- Numerical wave modeling in conditions with strong currents:
- dissipation, refraction and relative wind.

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## FABRICE ARDHUIN,\*

Ifremer, Laboratoire d'Océanographie Spatiale, Centre de Brest, 29200 Plouzané, France

## ARON ROLAND,

Technological University of Darmstadt, Germany

## FRANCK DUMAS AND ANNE-CLAIRE BENNIS,

Ifremer, Laboratoire PHYSED, Centre de Brest, 29200 Plouzané, France

## ALEXEI SENTCHEV,

Laboratoire d'Océanologie et Géosciences (CNRS-UMR8187), Université du Littoral - Côte d'Opale, Wimereux, France

## PHILIPPE FORGET,

Mediterranean Institute of Oceanography (MIO), CNRS, Aix-Marseille University, Sud Toulon-Var University, IRD, La Garde, France

## JUDITH WOLF,

National Oceanographic Center, Liverpool, UK

FRANÇOISE GIRARD,

Actimar SAS, Brest, France

PEDRO OSUNA,

CICESE, Ensenada, BC, Mexico

MICHEL BENOIT,

Laboratoire Saint Venant, Chatou, France

ABSTRACT

Currents effects on waves have lead to many developments in numerical wave modeling over

the past two decades, from numerical choices to parameterizations. The performance of

numerical models in conditions with strong currents is reviewed here, and observed strong

effects of opposed currents and modulations of wave heights by tidal currents in several typ-

ical situations are interpreted. For current variations on small scales, the rapid steepening

of the waves enhances wave breaking. Using parameterizations with a dissipation rate pro-10

portional to some measure of the wave steepness to the fourth power, the results are very 11

different, with none being fully satisfactory, pointing for the need for more measurements and 12

further refinements of parameterizations. For larger scale current variations, the observed 13

modifications of the sea state are mostly explained by refraction of waves over currents,

and relative wind effects, i.e. the wind speed relevant for wave generation is the speed in 15

the frame of reference moving with the near-surface current. It is shown that introducing 16

currents in wave models can reduce the errors on significant wave heights by more than 17

30% in some macrotidal environments, such as the coast of Brittany, in France. This large

impact of currents is not confined to the locations where the currents are strongest, but also

down-wave from strong current gradients.

\* Corresponding author address: Fabrice Ardhuin, Ifremer, Centre de Brest, 29200 Plouzané.

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E-mail: ardhuin@ifremer.fr

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## 1. Introduction

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Because he observed a rapid decay of wave energy facing an opposing current gradient, 22 Phillips (1984) concluded that the dissipation of the wave energy could not be a linear function of the wave spectral density, which led him to propose a statistical description of 24 breaking waves that could lead to a physically-motivated expression for wave dissipation (Phillips 1985). Only recent evidence supported that the breaking probability could indeed 26 be related in a non-linear fashion to some measure of the spectral saturation (Banner et al. 2000). After several failed attempts (e.g. van Vledder and Hurdle 2002; Alves et al. 2003), 28 parameterizations based on this saturation idea (van der Westhuysen et al. 2005; Ardhuin et al. 2009), have now shown a clear advantage over the linear parameterizations based on the 30 statistical theory by Hasselmann (1974). Some recent work by Filipot and Ardhuin (2012) also demonstrated that a successful dissipation parameterization could be based explicitly 32 on observed breaking wave statistics. 33 However, at regional scales the advantage of these new parameterizations is probably 34 related to their built-in decoupling of wind sea growth from abnormal swell interference (e.g. Ardhuin et al. 2007), a feature that was already introduced by Tolman and Chalikov (1996). At global scales, the good performance of the Ardhuin et al. (2009) parameterization is largely due the introduction of a realistic nonlinear swell dissipation, which is the most important ingredient for obtaining low errors. Although breaking statistics are certainly nonlinear in terms of spectral parameters, it is not clear that having a nonlinear whitecapping term is actually significant for dissipation rates. 41

Given the original argument by Phillips (1984), we found it interesting to go back to the

effect of current gradients to look at the differences between parameterizations, from the laboratory scale to the scale of the coastal ocean. The present study is also an occasion to evaluate the accuracy of current effects in wave models, which has attracted only little attention.

Although many studies discuss the expected effect of currents on waves (e.g. ?), there
are unfortunately very few validations of realistic numerical modeling of waves in currents,
with the notable exception of Masson (1996) who used a specific model based on ray-tracing,
without a full action balance. In fact, there is a very broad literature on theoretical effects of
currents, from Barber (1949) to the review by Peregrine (1976). There are at least as many
descriptions of numerical model results with more or less academic tests (e.g. Holthuijsen
et al. 1991; Tolman 1991b; Benoit et al. 1996). Finally, the experimental evidence for current
effects on waves is also abundant, from tidal currents (e.g. Vincent 1979; Ris et al. 1999; Wolf
and Prandle 1999) to large oceanic currents like the Gulf Stream (e.g. Kudryavtsev et al.
1995). Unfortunately, in many cases there is only limited quantitative information about
the current speed and spatial variation (e.g. Forget et al. 1995; Ris et al. 1999) or the waves
(e.g. Haus 2007). For that reason we will not report here attempts at global numerical wave
modeling with currents (e.g. Rascle et al. 2008), but only focus on experiments with well
known current fields.

Our investigation started in 2003, with a measurement campaign in the English Channel, and the evaluation of four widely used numerical wave models. At that time, the conclusion was that taking into account currents improved the qualitative agreement between model and observed wave parameters, but the root mean square errors of the model results were actually larger with the currents (Girard-Becq et al. 2005). This was the occasion to fix some

obvious problems in some of the numerical models used. In particular the artificial effect of swell on the wind sea growth, which is a common feature of the parameterizations derived from Komen et al. (1984), was found to be a problem. Taking advantage of improved wave model parameterizations and forcing fields, we now revisit the data from that experiment, with the addition of two other data sets that exhibit strong effects of currents on waves, and for which the current field is well known. These include the laboratory experiment by Lai et al. (1989), and macrotidal field data from the Iroise sea (Ardhuin et al. 2009). Taken together, these three cases illustrate different situations in which currents have a strong influence on waves. These are a strong local dissipation, the far field of a refraction area, and the modifications in the local generation of waves. The general question that we are addressing here is: Do wave models today represent well the most important physical processes in the presence of strong currents? This question is largely independent of the 77 choice of numerical model. Because all source terms are not implemented in all models, and for simplicity, the results shown here were obtained with the Wind Wave Model II (Roland 2008), and WAVEWATCH III® (Tolman 2009; Ardhuin et al. 2010), hereinafter abbreviated as WWMII and WWATCH.

# 2. Wave blocking and induced breaking

As waves propagate against an increasingly strong current, their group velocity can become less than the opposing current, so that the wave energy is unable to propagate upstream. In these cases the wave steepness generally gets large enough to induce breaking.

Here we follow the assumption of (Chawla and Kirby 2002), which is largely supported by

their experiments, that wave transformation through the blocking region is simply the result of propagation and dissipation associated with wave breaking. In that context, we inves-88 tigate the effects of existing dissipation parameterization, and a possible support for the 89 conclusions by Phillips (1984) that dissipation should be a strongly nonlinear function of the wave steepness. The potential numerical singularity is avoided in both WWATCH and 91 WWMII by the use of spectral densities in the wavenumber-direction space, and a variable wavenumber grid corresponding to fixed relative frequencies (Tolman and Booij 1998). For the other models that were compared by Girard-Becq et al. (2005), a particular treatment of the high frequency had to be added (Michel Benoit, presentation at the 2007 Globwave Meeting). This consisted of enforcing an upper limit on the spectral level based on Hedges et al. (1985). The blocking situation was investigated in the laboratory by Lai et al. (1989). Because WWATCH was limited to timesteps larger than 1 second, WWM II (Roland 2008) was used here to solve the wave action equation, and investigate the effects of various dissipation parameterizations. 100

#### 101 a. Dissipation parameterizations

It is interesting to note that all dissipation parameterizations used here are quasi-linear with a coefficient that multiplies the frequency-directional power spectrum of the surface elevation  $F(f,\theta)$ . This coefficient is proportional to a wave steepness  $\varepsilon$  to the fourth power or a higher power in the case of Alves and Banner (2003). However, this steepness is parameterized very differently.

In Komen et al. (1984), it is defined from the full wave spectrum

$$\varepsilon^{\text{KHH}} = k_r H_s,\tag{1}$$

108 giving a dissipation source term

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$$S_{\text{oc}}^{\text{KHH}}(f,\theta) = C_{\text{ds}} \sqrt{gk_r} (k_r H_s)^4 \left[ (1-a) \frac{k}{k_r} + a \frac{k^2}{k_r^2} \right] F(f,\theta), \tag{2}$$

where  $H_s$  is the significant wave height, and  $k_r$  is a representative mean wavenumber defined by

$$k_r = \left[\frac{16}{H_s^2} \int_0^{f_{\text{max}}} \int_0^{2\pi} k^r E(f, \theta) \, \mathrm{d}f \, \mathrm{d}\theta\right]^{1/r},\tag{3}$$

with r = -0.5 and a = 0 used by the WAMDI Group (1988), while Bidlot et al. (2005) used r = 0.5 and and a = 0.6.

Phillips (1984) introduced a steepness that is local in frequency. This local steepness  $\varepsilon^P(f)$  is proportional to  $\sqrt{B(f)}$ , where the non-dimensional energy level B(f) at that frequency (also called saturation) is defined by

$$B(f) = \int_0^{2\pi} k^3 F(f, \theta') C_g / (2\pi) d\theta'.$$
 (4)

Such a local steepness only makes sense for a smoothly varying spectrum (Phillips 1984, page 1428, column 2). Indeed for monochromatic waves of very small amplitudes B(f) can be very large but is not associated to steep waves. The differences between In this section we test three parameterization based on Phillips (1984), and they mostly differ in the choice of the threshold  $B_r$ . In Alves and Banner (2003)  $S_{oc}$  is proportional to  $(B/B_r)^4$ , so that it increases steeply as B becomes larger than the threshold  $B_r$ , but it starts dissipating for  $B < B_r$ .

In the dissipation source functions of Ardhuin et al.  $(2010)^1$  and Babanin et al. (2010),  $B_r$  acts more like a switch and  $S_{oc}(f,\theta)$  is not such a high power of B,

$$S_{\text{oc}}(f,\theta) = \sigma \frac{C_{\text{ds}}^{\text{sat}}}{B_{2}^{2}} \left[ \max \left\{ B(f) - B_{r} \right\}^{2} \right] F(f,\theta)$$
 (5)

where  $C_{\rm ds}$  is a non-dimensional constant,  $B_r$  is a threshold for the saturation and  $F(f,\theta)$  is 125 the spectral density of wave energy. The minor differences between Babanin et al. (2010) 126 and Ardhuin et al. (2010) include a different effect of wave directional distribution in the 127 exact definition of B, and a different formulation of the cumulative effect. In Babanin et al. 128 (2010) this cumulative effect may dominate at lower frequencies than it does in Ardhuin et al. (2010). We also note that Ardhuin et al. (2010) is mostly derived from Banner and Morison (2006, 2010), which is not tested here, except for the smoothing of B over frequencies. Finally, in Ardhuin et al. (2010) B is also a function of the wave direction, leading to a 132 maximum dissipation in the mean wave direction, whereas Babanin et al. (2010) used a 133 prescribed directional distribution of the dissipation which has a local minimum in the mean 134 wave direction. 135

Compared to all these parameterization, based on a global or local steepness, Ardhuin et al. (2010) includes a swell dissipation term based on the observations of Ardhuin et al. (2009), but that effect is negligible at the scales, under 100 km, considered in the present paper.

<sup>&</sup>lt;sup>1</sup>Here we use the TEST441 version of the parameterization described in that paper. The number 441 has no particular meaning and only serves to differentiate the different adjustment of parameters.

#### b. Observations and model results

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The laboratory flume of Lai et al. (1989) is 8 m long and 0.75 m deep, with a trapezoidal 141 bar in the middle, with a height of 0.3 m (figure 1). Incident unidirectional waves with 95% 142 of the energy between 1.5 and 2.0 Hz, these are relative frequencies, propagate along the 143 channel. The incident spectrum is shown in the top panel of figure 2. The relative peak 144 frequency is at 1.9 Hz. The bar accelerates the opposing current from 0.12 to 0.18 m/s. 145 The maximum current velocity, constant over the flat part of the bar, is enough to block all 146 waves with an incident absolute frequency shorter than 2.1 Hz, for which the group speed 147 over the bar is equal to the current velocity. This correspond to a relative frequency of 148 2.7 Hz at the P1 wave gauge. According to geometrical optics, i.e. neglecting diffraction and 149 nonlinear effects, about 25% of the incoming energy flux is carried by waves with frequencies 150 below 2.1 Hz, and may propagate across the bar. The incoming significant wave height, here 151 0.3 m, should be strongly reduced, and waves are expected to be dissipated due to breaking, 152 or reflected by the underwater topography (e.g. Ardhuin and Magne 2007), or weakened by 153 the current via the work of the radiation stresses. The first process is believed to be dominant (Chawla and Kirby 2002), and thus should be reproduced by a proper parameterization of 155 the dissipation induced by wave breaking.

As shown in figure 1, the discrete positions of the wave gauges do not give a full picture of the wave evolution, so that it is difficult to be certain that one parameterization is more realistic than another. However the most important result is the very clear difference between two groups of parameterizations.

For x < 1.5 m where the current is uniform the saturation-based parameterization give

a decreasing wave height, caused by a significant dissipation, whereas the global-steepness parameterizations by the WAMDI Group (1988) and Bidlot et al. (2005), give a much lower level of dissipation. This initial dissipation is mostly associated with the shorter waves.

This adjustment stage is followed by an amplification of the wave height over the ramp, where the waves feel the strengthening of the opposing current. At the other end of the flume, for x > 6 m, the energy level is nearly constant for each parameterization, but it differs between them. We also note the energy at the end of the tank is generally overestimated in all model runs.

All parameterizations give almost the same results up to a frequency of 1.6 Hz, and 170 strongly differ around the peak of the spectrum (figure 2). The global-steepness parame-171 terization predict a 40% increase in height before waves reach the P2 gauge, whereas the 172 other group predicts a maximum increase of 12 \%. These different magnitudes can be clearly 173 traced to the steepness definition. Indeed, the global steepness increases weakly when short 174 waves get much steeper because it also includes the steepness of the longest waves in the 175 spectrum, which are much less sensitive to the current gradient. Indeed, using r=2 in 176 the definition of  $k_r$  (eq. 3) would give the correct root mean square slope  $k_r H_s/4$ . For 177 a broad spectrum, different wave scales have different slopes, but using r = 0.5 or even 178 r = -0.5 as done by the WAMDI Group (1988) gives a mean steepness that emphasizes too 179 much the long waves, which systematically underestimates the true wave slopes, and also 180 underestimates its sensitivity to changes in the short wave spectrum. As a result, in the 181 opposing current, the global-steepness parameterization does enhance dissipation as much 182 as the saturation-based parameterization, giving relatively higher waves. 183

We will now investigate how much this effect is relevant for oceanic conditions compared

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to other effects of currents. For comparison purposes we will only retain the global-steepness parameterization of Bidlot et al. (2005) because it is used operationnally at ECMWF for wave forecasting, and the saturation-based parameterization of Ardhuin et al. (2010) because it is used operationnally at NCEP since may 2012.

# 3. Waves against strong tidal jets

In the ocean, currents are never uniform in the cross-stream direction, and thus other effects come into play, in particular the focusing of waves in the middle of opposed jets, caused by refraction. The capability of numerical models to represent the evolution of waves in currents is still poorly tested. Here we investigate the impact of very strong currents, up to 4 m/s, on storm waves measured off the west coast of France (figure 3).

Our area of interest is the Iroise sea, with a spring tidal range of 6 m. Currents are 195 strongly dominated by tides, which makes them well predictable, with a near-inertial com-196 ponent driven by winds and waves that only accounts for a few percent of the current variance (Ardhuin et al. 2009), and a magnitude of the order of 2\% of the wind speed. Tidal cur-198 rents in this area are also nearly depth-uniform, with a typical Ekman spiral due to bottom 199 friction that is confined near the bottom. During summer, a density stratification is present 200 (e.g. Le Boyer et al. 2009), which affects the wind-driven currents (Ardhuin et al. 2009) but 201 has little effect on the tidal currents. Indeed, current profilers have been deployed in several 202 measurement campaigns in the area, from 2004 to 2011 in depths ranging from 20 to 120 m. 203 In all cases, currents are highly coherent over the water column, in particular in the top 204 70%, with tidal currents generally have a fairly uniform profile while the bottom 10 m are 205

well approximated by a logarithmic profile  $\log(z/z_0)$  with a roughness  $z_0 \simeq 1$  cm. We shall thus assume that currents are uniform over the water depth. In particular they should be comparable with the near-surface measurements of high frequency radars.

For this we use the WWATCH model, based on the computer code by Tolman (2008), with the addition of advection schemes on unstructured grids, implemented by Roland (2008) and the use of new wave dissipation and generation parameterizations "TEST441" (Ardhuin et al. 2010). The triangle mesh used here is identical to the one already used by Ardhuin et al. (2009), and applied to routine forecasting as part of the Previmer project (http://www.previmer.org), with a spectral resolution that includes 32 frequencies and 24 directions, and a variable spatial resolution from 100 m to 5 km. Both model grid and results are available at http://tinyurl.com/iowagaftp/HINDCAST/IROISE.

This coastal model is forced by boundary conditions from a global multi-grid system, 217 with a resolution of 3.6 km in the Bay of Biscay. This global model has been carefully 218 validated against altimeter data (Rascle et al. 2008; Ardhuin et al. 2011c), and generally 219 gives accurate wave heights and mean periods, with normalized root mean square errors 220 (NRMSE) less that 10\% for  $H_s$ . Directional properties have also been validated in detail by 221 Ardhuin et al. (2011b), including effects of coastal reflection. Here the coastal reflection is 222 not activated. Both models are driven by ECMWF wind analyses at 0.5 degree resolution 223 and 6 hourly intervals, and currents and water levels from the Previmer D1 system with 224 a resolution of 300 m in our area of interest. In order to provide simplified measures of 225 the difference between model time series  $X_{\rm mod}$  and observations  $X_{\rm obs}$  we use the following definitions for the normalized root mean square error (NRMSE),

$$NRMSE(X) = \sqrt{\frac{\sum (X_{obs} - X_{mod})^2}{\sum X_{obs}^2}}$$
 (6)

228 and Pearson's linear correlation coefficient,

$$r(X) = \frac{\sum (X_{\text{obs}} - \overline{X_{\text{obs}}}) (X_{\text{mod}} - \overline{X_{\text{mod}}})}{\sqrt{\sum (X_{\text{obs}} - \overline{X_{\text{obs}}})^2 (X_{\text{mod}} - \overline{X_{\text{mod}}})^2}},$$
(7)

where the overbar denotes the arithmetic average.

Some of the strongest currents are found in the Fromveur passage, between the islands of 230 Ouessant and Bannec (figure 3) and wave blocking is easily observed, although measurements 231 are more difficult. Indeed the current exceeds 3 m/s during neap tides (figure 4). This 3 m/s 232 can block waves that, outside of the current jet, have periods of 7.6 s, while 2 m/s can block 233 waves of 5 s. A typical situation occurred on November 10 2008, a strong South-Westerly 234 wind of 20 m/s generated wind-seas against this current, while the dominant waves, an old 235 windsea, has a period of 12 s and mostly comes from the West. The model predicts a strong 236 focusing of waves in the tidal jet and high wave dissipation rates in the center of this jet. 237 Just like in the previous laboratory test case, using the saturation-based dissipation gives a 238 maximum wave height that occurs upwave (to the south-west) of the maximum wave height 239 given by the Komen-type dissipation term. As a result,  $H_s$  between Ouessant and Bannec reaches 6.5 m with the parameterization by Bidlot et al. (2005), whereas it is only than 5.3 m with the parameterization by Ardhuin et al. (2010). Apart from this, the two maps in figures 4.b and 4.c are very similar. The offshore wave height is slightly higher in the TEST441 run, due to a different balance between wind input, nonlinear fluxes and dissipation. Since the dominant gradients in the wave heights and directions are due to island sheltering and refraction by the bathymetry and currents, the input and dissipation have a limited impact on the large scale wave height patterns.

At buoy 62069, located south of the islands, the comparison of model results with data 248 demonstrates that currents are very important for the sea states at that location. Figure 249 5 shows that the wave heights recorded at the buoy exhibit a modulation with a period of 250 12.5 hours, related to the dominant M2 tide. The strength of the modulation varies with the neap / spring tide cycle, but is also influenced by the mean offshore wave direction. For 252 example, we see a weaker modulation on November 17 (with westerly waves) compared to October 30 (with north-westerly waves) in spite of similar tidal amplitudes and dominant 254 wave periods. The modulation can reach half of the observed mean value during spring tides 255 with North-Westerly waves. This figure also shows the difference between the model that 256 includes currents and the model without current. This effect is not very sensitive to the 257 choice of dissipation parameterization, and it is generally well captured by the model, with 258 a considerable reduction in model error once the currents are taken into account. Over the 259 month of data shown in figure 5, the NRMSE for  $H_s$  drops from 14.1 % to 9.6% using hourly 260 averaged  $H_s$ . Similar error reductions are found throughout the year. 261

Since the tidal modulation of the water depth is relatively small, the modulations are probably not due to the water level. But at the same time, the currents at the buoy 62069 are much weaker than in the vicinity of the islands. We shall see below that these stronger currents, up-wave from the buoy, cause a refraction pattern that influences the wave field at the buoy.

### 267 a. Data and model validation for current refraction

These currents have been mapped continuously since 2006 with a High Frequency (HF) 268 radar (WEllen RAdar, Helzel GmbH) operated at 12 MHz and designed by Gurgel et al. 269 (1999). Given the measurement geometry, the resolution achieved by a standard processing 270 of the data using beam-forming from the 16-element receive antenna arrays is limited by 271 the distance from the shore, in particular this processing may be too limited to resolve the 272 very strong gradients around the islands of Ouessant and the Molène archipelago. In order 273 to overcome this limitation a direction finding processing using the Multiple Signal Classi-274 fication algorithm (Schmidt 1986) has been applied for a few days of data, in combination 275 with a variational regularizing algorithm (Sentchev et al. 2012). This processing achieves 276 an azimuthal resolution of 1 km for the Porspoder radar station in the 2-km wide Fromveur 277 passage, instead of 6 km using beam-forming in which case this passage is not resolved. We 278 use both original and higher resolution processing to validate a numerical two-dimensional 279 model of the area that uses the MARS model, which we use for forcing our numerical wave 280 model. This model is used here in its two-dimensional version. It solved the shallow water equations using a finite difference discretization, an alternate direction implicit (ADI) time 282 stepping and high order quickest scheme for advection. A full description of the model can 283 be found in Lazure and Dumas (2008). The model is forced by sea surface elevation (at the 284 boundaries) and atmospheric conditions (throughout the domain). Boundary conditions for 285 the sea surface elevation are provided by a succession of four nested models with decreasing 286 extensions from 5km down to 300m for the detailed model used here. The free-surface eleva-287 tion is imposed along the open boundaries of the mother grid using the harmonic components 288

provided by the FES2004 global tidal solution (Lyard et al. 2006).

A statistical comparison for the entire year 2008 of hourly modeled and HF radar values 290 for the zonal (U) and meridional (V) component of the current shows a general very good 291 agreement with a 5–10% underestimation of the surface current magnitude by the barotropic 292 model at offshore locations (points A and M, figure 3 and table 1). However, the most 293 relevant features for ocean waves are the horizontal gradients in the current field, and these are most prominent around the islands, where it is unclear that the model accuracy or 295 the radar resolution are sufficient in the original processing. The westward current, which develops south of Ouessant island appears very well in the data reprocessed by Sentchev et al. (2011), for all similar tidal amplitudes, as illustrated by figure 6, which also shows 298 both original and reprocessed HF radar data. In particular, the original processing has 299 many blanks in regions of strong gradients, in particular between the islands. These strong 300 gradients make the Doppler spectrum broader and then the estimation of a current velocity 301 over a large measurement cell is difficult. Between the point O1 and the island of Ouessant, 302 the reprocessed data reveals a strong current towards the North-West at times around the 303 low tide. This particular current branch will be important in our analysis of measured waves. 304 In the following we shall use numerically modeled currents.

### 306 b. Observed and modeled tidal modulations of the sea state

Except for the buoy deployed just north of Ouessant, the largest tidal modulations in all the data acquired in the area were found at the location of the Pierres Noires buoy (WMO number 62069), where some measurements were made in 2006, and where a buoy was permanently installed in 2008. A typical time series of wave heights at that location is shown in figure 5.

These modulations are strongest for waves from the North-West, and occur for all swell 312 and wind sea frequencies. At the buoy location the water level and tidal currents are almost 313 in phase, as the tidal wave propagates alongshore. We now analyze a full numerical solution 314 of the wave action equation of the wave action equation and wave rays, based on a stationary 315 current assumption. This assumption is relevant here given the 30 km propagation distance 316 of of deep water waves across the largest currents, which takes only 40 minutes for 10 s 317 waves. The full solution corresponds to results "with tide" shown on figure 4 and, focusing 318 on four days only, the "full tide" results in figure 7. 319

The model was run with and without currents and water levels. Figure 7 shows that 320 model runs without current completely miss the strong modulation of wave heights at the 321 two buoy locations 62069 and DWFOUR. Changes in the water depth have a very limited 322 influence at the position of buoy 62069, given its mean water depth of 60 m. Adding the 323 currents in the wave model forcing reduces the error by more than 30% at both buoys, from 324 a scatter index of 16.5 to 8.3% at 62069, and 17.6 to 12.4 at DWFOUR, over the four days 325 starting on October 26. Similar error reductions are found year-round at 62069 where we 326 have a continuous record since 2007. This error reduction occurs in spite of relatively weak 327 local currents, always less than 0.7 m/s, with weak local gradients. In fact, the modulation 328 pattern can be easily explained by ray tracing diagrams. These rays were computed from 329 parallel offshore directions, using the code by Dobson (1967), already adapted by O'Reilly 330 and Guza (1993) and Ardhuin et al. (2001). Here we further take into account the turning of 331 wave packets by the current, the advection of these packets by the current, and the change 332

in relative frequency  $\sigma = \omega - \mathbf{k} \cdot \mathbf{U}$ , keeping the absolute frequency  $\omega$  constant. As a result, in the case of stationary conditions, the ray equations are identical to the non-discretized propagation solved by WWATCH (equations 2.9 to 2.11 in Tolman 2009),

$$\dot{\mathbf{x}} = \mathbf{C}_a + \mathbf{U} \,, \tag{8}$$

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$$\dot{k} = -\frac{\partial \sigma}{\partial d} \frac{\partial d}{\partial s} - \mathbf{k} \cdot \frac{\partial \mathbf{U}}{\partial s} \,, \tag{9}$$

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$$\dot{\theta} = -\frac{1}{k} \left[ \frac{\partial \sigma}{\partial d} \frac{\partial d}{\partial m} - \mathbf{k} \cdot \frac{\partial \mathbf{U}}{\partial m} \right] , \tag{10}$$

where  $\mathbf{x}$  is the horizontal position along the ray,  $\theta$  is the local intrinsic wave direction,  $\mathbf{C}_g$  is
the vector intrinsic group speed, pointing in direction  $\theta$ , s is a coordinate in the direction<sup>2</sup>  $\theta$  and m is a coordinate perpendicular to s. These ray equations are also similar to the
work by Mathiesen (1987), with the addition of finite depth and bottom refraction effects.

The numerical treatment of the ray equations in WWATCH differs from ray tracing due to
finite difference approximations. Also, in the ray tracing performed here, we do not attempt
to recover wave heights, which would require a large number of ray calculations for each
spectral component, typically using backward ray tracing (e.g. O'Reilly and Guza 1991;
Ardhuin et al. 2001). Instead, our ray computations is only meant to illustrate and explain
the main areas of wave energy focusing and defocusing.

At high tide, rays from the north-west that pass south of Ouessant are focused less than
10 km up-wave from the 62069 buoy (figure 9.a), which explains the relatively higher wave
heights in that region (figure 9.b). The rays that pass north of Ouessant tend to focus
along the mainland coast at Corsen point, or further north, with a de-focusing area around

<sup>&</sup>lt;sup>2</sup>Due to the presence of the current, s differs from the along-ray direction.

buoy DWFOUR. This propagation effect explains the pattern of modeled and observed wave
heights at the buoy locations.

At times close to the low tide, rays in figure 9.e show that the westward current jet, 354 which develops south of Ouessant is responsible for trapping waves from the north-west, 355 while the main current branch is orienter southward and deflects waves to the south, which 356 is not the case in the absence of currents (figure 9.c). The impact of the current in terms of wave height is clearly seen by comparing the calculations without current (figure 9.d) and 358 the calculations with current (figure 9.f). The currents to the south of Ouessant are not an artifact of the flow model, and are rather well observed by the radar (figure 5.a). Refraction over these currents casts a shadow area (where ray spacing increases) around the location of buoy 62069, resulting in lower wave heights. This pattern is sensitive to the offshore wave 362 direction and is most pronounced for north-westerly waves. 363

A similar pattern occurs north of DWFOUR, but with the opposite phase, resulting in higher waves at low tide at DWFOUR.

Current effects are also clear in the wave directions recorded at 62069, with a mean 366 direction almost from the West at the low tides from October 26 to October 29, veering by 367 over 20 degrees to the North-West at high tide, when this direction is not blocked anymore 368 by the currents south of Ouessant (figure 8). Around the time of the low tide, waves from the 369 North-West have been refracted by currents and cannot reach the buoy, and the mean wave 370 direction is from the West. This pattern is relatively well represented by the model. The only 371 persistent bias in the model is found in the directional spreading which is underestimated by 372 6 degrees on average (not shown). This bias may be due to coastal reflection, not included 373 here. Reflection over the current gradients (e.g McKee 1978), may also contribute to the high directional spreads recorded by the buoys.

Because it is not the local current that has a strong effect on the waves and the current is weak at the buoy, the wave periods are not much affected, contrary to other classical situations such as investigated by Vincent (1979); Battjes (1982); Tolman (1991a).

Here, figure 10.a shows that both observed and modeled mean frequency  $f_{m0,-1}$  changes 379 only by 5% to 10% over the tidal cycle on the morning of October 28, which is comparable to the modeled variation without currents nor water level changes (no tide) caused by the 381 gradual evolution of the offshore wave field. A stronger variation is recorded for  $f_{m0,2}$ , which is weighted more heavily than  $f_{m0,-1}$  towards the higher frequencies (figure 10.b). Thus, one hour after low tide, the higher values of  $f_{m0,2}$  at the buoy 62069, correspond to relatively higher energy levels for the short waves when the local current is oriented Northward, as shown in figure 6. This current opposed to the incident waves and wind results in some local 386 enhancement of the shorter wave components, possibly due to changes in the effective fetch 387 or in the apparent wind. These effects will be now discussed in more detail using a different 388 dataset. 389

# 390 4. Local wind seas and currents

391 a. The 2003 experiment and our numerical model set-up

When wind seas are generated locally, the patterns of sea state can be significantly different because of the joint effects of wave generation and currents. Here we use data from an experiment carried out in 2003 in the Western part of the Channel, with the purpose

of investigating the capability of numerical wave models (Figure 11.a) and testing various 395 techniques for measuring waves (Collard et al. 2005). An array of 4 Waverider buoys, two of 396 them directional, was deployed along the swell propagation path from west to east (Figure 397 11.b). This array is located to the south of a wide area of shoals, Les Minquiers, and the 398 Chausey archipelago, that are dry at low tide, but with only a few rocks sticking out of 399 the water at high tide. The experiment was carried out from early February to mid March. The area is known for its very large tidal range, that exceeds 12 m during spring tides. The nonlinear tidal component  $M_4$  is also particularly important with an amplitude that exceeds 30 cm in elevation (d'Hières and Le Provost 1970) and 14 cm/s for the East-West 403 component of the surface current. This nonlinear tidal component makes the tidal currents 404 strongly asymmetric with a larger flood velocity over a shorter time, as shown in figure 405 12.a,c,d. The modeled current field is relatively homogeneous between buoys DW3 and 406 DW4. Currents were measured with one ADCP, another one was unfortunately lost due 407 to heavy fishing activities, and a pair of Very High Frequency radars operated at 45 MHz 408 (Cochin et al. 2006; Sentchev et al. 2009). The vertical profiles of the current, are typically 409 logarithmic with a roughness length of a few centimeters, making the currents fairly uniform 410 over the top 70% of the water column. Here again, because of the limited radar coverage, this 411 data was used to calibrate the hydrodynamic model and check for biases and phase shifts in 412 the modeled tidal currents and water levels. Root mean square errors on the current velocity 413 was under 10 cm/s around buoy DW4, compared to a spring tide amplitude of 1.2 m/s, and 414 the phase shift was less than 20 minutes for the dominant M2 tidal constituent (Girard-Becq 415 et al. 2005). 416

The wave model contains 120000 nodes that covers the full French Atlantic and Channel

coastline with a resolution of 150 m on the shore. A part of the grid in the area of interest is
shown in figure 11.c. This model is forced by boundary conditions provided by the global
multi-grid system already used above, except that both global and coastal models are here
forced by winds from the NCEP-NCAR Climate Forecast System Reanalysis (Saha et al.
2010). Currents and water levels are again provided by the Previmer D1 barotropic model,
but here the resolution is 3 km.

### 424 b. Tidal modulation of wave parameters

We focus here on the data recorded at the buoy DW3, located 6 km to the South-West 425 of Chausey island. From February 17 to 20, a 8 to 15 m/s wind was blowing from the 426 East-South-East (direction 120, figure 12), as moderate swells with peak periods larger than 427 10 s propagated from the West, into the Channel. For these days the tidal range is almost 428 constant at 12 m. For the purpose of our analysis, we have separated the wave absolute 429 frequency range into swell (0 to 0.12 Hz) and wind-sea (0.12 to 0.5 Hz), which is appropriate 430 for our case. Here we only show results with the TEST441 source term parameterizations (Ardhuin et al. 2010) because, for this case the Komen-type family of dissipation functions lead to an overestimation of the wind sea (Girard-Becq et al. 2005). This overestimation is largely caused by the presence of swell which reduces the mean steepness parameter defined by eq. (1), leading to a strong reduction of the wind sea dissipation, as analyzed by Ardhuin 435 et al. (2007). 436

Figure 13 shows the recorded strong modulation of the significant wave height, swell height and wind-sea height over these 4 days. For the swell, the model results suggests

that the change in water depth is indeed very important for these waves, although the model exaggerates the tidal modulation of wave heights. This model error may come from inaccurate modelling of swell evolution. In particular bottom friction is represented here by a JONSWAP parameterization with a constant  $\Gamma = -0.067 \text{m}^2 \text{s}^{-3}$  (e.g. WISE Group 2007), which gives a relatively strong damping of for low wave energies compared to a constant roughness parameterization (e.g. Ardhuin et al. 2003). Tests using a movable bed bottom friction and using a spatially varying sediment cover give a more reasonable modulation of swell heights, but they also give a large positive bias (not shown).

We will now focus on the wind-sea heights, shown in figure 14.c. The wind sea height is
maximum two hours after the peak in the flood current, and minimum two hours after the
peak in the ebb current. On the second half of February 19, the difference in height exceeds
a factor of two over a tidal cycle from 0.5 to 1.15 m, with high values concentrated in a
short time, and a longer minimum. Also, the fall in wave height from the maximum occurs
faster than the rise from the minimum. Namely the time series exhibits both vertical and
horizontal asymmetries.

The difference between the runs without current ('no cur') and the one without any tidal
effect at all ('no tide') is the use of a variable water level in the former. This difference as
very little impact on the short wind wave components. On the contrary, the tidal currents
have a large influence on the wind sea evolution, which is clearly seen by the difference
between the 'no cur' run and the 'RWIND=0' run.

The most spectacular modulation is actually the evolution of the absolute wave frequencies, with an observed effect that exceeds the model results (figure 14). The wind-sea waves are shortest at low tide and become much longer and energetic at high tide. We also note that a significant level of energy exists at frequencies above 0.26 Hz that would have been blocked by the maximum current if the waves had been generated in an area with zero or following currents. This shows that these waves must be generated locally in the area of strong current. The overestimation of the peak frequency when the waves follow the current, here from low tide+3h to high tide, is probably caused in part by the slow wave growth bias found at short fetch with the TEST441 parameterization (Ardhuin et al. 2010).

A simulation in which refraction due to both currents and bathymetry was deactivated gave a very large difference for the swell, with a wave height doubled, but virtually no difference in the wind sea with a root mean square difference of 4% on the wind sea height, and less than 20% for the spectral densities. The effect of currents on the wind sea is thus caused by processes other than refraction.

The current speed U between Chausey and Saint Malo reach 1.5 m/s oriented along the 473 East-West direction with a very flat tidal ellipse (Cochin et al. 2006). With this high speed 474 of the current in comparison to the wind, we investigated the importance of the 'relative 475 wind effect' which is used by default in WWATCH. The model uses the difference of the 476 two vector velocities, wind at 10 m height and current, as the effective wind vector that 477 generates the waves. This parameterization assumes that the atmosphere does not adjust 478 to the presence of the current. Using a global coupled wave-atmosphere model, J. Bidlot 479 (personnal communication, 2011) found that using half the current speed would be better on 480 average. Using the full current speed, as we do here can exaggerate the real effect because 481 the relevant level at which the wind should be taken is not the standard 10 m height but 482 rather the top of the atmospheric boundary layer, where the wind is relatively larger. Also, 483 the atmosphere adjusts to the change in surface stress so that the true winds are slightly

reduced over opposing currents. 485

Even if it is exaggerated, the relative wind effect is significant as revealed by the difference 486 between diamonds and triangles in figure 13.c, accounting for about 25% of the observed 487 modulation. 488

### A simplified model

In order to understand the magnitude of the changes in  $H_s$  over a tidal cycle, we have 490 performed simplified numerical simulations with a rectangular flat bottom channel 40 km 491 long and 20 km wide, taking a uniform current across the width of the channel, with a 492 variation given by, 493

$$U = [U_0 \cos(\omega_T(x/C_T - t)) + U_m] \frac{1 + \tanh[(x - 3L)/L]}{2},$$
(11)

where we have chosen a tidal radian frequency corresponding to the lunar semi-diurnal tide, 494  $\omega_T = 1.4 \times 10^{-4} \text{ s}^{-1}$ . The tide propagation speed is given by the water depth,  $C_T = \sqrt{gD}$ 495 and we have taken D=30 m. We will consider a wave train propagating towards x>0496 without any modulation in the region x < 0. The modulation is caused by the variable 497 current which ramps up gradually, over a distance L = 3.3 km, from U = 0 to an oscillating 498 value of amplitude  $U_0$ , so that the wave train can adjust smoothly to the current. 499

We first consider nearly monochromatic waves with a wave action  $A = H_s^2/(16\sigma)$  where  $\sigma$ 500 is the local intrinsic frequency, without any forcing, dissipation or non-linear effects. Since we consider only short wind-waves they are in deep water and their local wavenumber is  $k = \sigma^2/g$  and the local intrinsic phase speed and group speed are  $C = \sqrt{g/k}$  and C/2. The 503 determination of the wave height thus reduces to the conservation of the number of waves and of the wave action (e.g. Phillips 1977),

$$\frac{\partial k}{\partial t} + \frac{\partial}{\partial x} \left[ (C - U)k \right] = 0, \tag{12}$$

$$\frac{\partial A}{\partial t} + \frac{\partial}{\partial x} \left[ (C/2 - U)k \right] = 0, \tag{13}$$

These are associated to initial conditions  $k = k_0$ ,  $A = A_0$  and a boundary condition at x = 0. The equations are linear with respect to  $H_s^2$  so that we can choose a realistic boundary condition  $H_{s0} = 0.2$  m and an initial frequency f = 0.2525 Hz.

This system of equations for the unknowns k and A has, to our knowledge, no analytical solution because of the nonlinearity in the advection of k. Given the current forcing and steady boundary conditions we expect a periodic regime to be established within one tidal period.

Vincent (1979) studied a relatively similar case with the advection of wind-waves by the tidal wave, but he chose to linearize eq. (12) and looked for solutions that are spatially periodic, with a wavelength equal to the tide wavelength. Instead, we solve (12)–(12) numerically using a second order upwind scheme on with a 300 m horizontal resolution and a time step of 13 s.

Exploring the effect of the current magnitude, we start from  $U_0 = 0.1 \text{m/s}$ . In the limit of low currents we find that, for our range of parameters, the modulation in wave height, defined as the maximum minus the minimum value divided by two, is

$$H_s - H_{s0} \simeq 2H_{s0}\alpha,\tag{14}$$

where  $\alpha = U/C_0$ . This is the same amplification that is found for  $\alpha \ll 1$  in the steady case

for waves propagating over a spatially varying current, given by,

$$\sigma = \sigma_0 \frac{1 - \sqrt{1 - 4\alpha}}{2\alpha} \tag{15}$$

$$\sigma = \sigma_0 \frac{1 - \sqrt{1 - 4\alpha}}{2\alpha}$$

$$H_s = H_{s0} \sqrt{\frac{\sigma C_{g0}}{\sigma_0 (C_g - U)}}.$$

$$(15)$$

This means that in practice the tidal period is long compared to the adjustment of the wave field. 524

After a few hours of transition from the initial conditions, the wave heights oscillate with 525 a period equal to the tidal period. When the channel length is extend to 400 km, the solution 526 is spatially quasi-periodic<sup>3</sup>, with a wavelength close to 190 km, which is of the order of the 140 km expected for a disturbance that propagates at the average group speed of 3.1 m/s, 528 and much less than the tidal wave length of 770 km. As a result, the tidal current field is practically uniform and its spatial propagation only introduces a small phase shift. The other consequence is that the maximum in wave height will lag the maximum of the opposing 531 current, and this lag increases linearly with x. Figure 15 shows that the lag is already larger 532 than 1.5 hours for x = 20 km, similar to the values found at DW3. Associated with this lag, 533 the decrease in wave height becomes gradually faster than the increase, giving a horizontal 534 asymmetry, that is visible in the black dashed curve of figure 15. 535

Both this horizontal asymmetry is much more pronounced for stronger currents. For finite 536 current values, the changes in wave properties remain very close to the stationary solution 537 at least for the short propagation distances. The same results were also obtained using 538 WWATCH with the only effect that the curves are less smooth due the spectral discretization. 539

<sup>&</sup>lt;sup>3</sup>It is not strictly periodic, as the shape of the  $H_s$  maximum becomes more asymmetric towards the end of the channel.

We now return to the more realistic situation where waves are generated by the local 540 wind, instead of being propagated from a boundary, and we use a wind speed of 13 m/s that 541 is slightly larger than modeled at DW3, but produces an average peak frequency of 0.25 Hz 542 at a fetch of 20 km, which roughly corresponds to the observed conditions. A gradual 543 phase shift compared to the tide is still modeled and roughly corresponds to the wave height 544 pattern propagating at the mean group speed. However, in such conditions, according to the model, the strength of the modulation is much reduced compared to the monochromatic wave propagation (figure 16.a). More importantly, the mean wavelength maximum is now in phase with the wave height maximum whereas it was out of phase in the case of simple propagation (figure 16.b). Indeed the short waves modeled without dissipation would be to steep and cannot exist. It thus appears that wave breaking is an important term for the 550 shape of the spectra in these conditions. Still, the model results are qualitatively independent 551 of the choice of parameterization for the wave generation and dissipation, as shown in figure 552 16 by the comparison of the solid and dashed black lines. Interestingly, the relative wind 553 effect is stronger in this idealized model configuration than in the realistic modelling of the 554 Saint-Malo area. 555

This asymmetric growth of the wind sea, stronger with opposing currents, is thus probably
a combination of at least three effects. There is certainly some adjustment of the wave
properties corresponding to the conservation of wave action over a time-varying current.
However, the growth of the wavelength with the wave height cannot be explained by that
effect, and thus there must be a strong growth of the wave field over the tidal cycle. Finally,
the relative wind effect probably explains 20 to 40% of the wave height modulation.

## 5. Conclusions

At global scales, the accuracy of numerical wave models is generally defined by, in decreasing order of importance, the accuracy of the forcing fields, the behavior of the physical
parameterizations, and the accuracy of the numerical schemes used to integrate the wave
action equation (Bidlot et al. 2007; Ardhuin et al. 2010, 2011a). Here we investigate how
models behave in the presence of strong currents, and this statement on model accuracy
remains generally true. In particular, the accuracy of the forcing includes the current fields
and its gradients.

At the shortest scales compared to the wavelength, a very rapid steepening of the waves 570 against an adverse current leads to intense wave breaking and dissipation. All the parameter-571 izations of wave breaking used here represent the dissipation rate as a steepness to the fourth 572 power times the spectrum, but the different definitions of steepness can produce markedly 573 different results. Parameterizations based on the saturation of the wave spectrum appear to 574 be more realistic for the early stages of the wave evolution, but may not give the best solu-575 tion everywhere. It is possible that the intermediate dissipation term proposed by Banner and Morison (2006) or Filipot and Ardhuin (2012), not completely local in frequency like 577 the saturation formulations, nor global across the full spectrum like the dissipation terms derived from the Hasselmann (1974), should have an intermediate behavior. Experimental 579 data with a higher spatial resolution, both in the laboratory and in the field will be needed to 580 better resolve the full spatial evolution of the wave field and can be very useful to fine-tune 581 these parameterizations. At present, given the very good performance at global scales of 582 the saturation-based dissipation term of Ardhuin et al. (2010), and the acceptable results 583

obtained here, this parameterization appears to be robust and should be preferred, also in cases with strong currents.

At larger scales, other effects are generally dominant, in particular the focusing of wave energy due to refraction over the currents. In these cases, the choice of dissipation parameterization, either Bidlot et al. (2005) or Ardhuin et al. (2010) has no noticeable impact, as long as a single wave system is present, for example one swell or one wind sea.

We have found it particularly difficult to obtain or define current fields with spatial pat-590 terns that are accurate enough to give good wave model results. Surface currents observed by HF radars and obtained via standard processing routines can be too smooth to resolve the local but very strong current gradients that give large refraction effects. Here we have 593 used a high resolution tidal model, validated with high-resolution HF radar data to obtain 594 a trustworthy current field. With this current field, numerical wave models such as WAVE-595 WATCH III® are capable of representing wave effects that occur in oceanic conditions, with 596 a high degree of accuracy. Including currents in the model resulted in error reductions by 597 up to 30%, including at locations where current are relatively weak, but which are located 598 down-wave of strong current gradients that cause large refraction effects, even for dominant 599 waves. There may be significant differences between model results due to different numerical 600 techniques used for the integration of the wave action equation, a question that we have not 601 investigated here, but for which the reader may consult other publications (Roland 2008; 602 Gonzalez-Lopez et al. 2011). 603

Finally, for short wind waves, we found a significant influence of the correction the wavegenerating wind to use the relative wind, here defined as the vector difference of the 10 m height wind and the depth-averaged current. The modelling of this effect enhances the overall effects of currents with stronger tidal modulation that is closer to the observations,
although in our case it increased the model error because of a time shift of this modulation
between the model and the observations. In our investigation of tidal currents it is very
difficult to separate this relative wind effect from wave advection and growth effects. That
effect may be better tested at global scales, in particular in the equatorial current regions
(e.g. Rascle et al. 2008), provided that accurate wind and current fields can be defined. As
shown by Collard et al. (2008), that requirement for current accuracy is difficult to achieve
outside of tide-dominated regions.

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## List of Tables

Statistical validation of modeled depth-averaged currents in the Iroise sea using near-surface currents from the HF radar system using the standard beam-forming algorithm, over the full year 2008, at a selected list of locations (see figure 3). No quantitative error measure is given when compared to the re-processed HF radar data, due to the limited time frame that has been reprocessed. These alternative HF current fields are not significantly different at the locations chosen here, which are far enough from the islands.

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TABLE 1. Statistical validation of modeled depth-averaged currents in the Iroise sea using near-surface currents from the HF radar system using the standard beam-forming algorithm, over the full year 2008, at a selected list of locations (see figure 3). No quantitative error measure is given when compared to the re-processed HF radar data, due to the limited time frame that has been re-processed. These alternative HF current fields are not significantly different at the locations chosen here, which are far enough from the islands.

Location	$r  ext{ for } U$	r for $V$	NRMSE for $U$	NRMSE for $V$	slope for $U$	slope for $V$
Point A	0.92	0.96	39.3 %	29.8 %	0.89	0.87
Point M	0.88	0.97	48.2~%	24.3 %	0.82	0.93
Point DW106	0.95	0.97	31.7~%	25.0~%	0.92	0.88

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range of parameterizations.

Wave model results for the Lai et al. (1989) laboratory test, with waves against a varying current. Observed and modeled significant wave heights, with a wide

(b) Observed and modeled wave spectra. The top thin lines are the result using the parameterization by Bidlot et al. (2005), the middle thick line are the results using the TEST441 parameterization, based on Phillips (1984) and described in Ardhuin et al. (2010), and the bottom dashed lines are the observations. Observed spectra were transformed from the absolute reference frame of the laboratory, into the relative reference frame moving with the local current.

Bathymetry of the Iroise sea area. Large dots are the locations were waverider buoys have been deployed on several experiments. The buoys 62052 and 62069 (also called Pierres Noires) are part of the permanent wave monitoring network. Open symbols mark the locations where other sensors, pressure gauges or Nortek Vector current-meters have been deployed by SHOM for periods of a few months between 2004 and 2009. Among them, the buoy DWFOUR was deployed from September 2008 to March 2009. The locations of HF radar stations in Porspoder and Cleden Cap Sizun are also indicated.

Example of the modeled situation on November 10, 2008, at 5 AM, for which near-blocking is expected between Ouessant and Bannec islands. (a) Modeled currents and wave rays for 8 s waves from the South-West. (b) Modeled wave heights and directions using the TEST441 parameterization (Ardhuin et al. 2010), and (c) using the BAJ parameterization (Bidlot et al. 2005). The grey areas are nodes that are treated as land, which generally agrees with the shoreline, which is the boundary of the green areas, with the addition of inter-tidal areas.

s49 5 (a) Typical time series of wave heights at the buoy 62069. The observed values are represented by the black solid line. Two model results are shown, one including currents and water levels in the model forcing (semi-transparent blue), and the other without water levels and without currents (red), both use the TEST441 parameterization. (b) modeled water level at the buoy.

Measured surface current 1 hour and 10 minutes after low tide, on the morning of October 28, 2008. The measurements are integrated over 20 minutes only.

(a) Shows the currents obtained with the original beam-forming, while (b) is given by the analysis technique of Sentchev et al. (2012), which combines a Multiple Signal Classification Schmidt (1986) direction-finding algorithm, using the 16 antennas of each receiving station, and a variational method to fill in holes and regularize the solution. Dots indicate the positions of buoys DW106 and 62069, and crosses are there to help the comparison of the two panels.

- Observed (solid line) and modeled wave heights at the buoy (a) 62069 and

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  that the water level is fixed and the currents are set to zero). (c) Modeled

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  figure.
  - 8 Observed (solid line) and modeled mean wave direction at the buoy 62069.

Current patterns around Ouessant and wave rays for a wave period of 10 s (top panels) and wave model results in terms of wave height and mean directions (bottom panels). These are shown for (a,b) the 3 AM high tide on October 28, where both rays and wave model take into account the currents and water levels (c,d) 1.5 hours after the 9:30 AM low tide of the same day, which corresponds to figure 5.b, without taking into account the currents, and (e,) at the same time and now taking into account the currents. In the top panels, colors indicate the magnitude of the current and the arrows show the current direction. Superimposed on these are rays for waves of 10 s period, starting from parallel directions in deep water. The black dots give the locations of buoys 62052, to the west, DW106 close to Ouessant, 62029 to the south and DWFOUR to the East, as also shown on figure 2.

883	10	Mean frequencies (a) $f_{m0,-1}$ and $f_{m0,2}$ modeled (symbols) and measured (solid
884		line) at at the buoy 62069 in October 2008. Model results are shown, taking
885		into account both water levels and currents (full tide), only the currents (no
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887		3  AM and $11  AM$ (low tide and high tide $+ 1  hour$ ) times that corresponds
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14 Frequency spectra over one tidal cycle on the morning of 19 February 2003, at the location of buoy DW3.

Wave height modulations by an oscillating current obtained from a numerical solutions of eq. 13. The solid lines show different results for  $H_s$  at x = 20 km obtained with different current amplitudes  $U_0$  and offset  $U_m$ , as defined in eq. (11). The plotted values of  $H_s$  are normalized as  $(H_s - H_{s0})/(H_{s0}U_0)$ , with  $U_0$  in m/s. Namely, with our choice of  $H_{s0} = 20$  cm, a current amplitude of  $U_0 = 0.1$  m/s gives a modulation amplitude of 0.67 cm for  $H_s$  while  $U_0 = 0.8$  m/s gives 6.5 cm. The dash-dotted lines show the current normalized as  $U/(C_{g0}U_0)$ , with  $U_0$  in m/s. All curves are for x = 20 km except for the dashed curves which correspond to x = 80 km.

(a) Wave height and (b) mean wave period modulations by an oscillating current, as computed by WWATCH at the centerline of a rectangular channel, 15 km in width, x=17 km from the upwave boundary. All results are obtained with the same current oscillating sinusoidally from 1.5 (opposing) to -0.9 m/s along the mean wave direction. The wave field was either generated from rest by a 13 m/s wind, including the relative wind effect or not (RWIND=0), or propagated from the boundary ('no wind') using a monochromatic spectrum of frequency 0.25 Hz or a Gaussian spectrum of standard deviation 0.025 Hz with, in that case, a directional distribution proportional to  $(\max\{\cos\theta,0\})^2$ . Because of stronger blocking in that case the wave height at the upstream boundary is take to be 1.75 times larger for the broad spectral case. Finally, the simulation with wind was also repeated using the parameterization BAJ (Bidlot et al. 2005) instead of TEST441 (Ardhuin et al. 2010).

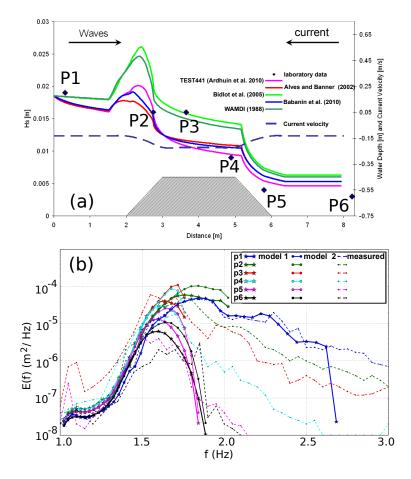


Fig. 1. Wave model results for the Lai et al. (1989) laboratory test, with waves against a varying current. Observed and modeled significant wave heights, with a wide range of parameterizations.

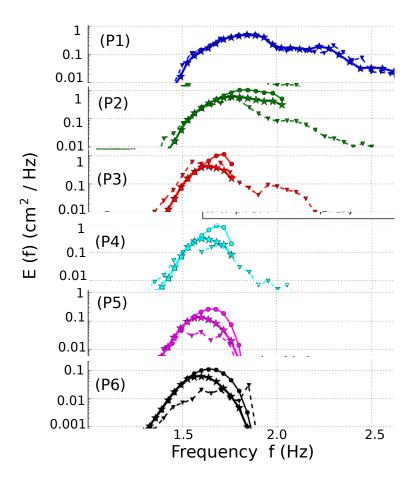


Fig. 2. (b) Observed and modeled wave spectra. The top thin lines are the result using the parameterization by Bidlot et al. (2005), the middle thick line are the results using the TEST441 parameterization, based on Phillips (1984) and described in Ardhuin et al. (2010), and the bottom dashed lines are the observations. Observed spectra were transformed from the absolute reference frame of the laboratory, into the relative reference frame moving with the local current.

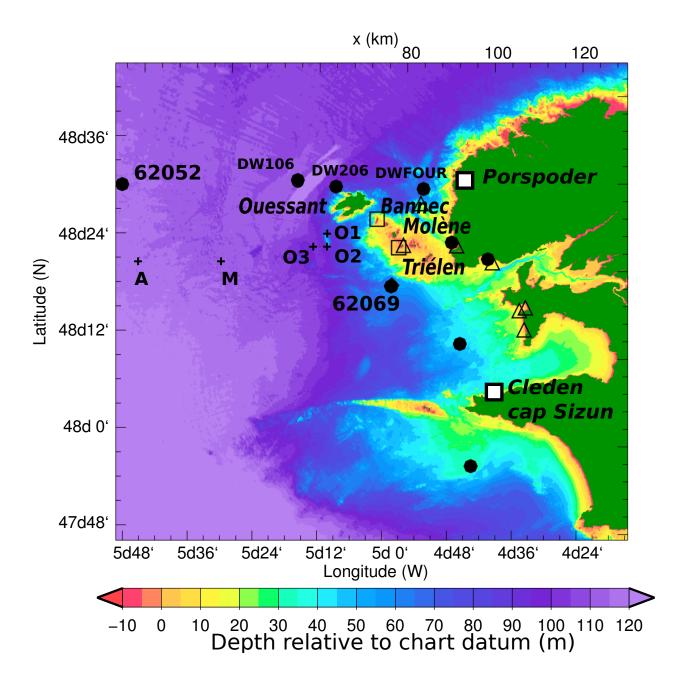
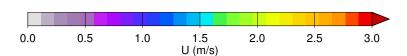
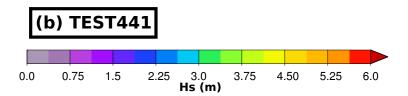


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(a)





(c) BAJ

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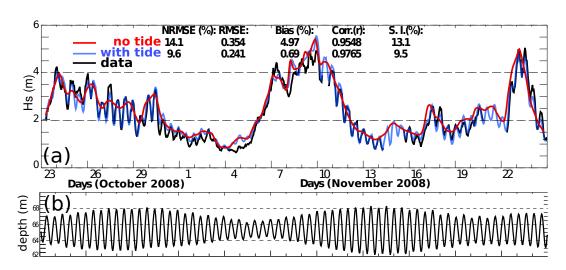


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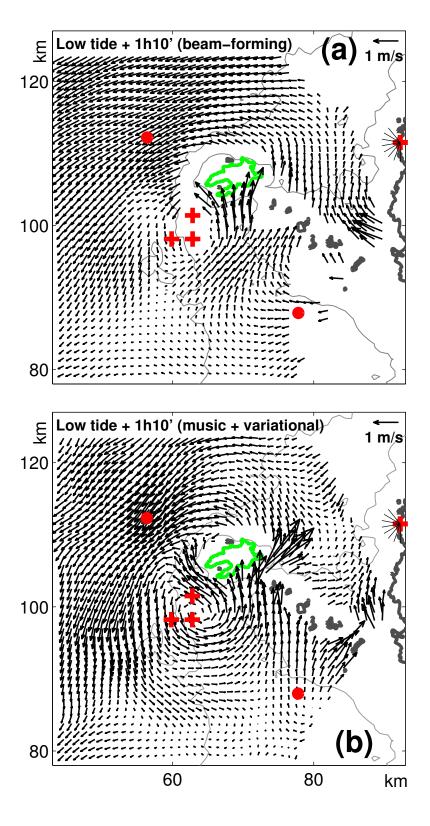


FIG. 6. Measured surface current 1 hour and 10 minutes after low tide, on the morning of October 28, 2008. The measurements are integrated over 20 minutes only. (a) Shows the currents obtained with the original beam-forming, while (b) is given by the analysis technique of Sentchev et al. (2012), which combines a Multiple Signal Classification Schmidt (1986) direction-finding algorithm, using the 16 antennas of each receiving station, and a variational method to fill in holes and regulative the solution. Dots indicate the positions of buoys DW106 and 62069, and crosses are there to help the comparison of the two panels.

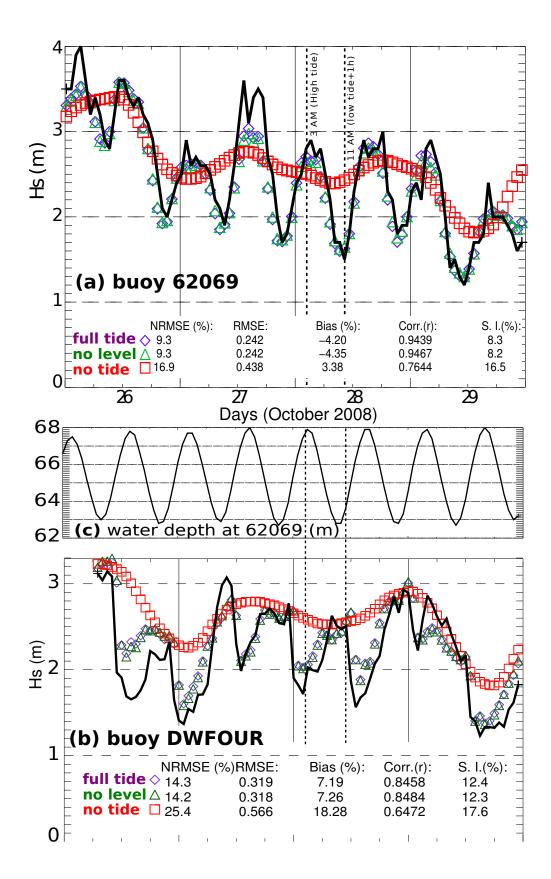


FIG. 7. Observed (solid line) and modeled wave heights at the buoy (a) 62069 and (b) DWFOUR (see figure 3) from October 26 to 29, taking into account both water levels and currents (full tide, blue diamonds), only the currents (no level, green triangles), or no tidal effects at all (no tide, red squares, meaning 5that the water level is fixed and the currents are set to zero). (c) Modeled water level at 62069. Error statistics correspond to the data shown on the figure.

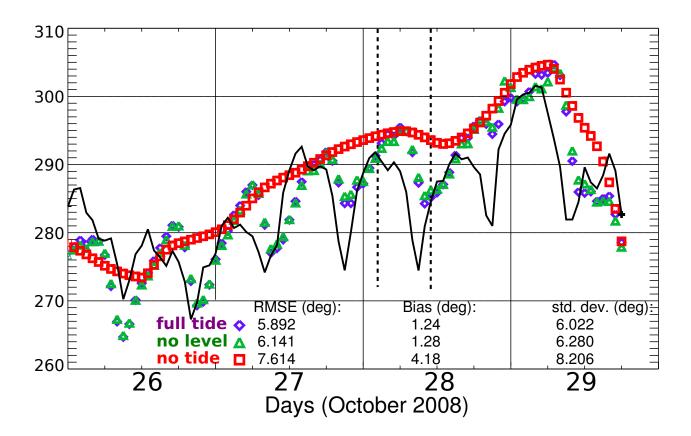


Fig. 8. Observed (solid line) and modeled mean wave direction at the buoy 62069.

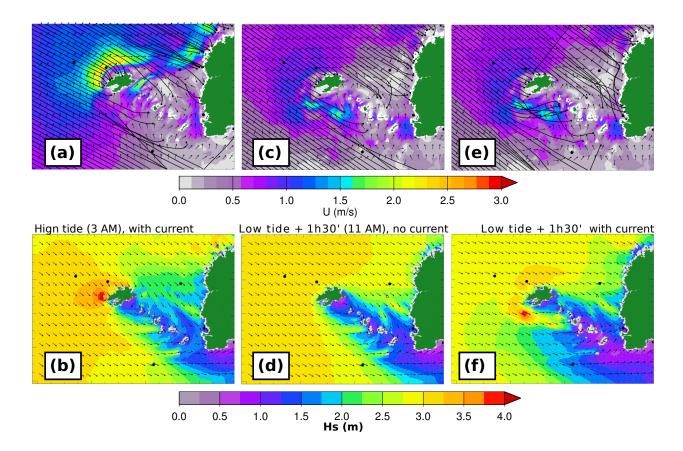


FIG. 9. Current patterns around Ouessant and wave rays for a wave period of 10 s (top panels) and wave model results in terms of wave height and mean directions (bottom panels). These are shown for (a,b) the 3 AM high tide on October 28, where both rays and wave model take into account the currents and water levels (c,d) 1.5 hours after the 9:30 AM low tide of the same day, which corresponds to figure 5.b, without taking into account the currents, and (e,) at the same time and now taking into account the currents. In the top panels, colors indicate the magnitude of the current and the arrows show the current direction. Superimposed on these are rays for waves of 10 s period, starting from parallel directions in deep water. The black dots give the locations of buoys 62052, to the west, DW106 close to Ouessant, 62029 to the south and DWFOUR to the East, as also shown on figure 2.

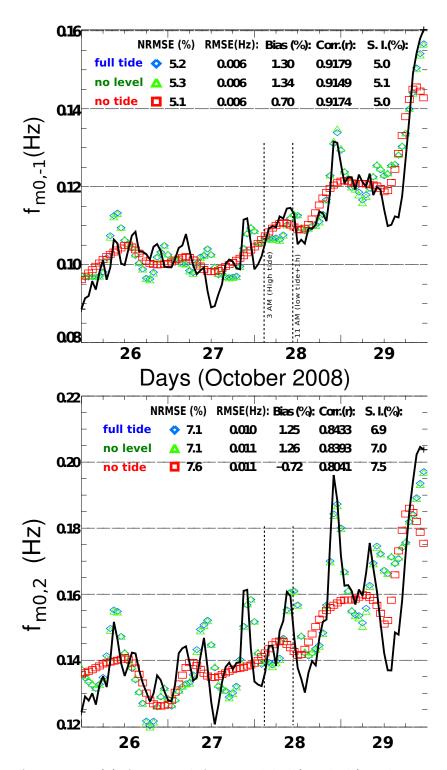


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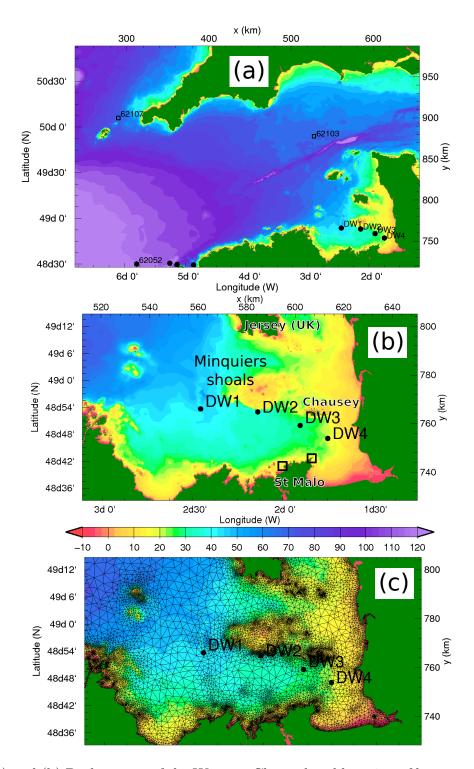


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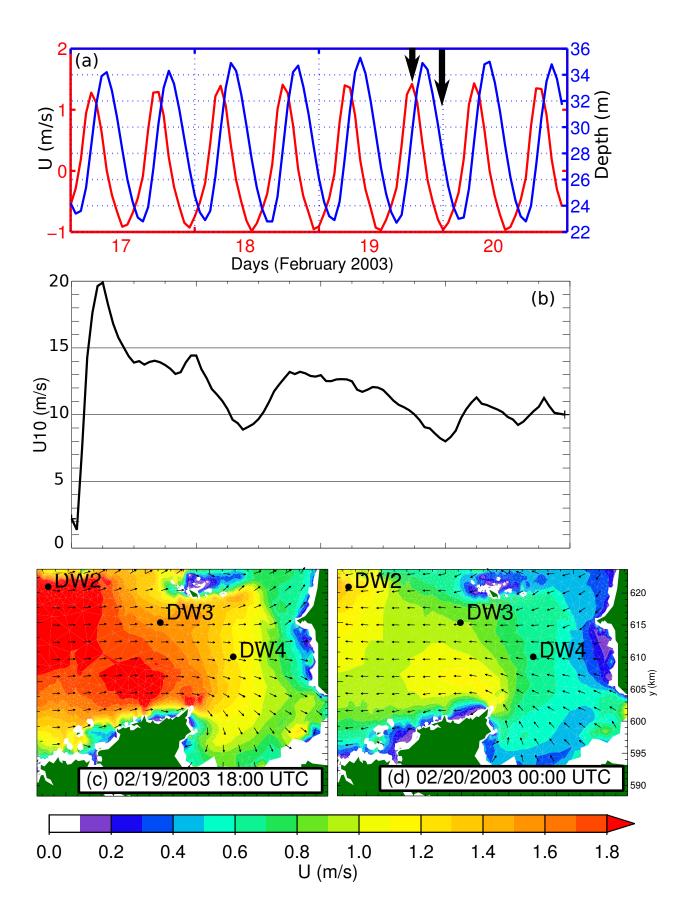


Fig. 12. Time series of (a) Eastward current and tidal elevation, and (b) wind speed at 10 m height at the location of buoy DW3, according to NCEP-CFSR (Saha et al. 2010). The two thick arrows in (a) indicate the flood and ebb peak at DW3, times for which the modeled current fields are shown in (c) and (d).

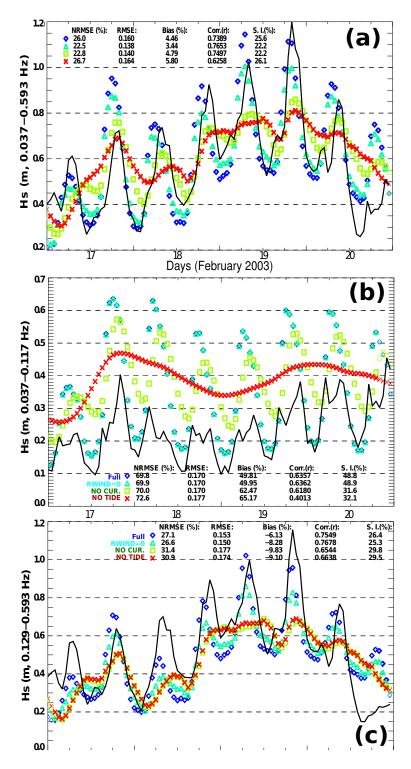


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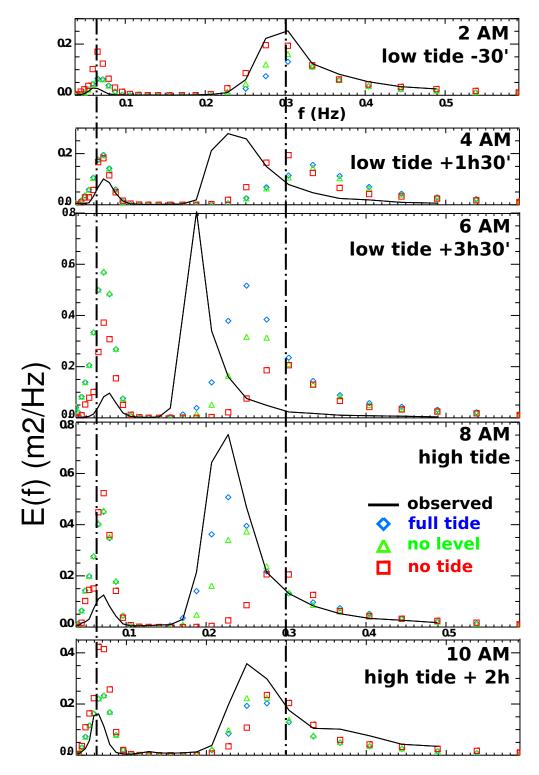


Fig. 14. Frequency spectra over one tidal cycle on the morning of 19 February 2003, at the location of buoy DW3.

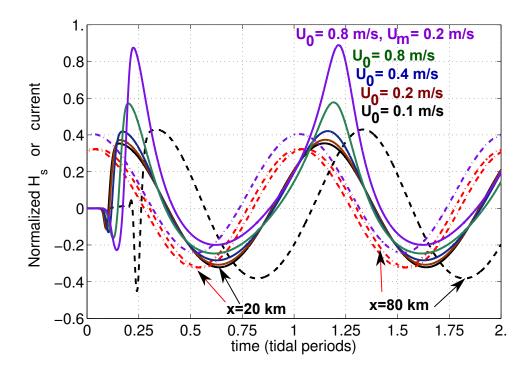


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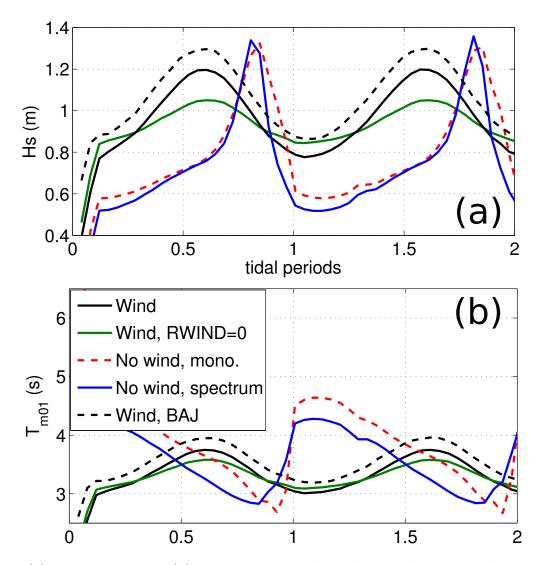


FIG. 16. (a) Wave height and (b) mean wave period modulations by an oscillating current, as computed by WWATCH at the centerline of a rectangular channel, 15 km in width, x = 17 km from the upwave boundary. All results are obtained with the same current oscillating sinusoidally from 1.5 (opposing) to -0.9 m/s along the mean wave direction. The wave field was either generated from rest by a 13 m/s wind, including the relative wind effect or not (RWIND=0), or propagated from the boundary ('no wind') using a monochromatic spectrum of frequency 0.25 Hz or a Gaussian spectrum of standard deviation 0.025 Hz with, in that case, a directional distribution proportional to  $(\max\{\cos\theta,0\})^2$ . Because of stronger blocking in that case the wave height at the upstream boundary is take to be 1.75 times larger for the broad spectral case. Finally, the simulation with wind was also repeated using the parameterization BAJ (Bidlot et al. 2005) instead of TEST441 (Ardhuin et al. 2010).