- 1 Controls on the basin-scale distribution of hydraulic conductivity of superficial deposits: A case
- 2 study from the Thames Basin, UK
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 10 distribution

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12 Abstract:

13 Controls on the basin-scale distribution of hydraulic conductivity of superficial deposits are assessed 14 in the context of hydrological setting and basin evolution and are investigated using a case study 15 from the Thames Basin, UK. A conceptual model of superficial deposits across the Thames Basin is 16 used to define six lithostratigraphic classes of superficial deposits: pre-Anglian Clay-with-Flint 17 deposits; pre-Anglian River Terrace Deposits associated with the ancestral River Thames and its tributaries; Tills formed during the Anglian glaciations; glacio-fluvial sand and gravel deposits formed 18 19 during the Anglian; post-Anglian River Terrace Deposits associated with the modern day River 20 Thames and tributaries; and post-Anglian alluvium associated with the modern day River Thames 21 and tributaries. Hydraulic conductivity of the superficial deposits has been estimated from grain-size 22 distribution data, originally collected for mineral resource assessments, using the Kozeny-Carmen 23 methodology. Based on 6411 samples from 1416 boreholes, estimated hydraulic conductivity ranges from 0.2 to 5942 m day⁻¹, median and mean hydraulic conductivities are 1.67 and 26.72 m day⁻¹ 24 25 respectively, and the overall distribution of hydraulic conductivity values has a strong positive skew 26 An apparent reduction in mean hydraulic conductivity with increasing age of the deposit is observed, 27 particularly for the River Terrace Deposits. A reduction in maximum hydraulic conductivity at 28 depths >10 m is also observed while the relationship between hydraulic conductivity and depth is 29 controlled by the type of superficial deposit. At the catchment- to basin-scale, variation in hydraulic 30 conductivity with depth may be explained with reference to both the deposit types and the age of the deposits. Where hydraulic conductivity is found to be intimately linked to the Quaternary 31 32 evolution of the Basin, through contrasts in age and deposit type, permeability variations at the 33 basin-scale may be constrained by applying a suitably refined conceptual model of the superficial 34 deposits.

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36 Superficial deposit (SD) aquifers can form a locally important component of water supply (Robins et 37 al., 2002). However, because of their local and often heterogeneous nature, and due to difficulties in obtaining reliable field estimates of hydraulic conductivity from these usually poorly consolidated or 38 39 unconsolidated deposits, groundwater resources in such aquifers can be problematic to characterise and quantify (MacDonald et al., 2012). Where they overly bedrock aquifers, SDs with relatively low 40 41 hydraulic conductivity and storage can play an important role in protecting deeper groundwater resources by reducing groundwater vulnerability, but SDs with relatively high hydraulic conductivity 42 43 and storage can themselves be at greater risk of pollution due to their proximity to sources of 44 pollution (Jorgenson & Stockmarr, 2009; Griffiths et al., 2011). This is particularly so in urban areas 45 where there is greater use of the shallow subsurface for infrastructure and a higher concentration of 46 potentially polluting activities. SD aquifers can provide significant groundwater storage potential 47 and may contribute to an increasingly important local component of exploitable groundwater 48 resources (Price, 1996; MacDonald et al., 2005). They play a significant role in groundwater 49 vulnerability assessments (Griffiths et al., 2011), and they are also increasingly the focus for 50 implementation of sustainable drainage systems (Bonsor & Ó Dochartaigh, 2010) and may be 51 considered for open-loop ground source heating and cooling systems (Birks et al., 2013). In addition, 52 they can be associated with hazards such as localised groundwater flooding (Macdonald et al., 53 2012), the differential settlement of shallow unconsolidated deposits, and structural issues related 54 to swell-shrink clays (Harrison et al. 2009). Consequently, there is a need to characterise better the 55 hydraulic characteristics of SD aquifers, in particular the spatial distribution of hydraulic conductivity.

56 Compared with bedrock aquifers it is generally more difficult to characterise the hydraulic properties 57 of SD aquifers. SDs are intrinsically heterogeneous over a range of scales and typically have 58 discontinuous spatial distributions (McMillan et al., 2011), so it can be difficult to undertake 59 standard field hydrogeological investigations, assess the representativeness of measurements made 60 at a given observation borehole or retrieve representative samples for laboratory measurements. In 61 addition it can be difficult to construct stable observation boreholes due to the unconsolidated 62 nature of some SDs. Consequently, information on the hydraulic properties of SD aquifers derived 63 from field or laboratory investigations is typically often limited and other approaches to 64 characterising the hydraulic conductivity of SD aquifers have had to be adopted. In the absence of high-resolution field or laboratory-derived hydraulic conductivity data, hydraulic conductivity can be 65 66 obtained from grain-size distribution (GSD) data. Numerous empirical formulae have been proposed to define relationships between hydraulic conductivity and grain-size distributions (e.g. Hazen, 1892; 67 68 Kozeny, 1927; Carman, 1937, 1956; Shepherd, 1989), and, given suitable GSD data, the application of 69 any of these empirical relationships can provide a comparatively quick and cost-effective means of

deriving the relative hydraulic conductivity for superficial deposits. Hydraulic conductivity data
derived from grain-size relationships has the added benefit over data obtained from site-specific
hydraulic tests that if suitable grain-size data are available then estimates of hydraulic conductivity
can be applied over a large number of sites so enabling regional or basin-scale comparative
assessments to be undertaken.

75 Here a case study from the Thames Basin, UK, is presented that demonstrates how indirect 76 information such as grain-size distributions can be used to systematically characterise variations in 77 the hydraulic conductivity of SDs at the basin scale. In this case study, the importance of developing 78 a conceptual model in order to analyse basin-scale variations in hydraulic characteristics of SD 79 aquifers is emphasised. The conceptual model is based on considerations of both the stratigraphy 80 and the lithological characteristics of the SDs. It has been used as a framework for analysis of 81 hydraulic conductivity within and between the different SDs, and to investigate the possible role of 82 hydrological setting and of aquifer and catchment evolution on hydraulic conductivity of SDs across 83 the Thames Basin.

84 Basin-scale trends in hydraulic conductivity of SDs have been estimated by applying the empirical 85 Kozeny-Carman equation (Bear, 1972; Odong, 2007), one of the most commonly applied empirical 86 relationships to estimate hydraulic conductivity from GSD data. Note that this study is based on 87 data-mining of an extensive, pre-existing GSD data set for the Thames Basin and the GSD dataset 88 does not have associated hydraulic conductivity information or metadata. Consequently, given the 89 uncertainties associated with empirical GSD-hydraulic conductivity relationships and the biases 90 inherent in GSD data, the aims of the study are to i.) quantify the conceptual model using estimates 91 of relative hydraulic conductivity for the different SDs; ii.) describe the variability in hydraulic 92 conductivity with individual SDs; and iii.) characterise and explore the controls on spatial and depth 93 variations in SD hydraulic conductivity across the Thames Basin. One way in which spatial variation in 94 hydraulic conductivity has been investigated is by exploring similarities and differences in the 95 distribution of SD hydraulic conductivity within three contrasting sub-catchments within the Thames 96 Basin. These are the Upper Thames, the Loddon and the Lee sub-catchments.

97 The following section provides a brief background to the study area, outlining the geology and
98 hydrogeology of the study area and presenting the conceptual model of the SDs in the Thames
99 Basin. The grain size data and methods used to estimate hydraulic conductivity are then described.
100 Grain-size data used in the study has been taken from the Industrial Mineral Resource Assessment
101 Unit (IMAU) Sand and Gravel Database for the United Kingdom (British Geological Survey, 2014a). In
102 the 1970s and early 1980s, the former IMAU of the BGS conducted several major surveys of the

103 principal sand and gravel resource areas with the results being presented as Mineral Assessment 104 Reports (MARs) (British Geological Survey, 2014a). The database contains a digital copy of grading 105 data presented in the published reports along with some previously unpublished borehole records. 106 The implications of using this grain-size dataset for estimating relative trends in SD hydraulic 107 conductivity are considered prior to presenting the results. Controls on the distribution of estimated 108 hydraulic conductivity at the basin-scale are discussed in terms of the evolution of the deposits and 109 hydrological and hydrogeological processes that have acted on the SDs. The work is concluded with a 110 discussion of the generic implications for using a linked conceptual model/empirical grain-size analysis approach to estimate relative hydraulic conductivity characteristics of SD aquifers. 111

112 The Thames Basin case study area

113 The Thames Basin, Figure 1, is located in the south east of the UK, and for the purposes of this study 114 is defined by the Environment Agency's Thames River Basin District (Environment Agency, 2009). The 115 source of the River Thames is in the Cotswolds, Gloucestershire. The length of the river down to Teddington Lock, in west London, is approximately 235 km, and the area of the Basin is about 116 16,100 km². Teddington Lock is the lowest flow gauging station on the River Thames and marks the 117 118 non-tidal limit of the river. The mean flow at Teddington Lock is about 78 m³ s⁻¹ (Natural 119 Environment Research Council, 2008). Mean annual rainfall varies across the Thames Basin from 120 about 600 to 900 mm of which approximately 250 mm is effective (Bloomfield et al., 2009; 2011). Groundwater abstraction overall accounts for about 40 % and locally up to about 70 % of public 121 water supply in the Basin, equivalent to ~ 2.25 million m³ day⁻¹. This is derived largely from bedrock 122 123 aquifers, predominantly the Cretaceous Chalk, though river terrace deposits (RTDs) in the Lower 124 Thames valley are used for public supply.

125

126 The Thames Basin is underlain by a thick sequence of Mesozoic to Holocene deposits. Bedrock within 127 the basin covers three broad zones based on geological structure the Midlands Shelf to the 128 northwest; the London Platform in the central area; and the Wealden Basin to the south (Royse et 129 al., 2012). Palaeogene to Holocene superficial deposits are found throughout the Thames Basin 130 across all three of these structural zones. The basin has been subject to significant weathering, 131 erosion, peri-glacial and glacial activity during the Pleistocene with the Quaternary deposits formed 132 over approximately the last 1.65Ma (Gibbard, 1985, 1994; Bridgland 1994; Royse et al., 2012). As a 133 result of these processes, discontinuous Quaternary deposits, principally river terrace deposits 134 (RTDs), clay-with-flints (Klink et al., 1998) and tills, lie unconformably on a highly weathered and 135 eroded bedrock surface which alternates between more permeable carbonate and siliclastic units

and lower permeability mudstones. The influence of the Middle Pleistocene Anglian glaciation within
part of the Thames Basin is evident from the localised covering of Till up to 40m thick and the
presence of underlying buried channels of sub-glacial origin (Woodland, 1970; Gibbard, 1985, 1994;
Bridgland 1994).

140 The RTDs occur along the corridor of the River Thames and its major tributaries and are generally 141 underlain by mudstones such as the Oxford Clay and the London Clay Formations. The exception to 142 this is the RTDs of the upper River Kennet catchment and the middle reaches of the River Thames 143 which are primarily underlain by, and potentially in hydraulic continuity with, the Chalk aguifer 144 (Allen et al., 1997), the principal aquifer in the Basin. Post-Anglian sand and gravel RTDs associated 145 with the modern course of the River Thames and its tributaries are significant within the Basin and 146 were laid down in a broad, braided river floodplain. These RTDs are generally up to 6m thick though 147 isolated pockets in excess of 10m thick are present across the basin. The RTDs along the lower 148 reaches of the Thames valley are thicker and occupy a wider floodplain than upstream sections. 149 Progressively older river terraces occur at increasing distances from, and higher elevations above, 150 the active river channel as a consequence of repeated cycles of terrace aggradation, and river 151 downcutting in response to shifts in sediment supply and river discharge (Bridgland, 1994). Pre-152 Anglian RTDs and glacio-fluvial sand and gravel deposits associated with relict pre-glacial and glacial 153 river channels occur at great distances from the modern day river network and in hill top locations 154 (Ellison et al., 2004), and are particularly prevalent in the northeast of the Thames catchment along 155 the course of the ancestral River Thames. Tills, formed during the Anglian glaciation, occur only in 156 the northeastern area of the Thames catchment; the Tills occur contemporaneously with glacio-157 fluvial deposits and may overlie pre-Anglian RTDs (Sumbler 1996). The oldest SD in the catchment is 158 the Clay-with-Flints (CWF) Formation, a remanié deposit resulting from the reworking of Palaeogene cover and dissolution of the underlying Chalk (Klink et al., 1998; Ellison et al., 2004), which partially 159 160 covers the Chalk and Palaeogene interfluves and tends to be isolated from other SDs. The youngest SD is the alluvium which is found within the floodplain of the active river channel. The alluvium 161 162 typically overlies the youngest post-Anglian RTD, which like the alluvium lies within the active 163 floodplain.

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Three sub-catchments with contrasting distributions of SDs, i.) the Upper Thames, ii.) the Loddon, and iii.) the Lee sub-catchments, are shown in Figure 1 and Table 1 summarises and contrasts the catchment characteristics of the Basin and sub-catchments. These sub-catchments have SDs of contrasting age and type and have been selected to enable comparison of differences in the hydraulic conductivity of SDs across the Basin. The Upper Thames sub-catchment stretches from the

170 source of the River Thames near Cirencester, Gloucestershire to the River Thames just upstream of 171 Oxford. Superficial deposits within the Upper Thames catchment are primarily comprised of post-172 Anglian RTDs associated with the River Thames. They are typically 3 to 4 m thick though thicknesses 173 of up to 8 m are recorded (British Geological Survey, 2014b). With the exception of the River 174 Windrush, RTDs are largely absent within the valleys of the Upper Thames tributaries and were not 175 included within the IMAU assessment. A thin deposit of alluvium is present along some sections of 176 the River Thames valley. The RTDs within the Upper Thames valley are primarily underlain by the 177 Oxford Clay Formation, a low permeability unit designated by the environmental regulator as 178 unproductive in terms of groundwater resource potential (British Geological Survey, 2014c). 179 The Loddon sub-catchment comprises the surface water catchment of the River Loddon and its 180 tributaries. Superficial deposits within the Loddon catchment comprise post-Anglian RTDs 181 associated with the main tributaries and the lower reaches of the River Loddon but are not so areally 182 extensive compared with similar deposits in the Upper Thames. RTDs are largely absent along the 183 smaller tributaries in the sub-catchment. Older (including pre-Anglian) river terraces and glacio-184 fluvial sand and gravels that are disconnected from the modern drainage network are present within 185 the catchment, for example, close to the surface water divide. The SDs are typically up to 4 m thick 186 though sections up to 8 m thick are common along the lower reaches of the River Loddon (British 187 Geological Survey, 2014b). The bedrock geology within the catchment primarily comprises the 188 London Clay Formation and overlying Eocene Sands of the Bagshot Formation and the Bracklesham 189 Group.

The Lee sub-catchment comprises the surface water catchment of the River Lee and its tributaries.
In contrast to the Upper Thames and Loddon sub-catchments, superficial deposits in the Lee subcatchment are dominated by pre-Anglian RTDs associated with the ancestral River Thames;
glaciofluvial deposits; and a thick covering of Till which partially obscure the underlying glacial sand
and gravel deposits. CWF deposits are also present on the Chalk interfluves. The superficial deposits
in the Lee catchment are underlain by the London Clay Formation, Lambeth Group and the Chalk
Group.

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198 Conceptual model of the Superficial Deposits

Based in the above observations, and for the purposes of the present study, the SDs within the
Thames Basin have been classified into six broad lithostratigraphic groups, as shown in Figure 2 and
defined as follows:

202

203	(a)	pre-Anglian CWF deposits principally found along the crest of the Chiltern Hills and the North
204		Downs;
205	(b)	pre-Anglian River Terrace Deposits (preARTD) associated with the ancestral River Thames and its
206		tributaries (Sumbler 1996);
207	(c)	Tills formed by the Anglian ice sheet (Till) (Ellison et al., 2004), found primarily in the northern
208		part of the Basin in the area of north and east Hertfordshire;
209	(d)	glacio-fluvial sand and gravel deposits formed during the Anglian (GF), typically present along
210		the dip slope of the Chilterns;
211	(e)	post-Anglian RTDs (postARTD) associated with the modern day River Thames and tributaries;
212	(f)	post-Anglian alluvium (Alluv)associated with the modern day River Thames and tributaries.
213		
214	Fig	ure 1 shows the spatial distribution of these SDs and Table 1 gives the percentages of each of the
215	SDs	s present at outcrop across the Thames Basin and the three sub-catchments. Figure 2 shows a
216	sch	ematic cross-section of the relationship of the different SDs in the Basin with respect to elevation
217	as a	a section from an active river channel through to the interfluves. A schematic litho-stratigraphic
218	col	umn is also given for reference in Figure 2.
219		
220	Me	thods and the IMAU dataset

221 Background to estimating hydraulic conductivity from grain-size data

222 The hydraulic conductivity (K) of porous media varies according to the properties of the fluid passing 223 through the media and the physical characteristics of the media (Vukovic & Soro, 1992). Estimates 224 of the hydraulic conductivity of hydrogeological formations may be made by evaluating grain- and 225 pore-size distribution, grain shape factors, specific surface, porosity and tortuosity of the media 226 (Uma, 1989). A large number of theoretical and empirical formulae have been developed to derive K 227 using these parameters, perhaps the most well-known and one of the earliest being the Hazen 228 formula (Hazen, 1892). The empirical formula for hydraulic conductivity derived from GSD takes the 229 standard form:

230
$$K = \frac{\rho g}{\mu} \times C \times f(n) \times d_e^2$$
(1)

where ρ is the density of water, g is acceleration due to gravity, μ is the dynamic viscosity of water, *C* is a sorting coefficient, *f*(*n*) is porosity function and *d_e* is the effective grain size. Many of the empirical formulae subsequently published are a derivative of this standard formula with variations in the sorting coefficient and porosity functions to make allowance for the sediment type or geological environment under investigation, for example the Breyer, Kozeny-Carman and Slitcher
formulae (Odong, 2007). In some instances additional functions are incorporated into the standard
formulae to provide a better fit with observed hydraulic conductivity, such as the relative density of
the sediment (MacDonald et al., 2012) and there are now many established formula in existence
suitable for a variety of sediment types (Shepherd, 1989).

240 A recent review of these formulae across a broad range of sediment types suggests that K can be 241 successfully estimated using GSD data (Odong, 2007; Vienken & Dietrich, 2011). While there is a 242 good correlation between K derived from the empirical formula and K derived from other forms of 243 hydraulic testing the mean K may differ by several orders of magnitude between the formulae 244 (Vienken & Dietrich, 2011). Consequently, this has led to some researchers urging caution in the use 245 and interpretation of hydraulic conductivity estimates based on empirical formulae. For example, 246 Odong (2007) suggested that hydraulic conductivity estimates should only be used within their individual domains of applicability, and Vienken & Dietrich (2011) suggested that they should only be 247 248 used when they can be validated by in-situ permeability testing using techniques with similar 249 support volumes. However, it is not possible to validate individual estimates of hydraulic 250 conductivity presented in this paper.

251 The results presented in this study are based on a data mining exercise, described in the following 252 sections, where the data has been collected and analysed in an internally consistent manner, but where no corresponding field observations or measurements are available. As noted in the aims of 253 254 the study, the purpose of this work is to provide an illustration of variations in hydraulic conductivity 255 across the Thames Basin and to explore controls (such as age of deposits and present day depth) on 256 the hydraulic conductivity of various SDs and to consider their implications for the development of 257 quantitative conceptual models of the Basin. In order to achieve confidence in the observations the 258 results are i.) presented and analysed in terms of data summaries for each of the SDs, or grouped or 259 lumped SDs, i.e. using descriptions such as ranges and means for each cluster or region within the 260 Basin; ii.) statistical tests are applied to compare these sub-populations, not individual observations, 261 and iii.) summary statistics for the SDs and sub-populations of the modelled hydraulic conductivity 262 data are compared qualitatively with previously published data for superficial deposits. So although 263 individual observations have not been validated, the approach adopted is robust and fit for the 264 purposes of the study. This pragmatic approach to the characterisation of hydraulic conductivity 265 variations within the Basin is also consistent with the potential sampling biases in the underlying GSD data outlined in the following sections. 266

267 The IMAU grain-size data

268 The determination of GSD-derived hydraulic conductivity (K_{GSD}) for SDs across the Thames Basin 269 ideally requires systematically collected grain-size distribution data obtained from representative 270 sites over the full range of SDs present in the Basin. The GSD data used in the present study come 271 from the Industrial Mineral Assessment Unit (IMAU) of the then Institute of Geological Sciences 272 (now the British Geological Survey) (British Geological Survey, 2014a). During the 1970s and 1980s 273 the IMAU completed an assessment of potential aggregate resources within the Thames Basin for 274 which sieve analysis was undertaken and GSDs determined. The purpose of the IMAU investigation 275 was to determine aggregate resource potential and so it is expected that there will be an inherent 276 bias towards high permeability superficial deposits where the fraction of sand and/or gravel is 277 potentially relatively high. This is reflected in the very limited number of samples from lower 278 permeability units such as the CWF. Other known constraints on sampling are that aggregate 279 resources less than 1 m in thickness or occurring at depths greater than 25 m below the surface 280 were also not assessed by the IMAU. In addition, SDs where the ratio between overburden and 281 resource exceeded 3:1 were excluded (Hopson, 1982).

282 Table 2 summarises the IMAU GSD data used in the study. Over 1440 boreholes were drilled by the 283 IMAU in the Thames Basin: 167 within the Upper Thames sub-catchment; 213 within the Loddon 284 sub-catchment; and 248 in the Lee sub-catchment. The boreholes are distributed across the SDs of 285 the Thames Basin, but SDs within the lower reaches of the Thames catchment weren't sampled. Samples were sieved using 11 sieve sizes from $\frac{1}{16}$ mm – 64 mm (PLUS0630 – PLUS64) with the 286 percentage of material retained recorded. In order to calculate the percentage passing the sieve 287 sizes were converted into phi units, where grain size (mm) = $2^{-[grain size (phi)]}$. The phi scale of 288 289 measurement is logarithmic and therefore a linear interpolation is used between the sieve sizes to 290 determine the percentage grain size distribution. For the linear interpolation to be carried out it is 291 necessary to add arbitrary markers to represent 0 % retained (128 mm) and 100 % retained 292 $(^{1}/_{32}$ mm). In practice the grain sizes representing the 0 % retained and 100 % retained are generally 293 unknown. The effective grain size is converted from phi units back to millimetres for use in the 294 empirical formulae.

IMAU samples were typically collected at metre increments down a borehole. However, where the proportion of fines was high the sample was not sieved. Consequently, for areas where low permeability horizons predominate within the SDs *K_{GSD}* may be expected to be biased by the non-sampling of the fines. The extent to which the non-sampling of fines affects the derived K_{GSD} has been investigated by dividing the borehole depth by the total number of samples collected at each borehole. Where the proportion of fines is low and a sample is sieved every metre the ratio

301 between the number of samples and the borehole depth approaches one. A sampling ratio of more

- 302 than one indicates a degree of under sampling of fines. Approximately half of the boreholes within
- the Thames Basin have a ratio less than 1.25, while 80 % have a ratio less than two. In addition,

304 there is a positive correlation between the sampling ratio and the depth to the top sample

305 (correlation co-efficient 0.72; P value <0.05). From this it has inferred that broadly the under

306 sampling of fines is due to the under sampling of lower permeability overburden rather than under

307 sampling of finer-grained units within the sequence. Of the 278 boreholes with a sampling ratio

- 308 greater than two, nearly two-thirds are located within the northern part of the Thames Basin (Table
- 309 2) where lower permeability glacial deposits are present and where the Lowestoft Till overburden
 310 appears to be greatest (up to 20 m).

311 Estimation of hydraulic conductivity

Hydraulic conductivity has been calculated using the Kozeny-Carman equation (Kozeny 1927 and
later modified by Carmen (1937, 1956)(after Vukovic & Soro, 1992) as follows;

314
$$K_{GSD} = \left(\frac{\rho g}{\mu}\right) \left(\frac{d_m^2}{180}\right) \left[\frac{n^3}{(1-n)^2}\right]$$
, (Bear, 1972) (2)

where ρ is the density of water; g is acceleration due to gravity; μ is the dynamic viscosity; d_m is the effective grain size, and n is a measure of porosity that may be approximated using the grain-size distribution, where

318
$$n = 0.255 (1 + 0.83^u)$$
 (3)

and where *u* is the coefficient of uniformity which can be approximated from the GSD, where

320
$$u = \frac{d_{60}}{d_{10}}$$
 (4)

321

The effective grain size (d_m) is taken to be the d_{10} (10 % cumulative passing grain-size) along with a sorting coefficient (*C*) of 8.3x10⁻³ to provide following Kozeny-Carmen formulation (Odong, 2007; Barahona-Palomo, et al., 2011) where hydraulic conductivity is expressed in metres per day;

325
$$K_{GSD} = 8.3 \times 10^{-3} \left(\frac{\rho g}{\mu}\right) \left[\frac{n^3}{(1-n)^2}\right] d_{10}^2$$
(5)

Whilst there are many empirical formula for deriving hydraulic conductivity from GSDs, the Kozeny-Carmen formulation has a number of advantages over other approaches. It is established in the literature as a good predictor of K_{GSD} applied to a variety of sediments (Odong, 2007; BarahonaPalomo, et al., 2011) and it better accounts for porosity and specific surface (Carrier 2003; Vienken &
Dietrich, 2011) acknowledging that the effects of packing and sorting are lost when using a disturbed
sample for the GSD methodology.

332 The Kozeny-Carman equation is valid for laminar flow and therefore is not applicable for coarse 333 grained deposits where the d_{10} exceeds 3 mm (Carrier, 2003). Of the sediment samples collected as 334 part of the IMAU investigations only 0.2 % of the samples, across 59 boreholes, failed to meet this 335 criterion, of which 24 boreholes are found within the Thames Basin and one lies within each of the 336 Loddon and the Lee sub-catchments. These 24 samples that didn't meet this criterion were omitted 337 from further analysis. Equally, it has been recommended that the Kozeny-Carman relationship 338 should not be used for very fine materials where electrochemical reactions may occur between the 339 grain particles and water (Carrier, 2003). Given the dominance of RTDs and GF deposits within the 340 Thames Basin the Kozeny-Carman equation is not expected to be constrained by this limitation.

341 Analysis of the inferred hydraulic conductivity distributions

342 The hydraulic conductivity of SDs in the Thames Basin has been analysed in the context of the 343 geological conceptual model (Figure 2). This requires each hydraulic conductivity estimate to be 344 ascribed to one of the six SD classes. Since the IMAU study did not record detailed lithostratigraphic 345 information for each sample, a number of assumptions have been made to enable samples to be put 346 into the six SD classes. First, using the 12 figure grid reference for each IMAU sampling point, the 347 outcrop lithology taken from the British Geological Survey digital 1:50 000 mapping of superficial 348 deposits (DiGMapGB-50) in the Thames Basin was identified for each site. There are 122 SD 349 lithostratigraphical units at the 1:50 000 scale mapping in the Thames Basin. The locations of IMAU 350 boreholes fell within 59 of these lithostratigraphical units. These 59 lithostratigraphical units were 351 reduced to 45 by removing units for which only one site was sampled and by removing units such as 352 peat, head and loessic deposits which tend to have a more limited thickness and restricted spatial 353 extent. Each of these 45 SD units was then associated with one of the six classes in the conceptual 354 model and hence each site attributed to a SD class in the conceptual model. Using this approach, all 355 samples within a borehole are attributed with the same lithology as the SD recorded at outcrop on 356 the 1:50 000 map. Consequently, if the SD class changes with depth in a borehole then the deeper 357 samples will be incorrectly classified. This is more likely be a problem where Till overlies PreARTDs or 358 GF sands and gravels (Figure 2) e.g. in the Lee catchment but less of a problem for RTDs since they tend to form discrete terraces with minimal overlap. For samples attributed as Till in the northern 359 360 part of the Thames Basin the depth of the top sample in these boreholes is on average 8.4 mbGL. 361 From this, it has been inferred that using this approach it is likely that some or potentially many of

the 945 samples classified as Till may in reality be samples from underlying GF sands and gravels and/or preARTDs. Consequently, although values of estimated hydraulic conductivity have been reported for the Till, the statistical analysis of the hydraulic conductivity distributions has been undertaken on grouped or lumped data for preARTDs, GF SDs and Till (Table 3b and Figure 3).

366 The hydraulic conductivity distributions have been quantified using standard statistical descriptors 367 and methods, such as cumulative frequency plots and box and whisker plots where data have been 368 grouped either in terms of the Basin or sub-catchments, as a function of SD types defined in the 369 conceptual model (as described above) or as a function of depth. Non-parametric two-way 370 Kolmogorov-Smirnov tests have been used to test the null hypothesis that pairs of grouped or 371 lumped sub-populations are drawn from the same underlying population. (Note parametric tests for 372 normality or log-normality were not applied since hydraulic conductivity measurements rarely 373 conform to simple statistically regular distributions and the normalised frequency plots (Figure 3) 374 clearly show that the distributions are not regular).

The depth of each of sample collected for sieve analysis was recorded by the IMAU, expressed as 375 376 metres below ground surface, and K_{GSD} has been correlated with sample depth to examine the 377 extent to which hydraulic conductivity varies as a function of burial depth of the deposit. In addition, 378 an analysis has also been undertaken that characterises the variation in representative hydraulic 379 conductivity with chronostratigraphic age of the SDs. The samples from the 45 SD classes were 380 assigned to 12 age groups according to their minimum oxygen isotope stage (Sumbler, 1996). Oxygen isotope (δ^{18} O) ratios reflect global ice volumes and glacial events since seawater becomes 381 enriched with δ^{18} O when water is lost to form ice-sheets. Oxygen isotopes therefore provide a 382 383 stratigraphical framework for Quaternary events and may informally be correlated to climatic 384 events, e.g. oxygen isotope stage 5e represents the interglacial equivalent to the Ipswichian 385 climatostratigraphical stage (McMillan, 2011). As far as possible, the SD classes were ordered within 386 each of the age groups based on the interval between their minimum and maximum oxygen isotope 387 stage, where SDs with the shortest interval were presented first (Ellison, 2004; McMillan, 2011). 388 Where the SD is undifferentiated on the BGS geological map e.g. 'River terrace deposits 389 (undifferentiated)' an age group of zero was assigned. Median K_{GSD} was then estimated for each of 390 45 SD classes and plotted as a histogram in descending order by age. The minimum and maximum 391 isotope stage and K_{GSD} statistics for each of the 45 SD classes is presented in Appendix 1.

392 Results

393 Table 3 and Figure 3 summarise the estimated hydraulic conductivity (K_{GSD}) values for the SDs. There 394 are 6411 estimates of hydraulic conductivity across the Thames Basin with a range of about 0.2 to 6000 m day⁻¹. The median and mean hydraulic conductivities are 1.67 and 26.72 m day⁻¹ respectively 395 396 and the overall distribution of hydraulic conductivity values has a strong positive skew. These values 397 are consistent with the few previously published hydraulic conductivity data for SDs from the 398 Thames Basin and for similar deposits elsewhere. Naylor (1974) reported values of hydraulic 399 conductivity of floodplain river gravels of the middle Thames catchment in the range 4 to 2000 m day⁻¹ and based on *in situ* falling head tests Dixon (2004) reported hydraulic conductivity of the 400 401 sands and gravels in the River Thames floodplain in the area of Oxford in the range 100 and 1000 m 402 day⁻¹. MacDonald et al (2012) reported on the *in situ* measurements of a similar series of fluvio-403 glacial deposits from Morayshire, where on, the basis of 38 observations, it was found that hydraulic 404 conductivity ranged from 0.001 to >40 m day⁻¹ with a mean of 5.1 m day⁻¹ (MacDonald el al., 2012). This is a similar to the range and mean to the broadly equivalent preA/Till/GF combined unit of the 405 406 present study. More generally, the values of hydraulic conductivity in Table 3 are consistent with book values for tills (1x10⁻⁹ to 0.1 m day⁻¹), sands (0.001 to 10 m day⁻¹) and gravels (1 to 1000 m day⁻¹) 407 ¹), see for example Freeze and Cherry (Freeze and Cherry, Table 2.2, 1979). 408

The apparent truncation of the hydraulic conductivity distribution at about 0.2 m day⁻¹ is consistent 409 410 with a combination of the non-sampling of finer-grained lower conductivity units such as the CWF 411 and Till deposits, and the non-sampling of some finer-grained units within sequences of mixed grainsize SDs. It is also consistent with censoring associated with the arbitrary grain-size marker of $^{1}/_{32}$ 412 mm used to represent 100 % of material retained which gives rise to a minimum K_{GSD} of 0.16 m day⁻¹. 413 414 In addition, there is also a censoring bias associated with the smallest sieve size used when collecting 415 the grain size distribution data. A low percentage of material retained at the smallest sieve size $\binom{1}{16}$ 416 mm) indicates that finer particle fractions have not been properly accounted for and hence 417 introduces a bias towards higher K_{GSD} values. In the Thames Basin the percentage of material retained at the smallest sieve size is 87 % on average, indicative of some bias. The apparent 418 419 truncation combined with a positive skew to the distribution from a few high conductivity 420 observations means that the normalised frequency plot of log-transformed hydraulic conductivity data (Figure 3) doesn't conform to a simple log-normal distribution. 421

Table 3a presents results for the whole Thames Basin and also for the three sub-catchments. The median hydraulic conductivity values for the Lee, Upper Thames and Loddon sub-catchments are 1.09, 13.12 and 1.76 m day⁻¹ respectively and the maximum hydraulic conductivity ranges from almost 3500 m day⁻¹ in the Lee sub-catchment to less than 1000 m day⁻¹ in the Upper Thames.

Differences in the form of the distribution of hydraulic conductivity between the sub-catchments are
 illustrated graphically in Figure 3. The log-normal probability plots and box and whisker plots show
 the hydraulic conductivity values for the Upper Thames to be systematically higher, less skewed and
 containing slightly lower maximum values than the other two sub-catchments.

430 Table 3b summarises the estimated hydraulic conductivity values for each of the SD classes defined 431 by the conceptual model and for the class that combines hydraulic conductivity estimates for the 432 preARTD, Till and GF deposits. Median hydraulic conductivity values range from 0.27 to 17.77 m day ¹ and maximum values range over about four orders of magnitude from about 3 m day⁻¹ to almost 433 434 6000 m day⁻¹ for the CWF and the Alluvium respectively. The normal probability plots and box and 435 whisker plots for the CWF, combined preARTDs/Till/GF deposits, the postARTDs and the Alluvium 436 (Figure 3) show an overall pattern of increasing hydraulic conductivity from CWF to Alluvium. Results 437 of non-parametric two-way Kolmogorov-Smirnov tests indicate that the distributions of hydraulic 438 conductivity for each of these four groupings of SDs (i.e. CWF, combined preARTDs/Till/GF deposits, 439 the postARTDs and the Alluvium) are independent at the 95 % confidence level.

440 It is inferred from these observations that differences in hydraulic conductivity distribution between
441 sub-catchments reflect the relative differences in the type of SDs present in each sub-catchment. For

example, the SDs in the Upper Thames catchment which have the highest mean hydraulic

conductivity have a relatively high percentage of PostARTDs and Alluvium, whereas the Loddon and
Lee catchments have a significant proportion of Till, PreARTDs and CWF SDs (Table 2).

445 To visualise spatial patterns in the hydraulic conductivity estimates the mean hydraulic conductivity 446 of SDs has been estimated for each borehole across the Thames Basin and plotted as colour coded 447 points for each of the SD classes on a map of the basin (Figure 4a). Corresponding maps for each of 448 the sub-catchments are given in Figure 4b for the Loddon, Figure 4c for the Lee and Figure 4d for the 449 Upper Thames. At the basin scale there is significant spatial variability in SD hydraulic conductivity. 450 Generally higher values are observed within the Upper Thames catchment and along the course of 451 the River Thames itself. High values are also observed along other tributaries to the river Thames. 452 Away from the active river channel a reduction in K_{GSD} is observed. Less spatial coherence is seen 453 within the Lee catchment.

454 Discussion

455 Hydraulic conductivity as a function of SD age and depth

456 It is inferred that hydraulic conductivity of the SDs in the Thames Basin is a function of age of the
457 deposits, with younger deposits generally having higher hydraulic conductivity values (Figure 3). This

is supported by box and whisker plots showing variation in the estimated hydraulic conductivity for 458 459 45 sub-classes of RTDs, GF deposits and CWF attributed to 12 groups according to their minimum 460 oxygen isotope stage age (Ellison, 2004; McMillan, 2011) and plotted in descending order by age of 461 the 45 SD sub-classes (Figure 5). Higher variability in hydraulic conductivity and comparatively high median hydraulic conductivity values, typically in the range 10 - 25 m day⁻¹, are observed for RTDs of 462 463 minimum oxygen isotope stages one and two (postARTDs). However, median hydraulic conductivities for older RTDs (minimum oxygen isotope stage 12 and older) and glacial deposits have 464 a median K_{GSD} typically less than 5 m day⁻¹. PreARTDs typically have a median K_{GSD} of less than 2 m 465 day⁻¹. The Stanmore Gravel Formation (minimum oxygen isotope stage 82) which is located in the 466 467 northeast part of the Thames catchment has comparatively wide ranging KGSD given its age. 468 However the lithogenesis of the Stanmore Gravel Formation is uncertain with some suggestion that 469 it may be a marine deposit associated with the Crag Group rather than a preARTD (Ellison et al, 470 2004); regardless of its origin, the lithology of the unit is described as a 'pebbly gravel', from which it 471 is inferred that is likely to have a relatively high K_{GSD} . An equivalent reduction in average K_{GSD} with 472 age is also apparent (Figure 5; Appendix 1) where RTDs of minimum oxygen isotope stages one and 473 two (postARTDs) have far higher mean K_{GSD} than older RTDs. Exceptions to this are noted for two of 474 the Thames terraces whose lithology is gravel-dominated.

475 Two observations are made with respect to the relationship between K_{GSD} and depth (Figure 6): 476 firstly whether K_{GSD} varies with depth at the basin scale and secondly the degree of variability in the 477 vertical K_{GSD} distribution within the different sub-catchments. It doesn't necessarily follow that 478 trends in K_{GSD} with depth at the catchment- to basin-scale (Figure 6) will be observed at the local or 479 borehole scale. There is, for example, evidence that the high mean K_{GSD} observed for the Stanmore 480 Gravel Formation, Taplow Gravel Formation and Silchester Gravel Formation is skewed by high 481 permeability horizons within their sequence as indicated by high max K_{GSD} values (Appendix 1). This 482 bed-scale heterogeneity likely arised from small-scale channel features coupled to short-term changes in sediment loading or water supply with the effects restricted to individual river reaches 483 484 (Maddy et al., 2001). Vertical heterogeneity in K_{GSD} at the bed scale may also be determined from 485 the IMAU GSD dataset as samples were collected at metre intervals through the depth profile at 486 each site. This level of assessment is not pertinent for the catchment-to-basin understanding being 487 presented but would be an important consideration for detailed groundwater investigations.

At the basin scale, K_{GSD} values covering five orders of magnitude are observed at depths of 0-10 m,
 maximum K_{GSD} values are typically up to 1000 m day⁻¹ while mean K_{GSD} is typically between 25 - 50 m
 day⁻¹. Below 10 m K_{GSD} values are lower and are more predictable, generally covering just three-

491 orders of magnitude (0.2 – 50 m day⁻¹). A marginal increase in median K_{GSD} with depth is observed 492 despite the reduced number of high K_{GSD} outliers. Sub-catchment variability in the K_{GSD} depth 493 distribution is evident and is driven by the proportion of SD classes represented in each catchment. 494 The SDs in the Upper Thames sub-catchment, dominated by RTDs and alluvium, are comparatively 495 thin and therefore sampling depths are shallow, in the range of 0-10 m. In keeping with the basin 496 scale K_{GSD}-depth relationship, K_{GSD} values in the Upper Thames catchment cover five orders of 497 magnitude with comparatively high mean and median K_{GSD} values. High K_{GSD} values at shallow 498 depths (<10 m) are also observed in the Loddon sub-catchment, though mean and median K_{GSD} 499 values at these shallow depths are much lower than those of the Upper Thames sub-catchment. At 500 depths >8 m K_{GSD} values in the Loddon catchment are very well constrained (K_{GSD} values <10 m day⁻¹). Contrasts in K_{GSD} between the Lee and Loddon sub-catchments, particularly at depths >10 m, are 501 502 best explained with respect to the different SDs that dominate within each catchment. At these depths (>10 m) K_{GSD} values in the Loddon catchment do not exceed 10 m day⁻¹ compared to the Lee 503 catchment where K_{GSD} values up to about 50 m day⁻¹ may be expected. The Loddon catchment is 504 505 dominated by RTDs (Table 1), many of which are of minimum oxygen isotope stage 5 and older and 506 hence have a lower mean and median K_{GSD} than younger RTDs (e.g. such as those found in the Upper 507 Thames catchment) (Figure 5). In contrast SDs in the Lee catchment principally comprise Till and GF 508 deposits. The suggestion that Till and GF deposits retain a higher K_{GSD} at depth compared to older 509 RTDs is counter to the frequency distributions for the SD types presented in Figure 3. However, 510 knowing that many sampling points classified as Till are in reality expected to be sampling underlying GF deposits and given that lenses and sheets of gravel are closely associated with Till (Sumbler, 511 512 1996), high K_{GSD} values at depth in a glaciated catchment, such as the Lee, may be expected. Sub-513 catchment assessment of K_{GSD} suggests that high K_{GSD} values observed at depths >10m in the Thames 514 catchment are associated with Till and GF deposits and the very high K_{GSD} values observed at shallow 515 depths (<10m) are associated with young (minimum oxygen isotope stage 1 and 2) RTDs.

516 Spatial variability in hydraulic conductivity

The spatial variability in K_{GSD} across the Thames Basin (Figure 4) appears to relate to the contrasting
depositional environments of the SDs, which are intimately linked to the age of the SD (Figure 5) and
the evolution of the hydrological regime operating on the Thames Basin through the Quaternary.

520 There is evidence of higher K_{GSD} in younger RTDs along the active river channel (minimum oxygen

521 isotope stage 1 and 2) with decreasing K_{GSD} at increasing distances from the river. These

522 observations may be explained within the context of progressive river terrace development. Within

523 the active floodplain younger RTDs are expected to be in hydraulic connection with the river system

524 (Macdonald et al., 2012) they are subject to high groundwater fluxes and regular flood events which 525 remove fines and serve to maintain and enhance a zone of high permeability within the fluvial 526 deposits. As the river incises due to changes in river base level or sediment loading the older RTDs 527 occupy a new position further away from the active river channel and at a higher elevation. Once 528 these older RTDs are separated from the active river channel, through progressive terrace 529 development, groundwater flux through the systems reduces and they become exposed to greater 530 degradation through weathering causing clay-enrichment and a reduction in permeability. Bridgland 531 (1994) for example notes that the Kesgrave Group was subject to pedogensis prior to the deposition 532 of Anglian stage glacial deposits and there is evidence of soliflucted colluvium within terrace 533 sequences.

534 Interglacial activity within the basin may also have a bearing on superficial permeability. There is a 535 significant contrast in permeability of RTDs laid down prior to the deposition of GF sediments during 536 the Ipswichian interglacial warm stage (Figure 5), and RTDs laid down after the Ipswichian 537 interglacial stage deposits, which have a higher median K_{GSD}. Acknowledging the role of Quaternary 538 processes in the development of superficial permeability trends, one might suggest that repeated 539 exposure to cold and warm climatic cycles prior to the Ipswichian has also led to a reduction of K_{GSD} 540 through degradation and clay enrichment of deposits by weathering, solifluction and alluviation 541 processes (Ellison et al., 2004).

These processes may help explain the contrast in K_{GSD} between the RTDs of the Upper Thames catchment which have higher median K_{GSD} values and the Loddon catchment where K_{GSD} values are lower. While both catchments have comparable coverage of RTDS, the Loddon catchment has a greater proportion of older preARTDs and RTDs laid down prior to the Ipswichian Inter-glacial.

546 Lower and more variable K_{GSD} is observed across the Thames Basin where GF and Till deposits are 547 present (Figure 4). While the boxplots of K_{GSD} for the SD types (Figure 3) suggest a comparable level 548 of heterogeneity for the PostARTDs and the lumped PreARTD/Till/GF class, the spatial distribution of 549 K_{GSD} (Figure 4) highlights apparent differences. The heterogeneity of the PreARTD/Till/GF deposits 550 tends to occur at the intra-catchment scale while intra-catchment variability in K_{GSD} for postARTD is 551 low but heterogeneity occurs at the basin scale. In keeping with this observation we notice that 552 RTDs of equivalent age but deposited in different catchments, such as the Thatcham Gravel 553 Formation and equivalent Taplow Gravel Formation or the Silchester Gravel Member and equivalent Black Park Gravel Member, do not necessarily have the same K_{GSD} trends (Appendix 1). This might 554 555 suggest that catchment-scale depositional and hydrological setting and the lithological composition

of the RTD exert more influence on K_{GSD} of RTDs than the basin-scale climatic and hydrological
 regime.

558 Wider application of the methodology and validation of the conceptual model

559 An approach to characterise basin-scale variability in SD permeability by combining grain-size data 560 with a conceptual model of the SD distributions has been presented. The technique is advantageous 561 in that it may be applied to large geographical areas relatively easily providing sufficient data exist 562 and as long as there is adequate understanding of superficial geology. Using a conceptual model 563 based on generic and commonly occurring SD types as defined by superficial mapping provides a 564 methodology that may be applied within other catchments in the UK and elsewhere. Despite these advantages certain weaknesses of the methodology are acknowledged. The hydraulic conductivity 565 566 data estimated using the Kozney-Carmen formula (Eqn. 5) have not been validated using field data 567 (for example from slug or packer tests) as this data is not available, consequently, the confidence in 568 individual observations is poorly constrained. Where such field data is available it should used, 569 however, since the development and the conceptual model relies on statistical summaries of the 570 hydraulic conductivity distributions for the SDs and as these are consistent with previously published 571 field values, the approach outlined in the paper is thought to be fit for purpose. Other potential 572 weaknesses in the approach are associated with sampling errors and biases. GSD methods sample 573 disturbed sediments and therefore don't account for packing, sorting or layering (Uma et al., 1989) 574 and the resulting estimated hydraulic conductivity values are non-directional. GSD formulae are 575 generally less suitable for the characterisation of fine-grained deposits such as clays (Vukovic & Soro, 576 1992) which, in part, reflects the non-sampling of fine-grained horizons by the IMAU. However, this 577 introduces a sampling bias and means that data for the CWF and Till deposits are more limited. 578 Equally the Kozeny-Carmen formula is only valid for laminar flow and may only be applied if the d₁₀ is 579 <3 mm (Carrier 2003) which may preclude its use in certain environments where coarse-grained 580 deposits dominate, such as glacial gravels. However, this was not found to be a problem in the 581 Thames basin where the grain-size criterion was largely satisfied despite the presence of glacial 582 gravels SDs. To reduce the effect of these limitations and in the absence of field-based hydraulic 583 conductivity data to validate K_{GSD} it is essential to take account of the SD depositional environment 584 through the application of a conceptual model and to apply relative K_{GSD} trends rather than extract 585 absolute values. Application of empirical formulae for K_{GSD} which take account of the depositional 586 setting and which incorporate additional functions for, e.g. porosity and specific surface will also 587 reduce uncertainty in the prediction of hydraulic conductivity.

588 While the IMAU dataset offers the opportunity to characterise the 3D permeability depth profile a 589 2D spatial assessment was undertaken by preference by using a median K_{GSD} derived for each 590 borehole location. Limitations of using a 2D map of the superficial cover to assign a SD class to each 591 of the IMAU boreholes are recognised. As illustrated by the conceptual model (Figure 2) there are 592 locations in the Thames Basin where SD mapped at surface is not representative of the SD sampled 593 at depth by the IMAU project: this is especially true where Till overlies GF and PreARTDs. However, simple analysis of the K_{GSD} variations with depth (Figure 6) suggests that at the basin-scale the 594 595 observations may be explained with reference to the SD types and the age of the SDs. Vertical variations in K_{GSD} are expected to be important at the local scale, however. If a 3D representation of 596 597 the superficial geology were available to researchers it should be used in preference to reduce the 598 uncertainty in assigning the K_{GSD} results to a SD class and to maximise the level of refinement in the 599 conceptual model.

600 The initial classification of SDs from the conceptual understanding is a fundamental part of the

601 methodology. Given the strong age-related influence on K_{GSD} seen in this study, where both the

602 Anglian glaciation and the Ipswichian inter-glacial exert some control, it would be appropriate for

researchers to consider age-related sub-classification of the SD types where ages or significant

604 Quaternary events influencing the system can be defined.

At the basin-scale where K_{GSD} of SDs is intimately linked to the Quaternary evolution of the basin, (through contrasts in age and SD type), the spatial trends in relative K_{GSD} may readily be applied to large-scale process models where the permeability trends are constrained by a suitably refined conceptual model of the SDs.

609

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