

Article (refereed) - postprint

Mueller, Kristin K.; Lofts, Stephen; Fortin, Claude; Campbell, Peter G.C. 2012 Trace metal speciation predictions in natural aquatic systems: incorporation of dissolved organic matter (DOM) spectroscopic quality. *Environmental Chemistry*, 9 (4). 356-368. [10.1071/EN11156](https://doi.org/10.1071/EN11156)

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1 **Trace metal speciation predictions in natural aquatic systems: incorporation of**
2 **dissolved organic matter (DOM) spectroscopic quality**

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23

24 **Abstract**

25 To calculate metal speciation in natural waters, modellers must choose the proportion of dissolved
26 organic matter (DOM) that is actively involved in metal complexation, defined here as the % active
27 fulvic acid (FA); to be able to estimate this proportion spectroscopically would be very useful. In
28 the present study, we determined the free Cd^{2+} , Cu^{2+} , Ni^{2+} and Zn^{2+} concentrations in eight
29 Canadian Shield lakes and compared these measured concentrations to those predicted by the
30 Windermere Humic Aqueous Model (WHAM VI). For seven of the eight lakes, the measured
31 proportions of Cd^{2+} and Zn^{2+} fell within the range of values predicted by WHAM; the measured
32 proportion of Cu^{2+} fell within this range for only half of the lakes sampled, whereas for Ni, WHAM
33 systematically overestimated the proportion of Ni^{2+} . With the aim of ascribing the differences
34 between measured and modeled metal speciation to variations in DOM quality, the % active FA
35 needed to fit modeled to measured free metal concentrations was compared to the lake-to-lake
36 variation in the spectroscopic quality of the DOM, as determined by absorbance and fluorescence
37 measurements. Relationships between % active FA and DOM quality were apparent for Cd, Cu, Ni
38 and Zn, suggesting the possibility of estimating the % active FA spectroscopically and then using
39 this information to refine model predictions. The relationships for Ni differed markedly from those
40 observed for the other metals, suggesting that the DOM binding sites active in Cd, Cu and Zn
41 complexation are different from those involved in Ni complexation. To our knowledge, this is the
42 first time that such a distinction has been resolved in natural water samples.

43

44 **Running Head**

45 Predicting metal speciation in lake waters

46

47 **Environmental Context**

48 To assess the risk posed by environmental contaminants such as metals, one needs to be able to
49 identify the key chemical species that prevail in natural waters. One of the recognized stumbling
50 blocks is the need to quantify the influence of heterogeneous dissolved organic matter (DOM).
51 Here we explore the possibility of using the optical signature of DOM to determine its quality, to
52 alleviate the need to make assumptions about its metal-binding properties, and to improve the
53 prediction of trace metal species distributions in natural waters.

54

55

56 1 Introduction

57 Knowledge of the speciation of trace metals in natural waters is widely recognised as a key to
58 understanding both their geochemical mobility and their ecotoxicological impacts. In natural
59 freshwater systems, trace metals may interact with a variety of ligands, including common
60 inorganic anions (e.g. OH⁻, HCO₃⁻, CO₃²⁻, Cl⁻, F⁻ and SO₄²⁻), simple monomeric organic
61 molecules of natural or anthropogenic origin (e.g. metabolites such as citrate or glycine, or
62 polycarboxylates such as nitrilotriacetic acid) and dissolved humic substances (fulvic acid (FA) and
63 humic acid (HA)).[1] From a geochemical or ecotoxicological perspective, the key species is the
64 free metal ion (M^{z+}), which is usually presumed to be in equilibrium with these various dissolved or
65 particulate ligands and with the epithelial or cell surfaces of the resident aquatic organisms; it is the
66 master species from which the concentrations of all other species of interest can in principle be
67 calculated.

68 Several approaches have been used to determine free metal ion concentrations in natural waters.
69 For example, the equilibrium ion exchange technique (IET) has been employed to determine Cd²⁺,
70 Ni²⁺ and Zn²⁺ concentrations in environmental samples.[2–4] Various electrochemical techniques
71 have also been used, including potentiometry with ion-selective electrodes (ISEs)[5,6] and cathodic
72 stripping voltammetry,[5,7] as has the Donnan membrane technique.[8,9] However, given the
73 complexity of the analytical matrices in which the free metal ion is found, and the often very low
74 ambient metal concentrations, the determination of [M^{z+}] in natural waters is not a routine
75 measurement.

76 A complementary approach to such analytical determinations would be the use of chemical
77 equilibrium models to calculate the free metal ion concentration. For simple systems, containing
78 inorganic cations and anions together with simple monomeric ligands, such an approach is
79 reasonably straightforward. However, for waters containing natural dissolved organic matter
80 (DOM), the chemical equilibrium calculations must deal with the challenging presence of FA and

81 HA. These ubiquitous but poorly defined materials behave like multidentate ligands and
82 polyelectrolytes in solution. Dudal and Gérard[10] have reviewed various approaches that have
83 been developed to account for natural organic matter in aqueous chemical equilibrium models,
84 including two that are currently widely used: the Windermere Humic Aqueous Model (WHAM)
85 and the Non-Ideal Competitive Adsorption (NICA)–Donnan model. In addition to FA and HA,
86 DOM also includes biogenic molecules (amino acids, proteins and carbohydrates), the
87 concentrations of which tend to be maintained at very low levels due to their degradation and
88 uptake by heterotrophic microorganisms. As a result, these other components of the DOM pool
89 normally will not affect trace element speciation.[11] In waters affected by wastewater effluents,
90 the DOM may also include strong chelating agents of anthropogenic origin.

91 Recent comparisons of free metal ion concentrations measured in natural aquatic systems with the
92 concentrations predicted for these systems with the NICA and WHAM speciation models have
93 demonstrated major differences between measured and predicted values for some metals, notably
94 Cu, Ni and Pb.[3,12,13] Lofts and Tipping[14] have discussed possible reasons for these
95 differences, including errors in measuring the free metal ion, errors in measuring the variables that
96 are used as input data for the model and differences between the DOM present in the natural waters
97 and the types of (isolated) FA and HA that have traditionally been used to calibrate or parameterise
98 the chemical equilibrium models. In the present paper we have explored this latter factor.

99 One of the challenges involved in applying a chemical equilibrium model to a natural water is to
100 estimate the FA and HA content of the water. Current speciation models that include dissolved
101 organic matter (DOM), such as WHAM, incorporate the measured quantity of DOM, but users
102 must define the DOM quality by choosing the percentage of the DOM that is actively involved in
103 metal complexation, and by deciding what proportions of this ‘active’ DOM should be designated
104 FA and HA. To be able to estimate this ‘percentage active’ DOM spectroscopically would be very
105 useful. For example, the specific ultraviolet absorbance of the DOM ($SUVA_{254}$), a measure of the

106 aromaticity of the organic matter, has been used to estimate the proportion of DOM that is present
107 as HA or FA in solution and that participates in the complexation of Cu in reconstituted natural
108 water samples[15,16] and in the complexation of Cu[17] and Cd[18,19] in soil extracts. A better a
109 priori understanding of the quality of DOM in a given sample would alleviate the need to make
110 assumptions about the activity of DOM and might reduce the discrepancies between predicted and
111 measured free metal concentrations.

112 The purpose of this paper is thus three-fold: (i) to determine the free Cd^{2+} , Cu^{2+} , Ni^{2+} and Zn^{2+}
113 concentrations in a set of lakes located along a metal contamination gradient; (ii) to compare these
114 measured concentrations with the free metal concentrations predicted by WHAM VI and (iii) to
115 explore the use of the optical signature of the DOM in each lake as a means of estimating the
116 proportion of the natural DOM that is active in metal complexation.

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174 measured concentrations with the free metal concentrations predicted by WHAM VI and (iii) to
175 explore the use of the optical signature of the DOM in each lake as a means of estimating the
176 proportion of the natural DOM that is active in metal complexation.

177 2 Methodology

178 2.1 Study area

179 Although a detailed description of the study sites can be found in Mueller et al.[20] a brief
180 description is given here. Lake water was collected from lakes in two different regions on the
181 Canadian Shield: near Rouyn-Noranda in north-western Québec and near Sudbury in north-central
182 Ontario. Both study areas have been greatly affected by metal mining and smelting activities,
183 particularly by atmospheric deposition of acid and metals.[21,22] The pH of the lakes tends to
184 decrease and total metal concentrations tend to increase with a decrease in the down-wind distance
185 from the metal smelters, particularly in the Sudbury area.[23] Acid mine drainage from point
186 sources, such as abandoned mines or mineralised outcrops, may also decrease the pH of lake water
187 locally. In each region, lakes were chosen to represent a gradient in water quality (pH, dissolved
188 organic carbon (DOC)) and trace metal concentrations.

189 2.2 Lake water sampling

190 Lake water samples were taken from four lakes in each of the study areas during July and August
191 2008. Sampling sites were chosen in the littoral zones of small, well mixed lakes and the sampling
192 sites were considered to be representative of the whole lake epilimnion. The spatial variability of
193 water chemistry (i.e. pH and concentrations of DOC and major cations) is known to be minimal
194 within many of the sampled lakes.[3]

195 Lake water was collected passively using equilibrium diffusion sampling as described in Fortin et
196 al.[3] and Mueller et al.[20] Briefly, 250-mL polypropylene jars (Nalgene, Nalge Nunc
197 International Corporation, Rochester, NY) topped with custom-made plastic lids fitted with a 0.2-
198 μm filter membrane (HT Tuffryn Membrane, Pall Canada Ltd, Ville St-Laurent, QC, Canada) were
199 filled with Milli-Q (Millipore, EMD Millipore, Billerica, MA) ultrapure water ($>18 \text{ M}\Omega \text{ cm}$) and
200 fixed to plastic rods that were anchored to the lake bottom. The samplers were installed at a depth
201 of 1 m from the lake surface and left to equilibrate for 13 to 14 days. Following this equilibration

202 period, the samplers were brought to the surface, the membrane surface rinsed with ultrapure water,
203 the membranes pierced with a clean plastic pipette tip and the custom jar lids replaced with clean
204 polypropylene screw lids. The sample jars were bagged and stored in the dark at 4 °C in field
205 coolers for a maximum of 12 days until they were transported back to the laboratory. Note that lake
206 water pH, conductivity and temperature were measured in the field at the time of sample collection.

207

208 2.3 Sample analysis

209 All plastic laboratory and sampling equipment was soaked in 10 % (v/v) nitric acid for at least 24 h
210 and then rinsed a minimum of six times with ultrapure water and dried under a Class 100 laminar
211 flow hood. The only exception was for the polystyrene vials used for storing the subsamples for
212 anion analyses; these vials were rinsed three times with ultrapure water only. All glassware was
213 soaked in a 2 N HCl solution before being rinsed with ultrapure water.

214 Once the polypropylene jars had arrived in the central laboratory, they were opened in a clean Class
215 100 laminar flow hood, and subsamples were collected as follows. A 10-mL subsample was
216 transferred to a polypropylene vial and acidified to 2 % (v/v) with concentrated ultra-trace nitric
217 acid (BDH Aristar Ultra, VWR International, Mississauga, ON, Canada) for analysis of major
218 cations (Ca, Mg) by atomic optical emission spectroscopy (ICP-OES, Varian Vista AX CCD,
219 Agilent Technologies Canada Inc., Mississauga, ON) and total metals (Cd, Cu, Ni and Zn) by
220 inductively coupled plasma–mass spectrometry (ICP-MS, Element X Series, Thermo Scientific,
221 Mississauga, ON). A second 10-mL subsample was transferred to a polystyrene vial for the
222 determination of anion concentrations (F^- , Cl^- , NO_3^- , SO_4^{2-} and PO_4^{3-}) by ion chromatography
223 (Dionex ICS-2000, Thermo Scientific).

224 Details concerning the quantitative and qualitative characterisation of DOM can be found in

225 Mueller et al.[20] Briefly, 20-mL subsamples were transferred to amber borosilicate vials (I-Chem

226 Brand, Thermo Fisher Scientific, Rockwood, TN) for total organic carbon analysis (TOC-VCPH,
227 Shimadzu Scientific Instruments, Columbia, MD). Subsamples (1 mL) were transferred to 3-mL
228 glass vacutainers (Kendall Monoject, Mansfield, MA) for total inorganic carbon analysis by gas
229 chromatography (Varian 3800 GC, Agilent Technologies Canada Inc.). The absorption (Varian
230 UV-Vis Cary 300 Spectrophotometer, Agilent Technologies Canada Inc.) and fluorescence (Varian
231 Cary Eclipse Fluorescence Spectrophotometer, Agilent Technologies Canada Inc.) spectra of lake
232 water DOM were also measured. The specific UV absorbance (SUVA, $L m^{-1} mg^{-1} C$) was also
233 calculated by dividing the measured absorbance at 254 nm by the concentration of DOC.[24]
234 Excitation–emission matrix (EEM) fluorescence spectra were measured using 1-cm quartz cuvettes
235 at excitation wavelengths from 210 to 400 nm at increments of 5 nm and at emission wavelengths
236 from 300 to 580 nm at increments of 2 nm. The fluorescence index was calculated as the ratio
237 between the fluorescence emission intensity at 470 nm over that at 520 nm for an excitation at
238 370 nm.[25,26] Finally, the multivariable statistical tool, parallel factor analysis (PARAFAC), was
239 employed to deconvolute the EEM spectra using the procedure outlined by Stedmon and Bro[27] as
240 implemented in a MATLAB environment, using the ‘N-way toolbox for MATLAB’.[28]

241

242 2.4 Metal speciation measurements

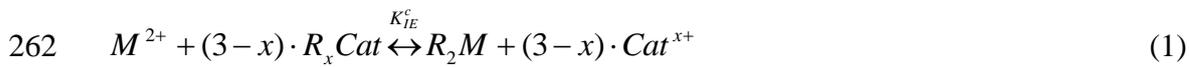
243 All sub-samples for metal speciation measurements were filtered (0.2- μm polycarbonate filter;
244 AMD Manufacturing Inc., Mississauga, ON) under a laminar flow hood before analysis. A
245 precipitate was observed in a few samples, which prompted a filtration step for all samples just
246 before the metal speciation analyses. WHAM metal speciation simulations were performed using
247 the element concentrations measured on these filtered samples. All calibration solutions were
248 prepared using ultrapure water. Stock solutions of Cd, Cu, Ni and Zn were prepared from ICP-MS
249 standard solutions (4 % HNO_3 , PlasmaCAL, SPC Science, Baie D’Urfé, QC, Canada). To take into
250 account the variable natural conditions of each lake sampled, e.g. pH, ionic strength and total metal

251 concentrations, a lake-specific limit of quantification (LOQ) was calculated for the measurement of
 252 the free metal ion for each lake, based on the standard deviation of triplicate measurements. When
 253 the free metal value for a lake fell below its LOQ, it was discarded and the free metal ion
 254 concentration or percentage was not reported.

255

256 2.4.1 Ion exchange technique (IET)

257 The concentrations of Cd^{2+} , Ni^{2+} and Zn^{2+} were measured following the methods described by
 258 Fortin and Campbell[29] and updated by Fortin et al.[3] Briefly, the IET involves the equilibration
 259 of the free metal ions in an unknown sample with a cation exchange resin (Dowex 50W-X8, 50-100
 260 mesh, Sigma–Aldrich Canada Ltd, Oakville, ON, Canada) that has been calibrated with standard
 261 solutions according to the following reaction:



263

264 where M^{2+} is the free metal ion, $R_x Cat$ represents the resin with binding sites filled by a mono- or
 265 divalent cation (Cat^{x+}), x is the charge on the cation and R_2M represents the resin with binding sites
 266 filled by the metal ion of interest. The conditional equilibrium constant (K_{IE}^c) for the above reaction
 267 is calculated using the following equation:

$$268 \quad K_{IE}^c = \frac{[R_2M] \cdot [Cat^{x+}]^{3-x}}{[M^{2+}] \cdot [R_x Cat]^{3-x}} \quad (2)$$

269

270 Under swamping electrolyte conditions, $[Cat^{x+}]$ and $[R_x Cat]$ are assumed to remain constant and
 271 Eqn 2 is rearranged to give the resin distribution coefficient at fixed ionic strength and pH, $\lambda_{o,i,pH}$
 272 ($L g^{-1}$).

273
$$\lambda_{o,i,pH} = K_{IE}^c \cdot \frac{[Cat^{x+}]^{3-x}}{[R_x Cat]^{3-x}} = \frac{[R_2 M]}{[M^{2+}]}$$
 (3)

274

275 The resin was calibrated with standard solutions with a pH range of 5.3 to 8.9 and with known
 276 concentrations of free Cd²⁺ (0.24 to 42 nM), Ni²⁺ (13 to 202 nM) and Zn²⁺ (4.9 to 148 nM) and the
 277 resin distribution coefficient (λ) was calculated for each metal before applying the method to the
 278 natural unknown samples (see Supplementary Material).

279 Experimentally, the resin was pre-equilibrated with a matrix solution that had an ionic strength
 280 (Ca(NO₃)₂ as the swamping electrolyte) and pH similar to the calibrating solution and the natural
 281 samples; a sufficient volume from a 25 mM Ca(NO₃)₂ (99.0 %, Sigma–Aldrich Canada Ltd) stock
 282 solution was also added to each natural sample so as to obtain a constant Ca concentration of
 283 0.46 mM in all natural samples. By levelling the ionic strength of the natural samples, we ensured
 284 that the time needed to reach equilibrium between the resin and the sample was similar for all
 285 samples and that a single metal-specific distribution coefficient could be used. After a steady-state
 286 had been reached between the resin and the sample (190 mL), the resin was rinsed with ultra-pure
 287 water and eluted with a volume (V, 6 mL) of 10 % (v/v) ultra-trace nitric acid (BDH Aristar Ultra,
 288 VWR International). The amount of free metal originally bound to the resin at steady-state ([R₂M])
 289 was calculated using the following equation:

290

291
$$[R_2 M] = \frac{[M_{Eluate}] \cdot V}{m_r}$$
 (4)

292

293 where [M_{Eluate}] is the concentration of metal measured in the eluate and m_r is the mass of the resin
 294 used (approximately 7 mg; weighed precisely). Finally, by combining equations 3 and 4, the free
 295 metal concentration in the sample was calculated using equation 5.

296

$$297 \quad [M^{2+}] = \frac{[M_{Eluate}] \cdot V}{\lambda_{o,i,pH} \cdot m_r} \quad (5)$$

298

299 2.4.2 Cupric ion selective electrode (Cu ISE)

300 Because the IET tends to overestimate free Cu^{2+} when the lake pH is greater than 6.5,[3] we
301 determined Cu^{2+} in the lake samples using a cupric ion selective electrode (Cu ISE, Orion, Thermo
302 Scientific) following the methods outlined by Rachou et al.[6] The Cu ISE was calibrated daily
303 with a solution containing 0.1-mM copper nitrate ($\text{Cu}(\text{NO}_3)_2$, PlasmaCAL, SPC Science), 1-mM
304 iminodiacetic acid (IDA, Sigma–Aldrich Canada Ltd), 2.5-mM potassium hydrogen phthalate
305 ($\text{KHC}_8\text{H}_4\text{O}_4$, 99.95 %, Sigma–Aldrich Canada Ltd), 10-mM potassium nitrate (KNO_3 , 99.995 %,
306 Fluka, Sigma–Aldrich Canada Ltd) and 6-mM sodium hydroxide (NaOH , 98 %, Sigma–Aldrich
307 Canada Ltd). The calibration solution was split into 5 to 11 subsamples with pH values varying
308 from 2 to 11, adjusted by the addition of small known amounts of either HNO_3 or NaOH , and
309 allowed to equilibrate overnight. The free Cu^{2+} concentration calculated ranged from the method
310 detection limit (three times the standard deviation (s.d.) of six replicate blank measurements) of
311 2.6×10^{-11} to 6.3×10^{-5} M. The calibration subsamples with pH values above 6 were bubbled with
312 N_2 gas, to avoid a decrease in pH with the dissolution of atmospheric carbon dioxide, CO_2 (aq). The
313 pH and temperature of the samples were respectively monitored using an Orion (Orion, Thermo
314 Scientific) electrode and probe. During Cu ISE measurements, constant stirring and temperature
315 conditions were also maintained. The calibrated response of the Cu ISE electrode was determined
316 from the plot of the measured ISE potential against the calculated pCu for each calibration
317 subsample. For the natural water samples, the concentration of Cu^{2+} was then calculated using the
318 Cu ISE calibration equation and the measured electrode potential. The natural samples were
319 amended with KNO_3 (99.995 %, Fluka, Sigma–Aldrich Canada Ltd; a specific volume of a 1.0-M

320 stock solution was added to achieve a final concentration of 10 mM KNO₃) to ensure a constant
321 ionic strength among the natural samples.

322

323 2.5 Metal speciation calculations

324 The free Cd²⁺, Cu²⁺, Ni²⁺ and Zn²⁺ concentrations measured for each lake were compared with
325 values calculated using the WHAM, model version 6.1.[30] Several assumptions were made in the
326 application of the model to the lake water samples. First, we assumed that the DOC-to-DOM ratio
327 was 2,[31] that 65 % of the DOM was active in the complexation of metals[32] and that this active
328 fraction was composed of FAs only. We also assumed that both Fe^{III} and Al^{III} activities are
329 controlled by the solubility of their hydroxides, as calculated using the respective empirical
330 equations given by Lofts et al.[33] and Tipping.[34] Other measured WHAM input parameters
331 included pH and the total concentrations of Na, Mg, K, Ca, Cr, Co, Ni, Cu, Zn, Cd, Cl, NO₃, SO₄,
332 CO₃ (measured as total inorganic carbon) and F. The measured surface temperature of the lakes
333 sampled (used in the WHAM simulations) varied between 20 and 23 °C. Separate WHAM
334 simulations were run for lake samples analysed using the IET free ion measurement method (for
335 the analysis of free Cd²⁺, Ni²⁺ and Zn²⁺) and for those analysed with the ISE method (for the
336 analysis of free Cu²⁺); the input data for these simulations corresponded exactly to the composition
337 of the samples used for the free metal ion analyses, thus accounting for the differences in their ionic
338 composition (respective additions of Ca(NO₃)₂ and KNO₃).

339

340 2.6 Statistical analysis

341 All statistical analyses were calculated at the α equals 0.05 confidence level using *SigmaPlot 11.0*
342 (Systat Software Inc., San Jose, CA). Parametric *t*-tests and linear and non-linear regression were
343 used when the normality and homogeneity of variances among datasets were confirmed; otherwise,
344 the appropriate non-parametric tests were employed (Mann–Whitney Rank Sum test, in place of the

345 *t*-test). Note that for the regression equations generated between the WHAM calculated percentage
346 of FA active in metal complexation (%aFA_{opt}) and the relative contribution of PARAFAC
347 fluorescence components 1 and 3 to the overall fluorescence spectrum of lake DOM (see
348 *Relationships between %aFA_{opt} and DOM spectroscopic properties* below), the assumptions of
349 normality and homogeneity of variance were confirmed. The only exception was for Ni, where the
350 variance among the datasets was not constant ($P = 0.01$).

351

352 **3 Results and Discussion**

353 3.1 Water quality

354 The speciation of dissolved metals in freshwater systems is sensitive to the concentration of DOM,
355 which tends to complex free metal ions, but may also be affected by other water quality variables,
356 notably the concentrations of Ca, Mg, Al, Fe and the H⁺ ion, these being potential competitors for
357 the cation binding sites on the DOM.[35] In addition, for a water of given composition, the total
358 dissolved concentration of the metal may affect its speciation (i.e. a limited number of high affinity
359 binding sites). In the following two subsections we describe how the water chemistry varied among
360 the studied lakes.

361 3.1.1 Background water chemistry

362 The water quality (i.e. [major cations], pH) of the lakes was described in Mueller et al.[20] for 2007
363 and 2008. Only the 2008 data are considered here, since the metal speciation measurements date
364 from that year. Wide ranges in general water chemistry (pH, Ca, Mg and DOC concentrations)
365 were found among the eight lakes sampled (Table 1), reflecting both regional geological
366 differences and local differences at the watershed scale.[20] The lakes in both sampling regions
367 have low concentrations of calcium ([Ca]: 68 to 464 μM) and magnesium ([Mg]: 27 to 274 μM),
368 contributing to generally soft water. Lake Bethel, from the Sudbury region, had the highest pH and

369 the highest Ca and Mg concentrations of all the lakes; for the IET measurements, all other lake
370 water samples were thus adjusted upwards to these Ca concentrations (see *Ion exchange technique*
371 (*IET*) above).

372 Lakes from the Rouyn-Noranda region are circumneutral, with a mean pH of 7.25 and a narrow
373 range of values between pH 6.81 and 7.69. The mean pH for the Sudbury lakes (7.07) is slightly
374 lower than that of the Rouyn-Noranda lakes and pH values span a wider range (6.40 to 8.08).
375 Historically, the Rouyn-Noranda lakes were less affected by anthropogenic acidification than were
376 those in the Sudbury area,[36] despite massive SO₂ emissions from the Horne smelter; the Rouyn-
377 Noranda lakes are located in the Abitibi clay belt and are effectively better buffered against acid
378 deposition. Although the average circumneutral pH of the lakes in the Sudbury regions is evidence
379 of the recovery of these lakes from historical acidification in recent years,[37] pH values in the
380 lakes of this region spanned a wider range than in the Rouyn-Noranda lakes.

381 Based on their historical chlorophyll-*a* concentrations (1–4 µg chl-*a* L⁻¹; P. G. C. Campbell,
382 unpubl. data), the four studied lakes in the Rouyn-Noranda region are oligotrophic, as are Lakes
383 Raft and Whitson in the Greater Sudbury area (2–3 µg chl-*a* L⁻¹;
384 http://www.greatersudbury.ca/cms/index.cfm?app=div_lakewaterquality&lang=en&currID=619,
385 accessed 7 August 2012). We were unable to find comparable data for Lake Geneva, which lies
386 outside the Greater Sudbury area, but given the lack of development in its watershed, it is also
387 likely oligotrophic. The only exception is Lake Bethel, which is much more productive (12–
388 24 µg chl-*a* L⁻¹;
389 http://www.greatersudbury.ca/cms/index.cfm?app=div_lakewaterquality&lang=en&currID=619)
390 and can be classified as eutrophic.

391

392 3.1.2 Dissolved organic carbon

393 The mean DOC concentration of the Rouyn-Noranda lakes was higher (6.1 mg C L⁻¹) and spanned
394 a wider range (4.9 to 8.1 mg C L⁻¹) than in the lakes from the Sudbury region (mean of 3.4 mg L⁻¹;
395 range from 2.0 to 4.9 mg L⁻¹). The higher DOC concentration in the lakes in the Rouyn-Noranda
396 region than Sudbury lakes reflects the greater soil cover and the higher watershed-to-lake area
397 ratios for the Rouyn-Noranda lakes. As described in Mueller et al.[20] the quantity and the quality
398 of DOM in the lakes sampled vary as a function of regional watershed characteristics as well as
399 DOM processing within the watershed and within the lake itself.

400 DOM optical quality (SUVA₂₅₄ and Fluorescence Index) was found to differ significantly between
401 sampling regions and among lakes (see Table S1 for more details) and is described elsewhere.^[20]
402 The authors also used PARAFAC to extract fluorescence components from EEM fluorescence
403 spectra measured for 19 lakes in the Rouyn-Noranda and Sudbury regions, including the eight lakes
404 retained for the present study. Of particular interest were the humic-like fluorescence components
405 of ubiquitous origin (C1) and allochthonous origin (C3). Component C1 corresponds to a reduced
406 quinone fluorophore associated with higher plant matter, whereas C3 corresponds to an oxidised
407 quinone fluorophore.[20] We used the proportion of each of these DOM fluorescence components
408 (relative to the sum of all four PARAFAC fluorescence components, i.e. C1/C_T or C3/C_T) as
409 measures of the quality of DOM sampled in our lakes, and in particular as proxies for the fraction
410 of DOM active in the complexation of trace elements (see *Optimisation of WHAM VI predictions*
411 below).

412

413 3.2 Lake-to-lake variations in metal concentrations and metal speciation

414 3.2.1 Total dissolved metal ion concentrations

415 The total dissolved metal concentrations (Cd, Cu, Ni and Zn) varied markedly among the eight
416 lakes sampled (Table 1). For lakes in the Rouyn-Noranda region, the concentration gradient ratios
417 (i.e. the ratio of the maximum metal concentration to the minimum metal concentration,
418 $[M]_{\max}/[M]_{\min}$) decreased in the sequence Cd (33) ~ Zn (31) > Cu (7) > Ni (2.5). In the Sudbury
419 region, the $[M]_{\max}/[M]_{\min}$ ratios decreased in the order Ni (63) > Cd (31) > Cu (16) > Zn (5). Lakes
420 in the Rouyn-Noranda region had significantly higher mean total concentrations of Cd ($P = 0.004$)
421 and Zn ($P = 0.030$) than the lakes in the Sudbury area, but lower concentrations of Ni ($P < 0.001$).
422 No significant difference in total dissolved Cu was found between the sampling regions.

423 The lake-to-lake variability in the measured total dissolved metal concentrations within a given
424 region is largely due to variations in atmospheric loading from local mining and smelting
425 activities.[21,38] Despite the marked reductions in smelter emissions that have been achieved over
426 the past 30 years, lakes in close proximity to and down-wind from the metal smelters (e.g. Lakes
427 Dufault and Dasserat in the Rouyn-Noranda region, Lakes Raft and Whitson in the Sudbury area)
428 still have considerably higher total dissolved metal concentrations than do those located upwind or
429 far downwind.

430

431 3.2.2 Free metal ion concentrations

432 The free Cd^{2+} , Cu^{2+} , Ni^{2+} and Zn^{2+} concentrations also varied among lakes (Table 1). The ratio of
433 $[M^{2+}]_{\max}/[M^{2+}]_{\min}$ for the Rouyn-Noranda lakes decreased as follows: Zn (121) > Cd (54) > Cu
434 (5.5). Free Ni^{2+} concentrations were only measurable in one replicate sample from one lake for the
435 Rouyn-Noranda region and, therefore, no concentration gradient ratio was calculated. The ratio of

436 $[M^{2+}]_{\max}/[M^{2+}]_{\min}$ for the Sudbury lakes decreased in the sequence Ni (136) > Cu (64) > Cd
437 (40) > Zn (22). As anticipated, lakes that had the highest concentrations of dissolved metal also
438 exhibited the highest free metal ion concentrations.

439 In the present context, where we are comparing measured and predicted metal speciation in
440 different lakes, it is important to consider the relative proportion of the free metal ion in each lake,
441 as this measure takes into account the differences in total dissolved metal concentrations among
442 lakes. As anticipated, copper was much more strongly complexed than the other three metals – the
443 mean percentage of free metal for copper was only 1.3 %, whereas the mean percentages for Cd^{2+}
444 (54 %), Ni^{2+} (29 %) and Zn^{2+} (50 %) were much higher (Table 1). For all four metals, and in both
445 the Rouyn-Noranda and Sudbury regions, the percentage of free metal tended to decrease as the pH
446 increased, pointing to complexation competition by protons as an important factor affecting metal
447 speciation. A general decline in the percentage of free metal was also observed for Cd, Cu and Ni
448 as the DOC concentration increased, presumably reflecting an increase in the ligand-to-metal ratio
449 (see Supplementary Materials for these pH and DOC relationships).

450

451 3.2.3 Comparison of the observed metal speciation with literature values

452 In comparing metal speciation in different freshwater environments, the reported free metal ion
453 concentrations are not particularly useful, since they will vary according to the metal loadings
454 affecting the water body. Comparisons of free metal ion proportions are a more meaningful way of
455 evaluating how metal speciation varies from one lake or river to another. In Table 2, we have
456 compiled free metal ion percentages that have been reported in the literature for Cd, Cu, Ni and Zn
457 (the recent review paper by Lofts and Tipping[14] was used as a starting point to identify papers
458 where free metal ion concentrations for natural waters had been reported). Table 2 cannot claim to
459 be comprehensive, as the representation of surface waters from Switzerland and Canada is

460 abnormally high, but the compilation does offer some insight into metal-to-metal differences in
461 metal speciation.

462 Copper is the metal for which the Table 2 database is the most extensive and it is also consistently
463 the most strongly complexed metal, followed by $Ni > Cd \geq Zn$. For a given metal, however, there
464 are distinct regional differences; the percentage of free metal is virtually always lower in the
465 alkaline Swiss lakes than in the more acidic and more dilute lakes on the Canadian Precambrian
466 Shield. On a relative scale, this difference is particularly notable for Cu^{2+} , where the percentage can
467 be less than 0.001 % in many of the Swiss lakes but consistently above 1 % in the Canadian surface
468 waters. Factors contributing to this trend include the aforementioned differences in pH and
469 alkalinity (carbonate and hydroxide complexation), but also the metal loadings. Total dissolved
470 metal concentrations in the Canadian lakes are much higher than in the Swiss surface waters (Table
471 2), presumably reflecting inputs from the mining and smelting activities that are prevalent on the
472 Canadian Shield. Higher total metal concentrations, and the resulting higher metal-to-DOM ratios,
473 would be expected to lead to lower degrees of complexation.

474

475 3.3 Lake-to-lake variations in predicted metal speciation and comparison with measured values

476 3.3.1 Initial WHAM simulations

477 *Free metal ion concentrations*

478 The WHAM VI chemical equilibrium model has been described in detail by Tipping.[39,40] In
479 comparisons with its predecessor (Model V), Model VI does a better job of simulating metal
480 binding to FA and HA, particularly at low metal concentrations, for low $[M]/DOC$ ratios and for
481 metals that tend to bind to the strong metal-binding sites (putative N and S functional groups) that
482 were expressly introduced into Model VI. In brief, FA and HA are assumed to be rigid spheres of
483 uniform size (radii of 0.8 and 1.7 nm) with two classes of ion-binding groups positioned randomly

484 on their surface: type A sites correspond to monoprotic carboxylic acid groups, whereas type B
485 sites are modelled as weaker (e.g. phenolic) acid groups. Metal ions and their first hydrolysis
486 products ($\text{MOH}^{(n-1)+}$) compete with each other, and with protons, for the type A and type B groups.
487 Bidentate metal-binding sites are generated by combining pairs of proton-binding sites (A–A or A–
488 B combinations). Only proton-binding sites that are sufficiently close to each other are able to form
489 bi-dentate sites (≤ 0.45 nm apart; estimated statistically). Similar rules are used to generate
490 tridentate metal-binding sites.

491 When using WHAM to predict the free metal concentrations in the studied lakes, we initially
492 assumed that the components of the DOC pool that participate in metal complexation reactions
493 could be represented entirely by FA (100 % FA, 0 % HA), and that this FA portion corresponds to
494 65 % of the DOC; these choices were based on the recommendation of Bryan et al.[32] for copper
495 complexation in natural waters. Good agreement was observed between predicted and measured
496 values of $[\text{Cd}^{2+}]$ (Fig. 1a), over a 100-fold concentration range (0.01 to 1.1 nM). Agreement for
497 $[\text{Zn}^{2+}]$ was also reasonable (Fig. 1d) over a similar 100-fold concentration range but for free Zn^{2+}
498 concentrations (2 to 280 nM) that were considerably higher than for Cd^{2+} . In the least contaminated
499 lakes (Geneva, Bethel and notably Opasatica), the predicted free Zn^{2+} concentrations were
500 somewhat higher than the measured values, suggesting that WHAM VI may underestimate Zn
501 complexation at low dissolved Zn-to-DOC molar ratios.

502 At high free Cu^{2+} concentrations (>0.4 nM) our ISE measurements and the free Cu^{2+} values
503 predicted by WHAM VI were in very good agreement (Fig. 1b), but below this threshold, in Lakes
504 Vaudray, Geneva, Opasatica and Bethel, our measured values were 4 to 22 times higher than the
505 predicted values. In their study of Cu speciation in three natural waters with similar low total
506 dissolved Cu concentrations (19–30 nM), Unsworth et al.[12] also reported measured values of
507 Cu^{2+} that exceeded WHAM VI predictions; free Cu^{2+} concentrations as estimated by two

508 independent techniques (Donnan membrane; Hollow-fibre permeable liquid membrane) were 1 to 3
509 orders of magnitude higher than the WHAM VI predictions.

510 The generic constants used in WHAM VI have been generated from laboratory titrations of isolated
511 HA and FA. Copper/DOC ratios in these titrations are very often higher than those encountered in
512 natural water samples, and thus one might have expected calculations with the generic constants to
513 underestimate copper complexation. However, we observe the opposite result (overestimation of
514 Cu complexation, as was the case for Unsworth et al.[12]). Clearly more experiments to determine
515 the conditional equilibrium constants for the complexation of Cu to DOM should be carried out
516 under conditions approaching those found in natural waters (e.g. low Cu/DOC ratios; natural rather
517 than isolated organic matter).

518 In our experiments, but not in those of Unsworth et al.,[12] there is a second possible explanation
519 of an analytical nature for the discrepancy between measured and modelled free Cu^{2+}
520 concentrations. In the four lakes with low total Cu concentrations, the total dissolved Cu
521 concentration may be below that which is necessary to properly measure the free Cu^{2+}
522 concentration using an ISE ($\sim 10^{-7}$ M) in a partially buffered system.[6,31,41] In such systems, if
523 the buffering capacity is insufficient, Cu contamination from the electrode during the
524 potentiometric measurement may artificially increase the apparent $[\text{Cu}^{2+}]$ value. However, if this
525 were the case, all four lakes might be expected to exhibit similar Cu^{2+} concentrations, which is not
526 the case. Note too that in all our lakes, the free Cu^{2+} concentration is buffered by the DOM
527 naturally present in the samples.

528 Finally, WHAM VI consistently over-predicted the free Ni^{2+} concentration in all the lakes where
529 the ambient concentration was above the method detection limit (Fig. 1c), i.e. the opposite result
530 from that with Cu, suggesting that the default equilibrium constants for the complexation of Ni to

531 natural DOM are too low for the environmental conditions found in our lakes. Van Laer et al.[42]
532 came to a similar conclusion in their study of the complexation of Ni by the DOM present in six
533 Belgian surface waters. At their background Ni concentrations (40 to 80 nM), the measured free-
534 ion fraction, as measured using the Donnan membrane technique, varied from 4 to 45 %; WHAM
535 VI overestimated the free-ion proportion more than two-fold, even if it was assumed that all the
536 DOM was present as FA and actively participating in Ni complexation.

537 *Free metal ion percentages*

538 A comparison was also made between measured and modelled ‘percentage free metal’ values to
539 take into account differences in the total metal concentrations specific to each lake (Fig. 2). A lake-
540 specific percentage free metal limit of quantification (LOQ) was calculated for each lake based on
541 three times the standard deviations of triplicate measurements of both the free metal and total metal
542 concentrations measured for each lake. Any percentage free metal value calculated for a lake that
543 yielded a value below its LOQ was discarded.

544 In addition to using the default assumption that 65 % of the DOM is present as FA and is active in
545 metal complexation, we also calculated a range of model percentage free metal predictions by
546 arbitrarily halving (33 %) and doubling (130 %) this value. It should be noted that increasing the
547 %aFA to a value higher than 100 % simply increases the number of FA binding sites involved to a
548 value that exceeds the complexation capacity (μmol binding sites per unit carbon) of the default
549 FA. In the presentation of the results of these simulations (Fig. 2), the calculated percentage free
550 metal is depicted as an envelope of values for each lake: the middle value corresponds to the
551 default value obtained with the assumption of 65 % aFA, whereas the upper and lower values
552 correspond to the percentage free metal calculated with 33 and 130 % as the proportions of DOM
553 active in metal complexation. The bracket of upper and lower percentage free metal values included
554 the measured percentage free metal values for both Cd and Zn in most lakes (7 of 8). The lakes for

555 which the measured value fell outside the predicted envelope were those with the lowest total and
556 free metal concentrations. Even with the assumption of only 33 % aFA, i.e. minimum
557 complexation, WHAM VI still predicted a lower percentage free Cu^{2+} than the measured value for
558 the lakes with the lowest total Cu concentrations (4 of 8). Similarly, even with the assumption of
559 130 % aFA, i.e. maximum complexation, the measured values of percentage free Ni^{2+} all fell below
560 the minimum predicted value.

561 3.3.2 Optimization of WHAM VI predictions

562 The chemical equilibrium simulations discussed to this point were run with WHAM VI in its
563 default mode, using the assumptions that were outlined earlier. Among the adjustable WHAM input
564 parameters are (i) the user-defined proportion of DOM active in metal complexation and (ii) the
565 relative proportions of FA and HA making up the DOM that is active in metal complexation. The
566 latter parameter varies as a function of the type of organic matter, e.g. whether it is derived from
567 soil water, peat water or lake water.[32] The proportion of DOM that is active in metal
568 complexation is usually unknown and must be estimated by the user of WHAM.

569 At this point, we retained the assumption that the DOM actively involved in metal complexation
570 could be represented as FA, but we removed any constraint on the ‘percentage active FA’ and ran
571 multiple simulations for each lake and each metal, individually. The optimal percentage of FA
572 actively involved in metal complexation (%aFA_{opt}) required to exactly predict the measured free
573 metal ion was calculated by repeatedly running WHAM Model VI, adjusting the FA concentration
574 until the predicted free metal ion concentration equalled the observed value. A computer code was
575 used to automatically run WHAM and optimise the values of %aFA. The %aFA_{opt} was calculated
576 in this manner for each metal and for each lake as:

577
$$\%aFA_{opt} = \frac{100 \cdot [FA]_{opt}}{2 \cdot [DOC]}$$

578 where $[FA]_{opt}$ is the optimised FA concentration (mg L^{-1}) and $[DOC]$ is the DOC concentration
579 (mg L^{-1}). In a given lake and for a particular metal, an optimal %aFA for each of the triplicate
580 samples was calculated by finding the FA concentration that best described all the measured free
581 ion concentrations for each sample simultaneously (Table 3). This was done by adjusting the model
582 FA concentration, as before, and minimising the error term.

583
$$\sum_1^i ([M]_{obs,i} - [M]_{calc,i})^2$$

584 where $[M]_{obs,i}$ and $[M]_{calc,i}$ are the observed and calculated free ion concentrations for metal i .

585 By increasing or decreasing the %aFA, the number of FA binding sites involved in metal
586 complexation is either increased or decreased, whereas the intrinsic metal binding affinities at these
587 binding sites remain constant. In other words, the ligand concentration is adjusted. As can be seen
588 in Table 3, the optimal %aFA values obtained in this manner varied from lake to lake, presumably
589 reflecting inter-lake differences in the quality of the DOM. For Cu^{2+} the $\%aFA_{opt}$ values all fell in
590 the 8 to 90 % range, but for Cd^{2+} , Zn^{2+} and particularly Ni^{2+} , the values were all much higher, often
591 exceeding the notional limit of 100 %: 61 to 250 % for Cd^{2+} ; 65 to 410 % for Zn^{2+} and 440 to
592 1900 % for Ni.

593 3.3.3 Possible reasons for the divergence between WHAM calculations and measured metal 594 speciation

595 Although increasing the %aFA, and thus augmenting the number of binding sites on the FA (as
596 described in the preceding section), is an acceptable adjustment, increasing this value up to three
597 orders of magnitude higher than the default value is clearly unrealistic. This result suggests that in

598 addition to adjusting the binding capacity of the FA, it will likely also be necessary to adjust metal
599 binding affinities (K_{MA})[39] for Cd, Zn and especially Ni. There may well be natural ligands
600 present in the lake water that have a higher affinity for Ni than does FA. Further experiments to
601 determine the binding constants of these metals with natural DOM, at environmentally realistic
602 metal concentrations, are clearly needed.

603 Discrepancies between WHAM predictions and measured free-metal ion concentrations could also
604 arise if ligands other than FA and HA were involved in metal complexation. For example, Baken et
605 al.[43] detected amino-polycarboxylate anions in some urban Belgian surface waters and suggested
606 that these ligands of anthropogenic origin were responsible for the greater than expected metal
607 complexation in these samples (as seen here for Ni). However, such an explanation seems unlikely
608 in the present case, given the remote location of our lakes and the absence of urban wastewater
609 inputs.

610

611 3.4 Relationships between %aFA_{opt} and DOM spectroscopic properties

612 Given the marked lake-to-lake differences in %aFA_{opt}, we explored possible relationships between
613 this value and the optical properties of the DOM in the original lake water samples. Initially we
614 looked for relationships with SUVA₂₅₄ values, given the promising results obtained by soil
615 scientists working on Cu and Cd speciation in soil solutions.[17,18] However, plots of %aFA_{opt}
616 against SUVA₂₅₄ or the fluorescence index failed to yield useful relationships (see Figs S5, S6).
617 Our interest then turned to the fluorescence spectrum, to determine if it could be used to estimate
618 the proportion of DOM that is active in metal complexation. We plotted the relative fluorescence of
619 each of the four PARAFAC fluorescence components against the %aFA needed to force the
620 WHAM-calculated free metal concentration to equal the measured free metal ion concentration

621 (%aFA_{opt}) (see Figs S7, S8). The most statistically significant relationships with %aFA_{opt} for the
622 four metals emerged for C1/C_T and C3/CT (Fig. 3). In seeking such relationships, we are using the
623 fluorescence EEM as a ‘proxy’ for the composition of the DOM. Although spectrofluorimetry does
624 not necessarily probe the functional groups or the exact sites involved in metal complexation by the
625 DOM, it is nevertheless a useful and easy way to track changes in the quality of DOM in natural
626 aquatic samples.[20,44]

627 For Cd, the strongest ($R^2 = 0.79$) and most statistically significant ($P < 0.0001$) relationship
628 ($y = 380e^{(-6.4x)}$) emerged between %FA_{opt} and the relative proportion of C3 (Fig. 3e). A statistically
629 significant ($P < 0.001$) positive linear relationship ($y = 1100x - 260$; $R^2 = 0.62$) was found between
630 Cu and C1/C_T (Fig. 3b). For Ni a strong ($R^2 = 0.96$) and significant ($P < 0.001$) linear relationship
631 ($y = -20\,000x + 6800$) was observed with C1/C_T (Fig. 3c). Finally, a weak ($R^2 = 0.33$), but
632 statistically significant ($P = 0.01$) decreasing exponential relationship ($y = 560e^{(-5.3x)}$) was found
633 between Zn and C3/C_T (Fig. 3h).

634 In terms of the ubiquitous humic-like DOM fluorescence component (C1), the positive linear
635 relationships between %aFA_{opt} and C1/C_T for Cd and Cu (Fig. 3a, b) suggest that with an increase
636 in the relative contribution of this component in the lakewater samples, the proportion of the DOM
637 that is involved in Cd and Cu complexation increases. In contrast, a negative linear relationship was
638 found for Ni (Fig. 3c), indicating a decrease in the binding capacity of the DOM for Ni. With
639 respect to the allochthonous-like DOM component (C3), the negative exponential relationships
640 between %aFA_{opt} and C3/C_T for Cd and Zn (Fig. 3e, h) suggest that with an increase in the
641 allochthonous signature of the DOM in the lakewater samples, the proportion of the DOM that is
642 involved in Cd and Zn complexation decreases. In contrast, a weak but positive relationship with
643 the C3/C_T ratio was found for Ni – as the allochthonous signature of the DOM in the lake samples
644 decreases, the binding activity of the DOM for Ni increases. This singular behaviour of Ni, as

645 demonstrated by the contrast between Fig. 3c and 3g and the comparable plots for the other metals,
646 suggests that the DOM binding sites active in Cd, Cu and Zn complexation are different from those
647 involved in Ni complexation. To our knowledge, this is the first time that such a distinction has
648 been resolved in natural water samples.

649 Admittedly the relationships shown in Fig. 3 will have to be explored over a wider range of DOM
650 samples, but they do support our original idea that the proportion of DOM active in metal
651 complexation could be estimated on the basis of its fluorescence signature and then introduced into
652 chemical equilibrium models such as WHAM. To test this idea, we incorporated each lake's
653 optimised %aFA value, as calculated from the relationship between %aFA optimised and $C1/C_T$ or
654 $C3/C_T$ for each metal and lake (i.e. the regressions shown in Fig. 3), into WHAM and re-ran the
655 chemical equilibrium simulations to predict the free M^{2+} concentrations; this was done for each
656 metal, since the optimised %aFA value is metal-specific. Fig. 4 depicts the comparison between
657 these 'improved' WHAM calculations of free M^{2+} and the measured free M^{2+} concentrations.
658 Compared with the results presented in Fig. 1, the greatest improvement using the $C1/C_T$ ratio was
659 found for nickel, for which the modelled free Ni^{2+} decreased to within at most a factor of 1.3
660 difference from the measured free Ni^{2+} concentrations. For Cd^{2+} and Zn^{2+} , metals for which the
661 original WHAM predictions were already good, no improvement was noted (indeed the agreement
662 was slightly worse than with the arbitrary value of 65 % active FA). Although the WHAM
663 calculation of free Ni^{2+} in our lakes was improved by estimating the proportion of FAs active in Ni
664 complexation from the spectroscopic quality of DOM, the estimated %aFA was still unrealistically
665 high, as mentioned earlier. Nevertheless, the idea of using the spectroscopic quality of DOM as a
666 proxy for the proportion of DOM that is active in metal complexation remains promising.

667

668 3.5 Conclusions

669 The main goal of this study was to explore the possibility of incorporating a measure of the quality
670 of DOM into chemical speciation models, such as WHAM, to improve their predictions of trace
671 metal speciation in natural aquatic systems. For Cd, Cu, Ni and Zn, we have shown that such an
672 approach is feasible, using simple fluorescence measurements on lakewater DOM to estimate the
673 proportion of DOM that is actively involved in metal complexation (as was done in generating Fig.
674 4). However, our results have also indicated that in addition to adjustments to the metal-binding
675 capacity of the DOM, it may also be necessary to adjust the affinity constants that are used by
676 WHAM to calculate the speciation of some metals, especially Ni; the possibility of using the
677 spectroscopic properties of DOM to predict its metal-binding affinity should be explored. The
678 possible involvement of ligands other than FA and HA in metal complexation should also be
679 considered, particularly for samples with low total trace metal concentrations. Improved trace metal
680 speciation predictions, especially at low, environmentally significant metal concentrations, will be
681 important not only for geochemical studies, but also for the prediction of chronic toxicity in natural
682 aquatic systems (e.g. as part of models such as the Biotic Ligand Model or BLM[45]).

683 4 Acknowledgements

684 The authors acknowledge the technical assistance provided by M.G. Bordeleau, S. Duval, P.
685 Fournier and J. Perreault in the laboratory and P. Girard and A. Cremazy in the field. Sampling was
686 greatly facilitated by the personnel of the Laurentian University Cooperative Freshwater Ecology
687 Unit, led by J. Gunn, in the Sudbury area and by L. Jourdain of the *Ministère des Ressources*
688 *naturelles et de la Faune du Québec* in the Rouyn-Noranda area. Access to limnological data for
689 the Sudbury area lakes was provided by Stephen Monet of the City of Greater Sudbury. Financial
690 support was provided by the Natural Sciences and Engineering Research Council of Canada and by
691 the Metals in the Human Environment Research Network (www.mithe-rn.org). P.G.C. Campbell

692 and C. Fortin are supported by the Canada Research Chair Program. S. Lofts acknowledges the
693 support of the Natural Environment Research Council .

694

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832 **Table 1** Measured pH and mean (\pm SD, n=3) dissolved organic carbon (DOC), total dissolved concentrations of major cations and
833 trace metals, as well as measured free metal concentrations and % free metal values for lakes sampled from Rouyn-Noranda
834 (QC) and Sudbury (ON) in 2008. * indicates only one sample above limit of quantification (LOQ; calculated as three times
835 the standard deviation of triplicate analyses of each lake). NA indicates no samples above the LOQ.

836

		Rouyn-Noranda region lakes				Sudbury region lakes			
		Dasserat	Dufault	Opasatica	Vaudray	Bethel (be)	Geneva	Raft (ra)	Whitson
Measured	pH	6.92	7.59	7.69	6.81	8.08	6.9	6.4	6.9
	DOC	4.85 \pm 0.03	5.2 \pm 0.1	6.3 \pm 0.1	8.10 \pm	4.89 \pm 0.03	2.9 \pm 0.1	2.03 \pm	3.77 \pm
	Ca (μ M)	207 \pm 1	404 \pm 3	218 \pm 2	83 \pm 1	464 \pm 3	68 \pm 3	81 \pm 2	143 \pm 1
	Mg (μ M)	93.7 \pm 0.3	118 \pm 1	114 \pm 1	37.1 \pm 0.6	274 \pm 3	26 \pm 1	46 \pm 1	78.4 \pm 0.4
	Cd (nM)	0.8 \pm 0.2	2.0 \pm 0.2	0.06 \pm 0.01	0.608 \pm	0.018 \pm 0.009	0.021 \pm	0.56 \pm	0.51 \pm
	Cu (nM)	112 \pm 3	246 \pm 3	35.1 \pm 0.2	43 \pm 1	24.9 \pm 0.1	10.5 \pm 0.6	86.0 \pm 0.9	165 \pm 3
	Ni (nM)	8 \pm 2	5.1 \pm 0.3	12.5 \pm 0.4	12 \pm 1	226 \pm 2	18 \pm 2	775 \pm 41	1136 \pm 54
	Zn (nM)	464 \pm 51	342 \pm 60	15 \pm 4	52 \pm 5	12 \pm 3	21 \pm 8	62 \pm 22	52 \pm 2
	Cd ²⁺ (nM)	0.5 \pm 0.2	1.14 \pm	0.021 \pm 0.008	0.26 \pm	NA	0.01*	0.402 \pm	0.31 \pm
	Cu ²⁺ (nM)	0.37 \pm 0.04	0.44 \pm	0.08 \pm 0.01	0.20 \pm	0.05 \pm 0.01	0.48 \pm	3.2 \pm 0.5	1.0 \pm 0.1
	Ni ²⁺ (nM)	0.6*	NA	NA	NA	7.3 \pm 0.9	4.0 \pm 0.7	545 \pm 9	489 \pm 72
	Zn ²⁺ (nM)	279 \pm 32	188 \pm 23	2.3 \pm 0.9	31 \pm 18	2.3 \pm 0.4	10.7 \pm 0.9	51 \pm 16	32 \pm 4
	% Cd ²⁺	63 \pm 36	58 \pm 5	37 \pm 17	42 \pm 4	NA	70	71 \pm 2	61 \pm 10
	% Cu ²⁺	0.32 \pm 0.04	0.17 \pm	0.21 \pm 0.04	0.47 \pm 0.2	0.20 \pm 0.05	4.6 \pm 0.9	3.7 \pm 0.6	0.57 \pm
	% Ni ²⁺	8	NA	NA	NA	3.2 \pm 0.4	22 \pm 5	70 \pm 4	43 \pm 7
	% Zn ²⁺	60 \pm 10	55 \pm 12	15 \pm 7	60 \pm 36	20 \pm 6	51 \pm 20	82 \pm 39	62 \pm 8

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838

839 **Table 2** Mean (\pm SD, n=3) calculated %aFA_{opt} for lakes sampled from Rouyn-

840 Noranda (QC) and Sudbury (ON) in 2008.

841

	%aFA _{opt}			
Lake (code)	Cd	Cu	Ni	Zn
Dasserat (DS)	93 \pm 41	80 \pm 12	1400 ^a	95 \pm 33
Dufault (DU)	61 \pm 3	56 \pm 8	NA ^b	65 \pm 21
Opasatica (OP)	75 \pm 5	16 \pm 3	NA	310 \pm 33
Vaudray (VA)	92 \pm 14	32 \pm 7	NA	95 \pm 90
Bethel (be)	NA	9 \pm 2	1900 \pm 130	190 \pm 36
Geneva (ge)	140 ^a	8 \pm 1	890 \pm 170	290 \pm 120
Raft (ra)	250 \pm 23	86 \pm 8	440 \pm 74	410 \pm 4
Whitson (wh)	140 \pm 31	90 \pm 8	580 \pm 75	180 \pm 51

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843 ^a indicates only one sample above limit of quantification (LOQ; calculated as three

844 times the standard deviation of triplicate analyses of each lake).

845 ^b NA indicates values not available.

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847

848 Table 3: Free metal ion percentages in various natural water samples.

849

Metal	Sample description	N	Total	Percent free		Reference
			dissolved [M] (nM)	metal ion Mean	SD	
Cd	Canadian Shield lakes	7	0.06-2.0	54	13	(this study)
	Canadian Shield lakes	13	0.07-9.3	72	11	Fortin et al. ^[3]
	Canadian Shield lakes	4	0.9-8.0	20	16	Guthrie et al. ^[13]
	Swiss lake, UK river	4	0.07-0.20	3.7	3.5	Sigg et al. ^[8]
	Swiss lake, UK river	5	0.06-0.87	4.9	3.5	Kalis et al. ^[46]
Ni	Canadian Shield lakes	5	8-1140	29	28	(this study)
	Canadian Shield lakes	6	490-1760	11	6	Gopalapillai et al. ^[47]
	Swiss lake, UK river	4	6-20	6.6	1.4	Sigg et al. ^[8]
	Swiss lake, UK river	7	6-59	3.0	1.4	Kalis et al. ^[46]

	Belgian surface waters	6	44-75	22	16	Van Laer et al. ^[42]
Cu	Canadian Shield lakes	8	11-246	1.3	1.8	(this study)
	Canadian Shield lakes	2	42-150	1-53 ^a	-	Guthrie et al. ^[13]
	Swiss lake, UK river	4	19-30	0.25	0.12	Sigg et al. ^[8]
	Swiss lake, UK river	7	13-76	0.21	0.22	Kalis et al. ^[46]
	Swiss lakes (neutral)	25	4-17	<0.001 ^b	-	Xue and Sigg ^[48]
	Swiss lakes (acidic)	5	3-71	4	5	Xue and Sigg ^[48]
	Swiss rivers	20	10-68	<0.001 ^b	-	Xue and Sigg ^[48]
	Swiss lake	2	65-71	0.3	0.2	Xue and Sunda ^[5]
Zn	Canadian Shield lakes	8	12-464	50	23	(this study)
	Canadian Shield lakes	10	10-2010	86	15	Fortin et al. ^[3]
	Canadian Shield lakes	4	46-1300	25	4	Guthrie et al. ^[13]
	Swiss lake, UK	4	28-282	10	6	Kalis et al. ^[46]

river

Swiss lakes

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11-25

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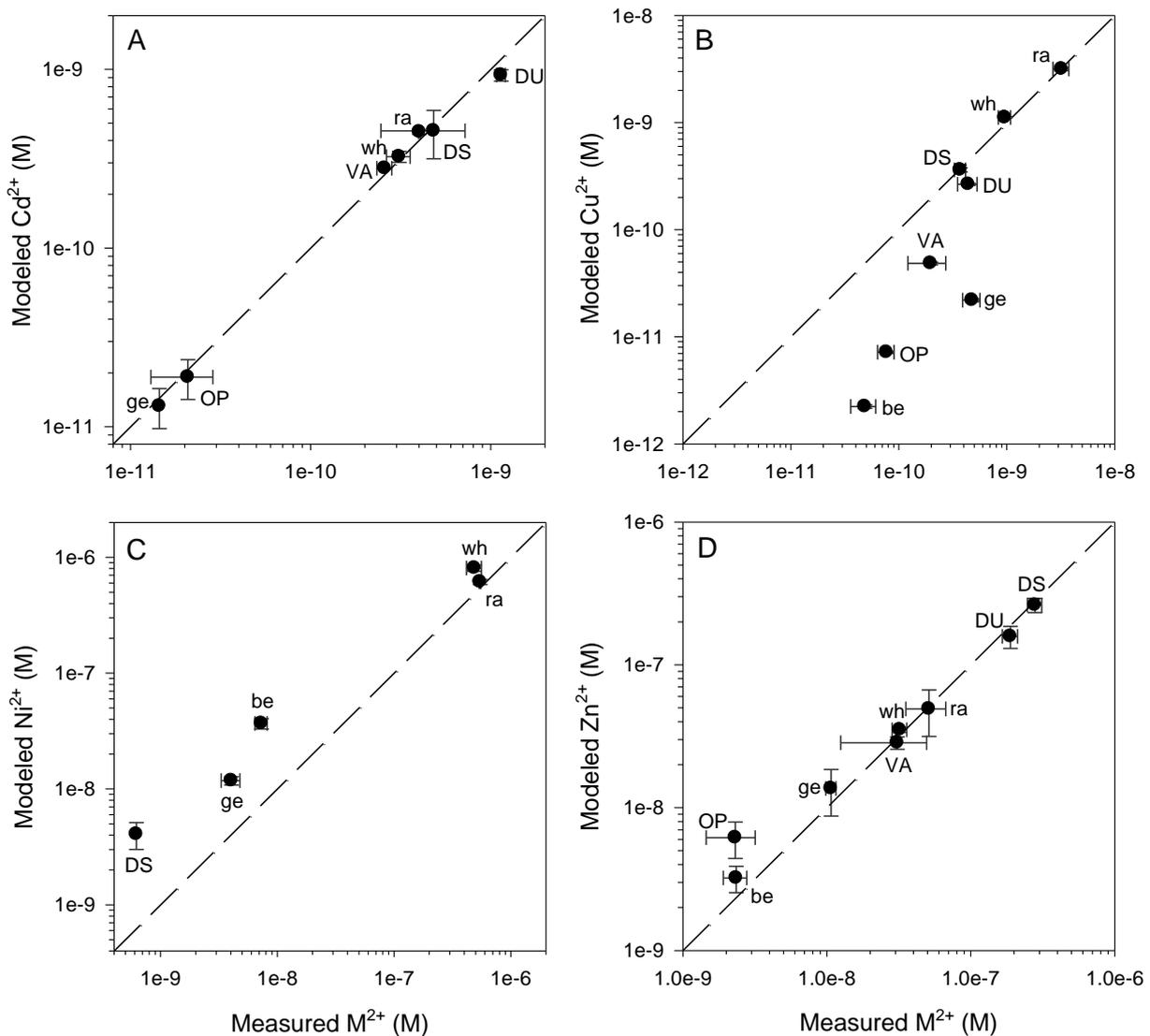
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Xue and Sigg^[49]

850 ^a Concentration range (N=2) rather than the mean value. ^b These very low values were
851 obtained by competitive ligand exchange and cathodic stripping voltammetry. Lofts
852 and Tipping (2011) have recently suggested that this technique may underestimate
853 free Cu²⁺ concentrations.

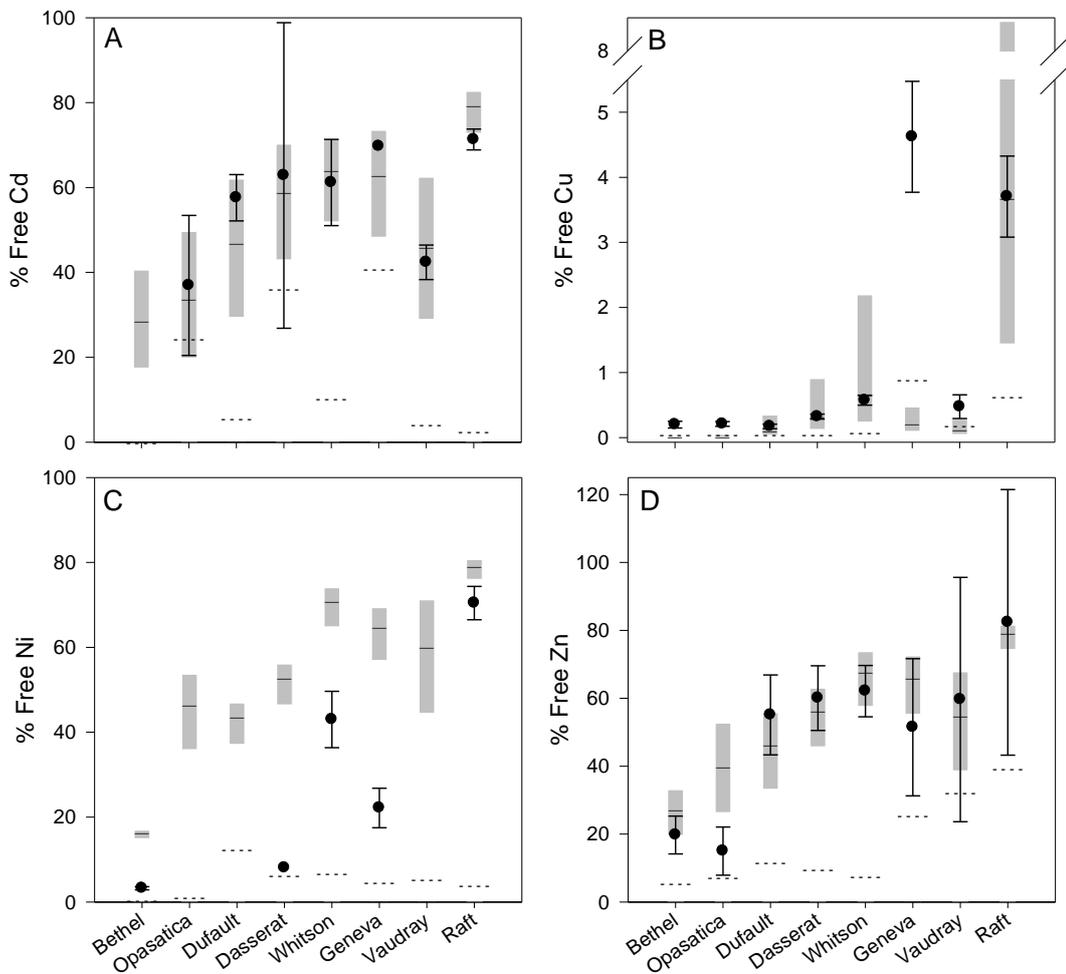
854 **Figure 1** Comparison of mean (\pm SD, n=3) concentrations of the free metal ion
855 [M^{Z+}] calculated with WHAM (with the 65% aFA assumption) and those
856 measured using IET (Cd, Ni and Zn) and ISE (Cu). The dashed line
857 represents the 1:1 line.

858



859 **Figure 2** Comparison between measured percent free Cd^{2+} (A), Cu^{2+} (B), Ni^{2+} (C)

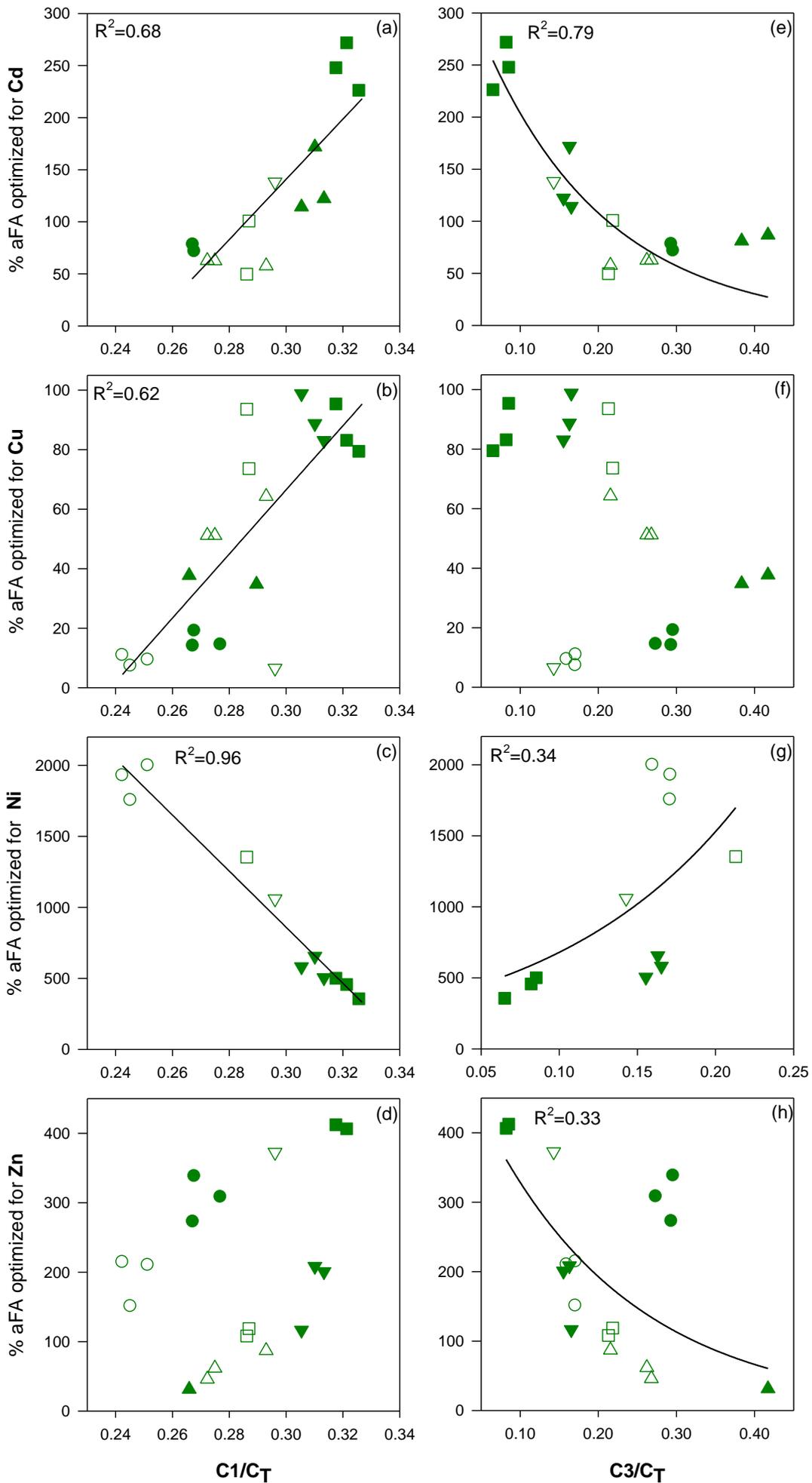
861 sampled from the regions of Rouyn-Noranda, QC and Sudbury, ON.
 862 Measured points below the method limit of quantification (LOQ) are not
 863 shown. WHAM predictions were calculated for a range of percent active
 864 fulvic acid (%aFA) input values. Lakes on the x-axis are arranged from
 865 high pH on the left to low pH on the right. Solid circles are measured %
 866 free M^{Z+} values with error bars represent standard deviation of triplicate
 867 measures. Grey vertical bars represent the range of WHAM predicted %
 868 free M^{Z+} values using 33% to 130% aFA. Solid horizontal lines are
 869 WHAM predicted % free M^{Z+} values using 65% aFA. Dashed horizontal



870 lines represent the LOQ.

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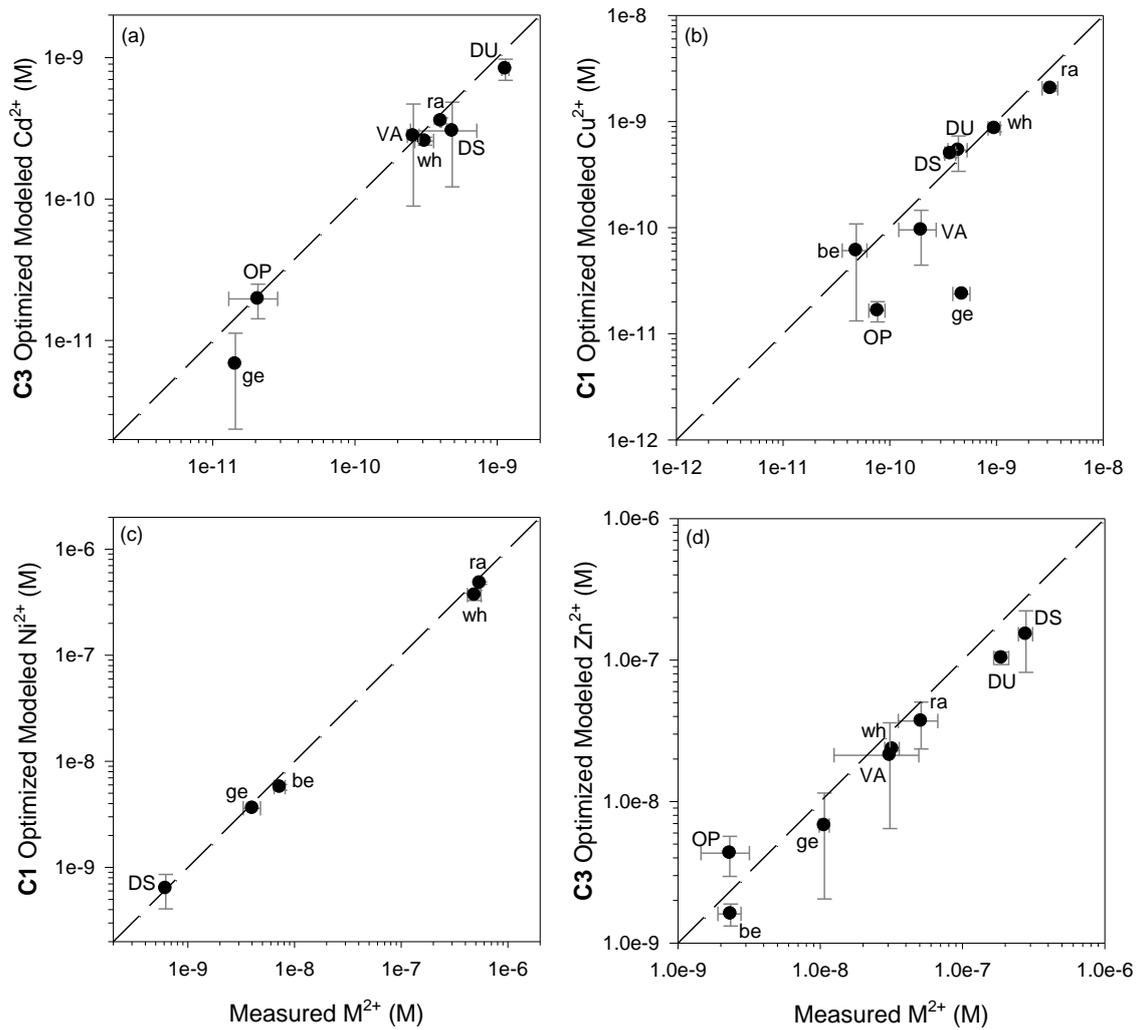
872 **Figure 3** Relationship between the WHAM calculated percent fulvic acid active in
873 complexation (%aFA_{optimized}) for Cd, Cu, Ni and Zn and the relative
874 contribution of PARAFAC fluorescence components 1 (panels a – d) and
875 3 (panels e – h) to the overall fluorescence spectrum of lake DOM. Points
876 of the same shape and fill represent replicate samples. Solid lines represent
877 regression equations of statistical significance at the 0.05 alpha level.



879

880 **Figure 4** Comparison of mean (\pm SD, n=3) concentrations of the free metal ion
881 $[M^{2+}]$ calculated with WHAM (with the %aFA estimated from Figure 3)
882 and those measured (\pm SD, n=3) using the IET (Cd, Ni and Zn) or ISE
883 (Cu) methods. The dashed line represents the 1:1 line.

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