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1 **Imaging of hill-slope soil moisture wetting patterns in a semi-arid oak savanna**
2 **catchment using time-lapse electromagnetic induction**

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18 Key Words: Soil science, induction, EMI, water content, hydrogeophysics, time domain
19 reflectometry

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22 Abbreviations: EMI Electromagnetic induction, EC_a Bulk soil electrical conductivity, GPR
23 Ground penetrating radar, sGs Sequential Gaussian simulation, TDR Time domain reflectometry,
24 VWC Volumetric water content.

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Abstract

Soil moisture is a fundamental hydrological state variable and its spatial pattern is important for understanding hydrological processes. Determination of catchment-scale soil moisture status and distribution at intermediate scales (0.1-1 km²) is challenging. Primarily because multi-point measurements using sensors are often impractical, while remote sensing resolution is often too coarse. Geophysical methods, e.g. electromagnetic induction (EMI), offer potential for bridging this gap. Our objective was to test the use of time-lapse EMI surveys to separate the influences of ‘static’ soil variables, e.g. texture, from ‘dynamic’, e.g. soil moisture. A novel differencing approach is proposed for estimating soil moisture, subtracting the electrical conductivity (EC_a) of the driest seasonal soil map from the EC_a collected during subsequent wetting. By doing this, and comparing results with TDR determined soil moisture, we improve the correlation from $r^2 = 0.28$ to $r^2 = 0.48$. EC_a measurements are observed to be correlated in time ($r^2 > 0.7$), but fall into two distinct groups, corresponding to times before and after the onset of stream flow, supporting the concept of preferred soil moisture states. Catchment wetness index predicts areas of convergence resulting in overland flow and stream flow. However, the spatial pattern of soil moisture does not mirror the wetness index, as others have found. We contend that the use of time-lapse imaging provides important insight into the distribution and dynamics of catchment-scale soil moisture, but acknowledge its limitations of requiring moisture dependent contrast of EC_a, which will not occur in some soils.

1 **Introduction**

2

3 Soil moisture controls the structure, function and diversity of vegetation (Rodriguez-
4 Iturbe et al., 1999); it also controls the partitioning of precipitation between infiltration and
5 runoff which in turn affects stream flow and soil erosion (Loague, 1992). There remains distinct
6 interest in hydrology to be able to determine antecedent catchment scale soil moisture to help
7 calibrate rainfall-runoff simulations, (e.g. Stephenson and Freeze, 1974; Wilson et al., 2005). At
8 the sample scale the soil moisture influences both soil physical behavior, such as mechanical
9 strength, temperature and oxygen levels, and soil biogeochemical behavior, by exerting control
10 over microbial activity, which controls processes such as respiration, CO₂ efflux, and
11 nitrification (Schjonning et al., 2003). Patterns of soil moisture are intimately linked with the
12 distribution of soil types and vegetation, and in turn with the landscape and topography (Wilson
13 et al., 2005; Lin et al., 2006). Recent interest and advances in describing soil moisture have
14 resulted in a number of recent reviews on different aspects including ecohydrology (Rodriguez-
15 Iturbe et al., 1999), climate (Seneviratne et al., 2010), vadose zone hydrology (Vereecken et al.,
16 2008), scaling (Western et al., 2002) and measurement (Robinson et al., 2008a). Much of this
17 literature, synthesized, points to an intermediate scale measurement gap which impedes a fuller
18 understanding of catchment processes. Due to this lack of reliable soil moisture data; Western et
19 al. (1999) stated, “*Point values are notoriously poor in identifying spatial organization.*
20 Williams (1988) and Schmutge and Jackson (1996), among others, point out that “*the apparent*
21 *randomness sometimes observed for hydrologic variables is largely a consequence of using point*
22 *measurements. What is needed are high-resolution observations of soil moisture patterns based*
23 *on a large number of point samples.*”

24 Determining moisture patterns and response at catchment scales (0.1-1 km²) is a major
25 measurement challenge, that is often too large for point-measurement using sensors such as time
26 domain reflectometry (TDR) (Robinson et al., 2003), but too small for discrimination using
27 remote sensing (Engman, 1995). At small scales (<10 ha) point sensors such as TDR have been
28 used to determine spatial patterns of soil moisture (Wraith et al., 2005; Western and Grayson,
29 1998), however measurements can be time consuming and impractical, in hard or stony soils, or
30 as the spatial scale increases. In addition, small support volumes (Ferré et al., 1998) tend to make
31 measurements less appealing for catchment application; we contend that many point sensors

1 capture too small a sample volume of moisture measurement to be most pertinent to catchment
2 scale hydrology. Remote sensing is often used at the sub-watershed scale (1–80 km²), but the
3 resolution is too coarse for catchment scale, is surface constrained (~0-5cm) when moist, and
4 often impractical in complex undulating terrain with a dense canopy. Obtaining accurate
5 catchment scale soil moisture spatial estimates or at least changes in soil moisture, with
6 sufficient temporal resolution remains both a logistical and measurement challenge (Robinson et
7 al., 2008a).

8 Alternative approaches to bridging this divide have been proposed, one is to use networks
9 of sensors offering high temporal resolution with reasonable spatial coverage (Cardell-Oliver et
10 al., 2005; Bogena et al., 2007), while another is to utilize non-invasive geophysical methods
11 (Rubin and Hubbard, 2006). Geophysical methods have been used extensively for groundwater
12 investigation (Dobecki and Romig, 1985), but a suite of electromagnetic methods offer real
13 opportunity for advancing the hydrological understanding at the watershed scale (Robinson et al.,
14 2008b). Ground penetrating radar GPR, has been tested and applied successfully at the field /
15 catchment scale (Huisman et al., 2003). However, GPR has limitations, not working well in clay
16 or electrically conductive soils, contact issues in dense shrub, as well as requiring more
17 sophisticated data interpretation. Electromagnetic induction (EMI), is an alternative non-invasive
18 technique which measures bulk soil electrical conductivity (EC_a) (Hendrickx and Kachanoski,
19 2002), with a large support volume (~1 m³) making it particularly attractive for catchment scale
20 studies. Although non-invasive geophysical methods are relatively fast for mobile measurements,
21 all geophysical methods tend to require more extensive calibration than point sensors, either
22 because of changing support volumes, or because they measure properties that are also
23 influenced by other environmental variables.

24 At small scales, e.g. 1D profiles, dielectric measurements such as TDR are favored over
25 electrical conductivity measurements because they are less sensitive to texture and temperature
26 (Friedman, 2005; Robinson et al., 2003). However, the ability of electrical measurements to
27 capture high temporal and spatial resolution, 2-D profiles, (Michot et al., 2003, Samouelian et
28 al., 2005) with minimum soil invasion has renewed interest in their application to hydrology.
29 Recently researchers have begun to consider EMI's utility for determining water content, and
30 determining soil and hill-slope hydrological processes (Kachanoski and de Jong, 1988; Sheets
31 and Hendrickx, 1995; Sherlock and McDonnell, 2003; Huth and Poulton, 2007; Robinson et al.,

1 2008c; Abdu et al., 2008; Tromp-van Meerveld and McDonnell, 2009; Robinson et al., 2009). A
2 firm understanding of soil properties affecting electromagnetic field behavior is helpful in
3 understanding when EMI can be applied, as it is not suitable for all circumstances. We have
4 shown previously that EMI surveys are of use in imaging catchment scale soil textural spatial
5 patterns where the salinity of the soil solution extract electrical conductivity, (EC_e) is not a major
6 contributor to the EC_a (Abdu et al, 2008). In order to map texture, an electrical contrast is
7 required with differing particle size. EMI responds to the quantity of ions in the soil, so clays that
8 adsorb more ions on their surfaces, and have higher surface areas, compared to sands and silts
9 give greater responses. This is exploited to determine texture, but it is determined by the clay
10 mineralogy; soil with 2:1 smectite or illite clays tend to contrast well with silica sands, but 1:1
11 kaolinites in general do not, given their low ion adsorption.

12 EMI measurements combine sufficient spacing, extent and support (i.e. scale triplet,
13 Blöschl and Grayson, 2000) to capture the small and large scale variability of soil properties
14 across catchments. EMI-based EC_a measurements have been used by researchers attempting to
15 infer different soil properties, soil EC_a is related to texture, moisture, soil water electrical
16 conductivity (EC_w), soil depth and temperature (Friedman, 2005) and has often been used in soil
17 mapping by correlating signal response with soil variables of interest (Hendrickx and
18 Kachanoski, 2002; Corwin and Lesch. 2003; Lesch et al., 2005). Although the measurement
19 response varies with other variables, it does no-more-so than other landscape scale measurement
20 techniques used for determining moisture such as, active microwave remote sensing which
21 depends on dielectric contrast, surface roughness, layering, vegetation, soil wetness dependent
22 support volume, temperature, and salinity etc. Careful measurement application with EMI can be
23 used to maximize the response of some variables and minimize others. Determining the best
24 ways to do this is an important area of EMI research. Some of the more tested applications
25 include: soil salinity estimation (Lesch et al., 2005), estimating claypan depth (Doolittle et al.,
26 1994); inferring topsoil depth in claypan soils (Sudduth et al., 2001); producing field scale
27 (Triantafilis and Lesch, 2005), and regional scale (Harvey and Morgan, 2009) textural maps, and
28 delineation of soil classification zones (Vitharana et al., 2008). All these applications to obtain
29 'static' properties tend to utilize single EMI response surfaces, snapshots of EC_a . However,
30 researchers have begun to exploit time-lapse imaging where consecutive collection the soil EC_a
31 response at different times in the same location can start to be used to differentiate between the

1 contributions of the constant or ‘static’ components of the soil, like particle size distribution,
2 from the more dynamic ones like wetting patterns (Robinson et al., 2009; Besson et al., 2010).
3 Despite the utility of EMI data for visualizing soil spatial variability, time-lapse imaging offers
4 the potential to go beyond pattern recognition to obtain quantitative estimates of soil moisture
5 change.

6 Therefore, the objectives of this research were to 1) identify soil spatial variability and
7 wetting patterns in a catchment by collecting time-lapse EMI data, 2) to estimate catchment
8 textural patterns, as related to soil hygroscopic water, by analyzing wet and dry EC_a response and
9 infer optimal correlation, and 3) to use an electrical model to determine moisture content from
10 direct estimation using TDR, and contrast this with a novel time-lapse differencing calibration
11 approach.

12

13 **Materials and methods**

14

15 *Field site*

16 Our field site was located on the Stanford University Foothills, academic reserve, which
17 serves as a protected Mediterranean type ecological area at the base of the Santa Cruz
18 Mountains. The reserve is a mixed oak-grass savanna on rolling hills between 60 and 140 m in
19 elevation. Up until the 1980's the location had been grazed by cattle for the previous 50 yrs.
20 Research indicated that the age distribution of the trees was highly skewed, and that only a small
21 number of seedlings were surviving past their 10th year (Zebroski and McBride, 1983). By the
22 end of the 1980's approximately half the reserve had been closed to cattle grazing including our
23 study area. We chose an area largely unaffected by anthropogenic activity since the cessation of
24 grazing. The woodland on the reserve is dominated by oak, including the evergreen coast live
25 oak (*Quercus agrifolia*), the deciduous valley oak (*Quercus lobata*) and blue oak (*Quercus*
26 *douglasii*) with some California buckeye (*Aesculus californica*). The savanna is located on soil
27 types loosely classified as loams, clays and stony clays (Schwan et al., 1997).

28 Our field site was towards the SE corner of the reserve, in a small catchment ~ 4ha
29 (Figure 1). The catchment drops from ~120 m at its highest elevation point to 76 m where it joins
30 with another small catchment. The site is appealing from the soils perspective as the parent
31 material splits the site roughly in half, with the upper portion of the catchment on basalt (Tpm,

1 Page Mill Basalt), and the mid to lower portion of the catchments being on
2 sedimentary/sandstone formations (Tw, Whiskey Hill Formation; QTsc, Santa Clara Formation;
3 Tlad, Ladera Sandstone) (Brabb et al., 2000); this results in a gradation of texture from the top of
4 the catchment to the bottom from clay to sandy loam. No formal soil survey has been conducted
5 on this site. However, soils were sampled and soil hygroscopic water content determined in
6 combination with texture-by-feel. These analyses indicated the upper portion of the catchment
7 was dominated by clay and clay loams, grading into sandy loams on the sandstone spurs, and
8 transitioning to loams in the lower portion of the catchment, where erosion and deposition has
9 mixed the two materials. In addition, we also mapped the location of rock outcrops using the
10 GPS which serve to better define the location of the boundary between the basalt and the
11 sandstone and hence the soil type boundary.

12 The climate of this area is Mediterranean with hot dry summers and cool wet winters
13 with 40 cm average annual rainfall, the majority of which falls between September and April and
14 a potential evaporation of 120 cm. Weather data was obtained from a nearby weather station,
15 located at the Jasper Ridge reserve. Rainfall patterns during the study were typical for this area,
16 with a dry summer followed by precipitation events increasing in frequency and intensity as the
17 fall progressed into the winter.

18

19 *EMI equipment and measurement*

20 In non-saline soils the EMI signal is related to texture, moisture, solution electrical
21 conductivity (EC_e) and soil depth (Friedman, 2005). In non-saline soils we can assume that the
22 moisture is the dynamic phase, changing with precipitation and evaporation, whereas the other
23 properties are essentially 'static'. By adopting a time-lapse imaging approach we can try to tease
24 apart the 'static' and 'dynamic' properties of the soil.

25 EMI sensors are ideally suited to obtaining measurements in rugged terrain (Abdu et al.,
26 2008). The instrument measures non-invasively while suspended over the soil (McNeill, 1980).
27 The 1.4 m long instrument has a transmitter coil at one end and a receiver coil at the other end.
28 Magnetic field loops are generated by the transmitter and penetrate into the soil to a depth
29 determined by the coil spacing (Callegary et al., 2007). We used a Dualem 1-S (Dualem.com,
30 Milton, ON, Canada) with the coils approximately 1 m apart. This means that 70% of the signal
31 response will be integrated over a depth of 1.5 m for the coils in the vertical orientation and 0.5

1 m for the coils in the horizontal orientation (Abdu et al., 2007), giving the instrument a sensing
2 depth equivalent to a pedon in terms of scale. Callegary et al. (2007) have shown that in soils
3 with conductivity that range up to 100 mS m^{-1} the depth of exploration (DOE) is attenuated to
4 less than 1 m, vertically, and is perhaps 40 cm for the horizontal orientation. The horizontal
5 orientation is strongly weighted to the surface and we used this data in our research. The primary
6 magnetic field creates current loops in the soil, which in turn induce a secondary magnetic field.
7 The receiver coil measures both the primary and secondary magnetic fields. Therefore the EC_a
8 can be determined from the ratio of the primary and secondary magnetic fields under the
9 assumption of low-induction numbers (McNeill, 1980). The Dualem 1-S is preferred for this
10 style of work as it doesn't require manual calibration and is sensitive to low bulk electrical
11 conductivity soils (Abdu et al., 2007).

12 The Dualem-1S was used to collect geo-referenced soil EC_a measurements non-
13 invasively over a 6 month period between Sept 2007 and February 2008. The georeferenced EC_a
14 data was acquired using a handheld geographic information system (HGIS, StarPal Inc., Fort
15 Collins, CO) program installed on an Allegro handheld field computer (Juniper Systems, Logan,
16 UT). The field computer interfaced with the Dualem and a GPS with the HGIS software
17 managing the data acquisition of position and EC_a measurement. The GPS used was a Holux
18 GPSlim 240 (Holux Technology Inc., Hsinchu, Taiwan) with a Sirf III chip set. The advantage of
19 this type of GPS is the sensitivity, designed for urban canyons; the GPS operates well under tree
20 canopies, enabling spatial measurement in these savanna ecosystems. GPS data was collected in
21 Latitude and Longitude format using the WGS84 reference, which were later converted to UTM
22 coordinates using spreadsheet software (Dutch, 2010).

23 We conducted 9 surveys across a 4 ha field site, over a period of six months, during
24 which time we followed the catchments wetting after the dry Mediterranean summer. EMI
25 survey was conducted by traversing the catchment following a contouring pattern; each survey
26 collected about 4000 measurement points over several hours. Surveys were carried out over a
27 range of soil water contents completely dry following summer, to soil saturation and the
28 presence of overland flow. The instrument was carried at a height of approximately 10 cm above
29 the ground surface during mapping.

30
31

1 *TDR equipment and measurement*

2 TDR has become a standard method for the measurement of soil moisture. The TDR
3 technique (Robinson et al., 2003) was used in a mobile configuration using a Campbell TDR 100
4 (Campbell Scientific Inc., Logan, UT). The TDR device was connected to a data logger and
5 measurement controlled by a switch, in addition a handheld GPS with Sirf III chipset was used to
6 collect location data for each measurement. The TDR probe used for measurement had 3 rods
7 and was 15 cm long. It was mounted on a handle, like that of a spade, so it could be routinely
8 inserted into the ground vertically. A practical consideration for the use of TDR in the mobile
9 mode is the soil hardness. In dry or rocky soils measurements are not feasible for routine data
10 collection. We found that following the dry summer the soils were too hard for routine TDR
11 probe insertion. We had to wait until the soil wetted thoroughly, in January/February before we
12 could make measurements. This is a real limitation for the use of handheld TDR or other
13 insertion sensors in hard soils. Measurements were made on Feb 28, 2008 following the
14 measurement path of the EMI survey carried out at the same time. Ninety TDR measurements
15 were collected in the time span of about four hours, about twice the time for the EMI surveys
16 (Figure 1). Water content was estimated using the standard Topp et al., (1980) calibration
17 equation and EC_a was determined following calibration of the probe in solutions.

18

19 *Soil sampling*

20 TDR estimates water content directly from the dielectric measurement, where as EC_a
21 estimation of water content requires knowledge of the soil porosity and solution electrical
22 conductivity. We estimated the areal mean EC_e and porosity from a set of soil measurements
23 from across the catchment. We adopted a random sampling design and collected 64 soil samples
24 which were analyzed for solution EC_w using a 2:1 dilution, EC_e was estimated from this by
25 multiplying the result by 3.25 which is interpolated from dilutions (Landon, 1991). Bulk density
26 was measured to 20 cm using a standard volumetric auger method, with the soil samples dried at
27 105 °C to determine the solid mass (Gee and Bauder, 1986). We also used these samples to
28 determine the hygroscopic water content at 50% relative humidity as an indicator of clay content
29 spatial distribution.

30

31 *Ground conductivity modeling and geostatistics*

1 Electrical conductivity measurements applied to the determination of EC_a are reviewed in
2 (Freeland, 1989). The ability of electrical geophysical methods to collect spatial data, such as DC
3 resistivity and electromagnetic induction (EMI) that are minimally- or non-invasive, are leading
4 to renewed interest in determining VWC using electrical conductivity. An important aspect of
5 moisture retrieval from electrical methods is the need for calibration. A number of models have
6 been presented to determine the bulk soil electrical conductivity as a function of soil parameters.
7 Empirical models include those based on EC_a measurements in rock (Archie, 1942) and those
8 produced for saline soils (Rhoades et al., 1989). A more physically based approach was proposed
9 by Mualem and Friedman, (1991) which was based on the water release characteristics of the
10 soil. This resulted in a simple model requiring the EC_w , moisture content and porosity to estimate
11 the EC_a . Given the small number of parameters required to determine EC_a , and conversely
12 retrieve soil moisture we adopted this model for the interpretation of our data. The model can be
13 simplified to:

14

$$15 \quad EC_a = EC_w \theta_{sat}^{1.5} (\theta / \theta_{sat})^{2.5} \quad (1)$$

16

17 where θ_{sat} is the saturated water content. This model reduces to θ_{sat} being raised to an exponent of
18 1.5 for saturated soil. Equation (1) was found to describe EC_a in a wide range of coarse and
19 stable structured soils. Adding the influence of surface conductivity EC_s , various authors
20 (Friedman, 2005; Nadler, 2005) have suggested a general formulation of Archie's law (Archie,
21 1942) which can be extended to unsaturated soil (Telford et al., 1990), however we chose not to
22 follow this line because there is little information on the expected values for surface
23 conductivity, and this essentially adds further fitting parameters to the modeling.

24 A novel aspect to our approach of applying the EC_a model was to use a differencing
25 method to obtain EC_a values on which to apply the model. Soil texture variability will add a
26 'surface' conductivity component to the data to varying degrees as soil texture alters around the
27 catchment. Rather than try to estimate this through collecting texture samples, we made an
28 assumption that this textural variation and surface conductivity contribution could be minimized
29 by assuming that this was equivalent to EC_a in dry soil. In order to estimate the water content we
30 therefore subtracted the interpolated measurements for the Sept 28 mapping from all other EC_a
31 response surfaces collected subsequently. These differenced EC_a values were then used in

1 Equation 1 to estimate water content. We evaluated the results of doing this by comparing data
2 that was differenced and data that was not with TDR estimates of water content for Feb 28, 2008.

3 Quality assurance and quality control (QA/QC) procedures were applied to the EMI data
4 collected. The EC_a measurements were downloaded to a spreadsheet and checked for quality. In
5 the spreadsheet the data can be plotted as a time-series to identify EC_a outliers, and to remove
6 multiple data collected at the same location while the surveyor took a break. Some outliers were
7 identified, which were associated with metallic litter that had found its way into the catchment.
8 By examining the GPS speed any extra measurements can be removed from the data when the
9 mapper was stationary.

10 Following these QA/QC procedures the data was analyzed using geostatistics to perform
11 interpolation, and simulation of uncertainty. The EC_a data collected was mostly skewed giving a
12 lognormal appearance which is common for soils. In order to meet the underlying assumptions of
13 kriging, that the data have a Gaussian distribution, all data were normal score transformed (NS)
14 during analysis using SGEMS (Remy et al., 2009). More comprehensive treatment of the
15 geostatistics can be found in Goovaerts (1997), we provide a summary of the multi-gaussian
16 procedure here. The NS data were fitted with a semivariogram, and kriged using simple kriging
17 on a 2m grid. Once kriged, the data were back-transformed to produce a final interpolated EC_a
18 response surface of the catchment.

19 Sequential Gaussian Simulation (sGs) was used to determine the spatial uncertainty of the
20 data collected on Feb 28, 2008. In any prediction process, quantifying the uncertainty of the
21 estimate is helpful for the comparison of the data collection methods. Kriging, which gives the
22 minimum local error variance in the generalized least squares sense, is affected by a smoothing
23 of the local variance of the attribute being predicted. Conditional simulation or stochastic
24 imaging generates equally probable realizations of the property being studied in order to better
25 quantify the uncertainty of the property at unsampled locations. Simulation focuses on honoring
26 the data values while replicating the global statistics of the data distribution and the variogram
27 model (Goovaerts, 1999). A more commonly used approach in environmental science
28 applications is to predict the spatial uncertainty using sequential Gaussian simulation. We used
29 the algorithms available in SGEMS to obtain the e-type mean and conditional variance from 100
30 simulations. The e-type mean can be compared with the interpolated EC_a or TDR measurement
31 values obtained from kriging.

1 Statistical analysis of nine kriged EC_a maps were conducted using correlation analysis
 2 and temporal or rank stability procedure described by Vachaud et al. (1985), to compare all the
 3 data, the dry (sept 27, Oct 4, Oct 22) and the wet (Jan 6, Jan 10, Feb 22) with the hygroscopic
 4 water content data. In this procedure the difference Δ_{ij} of each individual observation S_{ij} to the
 5 average \bar{S}_j for the respective sampling time j is calculated with:

$$6 \quad \Delta_{ij} = S_{ij} - \bar{S}_j \quad (2)$$

7 And the relative difference is calculated by:

$$8 \quad \delta_{ij} = \frac{\Delta_{ij}}{\bar{S}_j} \quad (3)$$

9 For each sampling location an average relative difference $\bar{\delta}_{ij}$ is calculated by:

$$10 \quad \bar{\delta}_{ij} = \frac{\sum_{j=1}^9 \delta_{ij}}{9} \quad (4)$$

11 for the nine sampling campaigns as well as its standard deviation σ . The resulting values were
 12 added to the mean of the average EC_a values for each mapping to provide a list of EC_a values
 13 that could be compared against the hygroscopic water values.

14 Catchment topography was determined by using the altitude measured using the GPS
 15 receiver. Five of the surveys, with consistent data, were chosen for analysis. Each dataset was
 16 interpolated using the normal score/ simple kriging approach described. The average altitude was
 17 determined for each data set; four of the data sets were then corrected to the data set with the
 18 middle ranked altitude by adding or subtracting the difference between averages. The average
 19 altitude was 98.2 m and the average standard deviation was 3.3 m; the range was 5.8 m. After
 20 correction to the mean the average standard deviation was reduced to 2.4 m between the data
 21 sets, with a maximum and minimum altitude of 125 and 67 m respectively.

22

23 *Soil wetness index*

24 In modeling approaches, the spatial distribution of soil moisture is often assumed to
 25 mirror that of a terrain attribute such as the wetness index (Kirkby, 1975; Beven and Kirkby,
 26 1979; Grayson and Western 2001). Pursuant to this a number of soil wetness indices were
 27 proposed for predicting the spatial distribution of zones of soil moisture (O' Loughlin, 1986;

1 Quinn et al., 1995; Barling et al., 2004). The wetness index represents the propensity of any
2 point in the catchment to develop saturated conditions (Beven, 2001):

3
4
$$\text{wetness index} = [\ln(a/\tan(\beta))] \quad (5)$$

5
6 where a = the upslope area, per unit contour length, contributing flow to a pixel; $\tan \beta$ = the
7 local surface slope angle acting on a cell (taken to approximate the local hydraulic gradient under
8 steady-state conditions). Wetness index can be determined using the DTA-ANALYSIS software
9 described in (Beven, 2001). Pits and sinks are identified in the elevation matrix, sinks can be
10 removed using the Automatic-Sink-Removal tool, which uses successive averaging of
11 surrounding elevations to resolve pits.

12

13 **Results and discussion**

14

15 Precipitation data, EMI mapping times and all the EMI EC_a response surfaces are
16 presented in Figure 2. The first light rainfall fell in September (Fig. 2A), a few days before the
17 first EMI data collection, but the water quickly evaporated. By this stage in the year the clay soil
18 was so dry that removal from the field to the laboratory actually increased the water content
19 through the adsorption of hygroscopic water from the more humid laboratory atmosphere.
20 Rainfall events increased in magnitude and frequency during the fall, but it wasn't until late
21 December that the more significant storm events occurred. The first stream-flow was observed in
22 the catchment after the rainfall on January 4th and 5th. Flow was then maintained, and continued
23 until after the final mapping at the end of February 2008. The soil EC_a response surfaces (Fig.
24 2B) correspond to dates indicated by the green lines on Figure 2A. Mapping in September and
25 early October showed the least distinctive pattern, but a rainfall event in mid October (~10 mm)
26 wetted the soil enough to see the emergence of distinct outlines following flow-paths and
27 consistent with convergence zones; these became more pronounced with time and increasing
28 wetting. The dominance of the clay soil in the upper portion of the catchment is indicated by the
29 red, high EC_a values; note the jump in scale after the January rainfall which satiated the soil and
30 resulted in stream-flow generation. Figure 2C shows the histograms for the EC_a data and a
31 distinctive shift from left skewed to a bimodal distribution, between lines 6 and 7 (Fig. 2C); that

1 occurs at the beginning of January, consistent with the large storm event. Bimodal peaks are
2 observable in all the histograms apart from the first two in September and early October. The
3 bimodal peaks gradually move apart until early January, when they completely separate into two
4 distinct distributions. The transition at the beginning of January is also marked by the reduction
5 in correlation between the EC_a response surfaces before the January wetting and after (Table 1).
6 Table 1 indicates that EC_a response surfaces prior to January 6th show reasonably good
7 correlation with each other with r^2 values ~ 0.7 . Correlation increases after January 1st between
8 the response surfaces to >0.9 . The correlation between the wet response surfaces collected after
9 January 1st and the response surfaces for September and October is poor, indicating a distinct
10 change in spatial pattern. The wetting event in early January appears to mark a threshold in
11 wetting where the hydrological response of the catchment changes abruptly and stream flow
12 appears. It was observed that once streamflow was initiated it was maintained until after the end
13 of observations on Feb 28th. The change in the EC_a patterns results in changes in the range of the
14 semi-variograms (Figure 2D). In September the range was 72 m, which increased to 132 m by
15 January 1st, and then 134, 157, and 115 m for the subsequent January 6th, 10th and February 28th
16 measurements. This increase in the range of the autocorrelation is consistent with the emergence
17 of the distinctive EC_a patterns on the ground.

18 Interpolated measurements of hygroscopic water are presented in Figure 3. Hygroscopic
19 water content has been shown to strongly correlate ($r^2 > 0.9$) with soil clay content (Petersen et
20 al., 1996) and even though this relationship has some mineralogy dependence it still provides a
21 good, low-cost, surrogate for soil clay percentage. The hygroscopic water content for a 2:1 Ca
22 saturated montmorillonite, such as that present on this field site, is 0.19 g g^{-1} at a relative
23 humidity of 50%. Which means soil clay percentage in the fine earth fraction ($<2\text{mm}$) is likely to
24 vary from no clay to values of up to $\sim 44\%$ across the catchment, which is consistent with the
25 hand texturing estimates for clays in the upper portion of the catchment and sandy loams in the
26 lower. In order to identify the contribution of the soil texture to the EMI response, we correlated
27 the hygroscopic water content with EC_a values for the different dates and wetting degrees (Table
28 1); we observed low correlation when the soil was dry and the strongest correlation ($r^2 \sim 0.5$)
29 when the soil was wet. We also analyzed the hygroscopic water content results with the
30 combined EC_a response surface, determined using the rank stability of all the data ($r^2 = 0.5$), the
31 first 3 dry EC_a response surfaces ($r^2 = 0.24$) and final 3 wet surfaces ($r^2 = 0.54$), again showing

1 the stronger correlation of texture with wet soil. Given the consistency in the correlation, there is
2 no case for multiple mapping being any better than a single map at field capacity for determining
3 soil texture, primarily because the critical parameter is water content, as expected, the largest
4 contrast in electrical response is found approaching saturation.

5 A comprehensive measurement campaign was conducted on February 28th, when the
6 catchment was imaged using EMI and simultaneous point measurements were obtained using a
7 mobile TDR system. Prior to January the soil had been too hard for routine TDR measurement,
8 and it wasn't until the soil became softer that the EMI/TDR comparison became feasible; this is
9 always an issue using insertion measurement techniques such as TDR. We measured volumetric
10 water content and EC_a using TDR and at the same time another surveyor measured EC_a using
11 EMI; the results are compared in Figure 4. In addition, the EC_a response surfaces obtained for
12 both measurement techniques are presented to the right in Fig 4. We observed that the spatial
13 patterns follow trends with both techniques but TDR EC_a measurements were about 3 times
14 lower than the EMI measurements. This is consistent with the different support volumes and the
15 expectation that the EMI will see more clay (i.e., charged surfaces, ions) because of its greater
16 penetration into the subsurface, where the clay is expected to increase with depth. This is
17 supported by vertical EC_a EMI measurements, which measure deeper into the soil, and indicate
18 an increase in electrical conductivity with depth.

19 Figure 5 shows three sets of response surfaces, the upper surfaces were determined from
20 EMI measurements whilst the lower surfaces were determined from TDR measurements. Fig 5A,
21 shows the VWC estimated from the differencing approach (EC_a Feb 28th – EC_a Sept 27th), whilst
22 Fig. 5B is the water content estimated directly (EC_a Feb 28th). Parameters used in Equation 1
23 included a porosity of 0.57 ± 0.1 , and an $EC_e = 0.1 \text{ S m}^{-1} \pm 0.05$. The areal average water content
24 is lower for the differencing approach ($0.43 \text{ m}^3 \text{ m}^{-3}$), and more consistent with the TDR value
25 ($0.31 \text{ m}^3 \text{ m}^{-3}$) for Fig 5C; however, simulation, used to estimate uncertainty, requires the use of
26 the original EMI data so that the interpolated differencing approach data cannot be used in the
27 estimate of uncertainty. The spatial patterns of VWC obtained with the TDR and EMI are similar
28 with higher values in the upper portion of the catchment (red) and lower values in the lower
29 portion of the catchment (blue). Results using sequential Gaussian simulation (sGs) show that the
30 simulated EMI VWC spatial pattern (Fig 5D) corresponds with the interpolated EMI data (Fig
31 5B); as does the simulated TDR VWC (Fig 5E) with the interpolated TDR data (Fig 5C). sGs is

1 then used to determine the uncertainty in terms of a standard deviation (Fig. 5F and G) and the
2 signal to noise ratio is determined and presented (SNR: mean over the standard deviation). One
3 initial observation is the higher structural definition to the patterns from the EMI VWC
4 compared with TDR VWC, where the sparse TDR data results in similar general patterns but
5 with lower definition. The TDR data tends to display a graphical ‘bulls-eye’ effect with lower
6 connectivity in space which is an artifact of the sparse data. Higher VWC values are estimated in
7 the upper portion of the catchment, however, the EMI data indicate higher VWC in the mid
8 portion of the catchment also, especially in a couple of linear features running SE to NW,
9 perpendicular to the stream channel (also identified as zone A in Fig. 8). Investigation of these
10 zones of higher EC_a response found that well defined clay bands were running down the slopes
11 perpendicular to the stream and were buried below ~20 cm of loamy surface soil. Hence, the
12 smaller support of the TDR measurements didn’t identify these features, while the larger EMI
13 support volume did. Lower standard deviation was observed for the more exhaustive EMI
14 measurements in Figure 5F as compared with 5G, which results in a much higher SNR for the
15 EMI VWC than the TDR VWC in Figures 5H and 5I.

16 Comparison of Figure 5C (TDR) and 5A (diff EMI) and Fig 5C with 5B is shown in the
17 scatter plot in Figure 6. Direct determination of VWC (Figure 5B) using the EMI data compared
18 with TDR VWC results in a poor correlation ($r^2=0.28$) and a slope that diverges from a 1:1 line
19 at low water contents. However, comparison of the TDR data with the differenced EMI (Figure
20 5A) VWC shows a much stronger correlation $r^2=0.48$, and a slope the same as the 1:1 line but
21 offset to higher water contents (~0.08).

22 Figure 7 presents the VWC estimated from the EMI differencing approach at eight
23 different times during the period Oct. 2007- Feb. 2008, given that the VWC- EC_a is non-linear,
24 Fig. 7 is not a simple scaling of the EC_a response. The patterns, and correlation analysis (Table
25 1) indicate that moisture and texture are most highly correlated when the soil is wet, but not
26 when it is dry, which is similar to the finding of Western et al. (2003) who found that moisture
27 patterns tend to be random when dry and show increasing connectivity and spatial
28 autocorrelation when wet. The patterns suggest there is reasonable uniformity in VWC across the
29 catchment up until January, and that this changes to strong, distinct patterns from January
30 onwards.

1 Figure 8 shows the GPS determined altitude (A), the derived wetness index (Equ.5) (B),
2 and the EMI diff determined moisture content for February 28th (C). The stream path is shown as
3 the black line on (C), and is consistent with the high values of wetness index on (B). The grey
4 lines on the moisture content image (C) define areas of overland flow occurring in the catchment
5 during the associated rainstorm. The black arrows to the wetness index show that these areas are
6 consistent with zones of convergence in the upper portion of the catchment. Visual comparison
7 of the wetness index and the VWC suggests that they do not mirror each other; the lack of any
8 linear correlation between the two data sets confirms this. The convergence zones of the wetness
9 index are consistent with areas of overland flow and stream flow, however, there are large
10 proportions of the catchment that have low convergence and high soil moisture. This is
11 particularly noticeable in zone A for instance, where the increased soil moisture was observed to
12 occur due to subsurface clay bands, and thus was texture controlled.

13

14 **5. Discussion**

15 The use of geophysical techniques in soil science has provided us with a fast and cost-
16 effective way of collecting large amounts of spatially distributed information. However, the
17 inversion of geophysical signals into physical parameters requires a good understanding of the
18 technique as well as knowledge of the soil properties. Often, the combination of different
19 sensors can contribute to constrain each other and help with parameter estimation. Speed of
20 measurement, coverage intensity and support volume make EMI well suited to data collection at
21 this catchment scale. The advantages and disadvantages of determining moisture using EC_a are
22 discussed elsewhere. Here, we discuss some of the patterns to emerge from this intensive data
23 collection.

24 Figure 6, shows the VWC-TDR and VWC-EMI for our catchment, the regression
25 indicated similar slope but with an offset, so that the EMI recorded higher moisture contents than
26 the TDR. This result most likely arises due to the instruments having different support volumes,
27 and raises an intriguing question, “Is the difference in offset simply a calibration artifact, or is it
28 the result of the sensors responding to moisture in different pore volumes?” A major challenge in
29 hydrology is to measure and model the impact of macro-pore flow (Zehe et al., 2007; Robinson
30 et al., 2008a). The clay soil in the upper portion of the catchment was vertic, with large cracks in
31 the summer. The wetting served to reduce the cracks and reseal much of the surface as fall

1 progressed; however, auger observations during the rainfall events in January indicated that these
2 cracks had not fully closed below the surface. This created a subsurface intra-ped network of
3 cracks and flow paths, where the observations revealed subsurface saturated flow at a depth of
4 ~20cm. This water could be detected by the EMI which would integrate the water in cracks and
5 large soil blocks, but not by the TDR that mostly explores the soil blocks, given the different
6 support volumes. It raises a further question of, “what is the appropriate value of porosity for
7 soils like this?” Porosity, determined from bulk density is normally conducted on samples <1
8 dm³, however, it was clear at the field site that the crack network porosity does not fully seal and
9 that its contribution becomes important during heavy rain when lateral flows occur and stream
10 flow is generated. This data is not sufficiently comprehensive, lacking a hydrograph, to tease
11 apart when the crack network is, or is not, contributing to stream flow, but lateral flows were
12 only observed at times when stream flow was operational. Though only providing anecdotal
13 evidence, this data should encourage researchers to test whether electrical measurements, with
14 different support volumes, can be used to differentiate between water in different pore-networks,
15 at different scales. More-over, whether geophysical data can be utilized to determine when
16 macro-pores might be full and active contributing to catchment response.

17 With reference to catchment hydrological processes, the results presented in this work
18 indicate a transition in moisture behavior between January the 1st and 6th and support the concept
19 of preferred soil moisture states as described in Grayson et al. (1997). They state that, “*The wet*
20 *state is dominated by lateral water movement through both surface and subsurface paths, with*
21 *catchment terrain leading to organization of wet areas along drainage lines. We denote this as*
22 *nonlocal control. The dry state is dominated by vertical fluxes, with soil properties and only*
23 *local terrain (areas of high convergence) influencing spatial patterns. We denote this as local*
24 *control.*” Prior to January 6th there was no stream flow, nor was there any lateral water flow to be
25 observed from auguring the soil. However, on January the 6th after a large rainfall event stream
26 flow was generated and water was observed to flow laterally in the vertic-soil crack network, as
27 subsurface flow, in the upper portion of the catchment. Figure 2C indicates a gradual broadening
28 of the EMI EC_a histogram that switches between Jan 1st and Jan 6th. Our interpretation is that this
29 is consistent with a switch in moisture states from local control to non-local control. The heavy
30 rain was observed to cause lateral and overland flow, as well as initiating stream flow. Sadly the

1 data set doesn't extend further as it would have been interesting to examine whether there was a
2 gradual change back in the shape of the histogram, or another sudden switch as the soil dried.

3 With reference to figure 8, our data also support the assertion, that terrain is not the only
4 control over moisture patterns, and that the moisture patterns (Fig. 8C) do not simply mirror the
5 wetness index (Fig. 8B). This agrees with the work presented by Wilson et al., (2005) that
6 showed that prediction of soil moisture in their data sets was poor, based on terrain alone. They
7 found that incorporation of residual data, which acts as a surrogate for spatially persistent
8 patterns, potentially related to soil and vegetation type, plus an error term with the terrain data,
9 gave the best estimate of soil moisture.

10

11 **Conclusions**

12 Time-lapse imaging using EMI allowed us to observe soil wetting patterns and moisture
13 dynamics. Moisture content determination is improved by subtracting the EC_a response surface
14 for dry soil from subsequently wetter soil EC_a response surfaces, and using a model to estimate
15 moisture content from the EC_a difference. Differencing in this manner improved correlation
16 between TDR and EMI water content estimates from $r^2=0.28$ to $r^2=0.48$. Wet EC_a response
17 surfaces correlate the best with soil texture, dry images correlate poorly.

18 Data collected using the EMI supports the concept of preferred soil moisture states,
19 showing a distinct switch in EMI EC_a response with the initiation of lateral flows and
20 streamflow. The findings also indicate that the soil moisture patterns do not mirror the catchment
21 wetness index, though the wetness index does identify zones of convergence where overland
22 flow occurred, this is in agreement with recent analysis from Australia. In addition, data
23 indicates that the TDR and EMI, with different support volumes, explore different types of soil
24 moisture. We conjecture that measuring soil response at specific soil water contents using EM
25 sensors with different support volumes may allow us to differentiate between the contributions of
26 the water retained in the matrix from the macro-pore flow.

27

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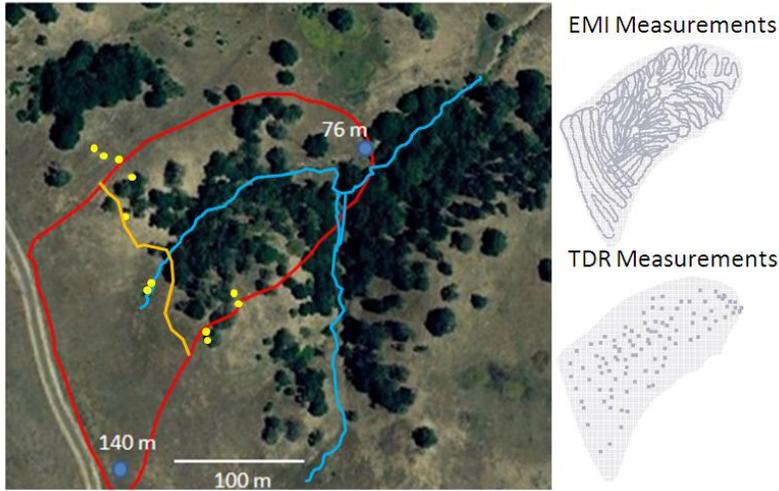
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	Sept 27	Oct 4	Oct 22	Nov 11	Dec 5	Jan 1	Jan 6	Jan 10	28 Feb
Sept 27	1.00								
Oct 4	0.71	1.00							
Oct 22	0.76	0.73	1.00						
Nov 11	0.75	0.67	0.84	1.00					
Dec 5	0.76	0.71	0.87	0.85	1.00				
Jan 1	0.64	0.53	0.79	0.83	0.83	1.00			
Jan 6	0.52	0.43	0.68	0.71	0.70	0.87	1.00		
Jan 10	0.51	0.43	0.67	0.71	0.71	0.87	0.96	1.00	
Feb 28	0.57	0.47	0.71	0.72	0.73	0.85	0.93	0.92	1.00
Hygro	0.23	0.12	0.27	0.40	0.34	0.54	0.54	0.56	0.49

3 Table 1. Correlation (r^2) between EMI determined water content response surfaces. Hygro is the
4 hygroscopic water content $\text{g H}_2\text{O g}^{-1}$ dry soil.

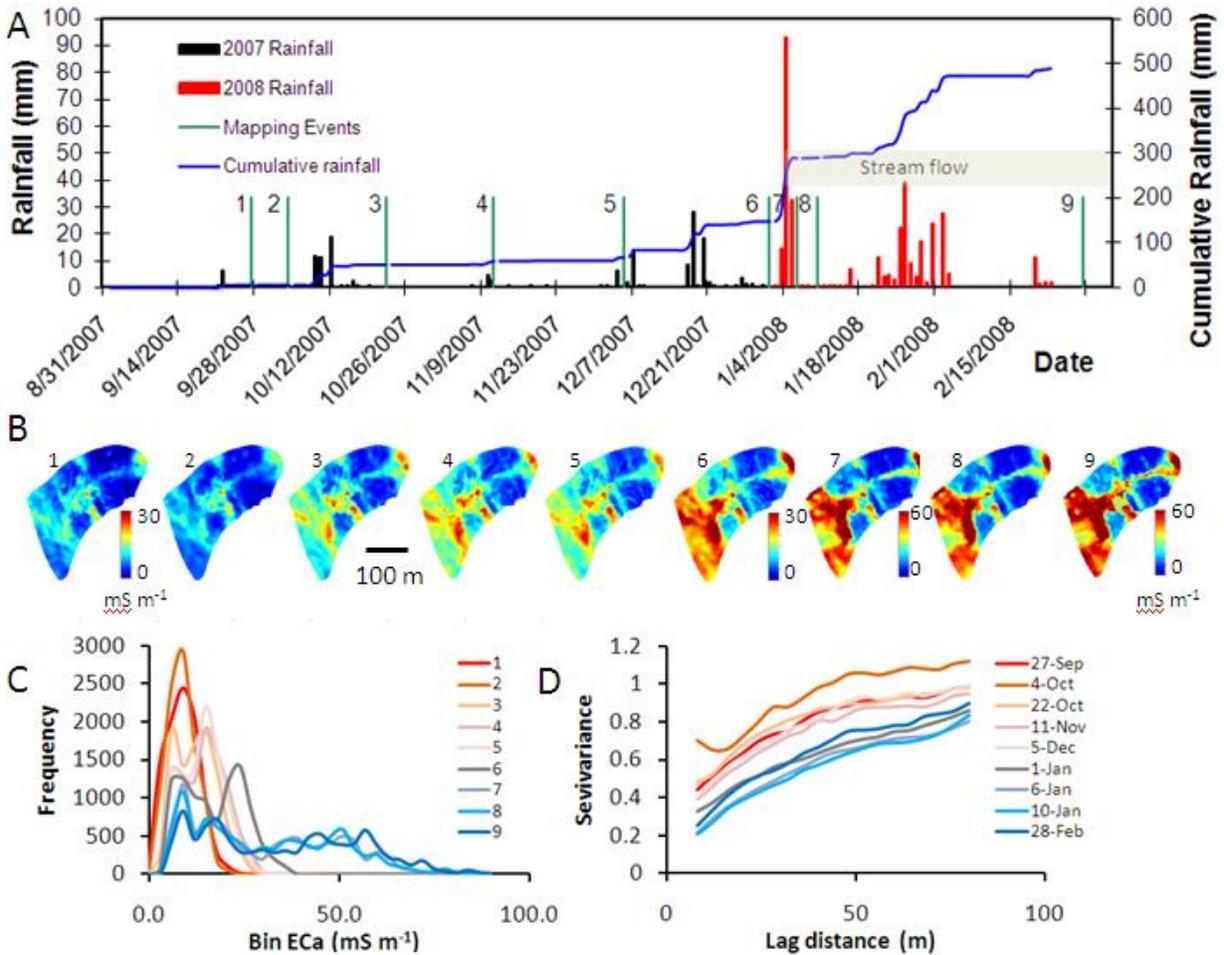
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Figure 1. Four ha catchment in the Stanford foothills reserve, CA. The red line indicates the catchment boundary, the orange line demarks the change from basalt rock to sandstone, and the yellow dots are sandstone outcrops. Stream channels are represented in blue. At right are the EMI measurement tracks and TDR probe insertion locations from Feb 28, 2008.

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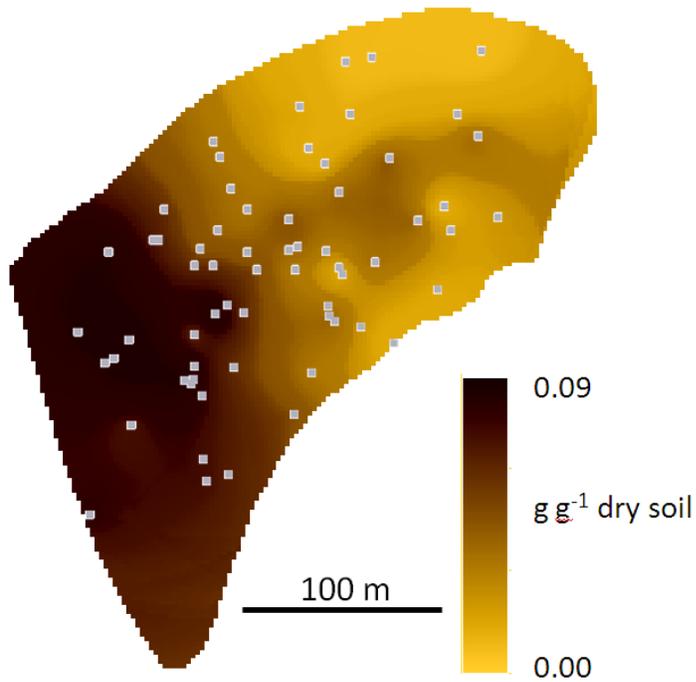
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3 Figure 2. A) Rainfall between September 2007 and March 2008. B) kriged EMI EC_a maps
4 corresponding to green lines with dates numbered on graph A (Note change of scale for 7,8 and
5 9), C) EC_a histograms , 1-9 refer to the dates in figure D, and D) corresponding semi-variograms
6 for each mapping date shown.

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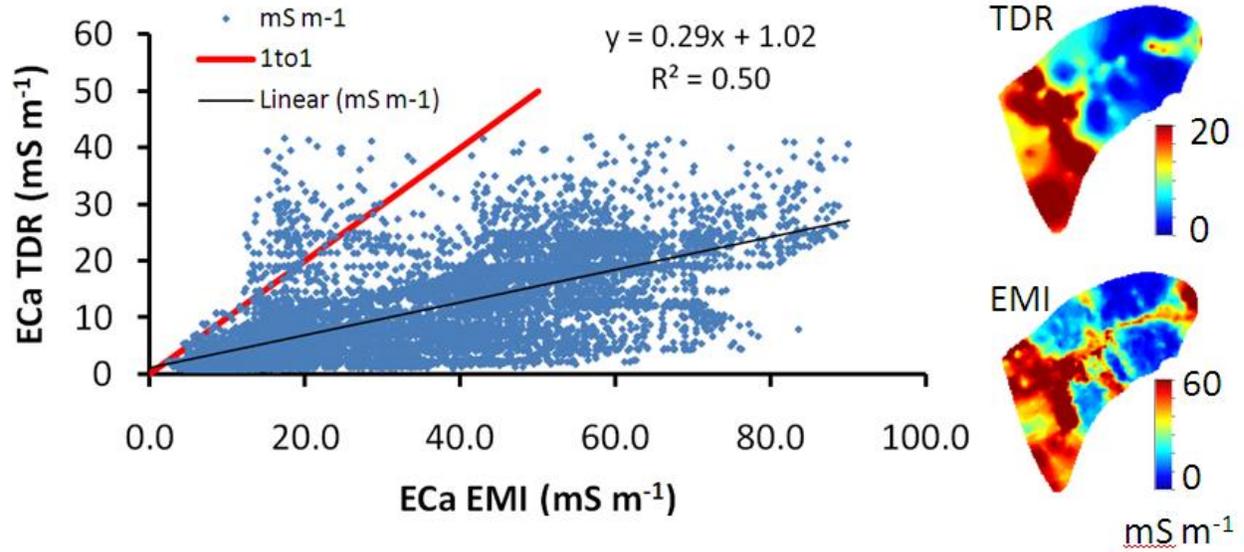


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3 Figure 3. Hygroscopic water content of the top 20 cm of soil determined from 64 soil samples
4 collected using a random sampling (squares); the highest value was $0.84 \text{ g H}_2\text{O g}^{-1}$ dry soil. The
5 dark areas represent clay in the fine earth fraction ($< 2 \text{ mm}$), whereas, the pale colors represent
6 sand in the fine earth fraction.

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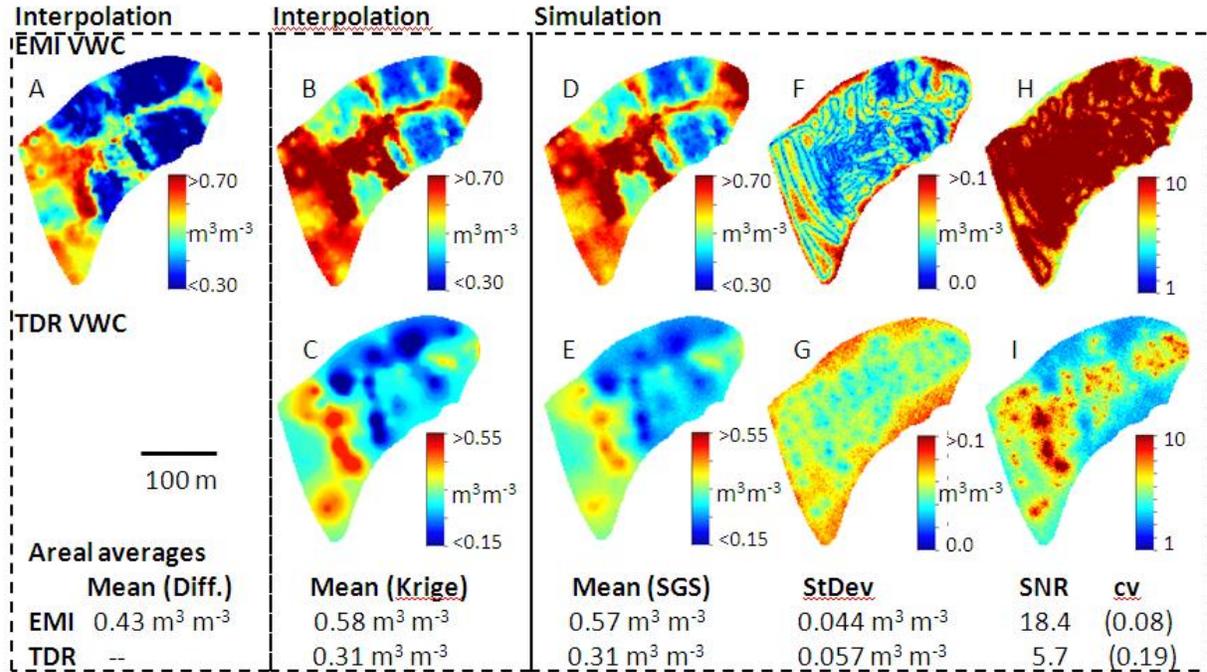
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3 Figure 4. Comparison of spatially correlated EC_a determinations made with TDR and with EMI.

4 The EMI readings are approximately three times larger, possibly due to the larger, deeper
5 sampling volume of the EMI compared to the 10 cm sampling depth of the TDR.

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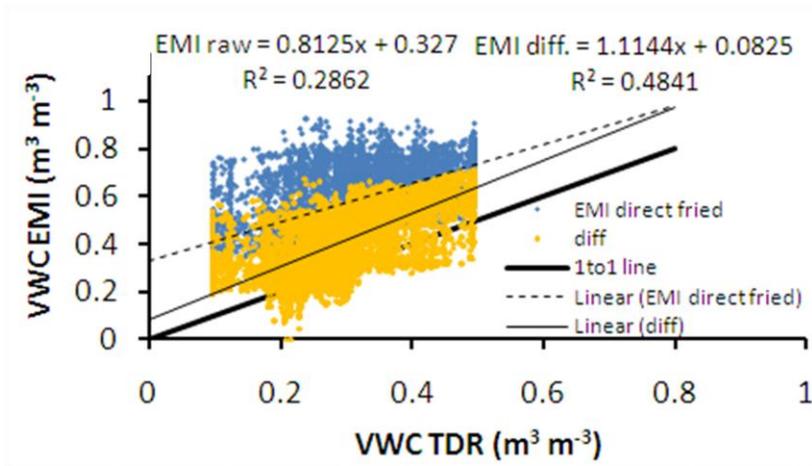


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3 Figure 5. Response surfaces for, volumetric water content (VWC) determined with EMI (top
 4 row) and TDR (bottom row). 5A is the VWC estimated from the EMI EC_a value after
 5 differencing (EC_a Feb 28th – EC_a Sept 27th). Fig 5B uses the raw EMI EC_a data to determine
 6 VWC, whilst Fig 5C is VWC determined using the TDR. Fig 5D and E is the VWC determined
 7 using simulation, whilst Fig 5F and G is the standard deviation determined from simulation. The
 8 signal to noise ratio (SNR), Fig 5H and I, is the mean over the standard deviation (strong signal
 9 for values >1).

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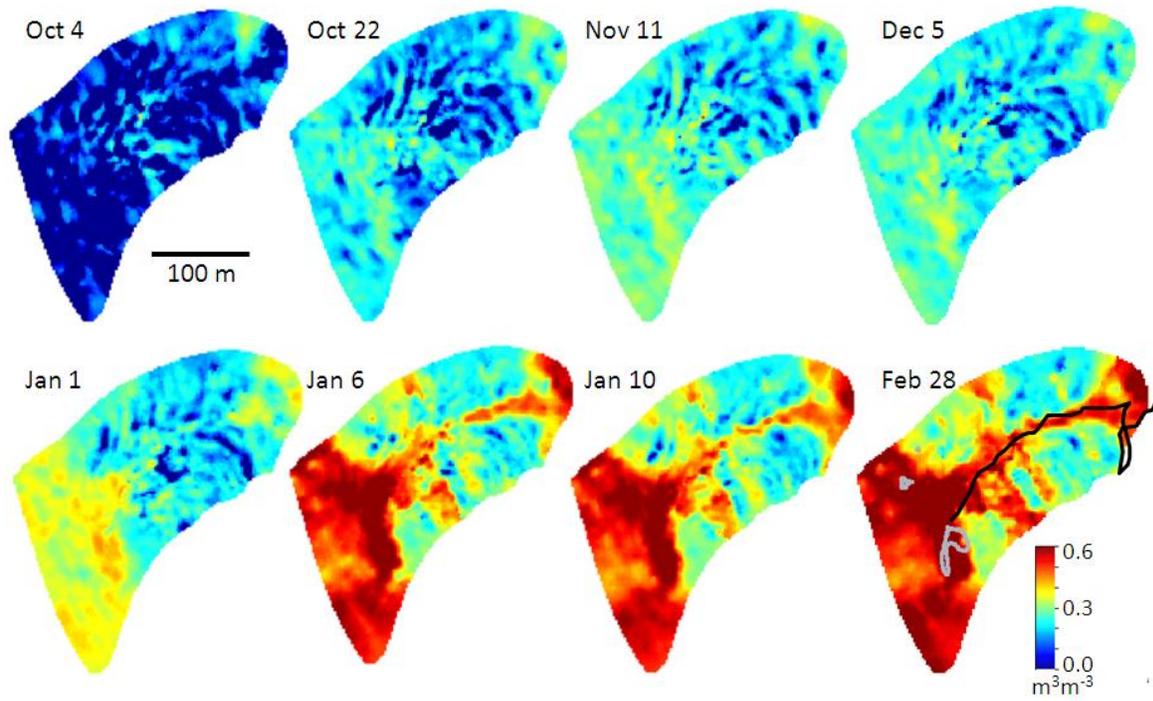


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3 Figure 6. Comparison of volumetric water content (VWC) determined from i) EMI using direct
4 estimation from raw data (EMI raw) and from ii) the differencing approach (EMI diff).

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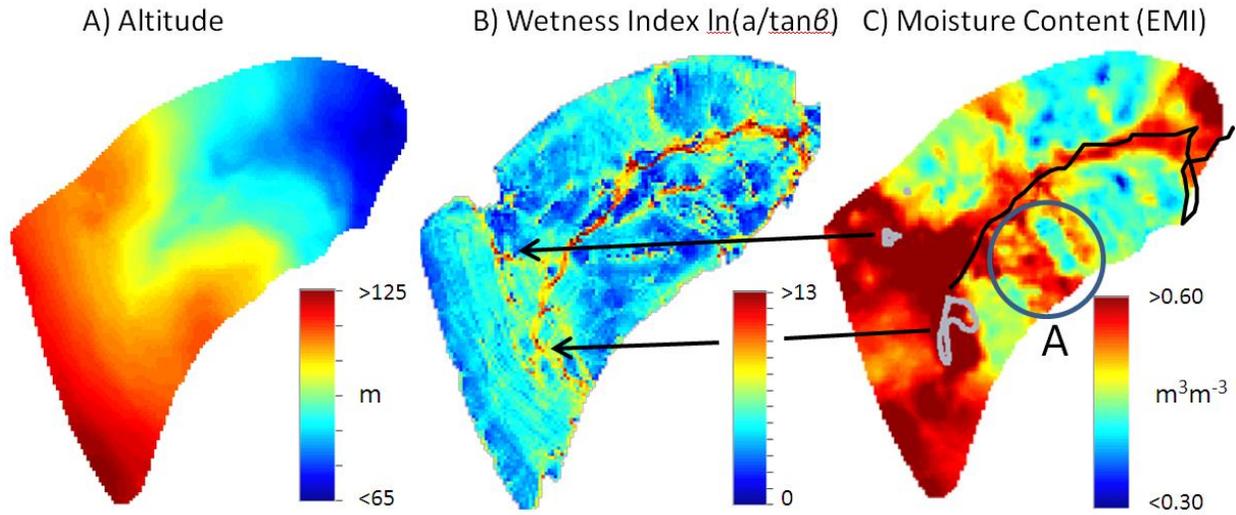
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3 Figure 7. Evolution of volumetric water content (VWC) pattern estimates using the EMI
4 differencing approach on eight different days during 2007 and 2008.

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 2 Figure 8. Altitude derived from GPS data, wetness index based on the altitude data, and moisture
 3 content determined using the differencing approach for Feb 28th. The red and yellow points in the
 4 wetness index denote locations of topographic convergence. The black line on the soil moisture
 5 image is the stream channel and the grey lines mark the boundary of observed overland flow
 6 during this event. The arrows indicate the correspondence between the location of the overland
 7 flow and the convergence zones determined by the wetness index.

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