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24 sample set ( $R^2 = 0.91$ ), and 230 nm for the other three sample sets (respective  $R^2$  values of  
25 0.86, 0.81, and 0.93).

26 ii) A comparison of different DOC concentration proxies, including single wavelength  
27 proxies, a two wavelength model, a proxy using phenolic concentration, and a proxy using  
28 the area under a UV spectrum at 250-350 nm. It was found that both a single wavelength  
29 proxy ( $\leq 263$  nm) and a two wavelength model performed well for both pore water and  
30 surface water.

31 iii) An evaluation of the E2:E3, E2:E4, E4:E6 ratios, and SUVA (absorbance at 254 nm  
32 normalised to DOC concentration) as indicators of DOC quality showed that the E4:E6 ratio  
33 was subject to extensive variation over time, and was highly correlated between surface water  
34 and pore water, suggesting that it is a useful metric to determine temporal changes in DOC  
35 quality.

36 iv) A repeated weekly analysis over twelve weeks showed no consistent change in UV-Vis  
37 absorbance, and therefore an inferred lack of degradation of total DOC in samples that were  
38 filtered and stored in the dark at 4°C.

39

40 Keywords: Peatland, dissolved organic carbon, UV-visible spectroscopy, absorbance, water  
41 colour, E4:E6 ratio,

42

## 43 **1. Introduction**

44 Dissolved organic carbon (DOC) is a ubiquitous component of the freshwater carbon  
45 cycle. It attenuates UV radiation<sup>1</sup>, influences the functioning of aquatic ecosystems<sup>2</sup>, impacts  
46 on water treatment costs<sup>3</sup>, and has implications for human health in potable water due to its  
47 role as a precursor of disinfectant by-products<sup>4</sup>. Large increases in DOC concentrations have  
48 been observed in surface waters draining semi-natural ecosystems across many areas of

49 Northern Europe and Northeastern North America during the last 20-40 years<sup>5,6,7</sup>. While  
50 these increases have been widely attributed to ecosystem recovery from acid deposition<sup>7,8,9</sup>,  
51 many other alternative or additional drivers have also been proposed<sup>5,10,11,12</sup>. Given this  
52 continued uncertainty, the magnitude of observed change, and the ecological, economic and  
53 potential health consequences of DOC, the analysis of DOC concentrations in natural waters  
54 has become increasingly widespread.

55         The controls on production and consumption of DOC are somewhat complex, and  
56 include factors such as vegetation composition, hydrology and soil chemistry. For instance,  
57 vegetation can influence local hydrology and soil temperature, and plants can stimulate  
58 microbial activity through the release of root exudates. These will affect rates of  
59 decomposition and therefore DOC concentrations<sup>13</sup>. It has been demonstrated that soil  
60 acidity directly affects DOC concentrations<sup>8</sup>, because a higher pH increases the solubilisation  
61 of DOC<sup>14</sup>. Furthermore, pore water DOC concentrations can vary across small spatial scales,  
62 and it has been suggested that hotspots of decomposition are driven by elevated activities of  
63 extracellular enzymes. These high enzyme activities are associated with the presence of  
64 electron acceptors such as iron, the availability of labile nutrients, and pH changes<sup>15</sup>. Finally,  
65 there are simple hydrological mechanisms that control DOC concentrations; a greater flow of  
66 water through a soil profile will flush out more DOC, and the magnitude of this will depend  
67 on how often this flushing occurs<sup>16</sup>.

68         DOC is commonly measured directly using two laboratory methods. DOC can be  
69 measured as ‘non-purgeable’ organic carbon (NPOC) by converting inorganic carbon to  
70 carbon dioxide (CO<sub>2</sub>) and purging this CO<sub>2</sub>. The remaining organic carbon is then converted  
71 to CO<sub>2</sub> by thermal oxidation and measured by absorbance of infra-red radiation. The second  
72 method involves measuring total carbon (TC) and inorganic carbon (IC) and deriving DOC  
73 by subtracting IC from TC. Both of these methods require access to relatively expensive

74 analytical equipment. Other, cheaper options exist, such as the method that uses a  
75 chemically-induced colour change to measure DOC<sup>17</sup>. A simpler method is to use UV-visible  
76 (UV-Vis) spectroscopy, as the absorbance of light by water from natural systems is highly  
77 dependent on DOC concentration, therefore absorbance can be used as a proxy for DOC  
78 concentration<sup>18</sup>. In the water treatment industry, absorbance at 254 nm is often used as a  
79 surrogate for DOC because aromatic humic substances are the dominant component of DOC  
80 in natural waters, and these absorb light in the UV (and to a lesser extent visible) part of the  
81 electromagnetic spectrum<sup>19</sup>. Humic substances can comprise up to 90% of DOC in some  
82 lakes and wetlands, although their contribution can vary considerably<sup>20</sup>. The link between  
83 aromaticity and absorbance at 254 nm has been demonstrated directly using <sup>13</sup>C NMR  
84 spectroscopy<sup>21</sup>. By establishing a calibration between a number of paired DOC and  
85 absorbance values, DOC concentrations can be calculated relatively cheaply and quickly by  
86 just measuring absorbance.

87         Apart from 254 nm, numerous other wavelengths have been used as proxies for DOC  
88 concentration, listed in Table 1. Occasionally DOC is calculated for a specific site using a  
89 calibration generated elsewhere<sup>37</sup>, but Wallage & Holden<sup>33</sup> caution against this, as  
90 calibrations can vary according to factors such as soil type, vegetation, peat depth and land  
91 management, as well as with time due to variations in DOC structural characteristics.  
92 However, the study in question<sup>33</sup> investigated 400 nm as a proxy which is within the visible  
93 spectrum. Absorbance in visible wavelengths is used in the water industry as it is important  
94 to the aesthetic quality of treated waters, but as humic substances are coloured to varying  
95 degrees<sup>20</sup> a wavelength in this region may not be the most appropriate proxy for total DOC.  
96 Measuring absorbance at a lower wavelength in the UV spectrum may produce more robust  
97 calibrations<sup>38</sup>.

98 More sophisticated methods to calculate DOC concentration using light absorbance  
99 have been proposed, such as the use of two wavelengths<sup>39,40</sup>, multiple wavelengths<sup>41</sup>, and the  
100 method of Wang & Hsieh<sup>38</sup> which uses the area under the UV-Vis spectra as a proxy.  
101 Chemical methods of estimating DOC also exist, such as that proposed by Peacock *et al.*<sup>42</sup>  
102 which uses the concentration of phenolics in a water sample as a DOC proxy. Phenolics are  
103 plant metabolites and a type of DOC<sup>43</sup>. Despite the availability of these methods, numerous  
104 environmental studies continue to rely on calibrations using one wavelength<sup>30,44</sup>. In light of  
105 this, a thorough investigation of the appropriateness of different wavelength proxies is  
106 needed, as well as a comparison of different methods.

107 In addition to being used as a proxy for DOC concentration, UV-Vis spectroscopy is  
108 also used as a tool to provide information on the structure and composition of DOC (table 2).  
109 The 'E4:E6' ratio is frequently cited as a measure of humification or molecular weight<sup>20,53</sup>,  
110 and is the ratio of absorbance at two wavelengths; one in the region of 400 nm and one in the  
111 region of 600 nm. Similarly, the 'E2:E3' ratio (absorbance at 250 nm and 365 nm) is used as  
112 an estimation of aromaticity and molecular weight<sup>45</sup>. 'SUVA' (specific UV absorbance: an  
113 absorbance measurement, usually taken at 254 nm, divided by DOC concentration) is also a  
114 measure of aromaticity<sup>21</sup> and has been positively correlated with DOC hydrophobicity and  
115 molecular weight<sup>54</sup>. E2:E4 ratios are sometimes used, where absorbance is measured at two  
116 wavelengths; one around 200 nm and one around 400 nm. This ratio has been cited as a  
117 measure of humification<sup>48</sup>, and as a comparison of the UV-absorbing functional groups and  
118 coloured ones in DOC<sup>46,47</sup>. Similarly, spectral slope ratios can provide information on  
119 molecular weight<sup>55</sup>. However, doubt has been expressed over the use and applicability of  
120 some of these ratios; for instance O'Driscoll *et al.*<sup>56</sup> observed no correlation between the  
121 E4:E6 ratio and other measures of DOC character.

122 In view of the prevalence of spectrophotometric analysis, it is also worth considering  
123 how long a water sample can be retained in storage before analysis, and still produce an  
124 accurate and reliable result. Current practice is to measure absorbance as soon as possible  
125 after sampling, often within one day (e.g. Wilson *et al.*<sup>44</sup>) so as to limit the effects of  
126 biological or physicochemical degradation. Under the Disinfectant/Disinfection By-products  
127 Rule of the US Environmental Protection Agency, samples for UV scanning must be  
128 analysed within two days<sup>57</sup>. However, there does not appear to be any detailed study in the  
129 literature describing the changes in absorbance of stored samples over time.

130 The aim of this experiment is therefore four-fold:

- 131 1. To investigate the appropriateness of different wavelengths as proxies for DOC  
132 concentration, and to observe whether this changes for different sets of samples  
133 (hereafter referred to as the “DOC single wavelength proxy assessment”).
- 134 2. To compare different indirect methods of DOC measurement (a two wavelength  
135 model, a phenolics proxy, the area under an absorbance spectrum, and a simple  
136 calibration using a single wavelength) (hereafter referred to as the “alternative DOC  
137 proxy comparison”).
- 138 3. To assess the suitability of the E2:E3, E2:E4, and E4:E6 ratios, and SUVA (hereafter  
139 referred to as the “E ratio and SUVA assessment”).
- 140 4. To repeatedly measure the weekly change (if any) in absorbance for a set of water  
141 samples (hereafter referred to as the “absorbance degradation” experiment).

142 Taken together, the findings will enable recommendations to be made regarding the use  
143 of UV-Vis spectroscopy as a tool to analyse DOC concentration and quality in natural  
144 waters.

145

## 146 **2. Materials and Methods**

## 147 2.1. Site descriptions and sampling

148 Samples were taken from two ombrotrophic peatlands in north Wales, UK. The  
149 catchment of the Afon Ddu (latitude 52.97°N, longitude 3.84°W) is part of the Migneint  
150 blanket bog. Dominant vegetation is *Calluna vulgaris* with some *Eriophorum* and *Sphagnum*  
151 species. Mean annual air temperature is 8.6°C and mean annual precipitation is 2200 mm<sup>58</sup>.  
152 The altitude is 490 m above sea level. Three sets of samples were from an experimental site  
153 within which recent peat restoration has been undertaken by blocking old drainage ditches,  
154 within a replicated and controlled experimental design (note that the restoration work did not  
155 appear to have any immediate effects on DOC concentration or quality). Samples were  
156 collected from i): surface water from twelve open or blocked ditches, ii) pore water samples  
157 taken from twelve piezometers at 10 cm depth, and iii) overland-flow surface water samples  
158 collected from twenty four crest-stage tubes. Samples were collected between January 2011  
159 and October 2012.

160 The catchment of the Alwen Reservoir (latitude 53.07°N, longitude 3.57°W) is 21 km  
161 from the Afon Ddu catchment. The catchment comprises some blanket peat dominated by  
162 *Sphagnum* species, alongside *Calluna vulgaris* and *Eriophorum*. Approximately 30% of the  
163 catchment is afforested, the main species being *Picea sitchensis* and *Picea abies*, plus some  
164 *Larix kaempferi*, *Fagus sylvatica* and *Pinus sylvestris*. The altitude is 370 m above sea level.  
165 Mean annual air temperature is 8.6°C and mean annual precipitation is 1430 mm<sup>59</sup>. Samples  
166 from the Alwen Reservoir catchment were taken monthly from six streams from October  
167 2011 to May 2012. The soils of the sub-catchments of the six streams were either  
168 predominantly peat or acid organo-mineral upland soils. The habitats varied and included  
169 unimproved acid grassland, dry acid heath, blanket bog, forest plantation, and acid/neutral  
170 flush.

171 After collection, water samples were stored in the dark at 4°C before analysis and  
172 filtration. Analysis always took place within one week of collection. Samples were filtered  
173 through Whatman 0.45 µm cellulose nitrate filters and analysed for DOC using an Analytical  
174 Sciences Ltd Thermalox Total Carbon analyser, equipped with a CO<sub>2</sub> detector. Samples were  
175 acidified (pH < 3) with 1M hydrochloric acid, sparged with oxygen to remove any inorganic  
176 carbon, and DOC concentrations calculated using a seven point calibration curve (potassium  
177 hydrogen phthalate, concentrations: 5, 10, 20, 30, 40, 50, 60 mg L<sup>-1</sup>), with additional  
178 standards (selected to be close to the expected concentration of the samples) to check for  
179 drift, (plus a quality control sample of 20 mg L<sup>-1</sup> D-glucose in ultrapure water). Several  
180 samples (1-3 per run) were duplicated to check for reproducibility. Each individual sample  
181 was injected 5 times, and the result accepted if the coefficient of variation of the five  
182 injections was less than 3%. If drift was detected (deviation from the real value of more than  
183 5%), the last set of standards at the end of the batch were used to correct for the drift by using  
184 linear regression and recalculating the DOC values from the point where the drift was  
185 detected.

186 UV-Vis analysis was conducted using a Molecular Devices M2e Spectramax plate-  
187 reader (wavelength accuracy ± 2 nm, photometric accuracy ± 0.006, photometric precision ±  
188 0.003). 348 µl of sample was pipetted (pipettes calibrated externally by Starlab on an annual  
189 basis) onto a microplate and wavelengths were scanned on a 1 nm increment from 230 nm to  
190 800 nm. Results were corrected against blanks of ultrapure water (three blanks per 96-well  
191 plate) and converted to values equivalent to those obtained using the standard cuvette  
192 method. This was done using multiplication factors that were generated by comparing  
193 cuvette and microplate analysis of 29 samples from contrasting locations (upland soil water,  
194 upland acidic freshwater, lowland alkaline freshwater). Absorbance of the samples ranged  
195 from 0.05 to 3.83 at 254 nm. For each wavelength, a linear regression was fitted between the

196 cuvette and microplate results for a range of 254 nm absorbance categories (<0.1, 0.1-0.5,  
197 >0.5) and the slope value used as the multiplication factor<sup>60</sup>.

198 Phenolic concentrations were determined using a method adapted from Box<sup>61</sup>. 0.25  
199 ml of sample was added to a clear microplate well. 12.5 µl of Folin-Ciocalteu reagent was  
200 added followed by 37.5 µl of Na<sub>2</sub>CO<sub>3</sub> (200 g L<sup>-1</sup>). After 1.5 hours the absorbance was  
201 measured at 750nm on a Molecular Devices M2e Spectramax plate-reader. Phenolic  
202 concentrations were then derived from the preparation of a standard curve using laboratory-  
203 prepared phenol standards of known concentration (0, 1, 2, 4, 6, 8, 10, 15, 20 mg L<sup>-1</sup>).

204 Data analysis was performed using SPSS v16.0.1 (IBM Corporation).

205

## 206 2.2. DOC single wavelength proxy assessment

207 For each set of samples a regression was performed between each individual  
208 wavelength (between 230 nm and 800 nm) and DOC concentration, with the aim of  
209 determining which wavelength gave the highest R<sup>2</sup> value. For the Afon Ddu catchment,  
210 monthly ditch and piezometer samples from July 2011 to January 2012 were included in the  
211 analysis, and overland-flow samples from January and July 2012 were used. For the Alwen  
212 Reservoir catchment all data was used (from October 2011 to May 2012).

213

## 214 2.3. Alternative DOC proxy comparison

215 In order to compare different procedures for indirect DOC estimations, we selected  
216 six different methods from the literature. These were:

217 1. A two wavelength model proposed by Tipping *et al.*<sup>39</sup> and advanced by Carter *et al.*<sup>40</sup>.

218 This model calculates DOC using absorbance at 270 nm and 350 nm alone, and was  
219 created using measurements of DOC and UV-vis from a variety of surface water  
220 samples.

- 221 2. The method of Wang & Hsieh<sup>38</sup>, that uses the area under the UV spectra between 250 nm  
 222 and 350 nm to create a calibration for DOC.
- 223 3. A calibration curve created using absorbance at 254 nm (refer to table 1).
- 224 4. A calibration curve created using absorbance at 400 nm (table 1).
- 225 5. A calibration created using the optimum absorbance wavelength derived from the DOC  
 226 single wavelength proxy assessment.
- 227 6. The method of Peacock *et al.*<sup>42</sup>, that uses a calibration created using phenolic  
 228 concentration.

229 All six methods were tested on two sets of samples from the Afon Ddu catchment; one  
 230 set from ditch water, and one set from pore water; and a set of stream samples from the  
 231 catchment of the Alwen Reservoir. Different sets of samples were used for both the creation  
 232 and testing of the models. Details of which samples were collected and used for the model  
 233 creation and testing are in table 3.

234 To test the different models several metrics were used. These were:

- 235 1. The mean absolute difference (in mg L<sup>-1</sup>) between modelled and measured DOC  
 236 concentrations.
- 237 2. The Nash-Sutcliffe model efficiency coefficient<sup>62</sup>, calculated as:

$$NS = 1 - \frac{\sum (DOC\ meas - DOC\ mod)^2}{\sum (DOC\ meas - DOC\ mean)^2}$$

238 where ‘DOC meas’ is the actual measured concentration of DOC, ‘DOC mod’ is the  
 239 concentration of DOC modelled using the various proxies, and ‘DOC mean’ is the  
 240 mean concentration of the actual DOC measurements. A NS value of 1 indicates a  
 241 perfect model fit, and as the NS value decreases this indicates a poorer model fit, with  
 242 a NS of 0 indicating that the model performs no better than a simple mean of the data.

- 243 3. The calibration  $R^2$ , i.e. the strength of the regression between measured DOC and  
244 each proxy (254 nm, phenolics, etc.).
- 245 4. Model  $R^2$ , i.e. the strength of the regression between measured and modelled DOC.
- 246 5. Root mean square error (RMSE) of the model regression.
- 247 6. Slope equation.

248

#### 249 *2.4. E ratio and SUVA assessment*

250 Surface water was collected from four ditches in the Afon Ddu catchment on 25  
251 occasions, from October 2010 to October 2012. Pore water was collected from four  
252 piezometers adjacent to each ditch on 23 occasions, from January 2011 to October 2012. The  
253 investigated ratios were the E2:E3 ratio (250 nm : 365 nm), E2:E4 ratio (250 nm : 400 nm),  
254 E4:E6 ratio (465 nm : 665 nm), and SUVA (254 nm : DOC).

255

#### 256 *2.5. Absorbance degradation experiment*

257 In order to quantify the rate of absorbance degradation in stored samples, sixty five  
258 water samples were collected from ditches in the Afon Ddu catchment on one day in August  
259 2012. Samples were stored in glass vials the dark at 4°C and analysed within one day.  
260 Samples were filtered and analysed (without replication) for UV-Vis as previously described.  
261 After each analysis, samples were returned to storage and reanalysed every week for 12  
262 weeks. One-way ANOVA was used to test for significant ( $p < 0.05$ ) differences in  
263 absorbance between weeks. The data did not pass the Shapiro-Wilk test for normality, but  
264 ANOVA is considered to be relatively robust with non-normal data<sup>63</sup>. Levene's test was used  
265 to test for homogeneity of variances. Depending on the result of this test, the post-hoc test  
266 used was either Tukey HSD (equal variance assumed) or Tamhane's T2 (equal variance not  
267 assumed).

268

### 269 **3. Results**

#### 270 *3.1. DOC single wavelength proxy assessment*

271 For all four sets of samples the best fit between DOC and absorbance occurred in the  
272 lower wavelengths, and declined as wavelength increased (Fig.1).  $R^2$  was above 0.8 for some  
273 wavelengths in each of the four sample sets, indicating a strong correlation between DOC and  
274 absorbance. For pore water, overland-flow water, and Alwen Reservoir samples the  
275 strongest fit between absorbance and DOC (indicated by the highest  $R^2$  and lowest residual  
276 variance) was found at 230 nm, but for ditch water the strongest fit was at 263 nm. Whilst  
277 the  $R^2$  of the piezometer and Alwen Reservoir samples began dropping immediately at higher  
278 wavelengths, the  $R^2$  for the other two sample sets were relatively stable up to approximately  
279 350 nm, after which they declined. This decline in  $R^2$  was a gradual process, and  $R^2$  dropped  
280 below 0.7 in ditch water samples at wavelengths above 702 nm, and above 474 nm in pore  
281 water. In overland-flow and Alwen Reservoir samples the  $R^2$  dropped below 0.7 at 435 nm  
282 and 500 nm respectively. In the higher wavelengths ( $> 600$  nm) the ditch, pore water and  
283 overland-flow samples show high variability in  $R^2$  between adjacent wavelengths, but this is  
284 absent from Alwen Reservoir samples where  $R^2$  continues to smoothly decline to 800 nm.  
285 Additionally, pore water samples show a ‘trough’ between 670 nm and 710 nm where  $R^2$   
286 rapidly decreases then increases, indicating a weaker fit between DOC and absorbance at  
287 these wavelengths. Examination of the raw spectra shows that there is a small increase in  
288 absorbance between these wavelengths.

289

#### 290 *3.2. Alternative DOC proxy comparison*

291 The six methods detailed in section 2.2 were used to calculate DOC. Using the results  
292 from the DOC single wavelength proxy assessment, absorbance at 263 nm was chosen for

293 ditch water, and 230 nm was chosen for pore water and stream water samples. Table 4 shows  
294 the summary results.

295 For ditch water the best estimates of DOC were found using an absorbance proxy at  
296 254 nm, although the spectre area method and a proxy at 263 nm also performed well. The  
297 two wavelength model was also relatively accurate. An absorbance proxy at 400 nm and a  
298 phenolics proxy performed the least well. The highest Nash-Sutcliffe values were found for  
299 the spectra area method, and absorbance proxies at 254 nm and 263 nm, which all scored  
300 0.96 (table 4). The lowest scoring method (0.86) was for the phenolics proxy. RMSE was  
301 lowest for an absorbance proxy at 254 nm, and this proxy also had the highest model  $R^2$   
302 (0.98, also the model  $R^2$  for an absorbance proxy at 263 nm and the spectra area method).  
303 The model  $R^2$  was lower for phenolic and 400 nm proxies (table 4). It should be noted that  
304 the wavelength that was selected on the basis of the DOC single wavelength proxy  
305 assessment (263 nm) generated identical calibration and model  $R^2$  values as 254 nm. For  
306 ditch water there was variation between slope equations for the models (Fig.2); a perfect  
307 model should have an intercept at 0 and a slope of 1. The 400 nm proxy had an intercept  
308 furthest away from 0 ( $4.40 \pm 0.78$ ,  $p < 0.01$ ), and the phenolics proxy had the closest ( $-0.58 \pm$   
309  $1.29$ ,  $p = 0.65$ ). The two wavelength method had a slope closest to 1 ( $0.96 \pm 0.04$ ,  $p < 0.01$ )  
310 and the 400 nm proxy had the one furthest away ( $0.77 \pm 0.03$ ,  $p < 0.01$ ) (a full list of SEs and  
311 p values for gradients and intercepts is contained within the supplementary data, S1).

312 For pore water the best method was the two wavelength model, followed by  
313 absorbance at 254 nm and 230 nm. The next best method was the spectre area, followed by a  
314 proxy at 400 nm, then a phenolics proxy. The highest Nash-Sutcliffe value (0.92) was found  
315 for the two wavelength method and this method also had the lowest RMSE (3.89) (table 4).  
316 Considering Nash-Sutcliffe values and RMSE, the phenolics proxy performed the least  
317 accurately (respective values of 0.65 and 8.35), followed by an absorbance proxy at 400 nm

318 (values of 0.77 and 6.76) (table 4). The results of the DOC single wavelength proxy  
319 assessment were only partially reinforced for pore water; the wavelength with the highest  $R^2$   
320 values from that analysis for pore water (230 nm) produced better calibration and model  $R^2$   
321 values for this experiment when compared to 254 nm, but 230 nm produced a marginally  
322 higher RMSE. Additionally, 230 nm and 254 nm both produced identical Nash-Sutcliffe  
323 values (table 4). When compared to slope equations for ditch water, pore water models had  
324 intercepts further removed from 0 (Fig.3). The largest difference was for the phenolics proxy  
325 ( $13.59 \pm 2.91$ ,  $p < 0.01$ ) then a proxy at 400 nm ( $7.24$ ,  $\pm 2.71$ ,  $p = 0.01$ ), and the closest was  
326 the spectra area method ( $-0.93$ ,  $\pm 2.4$ ,  $p = 0.7$ ). Both the two wavelength method and an  
327 absorbance proxy at 254 nm produced the slopes closest to 1 ( $0.93$ ,  $\pm 0.04$ ,  $p < 0.01$ , and  
328  $1.07$ ,  $\pm 0.05$ ,  $p < 0.01$  respectively). The phenolics proxy had a slope furthest from 1 ( $0.82$ ,  $\pm$   
329  $0.06$ ,  $p < 0.01$ ).

330 For Alwen Reservoir catchment stream water the best models proved to be  
331 absorbance at 230 nm and the two-wavelength model. The next most accurate method was  
332 absorbance at 254 nm, followed by the spectre area method, then absorbance at 400 nm, and  
333 finally a phenolics proxy. Highest Nash-Sutcliffe values were found for the two wavelength  
334 model (0.98) and an absorbance proxy at 230 nm (0.95) (table 4). A proxy at 230 nm also  
335 had the lowest mean difference between measured and modelled DOC, the lowest RMSE,  
336 and a linear equation with an intercept closest to 0 (Fig. 4). A proxy at 400 nm performed  
337 poorly (relatively low Nash-Sutcliffe value, high RMSE, low  $R^2$ ), but the phenolics proxy  
338 was even less accurate at estimating DOC concentrations (table 4).

339

### 340 3.3. *E ratio and SUVA assessment*

341 Overall means for all three E ratios were larger for pore water than ditch water,  
342 although this difference was only marginal for the E2:E3 and E2:E4 ratios (table 5). SUVA

343 was higher for ditch water (table 5). Figures 5A and 5B shows the monthly data. For both  
344 ditch and pore water the E4:E6 ratio showed considerable variation over time, whilst the  
345 E2:E3 ratio was the most stable. There was evidence of shared trends in the E4:E6 ratio for  
346 both sample types, for example, the increase on the 5.7.11, and the peak on the 9.7.12.  
347 Regression analysis showed that the E4:E6 ratio was highly correlated between ditch and  
348 pore water ( $R^2 = 0.91$ ), whilst there was no correlation between samples types for the E2:E3  
349 ratio, E2:E4 ratio, or SUVA. Additionally, there was no relationship between pH and DOC  
350 concentration for ditch or pore water.

351

### 352 *3.4. Absorbance degradation experiment*

353 After twelve weeks of repeated measurements there was no consistent change in  
354 absorbance at any wavelength (Fig. 6). Instead, the mean absorbance displayed small  
355 fluctuations. The mean differences in absorbance between the original and week 12  
356 measurements were extremely small (table 6). ANOVA showed that there were no  
357 significant differences between the original absorbance values and any of the later weekly  
358 measurements for 250 nm, 365 nm, 400 nm, 465 nm or 600 nm. At 400 nm, 465 nm and 600  
359 nm there were significant differences in absorbance values between some weeks (Fig. 6) .  
360 Although the fluctuations in mean absorbance are of a larger absolute magnitude at 250 nm,  
361 and decrease with increasing wavelength, when expressed as a percentage of the mean  
362 absorbance they are similar for all wavelengths, though slightly higher at 600 nm (table 6).

363 For each sample, regression analyses were conducted between absorbance at every  
364 wavelength from 230 – 800 nm, and each of the weekly repeated measurements (table 7).  
365 Across all wavelengths the mean  $R^2$  value for each sample was typically low, but three  
366 samples (samples 1, 8 and 61; table 7) had mean  $R^2$  values  $> 0.3$ . However, these three  
367 samples displayed a positive relationship between absorbance and week (i.e. absorbance

368 increased during storage). Furthermore, the individual  $R^2$  values for these three samples at  
369 254 nm (0.03, 0.25 and 0.45 for samples 1, 8 and 61 respectively) were lower than those at  
370 400 nm (0.57, 0.56, and 0.83 respectively), suggesting that there was a more marked change  
371 in absorbance at 400 nm when compared to 254 nm. These changes in absorbance would  
372 translate into changes in DOC concentration of 0.3 – 6.1 mg L<sup>-1</sup> (mean DOC of the three  
373 samples was 21.6 mg L<sup>-1</sup>).

374 The limit of detection was estimated by running a blank ten times, and then  
375 calculating the standard deviation (table 8). The limit of detection was highest at either end  
376 of the UV-vis spectrum (254 nm and below, and 750 nm and above)

377

## 378 **4. Discussion**

### 379 *4.1. DOC single wavelength proxy assessment*

380 For all four data sets the fit between DOC concentration and absorbance wavelength  
381 was strongest in the shorter wavelengths, and declined as wavelength increased. This is  
382 expected; for humic acids absorbance decreases as wavelength increases and therefore using  
383 a shorter wavelength would give higher resolution<sup>38</sup>, a finding also reinforced by Peacock *et*  
384 *al.*<sup>42</sup> who found that 254 nm was a more accurate proxy than 400 nm. For three of the sample  
385 sets the most robust proxy was 230 nm; the shortest wavelength investigated, whilst for ditch  
386 water samples 263 nm was found to be a marginally better predictor. It is probable that this  
387 difference is derived from the specific aromatic moieties comprising each sample's DOC, as  
388 numerous aromatic acids are present in natural waters<sup>20</sup>. Wavelengths such as 250 nm, 254  
389 nm, and 280 nm have been associated with aromaticity<sup>21,45</sup> and a ratio of 253:203 nm has  
390 been shown to correlate with ester-, hydroxyl-, carbonyl- and carboxyl-substituted aromatic  
391 rings<sup>18</sup>. Despite this, Stedmon & Álvarez-Salgado<sup>64</sup> point out that there is still a knowledge  
392 gap concerning exactly what compounds are responsible for absorbance at different

393 wavelengths. For ditch water and overland-flow samples the fit between DOC and  
394 absorbance was relatively stable in the short wavelengths, suggesting that numerous  
395 wavelengths between 230 nm and 350 nm would be equally suitable as DOC concentration  
396 proxies. This was not the case for pore water and Alwen Reservoir samples where  $R^2$   
397 decreased immediately as wavelength increased from 230 nm. This indicates that ditch water  
398 and overland-flow DOC contained a wide array of aromatic moieties which accordingly  
399 absorbed light in a wide range of the UV spectrum, whereas pore water and Alwen Reservoir  
400 samples had a much narrower aromatic fingerprint.

401         These findings call into question the use of longer wavelengths such as 400 nm as  
402 DOC proxies, and suggest that care should be taken when selecting a single wavelength as a  
403 DOC proxy. Although 230 nm gave the most accurate proxy for three out of the four sample  
404 sets here, it is inappropriate for certain waters, as  $\text{NO}_3^-$ -N will interfere with absorbance at  
405 wavelengths shorter than 250 nm<sup>38</sup>. This is unlikely to have affected our results; highest  
406 measured nitrate concentrations in the Afon Ddu catchment during 2011 were 0.06 mg L<sup>-1</sup> for  
407 ditch water and 0.31 mg L<sup>-1</sup> for pore water (M. Peacock, unpublished data). The fact that  
408 different wavelengths were found to be accurate for pore water and surface water at the same  
409 site is not surprising, as Wallage & Holden<sup>33</sup> demonstrated that the relationship between  
410 absorbance and DOC changed with factors such as peat layer, due to changes in the  
411 proportions of differently-coloured compounds that comprise DOC. Clay *et al.*<sup>65</sup> noted  
412 similar changes after burning, where DOC concentration stayed the same but absorbance at  
413 400 nm decreased as more years passed since the burn event.

414         To our knowledge, the style of the presentation of DOC single wavelength proxy data  
415 (i.e. individual  $R^2$  values for regression between absorbance and DOC graphed against  
416 individual wavelengths) is seldom used, though Asmala *et al.*<sup>41</sup> have used it for estuarine  
417 water samples. As such, it offers a new way of visualising absorbance data and provides new

418 insights into the quality of dissolved organic matter. For example, the ‘trough’ in the pore  
419 water samples between 670 nm and 710 nm shows an absorbance region where the  
420 relationship between DOC and absorbance is weaker than that immediately above and below  
421 this region. This suggests that between these wavelengths there is a compound that absorbs  
422 light but is not DOC. As the samples in question are pore water it seems logical that this is  
423 caused by some compound leaching into the water. It has been proposed that the boundary  
424 between oxic and anoxic peat layers is important in the formation of humic-iron colloids<sup>66</sup>,  
425 and iron is known to contribute to water colour at 420 nm<sup>67</sup> as well as interfering with UV  
426 absorbance analysis<sup>68</sup>. The unknown compound interferes at much longer wavelengths  
427 however, and therefore is likely to be something different. Iron is present in the waters of the  
428 Afon Ddu catchment<sup>69</sup> and so the contaminant could be a colloidal ‘green rust’<sup>70</sup> such as  
429 Fe(II) Fe(III) hydroxyl carbonate, which has an absorbance peak at 650 nm<sup>71</sup>. Green rusts  
430 have been found in hydromorphic soils<sup>72</sup>. Iron hydroxide is another possibility, as it is  
431 common in natural waters<sup>73</sup> and absorbs light at 750 nm<sup>61</sup>. Other similar iron compounds  
432 may exist that could interfere with absorbance at these wavelengths. Another possibility is  
433 that the interference is simply due to some artefact of the analysis procedure. Without further  
434 evidence it is difficult to draw any robust conclusion.

435

#### 436 *4.2. Alternative DOC proxy comparison*

437 For surface water the best DOC proxy was found to be absorbance at 254 nm as this  
438 displayed the highest Nash-Sutcliffe value (along with the spectra area method and a proxy at  
439 263 nm) and had the lowest RMSE, therefore demonstrating the best model fit. For pore  
440 water the best model was the two wavelength model proposed by Tipping *et al.*<sup>39</sup> and  
441 advanced by Carter *et al.*<sup>40</sup>. For stream water the best DOC proxies were a single  
442 wavelength absorbance proxy at 230 nm, and the two wavelength method. . For both surface

443 water and pore water, the phenolics proxy performed the worst, followed by an absorbance  
444 proxy at 400 nm.

445         These results are slightly dissimilar to those of Wang & Hsieh<sup>38</sup> who found that using  
446 the area under the spectra was a more accurate proxy than a single wavelength. The  $R^2$  of the  
447 model calibrations was slightly higher for a proxy at 254 nm compared to one using the area  
448 under the spectra between 250 nm and 350 nm, and a proxy at 254 nm also demonstrated  
449 lower RMSE values for both surface and pore water. It may be that the difference can be  
450 explained by sample type; Wang & Hsieh<sup>38</sup> used commercially available humic acids whilst  
451 our analysis used samples from peatland catchments. It could be that some unknown  
452 difference between natural and commercial humic acids is responsible for the differing  
453 results, and it has been previously suggested that commercial humic acids are not true  
454 analogues of natural water samples<sup>74</sup>. Likewise, our results are partially at odds to those of  
455 Carter *et al.*<sup>40</sup> who found that using a two wavelength model increased  $R^2$  by 0.02 or 0.05  
456 when compared to a UV proxy at 270 nm or 350 nm. We report an increase in  $R^2$  of 0.02 for  
457 pore water when using a two wavelength model rather than absorbance at 254 nm, alongside  
458 an increase in Nash-Sutcliffe value from 0.89 to 0.92. However, for surface water a single  
459 wavelength model using 254 nm produced a higher model  $R^2$  compared to the two  
460 wavelength model by 0.01. Additionally, for surface water, RMSE for a 254 nm proxy was  
461 approximately half that of the two wavelength model. However, the two wavelength model  
462 did give a slope equation closest to a 1:1 line for surface water.

463         The results of the DOC single wavelength proxy assessment were echoed for this  
464 analysis; 230 nm, (the wavelength that was selected from that experiment for pore water and  
465 stream water), improved the  $R^2$  values of the model and calibration when compared to a 254  
466 nm proxy and had a lower RMSE. For stream water, 230 nm produced a slightly more  
467 accurate model. However, using the wavelength selected for surface water (263 nm) from the

468 DOC single wavelength proxy assessment produced a slightly poorer model when compared  
469 to 254 nm.

470 The data here support the conclusion of the DOC single wavelength proxy assessment  
471 and of Peacock *et al.*<sup>42</sup>, in showing that 400 nm should be avoided as a DOC proxy.  
472 Absorbance at approximately 400 nm can be subject to interference by iron<sup>67</sup>. Additionally,  
473 the relationship between DOC and absorbance at 400 nm has been demonstrated to show  
474 considerable variation within the same catchment, according to changes in vegetation<sup>75</sup>. As  
475 such, using 254 nm as a proxy gave a higher R<sup>2</sup> value for both the calibration and testing of a  
476 DOC:absorbance model. Furthermore, the data here suggest that the use of phenolic  
477 compounds as a proxy may not be ideal, despite this method showing promise in an earlier  
478 study<sup>42</sup>. It may be that the relationship between phenolics and DOC is open to more variation  
479 than the relationship between absorbance and DOC, or that phenolic concentrations may  
480 become slightly ‘decoupled’ from DOC concentrations due to factors such as vegetation  
481 changes or seasonality.

482 The two wavelength method of Tipping *et al.*<sup>39</sup> and Carter *et al.*<sup>40</sup> is clearly a useful  
483 DOC proxy. Using the model parameters described in their paper gave accurate predictions  
484 of DOC (see supplementary data, S2)), but small changes in the parameters improved the  
485 calculations for the site investigated. The parameters cited by Carter *et al.*<sup>39</sup> were generated  
486 using a large number of samples which were all taken from surface water, and as such were  
487 unsuitable for calculating DOC in pore water (S2). However, calibrating the model  
488 parameters using DOC and absorbance data then produced an excellent fit for pore water.  
489 This suggests that the model can potentially have widespread applicability for different types  
490 of water sample, provided a calibration dataset is available. As such, other researchers could  
491 potentially use our calibration dataset to calculate DOC concentrations in their pore water  
492 samples, in the absence of their own calibration dataset. Such a model may not be perfectly

493 suited to other peatland systems, but it is not unknown for site-specific calibrations to be used  
494 elsewhere (e.g. Worrall *et al.*<sup>37</sup>)

495         It has been previously suggested that small sample sizes can be used to establish  
496 calibrations to then calculate DOC concentrations from absorbance or phenolics<sup>42</sup>. The  
497 analysis here reinforces that point; DOC concentrations from just 18 water samples were used  
498 to create the various models for the Alwen reservoir catchment, and yet these models were  
499 quite accurate at predicting DOC in samples that were not used to create the model.  
500 However, it is important to consider that all three sample sets were created using data from  
501 just three or four different months, and were tested on data that was collected during three or  
502 four months. DOC concentration and composition varies seasonally, and as such the models  
503 may perform less accurately at other times of the year.

504         Finally, because the relationship between DOC and 400 nm has been observed to vary  
505 according to vegetation<sup>75</sup>, a specific absorbance using 400 nm (as used by Worrall *et al.*<sup>52</sup>) is  
506 probably a useful metric to test for differences in DOC quality. This approach could be  
507 extended to other wavelengths, such as a specific absorbance at 600 nm, but more  
508 investigation is needed to determine if this is appropriate. For instance, in samples with low  
509 DOC concentrations, absorbance at 600 nm will be very low indeed, and may be  
510 indistinguishable from zero.

511

#### 512 4.3. *E ratio and SUVA assessment*

513         Over approximately two years, the E2:E3 ratio was relatively stable and displayed  
514 small fluctuations within a narrow range of values for both ditch water and pore water,  
515 although it should be noted that the E2:E3 ratio often covers only a small ranges of values in  
516 natural waters (e.g. 3-6<sup>45</sup>, 3-4.3<sup>47</sup>). This stability is somewhat due to the small difference  
517 between the two wavelengths used in the E2:E3 ratio. The E2:E4 ratio generally covers a

518 wider range of values (e.g. 4-16<sup>56</sup>, 14-16<sup>46</sup>) but this measure was also relatively stable during  
519 the course of our study. SUVA values are typically 0-6<sup>21,47</sup> and some temporal variation in  
520 SUVA was observed for ditch water. However, the E4:E6 ratio was subject to large changes  
521 through time, and values were consistent with those from the literature<sup>20</sup>. To some extent the  
522 fluctuations in all four ratios will be driven by both seasonal changes and weather events<sup>76</sup>.  
523 For example, storm events will contribute increased volumes of lower-DOC surface run-off  
524 into ditches, therefore diluting high-DOC ditch water<sup>77</sup>; the influence of storms on the E4:E6  
525 ratio has been previously documented, with Grayson & Holden<sup>78</sup> showing that it generally  
526 declines during the first six hours of a storm. Field observations supported this hypothesis,  
527 with water in ditches being visibly more coloured during dry periods, and appearing clearer  
528 after heavy precipitation events (see supplementary data, S3, for relationship between rainfall  
529 and water colour). If absorbance at 400 nm (i.e. water colour) declines during a storm, this  
530 will result in a lower E4:E6 ratio. To compound this, storms may stimulate the release of  
531 suspended particles of sediment which may scatter light at longer wavelengths and further  
532 contribute to a lowered E4:E6 ratio. There is variation in the pore size of filters used in UV-  
533 vis analysis (0.2 – 1.6  $\mu\text{m}$ )<sup>57</sup>, and the choice of filter size will determine the particulate  
534 component (if any) of a water sample, thus hindering the reliability of direct comparisons of  
535 the E4:E6 ratio where different methods are used. The E4:E6 ratio was the only one of the  
536 four ratios examined where a relationship existed between ditch and pore water samples that  
537 were collected at the same time. This suggests that the E4:E6 ratio responded consistently to  
538 seasonal changes in surface water and pore water.

539         The E4:E6 ratio is often used as a measure of humification, and changes to it  
540 following peatland restoration have been cited as an indicator of biogeochemical changes<sup>44,50</sup>.  
541 The use of the E4:E6 ratio to determine restoration success appears questionable however,  
542 judging by the way it fluctuated at the study site where no anthropogenic changes were

543 applied to the monitored ditches. It would only be possible to use the E4:E6 ratio to detect  
544 post-restoration changes if a long-term dataset was available, as this would allow seasonal  
545 changes to be observed. Because absorbance above 600 nm is generally low, it is possible for  
546 small changes in absorbance to strongly influence the E4:E6 ratio, and it is therefore  
547 important to regularly zero/blank the spectrophotometer. In agreement with our results, Park  
548 *et al.*<sup>48</sup> noted consistent E2:E4 ratios but varying E4:E6 ratios when comparing samples, and  
549 O'Driscoll *et al.*<sup>56</sup> found no relationship between the E4:E6 ratio and other common measures  
550 of DOC quality such as the E2:E3 ratio and fluorescence. They suggested that the E4:E6  
551 ratio is not suitable to determine the structure of DOC, and Peuravuori & Pihlaja<sup>45</sup> proposed  
552 that the E4:E6 did not vary with aromaticity. Despite this, the fact that the E4:E6 ratio was  
553 highly correlated between surface water and pore water, and the fact that it fluctuated  
554 extensively over time, suggests that it is a useful metric to observe and measure seasonal and  
555 weather-driven changes in DOC quality. Because the low wavelengths used to calculate the  
556 E2:E3 and E2:E4 ratios were so highly correlated in our site data, it may be that they (and  
557 SUVA) are more suitable for detecting between-site differences in DOC quality, rather than  
558 temporal changes in DOC quality at individual sites.

559         The aforementioned tendency of iron to interfere with measurements around 400 nm<sup>67</sup>  
560 means that the accuracy of the E4:E6 ratio (and E2:E4 ratio) could be compromised in waters  
561 with high iron concentrations. Additionally, the presence of the unknown (probably iron-  
562 based) compound in pore water between 670-710 nm (shown in Fig.1 and discussed in  
563 section 4.1) could possibly interfere with the E6 measurement. We used 465:665 nm but  
564 400:600 nm has been used (see table 2) as the E4:E6 ratio; this would remove the unknown  
565 interference at 665 nm, but reintroduce the interference of iron at 400 nm.

566

567 *4.4. Absorbance degradation experiment*

568           There was no consistent decrease or increase in absorbance for any wavelength after  
569 12 weeks of repeated UV-Vis analysis on samples kept in dark and cold storage, and  
570 absorbance values after 12 weeks were remarkably similar to those measured at the start of  
571 the experiment. Interestingly, three samples showed small but consistent increases in  
572 absorbance during the period. The lack of degradation in absorbance across all samples is  
573 unexpected because, although not always explicitly stated, there is a general consensus that  
574 absorbance must be measured as soon as possible after water samples have been collected<sup>57</sup>.  
575 Carter *et al.*<sup>40</sup> noted a decrease of 5% after 50-120 days, and this value falls within the  
576 observed range of fluctuations found here. It is therefore plausible that the 5% value from  
577 Carter *et al.*<sup>40</sup> is not the result of a steady decrease in absorbance due to degradation, but is  
578 just a random fluctuation similar to those reported here, possibly due to instrument precision.  
579 Although their 5% figure is only mentioned in passing, it appears that this percentage was  
580 calculated from a one-off re-analysis of older samples, rather than a comprehensive  
581 temporally-repeated experiment. . The probable origin of the non-significant fluctuations in  
582 absorbance over the course of the experiment is human and machine error; for example, small  
583 discrepancies when pipetting samples for analysis. The limit of detection was typically low,  
584 but increased below 254 nm and above 750 nm. This is unlikely to pose a problem as  
585 absorbance is high at 254 nm, and wavelengths above 700 nm are seldom of interest in the  
586 study of peatland dissolved organic carbon.

587           It is important to state that there are caveats to this result. For example, calcium can  
588 cause DOC to come out of solution and to flocculate<sup>79</sup>, resulting in a decrease in DOC  
589 concentration, and this can therefore be problematic where water samples are taken from rich  
590 fens. In such circumstances, absorbance must be measured before samples begin to  
591 flocculate.

592 An important inference from the lack of observed decrease in absorbance over time is  
593 that there must also be no change in DOC concentration. There is a substantial body of  
594 literature concerning sample preservation for marine environments, though some of it is  
595 contradictory. For instance, Sugimura & Suzuki<sup>80</sup> recommended filtration and cold storage,  
596 as they concluded that both freezing and acidification did not give reliable results. Contrary  
597 to this, Tupas *et al.*<sup>81</sup> suggested that cold storage (with or without filtration) resulted in a loss  
598 of DOC, and that freezing or acidification were preferable. There is less literature concerning  
599 non-marine systems but the US Environmental Protection Agency recommends that water  
600 utilities should preserve samples through filtration and acidification<sup>57</sup>, and Spencer *et al.*<sup>82</sup>  
601 noted changes in absorbance following freezing and thawing of water samples from UK  
602 upland watercourses. The absence of an observed decrease in DOC concentration here  
603 suggests that filtration at 0.45 µm and cold storage in the dark was sufficient to preserve the  
604 samples from biological or chemical degradation. There are obvious caveats to this result;  
605 the samples were all collected on one day in August, and were all from the same site,  
606 therefore this result cannot be extrapolated to other water samples. Different sample types  
607 collected at other times of year from different peatland systems may not show this stability in  
608 absorbance, as it may depend on factors such as pH, or DOC concentration and composition.  
609 More research is clearly needed to determine how applicable this finding is and whether this  
610 stability in absorbance is a common property of peatland waters. . It is entirely feasible that  
611 if this experiment was performed again, a significant loss of absorbance might be observed.  
612 This could be the case if the DOC was less aromatic, and therefore subject to biological  
613 degradation. Additionally, the proportion of hydrophilic and hydrophobic components will  
614 influence the degradation of any sample<sup>83</sup>. Such properties will be intrinsically linked to  
615 factors such as seasonality, as this will influence the production and consumption of DOC.

616 Finally, disturbance such as fire can seemingly alter DOC composition<sup>65</sup> and might therefore  
617 also influence the stability of absorbance in a sample.

618

#### 619 *4.4. Conclusions*

620 Out of the various DOC proxies that were investigated the phenolics proxy was the  
621 least accurate. Using absorbance at 400 nm was found to consistently perform with less  
622 accuracy when compared to 254 nm. Other wavelengths such as 230 nm and 263 nm also  
623 acted as robust proxies. The two wavelength method of Tipping *et al.*<sup>39</sup> and Carter *et al.*<sup>40</sup>  
624 was found to estimate DOC remarkably well for surface waters, and parameterisation further  
625 improved the model, although a single wavelength proxy at 254 nm also performed well. As  
626 such, the two wavelength method appears to offer a viable alternative to a single wavelength  
627 DOC proxy. If funds and equipment are available a calibration can be established to give the  
628 greatest accuracy possible. If direct DOC analysis for a calibration is not possible, then the  
629 ‘universal calibration’ parameters provided by Carter *et al.*<sup>40</sup> should still provide robust DOC  
630 estimations for surface water.

631 To monitor non-specific temporal changes in DOC quality, we suggest the use of the  
632 E4:E6 ratio as it displays systematic variations, although further work is required to interpret  
633 what these variations represent in terms of changes in DOC. The E2:E3 ratio, E2:E4 ratio,  
634 and SUVA may be more suitable for detecting changes in DOC quality between sites.

635 Finally, the results from the 12 week degradation experiment showed that absorbance,  
636 and therefore DOC concentrations, did not decline during storage for surface water samples  
637 collected on one sampling day. More testing is needed to determine how common this  
638 stability is to other sample types and sites.

639

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655

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982 Table 1. List of wavelengths that have been used as proxies for DOC.

983

Wavelength (nm)	Reference	
250	De Haan <i>et al.</i> <sup>22</sup>	984
254	Edzwald <i>et al.</i> <sup>19</sup>	985
260	Banouh <sup>23</sup>	
270	Timperley <sup>24</sup>	986
280-400	Lawrence <sup>25</sup>	
300	McKnight <i>et al.</i> <sup>26</sup>	987
320	Gorham <sup>27</sup>	
330	Moore <sup>28</sup>	988
340	Tipping <i>et al.</i> <sup>29</sup>	
355	Muller & Tankéré-Muller <sup>30</sup>	989
360	Collier <sup>31</sup>	
365	Carpenter & Smith <sup>32</sup>	990
400	Wallage & Holden <sup>33</sup>	991
410	Hongve & Åkesson <sup>34</sup>	
420	Fosberg <sup>35</sup>	992
436	Hongve & Åkesson <sup>34</sup>	
450	Hongve & Åkesson <sup>34</sup>	993
465	Hautala <i>et al.</i> <sup>36</sup>	
562	Carpenter & Smith <sup>32</sup>	994

995 Table 2. Details of various UV-vis measures used in the investigation of DOC composition.

Measure	Wavelengths (nm)	Reference
E2:E3 ratio	250:365	Peuravuori & Pihlaja <sup>45</sup>
E2:E4 ratio	252:452	Graham <i>et al.</i> <sup>46</sup>
	254:436	Selberg <i>et al.</i> <sup>47</sup>
	254:465	Park <i>et al.</i> <sup>48</sup>
E4:E6 ratio	400:600	Moore, 1987 <sup>49</sup>
	450:650	Wilson <i>et al.</i> <sup>44</sup>
	460:660	Thurman <sup>20</sup>
	465:665	Wallage <i>et al.</i> , <sup>50</sup>
SUVA	254	Weishaar <i>et al.</i> <sup>21</sup>
	280	Duirk & Valentine <sup>51</sup>
	400	Worrall <i>et al.</i> <sup>52</sup>

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1000 Table 3. Details of when sampling took place for the model creation and testing for the  
 1001 alternative DOC proxy comparison. Ditch and pore water samples were from the Afon Ddu  
 1002 catchment, whilst stream samples were from the Alwen Reservoir catchment.

	Measured		Modelled	
	Month	No. samples	Month	No. samples
Ditches	Sept 2011	12	Oct 2011	11
	Dec 2011	12	Nov 2011	12
	Jan 2012	12	Aug 2011	12
	July 2012	12	Oct 2012	12
Total		48		47
Pore	Early July 2011	7	Late Sept 2011	10
	Late July 2011	9	Nov 2011	12
	Early Sept 2011	12	Aug 2012	12
	Oct 2011	12	Oct 2012	10
Total		40		44
Streams	Dec 2011	6	Oct 2011	5
	March 2012	6	Jan 2012	6
	May 2012	6	Feb 2012	5
			April 2012	6
Total		18		22

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1016 Table 4. Summary results for six different methods of indirectly calculating DOC in ditch and pore water  
 1017 samples from the Afon Ddu catchment, and stream samples from the Alwen Reservoir catchment. 1) the  
 1018 method of Carter *et al.*<sup>40</sup> that calculates DOC using absorbance at 270 nm and 350 nm, 2) the method of Wang  
 1019 & Hsieh<sup>38</sup> that uses the area under the UV spectra to create a calibration for DOC, 3) a calibration curve created  
 1020 using absorbance at 254 nm, 4) a calibration curve created using absorbance at 400 nm, 5) a calibration created  
 1021 using the optimum absorbance wavelengths (263 nm and 230 nm) derived from the DOC single wavelength  
 1022 proxy assessment, and 6) the method of Peacock *et al.*<sup>42</sup> that uses a calibration created using phenolic  
 1023 concentration. Mean diff is the mean difference between calculated and actual DOC, Nash-Sutcliffe value  
 1024 indicates how good the model fit is, calibration R<sup>2</sup> is the strength of the regression between each calibration of  
 1025 DOC and proxy. The method of Carter *et al.*<sup>40</sup> just uses absorbance to directly calculate DOC after model  
 1026 parameterisation and therefore has no calibration R<sup>2</sup>. Model R<sup>2</sup> is the strength of the regression between  
 1027 measured and modelled DOC. Root mean square error (RMSE) is another measure of difference between  
 1028 modelled and measured DOC.  $n = 47$  for ditch water, 44 for pore water, and 22 for stream water.

Ditch water	Abs 270/350 nm	Spectra area	254 nm	400 nm	263 nm	Phenolics
Mean diff (mg L <sup>-1</sup> )	1.69	1.69	1.49	2.78	1.51	2.57
Nash-Sutcliffe	0.94	0.96	0.96	0.89	0.96	0.86
Calibration R2	n/a	0.85	0.86	0.82	0.86	0.89
Model R2	0.97	0.98	0.98	0.93	0.98	0.91
RMSE	2.39	1.98	1.77	3.12	1.78	3.58
Pore water	Abs 270/350 nm	Spectra area	254 nm	400 nm	230 nm	Phenolics
Mean diff (mg L <sup>-1</sup> )	3.2	3.61	3.61	5.41	3.75	7.49
Nash-Sutcliffe	0.92	0.88	0.89	0.77	0.89	0.65
Calibration R2	n/a	0.68	0.72	0.55	0.75	0.47
Model R2	0.93	0.91	0.91	0.86	0.93	0.81
RMSE	3.89	4.8	4.76	6.76	4.79	8.35
Stream water	Abs 270/350 nm	Spectra area	254 nm	400 nm	230 nm	Phenolics
Mean diff (mg L <sup>-1</sup> )	1.04	1.29	1.16	2.24	0.97	4.85
Nash-Sutcliffe	0.98	0.92	0.93	0.78	0.95	0.19
Calibration R2	n/a	0.84	0.84	0.84	0.84	0.70
Model R2	0.97	0.94	0.95	0.87	0.96	0.89
RMSE	1.44	1.78	1.67	2.95	1.36	5.63

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1035 Table 5. Means, standard deviations, and ranges for the four ratios examined.  $n = 100$  for ditch water,  $n = 86$  for  
 1036 pore water, except for pore water SUVA where  $n = 85$ .

	Ditch water				Pore water			
	Mean	SD	Min	Max	Mean	SD	Min	Max
E2:E3	3.65	0.21	3.25	3.91	3.70	0.14	3.44	3.84
E2:E4	6.42	0.73	4.95	7.42	6.77	0.45	5.85	7.33
E4:E6	5.99	3.76	1.42	11.97	7.37	4.04	2.35	14.44
SUVA	4.58	0.89	3.36	6.41	4.00	0.47	3.44	4.77

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1038 Table 6. The mean difference between the original absorbance measurements and week 12 absorbance  
 1039 measurements, standard deviation, and the mean percentage deviation of weekly measurements when compared  
 1040 to the original measurements.

Wavelength (nm)	254	365	400	465	600
Mean difference	0.004	0.008	0.005	0	-0.001
SD	0.071	0.026	0.020	0.016	0.008
Mean % deviation	3.3	4.6	5	4.9	9.8

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1056 Table 7. Mean R<sup>2</sup> and standard deviations for regressions between absorbance and the weekly  
 1057 repeated measurements (week 0 – 13). For each water sample the R2 is the mean of 571 individual  
 1058 regressions at each wavelength from 230 – 800 nm.

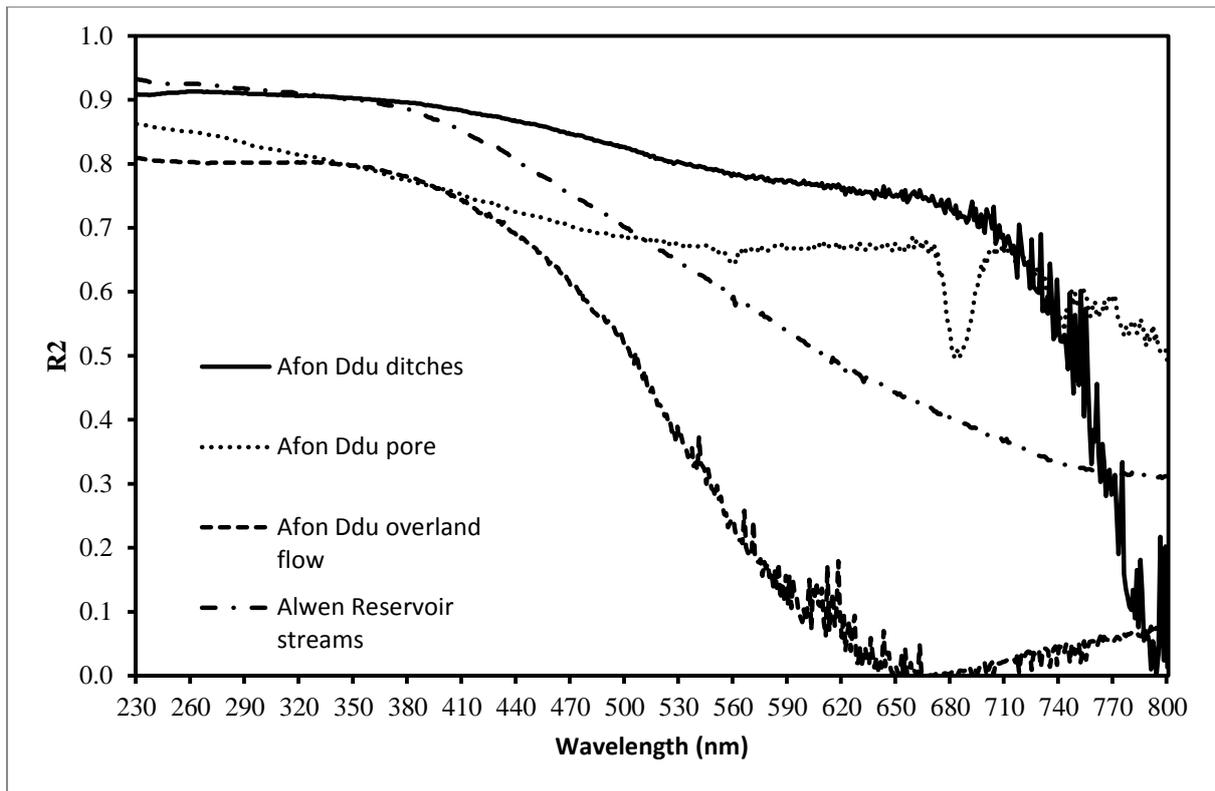
Sample	Mean R <sup>2</sup>	SD	Sample	Mean R <sup>2</sup>	SD	Sample	Mean R <sup>2</sup>	SD
1	0.48	0.20	23	0.13	0.12	45	0.20	0.18
2	0.17	0.02	24	0.04	0.05	46	0.18	0.16
3	0.04	0.03	25	0.02	0.03	47	0.05	0.02
4	0.10	0.11	26	0.01	0.01	48	0.11	0.08
5	0.07	0.06	27	0.02	0.02	49	0.07	0.08
6	0.20	0.15	28	0.15	0.09	50	0.26	0.27
7	0.03	0.03	29	0.20	0.18	51	0.13	0.14
8	0.52	0.12	30	0.03	0.03	52	0.10	0.07
9	0.24	0.14	31	0.17	0.10	53	0.10	0.08
10	0.11	0.05	32	0.07	0.04	54	0.09	0.08
11	0.13	0.07	33	0.26	0.08	55	0.17	0.15
12	0.22	0.05	34	0.06	0.07	56	0.05	0.04
13	0.00	0.00	35	0.07	0.05	57	0.06	0.05
14	0.12	0.08	36	0.13	0.10	58	0.17	0.11
15	0.09	0.04	37	0.06	0.05	59	0.06	0.03
16	0.08	0.05	38	0.21	0.14	60	0.10	0.06
17	0.25	0.10	39	0.16	0.07	61	0.75	0.10
18	0.06	0.04	40	0.24	0.21	62	0.15	0.18
19	0.03	0.02	41	0.24	0.23	63	0.26	0.15
20	0.11	0.09	42	0.09	0.09	64	0.06	0.09
21	0.08	0.06	43	0.14	0.08	65	0.15	0.17
22	0.05	0.04	44	0.10	0.11			

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1060 Table 8. The limit of detection at various wavelengths.

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Wavelength (nm)	LOD
230	0.007
254	0.004
300	0.005
365	0.001
400	0.001
465	0.001
500	0.001
550	0.001
600	0.001
650	0.001
700	0.002
750	0.006
800	0.005



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1070 Figure 1.  $R^2$  values for regressions between DOC concentration and absorbance for wavelengths between 230  
1071 nm and 800 nm for four sets of water samples. Afon Ddu ditch water  $n=108$ , Afon Ddu pore water  $n=98$ , Afon  
1072 Ddu overland-flow water  $n=47$ , Alwen Reservoir stream water  $n=40$ .

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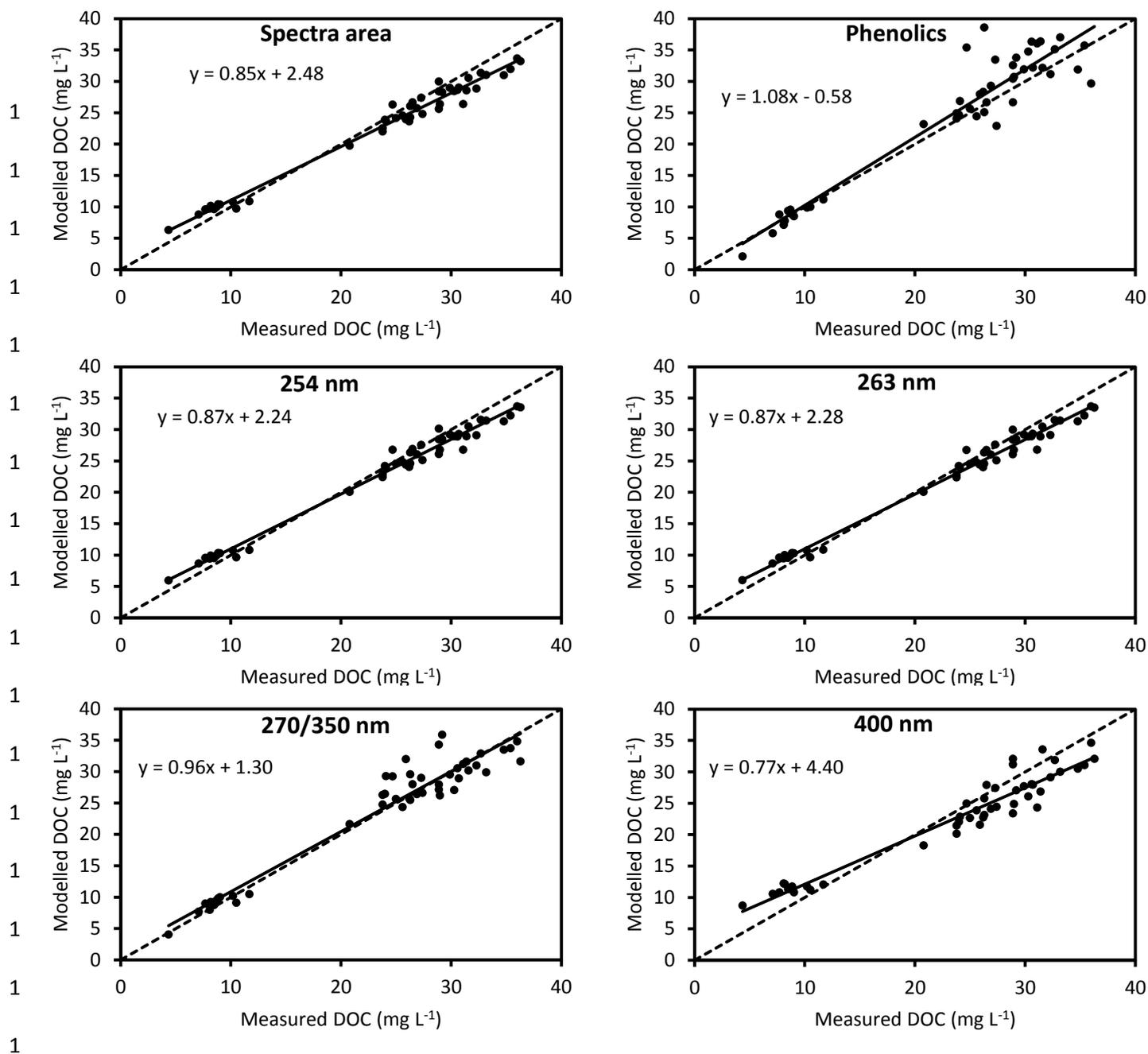
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1102 Figure 2. Surface water measured versus modelled DOC for each of the six DOC proxies, showing slope  
 1103 equations. Dashed line shows the 1:1 relationship.  $n = 47$ . Model statistics are detailed in table 4.

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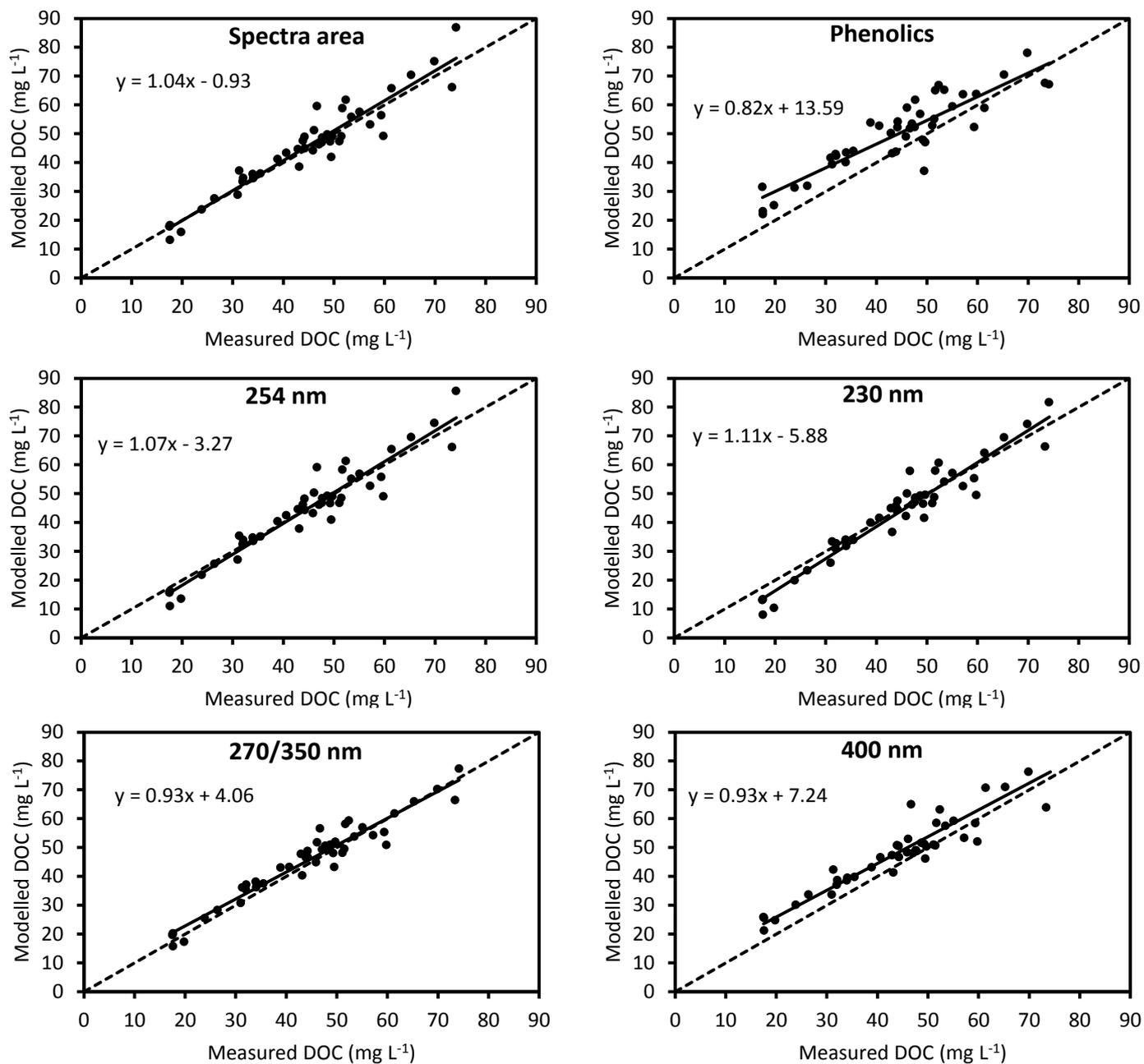
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1128 Figure 3. Pore water measured versus modelled DOC for each of the six DOC proxies, showing slope equations.

1129 Dashed line shows the 1:1 relationship.  $n = 44$ . Model statistics are detailed in table 4.

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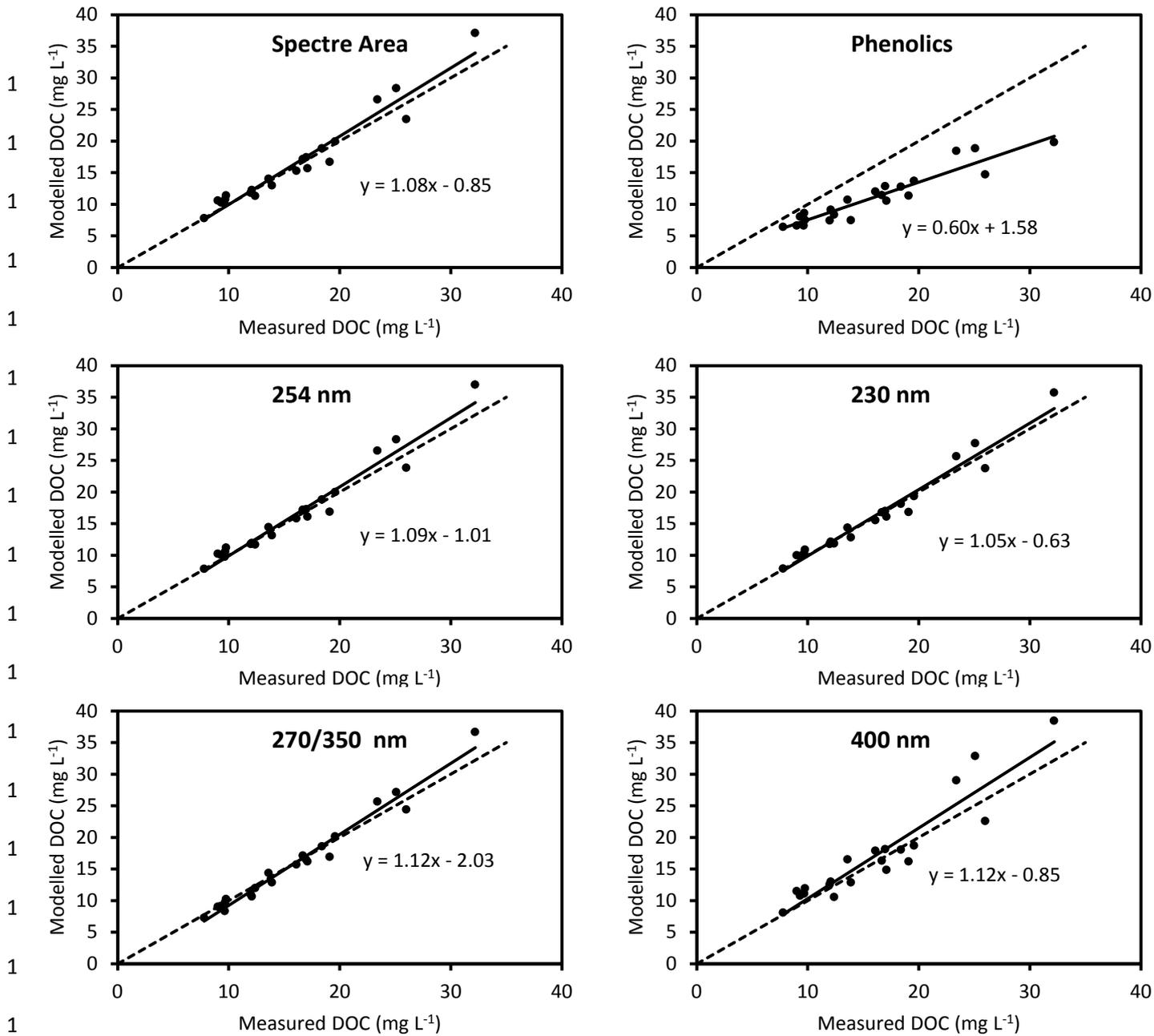
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1155 Figure 4. Stream water measured versus modelled DOC for each of the six DOC proxies, showing slope  
 1156 equations. Dashed line shows the 1:1 relationship.  $n = 22$ . Model statistics are detailed in table 4.

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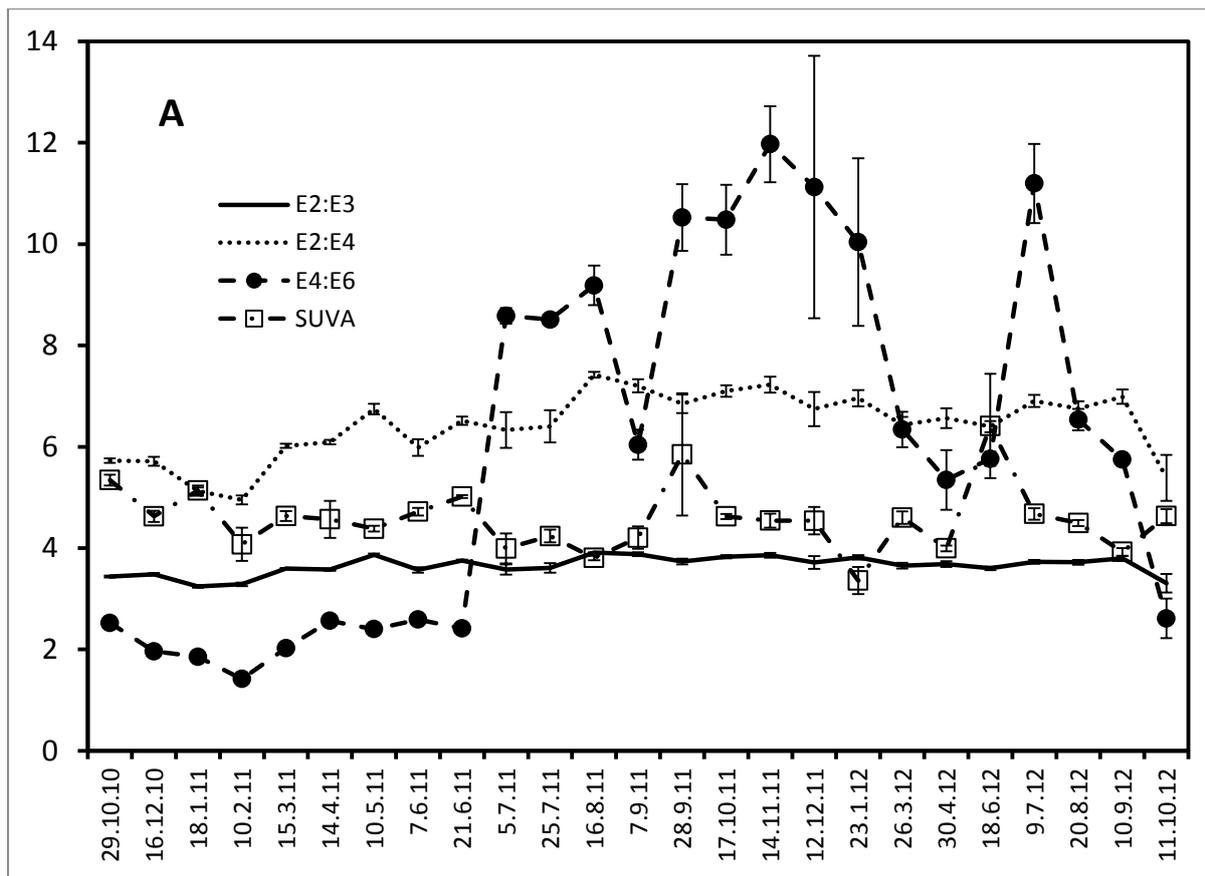
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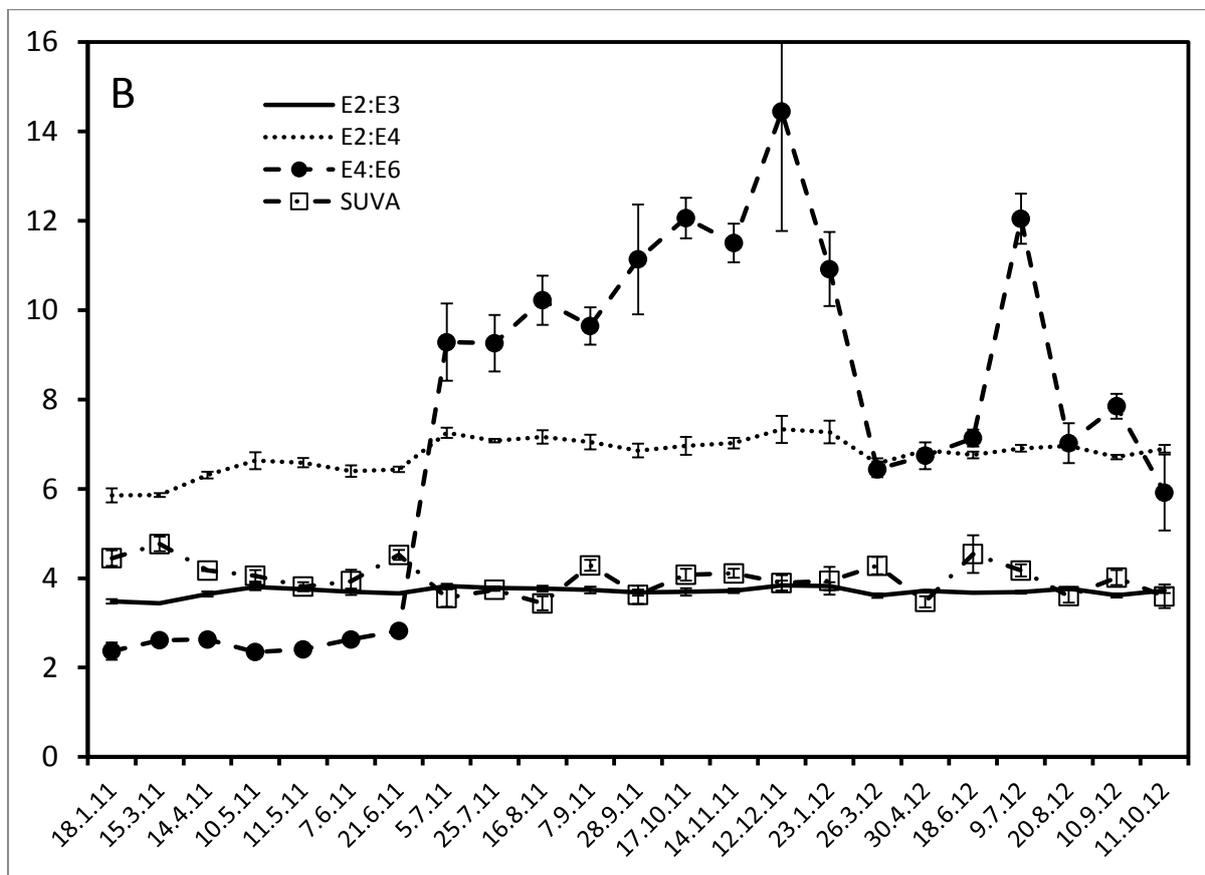
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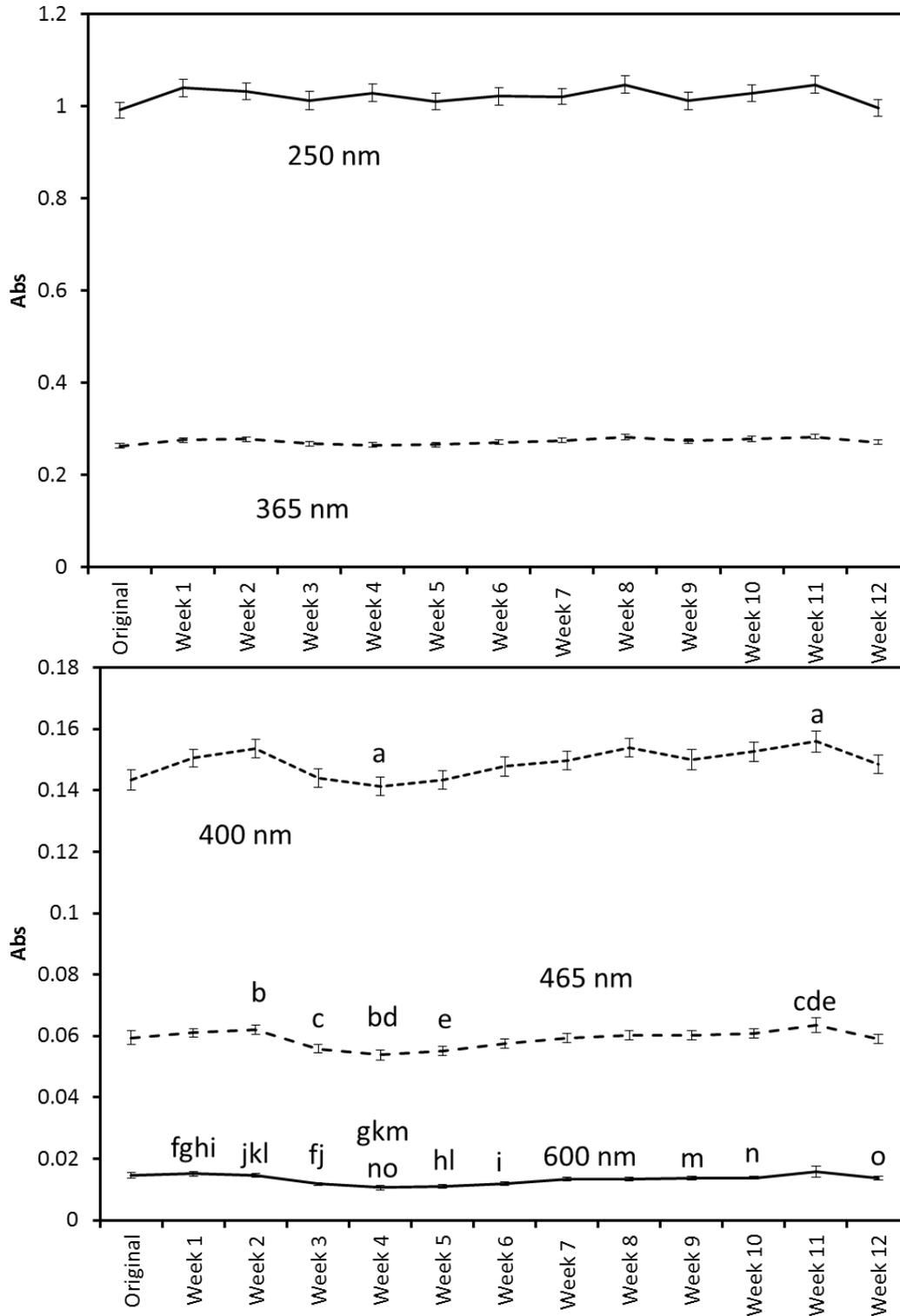


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1165 Figure 5. Monthly mean values for E2:E3 ratio, E2:E4 ratio, E4:E6 ratio and SUVA for A) ditch water and, B)  
 1166 pore water. For each month  $n = 4$ , with the following exceptions for pore water:  $n = 3$  for 5.7.11, 25.7.11,  
 1167 10.9.12, 11.10.12 and  $n = 2$  for 15.3.11. For pore water SUVA only,  $n = 3$  for 11.5.11. Error bars show  
 1168 standard error of the mean.



1170 Figure 6. Mean absorbance values for five wavelengths, for a set of surface water samples ( $n = 65$ ) that were  
1171 analysed every week for twelve weeks. The wavelengths are 250 nm, 365 nm, 400 nm, 465 nm and 600 nm.  
1172 Error bars show standard error of the mean. Letters show where ANOVA found significant ( $p < 0.05$ )  
1173 differences between weeks. Post-hoc tests depended on whether equal variance was found for data at each  
1174 wavelength. As such, Tukey HSD was used for 400 nm and 465 nm, whilst Tamhane's T2 was used for 600  
1175 nm. Note the difference in y axis scales.

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