Localised subduction of anthropogenic carbon dioxide in the Southern Hemisphere oceans

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The oceans slow the rate of climate change by absorbing about 25% of the annual anthropogenic CO_2 emissions. The Southern Ocean makes a substantial contribution to this oceanic sink: more than 40% of the global oceanic inventory of anthropogenic CO_2 has entered the ocean south of 40°S. The rate-limiting step in ocean sequestration of anthropogenic CO_2 is the transfer of carbon across the base of the surface mixed layer into the ocean interior, a process known as subduction. However, the physical mechanisms responsible for the subduction of anthropogenic CO_2 are poorly quantified. Here we use observations to estimate a net subduction of 0.42 ± 0.2 Pg C y^{-1} between 35°S and the marginal sea-ice zone, and show that subduction occurs in specific locations when wind-driven Ekman transport, eddy fluxes and variations in mixed layer depth along mean streamlines subduct anthropogenic CO_2. Both the magnitude and location of the estimated subduction have zonal asymmetries that are consistent with estimates of the interior distribution of anthropogenic CO_2. Our results highlight the dependence of ocean carbon sequestration on physical properties sensitive to climate variability and change, including mixed layer depth, ocean currents, wind and eddies.

Atmospheric CO_2 continues to rise at unprecedented rates in response to human activities[1]. At present, the oceans take up more than 25% of anthropogenic CO_2 (C_{ant}) emissions, thereby slowing the growth of atmospheric CO_2 and the rate of climate change[2]. The rate-limiting step for ocean sequestration of C_{ant} is the transfer from the surface ocean to the ocean interior[3,4,5]. Knowledge of the mechanisms responsible for the transfer of C_{ant} between the well-ventilated surface mixed layer and the ocean interior, and their sensitivity to change, is key to understanding past, present and future carbon uptake by the ocean. However, both the pathways and rate by which C_{ant} is sequestered in the ocean interior remain uncertain. No direct observations of the pathways and rates of anthropogenic carbon sequestration have been made, and consequently our present understanding is based on the interior distribution of C_{ant}[6] or inferred from model simulations[7].

The Southern Ocean is a particularly important region for the uptake and storage of C_{ant}[8,9]. The latitude band between 30°S and 50°S stores more C_{ant} than any other latitude band in the ocean[6]. The efficient uptake and storage of C_{ant} by the Southern Ocean is a result of the vigorous overturning circulation at high southern latitudes, in which water masses are formed and subducted into the ocean interior[10]. As water masses sink from the sea surface, they carry C_{ant} into the ocean interior. Subantarctic Mode Water (SAMW) and Antarctic Intermediate water (AAIW) formed on the northern flank of the Antarctic Circumpolar Current make the largest contribution to the uptake and storage of C_{ant} by the Southern Ocean[11,6,12].

Previous studies of the Southern Ocean C_{ant} budget have focussed on air-sea fluxes and vertical transfer by Ekman pumping, with other physical processes transporting C_{ant} into (and out of) the ocean interior either simplified or neglected[13,14,15,16]. Three physical processes contribute to the transfer of fluid between the surface mixed layer and the interior ocean: wind-driven Ekman pumping, eddy fluxes and lateral induction by the mean flow. Until recently, the contribution of eddies and the mean flow to subduction could not be estimated from the available observations. The broad-scale, year-round sampling of the Southern Ocean by Argo floats means that this is now possible[17] (see Methods). Here we show that C_{ant} leaves the ventilated surface layer of the Southern Ocean through localised maxima of subduction, and

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identify the physical mechanisms responsible.

Mechanism and distribution of anthropogenic carbon subduction

Our study considers the region between 35°S and the northern limit of the Antarctic winter sea ice ($\approx$ 65°S), for which there is good Argo coverage. We use recent observationally-based estimates of subduction[17] and C_{ant}[18] in and at the base of the winter mixed layer (Figure 1a) to calculate the total subduction of C_{ant} from the mixed layer into the ocean interior in the 1990s. We quantify the individual contributions of Ekman divergence, eddy fluxes and the mean flow to subduction (see Methods).

Ekman transport leads to more or less zonally-uniform subduction of C_{ant} north of the ACC and re-ventilation (transport of C_{ant} from below the mixed layer into the mixed layer) south of the ACC (Figure 1b). The eddy contribution mostly compensates the Ekman transport, with subduction in and south of the ACC and re-ventilation to the north (Figure 1d). The mean flow subducts fluid into the interior where the mixed layer shoals along the direction of the surface flow (streamlines) and re-ventilates fluid where the mixed layer deepens in the direction of the flow, a process known as lateral induction (see Supplementary Material). The mean flow largely determines the regional pattern of subduction and re-ventilation which varies strongly from region to region (Figure 1c). The total transport shows regions of both subduction and re-ventilation in all three basins (Figure 1e).

Our calculation gives a total of 0.42 $\pm$ 0.2 PgC/y of C_{ant} subducted into the Southern Ocean interior. Zonally-averaged, most subduction of C_{ant} occurs to the north of the ACC (0.24 $\pm$ 0.12 Pg C/y , Table 1), reflecting the strong subduction by Ekman transport, partially offset by the eddy fluxes and mean flow. South of the ACC, the re-ventilation of C_{ant} by the Ekman transport is counter-balanced by subduction induced by eddy transport.

Importantly, the zonal averages hide the fact that C_{ant} is both subducted and re-ventilated in the Southern Ocean. The largest re-ventilation occurs in the Indian Ocean sector, in a band extending eastward from South Africa to the middle of the basin. Maxima in re-ventilation also occur in the Pacific, east of New Zealand, and in

the Atlantic, east of South America. Each of these maxima correspond to locations where the outflow from subtropical western boundary currents merges with the ACC and the mixed layer deepens along the direction of the mean flow (streamlines in Figure 1; see Supplementary Material).

In the re-ventilation regions, previously subducted C_{ant} is returned to the surface mixed layer. The return of the C_{ant} to the surface mixed layer reduces the efficiency of C_{ant} uptake by the Southern Ocean. Importantly, re-ventilation alters the pathways by which C_{ant} is transported from the surface mixed layer into the ocean. The connection between subduction and re-ventilation regions can be either local or remote. If the re-ventilation region is located directly downstream of the subduction region, and in the same density range, there is little net C_{ant} transport into the ocean. For example, in the western Indian sector a strong re-ventilation region (40°E - 90°E) lies downstream of a strong subduction region (0 °E - 60 °E), resulting in weak net subduction (Figure 1e). The Indian section has regions of strong subduction and re-ventilation and overall has net subduction of C_{ant} (0.10 Pg C/y, Table 1). The net subduction of C_{ant} is slightly stronger in the Pacific (0.18 Pg C/y) and Atlantic (0.13 Pg C/y) sectors of the Southern Ocean (Table 1).

The error estimates of the C_{ant} transports are mainly due to the uncertainty in the C_{ant} concentrations. The C_{ant} concentrations used in this study are empirically derived estimates from measured in situ water properties[19] and then mapped to a uniform grid[18]. The C_{ant} concentration estimate has substantial uncertainties[20] because it requires extracting the small anthropogenic carbon signal from the much larger measured total dissolved inorganic carbon concentration. This substantial uncertainty is particularly large for the Southern Ocean[9], where estimates of C_{ant} diverge even when applied to the same data[21,22,23]. The C_{ant} concentrations used here are likely uncertain by up to ± 40% reflecting uncertainties in estimating C_{ant}[20] and uncertainties due to mapping the concentrations to a uniform grid[18] (see Supplementary Material).

While the uncertainty in C_{ant} concentration influences the magnitude of the net transport of C_{ant} into the ocean interior, it has no impact on the spatial distribution of the subduction and re-ventilation areas as these are set by the physical transport.

Further, the regional distribution of subduction and re-ventilation sets the pathways by which C_{ant} is transferred from the mixed layer into the ocean interior. We next show that the inferred pathways are consistent with the regional pattern of C_{ant} in the ocean interior.

Surface to interior pathways

For effective sequestration, C_{ant} subducted across the base of the mixed layer must be transported into the ocean interior, away from re-ventilation regions where C_{ant} can be returned to the mixed layer. The transport into the interior is accomplished by advection and mixing, primarily along isopycnal surfaces. While isopycnal mixing has a significant impact on transport in the ocean interior[24], its contribution to subduction is negligible because it only acts at the base of the ventilated surface layer (see Methods). The inventory of C_{ant} in the thermocline should therefore reflect the regional distribution of subduction and the isopyncal transport of C_{ant} in the ocean interior.

The distribution of C_{ant} in the ocean interior is consistent with this hypothesis (Figure 2). Maxima in the observed inventory of C_{ant} occur in regions of strong net subduction and spread equatorward along streamlines into the ocean interior. For example, significant amounts of C_{ant} are found on the $\sigma_\theta = 26.8$ surface in the Indian Ocean, spreading equatorward along mean streamlines from the strong subduction region in the southeastern part of the basin, which is consistent with the distribution of potential vorticity[17]. Similarly, significant inventories of C_{ant} are found in the Pacific on the $\sigma_\theta = 27.0$ surface and in the Atlantic on the $\sigma_\theta = 27.2$ surface. C_{ant} is injected on the $\sigma_\theta = 27.1$ surface near the Drake Passage and spreads into both the Pacific and Atlantic basins. The strong regional variations in the oceanic inventory of C_{ant} reflect the distribution of subduction "hot spots" and the circulation patterns linking these subduction regions with the ocean interior.

The inventory of C_{ant} in density layers at 30°S reveals the dominant pathways by which C_{ant} is exported from the Southern Ocean to the subtropical gyres (Figure 3). In the lightest density classes ($\sigma_\theta = 26.7$–26.9), most of the C_{ant} inventory is located in the Indian Ocean, particularly east of 60°E, consistent with our estimated

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transport through the base of the surface layer (Figure 2a,b). Between $\sigma_\theta = 26.9$ and 27.1, the Pacific makes the dominant contribution to the inventory of C_{ant}, with the largest values in the central basin (Figure 2). The Atlantic sequesters the greatest amount of C_{ant} in the density range of the Antarctic Intermediate Water ($\sigma_\theta = 27.0$–27.4), although C_{ant} is present at lighter densities as well. The highest values are found on the western side of the basin, consistent with subduction in Drake Passage.

Uptake of of anthropogenic carbon

Our estimate of the net subduction of C_{ant} into the ocean interior (0.42 Pg C/yr in 1995 between 35–65°S) is smaller than estimates of the Southern Ocean uptake of C_{ant} across the air-sea interface ($\approx$0.8 pg C/y)[9,8], underscoring the fact that transfer across the base of the mixed layer is the rate-limiting step in the sequestration of C_{ant} in the ocean interior. We compute the total sequestration of C_{ant} by net subduction since 1800, by assuming the physical volume transport has not changed over the last two centuries, while accounting for the temporal evolution of C_{ant} in the surface mixed layer (see Supplementary Material). We estimate that 23 ± 10 Pg C of C_{ant} was sequestered between 1800 and 1995. From the GLODAP product[18], we calculate a total inventory of 25 ± 5 Pg C for the Southern Hemisphere between density layers 26.0 and 27.8 kg m^{-3}. Our estimate of the net subduction of C_{ant} in the Southern Ocean is therefore consistent with the estimated inventory of C_{ant} in the ocean interior.

The subduction estimate can be combined with other information to compute an overall budget for C_{ant} for comparison with previous studies of the oceanic uptake of C_{ant} south of 40°S. Our net subduction of C_{ant} between 65–40°S was 0.23 ± 0.15 Pg C/yr in 1995. We estimate the accumulation rate of C_{ant} in the mixed layer to be 0.16 ± 0.16 Pg C/yr in 1995, based on measurements of the depth of the mixed layer and the observed rate of increase of dissolved inorganic carbon in the surface layer (see Supplementary Material). Ito and colleagues[7] used an ocean carbon model to estimate a northward transport across 40°S within the mixed layer of 0.16 Pg C/yr. The sum of these contributions implies a net air-sea uptake of C_{ant} of 0.55 ± 0.31

Pg C/yr between 40°S and the the sea ice zone (65°S). This value is consistent with recent independent estimates of the air-sea exchange of C_{ant} south of 40°S based on a Green's function approach (0.8 ± 0.2 Pg C/yr)[9,8], of which 0.1 to 0.2 Pg C/yr likely occurs in the sea ice zone, which is not included in our calculation.

C_{ant} enters the ocean in specific locations and spreads equatorward along well defined transport pathways, rather than uniformly around the circumpolar belt. Physical transport processes in the Southern Ocean also re-ventilate C_{ant} contained in the ocean interior, hence estimates of net sequestration must therefore account for both the subduction and re-ventilation of C_{ant} . Two often neglected physical mechanisms – eddy transport and lateral induction by the mean flow – make a significant contribution to the magnitude and distribution of the subduction of C_{ant} and must be considered when investigating the upper ocean carbon budget. This is shown in a recent modelling study, where lateral induction was an important contributor to the subduction of total carbon[25]. Our analysis demonstrates that the subduction of C_{ant} in the Southern Ocean depends on physical variables that are sensitive to climate variability and change, including wind stress, eddy fluxes, surface currents and mixed layer depth. Present climate models vary widely in their ability to represent these properties[26,27] and therefore the present and future subduction of C_{ant} . Our results provide an observationally-based estimate of the spatial distribution and magnitude of C_{ant} transport from the surface to the ocean interior which can be used to assess models.

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Author contributions

J.B.S. directed the analysis of the several datasets used in this study and shared responsibility for writing the manuscript. R.J.M, S.R.R. and A.L. participated in the data analysis and shared responsibility for writing the manuscript. All authors contributed to the final version of the manuscript.

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Southern Ocean	Total (PgC/yr)	Ekman (PgC/yr)	Eddy-induced (PgC/yr)	Mean-flow (PgC/yr)
35–65°S	0.42 ± 0.2	0.33 ± 0.06	0.11 ± 0.07	-0.02 ± 0.08
North ACC	0.24 ± 0.12	0.49 ± 0.03	-0.24 ± 0.04	-0.0064 ± 0.05
Within ACC	0.1 ± 0.05	-0.01 ± 0.0074	0.15 ± 0.02	-0.04 ± 0.02
South ACC	0.08 ± 0.04	-0.16 ± 0.02	0.21 ± 0.0099	0.02 ± 0.0082
40–65°S	0.23 ± 0.15			

Basin breakdown:

Atlantic				
35–65°S	0.13 ± 0.04	0.08 ± 0.01	0.1 ± 0.01	-0.05 ± 0.02
North ACC	0.09 ± 0.02	0.12 ± 0.0056	0.03 ± 0.0061	-0.06 ± 0.0096
Within ACC	0.04 ± 0.01	0.0062 ± 0.0013	0.03 ± 0.0045	0.0057 ± 0.0044
South ACC	0.003 ± 0.01	-0.04 ± 0.0058	0.04 ± 0.0028	0.001 ± 0.0029
40–65°S	0.08 ± 0.03			

Indian				
35–65°S	0.1 ± 0.12	0.13 ± 0.03	0.05 ± 0.04	-0.08 ± 0.04
North ACC	0.05 ± 0.07	0.23 ± 0.01	-0.15 ± 0.03	-0.04 ± 0.03
Within ACC	0.05 ± 0.02	0.0052 ± 0.0039	0.09 ± 0.0074	-0.05 ± 0.01
South ACC	0.01 ± 0.02	-0.1 ± 0.01	0.1 ± 0.0049	0.01 ± 0.0034
40–65°S	0.02 ± 0.08			

Pacific				
35–65°S	0.18 ± 0.04	0.11 ± 0.0098	-0.03 ± 0.01	0.1 ± 0.02
North ACC	0.11 ± 0.02	0.15 ± 0.0064	-0.12 ± 0.0092	0.08 ± 0.0091
Within ACC	0.01 ± 0.01	-0.02 ± 0.0022	0.03 ± 0.0033	0.0075 ± 0.0071
South ACC	0.06 ± 0.0053	-0.01 ± 0.0012	0.06 ± 0.0022	0.01 ± 0.0019
40–65°S	0.13 ± 0.04			

Table 1: **Calculated anthropogenic carbon flux through the base of the ventilated surface layer in PgC/y for the year 1995.** The physical transport of C_{ant} is given for different zones and sectors of the Southern Ocean (positive value - out of the ventilated surface layer into the ocean interior; negative value - into the ventilated surface layer). The error bars are based on one standard deviation in the C_{ant} concentrations (See Supplementary Material). South ACC is the region between 65°S and the Polar Front (i.e. south of the southern stream line in Figure 1). The AAC zone is the region between the Polar Front and the northern branch of the Subantarctic Front (i.e. between the southern and northern extremes of the stream lines in Figure 1). North ACC is the region between the northern branch of the Subantarctic Front and 35°S (i.e. north of the northern stream line in Figure 1). For ease of reading, numbers are rounded to the nearest second decimal. However, numbers smaller than 0.01 have additional significant figures.
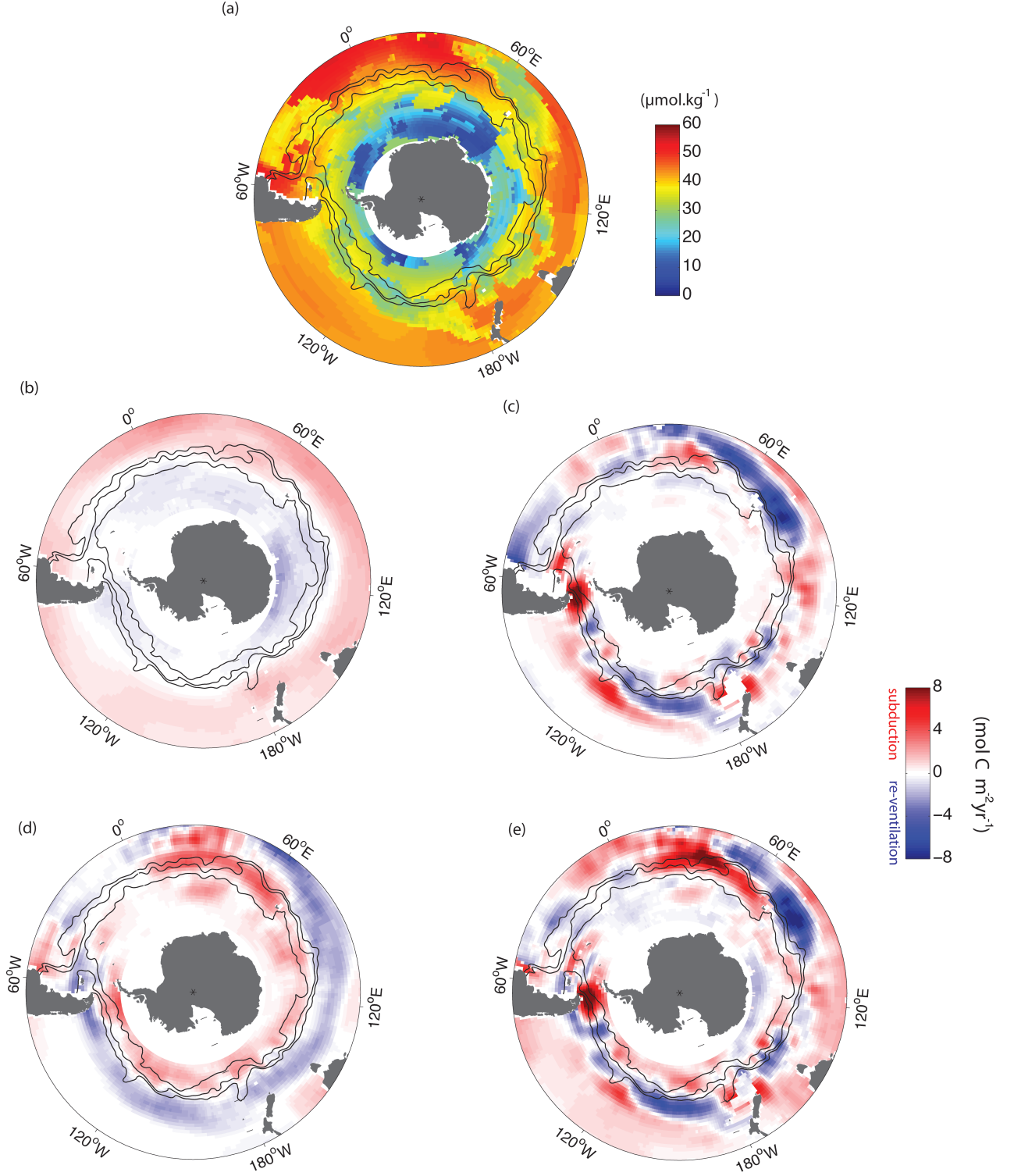
Figure 1: **Anthropogenic CO$_2$ subduction into the ocean interior.** (a) Annual mean anthropogenic carbon at the base of the winter mixed layer from GLODAP [18]. The C$_{ant}$ transport out of (+) and into (-) the ventilated surface layer for (b) Ekman, (c) mean-flow, (d) eddy-induced, and (e) total transports. The thin black lines show the mean position of the three main ACC fronts [28] where the most northern and southern lines denotes the boundary of the ACC region referred to in Table 1.
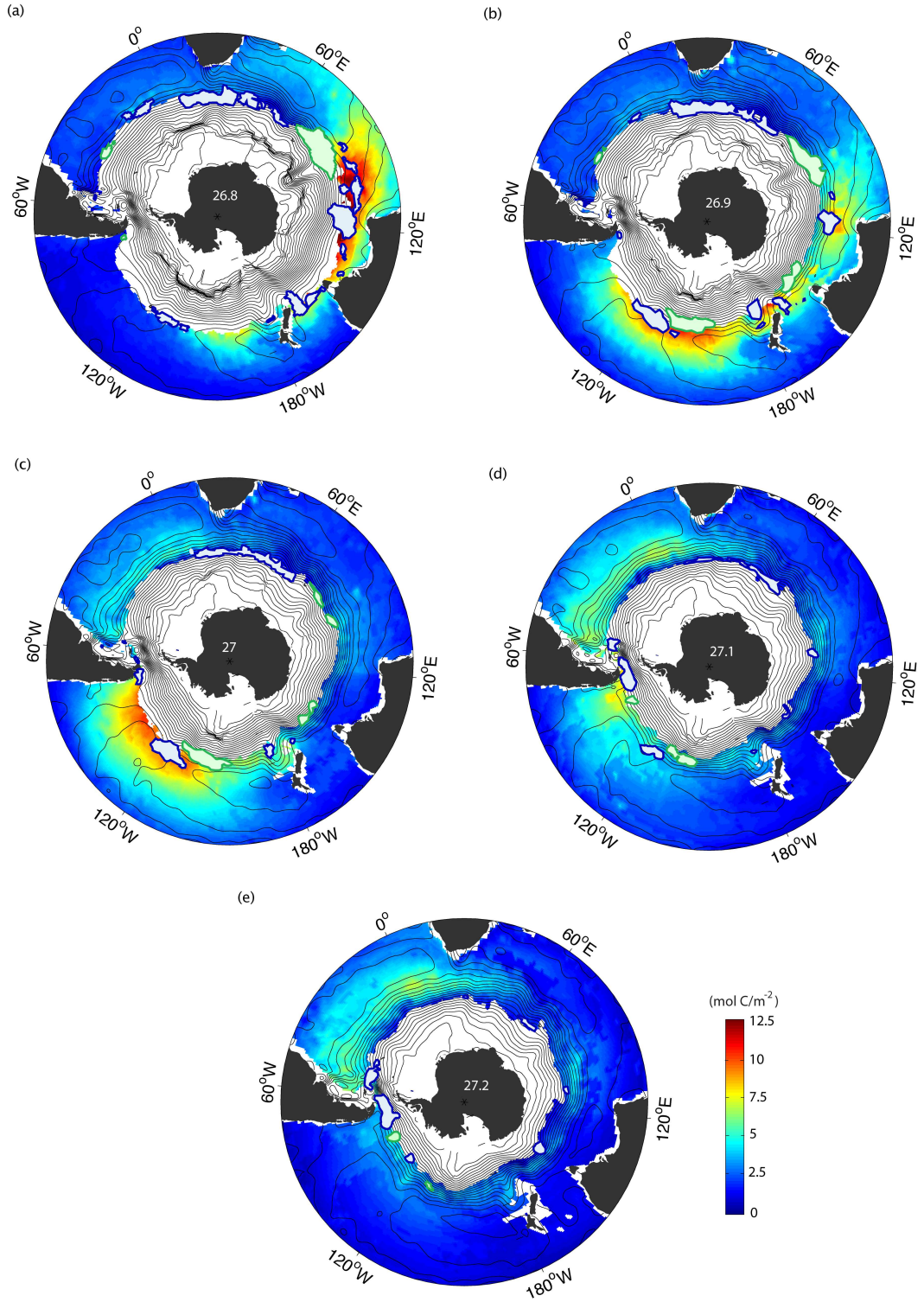
Figure 2: **Anthropogenic CO$_2$ inventory versus subduction pattern.** The C$_{ant}$ inventory on selected isopycnal surfaces ($\pm 0.05\sigma_\theta$): a) 26.8, b) 26.9, c) 27, d) 27.1 e) 27.2. The black lines are the Montgomery stream lines indicating the approximate geostrophic circulation on each isopycnal. White patches highlight with a thick blue line are regions of subduction maxima. White patches with a thick green line are regions of re-ventilation maxima.
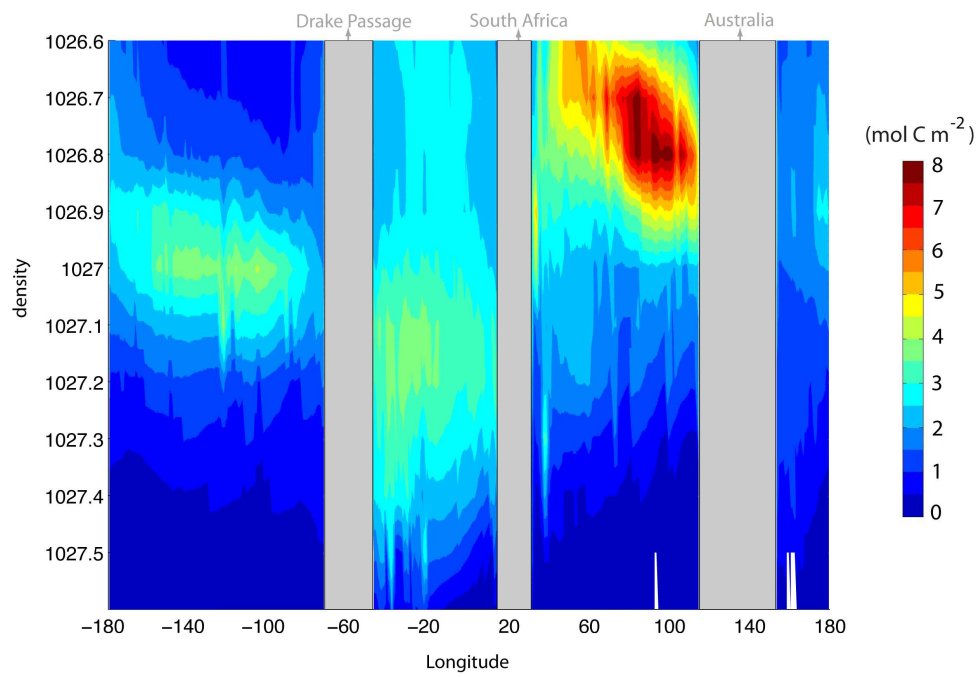
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Figure 3: **Vertical structure of anthropogenic CO$_2$ inventory at 30°S.** Circumpolar section of C$_{ant}$ inventory at 30°S along potential density surfaces (inventory within $\pm$ 0.05 σ_θ).

Methods

We define the physical transport of anthropogenic CO_2 (C_{ant}) out of the upper ocean into the ocean interior as the rate by which C_{ant} is injected from the seasonal thermocline (i.e. the water above the base of the winter mixed layer, which has been in recent contact with the atmosphere) into the ocean interior[17]. This transport is associated with the C_{ant} budget above the base of the winter mixed-layer:

$$\frac{\partial C_{ant}}{\partial t} + \nabla \cdot [T_{res}(t) \cdot C_{ant}(t)] = \mathcal{C}_{uptake}, \tag{1a}$$

which becomes after time-averaging:

$$\underbrace{\overline{C_{ant} \cdot \overline{S}} + \mathcal{M}_{base\ SL}}_{\overline{C_{subduction}}} = \overline{\mathcal{C}_{uptake}} - \overline{\mathcal{C}_{acc}} - \underbrace{(\overline{T_{res} \cdot \nabla_h \overline{C_{ant}}} + \mathcal{M}_{SL})}_{\text{horizontal transport}}, \tag{1b}$$

where $\overline{(.)}$ refers to the annual mean; T_{res} is the transport above the base of the winter mixed-layer resulting from the Ekman, mean, and eddy-induced flow; $\overline{S} = \nabla_h \overline{T_{res}}$ is the annual mean subduction[17]; $\overline{\mathcal{C}_{uptake}}$ is the annual mean air–sea flux of C_{ant}; $\overline{\mathcal{C}_{acc}}$ is the annual mean C_{ant} accumulation in the surface layer; $\mathcal{M}_{base\ SL}$ is the mixing term operating at the base of the surface layer; and $\mathcal{M}_{SL}$ is the horizontal mixing operating within the mixed layer.

We define subduction as the transport of C_{ant} out of the ventilated surface layer into the ocean interior and re-ventilation as the transport of C_{ant} from the ocean interior back into the ventilated surface layer. This two-way transfer of C_{ant} across the base of the winter mixed layer, $C_{subduction}(t)$, can occur all year round and is the result of the divergence of C_{ant} transport within the ventilated layer and mixing processes at the base of the surface layer (lhs of Equation 1b).

Our calculation is applied in the Southern Ocean, north of the marginal sea-ice zone where the Argo coverage provides sufficient observations. The estimates of annual-mean water mass injection into the ocean interior ($\overline{S}$) agree with the climatological potential vorticity structure of the ocean interior[17].

The mixing term in the lhs of Equation 1b includes a vertical mixing term at the base of the surface layer, and an along-isopycnal eddy flux[29,30]:

$$\mathcal{M}_{base\ SL} = \int_{surface-layer} (\frac{\partial}{\partial z} \kappa_z \frac{\partial C}{\partial z} + \nabla_\gamma \cdot \kappa_\gamma \nabla_\gamma C) \cdot dz, \tag{2}$$

where κ_z and κ_γ are the vertical and along-isopycnal mixing coefficients, and ∇_γ and $(\nabla_\gamma \cdot)$ are the along-isopycnal gradient and divergence operator. Because C_{ant} is well mixed in the surface layer (i.e. $\partial C/\partial z = \nabla_\gamma C = 0$), the mixing terms act only at the base of the surface-layer. An upper bound estimate of the magnitude of the mixing terms can be made using large mixing coefficients[31,32] ($\kappa_z \ \alpha \ 10^{-4} \ m^2 \ s^{-1}$ and $\kappa_\gamma \ \alpha \ 10^4 \ m^2 \ s^{-1}$) and conservative values of the gradient of C_{ant} (10 $\mu mol \ kg^{-1}$ over 50 m depth, and 10 $\mu mol \ kg^{-1}$ over 1° on isopycnals). Therefore, vertical mixing term is estimated to be 10^{-17} Pg C $m^{-2} \ yr^{-1}$, and the isopycnal mixing term to be 10^{-15} Pg C $m^{-2} \ yr^{-1}$, which are two orders of magnitude smaller than the other terms (Figure 1) and are neglected in the remainder of the study.

The annual mean carbon subduction is therefore composed of three main components: a wind-induced Ekman transport; a mean geostrophic transport; and an eddy-induced transport. We compute these fluxes as follow[17]:

$$\overline{C_{subduction}} = (\overline{S_{ek}} \cdot \overline{C} + \overline{S_{geo}} \cdot \overline{C} + \overline{S_{eddy}} \cdot \overline{C}), \tag{3a}$$

where:

$$\overline{S_{ek}} = curl(\frac{\overline{\tau}}{\rho f}), \tag{3b}$$

$$\overline{S_{geo}} = \nabla \cdot (\overline{\mathbf{u_{geo}}} \cdot \overline{H_{max}}), \tag{3c}$$

$$\overline{S_{eddy}} = \nabla \cdot [\kappa \cdot \overline{\mathbf{s}}]_{z=H_{max}}. \tag{3d}$$

H_{max} is the depth of the ventilated layer (i.e. winter mixed layer depth)[17]; τ is the wind stress estimated from satellite winds; ρ is the density of seawater; u_{geo} is the geostrophic velocity estimated from climatology strengthened with Argo data; κ is the mesoscale eddy diffusion intensity estimated from surface drifter trajectories[31]; and s is the slope of isopycnal (i.e. $\overline{s} = \nabla \overline{\rho}/\overline{\rho_z}$). We compute Eqn. 3 with an upwind-weighted scheme, which accounts for the dependence of the carbon transport on the sign of subduction: transport into the mixed layer from the interior uses the C_{ant} concentration 10 m below H_{max} and transport from the mixed layer to the interior uses the C_{ant} concentration in the mixed layer.