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# Modelling *Aedes aegypti* mosquito control via transgenic and sterile insect techniques: endemics and emerging outbreaks

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## Abstract

The invasion of pest insects often changes or destroys a native ecosystem, and can result in food shortages and disease endemics. Issues such as the environmental effects of chemical control methods, the economic burden of maintaining control strategies and the risk of pest resistance still remain, and mosquito-borne diseases such as malaria and dengue fever prevail in many countries, infecting over 100 million worldwide in 2010. One environmentally friendly method for mosquito control is the Sterile Insect Technique (SIT). This species-specific method of insect control relies on the mass rearing, sterilization and release of large numbers of sterile insects. An alternative transgenic method is the Release of Insects carrying a Dominant Lethal (RIDL). Our objective is to consider contrasting control strategies for two invasive scenarios via SIT and RIDL: an endemic case and an emerging outbreak. We investigate how the release rate and size of release region influence both the potential for control success and the resources needed to achieve it, under a range of conditions and control strategies, and we discuss advantageous strategies with respect to reducing the release resources and strategy costs (in terms of control mosquito numbers) required to achieve complete eradication of wild-type mosquitoes.

*Keywords:* Biological control, *Aedes aegypti*, RIDL, SIT, transgenic insects.

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## 1. Introduction

The history of pest control is as old as human agriculture or disease. The invasion of pest insects often changes or destroys a native ecosystem, and can result in food shortages and disease endemics. As a result, the development of biological control methods has received widespread attention and, in some cases, they have been successful (Benedict and Robinson 2003; Dyck et al. 2005; Vreysen et al. 2007). However, issues such as the environmental effects of chemical control methods, the economic burden of maintaining control strategies and the risk of pest resistance still remain, and mosquito-borne diseases such as Malaria and Dengue fever prevail in many countries

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9 in East Asia, South America and Africa, infecting over 100 million and killing at least half a  
10 million in 2010 (WHO 2012a,b,c). Furthermore, repeated invasions are observed in regions where  
11 the vector mosquitoes have been eradicated completely in the past. For example, *Aedes aegypti*  
12 and *Aedes albopictus* are observed in Northern European countries as well as Asia (Hulden and  
13 Hulden 2008; Paupy et al. 2012). Global warming and the human transportation system also  
14 promote such situations (Enserink 2010). As such, continued research into the development of  
15 better pest control methods remains vital (Dyck et al. 2005; Pimentel 2011).

16 One environmentally friendly alternative for mosquito control is the sterile insect technique,  
17 SIT (Knippling 1955). This species-specific method of insect control relies on the mass rearing,  
18 sterilization and release of large numbers of sterile insects, preferably males (Dyck, Hendrichs,  
19 and Robinson 2005), which, it is hoped, mate with wild-type insects, thereby reducing their  
20 reproductive output and, potentially, the pest population abundance (see Black et al. (2011) and  
21 Wilke et al. (2012) for recent reviews). Mixed-sex sterile releases are avoided where practical as  
22 they are generally less efficient and, for species such as mosquitoes, it is only the females that bite.  
23 This means that their release could potentially aid disease spread in the short-term (see Alphey  
24 et al. (2010) for a recent review).

25 Other transgenic technologies have recently been developed to improve SIT control (Benedict  
26 and Robinson 2003; Wimmer 2003; Alphey et al. 2010); these include genetic sexing (Robinson  
27 et al. 1999), genetic marking (Peloquin et al. 2000) and genetic female-specific lethality (Seawright  
28 et al. 1978). One such transgenic strategy is RIDL, i.e. “Release of Insects carrying a Dominant  
29 Lethal” (Thomas et al. 2000; Phuc et al. 2007). Here the released transgenic males are homozygous  
30 for a dominant lethal gene that is expressed in both male and female (bisex) progeny that result  
31 from mating with wild-type insects. Female-specific RIDL strategies have also been developed (Fu  
32 et al. 2010), but here we focus on bisex RIDL control strategies. Hereafter, we use the terms SIT  
33 and sterile to refer to early-acting lethality of the progeny of released insects, for example classical  
34 SIT using radiation-induced sterility, and the terms RIDL and transgenic to refer to late-acting  
35 lethality in both sexes.

36 We note also that the developmental stage at which the dominant lethal gene is expressed, for  
37 instance the embryonic or the larval stages, can have a substantial effect on the control strategy.  
38 In particular, late acting genes, which induce death after the density-dependent larval stage, have  
39 a significant advantage over SIT strategies because of an additional reduction in pest abundance  
40 that arises as a result of larval competition (Atkinson et al. 2007; Phuc et al. 2007; White et al.  
41 2010).

42 The details of mosquito dispersal behaviour are not completely understood (Reiter et al. 1995;  
43 Harrington et al. 2005), though there have been mathematical modelling studies highlighting that  
44 *Ae. aegypti* invasion rates have a critical influence on the success of the control strategy (Lewis and  
45 Driessche 1993; Takahashi et al. 2004; Yakob et al. 2008; Magori et al. 2009; Seirin-Lee et al. 2013).  
46 Nonetheless, studies that explore the effects of *Ae. aegypti* invasive dynamics upon the efficacy  
47 of SIT and RIDL control strategies in eliminating mosquitoes are limited to those by Yakob  
48 et al. (2008) and Yakob and Bonsall (2009), which consider the interplay of stage structuring  
49 and dispersion on a lattice with a small control region that is embedded within an established  
50 pest population. These investigations reveal complex dynamics and focus on the differences  
51 between SIT and RIDL control strategies for a very limited variation in spatial parameters, other  
52 than dispersal rates. However, firstly, it is not clear whether a strategy aimed at eliminating an

53 established pest is appropriate for eradicating an emergent, invading, outbreak. In addition, the  
54 influence of systematically varying the size of the region in which control insects are released is  
55 an aspect of spatially heterogeneous models that is essentially unexplored and merits detailed  
56 study, given the concern that spatial dynamics such as mosquito invasion is becoming a critical  
57 issue on global scale (Benedict et al. 2007; Jansen and Beebe 2010). Furthermore, such detailed  
58 investigations are facilitated in the continuum modelling approach considered here, which allows  
59 the ready prediction of scaling laws, as illustrated below for the influence of dispersal rates. More  
60 generally the continuum approach is typically an appropriate and efficient framework, and thus  
61 often advantageous, when the lengthscale and timescale under consideration are large compared  
62 to those describing the population's individuals.

63 Our objective is thus to consider control strategies for two control scenarios via SIT and RIDL:  
64 an endemic case and an emerging outbreak for a mosquito vector. In the former case, a mosquito  
65 vector is endemic. In contrast, in the latter case invading mosquitoes establish and cause a local  
66 outbreak in a previously mosquito-free region; see Fig 1. An important question is how such  
67 differences in the initial scenario induce different response to variations in control strategies with  
68 SIT and RIDL. In particular, we are concerned with how these responses are influenced by spatial  
69 parameters such as dispersal rates and especially the lengthscales of the regions in which control  
70 insects are released. Thus for the two contrasting scenarios, we investigate how varying the release  
71 rate in conjunction with the size of release region influence both the potential for control success  
72 and the resources needed to achieve it, in terms of control mosquito numbers, under a range of  
73 conditions. We thus discuss the relationships between the size of the control zone, the mosquito  
74 dispersal rate and advantageous strategies with respect to reducing control insect numbers and  
75 thus improving the strategy costs required to achieve eradication of mosquitoes. Finally, we briefly  
76 note that in the emerging outbreak case, we explore release efforts and strategy-costs with a control  
77 strategy that can *eradicate* the wild-type females. This is in distinct contrast to *halting* the spread  
78 of an outbreak using a barrier zone method of our previous study (Seirin-Lee et al. 2013).

## 79 2. Materials and Methods

### 80 2.1. Mathematical models

81 We build upon the temporal model of mosquito population dynamics developed by Dye (1984),  
82 which was validated on data for the larval and adult ecology of *Ae. aegypti* in Wat Samphaya,  
83 Bangkok, Thailand, published in Sheppard et al. (1969) and Southwood et al. (1972), and from  
84 unpublished reports of the World Health Organization's Aedes Research Unit (ARU) in Bangkok  
85 [*ibid*].

86 The densities of wild-type female mosquitoes and sterile/transgenic male mosquitoes at time  
87  $t$  are respectively denoted by  $N(t)$ ,  $S(t)$ . Following Dye (1984) we firstly assume that mosquito  
88 proliferation proceeds via a stage-structured process approximated by a delayed density-dependent  
89 mortality acting on a pre-adult developmental stage, reflecting larval competition. In addition,  
90 equal numbers of male and female wild-type mosquitoes are assumed, and it is taken that wild-type  
91 females mate in proportion to their relative abundance (Knipling 1955; Phuc et al. 2007), at a rate  
92 given by  $N(t)/(N(t) + cS(t))$  where  $0 < c \leq 1$  represents the reduced mating competitive ability  
93 of sterile male or transgenic male mosquitoes. We also impose the same per capita death rate,  
94 denoted  $\mu$  below, for the female wild-type and male sterile/transgenic mosquitoes. In addition,

95 the control framework is modelled by the release of sterile or transgenic male mosquitoes at a  
 96 constant rate, denoted  $\kappa = \theta N^*$ , where  $N^*$  is the control-free equilibrium density of wild-type  
 97 mosquitoes and  $\theta$  is defined as the release rate ratio.

98 By balancing mosquito numbers, these assumptions yield the following equations:

$$\begin{aligned} \frac{dN(t)}{dt} &= rN(t-T) \left( \frac{N(t-T)}{N(t-T) + cS(t-T)} \right) \Phi(t) - \mu N(t), \\ \frac{dS(t)}{dt} &= \kappa - \mu S(t). \end{aligned} \tag{1}$$

99 Here  $\Phi(t)$  captures density-dependent competition in the larval stage, the delay time,  $T$ , represents  
 100 the mosquito developmental time in the stage-structuring and, finally, the egg production rate per  
 101 adult female is denoted by  $r$  and is multiplied by a corrective factor to account for futile matings  
 102 with steriles and imperfect survival while reaching the adult stage.

103 The late-acting lethal induced by RIDL is anticipated to participate in larval competition  
 104 and thus  $\Phi$  is unaffected by the perturbations induced by such control strategies and hence  
 105 is independent of transgenic mosquitoes. Following the classical insect population dynamics of  
 106 Gurney et al. (1980), we therefore have

$$\Phi(t) = \exp \left[ -\alpha E^\beta N^\beta(t-T) \right], \tag{2}$$

107 with RIDL control. Here  $\beta$  is a parameter representing the strength of density-dependent com-  
 108 petition that facilitates fitting with field data, as detailed by Dye (1984). Note that  $\alpha$ ,  $E$  occur  
 109 only in the parameter grouping  $\alpha E^\beta$  and thus one cannot separate the interpretation of these  
 110 two parameters. They are distinct here to maintain notational similarity with Dye's (1984) model  
 111 formulation, where  $1/\alpha$  is interpreted as the size at which the wild-type female mosquito population  
 112 reproduces at maximum rate and  $E$  is the egg production rate of adult mosquitoes. Nonetheless,  
 113 below we treat  $\alpha E^\beta$  as a single parameter grouping.

114 For SIT, the matings with control mosquitoes do not give rise to any offspring, and thus  
 115 larval competition is reduced in proportion to the number of futile matings. Hence, for SIT, we  
 116 have (Phuc et al. 2007; White et al. 2010; Seirin-Lee et al. 2013)

$$\Phi(t) = \exp \left[ -\alpha E^\beta \left( N(t-T) \left( \frac{N(t-T)}{N(t-T) + cS(t-T)} \right) \right)^\beta \right], \tag{3}$$

117 thus accounting for how the SIT interventions interfere with larval competition. The general  
 118 extent to which such models concur with alternative representations of stage structure in mosquito  
 119 dynamics, for instance the models based on the framework of Focks et al. (1993a,b) such as  
 120 Erickson et al. (2010), is an open question that we do not address here.

121 We proceed to generalise the temporal model (1)–(3) to consider spatial dynamics in a one-  
 122 dimensional homogeneous domain (See Fig. 1 for a schematic). The larvae are not motile and  
 123 hence there is no dispersive kernel linking the stages of mosquito maturation, though the adults  
 124 are taken to diffuse at constant rate. Hence, for  $t > 0$  we have

$$\begin{aligned} \frac{\partial N(x,t)}{\partial t} &= D \frac{\partial^2 N(x,t)}{\partial x^2} + rN(x,t-T) \left( \frac{N(x,t-T)}{N(x,t-T) + cS(x,t-T)} \right) \Phi(x,t) - \mu N(x,t), \\ \frac{\partial S(x,t)}{\partial t} &= D \frac{\partial^2 S(x,t)}{\partial x^2} + \kappa(x) - \mu S(x,t), \end{aligned} \tag{4}$$

where  $x \in \Omega$ , the spatial domain, with  $D$  denoting the diffusion rate of both wild-type females and sterile/transgenic males. The competition term,  $\Phi(x, t)$ , is given by (2) or (3) by simply exchanging  $S(t)$  and  $N(t)$  for  $S(x, t)$  and  $N(x, t)$  respectively. We also assume the boundary of region  $\Omega$  does not permit mosquito transport and thus we have zero flux boundary conditions,

$$\frac{\partial N}{\partial x} = \frac{\partial S}{\partial x} = 0, \quad x \in \partial\Omega.$$

125 To model control strategies we consider the continuous release of sterile/transgenic males within  
 126 the delivery region at a constant rate per unit length,  $\theta N^*$ , which defines  $\theta$  given  $N^*$  denotes the  
 127 control free equilibrium pest insect density. This is described in detail via the release function

$$\kappa(x) = \theta N^* \chi(x), \quad \chi(x) = \begin{cases} 1 & x \in A \\ 0 & x \in \Omega \setminus A \end{cases}, \quad (5)$$

128 where  $A$  is the region of  $\Omega$  in which sterile/transgenic males are released at rate  $\theta N^*$ . In Fig. 1,  
 129  $A$  becomes the interval  $[\bar{x}, \bar{x} + \gamma_s]$ . We use this general functional form to explore two different  
 130 scenarios and their respective control strategies.

## 131 2.2. Scenarios and control strategies

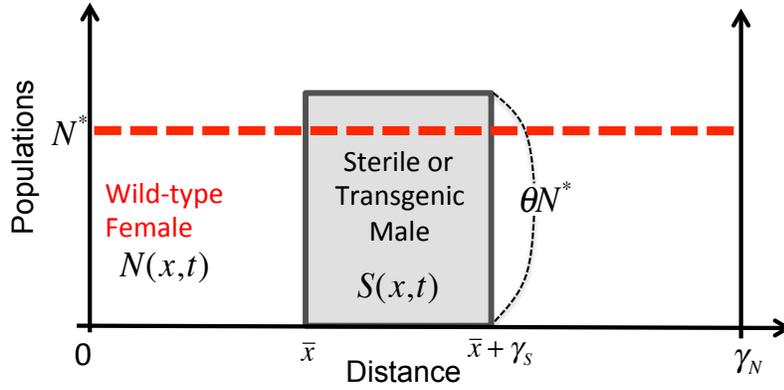
132 We consider two scenarios. The first is an *endemic* case in which female mosquitoes are  
 133 widespread over an isolated region  $\Omega$ , so that the width of the wild-type female habitat,  $\gamma_N$ , is  
 134 equal to  $|\Omega|$ . The control is applied by releasing sterile/transgenic males locally within the region  
 135 (Fig. 1(a)). The second scenario is an *emerging outbreak* case, in which female mosquitoes are  
 136 invading a new environment. In this case,  $\Omega$  is large enough so that  $\gamma_N \ll |\Omega|$  (Fig. 1(b)). For  
 137 both cases, control success will mean a complete eradication of wild-type female mosquitoes rather  
 138 than just an invasion arrest or a decrease in pest population density.

### 139 2.2.1. Endemic outbreaks and the local release strategy

140 This scenario is described in Fig. 1(a) in detail and we call it the *local release strategy*. We  
 141 assume that the female wild-type mosquito population has already approached carrying capacity  
 142 in an isolated homogeneous region. The simplest control strategy for complete eradication in this  
 143 scenario is the release of a sufficiently large number of sterile/transgenic males over the whole  
 144 region,  $\gamma_S = \gamma_N$ , where  $\gamma_S$  is the width of the release region. The success of this control method  
 145 can be explored in a straightforward manner via the temporal model, (1), because success depends  
 146 only on the release rate of sterile/transgenic males per unit time. We obtain a minimal release  
 147 ratio for complete eradication, as in Phuc et al. (2007) and Seirin-Lee et al. (2013). However, it is  
 148 not clear how the minimal release ratios change when release is over only a portion of the region,  
 149  $\gamma_S < \gamma_N$ , nor how critically this ratio depends on the mosquito dispersal rate. Hence, we explore a  
 150 measure of the resource cost required for the successful eradication of female mosquitoes, namely  
 151 the product of the release region size and the release ratio, which below we refer to as the release  
 152 effort,  $[EF]_{loc}$ . This measure therefore is the total number of released sterile/transgenic males per  
 153 unit time, and is given mathematically by

$$[EF]_{loc} = \gamma_S \theta N^*. \quad (6)$$

(a) Endemic scenario: Local release strategy



(b) Emerging outbreak scenario: Wavefront cover strategy

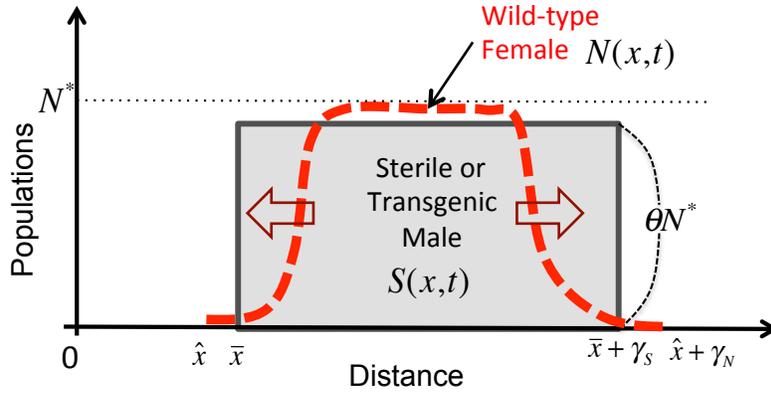


Figure 1: Control strategy scenarios. (a) Endemic case. Wild-type female mosquitoes are distributed uniformly on an isolated region and the sterile/transgenic male mosquitoes are released locally. (b) Emerging outbreak case. The wild-type female mosquitoes form a wave, invading pest-free territory in both directions, whose spatial variation can be determined from the solutions of the model in the absence of control. The spatial extent of this wave, denoted  $\gamma_N$ , requires a detection (or tolerance) threshold density, which is denoted by  $\bar{e}$ . Thus  $\gamma_N$  is the size of the region for which, at initial time, the mosquito density is above threshold,  $N > \bar{e}$ . In the model, the total spatial region considered is of size  $|\Omega|$ , with the assumption  $|\Omega| \gg \gamma_N$ . In attemptive control, the release region of the sterile/transgenic mosquitoes is denoted represented by the interval  $[\bar{x}, \bar{x} + \gamma_S]$ .

154 As mentioned in the Introduction, the indefinite release of sterile/transgenic mosquitoes im-  
 155 poses a heavy economic burden, and hence we estimate the time to complete eradication, in  
 156 particular because many of the insects involved are likely to be influenced either seasonally or by  
 157 climate change (Purse et al. 2005; White et al. 2010). The time required for complete control  
 158 will also be a very important issue in determining improved strategies. Thus we also define the  
 159 strategy-cost as the product (release effort  $\times$  time to eradication). Mathematically, this is given  
 160 by

$$[SC]_{loc} = [EF]_{loc} \times T_{ex}, \quad (7)$$

161 where  $T_{ex}$  is the extinction time of the wild-type female mosquito population, which requires  
 162 definition in terms of a tolerance (or detection threshold), characterised by  $\varepsilon$  below. In particular,  
 163  $T_{ex}$  is the smallest time such that whenever  $t > T_{ex}$  we have,

$$\frac{1}{|\Omega|} \int_{\Omega} \frac{N(x, t)}{N^*} dx < \varepsilon \ll 1. \quad (8)$$

164 Typically in our simulations we take  $\varepsilon = 10^{-2}$ . The strategy-cost is therefore the total number of  
 165 sterile/transgenic males released up until effective eradication of the wild-type female mosquito  
 166 population.

### 167 2.2.2. Emerging outbreaks and the wavefront cover strategy

168 In the modern era of developed human transport systems, the transmission of disease over  
 169 several thousands of kilometres by vector insects is common (Shigesada and Kawasaki 1997;  
 170 Enserink 2010). We expect, with a uniform environment, mosquitoes will disperse in a wave-  
 171 like manner away from their initial site of invasion, with the population approaching its carrying  
 172 capacity behind the wave. We suppose that sterile/transgenic males are released over a single  
 173 region of length  $\gamma_S$ , as depicted in Fig. 1(b), which covers the invasive wavefront.

174 As a measure of cost resource, we define the release effort by

$$[EF]_{cov} = \frac{\gamma_S \theta N^*}{\gamma_N}, \quad (9)$$

175 where  $\gamma_N$  denotes the above-threshold region which wild-type female mosquitoes have invaded  
 176 when control is initiated. Noting the invasive profile is unimodal, as depicted in Fig. 1(b), we  
 177 have  $\gamma_N$  satisfies the constraint  $N(\hat{x}, 0)/N^* = N(\hat{x} + \gamma_N, 0)/N^* = \bar{\varepsilon}$  where  $\bar{\varepsilon}$  is the threshold and  
 178 thus an extremely small density (which the results are insensitive to).

179 However, note that  $\gamma_N$  is defined differently for parameter sets A and B in the numerical  
 180 simulation, as these induce invasive waves with different spatial profiles. Thus the release effort  
 181 function (9) has been defined per unit length and the release effort for an emerging outbreak (9)  
 182 constitutes the average number of sterile/transgenic males released per unit time and per unit  
 183 length of the initial above-threshold outbreak domain. With the extinction time given by (8) the  
 184 strategy-cost is

$$[SC]_{cov} = [EF]_{cov} \times T_{ex} \times \gamma_N = \gamma_S \theta N^* \times T_{ex}, \quad (10)$$

185 which is the total number of sterile/transgenic males released during the control period.

186 2.3. Parameter values

187 As with many other studies (e.g. Phuc et al. (2007); Yakob et al. (2008); White et al. (2010)) we  
188 use Dye’s (1984) estimates for the life-history parameter values for *Ae. aegypti*, which incorporate a  
189 range of values for the intrinsic birth rate,  $r$ , and the density-dependent coefficient,  $\beta$ . As presented  
190 in Table 1, we focus on two sets of parameters which represent the extremes of  $r$  and  $\beta$  (White  
191 et al. 2010; Seirin-Lee et al. 2013), with the grouping  $\alpha E^\beta$  chosen so that the equilibrium density,  
192  $N^*$ , is the same for each parameter set and of the order of one million mosquitoes per kilometre  
193 for the spatial models. The first parameter set, denoted A, has a lower intrinsic birth rate,  $r$ , in  
194 combination with weaker density-dependent competition,  $\beta$ , and gives rise to a stable equilibrium  
195 which is approached monotonically in the absence of control strategies. In contrast, parameter  
196 set B has substantially larger birth rate,  $r$ , and higher density-dependent competition,  $\beta$ , which  
197 induces overcompensating density-dependent competition, giving rise to oscillatory dynamics in  
198 an uncontrolled population for the spatially homogeneous model. This dynamics arises as a  
199 peak in the adult population results in an increase in reproduction, leading to competition and  
200 a subsequent drop in the following generation. Population recovery then follows as a result of a  
201 drop in competition.

202 These two parameter sets also result in very different predictions concerning the control of  
203 *Ae. aegypti* mosquitoes (see, for example, Phuc et al. (2007)). While SIT and RIDL control  
204 strategies give rise to similar results in decreasing the population wild-type female mosquitoes in  
205 the case of parameter set A, for parameter set B, a moderate release rate of sterile mosquitoes  
206 may undesirably increase the wild-type mosquito population due to a reduction in competition  
207 offsetting the reduced birth rate.

208 It should be noted that we take the density-dependence parameters from Dye (1984), following  
209 many previous studies. However, Legros et al. (2009) has called these values into question by  
210 using an alternative technique, and finding different values. The qualitative results that follow  
211 do not change for these alternative values and we detail this further in the Discussion and both  
212 parameter sets are considered given the uncertainty in their estimates. Also, in the absence of  
213 explicit empirical estimates for the diffusion rates of sterile or transgenic *Ae. aegypti* mosquitoes  
214 (Reiter et al. 1995; Harrington et al. 2005), we assume that the sterile/transgenic mosquitoes have  
215 the same diffusion rate as wild-type mosquitoes, and this is varied across a broad range, from  
216 hundreds of square meters per day to several square kilometres per day.

217 Recent studies in radiation dose optimisation has led to marked improvements in SIT in general,  
218 with some studies showing little competitive reduction from radiation (Mastrangelo et al. 2012;  
219 Oliva et al. 2012; Sow et al. 2012). Similarly, the mating competitiveness of genetically sterile  
220 RIDL male mosquitoes has been shown to comparable to that of their wild-type counterparts in  
221 semi-field conditions (Lee et al. 2013). Therefore we assume that, for both control strategies, the  
222 mating competition coefficient ( $c$ ) is close to unity, reflecting a small fitness cost. An extensive  
223 investigation into this parameter can be found in White et al. (2010).

Note that, although we present numerical results with representative diffusion rates and the  
parameter sets in Table 1, simple parameter rescaling using nondimensionalisation leads to the  
same results for a three-dimensional family of parameter choices so that our results are not  
restricted to the parameters listed in Table 1. For instance the effect of variations in the parameter

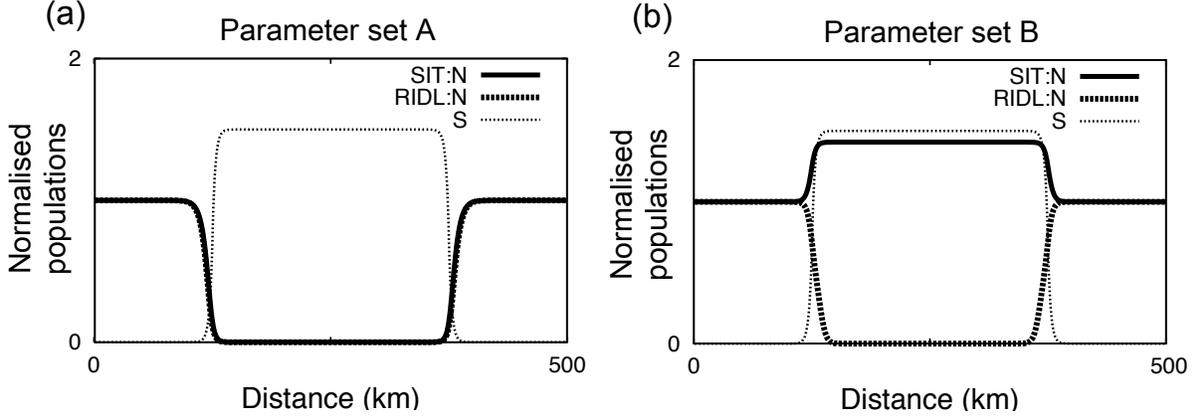


Figure 2: The effect of the local release strategy for an insufficient release of sterile/transgenic males. The wild-type female habitat size is  $\gamma_N = 500$  km and the release region size is  $\gamma_S = 250$  km. The release rate ratio,  $\theta$ , is 1.5. The plots show the normalised female wild-type population and sterile/transgenic male population relative to the wild-type female equilibrium,  $N^*$ .

grouping  $\alpha E^\beta$  can be inferred from the fact the model equations are invariant under the mapping

$$\alpha E^\beta \rightarrow (\alpha E^\beta)_1 = \frac{1}{\zeta^\beta} \alpha E^\beta, \quad N \rightarrow N_1 = \zeta N, \quad N^* \rightarrow N_1^* = \zeta N^*, \quad S \rightarrow S_1 = \zeta S.$$

224 Finally, a detailed numerical scheme for the model given by equations (4)–(5) is described in  
 225 Appendix A.

### 226 3. Results

#### 227 3.1. Endemic outbreaks and the local release strategy

228 We consider the local release strategy, asking two main questions: (i) To what extent does  
 229 the dispersal rate affect the potential for eradicating female mosquitoes? (ii) If the local release  
 230 strategy is effective, what is the minimal release region and how does it relate to the release rate  
 231 ratio and dispersal rate? Our simulation results show that for some release regions and rates  
 232 the local release strategy is not always successful in eradicating female wild-type mosquitoes (see  
 233 Fig. 2). In particular, with parameter set B, application of a local release strategy using SITs in  
 234 fact induces an increase in the total female population if the release rate is not large enough, as  
 235 observed in spatially homogeneous modelling (Phuc et al. 2007). Below, we explore the relationship  
 236 between duration for complete eradication, the release rate and the release region size, plus their  
 237 influence in reducing resources, as measured via control mosquito numbers.

##### 238 3.1.1. Minimal release region size for complete eradication

239 We denote the minimal release region size by  $\gamma_S^{min}$  and define it as the release region size at  
 240 which we are able to achieve complete eradication for a given release rate ratio,  $\theta$ . In order to find  
 241 the minimal release region size required for complete eradication of female wild-type mosquitoes  
 242 we plot, in Fig. 3(a), the threshold values of  $(\gamma_S, \theta)$  at which female mosquitoes become extinct

Table 1: The values of  $(r, \beta)$  associated with parameter sets A and B have been chosen from the parameter ranges estimated by Dye (1984), as also used in other modelling investigations (Phuc et al. 2007; White et al. 2010). The parameter grouping  $\alpha E^\beta$  for parameter set B has been fixed to ensure the same control-free equilibrium of approximately six million mosquitoes per kilometre.

Parameter /Variable	Definition	Value
$N$	Density/number of female wild-type mosquitoes	
$S$	Density/number of male sterile or transgenic mosquitoes	
$\Omega$	Whole spatial region	500 km
$\gamma_N$	Width of wild-type females habitat <sup>†</sup>	(0, 500 km]
$\gamma_S$	Width of sterile/transgenic male release region	(0, 500 km]
$D$	Diffusion coefficient for mosquitoes	[0.01, 25] (km <sup>2</sup> /day)
$T$	Mosquito development time	18.84 days
$c$	Coefficient of reduced mating competitive ability of sterile/transgenic male mosquitoes	0.95
$\mu$	Death rate of wild-type adult females	0.12 days <sup>-1</sup>
$\kappa$	Release rate of control strategy males	$\theta N^*$ days <sup>-1</sup> ††
$\theta$	Release rate ratio of control strategy males	(0, 20](days <sup>-1</sup> )
<b>Parameter set A</b>		
$r$	Birth rate of adults corrected for egg to adult survival	0.367 days <sup>-1</sup>
$\beta$	Density-dependent coefficient	0.302
$\alpha E^\beta$	Density-dependent coefficient	0.01 ††
$N^*$	Control-free female mosquito equilibrium $([(1/\alpha) \ln(r/\mu)]^{1/\beta}/E)$	$6.064 \times 10^6$ ††
<b>Parameter set B</b>		
$r$	Birth rate of adults corrected for egg to adult survival	1.31 days <sup>-1</sup>
$\beta$	Density-dependent coefficient	1.0
$\alpha E^\beta$	Density-dependent coefficient	$3.94 \times 10^{-7}$ ††
$N^*$	Control-free female mosquito equilibrium	$6.064 \times 10^6$ ††

<sup>†</sup>  $\gamma_N = |\Omega|$  in the endemic scenario (Fig. 1(a));  $\gamma_N$  is taken to satisfy

$$N(\hat{x}, 0)/N^* = N(\hat{x} + \gamma_N, 0)/N^* = \bar{\epsilon} \text{ such that } \bar{\epsilon} < O(1/N^*), \quad (11)$$

in the emerging outbreak scenario (Fig. 1(b)).

<sup>††</sup> For the spatial model, this value is given with appropriate length units, i.e. per (km) <sup>$\beta$</sup>  for  $\alpha E^\beta$  and per km for  $N^*$ .

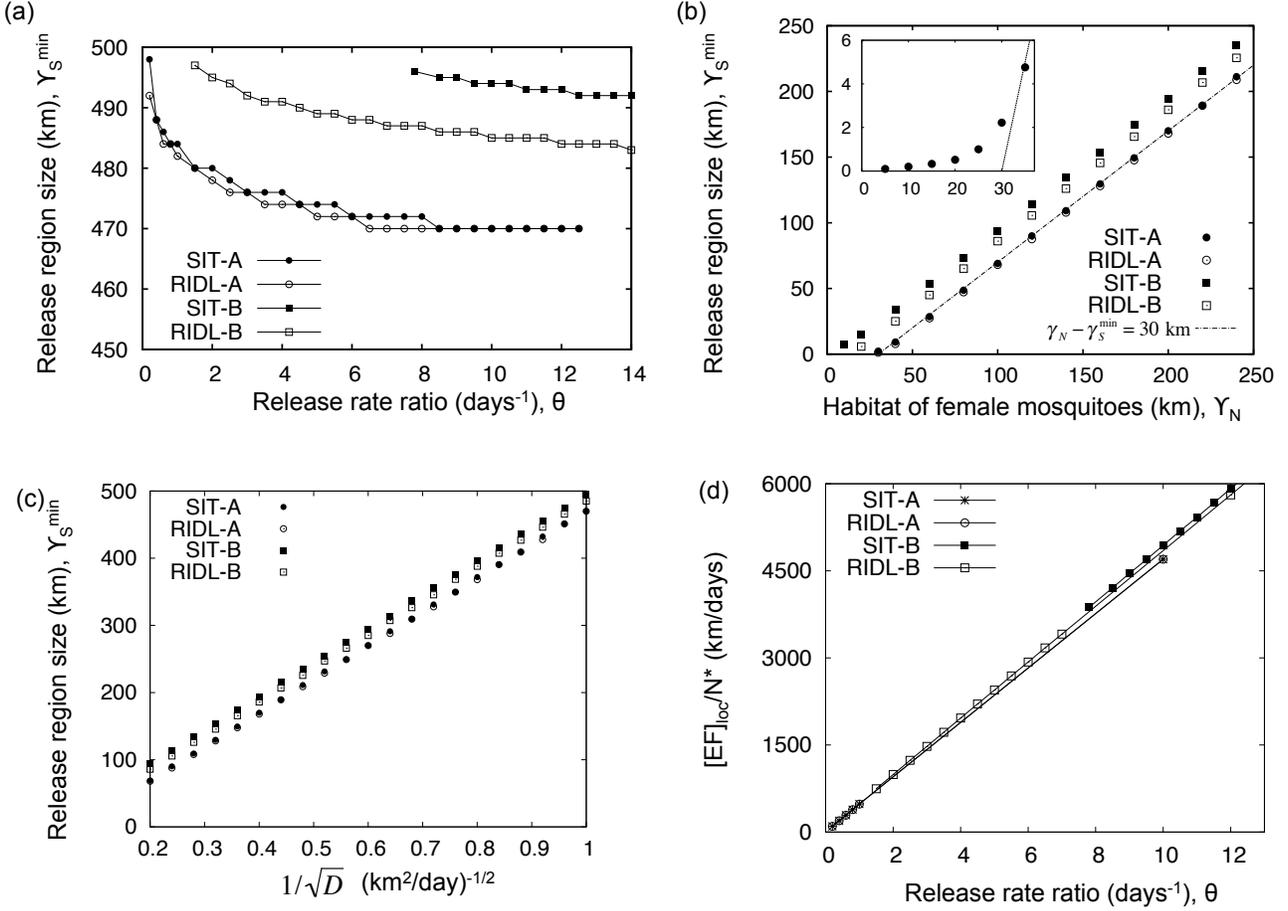


Figure 3: Minimal release region size and release effort for complete eradication using the local release strategy in the endemic scenario. For (b) and (c), the control release rate ratio,  $\theta = 10$ , is fixed. Diffusion rates are  $1 \text{ km}^2/\text{day}$  except for (c). SIT-A and RIDL-A imply parameter set A, and SIT-B and RIDL-B imply parameter set B. (a) The threshold curves for successful local release control strategies. The region above each curve is associated with control success, whilst below each curve corresponds to control failure, with levels of normalised release effort given by  $[EF]_{loc}/N^* = \theta \times \gamma_S$ . (b) The relation between the female habitat size,  $\gamma_N$ , and the minimal release region size  $\gamma_S^{\min}$ . For parameter set A,  $\gamma_N - \gamma_S^{\min} \approx 30 \text{ km}$  in both SIT and RIDL for  $\gamma_N$  sufficiently large, with the small  $\gamma_N < 35 \text{ km}$  behaviour illustrated for the SIT strategy in the inset and is analogous for RIDL. (c) The dependence of  $\gamma_S^{\min}$  on diffusion rates for  $\gamma_N = 500 \text{ km}$ . (d) The release effort as a function of release rate ratio, (6), while restricted to the curve  $\gamma_s = \gamma_s^{\min}$ . The values for SIT-A and RIDL-A are very similar so that the points overlap. The release effort values in all cases increase monotonically.

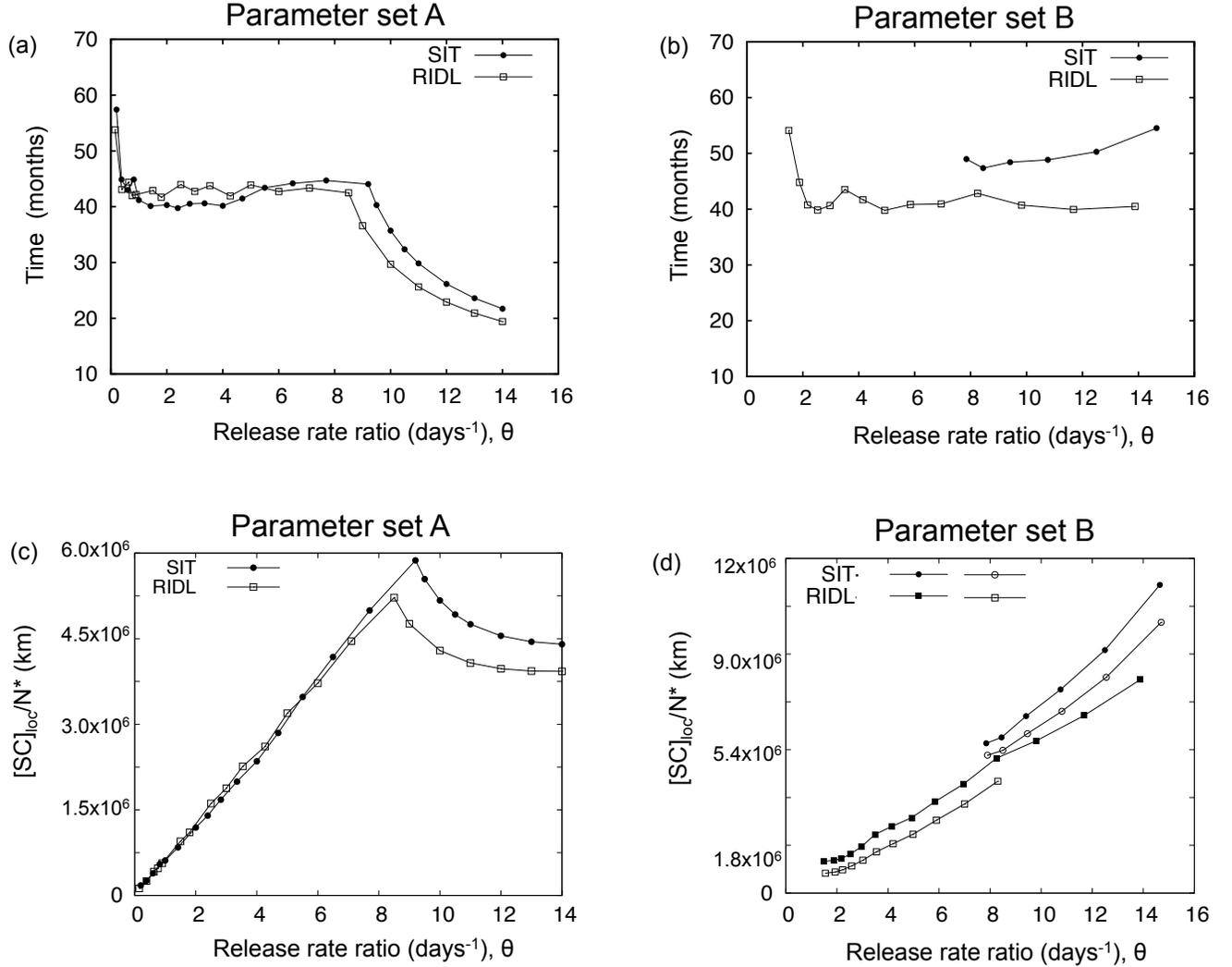


Figure 4: Extinction time and strategy-cost using the local release strategy in the endemic scenario. Diffusion rates are  $1 \text{ km}^2/\text{day}$ .  $\gamma_s = \gamma_s^{\min}$  here, given by Fig. 3(a). (a)–(b) Extinction time as measured by the equation (8) for data restricted to the curve  $\gamma_s = \gamma_s^{\min}$ , Fig. 3(a). (c)–(d) The normalised strategy-cost  $[SC]_{loc}/N^*$ , where  $[SC]_{loc}$  is given by equation (7), is plotted as a function of the release rate ratio. The white points ( $\circ$ ,  $\square$ ) in (d) show how the strategy cost,  $[SC]_{loc}$ , sensitively changes with the size of the release rate ratio. The black points ( $\bullet$ ,  $\blacksquare$ ) in (d) are calculated by the threshold values of  $(\gamma_s^{\min}, \theta)$  for complete eradication and each eradication time given in (b). The white points are calculated for these parameter values except that the release rate ratio is increased by a very small amount, 0.05.

243 throughout the entire habitat. Note that we have assumed in our calculations that complete  
 244 eradication is achieved when the constraint (8) is satisfied.

245 Regardless of the control strategy and parameter choice, when the release rate ratio is small, the  
 246 size of release region required for successful eradication of female mosquitoes depends sensitively  
 247 on the release rate ratio. However, for large release rate ratios the minimum size of release region  
 248 becomes insensitive to changes in the release rate, as shown in Fig. 3(a), although the size of release  
 249 rate ratio at which this insensitivity arises, and the size of release region there, are dependent on  
 250 the control strategy and parameters chosen.

251 We explore the dependence of the minimal release region size upon  $\gamma_N$  for a fixed release  
 252 rate ratio in Fig. 3(b). The results highlight that the minimal release region size increases with  
 253 female habitat size but, surprisingly,  $\gamma_N - \gamma_S^{min}$  ( $\stackrel{\text{def}}{=} \delta_{opt}$ ) is constant (approximately 30 km for  
 254 parameter set A) when  $\gamma_N$  is sufficiently large; however,  $\gamma_N - \gamma_S^{min}$  decreases and tends to zero  
 255 as  $\gamma_N$  is reduced to zero. This enables us to suggest an intuitive result, that the local release  
 256 strategy is more effective for a small habitat than a large one. For example, when the female  
 257 habitat is very large, we need to release sterile/transgenic males over a very wide region to achieve  
 258 eradication. In contrast, when the habitat is very close in size to  $\delta_{opt}$  or less than it, release in  
 259 a very small region compared to  $\gamma_N$  will be sufficient to eradicate the female population over  
 260 the whole habitat. Furthermore, we note that this result is not highly sensitive to the choice of  
 261 parameter set or control strategy.

262 The sensitivity of  $\delta_{opt}$  to the diffusion rate is shown in Fig. 3(c) where we see the, again, intuitive  
 263 result that  $\gamma_S^{min}$  decreases as the diffusion rate increases. Further, as detailed in Appendix B, a  
 264 scaling relation exists for the variation of the minimal release region size with the diffusion rate:

$$\gamma_S^{min}(D) = \frac{\mathcal{C}}{\sqrt{D}} - \delta_{opt}, \quad (12)$$

265 where  $\mathcal{C}$  is a constant given by  $\mathcal{C} = \sqrt{D_0}\gamma_N^0$  with  $D_0, \gamma_N^0$  denoting a fixed diffusion rate and habitat  
 266 size of wild-type females, respectively. Since the choice of optimal release region is highly sensitive  
 267 to the value of the diffusion rate, one would require careful experimental measurement of mosquito  
 268 diffusion rates in order to be able to minimise the release effort. Nonetheless, the local release  
 269 strategy is potentially applicable to small endemic regions, regardless of the parameter values and  
 270 control method used.

### 271 3.1.2. Release effort and strategy-cost

272 In Fig. 3(d), we plot the release effort for each strategy and parameter set on restriction to the  
 273 threshold curve, Fig. 3(a). Note that the minimal release effort is given at the minimal release rate  
 274 ratio and the release effort increases monotonically as the release rate ratio increases, regardless  
 275 of the choice of SIT and RIDL, or parameter set.

276 Further, the extinction time of the wild-type female mosquitoes at points  $(\theta, \gamma_S^{min})$  taken from  
 277 Fig. 3(a) is fairly constant except for small release rate ratios or sufficiently large release rate  
 278 ratios, as shown in Fig. 4(a), (b). The reason that the extinction time is almost constant for  
 279 intermediate release rate ratios is that it is governed by the invasion timescale of the control  
 280 mosquito for the domain, given  $\gamma_S^{min}$  is approximately constant. Once the minimal release region  
 281 size,  $\gamma_S^{min}$ , becomes insensitive to increases in the release rate ratio (for example, around  $\theta = 8.0$   
 282 in Fig. 4(a)), the extinction time decreases as the release rate ratio increases. This is because

283 the extinction time for wild-type females on  $\Omega \setminus \gamma_S^{min}$  decreases as the number of sterile/transgenic  
 284 males migrating into  $\Omega \setminus \gamma_S^{min}$  increases, which is promoted when the sterile/transgenic males are  
 285 released as quickly as possible. In contrast, with very small numbers of released males, a long  
 286 time is required for the sterile males to reach each boundary of the female habitat, so that the  
 287 eradication time of the females increases.

288 For smaller release rates, we obtain a monotonically increasing strategy-cost,  $[SC]_{loc}$ , as a  
 289 function of the release rate ratio, as illustrated in Fig. 4(c). This is because the value of the  
 290 release effort at small release rates is small enough to counteract the influence of any increase  
 291 in extinction time in the strategy-cost, equation (7), so that the strategy-cost monotonically  
 292 increases as a function of the release rate ratio, as shown in Fig. 4(c), (d). However, the strategy  
 293 cost,  $[SC]_{loc}$ , slightly decreases around  $\theta = 8.0$  because the extinction time decreases with a large  
 294 release rate ratio, as shown in Fig. 4(a).

295 Although the strategy cost,  $[SC]_{loc}$ , decreases with reductions in the release rate ratio,  $\theta$ , the  
 296 eradication time is, in fact, sensitive to the fact we are working with the minimum release range,  
 297  $\gamma_S^{min}$  of Fig. 3(a). The white points ( $\circ$ ,  $\square$ ) in Fig. 4(d) illustrate this: the strategy cost,  $[SC]_{loc}$ ,  
 298 of the white points has been calculated with the extinction time for the same release range but  
 299 a very slightly elevated release rate ratio compared to the black points ( $\bullet$ ,  $\blacksquare$ ). Obviously, the  
 300 strategy-costs for the white points are smaller than the respective black points, a result of the  
 301 decrease in  $T_{ex}$ . We thus can reduce strategy-costs by selecting higher release rate ratios than the  
 302 threshold value, at which elimination just occurs.

### 303 3.1.3. Sensitivity of the surviving population of wild-type females to the diffusion rate and release 304 rate ratio

305 In the endemic scenario,  $\gamma_N$  is always greater than  $\gamma_S$  so that if one does not choose the release  
 306 region size greater than  $\gamma_S^{min}$ , complete eradication of wild-type female mosquitoes will not be  
 307 achieved. However, one can still achieve local eradication, as shown in Fig. 2. In what follows, we  
 308 explore how mosquito dispersal rates and release rates affect the decrease in the wild-type female  
 309 population. The numerical results are shown in Fig. 5 where the average number of surviving wild-  
 310 type female mosquitoes is plotted as a function of both the mosquito dispersal rate and release  
 311 rate ratio. The former has a negligible effect when the release rate is small. In contrast, for a  
 312 large enough release rate and parameter set A, we see that an increase in the dispersal rate causes  
 313 a decrease in the new population equilibrium. This is because the dispersion of sterile/transgenic  
 314 mosquitoes to outlying regions increases, though such an effect is negligible for diffusion rates  
 315 on the order of hundreds  $m^2/day$ . For parameter set B, an insufficient number of sterile males  
 316 using SITs can lead to an increase in the female population as diffusion rates are increased (see  
 317 Fig. 5(c)). This is consistent with the results of the discrete model formulated by Yakob et al.  
 318 (2008). In Fig. 5(d), we find that the RIDL method has a clear switch around  $\theta = 1.0$  but the  
 319 average fraction of surviving wild-type females is not sensitive to the release rate ratio for a given  
 320 diffusion rate.

### 321 3.2. Emerging outbreaks and the wavefront cover strategy

322 One finds four kinds of representative dynamics, determined by the release rate ratio,  $\theta$ . Fig. 6  
 323 illustrates results for SIT controls, whilst RIDL controls exhibit similar dynamics, except for the  
 324 absence of an increase in the wild-type female population observed in Fig. 6(b), (c).

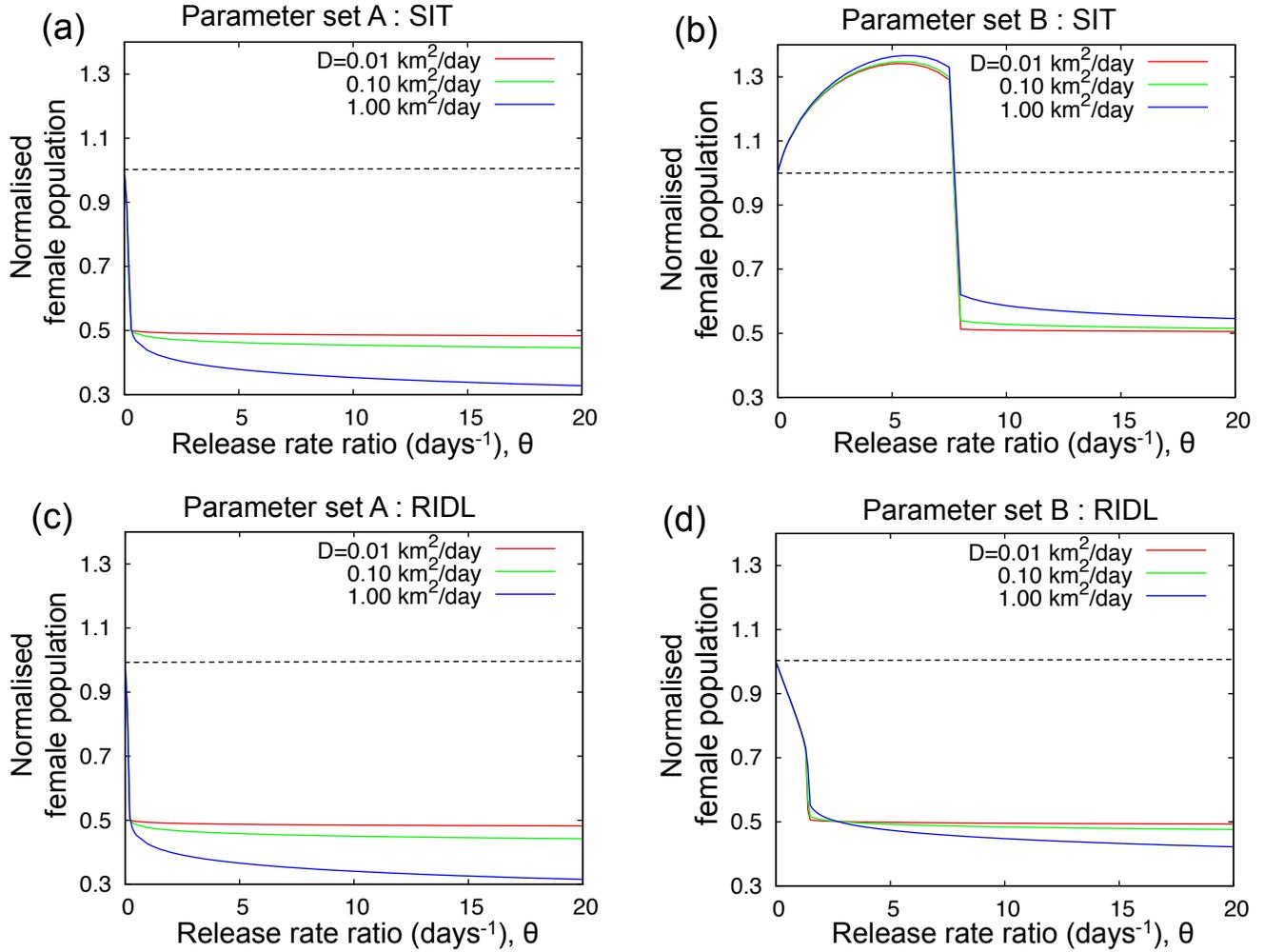


Figure 5: Average fraction of surviving wild-type females for different diffusion rates and release rate ratio with  $\gamma_N = 500$  km and  $\gamma_S = 250$  km, whereby eradication is not feasible. The plots give the normalised equilibrium female wild-type population in terms of  $\theta$ , the control release rate ratio. The dotted line indicates 1.0 which is the normalised equilibrium population of female mosquitoes before control.

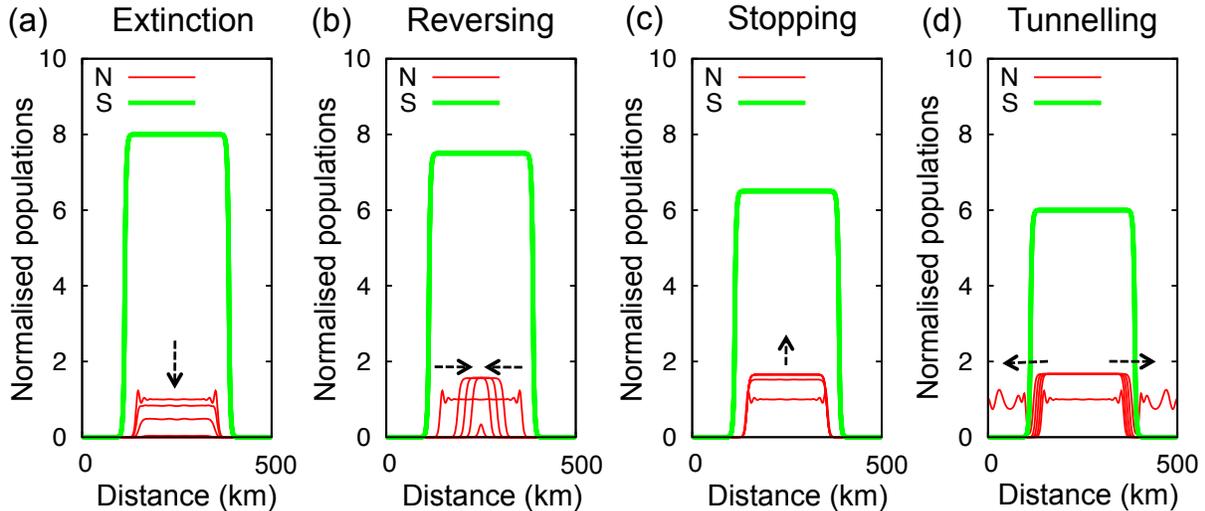


Figure 6: Control success/fail scenarios for the emerging outbreak scenario. (a)–(d) plot representative cases for the SIT method with parameter set B. Similar dynamics are observed for the other parameter set or RIDL except that local increases in female density are not observed in cases (b), (c).  $D = 1 \text{ km}^2/\text{day}$ . The initial value of  $\gamma_N$  in the numerical simulations is 325.5 km and is obtained from equation (11). The release region size,  $\gamma_S$ , is 275 km and the release rate ratio,  $\theta$ , is varied. (a)  $\theta = 8.0$ : the female population decreases monotonically over the habitat. (b)  $\theta = 7.5$ : the wave of females reverses direction and the wild-type female population becomes extinct. (c)  $\theta = 6.5$ : the female population increases over the habitat so that the control fails locally but succeeds in blocking dispersion of the female mosquitoes. (d)  $\theta = 6.0$ : not only does the wild-type female population increase but also the wave escapes the control region and control fails completely.

325 In Fig. 6(a) a sufficiently large release rate ratio drives the wave of wild-type female mosquitoes  
 326 extinct before it can extensively disperse outside of the sterile male release region, and successful  
 327 control is established. In (b), with a decrease in the release rate ratio, the invading wave of female  
 328 mosquitoes reverses its direction of travel (i.e. the infested region contracts) and eventually the  
 329 population becomes, again, extinct, though the wild-type female population size increases on  
 330 reversal using SIT with parameter set B. In (c), in contrast, the wave of female mosquitoes ceases  
 331 contraction and, in the SIT case, the female population increases. Eradication is not achieved.  
 332 Finally, in (d), with a further decrease in the release rate ratio we see that the female population  
 333 wave is able to invade through the boundaries of the control region and eventually occupy the  
 334 entire habitat. In cases (a) and (b), control is successful but in the cases (c) and (d), control fails.  
 335 In what follows, we explore optimal strategies for control success.

### 3.2.1. Minimal release region size needed for complete eradication

336 Fig. 7 shows that the values of  $(\theta, \gamma_S^{\min}/\gamma_N)$  are not sensitive to the choice of SIT or RIDL  
 337 methods. For both parameter sets A and B, the variation in  $\gamma_S^{\min}/\gamma_N$  is very small for  $\theta \in [0, 10]$ .  
 338 This implies that the minimal release region size,  $\gamma_S^{\min}$ , varies only within several kilometres on a  
 339 dimensional scale. Hence, the sensitivity of the minimal release region size to the release rate  
 340 ratio is much less than in the case of the local release strategy. Such insensitivity is observed regardless  
 341 of diffusion rates (results not shown). Since  $\gamma_S^{\min}$  converges to a constant value as the release rate  
 342

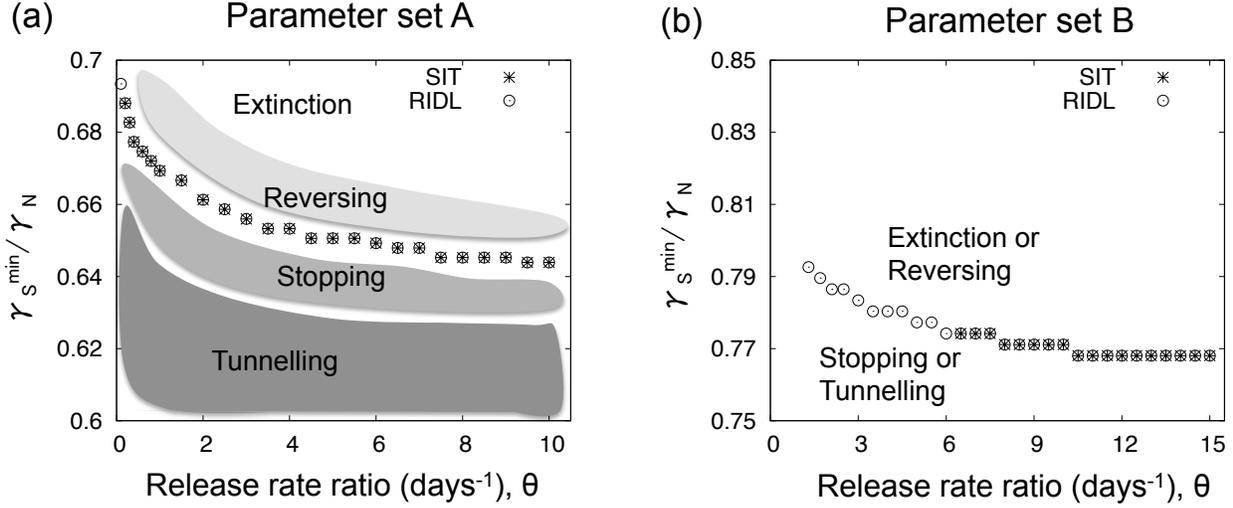


Figure 7: Wavefront cover strategy in the emerging outbreak scenario. Release rate ratio and relative release region size for complete eradication of the wild-type female mosquitoes. The threshold curve indicates successful control strategies. Above the curve control is successful and below the curve control fails. In (a), the parameter regions for the four representative dynamics of Fig. 6 are sketched. Similar parameter regions are also obtained in the case of parameter set B (details not shown).  $D = 1 \text{ km}^2/\text{day}$ ,  $\gamma_N = 373.5 \text{ km}$  in (a) and  $\gamma_N = 325.5 \text{ km}$  in (b). For numerical simulations, we calculate  $\gamma_N$  using equation (11). As we take very small values for  $\bar{\epsilon}$  in (11), the value of  $\gamma_N$  used in our result is usually larger than  $\gamma_S^{\min}$  so that  $\gamma_S^{\min}/\gamma_N$  is less than 1.

343 ratio increases, once  $\gamma_S$  is less than the threshold of  $\gamma_S^{\min}$ , the sterile/transgenic males always fail  
 344 to impede the female wild-type wave, even for large release rate ratios. However, if  $\gamma_S > \gamma_S^{\min}$ ,  
 345 the release rate ratio critically influences the dynamics of the wild-type females, as shown in Fig. 6  
 346 and the parameter region sketches of Fig. 7, and it determines the extent of control success.

347 In contrast to the results for the local release strategy, shown in Fig. 3(a), the threshold  
 348 requirement of complete eradication for either the SIT or RIDL strategy induces relatively small  
 349 changes in the minimal release region size even for parameter set B (Fig. 7(b)). In particular, the  
 350 female wild-type population in the local release strategy for the endemic scenario remains at high  
 351 levels and the density-dependency impacts strongly at the edges of the released sterile/transgenic  
 352 male zone inducing different minimal release region sizes not only between SIT and RIDL but  
 353 also between parameter sets. However, for wavefront covering strategies both edges of the female  
 354 wild-type wave have low population density so that the effect of the density-dependence is slight,  
 355 explaining the similarity of the behaviour of the SIT and RIDL strategies here.

### 356 3.2.2. Time to extinction and release rate ratio

357 In Fig. 8, we show the dependence of extinction time upon release rate ratio, given a sufficiently  
 358 large and fixed release region size,  $\gamma_S$ . Since the extinction time is not measured precisely in the  
 359 deterministic model, we define the extinction time for the female mosquitoes to be the minimal  
 360 time satisfying equation (8). As expected, and also observed in a spatially homogeneous study  
 361 by Atkinson et al. (2007), this eradication time increases drastically as the release rate ratio  
 362 reduces towards the threshold. Indeed, for a release rate ratio on the order of the threshold value,  
 363 and an eradication time of several years is predicted (Fig. 8(a),(c)). In contrast, release rate

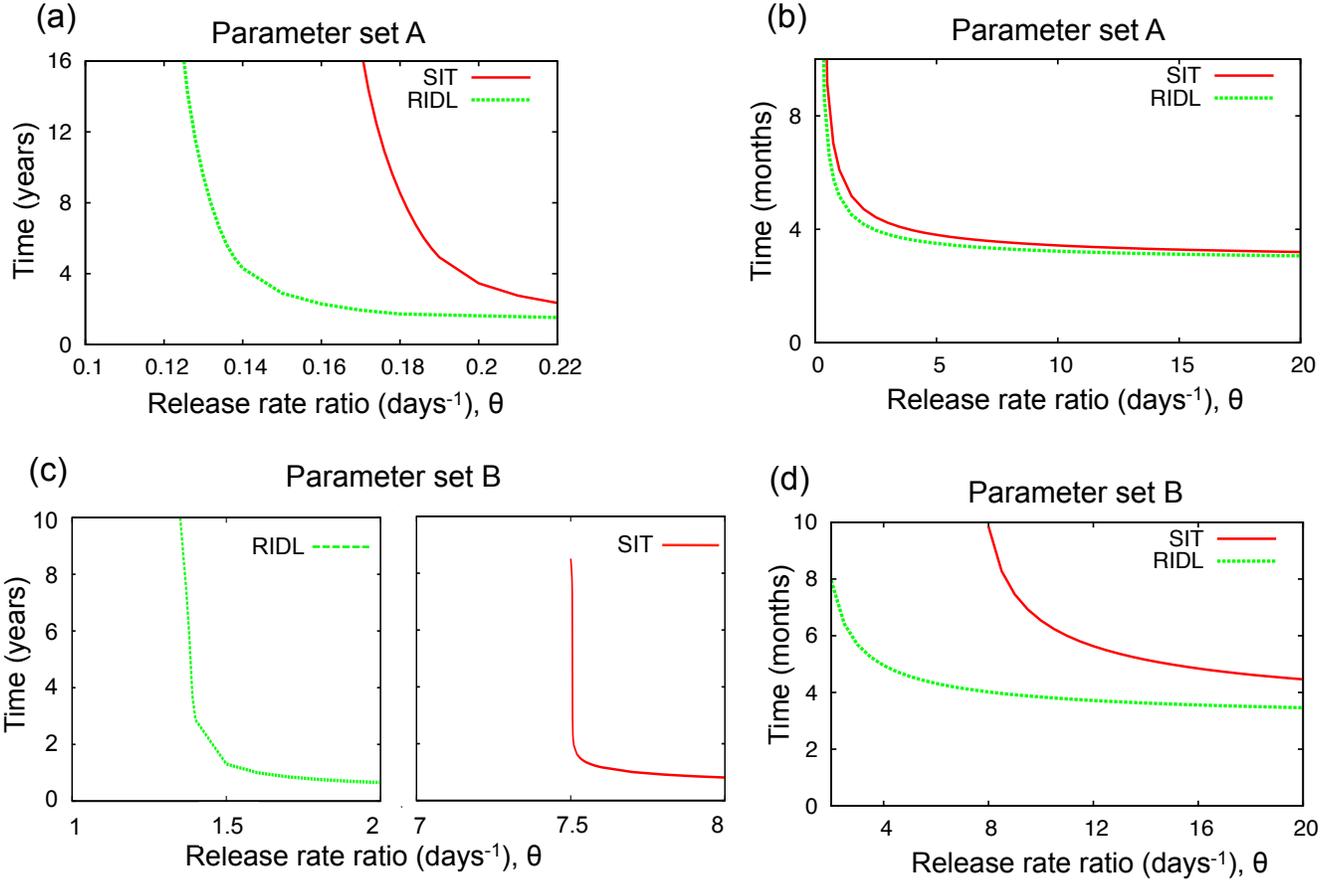


Figure 8: The dependence of extinction time on release rate ratio using the wavefront cover strategy in the emerging outbreak scenario given a fixed release region,  $\gamma_s$ , above  $\gamma_s^{min}$  for all  $\theta$ . The diffusion constant is  $D = 1 \text{ km}^2/\text{day}$ . When the release rate ratio is small, the extinction time shows extreme sensitivity to the choice of control method. (a)–(b): Parameter set A. (c)–(d): Parameter set B.

364 ratios significantly higher than threshold can reduce the time to extinction to the order of months  
 365 (Fig. 8(b),(d)). Such predictions of the temporal dynamics can be made regardless of the choice  
 366 of parameters or SIT/RIDL strategies. Nevertheless, the threshold release rate ratio for the RIDL  
 367 technique is less than for SIT and RIDL always offers faster eradication, especially near threshold.

### 368 3.2.3. Release effort and strategy-cost

369 Before discussing results, we note that  $[EF]_{cov}$  and  $[SC]_{cov}$  given by equations (9) and (10),  
 370 respectively, depend on the initial size of the female mosquito wave,  $\gamma_N$ , which is determined  
 371 slightly differently depending on parameter sets A and B because the initial size of the wild-type  
 372 female wave is given by simulation data for an invasive wave, using equation (4) with  $S(x, t) \equiv 0$ .  
 373 This differs between parameter sets A and B. Thus, strictly, we cannot use these two strategy  
 374 measures directly for comparing the influence of the choice of parameter set. However, these  
 375 two measurements are effective for exploring the effectiveness of SIT or RIDL using the same  
 376 parameter set.

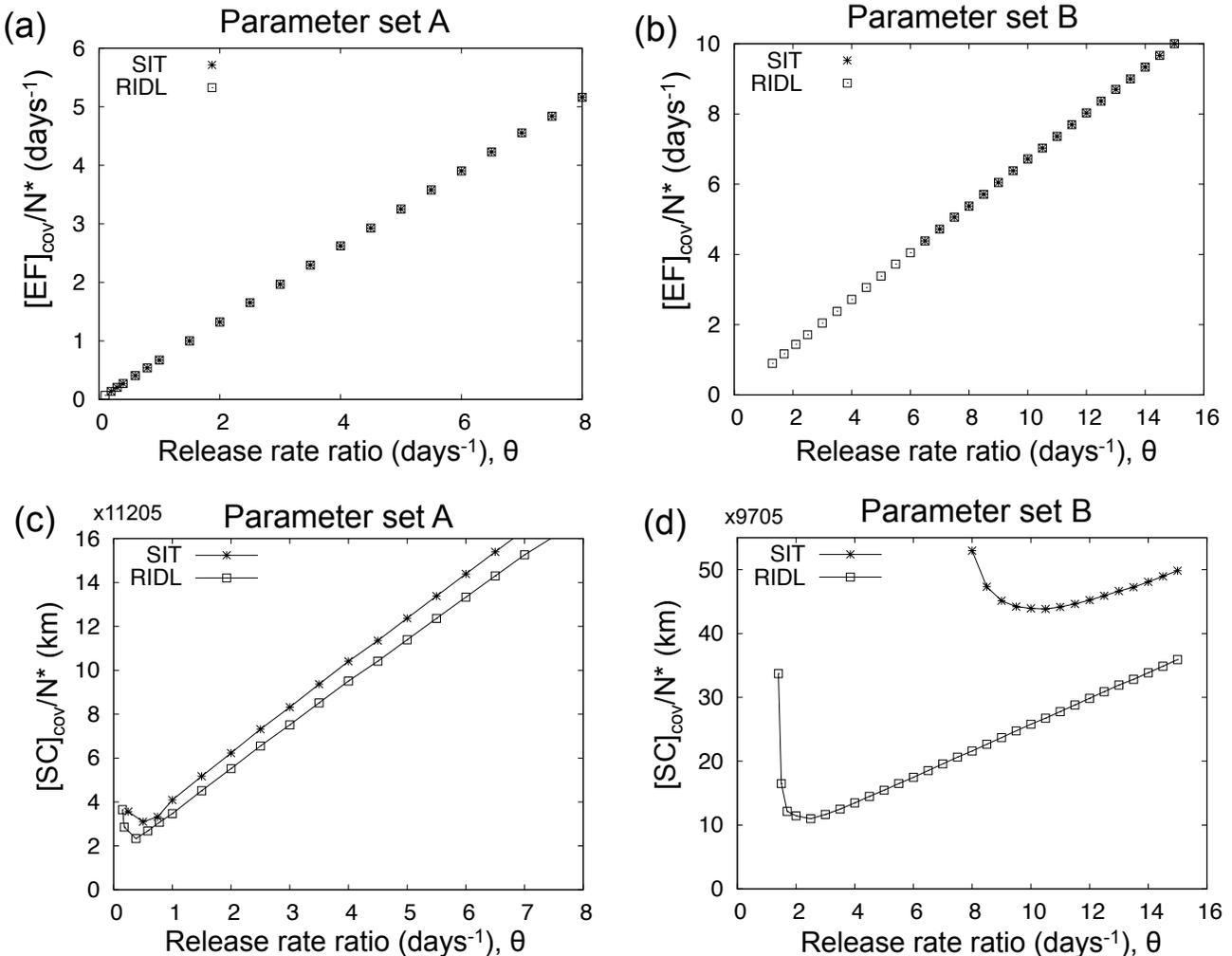


Figure 9: Release effort and strategy-cost values. The diffusion rate is  $D = 1 \text{ km}^2/\text{day}$  and the release region is the same as Fig 8, and thus fixed above  $\gamma_s^{\min}$  for all  $\theta$ . (a)–(b) are the release effort values as a function of release rate ratio, and (c)–(d) are the strategy cost values, as given by (10), for varying release rate ratio,  $\theta$

377 In Fig. 9 we present the results of a more detailed exploration of the release effort and strategy-  
378 cost for a fixed domain size  $\gamma_s$ , in excess of  $\gamma_s^{\min}$  for all release rates considered. The minimal  
379 effort values are subsequently given by the minimal release rate ratio regardless of the choice of  
380 SIT/RIDL strategies or parameter sets. Furthermore, as expected from Fig. 7, the release efforts  
381 using SIT and RIDL are identical. Nonetheless, we see non-trivial results for the strategy-cost,  
382  $[SC]_{\text{cov}}$ , as shown in Fig. 9(c)–(d). Note the eradication time decreases very rapidly once the release  
383 rate ratio is increased above the minimal release rate ratio required for complete eradication for  
384 the fixed value of  $\gamma_s$  used; furthermore, it becomes a constant as the release rate ratio increases,  
385 as shown in Fig. 8. Therefore, the minimal value of strategy-cost exists not at the minimal release  
386 rate ratio but at a slightly larger  $\gamma$  value than the minimum, and it increases monotonically as the  
387 release rate ratio is further increased.

388 In general, the extinction time will decrease if we take a small initial size,  $\gamma_N$ . This means that

389  $[SC]_{cov}$  is dependent on  $\gamma_N$  and will decrease for smaller initial values of  $\gamma_N$ . Obviously, an earlier  
390 initiation of a control strategy will be economically beneficial in the emerging outbreak scenario.

#### 391 4. Discussion

392 When the release region of sterile/transgenic insects is sufficiently large, a temporal model  
393 for sterile/transgenic technologies may be enough to understand the potential for controlling pest  
394 insect populations. However, in practical situations this requires the release of sterile or transgenic  
395 insects over a long lengthscale, and therefore results in a heavy economic burden (Vreysen et al.  
396 2007). Thus we are interested in finding the minimal value of the release region size, the release  
397 rate ratio (i.e. the number of sterile/transgenic males released per unit time) and time required for  
398 complete eradication. In particular, the minimal release region size is likely to be affected by the  
399 dispersal rate of the mosquitoes (Seirin-Lee et al. 2013). Thus a temporal model is insufficient and  
400 spatial models must be investigated carefully for a given invasion scenario. In addition, though  
401 an immediate difficulty in modelling studies is determining the levels of insect dispersal, with  
402 very limited empirical data and, potentially, a very wide range of estimates (Reiter et al. 1995;  
403 Harrington et al. 2005), a simple rescaling analysis can be used to account for the influence of  
404 dispersion in our modelling study, as illustrated in Appendix B.

405 In the first scenario where the wild-type female mosquitoes are endemic, our study demon-  
406 strates that sterile/transgenic males released locally in the habitat of the wild-type female mosquitoes  
407 can eradicate the vector insects completely with a larger size of release region. Nonetheless such a  
408 local release strategy easily fails if the diffusion rate of sterile/transgenic males is not high enough  
409 to ensure dispersal over the entire habitat. This result is consistent with those of a previous  
410 discrete model (Yakob et al. 2008).

411 Furthermore, our theoretical observations suggest that the local strategy is likely to be more  
412 applicable in a small region rather than a wide region because  $\delta^{opt} = \gamma_N - \gamma_S^{min}$  is determined  
413 independently of  $\gamma_N$  but depends on the diffusion rate. Furthermore, this difference in the size  
414 of the minimal release region relative to the region containing the established pest is predicted to  
415 be substantially larger than one might expect from the diffusive scale and the timescale of either  
416 mosquito reproduction or death. Hence a local release strategy is predicted to be more readily  
417 applicable than one might initially anticipate from the scales of mosquito population dynamics.  
418 Nonetheless, in the local release strategy, the mosquito diffusion rate is a critical parameter in  
419 determining the optimal release region size, though the relation is a simple scaling law that can be  
420 readily predicted (see Appendix B). In turn, this means that one must carefully estimate mosquito  
421 dispersal rates in order to reduce control costs. Finally, we note that minimal overall strategy  
422 costs, in terms of total released mosquito numbers, are not minimised at the threshold of mosquito  
423 extinction, as shown in Fig. 4(d). Hence, increases in the release efforts, i.e. the unit time rate of  
424 release of control insects, can reduce the overall strategy cost regardless of the influence of spatial  
425 heterogeneity.

426 In the emerging outbreak scenario, our modelling study shows that several possible types of  
427 dynamics, depending on the release rate of sterile/transgenic males. However, the population  
428 dynamics is relatively insensitive to the release region size once the latter is larger than  $\gamma_S^{min}$  for  
429 all release rates. Furthermore, control interventions with a smaller strategy-cost do not always  
430 correspond to values of  $(\gamma_S, \theta)$  that induce smaller release efforts. This demonstrates that a longer

431 term picture, also considering eradication times, is required for efficient interventions aimed at  
432 eradicating an emerging outbreak.

433 The detailed requirements for inducing cost effective controls are predicted to differ with these  
434 two scenarios of a stable endemic and an emerging outbreak. For the endemic, the mosquito  
435 diffusion rate critically influences the minimal release region size for complete eradication. In  
436 contrast, control success is not highly sensitive to the diffusion coefficient for an emerging outbreak;  
437 instead the release rate ratio is an important and relatively sensitive parameter in determining  
438 the dynamics of the wild-type female wave.

439 Observations of the improved outcomes associated with RIDL strategies are inherited from  
440 the temporal model dynamics. In particular, once the suppression of larval competition by SIT  
441 interventions induces dynamically significant effects, as with parameter set B, RIDL strategies are  
442 substantially more effective in almost all aspects of control. Consequently, the typical conclusions  
443 that RIDL interventions are superior to SIT as a result of previous modelling (Atkinson et al. 2007;  
444 Phuc et al. 2007; White et al. 2010) do transfer in the context of local release and wavefront cover  
445 strategies. Similarly, local increases in pest populations can be associated with a SIT local release  
446 strategy or wavefront cover strategy, as observed in other contexts with overcompensating density-  
447 dependent competition (as in parameter set B) (Yakob et al. 2008; Yakob and Bonsall 2009).  
448 These conclusions hinge on the fact that SITs reduce larval populations, enhancing the survival of  
449 insects resulting from wild-type matings and thus offsetting the reductions in proliferation. Thus  
450 RIDL strategies are never inferior in either control scenario considered. Nonetheless, once the  
451 release rate is chosen sufficiently large, both SIT and RIDL perform similarly for wavefront cover  
452 strategies with either parameter set, indicating the governing dynamics of the model is then driven  
453 by the wild-type wavefront, where larval competition is minimal. This is in distinct contrast to  
454 predictions for control strategies designed to act as barriers to prevent the spread of mosquitoes  
455 into a pest-free region from an endemic area; here RIDL is predicted to be significantly superior  
456 (Seirin-Lee et al. 2013), highlighting that the control strategies are highly context dependent.

457 The timescale for a vector insect to become extinct is critical in terms of preventing a pandemic  
458 disease in a human society (Atkinson et al. 2007) and its increases are likely to induce serious  
459 fluctuations in insect populations by combining with external effects such as seasonality (Purse  
460 et al. 2005; Altizer et al. 2006; Yang et al. 2009; White et al. 2010). Large timescales are observed,  
461 in a spatially homogeneous modelling study on approaching the extinction threshold, by Atkinson  
462 et al. (2007) and we have analogous observations in our spatially heterogeneous setting. Thus,  
463 although a low release rate reduces the production costs of sterile/transgenic mosquitoes, it is also  
464 likely to be difficult to estimate or confirm control success in a situation where several years are  
465 required for eradication. Such long extinction times also drive our observation that the strategic  
466 cost illustrated in Fig. 9(d) for the emerging case has a local minimum, further reflecting the need  
467 to consider the longer term picture when designing interventions.

468 Throughout this manuscript, we have used fecundity and density-dependence parameter values  
469 based upon Dye (1984), concentrating on the extreme best and worst case scenarios, following  
470 previous approaches (Phuc et al. 2007; Yakob et al. 2008; White et al. 2010; Seirin-Lee et al.  
471 2013). These parameters are derived from field data to which a simple regression is used to obtain  
472 the values. Legros et al. (2009) questioned this method and used a two-stage fitting method.  
473 They concluded that for their method a) when density-independent processes are taken into  
474 consideration they account for a large part of the mortality of immature stages and density-

475 dependence is much weaker than the Dye approach, b) the functional responses of the two  
476 approaches are significantly different for the range of densities in the study, and c) whilst both  
477 methods give reasonable accounts of the “characteristics of density-dependence”, they deviate  
478 when low densities are concerned, primarily due to the lack of data. Hence, it is critical that full  
479 life-table analyses are conducted in order to ensure that suitable estimates of these, and other (e.g.  
480 development periods, dispersal distances, differential density-dependent coefficients throughout  
481 the larval stages), life-history parameters be calculated, and at a local scale. For example, it has  
482 recently been shown that the dispersal ability of two lines of RIDL *Ae. aegypti* mosquitoes may  
483 be reduced compared to their wild-type counterparts in laboratory conditions (Bargielowski et al.  
484 2012). This is likely to have an impact of the effectiveness of barrier zone techniques for population  
485 control. However, the difference in diffusion rates of the transgenic and wild-type mosquitoes is  
486 likely to add greater model complexity (Billingham and King 2001). Furthermore, since it is likely  
487 that many additional biotic and abiotic factors may dynamically influence the life-histories of  
488 *Ae. aegypti* populations, both spatially and temporally (e.g. seasonality), further fine-tuning of  
489 control strategies will require these factors to be explicitly modelled. Extensions to our modelling  
490 approach could be adopted to incorporate these processes, but alternative approaches may also  
491 yield informative results, such as simulation models (e.g. Focks et al. (1993a,b)), additionally  
492 motivating a comparative study of differing modelling formulations.

493 In summary, the dispersion of mosquitoes appears in various invasive scenarios and our mod-  
494 elling study suggests successful control strategies for each scenario. Our results show that the  
495 requirements for understanding control effectiveness and efficient control strategy vary depending  
496 on the invasive and endemic scenario. Furthermore, SIT control is never more effective though  
497 the difference between RIDL and SIT strategies can be weak in the emerging outbreak strategy as  
498 the dynamics is dictated by the wavefront where competition is weak. Finally, we note the long  
499 term picture is important in considering controls, due to the sensitivity of the extinction time for  
500 instance.

501 Finally, although the focus of our models is the mosquito, *Ae. aegypti*, which can spread  
502 yellow fever, dengue fever and Chikungunya disease, our modelling approach and results can be  
503 applied more broadly to other species. A further generalisation would be the consideration of  
504 more realistic measures of economic cost rather than ones based on simply mosquito numbers.  
505 In addition, a pulsed releasing schedule for sterile/transgenic mosquitoes may be more pragmatic  
506 and thus merits study, generalising the spatially homogeneous study of White et al. (2010). This  
507 is in progress, along with comparing whether and when modelling predictions are sensitive to  
508 the detailed representation of stage structure, for example contrasting models built on Dye’s  
509 (1984) delay formulation on the one hand and ordinary differential equation representations of  
510 stage structure on the other (Focks et al. 1993a,b; Erickson et al. 2010). Questions concerning  
511 higher dimensional geometries are also relevant, including smaller scale, three-dimensional models  
512 in high-rise buildings’ water tanks. In general the eikonal approximation indicates that the local  
513 behaviour of wavefronts possess a curvature correction, which is sufficient to stabilise perturbations  
514 of a planar wave as well offering the prospect of complex global spatial dynamics such as spiral  
515 and scroll waves (Grindrod 1991); whether such behaviours exist in mosquito models is a further  
516 open question.

517

518

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524 **Appendix A. Numerical method**

525 The reaction-diffusion systems formulated in this paper were solved numerically via standard  
 526 techniques, which can readily accommodate the time delay; in particular the kinetics are considered  
 527 explicitly within a standard, fully implicit, finite difference treatment of the parabolic transport  
 528 term (Morton and Mayers 1994). In particular, storing the history of the system for the duration  
 529 of the time delay allows the generation of the kinetic terms within the numerical algorithm. A  
 530 fully implicit treatment of the diffusive terms then generates a set of linear algebraic equations for  
 531 the mosquito populations at each new timepoint, which may be solved using a choice of numerical  
 532 techniques; we use an LU-decomposition. This numerical algorithm has been validated against  
 533 independent code simulations, used in Seirin-Lee et al. (2010), and we have checked timestep and  
 534 grid spacing refinements do not influence the results presented.

535 **Appendix B. Minimal release region size and diffusion rates**

536 To explore the effects of diffusion rate in the model we use a scaling argument. Let  $D_{ndim}$  be  
 537 a non-dimensionalised diffusion coefficient and define an arbitrary diffusion rate

$$D = kD_0, \tag{B.1}$$

for a given diffusion rate  $D_0$  and arbitrary positive constant  $k$ . Then for a time scale  $T$  and a  
 given spatial length  $\gamma_N^0$ , we have

$$D_{ndim} = \frac{DT}{(\gamma_N^0)^2} = \frac{kD_0T}{(\gamma_N^0)^2} = \frac{D_0T}{\left(\frac{\gamma_N^0}{\sqrt{k}}\right)^2}.$$

538 From the above equation, we set a female habitat size,  $\gamma_N$ , to be an arbitrary value by taking

$$\gamma_N = \gamma_N^0 / \sqrt{k}, \tag{B.2}$$

539 instead of choosing the diffusion rates arbitrarily.

540 On the one hand, from Fig. 3(b) we know the optimal release region size,  $\delta_{opt}$ , is independent  
 541 of the spatial length scale so that it is also independent of the diffusion rate. That is, we have

$$\delta_{opt} = \gamma_N(D) - \gamma_S^{opt}(D). \tag{B.3}$$

542 Hence, we obtain the relationship between the diffusion rate and the optimal release region size  
 543 directly from equations (B.1), (B.2) and (B.3), as

$$\gamma_S^{opt}(D) = \gamma_N(D) - \delta_{opt} = \frac{\gamma_N^0}{\sqrt{k}} - \delta_{opt} = \frac{\sqrt{D_0}\gamma_N^0}{\sqrt{D}} - \delta_{opt}.$$

544 In Fig. 3(c),  $D_0 = 1\text{km}^2/\text{day}$ ,  $\gamma_N^0 = 500\text{ km}$  and  $\delta_{opt} = 30\text{ km}$  have been chosen.

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