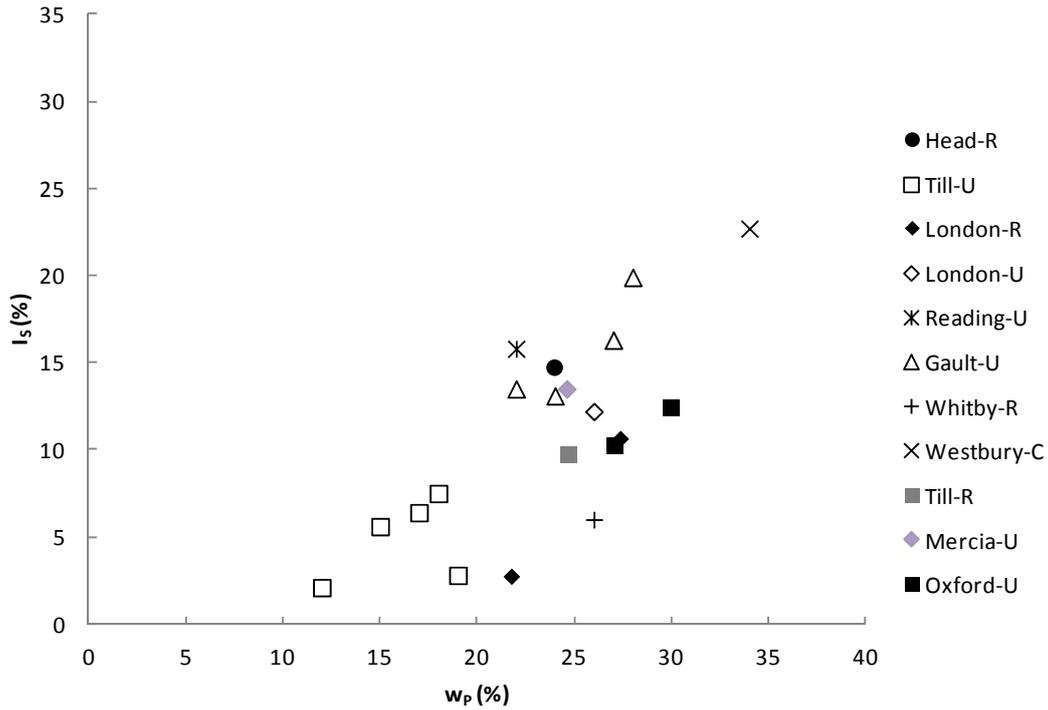
336

337

It is noted that the shrinkage limit (Fig. 9, upper) matches the maximum bulk density of the specimen (Fig. 9, lower). This point also matches the point of maximum curvature on the dry density curve.

338

339



340
341 **Figure 10 Plot of plastic limit vs. shrinkage index (by formation) for SHRINKit tests**
342

343 A plot of plastic limit vs. shrinkage index is shown in Fig. 10. This plot is equivalent
344 to the Casagrande plot of liquid limit vs. plasticity index. The equation of the ‘best-
345 fit’ line for the undisturbed samples is as follows:
346

$$I_s = 0.78(w_p - 8.3) \quad (1)$$

$$n = 14, R^2 = 0.63, SE = 0.17, p = 0.0007$$

347
348 This is close to the equation for the ‘upper-bound’ B-line often quoted for the
349 Casagrande plot (Head, 1992; Reeves et al., 2006):
350

$$I_p = 0.9(w_L - 8) \quad (2)$$

351
352 The equation of the ‘best-fit’ line for the remoulded samples (Fig. 10) is as follows:
353
354

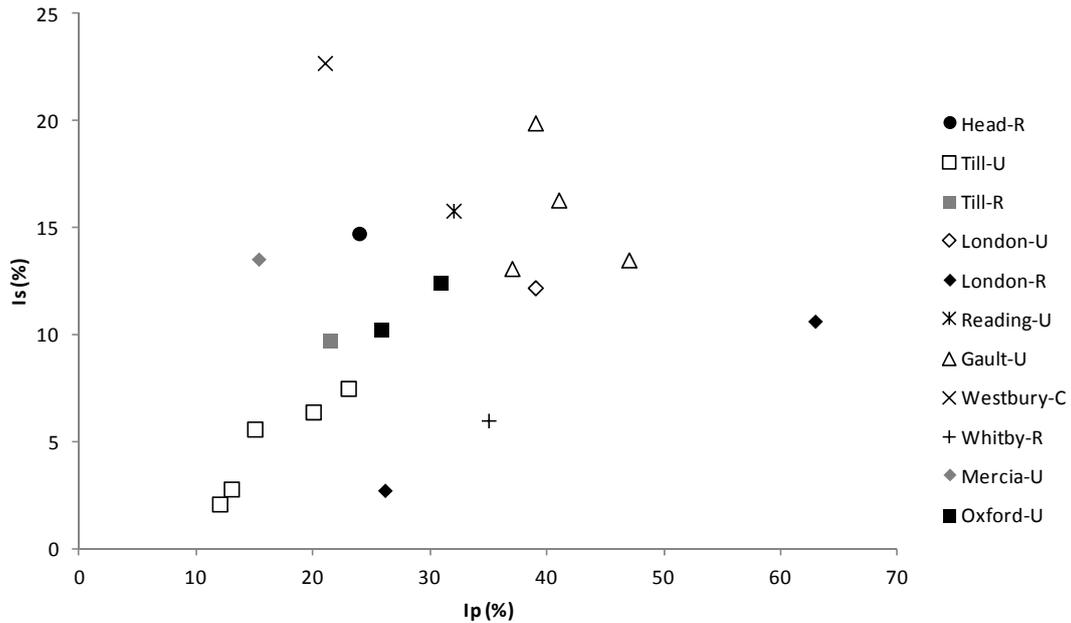
$$I_s = 0.86(w_p - 17.2) \quad (3)$$

$$n = 5, R^2 = 0.51, SE = 0.35, p = 0.048$$

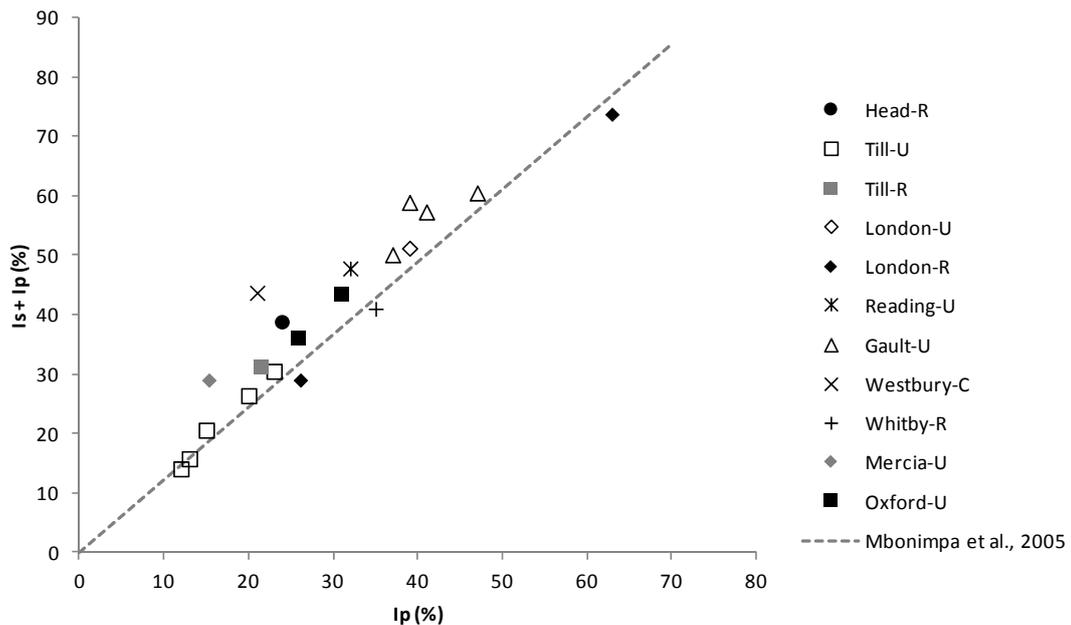
355
356 This could be considered equivalent to the Casagrande A-line which has the
357 equation:
358

$$I_p = 0.73(w_L - 20) \quad (4)$$

359
360 However, the relationship is poor, reflecting the paucity of measurements.
361
362

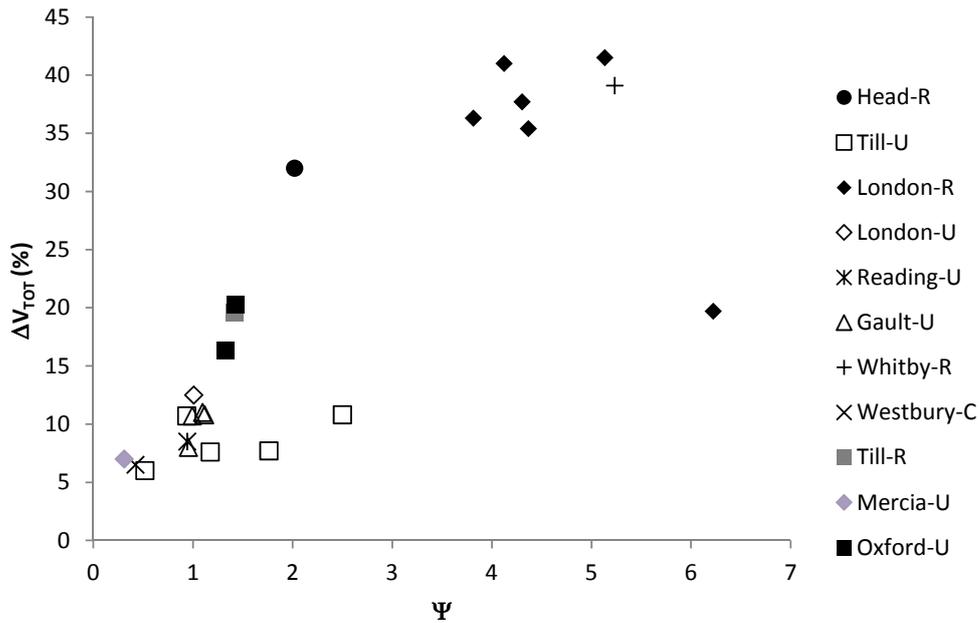


363
 364 **Figure 11 Plot of plasticity index vs. shrinkage index for SHRINKiT tests. U=undisturbed,**
 365 **R=remoulded, C=compacted**
 366



367
 368 **Figure 12 Plot of plasticity index vs. shrinkage index + plasticity index for SHRINKiT tests.**
 369 **U=undisturbed, R=remoulded, C=compacted**
 370

371 A plot of plasticity index vs. shrinkage index is shown in Fig.11. This shows a rather
 372 poor correlation, with remoulded London Clays being notable amongst the outliers. A
 373 plot of plasticity index vs. shrinkage index + plasticity index is shown in Fig. 12. This
 374 shows a much better positive correlation which is similar to that reported by
 375 Mbonimpa *et al.* (2005) for indirect determination of shrinkage limit from the
 376 Casagrande chart for a variety of remoulded Canadian soils (Note: definition of
 377 shrinkage index in Mbonimpa *et al.*, 2005 differs from that used here).



378
 379 **Figure 13 Plot of shrinkability index vs. volumetric strain (by formation) for SHRINKiT tests.**
 380 **U=undisturbed, R=remoulded, C=compacted**

381
 382 If shrinkability index, is defined as follows,
 383

$$\Psi = \frac{(w_0 - w_s)}{I_s} \quad (5)$$

384
 385 then a plot of shrinkability index vs. volumetric strain for the SHRINKiT tests is shown
 386 in Fig. 13. This shows a reasonable positive correlation, albeit with insufficient data
 387 to characterise each formation statistically. Taken together the data gave the
 388 following:

$$\Delta V_{TOT} = 12.76 \ln(\Psi) + 12.9 \quad (6)$$

$n = 24, SE = 1.87, p = 7.6 \times 10^{-7}$

395 CONCLUSIONS

396 The SHRINKiT test has been shown to measure the shrinkage limit of a clay soil in a
 397 geotechnical framework within acceptable levels of accuracy using a safe method.
 398 This method provides an alternative to the current BS methods and equivalent
 399 mercury immersion methods used worldwide. A wide range of shrinkage behaviour
 400 has been demonstrated comparing British and tropical clays. It is likely that some
 401 types of extremely high plasticity clays are untestable following the principles of the
 402 BS tests. Some basic relationships have been explored with other common Atterberg
 403 parameters and with the shrinkage equivalents of plasticity and liquidity indices. The
 404 unequivocal establishment of line AB (Fig. 1) is crucial to obtaining the correct test
 405 result. The large number of measurements possible with SHRINKiT allows this, and
 406 may also allow interpretation of the air-entry point, particularly in the light of Haigh
 407 *et al.* (2013). The basis for renewed research in the field of geotechnics has been
 408 established, particularly with regard to the significance of the shrinkage limit and also

409 shrinkage anisotropy and the relative behaviour of undisturbed and remoulded
410 samples. Determination of colour change and crack development will also be
411 incorporated.

412
413

414 NOTATION

415	G_S	Specific gravity
416	I_P	Plasticity index
417	I_S	Shrinkage index ($= w_P - w_S$)
418	L_S	Linear shrinkage
419	n	Number of samples
420	p	p-value
421	R_S	Shrinkage ratio
422	S_n	Degree of saturation
423	w_0	Water content at start of test
424	w_L	Liquid limit
425	w_P	Plastic limit
426	w_S	Shrinkage limit
427	Ψ	Shrinkability index
428	ΔV_{tot}	Volumetric strain (total volume reduction during test, <i>dependent on w_0</i>)
429	BGS	British Geological Survey
430	BS	BS1377 preferred method (TRL apparatus)

431
432

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